

## Divergent impacts of tocilizumab and colchicine in COVID-19-associated coagulopathy: the role of alpha-defensins

### Summary

Patients who are severely affected by coronavirus disease 2019 (COVID-19) may develop a delayed onset 'cytokine storm', which includes an increase in interleukin-6 (IL-6). This may be followed by a pro-thrombotic state and increased D-dimers. It was anticipated that tocilizumab (TCZ), an anti-IL-6 receptor monoclonal antibody, would mitigate inflammation and coagulation in patients with COVID-19. However, clinical trials with TCZ have recorded an increase in D-dimer levels. In contrast to TCZ, colchicine reduced D-dimer levels in patients with COVID-19. To understand how the two anti-inflammatory agents have diverse effects on D-dimer levels, we present data from two clinical trials that we

performed. In the first trial, TCZ was administered (8 mg/kg) to patients who had a positive polymerase chain reaction test for COVID-19. In the second trial, colchicine was given (0.5 mg twice a day). We found that TCZ significantly increased IL-6,  $\alpha$ -Defensin ( $\alpha$ -Def), a pro-thrombotic peptide, and D-dimers. In contrast, treatment with colchicine reduced  $\alpha$ -Def and D-dimer levels. *In vitro* studies show that IL-6 stimulated the release of  $\alpha$ -Def from human neutrophils but in contrast to colchicine, TCZ did not inhibit the stimulatory effect of IL-6; raising the possibility that the increase in IL-6 in patients with COVID-19 treated with TCZ triggers the release of  $\alpha$ -Def, which promotes pro-thrombotic events reflected in an increase in D-dimer levels.

The clinical spectrum of coronavirus disease 2019 (COVID-19) infection ranges from asymptomatic to fatal, in part related to inflammation and vascular complications. Patients who are severely affected by COVID-19 may develop a delayed onset 'cytokine storm', which includes an increase in interleukin-6 (IL-6). This may be followed by a pro-thrombotic state with an increase in D-dimers,<sup>1</sup> development of disseminated microvascular thrombi, and pulmonary decompensation due in part to impaired vascular perfusion.<sup>2,3</sup>

It had been anticipated that tocilizumab (TCZ), an anti-IL-6 receptor monoclonal antibody, would mitigate inflammation and coagulation in patients with COVID-19 infection. However, clinical trials with TCZ have not provided the expected benefits.<sup>4,5</sup> Indeed, an increase in D-dimers, a marker of fibrin turnover, has been recorded unexpectedly in TCZ-treated patients in some trials,<sup>6–10</sup> together with a trend to a higher death rate secondary to thromboembolism in one study.<sup>11</sup>

Although it has been suggested that the protective effect reported of TCZ in some studies might be corticosteroid-dependent,<sup>12</sup> other studies showed an increase in D-dimers in patients treated with TCZ whether or not corticosteroids were co-administered.<sup>10</sup> The beneficial effect of colchicine, another anti-inflammatory medication used to treat patients with COVID-19 has also been inconsistent,<sup>13–16</sup> but in contrast to TCZ, treatment reduced D-dimer levels.<sup>14,17</sup>

We sought to understand how two anti-inflammatory agents, TCZ and colchicine, differ in their effects on D-dimer levels with the hope that these results might be used to improve efficacy in patients with COVID-19, rheumatoid arthritis and other inflammatory conditions. In the present study, we present results of two clinical trials in addition to *in vitro* data to help to elucidate the mechanism underlying the seeming paradoxical effect of TCZ and the discrepancy between the effect of TCZ and colchicine.

### Methods

Patients aged  $\geq 18$  years admitted to Hadassah Hospital with a positive PCR test for COVID-19 were enrolled. The studies were approved by the Helsinki Research Ethics Commissions (#0204-20, #0055-20 and #0224-20). In these randomised, controlled, open-label clinical trials, patients were enrolled after written consent and assigned consecutively to the treatment or to the no treatment arm. All patients received the same standard care otherwise.

#### *Tocilizumab clinical trial*

Tocilizumab was administered to patients entering the intensive care unit with severe acute respiratory failure. A total of 17 patients [mean (SD) age 62.2 (10.9) years; 11 males and six females] allocated to TCZ received the drug as a single

intravenous (IV) infusion over 60 min (8 mg/kg up to total dose of 800 mg) in addition to standard care.

#### Colchicine clinical trial

Colchicine was given to 16 patients [mean (SD) age 51.4 (6.5) years, nine males and seven females] admitted to the Department of Internal Medicine with 'moderate' symptoms as previously defined.<sup>18</sup> Patients in the treatment arm were given colchicine 1 mg twice a day on day 1 and 0.5 mg

twice a day for a mean (SD) of 7 (4) days thereafter, in addition to standard care.

Measurement of plasma components and statistical analyses were performed as previously reported.<sup>18</sup> For more details, see<sup>18</sup> and the Supplementary Material.

#### Results and discussion

We previously reported that  $\alpha$ -Def promotes coagulation *in vivo*, an effect that was inhibited by colchicine, which

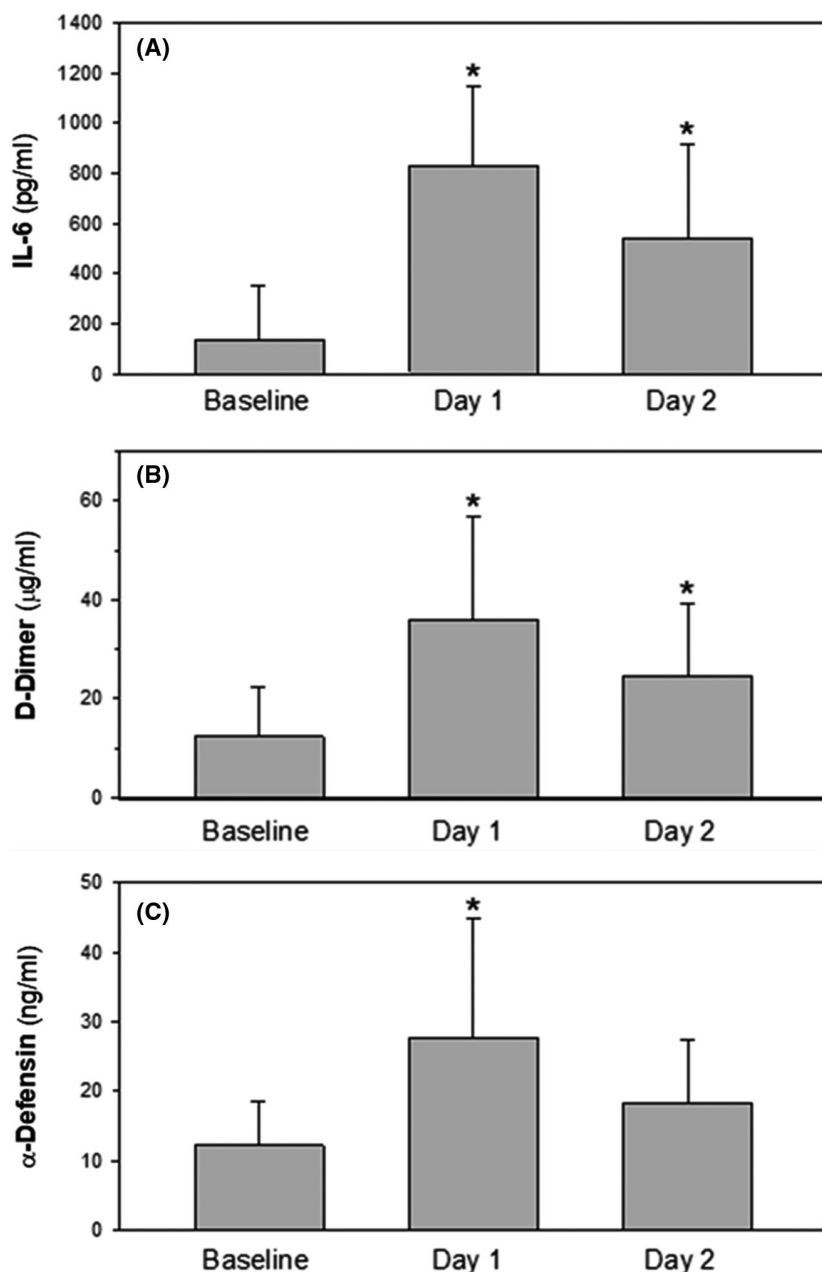


Fig 1. Plasma levels of interleukin-6 (IL-6) (A), D-dimers (B) and  $\alpha$ -Defensins (C) in patients with COVID-19 infection at baseline and 1 and 2 days after the administration of tocilizumab (8 mg/kg). Data are presented as mean  $\pm$  SD ( $n = 17$ ; \* $P < 0.001$  vs. baseline, ANOVA with Newman Keuls test for *post hoc* comparisons).

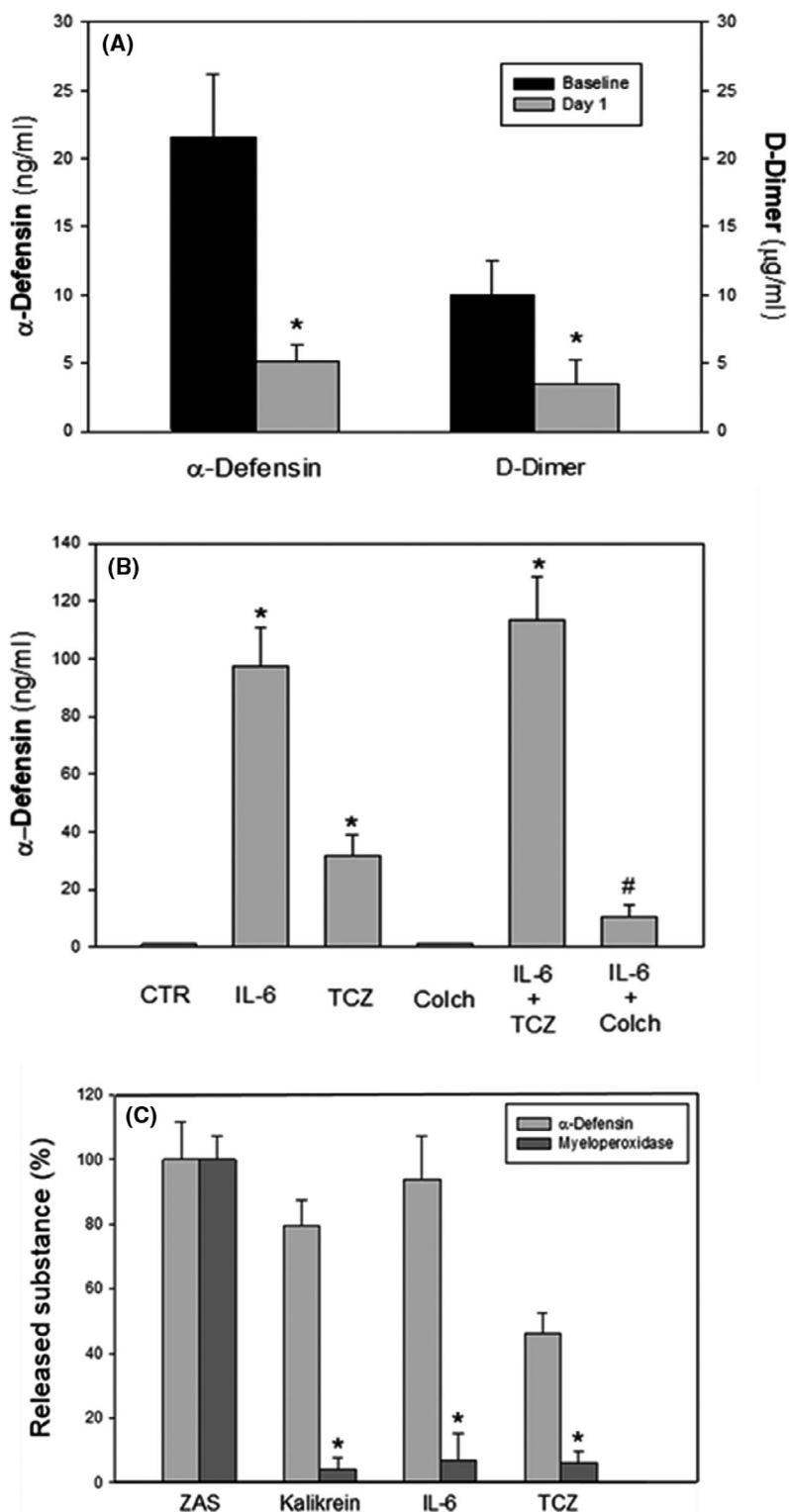


Fig 2. (A) Plasma levels of  $\alpha$ -Defensin ( $\alpha$ -Def) and D-dimers in patients with COVID-19 at baseline and 1 day following the administration of colchicine (colch; 0.5 mg twice a day). Data are presented as mean  $\pm$  SD ( $n = 16$ ; \* $P < 0.001$  vs. baseline, paired  $t$ -test). (B) The impact of tocilizumab (TCZ; 100  $\mu$ g/ml<sup>21</sup>) for 30 min prior to addition of interleukin-6 (IL-6) and colch (10 nmol/l) on the IL-6-mediated (100 ng/ml<sup>21</sup>) release of  $\alpha$ -Def from human neutrophils *in vitro*. The result (mean  $\pm$  SD) of three experiments, each done in triplicate, is shown ( $P < 0.0001$ ). (C) Effects of IL-6 and TCZ on the release of myeloperoxidase and  $\alpha$ -Def from neutrophils. The experiment was performed as in (B). TCZ (100  $\mu$ g/ml) or IL-6 was incubated with human neutrophils and the release of myeloperoxidase and  $\alpha$ -Def was measured. The effects of zymogen-activated serum (ZAS)<sup>25</sup> and kallikrein (Kalikrein)<sup>19</sup> were used as controls for the release of myeloperoxidase and  $\alpha$ -Def or  $\alpha$ -Def alone respectively.

inhibits its release from neutrophils.<sup>19</sup> We also reported that plasma levels of  $\alpha$ -Def are increased in patients with COVID-19, which is associated with an increase in IL-6 and D-dimers.<sup>18</sup> Therefore, it would be expected that inhibiting signal transduction in neutrophils by blocking IL-6 receptors (IL-6R) would decrease  $\alpha$ -Def and D-dimers.

However, in line with the data of others,<sup>7,10</sup> we observed that IL-6 levels measured 24 h after an initial IV dose of TCZ increased significantly compared with pretreatment levels (Fig 1A), presumably as a consequence of inhibiting uptake of the cytokine by its receptors. The increase in IL-6 was accompanied by an increase in D-dimers (Fig 1B) and, in line with our previous data,<sup>18</sup> by an increase in plasma  $\alpha$ -Def (Fig 1C). Plasma levels of all three analytes declined by 2 days after TCZ administration (Fig 1A–C). The difference in our data from those reported by Nisio *et al.*,<sup>20</sup> who reported a decrease in D-dimers after TCZ treatment, may be due to differences in populations studied, stage of disease or treatment modalities.

The beneficial effect of colchicine, another anti-inflammatory medication, has also been inconsistent in patients with COVID-19.<sup>13–16</sup> However, in contrast to the increase in D-dimers seen after TCZ, treatment with colchicine reduced D-dimer levels.<sup>14,17</sup>

To examine the relationship between  $\alpha$ -Def and D-dimers in COVID-19 infection in greater detail, we first asked if the decrease in D-dimers seen in patients with COVID-19 treated with colchicine is associated with a reduction  $\alpha$ -Def. To do so, we treated patients with COVID-19 with oral colchicine (0.5 mg  $\times$  2 day). Figure 2A shows that, in contrast to TCZ, colchicine led to a decrease in  $\alpha$ -Def and D-dimers after 1 day of treatment, consistent with a relationship between increased in  $\alpha$ -Def and pro-thrombotic processes.

We then explored the difference between the effects of TCZ and colchicine on neutrophil activation on the release of  $\alpha$ -Def. As previously reported,<sup>18</sup> IL-6 alone stimulated the release of pro-thrombotic  $\alpha$ -Def peptides from human neutrophils (Fig 2B). Surprisingly, TCZ did not inhibit the stimulatory effect of IL-6. Indeed, TCZ alone, i.e. in the absence of IL-6, stimulated the release of  $\alpha$ -Def from neutrophils (Fig 2B). This raises the possibility that the increase in IL-6 in patients with COVID-19 treated with TCZ together with a direct effect of the antibody on neutrophil degranulation triggers the increase in  $\alpha$ -Def that promotes pro-thrombotic events as reflected by an increase in D-dimers. Activation of neutrophils by TCZ may also help to contribute to the transient neutropenia sometimes observed after treatment.<sup>21,22</sup>

We then compared the effect of TCZ and IL-6 on release of myeloperoxidase (MPO), a lysosomal protein released from azurophilic granules during neutrophil degranulation as a second marker of activation as compared with zymogen-activated serum (ZAS), known to cause the release of  $\alpha$ -Def and MPO.<sup>23</sup> IL-6, TCZ and ZAS each stimulated the release of  $\alpha$ -Def, but only ZAS stimulated the release of MPO

(Fig 2C). The pattern of granule released by IL-6 and TCZ is similar to that induced by kallikrein (Fig 2C).<sup>19</sup> Clearly, additional studies are needed to distinguish between the signalling pathways responsible for these different response patterns and their role under physiological and pathological conditions. The mechanism by which IL-6 stimulates neutrophils in the presence of TCZ is also unclear and will require further study as well. In theory, TCZ may act as a mixed agonist-antagonist of IL-6R that does not completely inhibit IL-6 binding, induces a cryptic site for IL-6 binding to IL-6R or IL6-IL-6R complexes may signal through another signal transduction pathway.

In contrast to TCZ and as previously reported, colchicine<sup>18</sup> inhibited IL-6-mediated release of  $\alpha$ -Def from neutrophils (Fig 2B). These data support the hypothesis that an increase of  $\alpha$ -Def released from neutrophils promotes coagulation in patients with COVID-19, evident by an increase in D-dimer levels,<sup>18</sup> and that by inhibiting the release  $\alpha$ -Def from neutrophils (Fig 2B), colchicine attenuates pro-thrombotic pathways with a consequent decline in D-dimers (Fig 2A). This hypothesis is in line with previous data showing that colchicine decreases the coagulation tendency and lowers D-dimers in patients with familial Mediterranean fever (FMF),<sup>24</sup> as well as in mice expressing  $\alpha$ -Def in their neutrophils.<sup>19</sup>

In summary, our present data suggest that the unexpected incomplete benefit of TCZ in patients with COVID-19 may be due to the finding that the antibody is only partially able to inhibit IL-6-mediated inflammatory activity<sup>7</sup> and fails to block the release of  $\alpha$ -Def and the subsequent acceleration of coagulation represented by increased D-dimers. These results suggest that it may be beneficial to combine colchicine with TCZ to attenuate inflammation-associated thrombosis, a question that could be addressed through a randomised trial of TCZ alone *versus* TCZ and colchicine. Furthermore, additional basic studies are required to understand the effect of IL-6 on neutrophil activation, release of  $\alpha$ -Def and how is this process affected by TCZ.

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## Author contributions

Abd A.-R. Higazi conceived the study; Abd A.-R. Higazi and Suhair Abdeen designed the experiments; Abd A.-R. Higazi, Suhair Abdeen, Rami Abu-Fanne, Douglas B. Cines, Samuel N. Heyman and Khalil Bdeir analysed the data; Suhair Abdeen, Rami Abu-Fanne, Emad Maraga and Mohamed Higazi performed and analysed the experiments; Abd A.-R. Higazi, Samuel N. Heyman, Douglas B. Cines and Khalil Bdeir wrote the paper.

## Conflict of interest

None of the authors has a relevant conflict of interest.

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## Supporting Information

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Supplementary Material:** Methods: Clinical criteria, ex vivo and in vitro experiments and statistical analysis.

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