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Antiepileptogenic effects of rapamycin in a model of infantile spasms due to structural lesions

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SUMMARY

Objective: Infantile spasms may evolve into persistent epilepsies including Lennox-Gastaut syndrome. We compared adult epilepsy outcomes in models of infantile spasms due to structural etiology (*multiple-hit model*) or focal cortical inflammation and determined the antiepileptogenic effects of pulse-rapamycin, previously shown to stop spasms in *multiple-hit* rats.

Methods: Spasms were induced in 3-days old male rats via right intracerebral doxorubicin/ lipopolysaccharide (*multiple-hit model*) infusions. Controls and sham rats were used. Separate *multiple-hit* rats received pulse-rapamycin or vehicle intraperitoneally between postnatal days 4–6. In adulthood, video-EEG scoring for seizures and sleep, and histology were done blinded to treatment.

Results: Motor-type seizures developed in 66.7% *multiple-hit* rats, usually from sleep, but were reduced in the pulse-rapamycin treated group (20%, p=0.043 vs *multiple-hit*) and rare in other groups (0–9.1%, p<0.05 vs *multiple-hit*). Spike-and-wave bursts had slower frequency in *multiple-hit* rats (5.4–5.8Hz) than the other groups (7.6–8.3Hz) (p<0.05); pulse rapamycin had no effect on the hourly spike-and-wave burst rates in adulthood. Rapamycin, however, reduced the time spent in slow-wave-sleep (17.2%), which was increased in *multiple-hit* rats (71.6%, p=0.003). Sham rats spent more time in wakefulness (43.7%) compared to controls (30.6%, p=0.043). *Multiple-hit* rats,

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Conflicts of Interest

AS Galanopoulou is Editor in Chief of *Epilepsia Open* and has received royalties for publications from Elsevier and Morgan and Claypool publishers.

SL Moshé is serving as Associate Editor of *Neurobiology of Disease* and is on the editorial board of *Brain and Development*, *Pediatric Neurology* and *Physiological Research*. He receives from Elsevier an annual compensation for his work as Associate Editor in *Neurobiology of Disease* and royalties from 2 books he co-edited. He has received consultant's fees from UCB and Pfizer. SL Moshé holds a US patent for the multiple hit rat model (#7863499); no financial profits or conflicts have ensued as a result of this patent.

The other co-authors have no conflicts of interests to declare.

Significance: Structural cortico-hippocampal/basal ganglia lesions increase the risk for postinfantile spasms epilepsy, Lennox-Gastaut syndrome features, and sleep dysregulation. Pulserapamycin treatment for infantile spasms has antiepileptogenic effects, despite the structural lesions, and decreases time spent in slow wave sleep.

Keywords

epilepsy; West syndrome; Lennox-Gastaut syndrome; sleep; mTOR

INTRODUCTION

Infantile spasms (IS) are age-specific seizures characterized by clusters of axial epileptic flexion and extension spasms in infancy^{1–7}. In ~24% there is a genetic or genetic-structural etiology whereas in a third the cause is unknown^{7–11}. In ~60% of affected infants, IS are associated with pre-existing structural or metabolic brain pathologies, and have worse prognosis than IS of unknown etiology, including persistence of intellectual and other neurological deficits as well as epilepsies that are often drug-resistant^{4, 5, 7, 12}. These epilepsies are most commonly associated with focal or multifocal seizures⁵. Less frequently, IS evolve into Lennox-Gastaut syndrome (LGS), with the characteristic slow spike-wave discharges (slow-SWDs) and tonic seizures during sleep¹³. In IS of unknown etiology, early cessation of spasms may partially improve neurodevelopmental outcomes¹⁴ but has no effect on subsequent epilepsy⁵. There are currently no known antiepileptogenic therapies for IS.

Several chronic models of IS exist, including genetic or acquired^{15–18}. We have extensively used the multiple-hit rat model of IS to screen for new therapeutics for IS. The multiplehit model of IS due to structural lesions [*DLP model*; PN3: right intracerebroventricular doxorubicin and right intracortical lipopolysaccharide, PN5 intraperitoneal (i.p.) pchlorophenylalanine]^{17, 19–24} manifests spasms that are refractory to adrenocorticotropic hormone (ACTH) and partially and transiently responsive to vigabatrin¹⁷. Among the various treatments that have been tested in the DLP model^{19–23}, a pulse 3 day-treatment protocol with the mTOR (mechanistic target of rapamycin) inhibitor rapamycin, given at PN4–6 after spasms onset, stopped spasms and improved visuospatial learning, suggestive of partial disease modification, despite the existing structural lesions¹⁹.

Here, we determined the adult epilepsy outcomes in the DLP model as well as the antiepileptogenic potential of pulse rapamycin given at PN4–6, after spasms onset, in DLP rats, in regards to both motor-type seizures and spike-wave discharges (SWDs). To investigate whether rats with IS develop LGS features and if pulse rapamycin modifies them, we also compared the SWD characteristics and sleep-wake periods across models and as a function of rapamycin treatment.

MATERIAL AND METHODS

Animals

The male offspring of timed pregnant Sprague-Dawley rats (Taconic farms, Inc., Hudson, NY, USA) were used in the experiments. Rats were maintained at constant temperature (21–23°C) and humidity (40–60%) in a 12h dark/12h light cycle with free access to pellet food and water in our animal facility, which is accredited by the American Association for the Accreditation of Laboratory Animal Care. Rat pups were weaned at PN21 and were housed with 1–2 same-sex littermates till the electrode placement day. The rats were individually housed after the implantation of the EEG electrodes. Experiments were approved by the Institutional Animal Care and Use Committee of the Albert Einstein College of Medicine and were carried out in accordance with the National Institute of Health Guide for the Care and Use of Laboratory Animals.

Model induction and pulse rapamycin treatment

Induction protocols, timeline, treatments, sample sizes and spasm phenotypes are described in Table 1A. PN3 surgeries were done under isoflurane anesthesia (4.5% induction, 1.5% maintenance, in O₂). To document the expression of spasms, all rats except controls underwent intermittent video monitoring between PN4–20, with 1–2 daily sessions, each lasting for 2h as described previously^{17, 19–23}. Other male DLP rats received either rapamycin (6, 3, 3 mg/kg/day on PN4, PN5, PN6 respectively, n=10; LC Laboratories, Woburn MA, USA) or equal volumes of vehicle (DLP-ETP, n=5) i.p.. ETP vehicle consisted of 4% ethanol, 5% Tween-80 and 5% polyethylene glycol 400 (Fisher Scientific, Pittsburgh PA, USA)¹⁹. Because the incidence of adult seizures was similar in DLP and DLP-ETP rats, these two groups have been grouped together.

EEG electrode implantation and long-term video-EEG monitoring

Stainless steel epidural cortical screw electrodes (Plastics One, Roanoke, VA) were implanted stereotactically in adulthood (Table 2), under ketamine (70 mg/kg, i.p.) and xylazine (6 mg/kg, i.p.) anesthesia at frontal (anterior to bregma 1.5 mm, lateral \pm 2 mm), central (posterior to bregma 2.8 mm, lateral \pm 2 mm) and occipital (posterior to bregma: 6 mm, lateral: \pm 4 mm) regions bilaterally. The electrodes were connected to a plastic pedestal (Plastics One, Roanoke, VA) which was fixed to the skull using dental cement (Henry Schein, Dublin OH, USA).

Video-EEG monitoring commenced one week after surgery using the XLTEK system (Natus Medical Incorporated, San Carlos, CA, USA) and a Panasonic V500M camcorder (1920×1080/60p; Panasonic, Tokyo, Japan) synchronized to EEG and focused on up to 5 rat monitoring cages. The EEG signals were amplified without filtering and digitized at 200Hz. Rats were monitored in 24h sessions, 2 or 3 days per week. Video was turned on between 9am-6pm.

Video-EEG and sleep-wake scoring

Video-EEG scoring and spectral analysis were done blinded to treatment and confirmed by board certified neurophysiologists. Definitions of EEG patterns are described in Table 1B.

The behavioral seizure correlates were classified using the Racine scale as modified by Pinel & Rovner (stage 1–8)²⁵. *SWD bursts* were analyzed for their main frequency (Hz), focality, and rate of occurrence (SWD bursts/hr) in 24 h periods. Slow-wave-sleep (SWS) and awake (AW) states were analyzed during the light period from PN133-PN167 EEG recordings, to minimize the age effect on sleep/AW durations according to accepted criteria^{26, 27}.

The spectral characteristics were analyzed by computing the power spectra using the Fast Fourier Transform (FFT) (MATLAB 7.6, MathWorks, Natick, USA). FFT was performed on 2 sec artifact-free epochs, tapered with Hamming window, and a frequency resolution of 0.5 Hz. The EEG data recorded from right and left central electrodes using the common reference were analyzed. To compare *the frequency characteristics of SWDs* among the five groups, 10 SWD bursts were selected per animal (PN135-PN200), time-locked to the onset of the first spike of the first SWD, and the power-spectra of the first 2 sec segments were averaged.

Histology

Rats were anesthetized with pentobarbital (100mg/kg i.p.) and transcardially perfused with cold saline and 10% neutral buffered formalin (Sigma-Aldrich, St Louis, MO), formalin-post-fixed and stored at -80° C until cut in 40 µm coronal brain sections (Microm International, Walldorf, Germany) and thionin-stained, as previously published^{17, 19}.

Statistics

Fisher's exact test was used to compare incidence of the seizures and SWDs among groups. Wilcoxon test was used to compare mean hourly SWD burst rates per rat across groups. Linear mixed model with interaction term analysis was performed to compare the changes in SWD bursts/hr rates as a function of age and group. P<0.05 were considered statistically significant. JMP Pro 16 and SAS9.4 (SAS Institute Inc., Cary, NC, U.S.A.) were used for statistical analyses. Means and standard deviations (SD) are presented.

RESULTS

Motor-type seizures in adulthood

The group comparisons of EEG and behavioral correlates of motor-type seizures are described in Table 2 and depicted in Fig 1, Fig 2, and Supplementary Fig 1. Spontaneous motor-type seizures were observed in 8/12 (66.7%) DLP rats, in 1/11 (9.1%) of controls and in 0/8 (0%) sham rats (p<0.05).

DLP rats: A total of 26 DLP seizures were captured. Eight events had unclear video and 1 was witnessed (stage 4) but no EEG was available. All seizures captured in video-EEG were electroclinical and the semiology (stage 1–8) is described in Table 2 and Fig 1. A cluster of 3 seizures within 10 hours was captured in one DLP rat. In the majority of the electroclinical seizures captured with video-EEG, the first change was observed in the EEG (58.8% of events) only or in both EEG and behavior (29.5%) (Fig 1, Table 2 and Supplementary Table 1). The two seizures where altered behaviors (jerky, ataxic, restless rat) were noted prior

to the electrographic seizure onset occurred in a rat with nonconvulsive status epilepticus (NCSE) which eventually expired.

Most (80%) DLP motor-type seizures occurred in SWS, except for 2 rats that had seizures in AW in the context of either NCSE or a chronically epileptiform EEG background with almost continuous spikes in SWS more than in AW.

Among the 25 DLP seizures with EEG, focal onsets were seen in 13/25 (52%) of DLP seizures and these were predominantly left (12/13). Left hemispheric predominance was also seen in 76.9% of seizures with bilateral onsets. Bilateral EEG involvement was eventually present in all. The early and late EEG patterns at seizure onset (Table 1 for definitions) included rhythmic θ/α pattern (88%), focal or lateralized low voltage fast (LVF) (72%), epileptiform discharges (EDs) [72%], diffuse ictal onset attenuation (IA) for 4.1 ± 4.1 sec (76%), and left posterior beta spindles during IA (8%) (Supplementary Table 1, Fig 2).

Voltage attenuation occurred as the first change in EEG background prior to seizure development in 24% of seizures, all emerging from SWS. We therefore cannot exclude that it may represent in part a change of state. As a result, determination of focality in events starting with voltage attenuation was done based on the earliest other focal EEG change. Features that indicate that attenuation could also be an ictal feature include: (a) its emergence as late ictal onset patterns (52% or seizures), (b) rare appearance during seizures from AW (20% of seizures), even though IA was more common in seizures from sleep (90%, p=0.006). IA was not associated with any behavioral change in 14/19 seizure onsets, sudden awakening occurred in 4/19 IA and sudden movement in 1/19.

HA a bursts were more prominent at the left frontocentral area, were seen in 28% of DLP seizures (2/8 rats), all of which emerged from sleep.

Postictal diffuse attenuation occurred in 88% of motor-type seizures and postictal slowing was seen in the rest.

Sham and control rats: We did not capture motor-type seizures in sham rats. The end of a stage 2 seizure was captured in a control PN173 rat after handling during connection to the EEG acquisition system. The EEG showed generalized rhythmic polyspikes (4-5/sec) slowing into a 1-2/sec rhythmicity and postictal voltage suppression.

Antiepileptogenic effects of pulse rapamycin in DLP rats

DLP rats that received pulse rapamycin on PN4–6 (DLP-RAP633) had significantly lower incidence of motor-type seizures (2/10 rats, 20%) than DLP rats (p=0.043, Fisher's exact test) suggesting an antiepileptogenic effect. Seizures were either focal (usually left dominant) or bilateral (left dominant when lateralized) at onset with secondary generalization and 66.7% of them emerged from sleep. Seizure onset patterns were similar to DLP (Table 3) except that no HA α bursts were present. All seizures from AW in DLP-RAP633 were isolated events.

SWD bursts

SWD bursts were observed in all 4 groups without significant inter-group comparisons (Table 2, Fig 1 and Fig 3). Because many rats manifested SWD bursts around the first day of the recording, earlier age of onset could not be excluded and group comparisons were not done. Once present, SWD bursts occurred throughout the records except for 3/4 DLP, 3/5 DLP-RAP633, and 1/7 controls which had infrequent and brief SWD bursts at the last day of monitoring. SWD bursts occurred in both AW and SWS states.

During wakefulness, behavioral correlates of SWD bursts consisted of freezing or behavioral arrest during the electrographic SWD burst followed by head/body-jerk or sudden return to normal activity. Brief SWD bursts (< 3sec) had no clear behavioral correlate.

In sham, and control rats, SWD bursts were bilateral with occasional asymmetries at onset (Fig 3). When present, SWD bursts in DLP and DLP-RAP633 rats were asymmetric and more prominent at the left hemisphere. Atypical SWD bursts were seen in one DLP rat with a hypersynchronous focal onset of rhythmic SWD from the left occipital region leading into a SWD burst (Fig 3 B2).

Group effect was significant on the main frequency of SWD bursts [$F_{group}(3,17)=18.5$, p<0.001] (Fig 3, panels E and F). DLP and DLP-RAP633 had slower main frequencies (5.4–5.8Hz range of mean frequencies, p<0.05) than the other 2 groups (7.6–8.3Hz range of mean frequencies), which had similar SWD main frequencies as the models of classical absence (7–8Hz)²⁸. As a result, SWD bursts in DLP or DLP-RAP633 are here referred as "*slow-SWD*" bursts.

Comparison of the mean SWD burst rates (SWD bursts/hr) of each rat using Wilcoxon test did not yield significant group effects. Because age-related increase in SWD bursts has been reported in other studies, we compared the hourly rates of long SWD bursts (3sec) across groups, using 24h EEGs and linear mixed model analysis, in PN156 (151–161), PN205 (201–209), and PN254 (249–259] rats. Linear mixed model analysis of hourly rates of SWD bursts across age groups, for each group, using repeated measures, indicated significant age-related increase for the sham group only [PN150 vs PN200, *p=0.043*; PN150 vs PN300, *p=0.009*]. Very few DLP and DLP-RAP633 rats had SWD bursts between PN150–300, which hindered meaningful statistics.

EEG background and LGS features

All adult DLP and DLP-RAP633 rats had interictal spikes at the left hemisphere (frontal, occipital or central) and 6/12 DLP and 6/10 DLP-RAP633 rats also had spikes at the right hemisphere. No spikes or sharp waveforms were observed in control, sham rats with no seizure or SWD bursts. The background was typically asymmetric in DLP or DLP-RAP633 rats with left hemispheric attenuation of background activities and sleep spindles (Fig 2). The background was symmetric in the other groups.

LGS features in DLP rats included the emergence of motor-type seizures from SWS in most DLP and DLP-RAP633 rats, and their occasional coexistence with slow-SWD bursts and/or NCSE and very epileptiform interictal EEG particularly in sleep (Fig 1D).

Sleep-Awake periods

Scoring of SWS, AW and "other" (REM vs calm wakefulness) periods in PN133–167 rats (Fig 4) revealed significantly more SWS and reduced AW periods in DLP rats than every other group. DLP-RAP633 rats spent more time in AW and less time in SWS than any other group. Sham rats also spent more time in AW than controls.

Survival

Reduced survival was observed in both DLP (53.4%) and DLP-RAP633 (56.62%) till PN60 compared to the other groups (p=0.005, Log-Rank test). Pulse rapamycin did not improve survival (Supplementary Fig 1).

Histology

Histology was performed in 9 DLP rats and 8 DLP-RAP633 rats, 8 sham, and 5 controls, using Nissl staining and uncut brains were also inspected at autopsy for visible lesions. Detailed histological analyses are shown in Fig 4 and Supplementary Table 2.

No significant injury was seen in controls or sham rats. Mild electrode imprints on the cortical region (frontal and/or central) were seen in 6/30 rats, 3 of which had SWD bursts and 4 did not. None of these had motor-type seizures.

All DLP and DLP-RAP633 showed consistent right cortical atrophy, absent right but preserved left ventral hippocampus and periventricular atrophy of right thalamus and striatum. Left dorsal hippocampus was preserved but atrophied in 3/8 DLP and 2/8 DLP-RAP633. Bilateral cortical lesions (right more than left) were seen in 40% of DLP and 55.6% of DLP-RAP633 rats ranging from parietal encephalomalacia to more extensive loss of dorsal cortico-hippocampal structures, sparing left ventral hippocampus, amygdala, diencephalon, ventral basal ganglia, and brainstem. Pulse rapamycin did not alter the histological changes in DLP rats. Among rats with such extensive brain lesions, two DLP but no DLP-RAP633 had motor-type seizures (stage 3–5).

Lesions at cortico-hippocampal and thalamic/striatal structures were more likely to be found in rats with slow-SWD and motor-type seizures. Such lesions did not affect the time in SWS (supplementary material).

DISCUSSION

We report that the multiple-hit model of IS due to structural lesions has a high rate of epilepsy in adulthood with motor-type seizures and LGS features in some of the DLP rats and that pulse rapamycin treatment for IS has antiepileptogenic effects despite the presence of cortico-hippocampal/basal ganglia structural lesions. The antiepileptogenic effect of rapamycin occurs in parallel with its effect on improving the excessive time spent in SWS seen in DLP rats, suggesting a possible mTOR co-regulation of post-IS epileptogenic and SWS-control mechanisms.

Seizure onsets and EDs lateralized to the contralateral to the injury brain, which likely reflects better volume conduction over the more preserved brain, as also reported in patients

with IS¹ or LGS²⁹. Our data cannot exclude that presence of spasms contributes to the epileptogenic processes, or that stopping spasms early may stop epileptogenesis, given that pulse rapamycin also stopped IS¹⁹. The early amelioration of epilepsy severity in DLP-RAP633 by pulse rapamycin could potentially contribute to the lower rate of motor-type seizures in adulthood. This is consistent with clinical experience suggesting that early cessation of spasms may reduce rate of epilepsy and/or developmental deficits in infants with IS^{30–32}. We have not seen any significant injury modification by rapamycin, based on our histology studies. Whether early modification of spasms severity is solely responsible for the antiepileptogenic effects of pulse rapamycin or additional mTOR-related mechanisms are implicated merits further investigation. Further, comparing the effects of early vs late treatment with pulse rapamycin would be helpful to identify the optimal therapeutic window.

DLP rats have electroclinical seizures as early as PN9–20¹⁷. Seizure monitoring has not been done between PN21–60, due to the ongoing growth of the skull at this period, and therefore it is not clear whether seizures continue or re-emerge in adulthood. Similar to DLP model, in clinical studies, almost two thirds (48–94%) of infants with IS remained with active epilepsy at longer term follow-up³, ⁵, ³³, ³⁴. Non-IS epileptic seizures have been reported in chronic animal models of epileptic spasms of genetic etiology, including aristaless X-linked homeobox protein (ARX)^{16, 35} and adenomatous polyposis cKO mouse models¹⁸, as well as in the tetrodotoxin model of epileptic spasms due to structural lesion³⁶. Overall, induction methods that result in a chronic epilepsy phenotype with spasms also induce other types of epileptic seizures, supporting an overlap in these epileptogenic processes. In our study, sporadic focal EDs seem to be biomarkers of the underlying structural lesion or brain insult(s), rather than of active epilepsy.

The 3-day pulse rapamycin that stopped spasms, reversed the mTOR dysregulation, and improved learning in DLP rats¹⁹ also has antiepileptogenic effects. Inhibitors of mTOR showed promising results in infants with IS with tuberous sclerosis³⁷, whereas mTOR pathway dysregulation has been implicated in other genetic or structural IS etiologies, e.g. cortical dysplasias³⁸. The potential of mTOR inhibitors to modify the course of epileptogenesis has been demonstrated in various genetic or acquired epilepsy models, although continuous exposure to mTOR inhibitors was required³⁸. Our findings suggest that in the setting of IS due to acquired structural lesions, mTOR inhibitors may have a stage-specific antiepileptogenic effect, targeting mTOR-driven epileptogenic processes during the acute period of spasms, that are driven either by IS or the underlying acute pathology. Given that rapamycin may suppress SWD in a genetic rat model of absence epilepsy³⁹, the lack of rapamycin effect on slow-SWD of DLP rats may represent a pharmacoresistant trait.

The pulse rapamycin effects on spasms, post-IS epilepsy and sleep dysregulation in the DLP model suggests an interplay of post-IS epileptogenic and sleep-wake regulating networks and processes, which could be targeted by antiepileptogenic and disease modifying treatments, like rapamycin. In humans, IS often emerge during sleep-wake transitions, tonic seizures in LGS occur in sleep and interictal epileptiform activities in LGS increase in SWS^{2, 13, 40}. Similar to our findings, rapamycin also reversed the sleep abnormalities in a mouse model of tuberous sclerosis⁴¹. In contrast, early life cannulation (sham) reduced time spent in SWS in adulthood, compared to controls, suggesting a plasticity in sleep-wake

control networks during development. Interestingly, pulse rapamycin reversed the sleep/ wake period ratios during light cycle in DLP rats. Sleep-wake analyses in our study was a secondary endpoint, prompted by the high association of motor-type seizures with SWS and as a result did not include electromyographic or eye movement recordings and were limited during the light cycle. Further sleep-wake studies, including such specialized recordings, as well as behavioral studies in adulthood would be needed to more accurately score the sleepwake cycle stages and determine whether the increased wake/sleep ratio in DLP-RAP633 rats reflects an improved functional state or is pathologic.

SWD bursts have been well described in animal models of absence epilepsy (e.g. WAG/Rij and GAERS)^{28, 42, 43}. The bilateral 7–8Hz SWDs in many naïve or sham rats is consistent with reports of SWDs in experimental controls and outbred rat strains^{27, 44}. There are differing opinions as to whether these SWD bursts represent seizures or normal patterns, such as equivalent of human μ rhythm⁴⁵. In our study, SWD bursts were typically associated with behavioral arrest or freezing when rat was awake, occurred in both wakefulness and SWS, contrary to μ rhythms, and they responded to ethosuximide (unpublished data). We therefore cannot exclude that these reflect hypersynchronous state of a network that may also contribute to absence seizures.

In clinical studies, evolution from IS to LGS occurs in 10–30%^{5, 33, 34}. While genetic etiologies are increasingly recognized in LGS, acquired structural lesions play a role in a significant portion of LGS patients⁴⁶. There are no criteria for relevant animal models of LGS. Our first long-term video-EEG studies on these models, suggest some promising similarities in the phenotype of DLP and DLP-RAP633 rats^{17, 19, 21} and human LGS¹³, including: (a) motor-type seizures from SWS, (b) slow-SWD bursts, (c) early presence of epileptic spasms, and (d) neurodevelopmental deficits which may persist in adulthood (unpublished data). In addition, some DLP rats had NCSE or more epileptic background in SWS than in AW, as also described in LGS.

The frequency of slow-SWDs in adult DLP rats is consistent with previously reported experimental models of atypical absence epilepsy [AY-9944 model and the methylazoxymethanol acetate (MAM)-AY-9944 model] with 5–6 Hz slow-SWD⁴⁷. Developmental abnormalities including hippocampal heterotopias, cortical atrophy and abnormalities of cortical lamination have been reported to lead to intractable atypical absence epilepsy in rat models⁴⁸. Focal cortical stroke⁴⁹ and photothrombotic cortical infarcts⁵⁰ demonstrate slow-SWDs (~5Hz) by enhancing excitability of thalamocortical neurons⁴⁹. In our study, the slow-SWD of adult DLP rats lateralized to the hemisphere with more preserved cortico-hippocampal and thalamic structures. In human patients, atypical SWDs can be seen in epileptic encephalopathies with intractable seizures such as LGS¹³.

The HA α bursts at onset of motor-type seizures seen in two DLP rats resembles the generalized paroxysmal frontal α/β fast activity (GPFA) described at the onset of tonic seizures in LGS patients^{13, 46}. In our study, HA α bursts occurred only in motor-type seizures emerging from SWS, were almost always preceded by IA. Two DLP rats also demonstrated focal beta spindles at ictal onset. In an EEG-functional MRI study, Warren et al proposed that GPFA is driven through a prefrontal cortex activation of brainstem and

then thalamic structures in LGS patients of various etiologies. In our initial optimization experiments of the DLP model, rare DLP rats with spasms demonstrated bilateral cortical and hippocampal lesions (unpublished data), resembling the hydranencephaly pattern described in infants with IS⁵¹. Unexpectedly, some of the DLP rats with IS in this study (DLP and DLP-RAP633) also had dramatic cortical and hippocampal lesions, despite surviving through adulthood, two of which also manifested stage 3–6 seizures. These suggest the possible contribution of basal ganglia/brainstem structures, possibly driven by the residual ventral cortico-hippocampal structures, in the generation of IS and subsequent motor-type seizures in DLP rats.

In summary, our study supports DLP as a model of post-IS epileptogenesis and demonstrates that a pulse rapamycin treatment for IS can have antiepileptogenic effects despite the presence of structural lesions. The effects of pulse rapamycin support a central role for mTOR pathway and possibly an involvement of sleep-wake regulatory systems in post-IS epileptogenesis. Our study strongly supports the importance and potential of early interventions targeting the underlying epileptogenic processes of IS syndromes, even if due to structural lesions, when designing antiepileptogenic therapies, so as to improve prognosis.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

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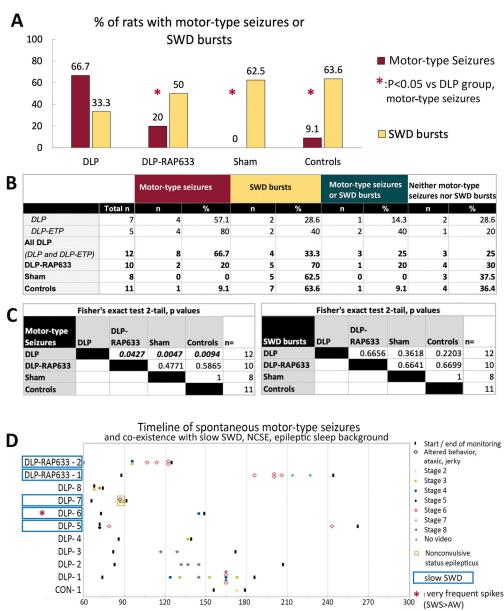




Fig 1. Motor-type seizures and SWD bursts in adulthood.

Panel A. DLP rats have a significantly higher incidence of motor-type seizures in adulthood than each of the other groups. Pulse rapamycin (DAP-RAP633) given on PN4–6 prevents the expression of motor-type seizures in adulthood suggesting an antiepileptogenic effect. SWD bursts are seen in all groups.

Panel B. Summary of incidence of motor-type seizures, SWD bursts in adult rats across the various studied groups.

Panel C. The matrices present the p values of the across-groups comparisons in motor-type seizure or SWD bursts incidences, using Fisher's exact test.

Panel D. Timeline of spontaneous motor-type seizures and co-existence of motor-type seizures with slow-SWD burst phenotype (rats in cyan boxes), nonconvulsive status

epilepticus (NCSE, red square frame) and very frequent spikes in SWS vs AW interictally (red asterisk). Only rats with spontaneous motor-type seizures are included in this panel. Three DLP rats and 2 DLP-RAP633 rats with motor-type seizures had slow-SWD bursts. DLP-7 rat with both slow-SWD and motor-type seizures had NCSE and eventually expired. DLP-6 rat with slow-SWD and motor-type seizures also had chronically epileptic background with bilateral spikes, mostly frontal, almost continuous in SWS, throughout the monitoring period.

Interictal sleep and motor-type seizure onsets in DLP rats Α Interictal stage 2 sleep in a DLP rat sleep spindles asymmetric, L>R L.Frontal - L.Central - Malamanana man M MINIMMIN WMARLAW AUGUNA Wellen MMMMAR L.Central - L.Occipital 400u/ R.Frontal - R.Central R.Central - R.Occipita 1sec Motor-type seizure onsets in a DLP rat **B1** PN164 - Seizure 5 eep spindles ictal attenuation L.Frontal - L.Central SOOLV L.Central - L.Occipital R.Frontal - R.Central 1sec R.Central - R.Occipita High amplitude alpha, L>R (*) low voltage fast (IVF) PN166 - Seizure 10 L.Frontal - L.Central HALLAND. A 400µV L.Central - L.Occipital R.Frontal - R.Central mommon 1sec R.Central - R.Occipital ictal atte **B2** Motor type seizure onset in a PN117 DLP rat Ictal attenuation with beta spindles Stage 2 sleep sleep spindles L.Frontal - Avg L.Central - Avg M will MA mann mmult Millimet L.Occipital - Avg R.Frontal - Avg MANN in man mala among a march and some and many more and ver alle westelling will be R.Central - Avg manymy R.Occipital - Avg 100t rhythmic theta L.Frontal - L.Central 1sec un incha Marchan Wall L.Central - L.Occipital MARALM. human R.Frontal - R.Central R.Central - R.Occipita С DLP, PN137, seizure #1, stage 3 L.Frontal - Avg (NoOcc) L.Central - Avg (NoOcc) entititated to ball in the second structure of a figure of a second structure of a second structure of a second 400µV L.Occipital - Avg (NoOcc) والمراجع والمحافظة والمتعادية والمتعادية والمحافظ والمحافظ والمحافظ والمحافظ والمحافظ والمحافظ والمحافظ والمحافظ R.Frontal - Avg (NoOcc) R.Central - Avg (NoOcc) 3sec R.Occipital - Avg(NoOcc) L.Frontal - R.Frontal L.Central - R.Central L.Occipital - R.Occipital LVF -3 1 2 ictal attenuation L.Frontal - Avg(CO) L.Central - Avg(CO) 405.8u/ L.Occipital - Avg(CO) WARRAN WILlwarm R.Frontal - Avg(CO) R.Central - Avg(CO) 4 0.77sec R.Occipital - Avg-CO

Fig 2. Electrographic patterns during interictal slow wave sleep (SWS) (A), motor-type seizure onsets (B) and motor-type seizure patterns (C) in DLP adult rats.

Panel A: Interictal SWS EEG of a PN164 DLP rat shows asymmetric background with more prominent sleep spindles at the left frontocentral region (black horizontal arrow). *Panel B*: Onsets of motor-type seizures captured in two DLP rats. (B1) Seizure onsets from PN164 and PN166 are from the rat from panel A. Asymmetric sleep spindles in preictal SWS (black horizontal arrow) precede seizure onset in seizure 5 (PN164). The yellow boxes indicate the low voltage fast (LVF) at the onsets of seizure 5 and 10 and the cyan lines indicated ictal attenuations. Seizure 10 of the same rat starts with high amplitude alpha bursts (*, red box) with higher amplitude and sharper contour compared to the usual sleep spindles, more prominent at the left than the right hemisphere, maximal frontally. (B2) Seizure onset of a PN117 DLP rat shows pre-ictal sleep spindles in SWS. Ictal attenuation

(cyan line) and left occipital beta spindles (*) emerge followed by rhythmic theta (green boxes) at the right occipital region that evolves into the seizure pattern. *Panel C: EEG of the first detected motor-type seizure (stage 3) of a PN137 adult DLP rat.* The pre-ictal EEG shows asymmetric sleep spindle (maximal left frontocentral) (segment 1). Ictal attenuation at seizure onset (segment 2) with left occipital LVF leading into rhythmic theta which spreads to bilateral occipital regions evolving into a seizure pattern bilaterally (segment 3) which terminates with postictal attenuation (segment 4). EEG is presented with either average montage excluding occipital leads or transverse montage. Sampling rate: 200Hz. Low frequency filter (LFF): 1Hz, high frequency filter (HFF): 70Hz.

Avg: Average reference; Avg (NoOcc): average reference with occipital leads excluded; L: left, R: right.

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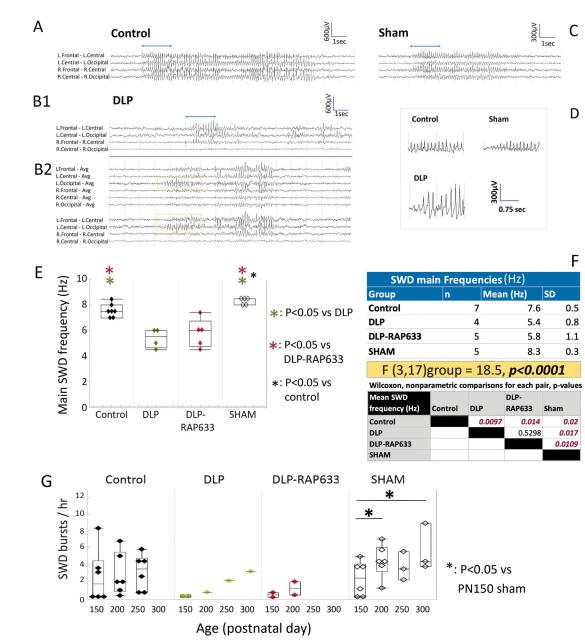


Fig 3. SWD bursts in adult control, DLP, DLP-RAP633, and Sham rats using epidural recordings.

Panel A. Example of a SWD burst in a control rat. Bipolar montages demonstrate bilateral onsets maximal frontally.

Panel B1 and B2. Example of focal SWD burst in a DLP rat, maximal at the left frontal region (B1). Panel B2 shows an atypical SWD with a hypersynchronous lead with rhythmic left occipital SWDs (yellow box) evolving into a SWD.

Panel C. Example of a SWD burst in a SHAM operated rat.

Panel D. SWD waveforms from each panel are shown with an expanded time scale (first 2 sec of bursts in panels A-D, shown with the blue lines in panels A, B1, C).

Panels E-F. Main frequencies of SWD bursts in adult rats. Spectral characteristics of SWD bursts were done using the first 2 seconds of the bursts in the EEG tracings from the right

and left central electrodes, referenced to a common reference. Comparisons of the mean SWD main frequency values of each rat indicated a significant group effect $[F(3,17)_{group} = 18.5, p < 0.0001$, mixed model analysis] (panel F). DLP and DLP-RAP633 have slower main SWD frequencies than sham or control rats (p<0.05, hence they are called here as "*slow-SWD*". Sham rats also had faster SWD main frequencies compared to controls. No significant difference in SWD main frequencies between right and left central electrode recordings were found in the various groups.

Panel G. Rates of SWD bursts per hour in adult rats across different age groups (PN150, PN200, PN250, PN300) and groups. Comparison of the mean SWD burst rates (SWD bursts/hr) of each rat using Wilcoxon test did not yield significant group effects. Linear mixed model analysis of hourly rates of SWD bursts as a function of age, for each group separately, using repeated measures, indicated significant age-related increase for the sham group only [PN150 vs PN200, p=0.043; PN150 vs PN300, p=0.009], although DLP and DLP-RAP633 groups had small sample sizes.

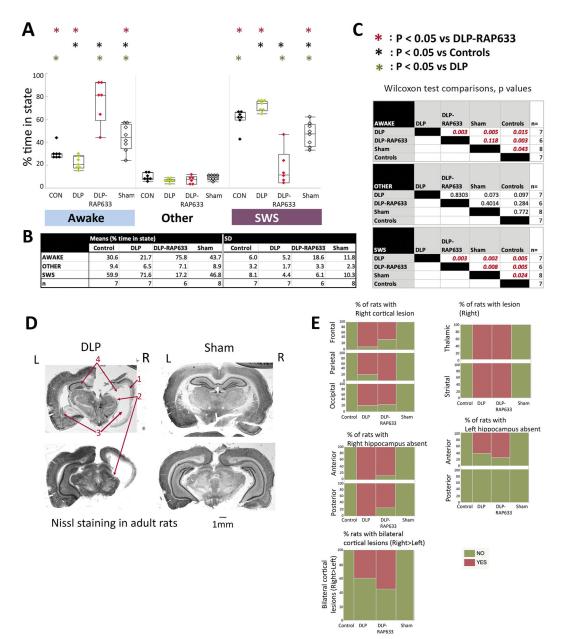


Fig 4. Sleep-wake periods and histological brain findings in adult rats.

Panels A-C: Percentage of slow wave sleep (SWS) and awake state periods in DLP, DLP-RAP633, control, and sham rats during the light periods, aged PN135–177. REM and passive wakefulness were scored as *"other"* due to the lack of electromyographic recordings. Panels A and B present the means and standard deviations (SD) of % time spent in each state for each group. Panel C shows the nonparametric Wilcoxon test comparisons across treatment groups for each state (distributions were not normal). All studied treatment groups (DLP, DLP-RAP633, sham) were different than controls in the SWS and awake states. DLP rats had increased SWS and reduced awake states from every other group. In contrast, DLP-RAP633 had significantly increased time spent in wakefulness compared to every other group. Sham rats spent more time in awake and less in SWS state compared to either

controls or DLP groups but had shorter awake periods compared to DLP-RAP633. DLP (n=7 rats); DLP-RAP633 (n=6 rats); control (n=7 rats); sham (n=8 rats). *Panel D*: Nissl staining of coronal sections of adult rat brains in the DLP and sham groups.

The DLP brain shows lesion at the right cortical, thalamic and striatal regions, absence of right and atrophy of left hippocampus. L: Left; R: Right. The barscale corresponds to 1mm distance. The red arrows indicate the right cerebral cortex (1), right thalamic regions (2), left striatum and corresponding region at the right (3), left hippocampus and corresponding region at the right (4).

Panel E: The distribution of injury / atrophy in the brains per each group is shown here for cortical, hippocampal, and thalamic / striatal regions or bilateral cortical lesions. Injury is classified as present ("yes") or absent ("no"). The injury was consistently seen at the right cortical, hippocampal and striatal / thalamic periventricular regions in the DLP and DLP-RAP633 rats, unlike control and sham rats that had no injury. Detailed statistical comparisons are given in the Supplementary Table 2.

Table 1.

Experimental treatment groups and terminology of EEG patterns

Treatment group	Age of treatment	Treatments	Spasms (PN4-13)	Ν			
DLP (all)		Combination of DLP and DLP-ETP rats (see next cells)	Yes	12 male			
- DLP	PN3	Doxorubicin (5µg/2.5µl in saline, right i.c.v.) LPS (3µg/1.5µl in saline, R.i.c.)	Yes	7 male			
	PN5	p-chlorophenylalanine (200mg/kg, i.p.)	-				
- DLP-ETP	PN3 and PN5	Same as DLP	Yes	5 male			
	PN4-6	ETP vehicle given i.p. once daily, equal volume as rapamycin treatment	-				
DLP-RAP633	PN3 and PN5	Same as DLP induction	Yes	10 male			
	PN4-6	Rapamycin given i.p. once daily, 6mg/kg on PN4, 3mg/kg on PN5 and PN6	-				
Sham	PN3	Saline (1.5µl, right intraparietal)	No	8 male			
Controls		No early life handling or surgery	Not monitored (*)	11 male			
Table 1B. EEG pa	ttern terminology						
Motor-type seizure ¹		Stereotypical patterns that disrupt the background, with evolution in field, frequencies, or amplitude, consist of a mixture of rhythmic activities and/or spikes or polyspikes, > 10 sec, that were associated with or were similar to seizures with motor manifestations					
Early seizure onset patterns		First changes of EEG from the baseline during a seizure					
Late seizure onset patterns		Follow the early seizure onset patterns and precede the generalized rhythmic spikes and seizure activity					
Low voltage fast (LVF)		Low amplitude beta (>13 Hz) activity that was visibly different than the background					
High amplitude alpha bursts (HA a bursts)		Alpha bursts with higher amplitude and field and more sharply contoured morphology than the rat's sleep spindles					
Epileptiform discharges (EDs)		Spikes or polyspikes or rhythmic spike-and-wave discharges (SWDs)					
Ictal onset attenuation (IA)		Attenuation of background voltage (i.e., <50% of prior background), loss of background frequency variability					
Rhythmic θ/a pattern		Rhythmic evolving theta/alpha activities, +/- rhythmic EDs, that lead to a seizure.					
Spike-and-slow wave discharge (SWDs) bursts		Stereotypical bursts of runs of rhythmic SWDs >1sec that were distinct from the background (>3x background voltage), and had relatively sudden onset and offset.					
Wake-sleep state sc	oring						
- Awake state (AW)		Low voltage EEG activity accompanied by exploratory behavior and appearance of theta or alpha activity ^{26, 27} .					
- Slow wave sleep (SWS)		Slow frequencies in the EEG background, including high amplitude delta waves, and frontocentral sleep spindles (7–16 Hz) accompanied by immobility.					
- "Other" state		REM sleep and passive wakefulness were scored as "other" due to the lack of EMG and eye movement recordings.					
		in over the ordings.					

(*)Controls were not monitored (PN4–13) to avoid stress or handling. Prior experiments had not demonstrated clusters of spasms in videomonitored naïve control rats at this age.

<u>Abbreviations</u>: ETP: vehicle for rapamycin, i.e. 4% ethanol, 5% Tween-80 and 5% polyethylene glycol 400; i.c.v.: intracerebroventricularly; i.p.: intraperitoneally; LPS: lipopolysaccharide; PN: postnatal day; R.i.c.: right intracortically.

¹Seizure scoring scale: The motor behavioral correlates of seizures were classified using the Racine scale as modified by Pinel & Rovner (stage

1–8)²⁵: stage 1, facial automatisms (chewing, jaw clonus); stage 2, head nodding; stage 3, unilateral forelimb clonus; stage 4, body jerks, bilateral forelimb clonus and rearing; stage 5, stage 4, loss of righting reflex; stage 6, repeated stage 5 seizures; stage 7, running, jumping and/or rolling; stage 8, stage 7 with periods of tonus.

Table 2.

Group comparisons on video-EEG monitoring, motor-type seizures and SWD bursts

Feature	DLP	DLP-RAP633	Sham	Control
Rats / group	12	10	8	11
Age at onset of monitoring (PN day)	78.8 ± 8.8	69.9 ± 6.8	122.4±37.5	93.4±36.8
Age at end of monitoring (PN day)	177.9 ± 79.4	169.5±69.3	239.8±50.2	247.4±32.7
Rat-days of monitoring	35.9±25.2	46.6±26.7	23±11.2	65.9±35.3
Motor-type seizures				
Rats with seizures	8/12 (66.7%)	2/10 (20%)	0/10 (0%)	1/11 (9.1%)
Total seizures (n)	26 total; 25 with EEG	12	0	1
Mean age at first detection (PN day)	110.3 ± 30.3 (range 68–137)	140.5 ± 64.3 (range 95–186)	N/A	173
Incidence of seizures	66.7% (8/12 rats)	20% (2/10 rats)	0% (0/8)	9.1% (1/11)
State dependence	n of seizures (%)	n of seizures (%)		n of seizures (%)
SWS	20/25 (80%)	8/12 (66.7%)	N/A	N/A (no pre-ictal)
AW	5/25 (20%)#	4/12(33.3%)	N/A	N/A (no pre-ictal)
Mean electrographic seizure duration (sec) (Min-max)	83 ± 101 (range 17 – 481 sec)	157 ± 112 (range 62–360 sec)	N/A	End of seizure onl
Stage 1 *	1/18 (5.6%)	0/12 (0%)	N/A	0/1 (0%)
Stage 2	1/18 (5.6%)	0/12 (0%)	N/A	1/1 (100%)
Stage 3	7/18 (38.9%)	1/12 (8.3%)	N/A	0/1 (0%)
Stage 4	3/18 (16.7%)	1/12 (8.3%)	N/A	0/1 (0%)
Stage 5	1/18 (5.6%)	0/12 (0%)	N/A	0/1 (0%)
Stage 6	4/18 (22.2%)	8/12 (66.7%)	N/A	0/1 (0%)
Stage 7	0/18 (0%)	2/12 (16.7%)	N/A	0/1 (0%)
Stage 8	1/18 (5.6%)	0 (0%)	N/A	0/1 (0%)
NCSE	1/18 (5.6%)	0 (0%)	N/A	0/1 (0%)
No video	8/26 (30.8%)	0 (0%)	N/A	0/1 (0%)
First change in:				
EEG	10/17 (58.8%)	12/12 (100%)	N/A	N/A
Behavior	2/17 (11.8%)	0/12 (0%)	N/A	N/A
Both	5/17 (29.4%)	0/12 (0%)	N/A	N/A
Unclear/no video	8/26 (30.8%)	0	N/A	0/1 (0%)
Unclear/no EEG	1/26 (3.8%)	0	N/A	1/1 (100%)
SWD bursts				
Rats with SWD bursts	4/12 (33.3%)	5/10 (50%)	5/8 (62.5%)	7/11 (63.6%)
Mean age at first detection (PN day)	79 ± 7.5	107 ± 57.1	144.8 ± 22.8	146.6 ± 24.4
Main SWD frequency (Hz)	5.4 ± 0.8	5.8 ± 1.1	8.3 ± 0.3	7.6 ± 0.5

AW: awake; N/A: Not applicable; NCSE: nonconvulsive status epilepticus; PN day: postnatal day; SWS: slow wave sleep.

#: Seizures in AW state occurred in two rats with NCSE or very epileptic background.

*. Seizure semiology in this stage 1 seizure was also described as being "jerky, ataxic, restless"

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