

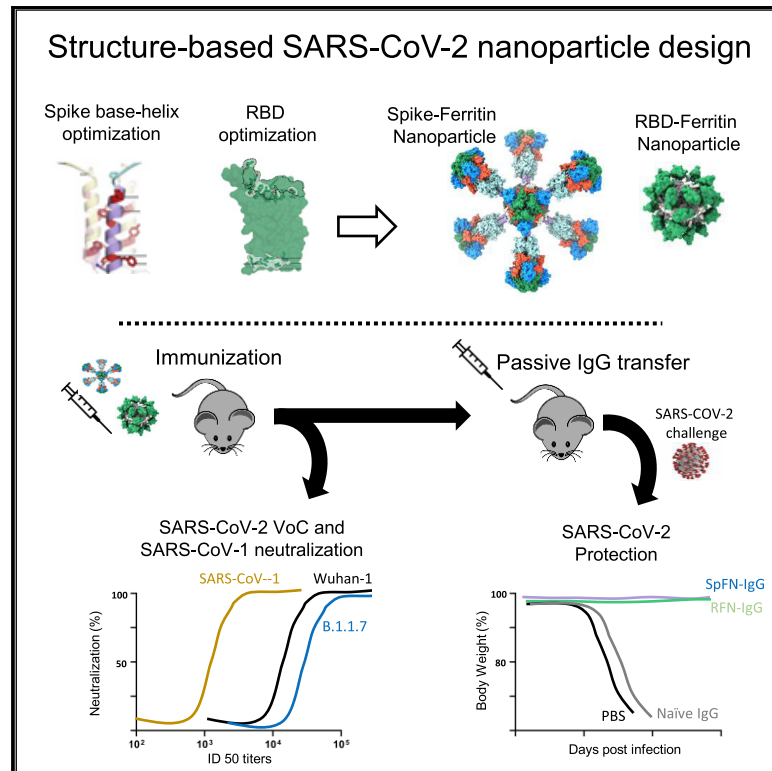


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SARS-CoV-2 ferritin nanoparticle vaccines elicit broad SARS coronavirus immunogenicity

Graphical abstract



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In brief

Joyce et al. generate four categories of engineered SARS-CoV-2 ferritin nanoparticle immunogens using structure-based vaccine design that recapitulate the prefusion SARS-CoV-2 spike, S1, and RBD. These immunogens induce robust and protective neutralizing antibody responses against SARS-CoV-2 and elicit potent neutralization against variants of concern and the heterologous SARS-CoV-1.

Highlights

- Iterative structure-based design of SARS-CoV-2 ferritin nanoparticle immunogens
- Elicitation of potent neutralizing activity against SARS-CoV-2, VoCs, and SARS-CoV-1
- Passively transferred immune-IgG protects K18-hACE2 mice from SARS-CoV-2 challenge



Article

SARS-CoV-2 ferritin nanoparticle vaccines elicit broad SARS coronavirus immunogenicity

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SUMMARY

The need for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) next-generation vaccines has been highlighted by the rise of variants of concern (VoCs) and the long-term threat of emerging coronaviruses. Here, we design and characterize four categories of engineered nanoparticle immunogens that recapitulate the structural and antigenic properties of the prefusion SARS-CoV-2 spike (S), S1, and receptor-binding domain (RBD). These immunogens induce robust S binding, ACE2 inhibition, and authentic and pseudovirus neutralizing antibodies against SARS-CoV-2. A spike-ferritin nanoparticle (SpFN) vaccine elicits neutralizing titers ($ID_{50} > 10,000$) following a single immunization, whereas RBD-ferritin nanoparticle (RFN) immunogens elicit similar responses after two immunizations and also show durable and potent neutralization against circulating VoCs. Passive transfer of immunoglobulin G (IgG) purified from SpFN- or RFN-immunized mice protects K18-hACE2 transgenic mice from a lethal SARS-CoV-2 challenge. Furthermore, S-domain nanoparticle immunization elicits ACE2-blocking activity and ID_{50} neutralizing antibody titers $>2,000$ against SARS-CoV-1, highlighting the broad response elicited by these immunogens.

INTRODUCTION

To date, seven coronaviruses (CoVs) are known to cause disease in humans. Three of these, severe acute respiratory syn-

drome coronavirus 1 (SARS-CoV-1), Middle East respiratory syndrome coronavirus (MERS-CoV), and SARS-CoV-2, have emerged since 2003 (Cui et al., 2019), with high mortality rates. SARS-CoV-2 is easily transmitted by humans and created a



pandemic, infecting over 100 million people, causing over 2 million deaths to date, and resulting in an urgent need for protective and durable vaccines. A rapid vaccine development effort led to the evaluation of hundreds of SARS-CoV-2 vaccine candidates and worldwide vaccine distribution and use.

The response to SARS-CoV-2 was facilitated by multiple efforts over the last decade to enable CoV pandemic preparedness, initially based on MERS-CoV vaccine design and development (Adhikari et al., 2019), phase I vaccine trials (Adney et al., 2019), and a global effort by the Coalition for Epidemic Preparedness Innovations (CEPI) to advance vaccine candidates (Gouglas et al., 2019). The elucidation of CoV spike (S) glycoprotein structures (Lee et al., 2016; Pallesen et al., 2017) allowed structure-based vaccine design of stabilized S glycoprotein immunogens from multiple CoVs (Pallesen et al., 2017), providing a blueprint for SARS-CoV-2 vaccine design (Wrapp et al., 2020b).

The CoV S protein mediates virus entry, is immunogenic (Iyer et al., 2020; Wang et al., 2021a), and encodes multiple neutralizing epitopes (Greaney et al., 2021), making it the primary target for natural and vaccine-induced CoV humoral immunity and vaccine design (Cao et al., 2020) and the target of most COVID-19 vaccines. S is a class I fusion glycoprotein consisting of an S1 attachment subunit and S2 fusion subunit that remain non-covalently associated in a metastable, heterotrimeric S on the virion surface (Walls et al., 2020b). Within the S1 subunit, there is an N-terminal domain (NTD), and a C-terminal domain (CTD) that includes the receptor-binding domain (RBD). The RBD binds to human angiotensin-converting enzyme 2 (hACE2), facilitating cell entry (Alanazi et al., 2020). Multiple antigenic sites have been identified on the S protein, including distinct sites on the RBD and the NTD supersite (Brouwer et al., 2020; Cerutti et al., 2021; Liu et al., 2020; Zost et al., 2020). Convalescent serum antibodies or monoclonal antibodies capable of potent neutralization targeting these sites can reduce disease severity or mortality in rodents, non-human primates (Barnes et al., 2020), and humans (Cao et al., 2020; Salazar et al., 2020; Shi et al., 2020).

Due to the unknown parameters of SARS-CoV-2 vaccine durability, specific age or population needs, emergence of SARS-CoV-2 variants of concern (VoCs) (Wibmer et al., 2021), and the constant threat of newly emerging human CoVs (Menchery et al., 2015), next-generation COVID-19 or pan-CoV vaccines will be needed. Iterative structure-based design for viral glycoproteins (McLellan et al., 2013; Joyce et al., 2016) that stabilize neutralizing epitopes or epitope-based vaccine design (Chen et al., 2021; Kong et al., 2019) shows that rational vaccine design can lead to the elicitation of broad immune responses. Similar broad cross-reactive responses elicited by structure-based engineered vaccines have also been advanced for influenza (Boyoglu-Barnum et al., 2020; Kanekiyo et al., 2019). In the case of CoVs, a set of cross-reactive epitopes has recently been described (Barnes et al., 2020; Joyce et al., 2020; Sauer et al., 2021; Wrapp et al., 2020a), with many of the preferred neutralizing antibodies centered on the RBD (Li et al., 2021; Pinto et al., 2020; Rappazzo et al., 2021).

Next-generation strategies to augment specific immune responses as well as enhance cross-reactivity include the use of nanoparticle vaccine technology (Cohen et al., 2021) and next-

generation adjuvants. Nanoparticle technologies have been shown to improve antigen structure and stability, as well as targeted vaccine delivery and immunogenicity, with good safety profiles (Pati et al., 2018). Engineered nanoparticle vaccines can elicit broader immune responses (Darricarrère et al., 2021; Kanekiyo et al., 2013, 2019) or more efficacious immune responses (Kanekiyo et al., 2015). The repetitive array of the viral surface component allows for robust B cell activation facilitating memory B cell expansion and generation of long-lived plasma cells. More recently, in efforts to generate more effective vaccines that can prevent infection by resistant pathogens such as HIV-1 or influenza, a set of engineered nanoparticle vaccines has been developed. Utilizing naturally occurring nanoparticle molecules such as bacterial ferritin, antigens are fused to the ferritin molecule to recapitulate complex trimeric class I glycoproteins and to increase the immune response for weakly immunogenic targets. Recently designed single- and multi-component nanoparticle vaccines (Brouwer et al., 2021; Walls et al., 2020a) show promise from both an immunological (Cawfield et al., 2019; Marcandalli et al., 2019) and a current Good Manufacturing Practice (cGMP) production perspective (Ueda et al., 2020).

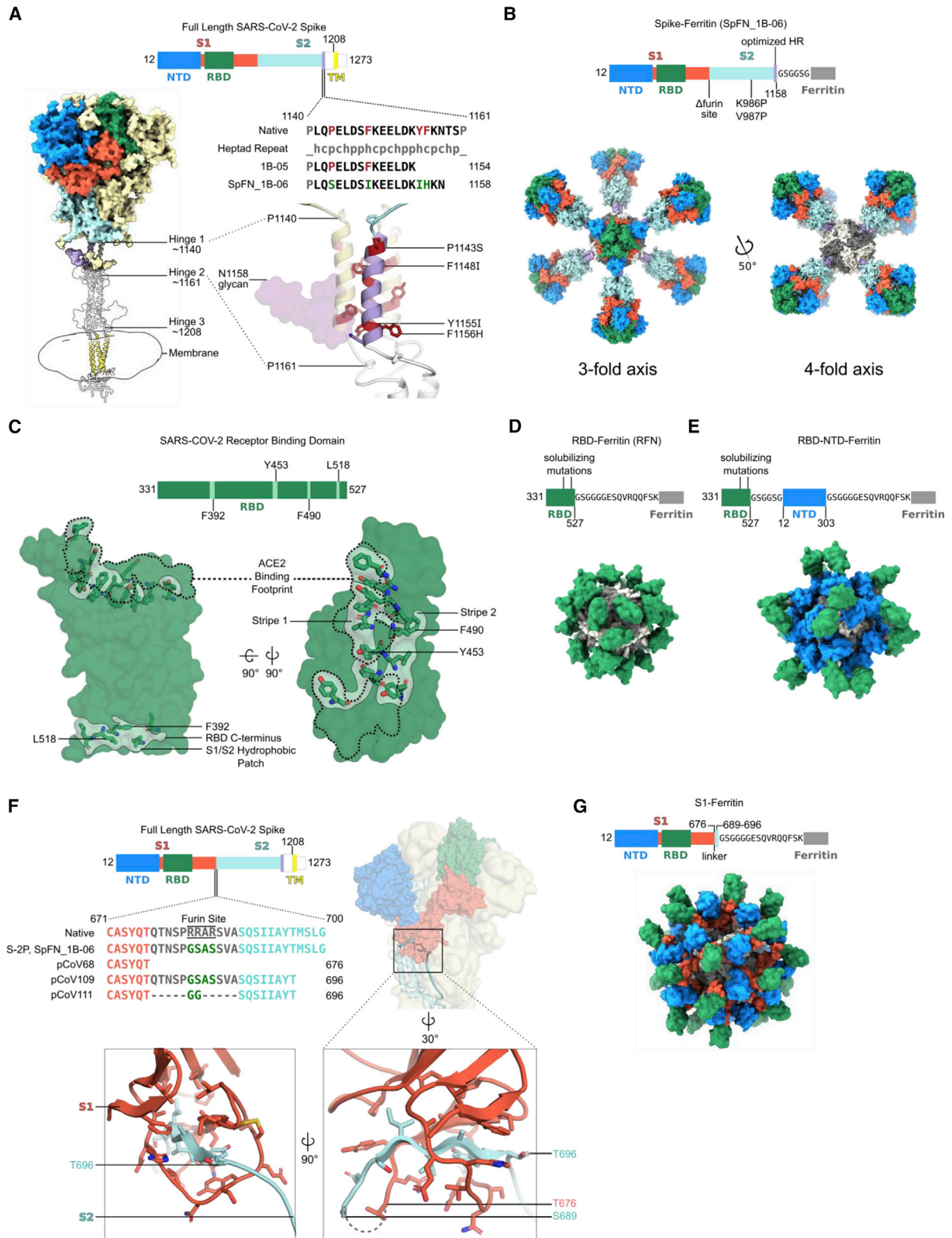
Engineered nanoparticle vaccines and their capacity to generate enhanced immune responses in humans are currently being studied and include influenza (NCT03186781; NCT03814720; NCT04579250), Epstein-Barr virus (NCT04645147), malaria (NCT04296279), and a recently described SARS-CoV-2 nanoparticle vaccine (IVX-411) (Walls et al., 2020b). Use of potent adjuvants such as liposomal-saponin adjuvants can further enhance the protective immune response (Cawfield et al., 2019; Lal et al., 2015; Orm et al., 2020) even in the context of nanoparticle vaccines (Langowski et al., 2020; Kaba et al., 2018). Based on the results described herein and data from associated non-human primate experiments (Joyce et al., 2021; King et al., 2021), an S-trimer-ferritin immunogen with a liposomal adjuvant, ALFQ, is currently being assessed in a phase I clinical trial (NCT04784767).

Here we report the iterative structure-based design and pre-clinical assessment of four classes of S-domain ferritin nanoparticles including stabilized S-trimer-ferritin nanoparticles (SpFNs), RBD-ferritin nanoparticles (RFNs), S1-ferritin nanoparticles, and RBD-NTD-ferritin nanoparticles. By using a set of biophysical, structural, and antigenic assessments, combined with animal immunogenicity testing, we identify candidate immunogens that elicit potent neutralizing antibody titers against SARS-CoV-2 and robust protection against SARS-CoV-2 challenge in the K18-hACE2 mouse model. We further show that subsequent immunizations not only increase the SARS-CoV-2 neutralization titer but also expand the neutralization breadth against the heterologous SARS-CoV-1 virus and current circulating VoCs. These data provide a foundation for immunogen design strategies for pan-betacoronavirus vaccine development.

RESULTS

Immunogen design of SARS-CoV-2 S-domain ferritin nanoparticles

Using the initial SARS-CoV-2 genome sequence from Wuhan, China (GenBank: MN9089473), we designed four classes of S-domain ferritin-fusion recombinant proteins as immunogens



(legend on next page)

for expression as nanoparticles based on the major antigenic domains of the S ectodomain (Figure S1). The *Helicobacter pylori* ferritin molecule was genetically linked to the C-terminal region of the following S antigens: (1) S ectodomain (residues 12–1158), (2) RBD (residues 331–527), (3) RBD linked in tandem to the NTD (residues 12–303), and (4) S1 (residues 12–696) (Figures 1 and S1; Table S1). For the S-ferritin nanoparticle designs, a short linker to the ferritin molecule was used to utilize the natural three-fold axis, for display of eight spikes. For the other designs, a short region of bullfrog ferritin was utilized to allow equidistant distribution of the 24 S-domain molecules on the ferritin surface (Figure 1). Our overall approach was to compare the immunogen structure, antigenicity, and immunogenicity elicited by these different immunogens with the goal to identify the best immunogen to take forward into further development.

The first design category, S-trimer-ferritin nanoparticle (SpFN) designs, was based on a modified S with stabilizing prolines (K986P, V987P), removal of the furin cleavage site (RRAS to GSAS), and optimization of the coiled-coil region between hinge 1 and hinge 2 of the ectodomain stalk (Turoňová et al., 2020) to stabilize trimer formation on the ferritin scaffold (Figures 1A and S1). The designs focused on (1) modification of the c-terminal residue of the S molecule (1137, 1208, 1154, or 1158), (2) optimization of the coiled-coil region through extensions or repeats, (3) removal of the coiled-coil region, (4) removal of glycan 1158, (5) addition of heterogeneous trimerization domains (GCN4, or foldon), or (6) signal peptide sequence (Figure 1B; Table S1).

The second design category, RBD-ferritin nanoparticle (RFN) designs, used the SARS-CoV-2 RBD (residues 331–527) (Figure 1C) connected to the bullfrog-*H. pylori* chimeric ferritin (Kaneakiyo et al., 2015) by a 6-amino acid linker (Figure 1D). The SARS-CoV-2 RBD contains a set of hydrophobic patches, including the ACE2-binding site, and a region located at about residues 517/518 that is hidden in the context of the intact S

molecule. These regions were iteratively mutated to reduce hydrophobicity and increase stability of the RFN molecules, expression levels, antigenicity, and immunogenicity.

The third design category, RBD-NTD-ferritin molecule designs, was based on addition of optimized RBD molecules in series with an NTD-ferritin construct (residues 12–303) linked to the bullfrog-*H. pylori* chimeric ferritin molecule. The in-series, but reversed, RBD-NTD design ensured distal displacement of the RBD molecule from the ferritin molecule (Figure 1E), promoting immune recognition of the RBD molecule with potential benefits for the production and stability of the nanoparticle.

The fourth design category, S1-ferritin designs (residues 12–676) (Figure 1F; Table S1), was initially designed based on the MERS S1 immunogen, which elicited protective immune responses (Wang et al., 2015). Subsequent designs focused on inclusion of a short region of SARS-CoV-2 S2 (residues 689–696) either using the connecting region that overlaps the furin site or by use of a short glycine-rich linker sequence (Figure 1F) to enable formation of the S1-ferritin nanoparticle (Figure 1G).

Characterization of SARS-CoV-2 S-domain ferritin nanoparticles

Ten SpFN constructs (Table S1) were designed and tested for expression, yield, nanoparticle formation, and antigenicity. S-ferritin nanoparticles were expressed in Expi293F cells for 3–5 days at 34°C and 37°C and purified by Galanthus nivalis lectin (GNA) affinity chromatography. A subset of these constructs showed reasonable expression levels ranging from 0.5 to 5 mg/L medium supernatant (Figure S3). SpFN constructs pCoV1B-05 and pCoV1B-06-PL (SpFN_1B-06-PL) typically yielded over 5 mg/L with expression incubation set at 34°C. Samples were assessed by SDS-PAGE, size-exclusion chromatography (SEC), dynamic light scattering (DLS), and negative-stain electron microscopy (neg-EM) to ensure intact protein

Figure 1. Structure-based design of SARS-CoV-2 S-based ferritin nanoparticle immunogens

(A) Full-length SARS-CoV-2 S schematic and 3D structure. S hinges identified by molecular dynamics simulations and electron cryotomography are labeled on the 3D model (Turoňová et al., 2020). The structured trimeric ectodomain is colored according to the schematic with the N-terminal domain (NTD) and receptor-binding domain (RBD) of the S1 polypeptide and the C-terminal coiled coil N-terminal to hinge 1 colored blue, green, and purple, respectively. Remaining portions of the S1 and S2 polypeptides are colored in red and cyan with regions membrane proximal from hinge 2 colored in white. The transmembrane domain of all chains is depicted in yellow. To design a spike-ferritin molecule, the C-terminal heptad repeat (residues 1140–1161) between hinges 1 and 2 was aligned to an ideal heptad-repeat sequence. Residues in the native spike sequence that break this pattern are highlighted in red. These residues are also labeled and highlighted in red on the 3D structure. Two engineered designs (1B-05 and 1B-06) are shown, with the spike end residue used to link to ferritin, and heptad-repeat mutations colored green.

(B) Schematic and 3D model of a spike-ferritin nanoparticle (SpFN). Differences between the native spike sequence and the engineered nanoparticle are indicated on the schematic. A 3D model of SpFN displaying eight trimeric spikes was created using PDB: 6VXX and 3EGM with the ferritin molecule shown in alternating gray and white. The nanoparticle is depicted along the four-fold and three-fold symmetry axes of the ferritin.

(C) RBD-ferritin nanoparticle design and optimization. The RBD of SARS-CoV-2 (PDB: 6MOJ) is shown in surface representation, with the ACE2-binding site outlined in dashed lines. Three hydrophobic regions of the RBD that were mutated for nanoparticle immunogen design are shown in light green surface, with residues in stick representation. The ACE2-binding site contains two of these regions, whereas a third hydrophobic patch near the C terminus of the RBD is typically buried by S2 and part of S1 in the context of the trimer molecule.

(D) Schematic and 3D model of an RBD-ferritin nanoparticle. A modeled 24-mer nanoparticle displaying the RBD domain is depicted at the three-fold symmetry axis of ferritin and colored green. Truncation points, linkers, and alterations made to the RBD sequence are indicated on the schematic.

(E) Schematic and 3D model of an RBD-NTD-ferritin nanoparticle. A modeled nanoparticle displaying RBD and NTD epitopes is depicted and colored according to the schematic. Truncation points, linkers, and alterations made to the native spike sequence are indicated on the schematic.

(F) S1-ferritin immunogen design. The SARS-CoV-2 S1 forms a hydrophobic collar around the N-terminal β sheet of S2 (residues 689–676). S1-ferritin immunogen design required inclusion of this short stretch of S2 (colored cyan) attached by a linker. Terminal residues of the structured portions of S1 and S2 are labeled.

(G) Schematic and 3D model of an S1-ferritin nanoparticle. A modeled nanoparticle displaying RBD and NTD domains is depicted and colored according to the S1-ferritin schematic with truncation points and domain linkers indicated.

See also Figure S1 and Table S1.

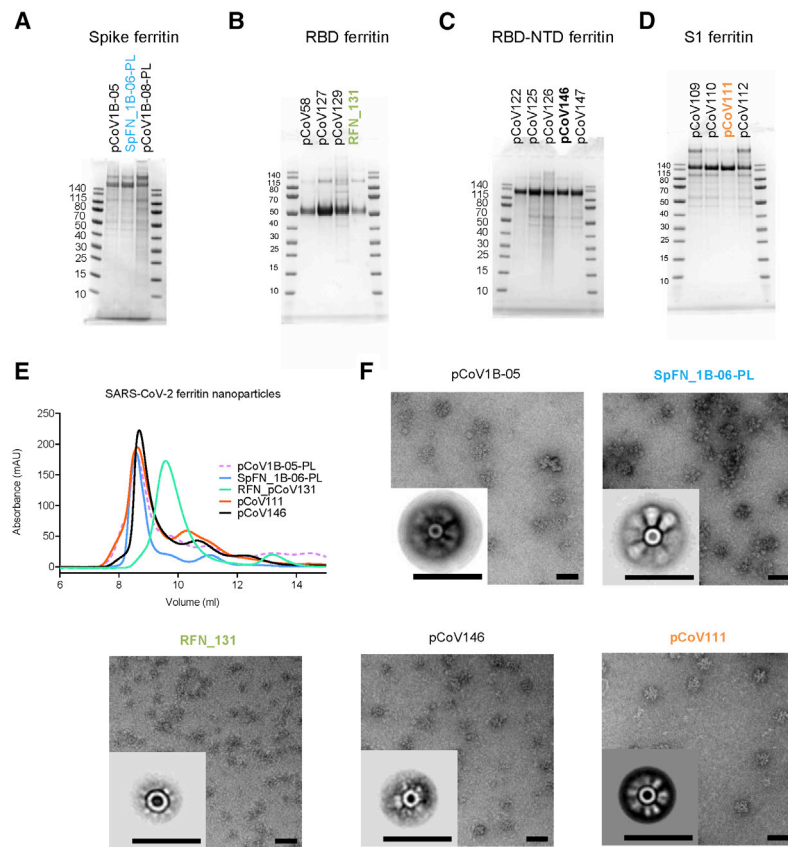


Figure 2. Biophysical characterization of SARS-CoV-2 S-based ferritin nanoparticle vaccine candidates

(A–D) SDS-PAGE of (A) spike-ferritin nanoparticles, (B) RBD-ferritin nanoparticles, (C) RBD-NTD-ferritin nanoparticles, and (D) S1-ferritin nanoparticles. Molecular weight standards are indicated in kDa.

(E) Size-exclusion chromatography on a Superdex S200 10/300 column of representative SARS-CoV-2 S-based ferritin nanoparticles.

(F) Negative-stain electron microscopy 2D class averages of purified nanoparticles. The scale bars represent 50 nm. See also [Figures S2](#) and [S3](#).

was produced, and to assess nanoparticle formation and S morphology ([Figures 2](#) and [S2](#)). In the case of SpFN and SpFN_1B-08 ([Figure S2](#)), the globular shape of the protruding S was clearly visible in both the transmission electron microscopy (TEM) images and the 2D averages. In the case of pCoV1B-05, the protruding S showed more of an “open” form in both the TEM images and the 2D averages.

In addition, nanoparticles were assessed for antigenicity using biolayer interferometry (BLI) against a set of poorly neutralizing (CR3022, SR1) and potently neutralizing (SR2, SR3, SR4, SR5) RBD-targeting antibodies. The different SpFN designs showed variable binding to the antibodies, with SpFN_1B-06-PL having the highest binding ([Figures 3A](#) and [S1](#)).

Initial test expression of RBD-ferritin constructs at 37°C using either 293F or Expi293F cells showed low levels of expression. Reducing the cell expression temperature to between 30°C and 34°C following transfection rescued expression and enabled levels >20 mg/L to be purified by NiNTA purification ([Figure S3](#)). However, analysis of the constructs by SEC, DLS, and neg-EM indicated that initial RBD-ferritin constructs did not form fully intact nanoparticles ([Figure S2](#)). We hypothesized that designed variants with reduced RBD surface hydrophobicity would allow for improved nanoparticle yield. Screening through a set of variants using SDS-PAGE and SEC as primary indicators of nanoparticle formation allowed identification of constructs that readily formed nanoparticles ([Figures 2](#), [S1](#), and [S2B](#)). These molecules

were also visualized by neg-EM, and showed clear formation of nanoparticles, with the protruding RBD visible on their surface in both TEM images and 2D class averages ([Figure 2F](#)). However, these constructs had a propensity to form aggregates and dramatically affected the ability to concentrate the samples. Addition of 5% glycerol to the NiNTA-purified material, prior to SEC or other concentration steps, mitigated the aggregation issue and increased the nanoparticle formation as judged by SEC, and was confirmed by neg-EM. The RBD-ferritin constructs showed very strong binding to the set of RBD-specific antibodies in all cases ([Figure 3B](#)). The level of binding was approximately twice that seen for the S-ferritin constructs, indicative of the exposed and accessible nature of the RBD epitopes ([Figures 1D](#) and [2F](#)).

Due to the initial difficulty with S1-ferritin nanoparticle constructs, we developed a set of engineered S1 constructs by artificially connecting the RBD by a short linker to the NTD linked to the ferritin molecule and denoted as RBD-NTD-ferritin molecules. This multi-domain design strategy resulted in good protein expression (up to 2.5 mg/L) and clear nanoparticle formation by TEM. Using the information gained from the RBD surface optimization, we designed multiple constructs with variations in the RBD molecule to reduce surface hydrophobicity ([Figure S1](#)). Antigenic analysis of these constructs showed that pCoV146 displayed robust antibody binding ([Figure 2F](#)).

The initial S1-ferritin construct, pCoV68 (residues 12–676), yielded very low protein expression levels, even with reduced expression temperatures ([Figure S3A](#)). However, using the structure of the S-2P molecule ([Wrapp et al., 2020b](#); [Walls et al., 2020b](#)), it was clear that a short segment of S2 formed significant interactions with the S1 domain. Addition of this short region either using the natural sequence (with the furin site removed) as in construct pCoV109, or by linking residues 689–696 with glycine-rich linkers as in construct pCoV111, allowed ~1 mg/L of protein to be purified. Analysis of these constructs by SDS-PAGE, SEC, and neg-EM showed clear formation of the designed nanoparticles, and antigenic characterization showed binding of antibodies to the nanoparticle ([Figure 3D](#)).

Further structural analysis of the nanoparticle immunogens from each of the four design categories was carried out by determining

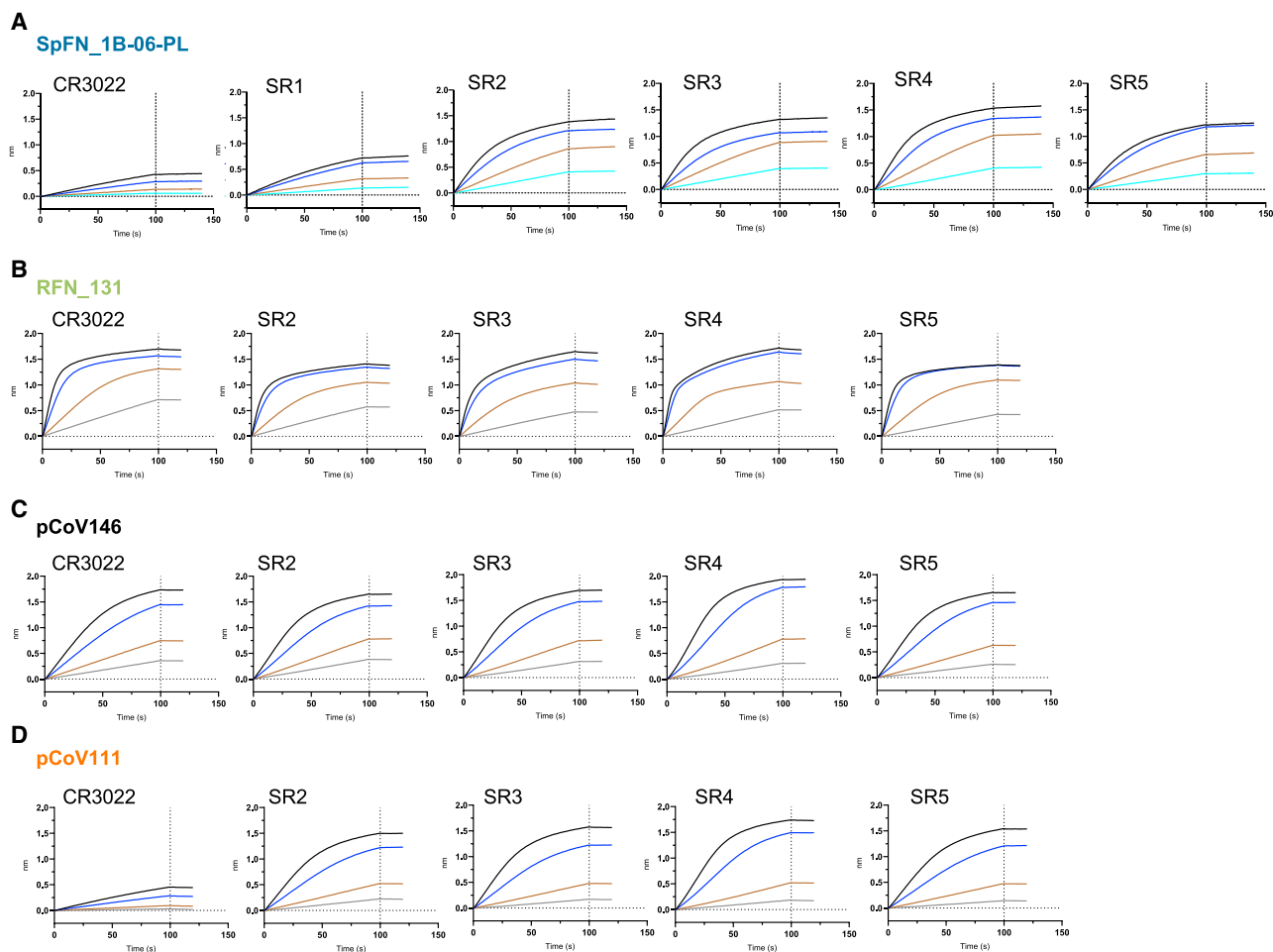


Figure 3. Antigenic characterization of select SARS-CoV-2 S-based ferritin nanoparticle vaccine candidates

Binding response of SARS-CoV-2 neutralizing antibodies to each of the lead candidates from the four design categories measured by biolayer interferometry with two-fold serial dilution of each antibody starting at 30 $\mu\text{g}/\text{mL}$.

(A) Spike-ferritin nanoparticle SpFN_1B-06-PL.

(B) RBD-ferritin nanoparticle RFN_131.

(C) RBD-NTD-ferritin nanoparticle pCoV146.

(D) S1-ferritin nanoparticle pCoV111.

See also [Figure S3](#).

3D reconstructions from negative-stain electron micrographs ([Figure 4](#)). For each nanoparticle, a central sphere of approximately 12 nm corresponding to ferritin was resolved. S-domain antigens were located a short distance away from the central sphere and linker regions were unresolved, likely due to their small size and flexibility. The SpFN_1B-06-PL reconstruction showed the stabilized S protruding from the ferritin molecule with a total diameter of approximately 44 nm ([Figure 4A](#)). The large size and distinct low-resolution features of the S ectodomain allowed for docking of a closed S-2P trimer model (PDB: 6VXX) into the trimer density, confirming S was in the prefusion conformation. The ferritin-distal region of the S density was slightly weaker and likely reflects the heterogeneity in RBD-up conformations or slight openings of trimer visible in raw micrographs. Additionally, although the coiled coil was unresolved, the distances between the density

for S and ferritin matched the modeled coiled-coil length. Reconstruction of the RFN_131 3D EM map revealed two globular densities per asymmetric unit, suggesting that the RBD molecule was highly flexible on the surface of the ferritin sphere ([Figure 4B](#)). Similarly, the map of the RBD-NTD-ferritin nanoparticle pCoV146 showed two layers of globular densities, with a ferritin-proximal layer corresponding to the NTD and a more disordered layer for the RBD ([Figure 4C](#)). This particle was approximately 9 nm larger in diameter in both 2D and 3D than the RFN molecules. The reconstruction of the S1-ferritin fusion pCoV111 revealed a surprisingly ordered S1 density compared to the flexible RBD-NTD ferritin nanoparticle, perhaps due to geometric constraints on the surface of the ferritin particle ([Figure 4D](#)). Density similar in shape to the S1 domain in the closed S-2P trimer was resolved although it was slightly more compact, likely due to

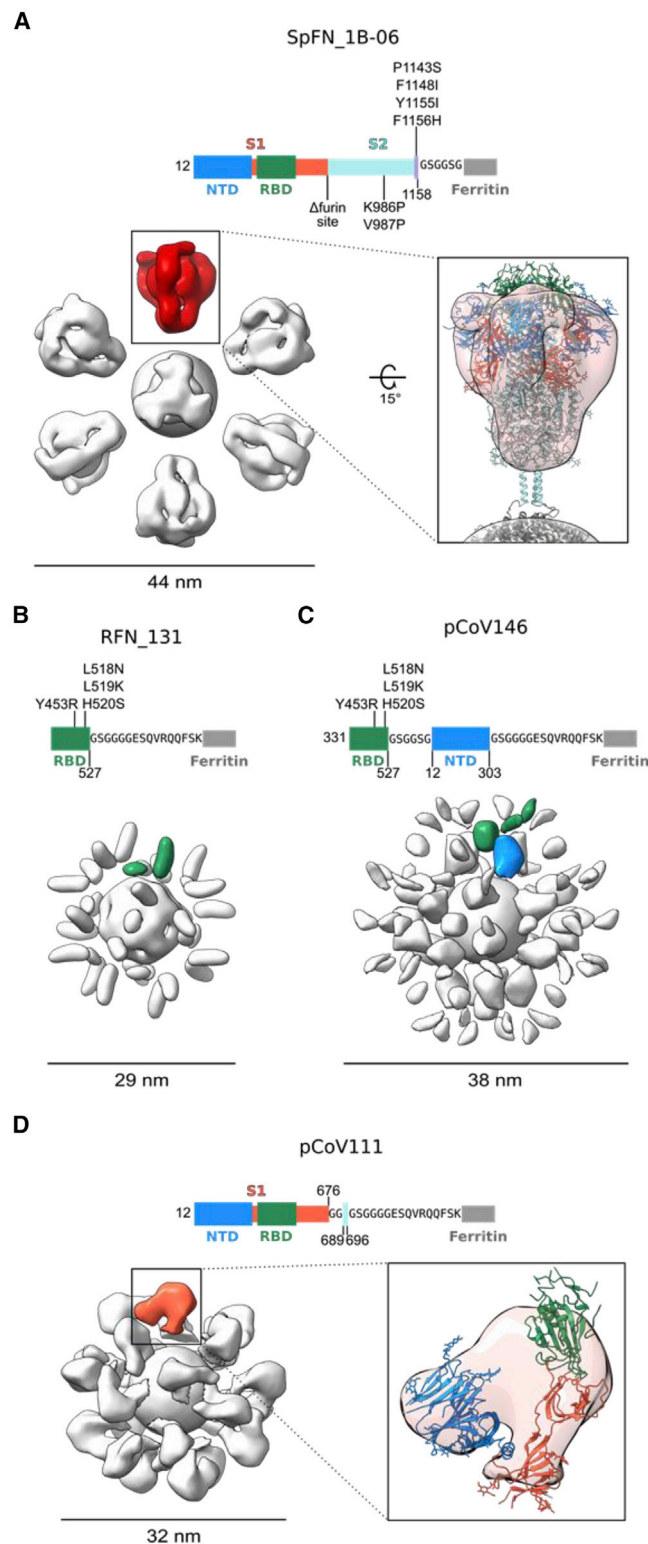


Figure 4. Negative-stain electron microscopy 3D reconstructions of SARS-CoV-2 S-based ferritin nanoparticles
Modifications made to the native sequence and linkers used for each construct are shown in schematic diagrams. The size of each particle is indicated in nanometers.

both overall flexibility of the S1 on the ferritin surface and RBD flexibility.

Immunogenicity of SARS-CoV-2 S-domain ferritin nanoparticles in mice

To evaluate the immunogenicity of the SARS-CoV-2 ferritin nanoparticles, we utilized two strains of mice (C57BL/6 and BALB/c) and two adjuvants (ALFQ and Alhydrogel), and immunized mice three times intramuscularly at 3-week intervals using a 10- μ g dose. ALFQ consists of liposomes containing saturated phospholipids, cholesterol, and monophosphoryl lipid A as an immunostimulant, and saponin QS21 (ALFQ). In total, we assessed 14 immunogens, two S-ferritin immunogens, seven RBD-ferritin immunogens, one S1-ferritin construct, and four RBD-NTD-ferritin immunogens (Table S3). We also assessed two control proteins (S-2P and RBD), to allow comparison to the ferritin-nanoparticle platform. Assessment in immunogenicity studies was based on iterative knowledge of immunogen physical and biochemical characteristics (Figures 2, 3, and S3), in conjunction with immunogenicity results from first-generation immunogens (Figure S1). This facilitated down-selection of lead immunogen candidates. Alhydrogel and ALFQ adjuvants were selected due to their history in human vaccine trials, safety profile, and previous performance alongside nanoparticle vaccine immunogens (NCT04296279). Alhydrogel contains aluminum hydroxide gel, whereas ALFQ is a liposome-based adjuvant containing the saponin QS-21 and synthetic monophosphoryl lipid A (3D-PHAD). Following immunization, we assessed mouse sera for SARS-CoV-2 RBD and S binding, RBD-ACE2 inhibition, pseudovirus neutralizing antibody responses, and authentic SARS-CoV-2 virus neutralization at multiple time points (Figures 5 and S4). The use of a BLI assay allowed rapid characterization of immune sera and was highly informative in the early stages of the COVID-19 pandemic, as assays were being developed.

All four categories of immunogens elicited robust SARS-CoV-2 immune responses. Immune responses seen in C57BL/6 mice were greater than for BALB/c mice after a single immunization, whereas binding and neutralizing antibody titers were comparable after a second or third immunization (Figure 5). In all cases, the third immunization did not substantively increase the antibody levels induced by the S-domain ferritin nanoparticles. In

(A) Negative-stain 3D reconstructions with applied octahedral symmetry are shown with an asymmetric unit of non-ferritin density colored. Spike trimer density is colored in red, and a model of a SARS-CoV-2 S-2P trimer based on PDB: 6VXX is shown docked into the negative-stain map and colored according to the schematic diagram.

(B) Two non-ferritin densities per asymmetric unit were observed for RFN_131 and are highlighted in green. These densities putatively correspond to the RBD but lack low-resolution distinguishing features due to the small, globular shape of these domains. The presence of two densities is likely due to flexibility in the linker and heterogeneity in the RBD pose.

(C) Two layers of densities were distinguishable for pCoV146, with the putative NTD density of an asymmetric unit colored blue, proximal to the ferritin, and two smaller, more flexible densities corresponding to the RBD distal to the ferritin and colored green.

(D) An asymmetric unit of non-ferritin density for pCoV111 is colored in orange and a monomer of S1 in the closed trimer state from PDB: 6VXX is shown docked into the density with domains colored as in the schematic diagram. See also Figure S2 and Table S2.

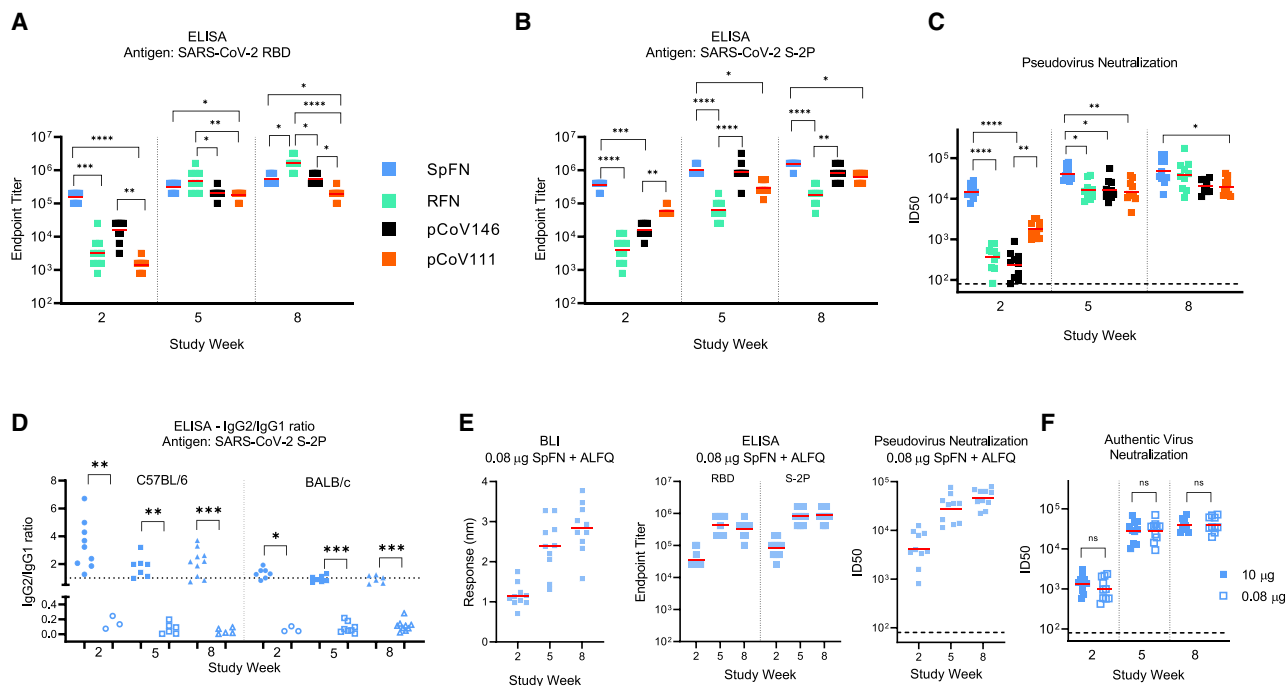


Figure 5. SARS-CoV-2 S-domain nanoparticle vaccine candidates elicit robust binding and neutralizing antibody responses in C57BL/6 mice

Data relating to each category of immunogen are colored as follows: SpFN_1B-06-PL, blue; RFN_131, green; pCoV146, black; and pCoV111, orange. $n = 10/$ group. ALFQ was the adjuvant used for these animal groups.

(A and B) ELISA binding of mouse sera to SARS-CoV-2 RBD or S-2P. Study week is indicated at the base of the graph. Geometric mean value is indicated by a horizontal line. Statistical comparison at each time point was carried out using a Kruskal-Wallis test followed by a Dunn's post-test.

(C) SARS-CoV-2 pseudovirus neutralization ID_{50} values. Geometric mean titer values are indicated by a horizontal line. Statistical comparison at each given time point was carried out using a Kruskal-Wallis test followed by a Dunn's post-test.

(D) ELISA analysis of antibody isotype usage following immunization with SpFN + ALFQ (solid shapes) or SpFN + Alhydrogel (open shapes). Sera collected at study week 2, 5, and 8 from immunized mice were added in quadruplicate serial dilutions to ELISA plates coated with S-2P protein. Duplicated wells were probed with anti-mouse-IgG1-HRP. Additional duplicates were probed with either anti-mouse-IgG2c-HRP or anti-mouse IgG2a-HRP for C57BL/6 and BALB/c mice, respectively. Data were interpolated to obtain the dilution factor at OD_{450} of 1 and plotted as ratios of IgG2/IgG1. A horizontal dotted line denotes a balanced 1:1 IgG2/IgG1 ratio. Isotype ratio values were compared between the two adjuvant groups at each timepoint for each mouse type using a Mann-Whitney unpaired two-tailed non-parametric test.

(E) Binding and pseudovirus neutralization of sera from mice immunized with 0.08 μ g SpFN + ALFQ.

(F) Authentic SARS-CoV-2 virus neutralization ID_{50} values are shown for mice immunized with 10 μ g (blue) or 0.08 μ g (light blue) SpFN + ALFQ. Geometric mean titer is indicated by a horizontal line. Comparisons between dose groups at each time point were carried out using a Mann-Whitney unpaired two-tailed non-parametric test.

In (B) and (C), all groups at a given study time point were compared to each other. Only groups with significant differences are indicated by a bar; all other groups did not show statistically significant differences. **** $p < 0.0001$, *** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$. See also [Figures S4–S6](#) and [Tables S3](#) and [S4](#).

all cases tested, ALFQ was superior to Alhydrogel as an adjuvant for elicitation of binding and neutralizing responses ([Figures 5](#) and [S4](#); [Table S4](#)). In addition, Alhydrogel led to a skewed antibody isotype immune response that was TH2 in nature, as opposed to the balanced immune response seen with ALFQ-adjuvanted animals ([Figure 5D](#)). The immunoglobulin G (IgG)2a/c:IgG1 ratio observed in both C57BL/6 and BALB/c mice was consistently at 1 or greater for the SpFN+ALFQ-immunized mice, indicating a balanced or TH1 response. This antibody isotype response also matched the TH1 immune response at the cellular level ([Carmen et al., 2021](#)).

SpFN_1B-06-PL immunogen elicited rapid RBD binding and pseudovirus neutralizing antibody response with ID_{50} geometric mean titer (GMT) $>10,000$ in C57BL/6 mice and $ID_{80} >1,000$ after a single immunization ([Figures 5C](#) and [S4](#)). This rapid neutralizing immune response after one immunization was significantly

higher than seen with RBD-ferritin or RBD-NTD-ferritin immunogens. Following a second immunization, both strains of SpFN-vaccinated mice showed ID_{50} GMT $>10,000$ and ID_{80} GMT $>5,000$ for both mouse types. Comparison of these neutralization responses to those reported by [Powell et al. \(2021\)](#) indicates that the immune responses elicited are similar, with strong immune responses seen after a single immunization, and these titers increase ~ 10 -fold after a boosting immunization ([Table S4](#)).

RFN immunogens elicited robust RBD- and S-binding responses and pseudovirus neutralization ID_{50} GMT $>10,000$ in both mice strains after two immunizations ([Figures 5A–5C](#)). We assessed seven RBD-ferritin immunogen designs in immunogenicity studies ([Table S3](#)), and selection for animal immunogenicity experiments was largely based on nanoparticle stability, expression level, aggregation, and antigenic profile ([Figures 2](#),

3, S2, and S3). Based on these criteria, RFN_131 was extensively assessed and, after three immunizations, showed pseudovirus neutralization responses that were comparable to or exceeded that seen for the SpFN_1B-06-PL immunogen. Of note, the RBD-ferritin immunogens elicited substantial S-binding responses that were highly comparable to those of other immunogens that contained additional S domains (Figure 5B). When comparing RFN-elicited immune responses to the control protein RBD, the differences were striking. Using similar mouse strains and adjuvants and performing the experiments contemporaneously, it took three immunizations to elicit detectable neutralizing antibody titers using ALFQ as an adjuvant. In the Al-hydrogel-adjuvanted study groups, we did not detect neutralizing titers even after the third immunization (Table S4).

In a pattern similar to that seen for the RFN immunogens, both the RBD-NTD-ferritin and the S1-ferritin immunogens elicited binding responses and detectable pseudovirus neutralization after a single immunization that was increased by the second immunization to give ID₅₀ GMT values >10,000 and ID₈₀ GMT titers ~5,000 (Figures 5A–5C and S4).

Given the rapid induction of immune responses after a single immunization by SpFN_1B-06-PL, we further characterized this immunogen in a dose-ranging study (Figures 5D, 5E, and S5). In five-fold dilution steps, we reduced the SpFN_1B-06-PL immunogen from a 10- μ g dose down to a 0.0032- μ g dose (3,125-fold reduction) with the full ALFQ adjuvant dose. Antibody-binding responses were assessed for the full dose range by ELISA to S and RBD, and binding responses were elicited at all dose concentrations tested. We then further assessed the 0.08- μ g dose (125-fold reduction from the 10- μ g dose) with all our immunogenicity assays (Figures 5E and S5G). At this lower dose, the immune response was comparable to that seen for the typical 10- μ g dose. In addition, we assessed both the 10- and 0.08- μ g SpFN_1B-06-PL-vaccinated mouse serum for authentic SARS-CoV-2 live virus neutralization. At both doses, in both mouse strains, a single immunization elicited an ID₅₀ GMT of ~1,000, whereas the second immunization boosted this response more than ten-fold. The subsequent third immunization showed a modest boost effect. At each of these study time points, there was no difference between the doses in C57BL/6 mice, whereas differences in BALB/c ID₈₀ GMT were seen at week 2 (higher for the 10- μ g dose) and week 8 (higher for the 0.08- μ g dose).

Further analysis of the durability of the immune responses (Figure S6) showed that the immune responses in terms of binding titers and pseudovirus neutralization titers were stably maintained for more than 10 weeks after the final immunization at ID₅₀ GMT levels of ~10,000. This was observed for both SpFN_1B-06-PL- and RFN_131-immunized mice. Overall, these studies demonstrated robust immunogenicity of four categories of SARS-CoV-2 S-domain ferritin nanoparticles.

Vaccine-elicited broadly cross-reactive antibody responses against SARS-CoV-1 and SARS-CoV-2 VoCs

SARS-CoV-2 variants that are more transmissible and may be more lethal continue to emerge even in the midst of vaccine rollout and public health measures. Given the robust binding and pseudovirus and authentic virus neutralization titers

against the original SARS-CoV-2 that were elicited by the S-domain ferritin nanoparticle immunogens, we assessed the immunized mouse sera for binding and pseudovirus neutralization to VoCs (Figure 6). Using study week 10 sera from mice immunized with the four categories of immunogens, SpFN_1B-06-PL, RFN_131, RBD-NTD-ferritin (pCoV146), and S1-ferritin (pCoV111), with ALFQ as the adjuvant, we assessed binding to a panel of variant RBD molecules containing K417N, E484K, N501Y, and combinations of these mutations (Figure S6). These mutations match the RBD sequence seen in the B.1.351, B.1.1.7, and P.1 SARS-CoV-2 strains. In all cases, robust binding to the RBD molecules was observed, with minimal change in overall binding when compared to the original RBD molecule. RFN_131-immunized mouse sera showed reduced binding to the E484K, N501Y double mutant but increased binding to the K417N variant. Analysis of the sera from SpFN_1B-06-PL-, RFN_131-, or pCoV111-immunized mice for pseudovirus neutralization of the VoCs B.1.1.7 (Alpha) and B.1.351 (Beta) showed minimal changes in the neutralization levels, with ID₅₀ GMT values >2,000 for all strains (Figure 6A).

Analysis of the mouse sera for binding of SARS-CoV-1 showed that RFN_131-immunized mice elicited the highest SARS-CoV-1 RBD-binding response (Figures 6B and S6). In addition to RBD binding, we also observed SARS-CoV-1 ACE2-RBD inhibitory activity with SpFN-immunized mice (Figure S5). We further assessed SpFN_1B-06-PL- or RFN_131-immunized mouse sera for neutralization against SARS-CoV-1 using the pseudovirus assay (Figure 6C). We observed robust neutralization levels with ID₅₀ >1,000 for SpFN_1B06-PL- or RFN_131-immunized animals. In general, the RFN_131 immunogen elicited higher SARS-CoV-1 neutralizing responses compared to SpFN. Together, these data demonstrate that the SpFN_1B-06-PL and RFN_131 nanoparticles elicit broadly neutralizing and cross-reactive antibody responses against VoCs and the heterologous SARS-CoV-1. The consistency of the neutralization titers across VoCs generated by the ferritin nanoparticle immunogens is striking (Figure S6), particularly when compared to other vaccine candidates, where significantly decreased neutralization titers are seen against Beta and other variants.

Protective immunity in K18-hACE2 transgenic mice against SARS-CoV-2 challenge

Given the neutralizing antibody responses elicited by SpFN_1B-06-PL and RFN_131, and the different components of these two immunogens, we chose to assess the protective effect of purified antibodies from these animals in a lethal SARS-CoV-2 challenge model using K18-hACE2 transgenic mice (Winkler et al., 2020). We chose to carry out passive antibody transfer to fully assess the ability of the humoral response to protect against infection, and we transferred three different amounts of purified IgG from either SpFN_1B-06-PL-ALFQ- or RFN_131-ALFQ-immunized mice to further understand whether there were significant differences between the ability of RBD-focused antibodies to provide protection compared to spike-focused antibodies. Purified IgG was transferred to the K18-hACE2 transgenic mice 24 h prior to inoculation with SARS-CoV-2 (Figures 7A and 7B). The control groups included a PBS group and a group

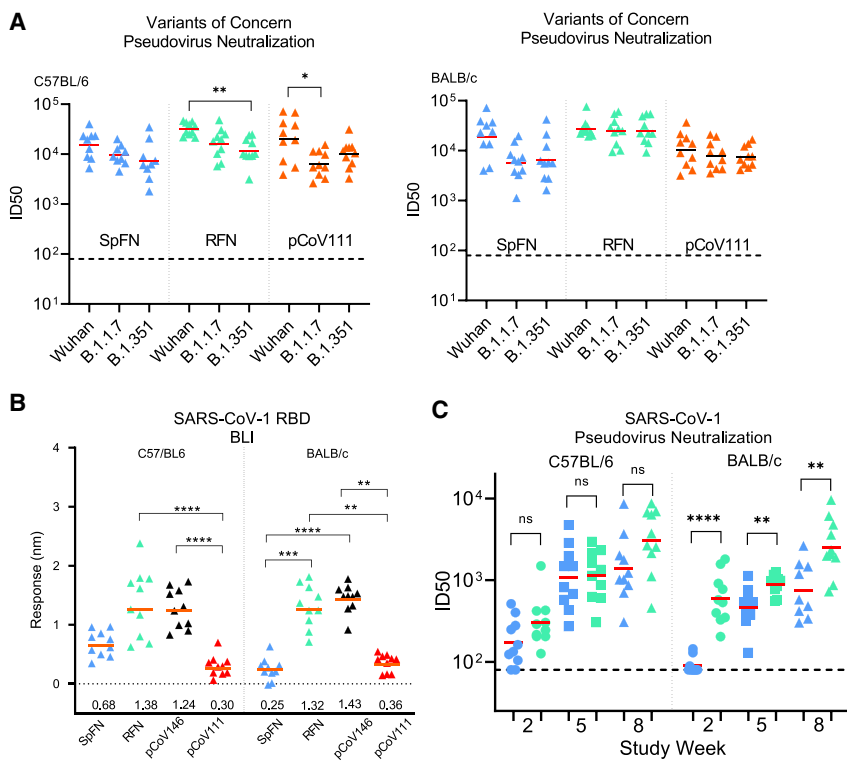


Figure 6. SARS-CoV-2 S-domain nanoparticle vaccine candidates elicit robust antibody binding responses and neutralizing activity against SARS-CoV-2 VoCs and SARS-CoV-1

(A) Pseudovirus neutralization (ID_{50} values) of study week 10 mouse sera from immunized C57BL/6 and BALB/c mice to SARS-CoV-2 Wuhan-1, B.1.1.7, and B.1.351 pseudotyped viruses. Immunogens are indicated at the base of each graph. Geometric mean titer values are indicated by a horizontal line; $n = 5$; statistical significance for each immunogen was assessed using a Kruskal-Wallis test followed by a Dunn's post-test.

(B) Biolayer interferometry binding of study week 10 mouse sera from immunized C57BL/6 and BALB/c mice to SARS-CoV-1 RBD. Immunogens are indicated at the base of each graph. Mean values are indicated by a horizontal line; $n = 10$; statistical significance was assessed using a Kruskal-Wallis test followed by a Dunn's post-test.

(C) Pseudovirus neutralization (ID_{50} values) of study week 10 mouse sera from immunized C57BL/6 and BALB/c mice to SARS-CoV-1 Urbani strain pseudotyped viruses. Data related to SpFN_1B-06-PL and RFN_131 are colored blue and green, respectively. Statistical comparisons between SpFN and RFN responses at each time point were carried out using a Mann-Whitney unpaired two-tailed non-parametric test.

Immunogens are indicated at the base of each graph. Geometric mean values are indicated by a horizontal line; $n = 10$; **** $p < 0.0001$, ** $p < 0.01$, * $p < 0.05$. See also Figures S4 and S5.

that was passively transferred with naive mouse IgG. The dose of SARS-CoV-2 virus was titrated (1.25×10^4 PFU; plaque-forming unit) to establish significant weight loss and pathology following infection with the WA1/2020 strain (Figure S7).

Animal weight was measured twice daily for 14 days after challenge, and animals that lost >25% weight during the study were euthanized. All animals that received the highest amount of antibody (470 μ g SpFN_1B-06-PL-derived, or 370 μ g RFN_131-derived) showed serum neutralization ID_{50} GMT titers of 3,424 and 2,356, respectively, at day 0 immediately prior to virus inoculation (Figure 7C). All animals in these two groups showed minimal weight loss (Figure 7D) and all survived the study (Figure 7E). In the two groups that received either 47 μ g SpFN_1B-06-PL- or 37 μ g RFN_131-derived antibody, neutralization ID_{50} GMT titers were 178 and 248, respectively. Even with these lower antibody transfer amounts and the relatively low neutralization titers, most animals were protected from weight loss and death. In the SpFN_1B-06-PL-47- μ g group, only two animals showed severe weight loss, whereas in the RFN_131-37- μ g group, most animals showed some weight loss during the first study week, but all recovered. In contrast, mice that received the lowest amount of purified IgG from SpFN_1B-06-PL- or RFN_131-vaccinated animals did not show any neutralizing antibody titers at the day of inoculation. The mice in these two groups showed significant weight loss, and 9/10 animals in each group were euthanized by day 9 of the study. In a similar pattern, all animals from

the naive IgG and PBS groups suffered weight loss and were euthanized by study day 8. In summary, these data show that low amounts of passively transferred antibodies equivalent to 2 mg/mL from SpFN- or RFN-vaccinated animals can protect mice from a lethal challenge with SARS-CoV-2.

DISCUSSION

Since the emergence of SARS-CoV-2 in late 2019, multiple vaccines have been developed that elicit robust and protective immune responses in small animals, non-human primates, and humans. This includes a set of mRNA-based vaccines (NIH-Moderna, Pfizer-BioNTech), viral vector vaccines (J&J, AstraZeneca), and a nanoparticle-like vaccine (Novavax) (Bangaru et al., 2020) that are starting to be distributed worldwide. In addition, next-generation SARS-CoV-2 vaccine candidates are beginning to reveal strong immunological results in pre-clinical studies (Saunders et al., 2021; Walls et al., 2020a). These protein-based nanoparticle platforms paired with powerful adjuvant systems provide multiple advantages in the ability to protect against emerging variants (Moyo-Gwete et al., 2021; Wibmer et al., 2021). Broadly protective nanoparticle vaccines that can elicit potent and durable responses may be especially important for specific high-risk professions, or in populations (Atyeo et al., 2021) including the elderly (Collier et al., 2021) or immunocompromised (Boyarsky et al., 2021), where immune responses can be attenuated. The utility of

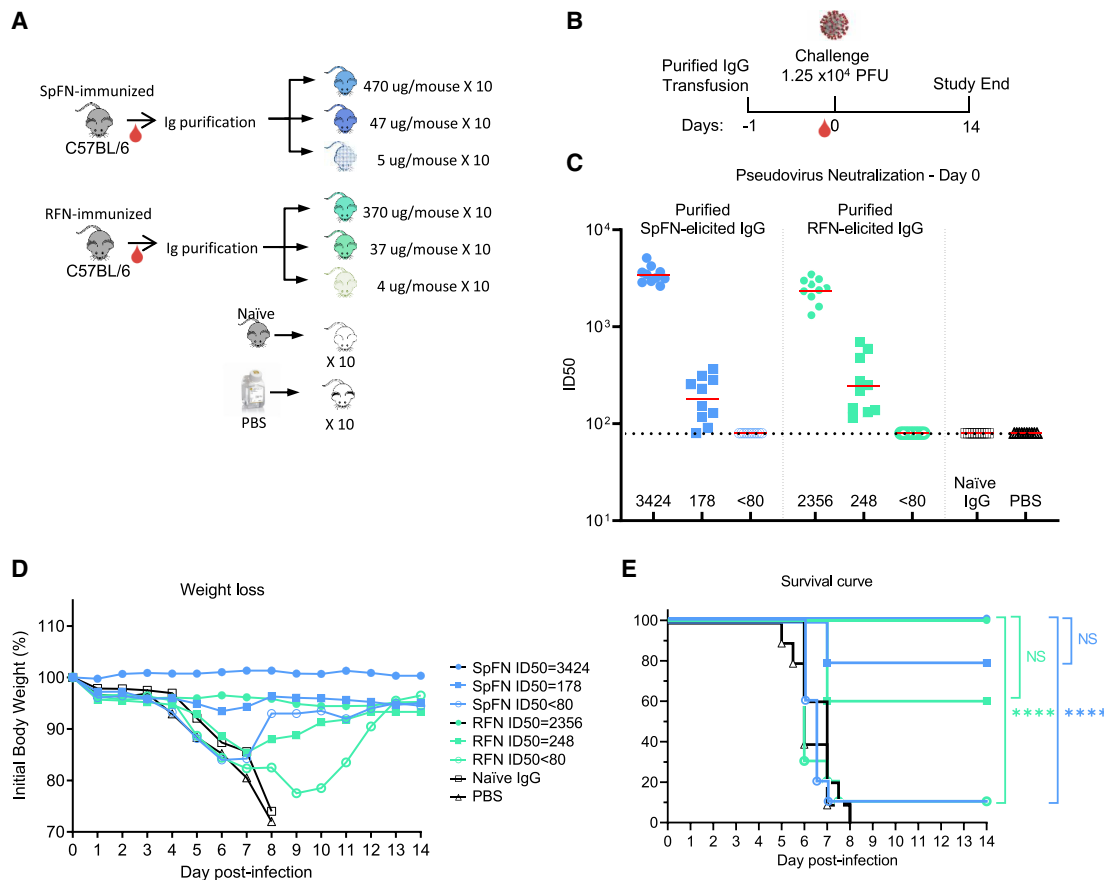


Figure 7. SpFN- and RFN-protective immunity in K18-hACE2 transgenic mice

(A) IgG was purified from SpFN- or RFN-vaccinated mouse sera and passively transferred at specific IgG amounts ranging from 4 to 470 $\mu\text{g}/\text{mouse}$ in a final volume of 200 μL . Control naïve mouse IgG was formulated at 2 mg/mL ($n = 10/\text{group}$, 5 female, 5 male).

(B) Mouse challenge study schematic. K18-hACE2 mice ($n = 10/\text{group}$, 5 female, 5 male) received control IgG (black), PBS (gray), and purified IgG, 1 day prior to challenge with 1.25×10^4 PFU of SARS-CoV-2.

(C) SARS-CoV-2 pseudovirus neutralization ID₅₀ titers of mouse sera at study day 0. Pseudovirus IC₅₀ geometric mean titer for each group is shown on the x axis. The horizontal dotted line indicates the lower limit of detection of the assay.

(D and E) Percentage of initial weight (D) and survival (E) of K18-hACE2 mice for the 8 study groups. Groups are indicated based on original animal vaccination group and the pseudovirus ID₅₀ neutralization values. The legend is shown in (D). Statistical comparisons were carried out using a Mantel-Cox test followed by Bonferroni correction. See also Figure S7.

highly stable vaccines that can elicit high neutralizing antibody titers after a single immunization, or vaccines that can be easily re-purposed for specific populations or as boosting immunogens, is likely to help the long-term strategy for global COVID-19 vaccination.

Trimer-functionalized ferritin immunogens have been effective at eliciting neutralizing antibodies against class I fusion proteins, including influenza hemagglutinin (HA) (Kanekiyo et al., 2013; Kelly et al., 2020) and HIV envelope (He et al., 2016; Slieden et al., 2015). For example, in the case of respiratory syncytial virus, a stabilized prefusion F glycoprotein vaccine based on subtype A can naturally elicit potent neutralizing antibody and T cell responses against the heterologous subtype B in animals and humans (Crank et al., 2019; Joyce et al., 2019). Here we developed a set of SARS-CoV-2 S-domain ferritin nanoparticle vaccines using structure-based vaccine design that re-creates the structural and

antigenic profile of S. These immunogens elicit antibodies with potent S-binding activity, ACE2-blocking activity, and potent neutralization activity against the homologous virus. In all four immunogen design groups, we observe significant antibody responses targeting the RBD with ACE2-blocking activity, likely contributing to the neutralization responses. Additionally, dose-sparing immunization experiments show that significant antigen reduction can still elicit potent antibody responses, while simultaneously also showing robust levels of neutralizing antibodies against the heterologous SARS-CoV-1. This heterologous immune response is reminiscent of broad immune responses seen with ferritin-HA immunogens (Kanekiyo et al., 2013), and demonstrate how nanoparticle immunogens can enhance the quality of the humoral immune response. Vaccines that take advantage of a viral nanoparticle protein structure such as yellow fever 17D vaccine (Collins and Barrett, 2017) and human papillomavirus

virus-like particle (VLP) vaccines (Lowy and Schiller, 2006) elicit robust and long-lived immune responses. For SARS-CoV-2 vaccine development, nanoparticles performed well in mouse (Keech et al., 2020; Walls et al., 2020a) and non-human primate studies (Brouwer et al., 2021), with a designed S-ferritin nanoparticle (Powell et al., 2021) or multi-component RBD-Spycatcher-ferritin (Wang et al., 2021b) or RBD-sortase-ferritin nanoparticles (Saunders et al., 2021) also resulting in robust immunogenicity. The need for multiple protein components within a vaccine candidate may complicate production in the short term but can be leveraged for increased breadth of immune responses if tailored appropriately.

The passive transfer of vaccine-elicited purified antibody prevented weight loss and death following SARS-CoV-2 challenge in K18-hACE2 mice at neutralizing antibody levels ($ID_{50} < 1,000$) that were routinely exceeded by ten-fold or more with SpFN_1B-06-PL or RFN_131 vaccination. To focus on understanding what levels of humoral immune response could be protective, without the additional impact of vaccine-elicited cellular responses, we selected this study design, which allowed titration of antibody amounts. We also transferred very low amounts of antibody to the K18-hACE2 mice to assess for antibody-dependent enhancement, and we saw no indication of more rapid weight loss or signs of enhanced disease. The fact that antibody amounts readily elicited after a single immunization are highly protective in this challenge model, and that low antibody levels do not enhance disease, highlights the promise of these vaccine candidates. A set of companion papers also maps out the cellular immune response and highlights the protective effect of SpFN and RFN in high-dose SARS-CoV-2 challenge studies (Joyce et al., 2021; King et al., 2021). Given the rapid induction of SARS-CoV-2 immune responses after a single immunization and the high levels of protection seen in the K18-hACE2 model, SpFN_1B-06-PL has been produced under cGMP conditions and is under assessment in a phase I clinical trial (NCT04784767).

The immune responses elicited by the ferritin nanoparticles with the adjuvant ALFQ were consistently superior to that seen with the aluminum-hydroxide-based Alhydrogel adjuvant. This result is consistent with numerous studies indicating that antigen-adjuvant assessment is critical for generating optimal immune responses (Arunachalam et al., 2021; Wörzner et al., 2021). The components of the ALFQ adjuvant including QS-21 are readily available and can be scaled up for future advanced clinical trials. The COVID-19 pandemic has set many precedents with regard to vaccine development speed and novel platforms, and should foster a new age of vaccine development utilizing advanced antigens and adjuvants to train the immune system for greater protection.

Here, we utilized structure-based design to create four categories of immunogens using the ferritin nanoparticle platform. Each of these different designs and the underlying development processes provide a greater understanding and framework for ongoing and future pan-CoV vaccine design and development. The design information outlined here can be readily transferred for emerging CoV pathogens or to pan-CoV vaccine efforts. These SARS-CoV-2 nanoparticle immunogens provided immunogenicity against VoCs and the heterologous SARS-CoV-1

with implications for vaccination efforts against putative zoonotic emergences.

Limitations of the study

One limitation of this study is the lack of high-resolution structural data to visualize the stabilizing and surface mutations on the immunogens, and how this matches the designs. Further structure-based designs focused on augmenting the SpFN molecule with additional mutations could further increase the immunogenicity. Another limitation is that this study did not assess the breadth and potency of the binding or neutralizing immune response against a broad panel of sarbecoviruses. In addition, protection studies in either rodent or non-human primate models using heterologous sarbecoviruses would provide further understanding of the ability of these immunogens to prevent CoV infection.

In addition, translation of the SpFN or RFN designs to other CoVs, or optimization of the immunogen sequence to maximize cross-sarbecovirus neutralization potency and breadth, was not assessed. The immune response elicited by these immunogens shows breadth, but the specific epitopes targeted by the antibodies have not been characterized at the molecular level, which would provide a further guide to maximize epitope targeting and enable pan-CoV vaccine design.

STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

- KEY RESOURCES TABLE
- RESOURCE AVAILABILITY4
 - Lead contact
 - Materials availability
 - Data and code availability
- EXPERIMENTAL MODEL AND SUBJECT DETAILS
 - Cell lines
 - Mouse strains
- METHOD DETAILS
 - Immunogen modeling and design
 - DNA plasmid construction and preparation
 - Immunogen expression and purification
 - Negative-stain electron microscopy
 - Dynamic light scattering
 - Octet biolayer interferometry binding and ACE2 inhibition assays
 - Mouse immunization
 - Immunogen-adjuvant preparation
 - Enzyme-linked immunosorbent assay (ELISA)
 - SARS-CoV-2 and SARS-CoV-1 pseudovirus neutralization assay
 - SARS-CoV-2 authentic virus neutralization assay
 - Protection experiments in K18-hACE2 transgenic mice
- QUANTIFICATION AND STATISTICAL ANALYSIS

SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.celrep.2021.110143>.

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AUTHOR CONTRIBUTIONS

M.G.J. and K.M. designed the study. M.G.J., P.V.T., and K.M. designed the immunogens. M.G.J., W.-H.C., M.C., R.S.S., A.H., P.V.T., R.E.C., W.C.C., C.E.P., E.B.M., E.J.M., A. Ahmed, C.S., J.B.C., Y.L., A. Anderson, J.K., T.O., L.R., A.G., C.W., J.M.C., L.M.-R., C.P.K., N.G., Z.V., D.M., Z.B., J.K., S. Shrivastava, O.J., V.D., S.M., U.T., C.B.K., S. Soman, C.K., M.Z., H.K., W.W., M.A.C., D.K.D., L.W.K., T.J.L., S.E.M., J.R.C., S.J.K., S.M., V.R.P., W.W.R., N.d.V., M.S.D., G.D.G., and M. Rao performed protein purification, biophysical assays, immunologic assays, and animal studies. Z.B., M. Rao, G.R.M., and A. Anderson designed and provided the adjuvants. S.R., P.M.M., and M.T.E. provided the SR1–SR5 antibodies. M.G.J., W.-H.C., R.S.S., A.H., P.V.T., R.E.C., C.S., A. Ahmed, L.W., Z.B., W.W., W.W.R., M. Rolland, N.d.V., M.S.D., G.D.G., M. Rao, N.L.M., and K.M. analyzed and interpreted the data. M.G.J. wrote the paper with assistance from all coauthors.

DECLARATION OF INTERESTS

M.G.J. and K.M. are named as inventors on international patent application WO/2021/178971 A1 entitled “Vaccines against SARS-CoV-2 and other coronaviruses.” M.G.J. is named as an inventor on international patent application WO/2018/081318 and U.S. patent 10,960,070 entitled “Prefusion coronavirus spike proteins and their use.” Z.B. is named as an inventor on U.S. patent 10,434,167 entitled “Non-toxic adjuvant formulation comprising a monophosphoryl lipid A (MPLA)-containing liposome composition and a saponin.” Z.B. and G.R.M. are named as inventors on U.S. patent application 16/607,917 entitled “Compositions and methods for vaccine delivery.” M.S.D. is a consultant for Inbios, Vir Biotechnology, Fortress Biotech, and Carnival Corporation and on the scientific advisory boards of Moderna and Immunome. The Diamond laboratory has received funding support from sponsored research agreements from Moderna, Vir Biotechnology, Kaleido, and Emergent Bio-Solutions. S.R., P.M.M., and M.T.E. are employees of AstraZeneca and currently hold AstraZeneca stock or stock options. Z.B. is currently employed at Pfizer.

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STAR★METHODS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Anti-SARS-CoV-2 S antibody, CR3022	ter Meulen et al., 2006	N/A
Anti-SARS-CoV-2 S antibody, SR1	This manuscript	N/A
Anti-SARS-CoV-2 S antibody, SR2	This manuscript	N/A
Anti-SARS-CoV-2 S antibody, SR3	This manuscript	N/A
Anti-SARS-CoV-2 S antibody, SR4	This manuscript	N/A
Anti-SARS-CoV-2 S antibody, SR5	This manuscript	N/A
Horseradish peroxidase (HRP)-conjugated sheep anti-mouse IgG, gamma chain specific	The Binding Site	Cat# AP272
HRP-conjugated AffiniPure Goat Anti-Mouse Fc γ subclass 1	Jackson ImmunoResearch	Cat# 115-035-205
HRP-conjugated AffiniPure Goat Anti-Mouse Fc γ subclass 2a	Jackson ImmunoResearch	Cat# 115-035-206
HRP-conjugated AffiniPure Goat Anti-Mouse Fc γ subclass 2c	Jackson ImmunoResearch	Cat# 115-035-208
anti-RBD mouse mAb, 240C	BEI Resources	NR-616
Bacterial and virus strains		
Stb3 competent cells	ThermoFisher Scientific	Cat# C737303
Top10 competent cells	ThermoFisher Scientific	Cat# C404010
SARS-CoV-2/human/USA/WA-CDC-WA1/2020	CDC	GenBank: MN985325.1
Chemicals, peptides, and recombinant proteins		
3,5,3'5'-tetramethylbenzidine (TMB)	KPL	Cat# 5150-0021
hACE2 protein	This manuscript	N/A
SARS-CoV-1 RBD protein	This manuscript	N/A
SARS-CoV-2 S-2P protein	PMID: 32075877	N/A
SARS-CoV-2 S-RBD protein	PMID: 32511298	N/A
SARS-CoV-2 B.1.1.7 RBD protein	This manuscript	N/A
SARS-CoV-2 B.1.1.351 RBD protein	This manuscript	N/A
ALFQ	Matyas Lab	N/A
Imidazole	ThermoFisher Scientific	Cat# AC122020050
PBS	ThermoFisher: Scientific	Cat# 10010023
Bovine serum albumin	Sigma-Aldrich	Cat# A8327
Anti-Human IgG Fc Capture (AHC)	FortéBio	Cat# 18-5063
Biosensors		
HIS1K sensors	ForteBio	Cat# 18-5120
FreeStyle 293 Expression Medium	GIBCO	Cat# 12338002
OPTI-MEM, Reduced Serum Medium	ThermoFisher: Scientific	Cat# 11058021
Methyl- α -D mannopyranoside	Sigma Aldrich	Cat# 617-04-9
Alhydrogel	Invivogen	Cat# vac-alu-250
DPBS	ThermoFisher Scientific	Cat# 14-190-235
Glycerol	ThermoFisher Scientific	Cat# BP229-1
pCoV1B-01: S2P(1-1137)-del-4-Ferritin protein	This manuscript	N/A

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
pCoV1B-02: S2P(1-1137)-del-6-Ferritin protein	This manuscript	N/A
pCoV1B-03: S2P(1-1208)-del-Ferritin protein	This manuscript	N/A
pCoV1B-04: S2P(1-1208)-GCN4-Ferritin protein	This manuscript	N/A
pCoV1B-05: S2P(1-1154)-del-Ferritin protein	This manuscript	N/A
pCoV1B-06: S2P(1-1158)op1-del-Ferritin protein	This manuscript	N/A
pCoV1B-07: S2P(1-1158)op2-del-Ferritin protein	This manuscript	N/A
pCoV1B-08: S2P(1-1158)op1x2-del-Ferritin protein	This manuscript	N/A
pCoV1B-09: S2P(1-1158)op2x2-del-Ferritin protein	This manuscript	N/A
pCoV1B-10: S2P(1-1158)op1-fGCN4-del-Ferritin protein	This manuscript	N/A
pCoV1B-01-PL: PL-S2P(12-1137)-del-4-Ferritin protein	This manuscript	N/A
pCoV1B-02-PL: PL-S2P(12-1137)-del-6-Ferritin protein	This manuscript	N/A
pCoV1B-03-PL: PL-S2P(12-1208)-del-Ferritin protein	This manuscript	N/A
pCoV1B-04-PL: PL-S2P(12-1208)-GCN4-Ferritin protein	This manuscript	N/A
pCoV1B-05-PL: PL-S2P(12-1154)-del-Ferritin protein	This manuscript	N/A
pCoV-1B-06-PL (aka SpFN): PL-S2P(12-1158)op1-del-Ferritin protein	This manuscript	N/A
pCoV1B-07-PL: PL-S2P(12-1158)op2-del-Ferritin protein	This manuscript	N/A
pCoV1B-08-PL: PL-S2P(12-1158)op1x2-del-Ferritin protein	This manuscript	N/A
pCoV1B-09-PL: PL-S2P(12-1158)op2x2-del-Ferritin protein	This manuscript	N/A
pCoV1B-10-PL: PL-S2P(12-1158)op1-fGCN4-del-Ferritin protein	This manuscript	N/A
pCoV03: His8-3C-RBD(331-527)-Ferritin protein	This manuscript	N/A
pCoV29: His8-3C-RBD-3-Ferritin protein	This manuscript	N/A
pCoV30: His8-3C-RBD-3-del-Ferritin protein	This manuscript	N/A
pCoV31: His8-3C-RBD-6-del-Ferritin protein	This manuscript	N/A
pCoV1A-01: His8-3C-RBD-PPII-Ferritin protein	This manuscript	N/A
pCoV1A-02: His8-3C-RBD-alpha1-Ferritin protein	This manuscript	N/A
pCoV1A-03: His8-3C-RBD-alpha2-Ferritin protein	This manuscript	N/A
pCoV1A-04: His8-3C-RBD-GCN4-del-Ferritin protein	This manuscript	N/A

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Continued

REAGENT or RESOURCE	SOURCE	IDENTIFIER
pCoV1A-05: His8-3C-RBD-1141_1158op1-del-Ferritin protein	This manuscript	N/A
pCoV1A-06: His8-3C-RBD-1141_1158op1x2-del-Ferritin protein	This manuscript	N/A
pCoV49: His8-3C-RBD-F456N/K458T-Ferritin protein	This manuscript	N/A
pCoV50: His8-3C-RBD-L455R/Y449K/F490R-Ferritin protein	This manuscript	N/A
pCoV51: His8-3C-RBD-L455R-Ferritin protein	This manuscript	N/A
pCoV52: His8-3C-RBD-I468R-Ferritin protein	This manuscript	N/A
pCoV53: His8-3C-RBD-Y453R-Ferritin protein	This manuscript	N/A
pCoV54: His8-3C-RBD-L452R-Ferritin protein	This manuscript	N/A
pCoV55: His8-3C-RBD-L492R-Ferritin protein	This manuscript	N/A
pCoV56: His8-3C-RBD-F490R-Ferritin protein	This manuscript	N/A
pCoV57: His8-3C-RBD-F490A-Ferritin protein	This manuscript	N/A
pCoV58: His8-3C-RBD-L517N/L518K/H519S-Ferritin protein	This manuscript	N/A
pCoV59: His8-3C-RBD-L518R-Ferritin protein	This manuscript	N/A
pCoV60: His8-3C-RBD-V367T/L335N-Ferritin protein	This manuscript	N/A
pCoV61: His8-3C-RBD-T385N/L387T-Ferritin protein	This manuscript	N/A
pCoV62: His8-3C-RBD-V382R-Ferritin protein	This manuscript	N/A
pCoV63: His8-3C-RBD-F377R-Ferritin protein	This manuscript	N/A
pCoV127: His8-3C-RBD-F490A/L517N/L518K/H519S-Ferritin protein	This manuscript	N/A
pCoV128: His8-3C-RBD-F490A/L518R-Ferritin protein	This manuscript	N/A
pCoV129: His8-3C-RBD-L455R/Y449K/F490R/L518N/L519K/H520S-Ferritin protein	This manuscript	N/A
pCoV130: His8-3C-RBD-L455R/Y449K/F490R/L518R-Ferritin protein	This manuscript	N/A
pCoV131 (aka RFN): His8-3C-RBD-Y453R/L517N/L518K/H519S-Ferritin protein	This manuscript	N/A
pCoV132: His8-3C-RBD-Y453R/L518R-Ferritin protein	This manuscript	N/A
pCoV122: His8-3C-RBD(331-527)-GSGGSG-NTD(12-303)-Ferritin protein	This manuscript	N/A
pCoV123: His8-3C-RBD-F490R-NTD-Ferritin protein	This manuscript	N/A
pCoV124: His8-3C-RBD-F490A-NTD-Ferritin protein	This manuscript	N/A

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
pCoV125: His8-3C-RBD-L517N/L518K/H519S-NTD-Ferritin protein	This manuscript	N/A
pCoV126: His8-3C-RBD-L518R-NTD-Ferritin protein	This manuscript	N/A
pCoV146: His8-3C-RBD-Y453R-L517N/L518K/H519S-NTD-Ferritin protein	This manuscript	N/A
pCoV147: His8-3C-RBD-F490A-L517N/L518K/H519S-NTD-Ferritin protein	This manuscript	N/A
pCoV68: S1(12-678)-Ferritin protein	This manuscript	N/A
pCoV107: S1(12-655)-Ferritin protein	This manuscript	N/A
pCoV108: S1(12-655)-L611N/Q613T-Ferritin protein	This manuscript	N/A
pCoV109: S1(12-696)-Ferritin protein	This manuscript	N/A
pCoV110: S1(12-676)-G-S2(689-696)-Ferritin protein	This manuscript	N/A
pCoV111: S1(12-676)-GG-S2(689-696)-Ferritin protein	This manuscript	N/A
pCoV112: S1(12-676)-PG-S2(689-696)-Ferritin protein	This manuscript	N/A
pCoV113: S1-Y312N/Q313Y/T314T-Ferritin protein	This manuscript	N/A
pCoV114: S1-I651N/A653S-Ferritin protein	This manuscript	N/A
pCoV115: S1-S316C/V595C-Ferritin protein	This manuscript	N/A
pCoV116: S1-V320C/S591C-Ferritin protein	This manuscript	N/A
pCoV117: S1-L560Q/F562H-Ferritin protein	This manuscript	N/A
pCoV118: S1-F562N/Q564T-Ferritin protein	This manuscript	N/A
pCoV119: S1-F490R-Ferritin protein	This manuscript	N/A
pCoV120: S1-F490A-Ferritin protein	This manuscript	N/A
pCoV02: S1(16-678)-Ferritin protein	This manuscript	N/A
pCoV67: His8-3C-S1-Ferritin protein	This manuscript	N/A

Critical commercial assays

Bright-Glo Luciferase Assay System	Promega	Cat# E2610
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Deposited data

pCoV111, a Ferritin-based Nanoparticle Vaccine Candidate Displaying the SARS-CoV-2 Spike S1 Subunit	This manuscript	EMDB ID: EMD-25451
Negative-stain EM reconstruction of SpFN_1B-06-PL, a SARS-CoV-2 spike fused to: H.pylori ferritin nanoparticle vaccine candidate	This manuscript	EMDB ID: EMD-25448
RFN_131, a Ferritin-based Nanoparticle Vaccine Candidate Displaying the SARS-CoV-2: Receptor-Binding Domain	This manuscript	EMDB ID: EMD-25449
pCoV146, a Ferritin-based Nanoparticle Vaccine Candidate Displaying the SARS-CoV-2: Spike Receptor-Binding and N-Terminal Domains	This manuscript	EMDB ID: EMD-25450

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
Continued		
Experimental models: Cell lines		
Freestyle 293F cells	ThermoFisher Scientific	Cat# R7007
Expi293F cells	ThermoFisher Scientific	Cat# A14635
Vero E6 cells	PMID: 14569023	N/A
Vero 81 cells	ATCC	Cat# CCL-81
HEK293T/17 cells	ATCC	Cat# CRL-11268
hACE2-expressing HEK293cells	Integral Molecular	N/A
Experimental models: Organisms/strains		
<i>Mus musculus</i> , strain BALB/cJ	Jackson Laboratories	JAX stock #000651
<i>Mus musculus</i> , strain C57BL/6J	Jackson Laboratories	JAX stock #000664
<i>Mus musculus</i> , strain B6.Cg-Tg(K18-ACE2) 2PrImn/J	Jackson Laboratories	JAX stock #034860
Recombinant DNA		
pCMVR hACE2	This manuscript	N/A
pCMVR SARS-CoV-1 RBD	This manuscript	N/A
pCMVR SARS-CoV-2 S-2P	PMID: 32075877	N/A
pCMVR SARS-CoV-2 S-RBD	PMID: 32511298	N/A
pCMVR SARS-CoV-2 B.1.1.7 RBD	This manuscript	N/A
pCMVR SARS-CoV-2 B.1.1.351 RBD	This manuscript	N/A
pCMVR pCoV1B-06: S2P(1-1158)op1-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-07: S2P(1-1158)op2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-08: S2P(1-1158)op1x2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-09: S2P(1-1158)op2x2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-10: S2P(1-1158)op1-fGCN4-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-01-PL: PL-S2P(12-1137)-del-4-Ferritin	This manuscript	N/A
pCMVR pCoV1B-02-PL: PL-S2P(12-1137)-del-6-Ferritin	This manuscript	N/A
pCMVR pCoV1B-03-PL: PL-S2P(12-1208)-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-04-PL: PL-S2P(12-1208)-GCN4-Ferritin	This manuscript	N/A
pCMVR pCoV1B-05-PL: PL-S2P(12-1154)-del-Ferritin	This manuscript	N/A
pCMVR pCoV-1B-06-PL (aka SpFN): PL-S2P(12-1158)op1-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-07-PL: PL-S2P(12-1158)op2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-08-PL: PL-S2P(12-1158)op1x2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-09-PL: PL-S2P(12-1158)op2x2-del-Ferritin	This manuscript	N/A
pCMVR pCoV1B-10-PL: PL-S2P(12-1158)op1-fGCN4-del-Ferritin	This manuscript	N/A
pCMVR pCoV03: His8-3C-RBD(331-527)-Ferritin	This manuscript	N/A

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
pCMVR pCoV29: His8-3C-RBD-3-Ferritin	This manuscript	N/A
pCMVR pCoV30: His8-3C-RBD-3-del-Ferritin	This manuscript	N/A
pCMVR pCoV31: His8-3C-RBD-6-del-Ferritin	This manuscript	N/A
pCMVR pCoV1A-01: His8-3C-RBD-PPII-Ferritin	This manuscript	N/A
pCMVR pCoV1A-02: His8-3C-RBD-alpha1-Ferritin	This manuscript	N/A
pCMVR pCoV1A-03: His8-3C-RBD-alpha2-Ferritin	This manuscript	N/A
pCMVR pCoV1A-04: His8-3C-RBD-GCN4-del-Ferritin	This manuscript	N/A
pCMVR pCoV1A-05: His8-3C-RBD-1141_1158op1-del-Ferritin	This manuscript	N/A
pCMVR pCoV1A-06: His8-3C-RBD-1141_1158op1x2-del-Ferritin	This manuscript	N/A
pCMVR pCoV49: His8-3C-RBD-F456N/K458T-Ferritin	This manuscript	N/A
pCMVR pCoV50: His8-3C-RBD-L455R/Y449K/F490R-Ferritin	This manuscript	N/A
pCMVR pCoV51: His8-3C-RBD-L455R-Ferritin	This manuscript	N/A
pCMVR pCoV52: His8-3C-RBD-I468R-Ferritin	This manuscript	N/A
pCMVR pCoV53: His8-3C-RBD-Y453R-Ferritin	This manuscript	N/A
pCMVR pCoV54: His8-3C-RBD-L452R-Ferritin	This manuscript	N/A
pCMVR pCoV55: His8-3C-RBD-L492R-Ferritin	This manuscript	N/A
pCMVR pCoV56: His8-3C-RBD-F490R-Ferritin	This manuscript	N/A
pCMVR pCoV57: His8-3C-RBD-F490A-Ferritin	This manuscript	N/A
pCMVR pCoV58: His8-3C-RBD-L517N/L518K/H519S-Ferritin	This manuscript	N/A
pCMVR pCoV59: His8-3C-RBD-L518R-Ferritin	This manuscript	N/A
pCMVR pCoV60: His8-3C-RBD-V367T/L335N-Ferritin	This manuscript	N/A
pCMVR pCoV61: His8-3C-RBD-T385N/L387T-Ferritin	This manuscript	N/A
pCMVR pCoV62: His8-3C-RBD-V382R-Ferritin	This manuscript	N/A
pCMVR pCoV63: His8-3C-RBD-F377R-Ferritin	This manuscript	N/A
pCMVR pCoV127: His8-3C-RBD-F490A/L517N/L518K/H519S-Ferritin	This manuscript	N/A
pCMVR pCoV128: His8-3C-RBD-F490A/L518R-Ferritin	This manuscript	N/A
pCMVR pCoV129: His8-3C-RBD-L455R/Y449K/F490R/L518N/L519K/H520S-Ferritin	This manuscript	N/A

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<i>Continued</i>		
REAGENT or RESOURCE	SOURCE	IDENTIFIER
pCMVR pCoV130: His8-3C-RBD-L455R/Y449K/F490R/L518R-Ferritin	This manuscript	N/A
pCMVR pCoV131 (aka RFN): His8-3C-RBD-Y453R/L517N/L518K/H519S-Ferritin	This manuscript	N/A
pCMVR pCoV132: His8-3C-RBD-Y453R/L518R-Ferritin	This manuscript	N/A
pCMVR pCoV122: His8-3C-RBD(331-527)-GSGGSG-NTD(12-303)-Ferritin	This manuscript	N/A
pCMVR pCoV123: His8-3C-RBD-F490R-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV124: His8-3C-RBD-F490A-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV125: His8-3C-RBD-L517N/L518K/H519S-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV126: His8-3C-RBD-L518R-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV146: His8-3C-RBD-Y453R-L517N/L518K/H519S-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV147: His8-3C-RBD-F490A-L517N/L518K/H519S-NTD-Ferritin	This manuscript	N/A
pCMVR pCoV68: S1(12-678)-Ferritin	This manuscript	N/A
pCMVR pCoV107: S1(12-655)-Ferritin	This manuscript	N/A
pCMVR pCoV108: S1(12-655)-L611N/Q613T-Ferritin	This manuscript	N/A
pCMVR pCoV109: S1(12-696)-Ferritin	This manuscript	N/A
pCMVR pCoV110: S1(12-676)-G-S2(689-696)-Ferritin	This manuscript	N/A
pCMVR pCoV111: S1(12-676)-GG-S2(689-696)-Ferritin	This manuscript	N/A
pCMVR pCoV112: S1(12-676)-PG-S2(689-696)-Ferritin	This manuscript	N/A
pCMVR pCoV113: S1-Y312N/Q313Y/T314T-Ferritin	This manuscript	N/A
pCMVR pCoV114: S1-I651N/A653S-Ferritin	This manuscript	N/A
pCMVR pCoV115: S1-S316C/V595C-Ferritin	This manuscript	N/A
pCMVR pCoV116: S1-V320C/S591C-Ferritin	This manuscript	N/A
pCMVR pCoV117: S1-L560Q/F562H-Ferritin	This manuscript	N/A
pCMVR pCoV118: S1-F562N/Q564T-Ferritin	This manuscript	N/A
pCMVR pCoV119: S1-F490R-Ferritin	This manuscript	N/A
pCMVR pCoV120: S1-F490A-Ferritin	This manuscript	N/A
pCMVR pCoV02: S1(16-678)-Ferritin	This manuscript	N/A
pCMVR pCoV67: His8-3C-S1-Ferritin	This manuscript	N/A
Software and algorithms		
Protein Repair One-Stop Shop (PROSS) server	PMID: 27425410	https://pross.weizmann.ac.il/step/pross-terms/
Octet Data Analysis software	FortéBio	v11.1
GraphPad Prism	Motulsky and Brown, 2006	V8.0

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REAGENT or RESOURCE	SOURCE	IDENTIFIER
CTFFIND	Rohou and Grigorieff, 2015	https://grigoriefflab.umassmed.edu/ctf_estimation_ctffind_ctftilt
SerialEM	Mastronarde, 2005	https://bio3d.colorado.edu/SerialEM/
RELION	Scheres, 2012	https://www2.mrc-lmb.cam.ac.uk/relion
PyMol	Schrödinger	V2.3.2
COOT	Emsley and Cowtan, 2004	http://bernhardcl.github.io/coot/
SnapGene	Insightful Science	https://www.snapgene.com/
UCSF Chimera	Pettersen et al., 2004	https://www.cgl.ucsf.edu/chimera/
ChimeraX	Goddard et al., 2018	https://www.rbvi.ucsf.edu/chimerax/
cisTEM	Grant et al., 2018	https://cistem.org/
cryoSPARC	Punjani et al., 2017	v2.15.0
Other		
Strep-Tactin Superflow resin	IBA Lifesciences	Cat# 2-1206-010
Pierce Protein A Agarose	ThermoFisher Scientific	Cat# 20334
Superdex 200 increase 10/300 GL	Cytiva	Cat# 28990944
Galanthus Nivalis Lectin (GNL), Agarose bound	Vector Labs	Cat# AL-1243-5
NiNTA Resin	Thermo Fisher Scientific	Cat# 88221
Carbon Film 200 Mesh, Copper	Electron Microscopy Sciences	Cat# CF200-CU

RESOURCE AVAILABILITY⁴

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, M. Gordon Joyce (gjoyce@eidresearch.org).

Materials availability

All reagents will be made available on request after completion of a Materials Transfer Agreement.

Data and code availability

- All data supporting the findings of this study are found within the paper and its [supplemental information](#). Negative-stain EM maps for pCOV111, SpFN_1B-06-PL, RFN_131, and pCoV146 have been deposited in the EM database under codes 25451, 25448, 25449, and 25450 respectively.
- This paper does not report original code.
- Any additional information required to reanalyze the data reported in this paper is available from the Lead Contact author upon request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Cell lines

Expi293F cells (Thermo Fisher Scientific) were maintained in Expi293 expression medium (Thermo Fisher Scientific) in a 37°C shaker supplied with 8% CO₂ and 80% humidity. Vero81, and Vero E6 cells were incubated in DMEM (Thermo Fisher Scientific) at 37°C and 5% CO₂.

Mouse strains

Female BALB/c mice, C57BL/6, and hACE2 K18 Tg mice aged 6- to 8-weeks were obtained from Jackson Laboratory (Bar Harbor, ME). All mouse experiments were conducted in compliance with the Animal Welfare Act, and other federal statutes and regulations relating to animals and experiments involving animals and adhered to the principles stated in the Guide for the Care and Use of Laboratory Animals, NRC Publication, 1996 edition. The immunization protocol was approved by the Institutional Animal Care and Use Committee of WRAIR. BALB/c and C57BL/6 mice were housed in the animal facility of WRAIR and cared

for in accordance with local, state, federal, and institutional policies in a National Institutes of Health American Association for Accreditation of Laboratory Animal Care-accredited facility.

All infection experiments were done in animal biosafety level 3 (BSL-3) facilities at the Trudeau Institute and approved by the Institutional Animal Care and Use Committee of the Trudeau Institute.

METHOD DETAILS

Immunogen modeling and design

Following release of the SARS-CoV-2 sequence on Jan 10th 2020, initial RBD-Ferritin and S1-Ferritin immunogens were designed (Table S1). Subsequent iterative immunogen design and optimization utilized atomic models of the SARS-CoV-2 RBD molecule (Joyce et al., 2020), or the SARS-CoV-2 S-2P trimer structure PDB: 6VXX, and PDB: 3BVE for the *Helicobacter pylori* Ferritin, and PDB: 4LQH for the bullfrog linker sequence. Pymol (Schrödinger) was used to generate the ferritin 24- subunit particle, and a map created in UCSF Chimera (Pettersen et al., 2004) was supplied to cisTEM (Grant et al., 2018) “align_symmetry” to align the ferritin particle to an octahedral symmetry convention. This was supplied to “phenix.map_symmetry” to generate a symmetry file and PDB file, for octahedral (for monomer-fusions) and D4 (for trimer-fusions) symmetry. S-domain ferritin nanoparticle fusions were modeled using Pymol and Coot (Emsley et al., 2010) and expanded using “phenix.apply_ncs” (Liebschner et al., 2019). Visual analysis and figure generation was conducted using ChimeraX and PyMOL.

RBD-Ferritin designs were generated by assessment of the hydrophobic surface of the SARS-CoV-2 RBD surface and determining surface accessible mutations that reduced the hydrophobic surface. S1-Ferritin designs were creating using the PDB: 6VXX and including a short region of the S2 domain, which interacts with S1. Spike-Ferritin designs were created by modeling the coiled coil region between S residues 1140 and 1158 and increasing the coil-coil interaction either by mutagenesis, or by increasing the length of the interaction region. RBD-NTD-Ferritin designs utilized RBD constructs with improved properties in the context of RBD-Ferritin, which were fused to the N terminus of NTD (12 - 303)-Ferritin by a short 6 amino-acid linker.

DNA plasmid construction and preparation

SARS-CoV-2 S-domain ferritin constructs were derived from the Wuhan-Hu-1 strain genome sequence (GenBank: MN9089473), to include the following domains: RBD subunit (residues 331 - 527), NTD subunit (residues 12 - 303), RBD subunit linked to NTD subunit (residues 331 - 527 linked to residues 12 - 303 with a short GSG linker), S1 domain (residues 12 - 696) and S ectodomain (residues 12 - 1158). Constructs were modified to incorporate a N-terminal hexa-histidine tag (his) for purification of the RBD-Ferritin and RBD-NTD-Ferritin constructs.

An S-2P construct was used as a template to generate the set of Spike ferritin nanoparticles. Subsequent designs involving small deletions, additions and point mutations were generated using a modified QuikChange site-directed mutagenesis protocol (Agilent). RBD-ferritin and S1-ferritin constructs were synthesized by GenScript. For some of the RBD-ferritin constructs, gene segments (gBlocks) were synthesized by Integrated DNA Technologies to encode various linker regions between RBD and ferritin. Gene segments were stitched together with RBD- and ferritin-encoding PCR products using overlap extension PCR and were re-subcloned into the CMVR vector. The His-tagged SARS-CoV-2 RBD molecule was generated by amplifying the RBD domain from the RBD-Ferritin plasmid while encoding the 3' purification tag and subcloned into the CMVR vector. The NTD protein subunit was generated in a similar manner, by amplifying the NTD domain from the S1-Ferritin construct. For expression of S, RBD, and NTD proteins, the S protein domains were cloned into the CMVR expression plasmid using the NotI/BamHI restriction sites. Constructs including the N-terminal region of the S protein included the native leader sequence; for constructs not including this region we utilized a prolactin leader (PL) sequence (Boyington et al., 2016).

Plasmid DNA generated by subcloning (restriction digest and ligation) was amplified in and isolated from *E. coli* Top10 cells. The constructs resulting from site-directed mutagenesis were either amplified in and isolated from *E. coli* Stbl3 or Top10 cells. Large-scale DNA isolation was performed using either endo free Maxiprep, Megaprep or Gigaprep kits (QIAGEN).

Immunogen expression and purification

All expression vectors were transiently transfected into Expi293F cells (Thermo Fisher Scientific) using ExpiFectamine 293 transfection reagent (Thermo Fisher Scientific). Cells were grown in polycarbonate baffled shaker flasks at 34°C or 37°C and 8% CO₂ at 120 rpm. Cells were harvested 5-6 days post-transfection via centrifugation at 3,500 x g for 30 minutes. Culture supernatants were filtered with a 0.22- μ m filter and stored at 4°C prior to purification.

His-tagged proteins were purified using Ni-NTA affinity chromatography, while untagged proteins were purified with GNA lectin affinity chromatography. Briefly, 25 mL GNA-lectin resin (VectorLabs) was used to purify untagged protein from 1L of expression supernatant. GNA resin was equilibrated with 10 column volumes (CV) of phosphate buffered saline (PBS) (pH 7.4) followed by supernatant loading twice at 4°C. Unbound protein was removed by washing with 20 CV of PBS buffer. Bound protein was eluted with 250mM methyl- α -D mannopyranoside in PBS buffer (pH 7.4). 1 mL Ni-NTA resin (Thermo Scientific) was used to purify protein from 1L of expression supernatant. Ni-NTA resin was equilibrated with 5 CV of phosphate buffered saline (PBS) (pH 7.4) followed by supernatant loading 2x at 4°C. Unbound protein was removed by washing with 200 CV of PBS, followed by 50 CV 10mM imidazole in PBS. Bound protein was eluted with 220mM imidazole in PBS. For all proteins, purification purity was assessed by SDS-PAGE.

RBD-Ferritin nanoparticle constructs had a propensity to form soluble or insoluble aggregates which affected the ability to concentrate the samples. Addition of 1mM EDTA and 5% glycerol to the NiNTA purified material, prior to SEC or other concentration steps, mitigated the aggregation issue, and increased the nanoparticle formation as judged by SEC, and confirmed by neg-EM. All proteins were further purified by size-exclusion chromatography using a 16/60 Superdex-200 purification column. Purification purity for all the proteins was assessed by SDS-PAGE. Removal of the His-tags for SARS-CoV-2 S-2P and RBD for use in ELISA were carried out using HRV-3C protease. Endotoxin levels for ferritin nanoparticle immunogens were assessed (Endosafe® nexgen-PTS, Charles River Laboratories) and 5% v/v glycerol was added prior to filter-sterilization with a 0.22- μ m filter, flash-freezing in liquid nitrogen, and storage at -80°C . Ferritin nanoparticle formation was assessed by dynamic light scattering (DLS) by determining the hydrodynamic diameter at 25°C using a Malvern Zetasizer Nano S (Malvern, Worcestershire, UK) equipped with a 633-nm laser.

For the antibodies, plasmids encoding heavy and light chains of antibodies (CR3022, and SR1-SR5) were co-transfected into Expi293F cells (ThermoFisher) according to the manufacturer's instructions for expression of antibodies. After 5 days, antibodies were purified from cleared culture supernatants with Protein A agarose (ThermoFisher) using standard procedures, buffer was exchanged to PBS by dialysis, and antibody concentration was quantified using calculated extinction coefficient and A280 measurements.

Negative-stain electron microscopy

Purified proteins were deposited at 0.02-0.08 mg/ml on carbon-coated copper grids and stained with 0.75% uranyl formate. Grids were imaged using a FEI T20 operating at 200 kV with an Eagle 4K CCD using SerialEM or using a Thermo Scientific Talos L120C operating at 120 kV with Thermo Scientific Ceta using EPU. All image processing steps were done using RELION 3.0.8, RELION 3.1.1, and/or cisTEM-1.0.0-beta. Particles were picked either manually or using templates generated from manually picked 2D class averages. CTF estimation was done with CTFFIND 4.1.13 and used for 2D classification. 3D reconstructions were generated using an initial reference generated from a corresponding synthetic atomic model with a low pass filter of 80-100 Å to remove distinguishable features or from a similar construct also low pass filtered to 80-100 Å. For all 3D reconstructions, C₂ symmetry was enforced, and no explicit mask was used. Visual analysis and figure generation was conducted using UCSF Chimera and ChimeraX.

Dynamic light scattering

Spike-domain ferritin nanoparticle hydrodynamic diameter was determined at 25°C using a Malvern Zetasizer Nano S (Malvern, Worcestershire, UK) equipped with a 633-nm laser. Samples were assessed accounting for the viscosity of their respective buffers.

Octet biolayer interferometry binding and ACE2 inhibition assays

All biosensors were hydrated in PBS prior to use. All assay steps were performed at 30°C with agitation set at 1,000crpm in the Octet RED96 instrument (FortéBio). Biosensors were equilibrated in assay buffer (PBS) for 15 s before loading of IgG antibodies (30 μ g/ml diluted in PBS). SARS-COV-2 antibodies were immobilized onto AHC biosensors (FortéBio) for 100 s, followed by a brief baseline in assay buffer for 15 s. Immobilized antibodies were then dipped in various antigens for 100-200 s followed by dissociation for 20-100 s.

Mouse sera binding to the SARS-CoV-2 RBD, VoC RBDs, or SARS-CoV-1 RBD were carried out as follows. HIS1K biosensors (FortéBio) were equilibrated in assay buffer for 15 s before loading of His-tagged RBD (30 μ g/ml diluted in PBS) for 120 s. After briefly dipping in assay buffer (15 s in PBS), the biosensors were dipped in mouse sera (100-fold dilution) for 180 s followed by dissociation for 60 s.

SARS-CoV-2 and SARS-CoV-1 RBD hACE2 inhibition assays were carried out as follows. SARS-CoV-2 or SARS-CoV-1 RBD (30 μ g/ml diluted in PBS) was immobilized on HIS1K biosensors (FortéBio) for 180 s followed by baseline equilibration for 30 s. Serum binding was allowed to occur for 180 s followed by baseline equilibration (30 s). ACE2 protein (30 μ g/ml) was allowed to bind for 120 s. Percent inhibition (PI) of RBD binding to ACE2 by serum was determined using the equation: $\text{PI} = 100 - [(\text{ACE2 binding in the presence of mouse serum}) / (\text{ACE2 binding in the absence of mouse serum})] \times 100$.

Mouse immunization

All research in this study involving animals was conducted in compliance with the Animal Welfare Act, and other federal statutes and regulations relating to animals and experiments involving animals and adhered to the principles stated in the Guide for the Care and Use of Laboratory Animals, NRC Publication, 1996 edition. The research protocol was approved by the Institutional Animal Care and Use Committee of WRAIR. BALB/c and C57BL/6 mice were obtained from Jackson Laboratories (Bar Harbor, ME). Mice were housed in the animal facility of WRAIR and cared for in accordance with local, state, federal, and institutional policies in a National Institutes of Health American Association for Accreditation of Laboratory Animal Care-accredited facility.

C57BL/6 or BALB/c mice ($n = 10$ /group) were immunized intramuscularly with 10 μ g of immunogen (unless stated) adjuvanted with either ALFQ or Alhydrogel® in alternating caudal thigh muscles three times, at 3-week intervals; blood was collected 2 weeks before the first immunization, the day of the first immunization, and 2 weeks following each immunization, and at week 10 (Table S1). For immunogen SpFN_1B-06-PL, mice were immunized with reduced doses of protein adjuvanted with ALFQ with immunization schedule, site of injections, and timing of bleeds as described. Mice were randomly assigned to experimental groups and were not pre-screened or selected based on size or other gross physical characteristics. Serum was stored at 4°C or -80°C until analysis.

Antibody responses were analyzed by Octet Biolayer Interferometry, enzyme-linked immunosorbent assay (ELISA), pseudovirus neutralization assay, and live-virus neutralization assay.

Immunogen-adjutant preparation

Purified research grade nanoparticle immunogens were formulated in PBS with 5% glycerol at 1 mg/ml and subsequently diluted with dPBS (Quality Biological) to provide 10 μ g or lower amount per 50 μ L dose upon mixing with adjuvant. ALFQ (1.5X) liposomes, containing 600 μ g/mL 3D-PHAD and 300 μ g ug/mL QS-21, were gently mixed by slow speed vortex prior to use. Antigen was added to the ALFQ, vortexed at a slow speed for 1 minute, mixed on a roller for 15 minutes, and stored at 4°C for 1 h prior to immunization. Nanoparticle immunogens were formulated with ALFQ to contain 20 μ g 3D-PHAD and 10 μ g QS21 per 50 μ L dose. Alhydrogel® stock (10 mg/ml aluminum (GMP grade; Brenntag)) was diluted to 900 μ g/mL (1.5X) with DPBS and gently mixed. Appropriate volume and concentration of antigen was added to the diluted Alhydrogel® before being vortexed at low speed for 1 min, mixed on a roller for 15 minutes, and stored at 4°C for at least 1 h prior to immunization. Nanoparticle immunogens were adsorbed to Alhydrogel® aluminum hydroxide at 30 μ g aluminum per 50 μ L dose.

Enzyme-linked immunosorbent assay (ELISA)

96-well Immulon “U” Bottom plates were coated with 1 μ g/mL of RBD or S-2P antigen in Dulbecco’s PBS, pH 7.4. Plates were incubated at 4°C overnight and blocked with blocking buffer (PBS containing 0.5% milk and 0.1% Tween 20, pH 7.4), at room temperature (RT) for 2 h. Individual serum samples were serially diluted 2-fold in blocking buffer and added to triplicate wells and the plates were incubated for 1 hour (h) at RT. Horseradish peroxidase (HRP)-conjugated sheep anti-mouse IgG, gamma chain specific (The Binding Site) was added and incubated at RT for an hour, followed by the addition of 2,2’-Azinobis [3-ethylbenzothiazoline-6-sulfonic acid]-diammonium salt (ABTS) HRP substrate (KPL) for 1 h at RT. The reaction was stopped by the addition of 1% SDS per well and the absorbance (A) was measured at 405 nm (A405) using an ELISA reader Spectramax (Molecular Devices, San Jose, CA) within 30 min of stopping the reaction. Antibody positive (anti-RBD mouse mAb; BEI resources) and negative controls were included on each plate. The results are expressed as end point titers, defined as the reciprocal dilution that gives an absorbance value that equals twice the background value (antigen-coated wells that did not contain the test sera, but had all other components added).

Mouse isotype ELISA were performed using a similar approach as above, but with the following differences. Only S-2P was used to coat the wells, the plates were blocked with PBS containing 0.2% bovine serum albumin (BSA), pH 7.4 for 30 minutes. The mouse serum samples were serially diluted in duplicates either 3- or 4-fold in PBS containing 0.2% BSA and 0.05% Tween 20, pH7.4. The secondary antibodies were HRP-conjugated AffiniPure Goat Anti-Mouse antibodies from Jackson ImmunoResearch specific for either Fc γ subclass 1, Fc γ subclass 2a, or Fc γ subclass 2c. The secondary antibodies were incubated for 30 minutes. TMB (3,3’,5,5’-Tetramethylbenzidine) substrate (Thermo) was added and the plates were incubated at RT for 5-10 minutes to allow color development. Stop solution (Thermo) was added and the A405 was measured using a VersaMax microplate reader (Molecular Devices). A titration curve of serum concentration versus A450 was created. The titration curves were interpolated to determine the dilution factor where A450 = 1.0 for each mouse sera sample and IgG subclass, and the resulting values were used to calculate the IgG2a/IgG1 ratio (for BALB/c mice) or IgG2c/IgG1 ratio (for C57BL/6 mice). In the animal groups immunized with SpFN_1B-06-PL with the adjuvant Alhydrogel®, many of the mouse IgG usage ratio could not be calculated due to insufficient signal for either IgG2a or IgG2c in the mouse sera, particularly in the week 2 samples.

SARS-CoV-2 and SARS-CoV-1 pseudovirus neutralization assay

The S expression plasmid sequences for SARS-CoV-2 (Wuhan1, B.1.1.7, and B.1.351) and SARS-CoV-1 were codon optimized and modified to remove an 18 amino acid endoplasmic reticulum retention signal in the cytoplasmic tail in the case of SARS-CoV-2, and a 28 amino acid deletion in the cytoplasmic tail in the case of SARS-CoV. This allowed increased S incorporation into pseudovirions (PSV) and thereby improve infectivity. Virions pseudotyped with the vesicular stomatitis virus (VSV) G protein were used as a non-specific control. SARS-CoV-2 pseudovirions (PSV) were produced by co-transfection of HEK293T/17 cells with a SARS-CoV-2 S plasmid (pcDNA3.4) and an HIV-1 NL4-3 luciferase reporter plasmid (The reagent was obtained through the NIH HIV Reagent Program, Division of AIDS, NIAID, NIH: Human Immunodeficiency Virus 1 (HIV-1) NL4-3 Δ Env Vpr Luciferase Reporter Vector (pNL4-3.Luc.R-E-), ARP-3418, contributed by Dr. Nathaniel Landau and Aaron Diamond). The SARS-CoV-2 S expression plasmid sequence was derived from the Wuhan seafood market pneumonia virus isolate Wuhan-Hu-1, complete genome (GenBank: MN908947), and the SARS-CoV-1 expression plasmid was derived from the Urbani S sequence.

Infectivity and neutralization titers were determined using ACE2-expressing HEK293 target cells (Integral Molecular) in a semi-automated assay format using robotic liquid handling (Biomek NXp Beckman Coulter). Test sera were diluted 1:40 in growth medium and serially diluted, then 25 μ L/well was added to a white 96-well plate. An equal volume of diluted SARS-CoV-2 PSV was added to each well and plates were incubated for 1 hour at 37°C. Target cells were added to each well (40,000 cells/ well) and plates were incubated for an additional 48 hours. RLU were measured with the EnVision Multimode Plate Reader (Perkin Elmer, Waltham, MA) using the Bright-Glo Luciferase Assay System (Promega Corporation, Madison, WI). Neutralization dose-response curves were fitted by nonlinear regression with a five-parameter curve fit using the LabKey Server® (Piehler et al., 2011), and the final titers are reported as the reciprocal of the dilution of serum necessary to achieve 50% neutralization (ID50, 50% inhibitory dilution) and 80% neutralization (ID80, 80% inhibitory dilution). Assay equivalency for SARS-CoV-2 was established by participation in the

SARS-CoV-2 Neutralizing Assay Concordance Survey (SNACS) run by the Virology Quality Assurance Program and External Quality Assurance Program Oversight Laboratory (EQAPOL) at the Duke Human Vaccine Institute, sponsored through programs supported by the National Institute of Allergy and Infectious Diseases, Division of AIDS.

SARS-CoV-2 authentic virus neutralization assay

The neutralization assay has been described in detail previously (Case et al., 2020). Briefly, SARS-CoV-2 strain 2019-nCoV/USA_WA1/2020 was obtained from the Centers for Disease Control and Prevention. Virus was passaged once in Vero CCL81 cells (ATCC) and titrated by focus-forming assay on Vero E6 cells. Mouse sera were serially diluted and incubated with 100 focus-forming units of SARS-CoV-2 for 1 h at 37°C. Serum-virus mixtures were then added to Vero E6 cells in 96-well plates and incubated for 1 h at 37°C. Cells were overlaid with 1% (w/v) methylcellulose in MEM. After 30 h, cells were fixed with 4% PFA in PBS for 20 minutes at room temperature then washed and stained overnight at 4°C with 1 μg/ml of CR3022 (ter Meulen et al., 2006; Tian et al., 2020) in PBS supplemented with 0.1% saponin and 0.1% bovine serum albumin. Cells were subsequently stained with HRP-conjugated goat anti-human IgG for 2 h at room temperature. SARS-CoV-2-infected cell foci were visualized with TrueBlue peroxidase substrate (KPL) and quantified using ImmunoSpot® microanalyzer (Cellular Technologies, Shaker Heights, OH). Neutralization curves were generated using Prism software (GraphPad Prism 8.0).

Protection experiments in K18-hACE2 transgenic mice

All research in this study involving animals was conducted in compliance with the Animal Welfare Act, and other federal statutes and regulations relating to animals and experiments involving animals and adhered to the principles stated in the Guide for the Care and Use of Laboratory Animals, NRC Publication, 1996 edition. The research protocol was approved by the Institutional Animal Care and Use Committee of the Trudeau Institute. K18-hACE2 transgenic mice were obtained from Jackson Laboratories (Bar Harbor, ME). Mice were housed in the animal facility of the Trudeau Institute and cared for in accordance with local, state, federal, and institutional policies in a National Institutes of Health American Association for Accreditation of Laboratory Animal Care-accredited facility.

To determine an appropriate challenge viral stock amount and establish the K18-hACE2 SARS-CoV-2 mouse challenge model, five viral doses were used to inoculate the K18-hACE2 mice. Each study group was composed of 10 hACE2 K18 Tg mice (5 males and 5 females). Mice were infected on study day 0 doses ranging from 5×10^2 to 1×10^5 PFU of SARS-CoV-2 USA-WA1/2020 administered via intranasal instillation. All mice were monitored for clinical symptoms and body weight twice daily, every 12 hours, from study day 0 to study day 14. Mice were euthanized if they displayed any signs of pain or distress as indicated by the failure to move after stimulation, or inappetence, or if mice had greater than 25% weight loss compared to their study day 0 body weight. Hematoxylin and eosin staining of lung sections following infection with 1.25×10^4 PFU compared to control uninfected mouse lung sections are shown in Figure S6.

For the passive immunization study, on day -1, K18-hACE2 mice were injected intravenously with purified IgG from C57BL/6 vaccinated mice, control IgG, or PBS. On study day 0, all mice were inoculated with 1.25×10^4 PFU of SARS-CoV-2 USA-WA1/2020 via intranasal instillation. All mice were monitored for clinical symptoms and body weight twice daily, every 12 hours, from study day 0 to study day 14. Mice were euthanized if they displayed any signs of pain or distress as indicated by the failure to move after stimulated or inappetence, or if mice have greater than 25% weight loss compared to their study day 0 body weight.

QUANTIFICATION AND STATISTICAL ANALYSIS

Figure legends detail all quantification and statistical analyses, inclusive of animal numbers (n), and statistical tests. Serum IgG endpoint titers and pseudovirus and authentic neutralizing antibody titers were transformed so that all values were on a log(10) scale prior to statistical analyses. For mouse sera ELISA binding level, SARS-CoV-2 pseudovirus neutralization ID₅₀ and ID₈₀ values, biolayer interferometry binding level comparisons were assessed with a Kruskal-Wallis test followed by a Dunn's post-test. Authentic virus neutralization comparisons between the two dose groups at each time point were carried out using a Mann-Whitney unpaired two-tailed non-parametric test. SARS-CoV-1 pseudovirus neutralization titers elicited by SpFN and RFN immunogens were compared using a Mann-Whitney unpaired two-tailed non-parametric test. K18-hACE2 mouse challenge group comparisons were carried out using a Mantel-Cox test followed by Bonferroni correction. Serum IgG2a/c:IgG1 ratios were assessed using a Mann-Whitney unpaired two-tailed non-parametric test. P values are summarized within figures as: < 0.0001 (****), < 0.001 (***), < 0.01 (**), or < 0.05 (*). All statistical analyses were conducted using GraphPad Prism v8.0, for serum IgG endpoint titers, pseudovirus and authentic neutralization titers, biolayer interferometry binding responses, and body weight loss of mice in challenge assessments.