

Human Immunotypes Impose Selection on Viral Genotypes Through Viral Epitope Specificity

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Background. Understanding the genetic interplay between human hosts and infectious pathogens is crucial for how we interpret virulence factors. Here, we tested for associations between HIV and host genetics, and interactive genetic effects on viral load (VL) in HIV-positive antiretroviral treatment-naïve clinical trial participants.

Methods. HIV genomes were sequenced and the encoded amino acid (AA) variants were associated with VL, human single nucleotide polymorphisms (SNPs), and imputed HLA alleles using generalized linear models with Bonferroni correction.

Results. Human (388 501 SNPs) and HIV (3010 variants) genetic data were available for 2122 persons. Four HIV variants were associated with VL ($P < 1.66 \times 10^{-5}$). Twelve HIV variants were associated with a range of 1–512 human SNPs ($P < 4.28 \times 10^{-11}$). We found 46 associations between HLA alleles and HIV variants ($P < 1.29 \times 10^{-7}$). HIV variants and immunotypes when analyzed separately were associated with lower VL, whereas the opposite was true when analyzed in concert. Epitope binding predictions supported our observations.

Conclusions. Our results show the importance of immunotype specificity on viral antigenic determinants, and the identified genetic interplay emphasizes that viral and human genetics should be studied in the context of each other.

Clinical Trials Registration: NCT00867048.

Keywords. HIV; GWAS; genome-wide association study; viral genomics; host genomics; genome-to-genome analysis; viral load.

Human leukocyte antigens (HLA) present peptides from invading pathogens to help the generation of an immune response [1]. Genetic variants of HLA genes, that is different alleles, show differences in peptide presentation which translates into nonidentical immune response towards a given pathogen, and some HLA alleles will be selectively beneficial for the host to fight infection. Vice versa, pathogens are genetically diverse, and genetic variants are selected to evade the human immune response [2], but also to optimize other phenotypes such as antiretroviral therapy resistance [3–5]. Accordingly, it has been found that the genetic variation of human immunodeficiency

virus 1 (HIV-1) is partly shaped by which HLA is encountered within the host [6]. Similarly, HLAs expressed by the host affect the replicative rate of the virus: some HLAs or even their functional groups are known to be protective while others are associated with worse outcomes [7, 8]. The proportion of variation in the HIV genome attributable directly to the interaction with the host genetics remains unclear. Furthermore, the molecular specificity of the interplay between viral genetic escape and host genomic control remains poorly defined [9].

In principle, the ability of HIV to replicate in the body can be quantified by the plasma viral load (VL), the key determinant to identify the risk of disease progression and death [3]. Large variations in VL are observed in HIV-positive persons and a sizable fraction hereof in early HIV infection is assumed to be due to variation in viral replicative ability, which is also influenced by the host immune response [10]. A fraction of variance in HIV replication and disease progression is attributable to viral [10–14] or host genetics [15–18]. However, viral and host genetic interactions are poorly understood [9]. Prior HIV and host genetic association studies encompassed data

Received 18 February 2021; editorial decision 4 May 2021; accepted 6 May 2021; published online May 11, 2021.

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The Journal of Infectious Diseases® 2021;224:2053–63

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from persons with similar genetic background or individual subtypes of HIV [19–21]. Expanding to a cohort with multiple viral subtypes and diverse host demographics could elucidate specific factors that influence HIV pathogenesis or generalize previous findings from more homogenous cohorts.

In this study we performed 4 analyses (Figure 1): (1) association of HIV AA variants with VL to estimate the effect of viral genetic variation on VL in HIV infection, (2) association of HIV AA variants with human single nucleotide polymorphisms (SNPs) to identify genome-to-genome associations, (3) association of HIV AA variants with HLA alleles to identify HLA alleles with the strongest association with HIV genetic variants, and (4) bivariate association and interaction of HIV AA variants and HLA alleles on VL to resolve the interplay of viral and human genetics during HIV infection. These associations were performed in a demographically diverse cohort of treatment-naive HIV-positive persons with different HIV subtypes from the Strategic Timing of Antiretroviral Treatment (START) cohort [22].

METHODS

Study Population

Samples analyzed in this study were derived from participants in the START trial (NCT00867048), conducted by the International Network for Strategic Initiatives in Global HIV

Trials, which included 4685 antiretroviral therapy-naive and asymptomatic participants [22] who had a CD4⁺ cell count >500 cells/μL at baseline, no history of AIDS or of antiretroviral treatment, and were older than 18 years at study entry. For this study, we included participants that (1) had baseline plasma samples with a VL measurement ≥1000 copies/mL and viral genomes sequenced by next-generation sequencing (n = 3785), and (2) consented to human genotyping (n = 2546). All samples were derived from participants who provided written informed consent and all informed consents were reviewed and approved by participant site ethics review committees. The study was approved by the institutional review board or ethics committee at each contributing center.

HIV Sequencing, Alignment, and Variant Calling

The detailed laboratory procedure is described in the [Supplementary Materials and Methods](#). In short, viral RNA was extracted from plasma and amplified using 2 amplicons spanning 7125 nucleotides of the 9719-nucleotide (74%) HIV genome and sequenced by next-generation sequencing. Reads were first aligned against the GRCh37.p13 human reference genome with Bowtie2 version 2.2.8 to retain read pairs with neither read aligning to the human genome. Virvarseq [23] was used for alignment of cleaned reads and AA variant calling with the HXB2 HIV-1 reference genome (GenBank accession

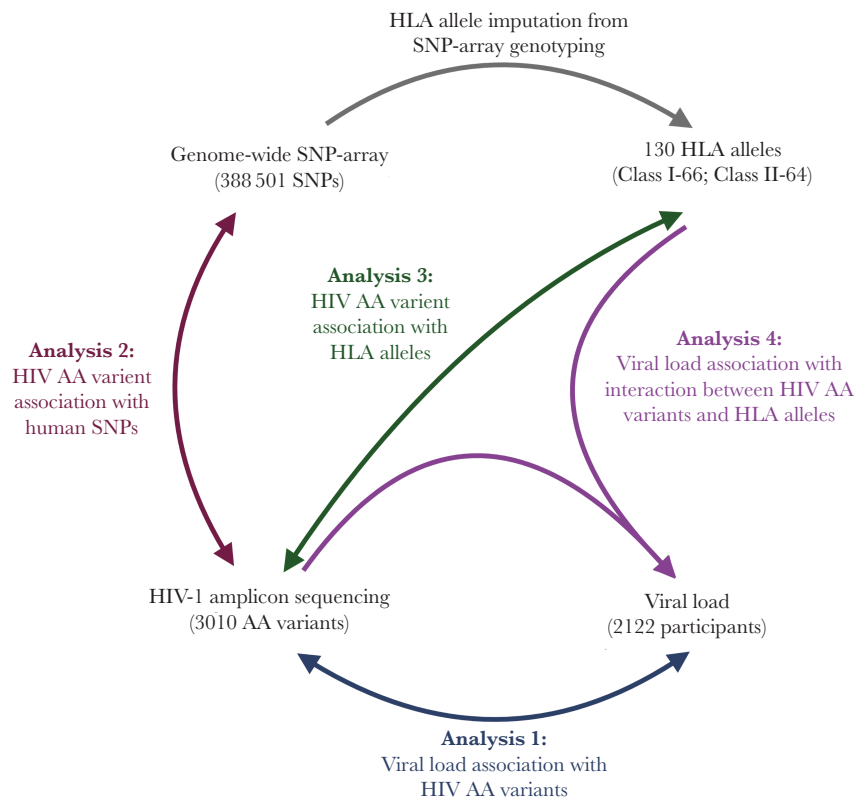


Figure 1. Schematic visualization of the 4 association analyses performed in this study. Abbreviations: AA, amino acid; HIV, human immunodeficiency virus; SNP, single nucleotide polymorphism.

number K03455.1) with default parameters. Virvarseq alignment, realignment, and AA variant calling were performed per gene basis (*rev* and *tat* genes were split into separate analyses for each reading frame). The median, minimum, maximum, first and third quartile read alignment coverage, and depth for HIV genes are visualized in [Supplementary Figure 1](#). The 2 amplicons did not completely cover the genes *gag*, *pol*, and *tat*; therefore, variant calls for the *gag* gene were considered from HXB2 reference genome AA position 240, for the *pol* gene until AA position 983, and for the *tat* gene from position 47. No sequencing was available for the genes *vpr* and *vif*. Minimum Phred quality scores for all called codons were >20. Variant calling was performed only for samples that had at least 10% of the gene covered by aligned reads with minimum 10-fold coverage. Variants were called if there was a minimum of 10-fold coverage and a minimum of 50% aligned reads supported the variant, that is we used the consensus call for the viral quasispecies.

Genotyping and Quality Control

The 2546 study participants were genotyped by Affymetrix Axiom SNP-array enriched with markers related to immune dysfunction, consisting of 388 501 probes after excluding the following: (1) nonmonoallelic variants, (2) variants with >20% missingness, (3) <0.05 minor allele frequency, and (4) variants that deviated from the Hardy-Weinberg equilibrium ($P < 1 \times 10^{-6}$). The detailed procedure for host genotyping and HLA allele imputation is described elsewhere [15]. Only HLA alleles with $\geq 1\%$ frequency in the population were used in the association analyses: 66 class I HLA alleles (20 HLA-A, 29 HLA-B, and 17 HLA-C alleles) and 64 class II HLA alleles (14 HLA-DPB1, 13 HLA-DQA1, 14 HLA-DQB1, and 23 HLA-DRB1 alleles). Individuals with (1) >20% missingness, (2) outlying heterozygosity rates (more than ± 3 SD), (3) cryptic relatedness (PI Hat > 0.2) [24], and (4) missing baseline VL measurements were excluded.

Statistical Analysis

The association analysis was performed with PLINK2 [25]. A dominant linear regression model was fitted to assess associations of VL with HIV AA variants, and of VL with the bivariate association and interaction between HIV AA variants and HLA alleles. A generalized linear model with logit link function was applied for genome-to-genome and HIV AA variants with HLA allele association analysis. VL measurements were \log_{10} transformed prior to analysis. Bonferroni correction for multiple testing with an adjusted threshold of 0.05 was used for all associations except the VL association with the interaction between viral and host genetics. Age, sex assigned at birth, and the first 4 principal components of the genetic data from both human and viral populations were used as covariates to account for population structures. The principal component analysis was performed using EIGENSOFT version 6.1.4 [26, 27]. R [28]

was used for explained variance analysis and visualizations. The explained variance was analyzed by comparing the variable's sum of squares with the total sum of squares from analysis of variance (ANOVA).

Epitope Binding Prediction

Epitope binding was predicted with NetMHCpan version 4.0 [29] considering all the 9-mer peptides with a 9-nucleotide window around the position of interest using the default binding affinity thresholds.

RESULTS

Study Participants

A total of 2172 participants consented for genetic testing and had matching HIV genomes sequenced. Three participants did not have baseline VL measured, 46 had outlying heterozygosity, and 1 had cryptic relatedness, leaving 2122 participants in the study, spanning 5 continents and 23 countries ([Table 1](#)).

HIV Amino Acid Variants

A total of 16 040 AA variants were called with Virvarseq. The AA variants were filtered based on allele frequency: $\geq 5\%$ and $\leq 95\%$ of the study population. If more than 1 AA variant was observed in a position, the most abundant variant was designated as a reference and excluded from further analysis to reduce redundancy. This reduced the number of HIV AA variants to 3010. The AA variant distribution across the HIV genome was 215 (7%) variants in *gag*, 1041 (35%) in *pol*, 80 (2%) in *tat*, 144 (5%) in *rev*, 110 (4%) in *vpu*, 1184 (39%) in *env*, and 236 (8%) in *nef* genes.

Association of HIV Amino Acid Variants with Viral Load

Of the 3010 analyzed HIV AA variants, 4 were associated with VL (Bonferroni P value < 1.66×10^{-5}). All HIV AA variants tested for association with VL are visualized in a Manhattan plot ([Supplementary Figure 2](#)). The 4 variants were Pol980E (integrase), Tat53R, Rev7D, and Env571W (gp41); details of these AA variants and their sequencing coverage are listed in [Supplementary Tables 1 and 2](#). The effect size of the 4 HIV AA variants ranged from -0.084 to $+0.097 \log_{10}$ copies/mL. ANOVA of the 4 HIV AA variants shows that they account for 8.2% of the total variation in \log_{10} VL. Pearson correlation coefficient of VL with the number of aligned reads was 0.21.

HIV Amino Acid Variant Association With Host SNPs

Association tests between viral AA variants and host genome-wide SNPs resulted in a total of 12 HIV AA variants that were associated with a range of 1–512 human SNPs (Bonferroni threshold P value < 4.28×10^{-11} ; [Table 2](#)). Most associations were in the genes *gag* ($n = 5$) and *nef* ($n = 5$) with the strongest association between Gag242N HIV AA variant and the human rs1248395773 SNP (P value = 1.22×10^{-79}). All but 1 association were in the HLA class I gene region (chr6:29 910 247–31 324 939). The

Table 1. Characteristics of 2122 Participants From the START Trial Included in the Analysis

Characteristic	START Participants After Quality Control (n = 2122)
Age, y, median (IQR)	36 (29–45)
Sex (%)	
Female	365 (17)
Male	1757 (83)
Self-reported race (%)	
Asian	21 (1)
Black	432 (20)
Hispanic	382 (18)
White	1250 (59)
Other	37 (2)
Geographic region (%)	
Africa	244 (11)
Australia	85 (4)
Europe and Israel	1030 (49)
Latin America	392 (18)
United States	371 (17)
Mode of HIV infection (%)	
Injection drug use	34 (2)
Sex with same sex	1445 (68)
Sex with opposite sex	625 (29)
Other	23 (1)
HIV subtype (%)	
A	24 (1)
B	1364 (64)
C	101 (5)
AB	35 (2)
AE	17 (1)
AG	38 (2)
BC	85 (4)
BF	113 (5)
BG	11 (1)
Other	67 (3)
Unknown	268 (13)
Time since HIV diagnosis, y, median (IQR)	1.08 (0.44–2.84)
Recent infection, within 6 mo (%)	163 (7.7)
CD4 ⁺ cell count, cells/ μ L, median (IQR)	643 (583–744)
HIV load, copies/mL, median (IQR)	19 450 (6393–51 732)

Data are No. (%) except where indicated.

Abbreviations: HIV, human immunodeficiency virus; IQR, interquartile range; START, Strategic Timing of Antiretroviral Treatment.

association between Gag242N and a host SNP outside the HLA class I gene region (chr15:50785016) was in high linkage disequilibrium (LD; $R^2 \geq 0.90$) with several associated SNPs from the HLA gene region (Supplementary Table 3).

Association of HIV Amino Acid Variants With HLA Alleles

As all the associations in the previous analysis were in the HLA gene region, we next tested the associations between the HIV AA variants and the imputed HLA alleles. We identified 46 associations (Bonferroni threshold P value $< 1.29 \times 10^{-7}$) between 33 different HLA alleles (27 class I and 6 class II) and 31 HIV AA variants (Figure 2). The 12 previously identified associated HIV AA variants were also associated with HLA alleles. As in the previous analysis, most associations were in the genes *gag*

($n = 12$) and *nef* ($n = 17$). Furthermore, several HLA alleles were in LD while no HIV AA variants were in LD (Figure 3A and Supplementary Table 4). Associated HLA alleles showed different prevalence across participants' race; however, all of the associated alleles were present in the major subpopulations (white, black, and Hispanic participants) (Supplementary Table 5).

We further compared our findings to a study by Bartha et al [19] that performed a similar analysis although not including HLA class II alleles. Of the 39 associations between HLA class I alleles and HIV AA variants identified in this study, 17 associations overlapped with the 53 associations between HLA class I alleles and HIV AA variants identified by Bartha et al (Figure 3B). Like our analysis, Bartha et al also identified most associations to *gag* and *nef*; nonetheless, the largest relative

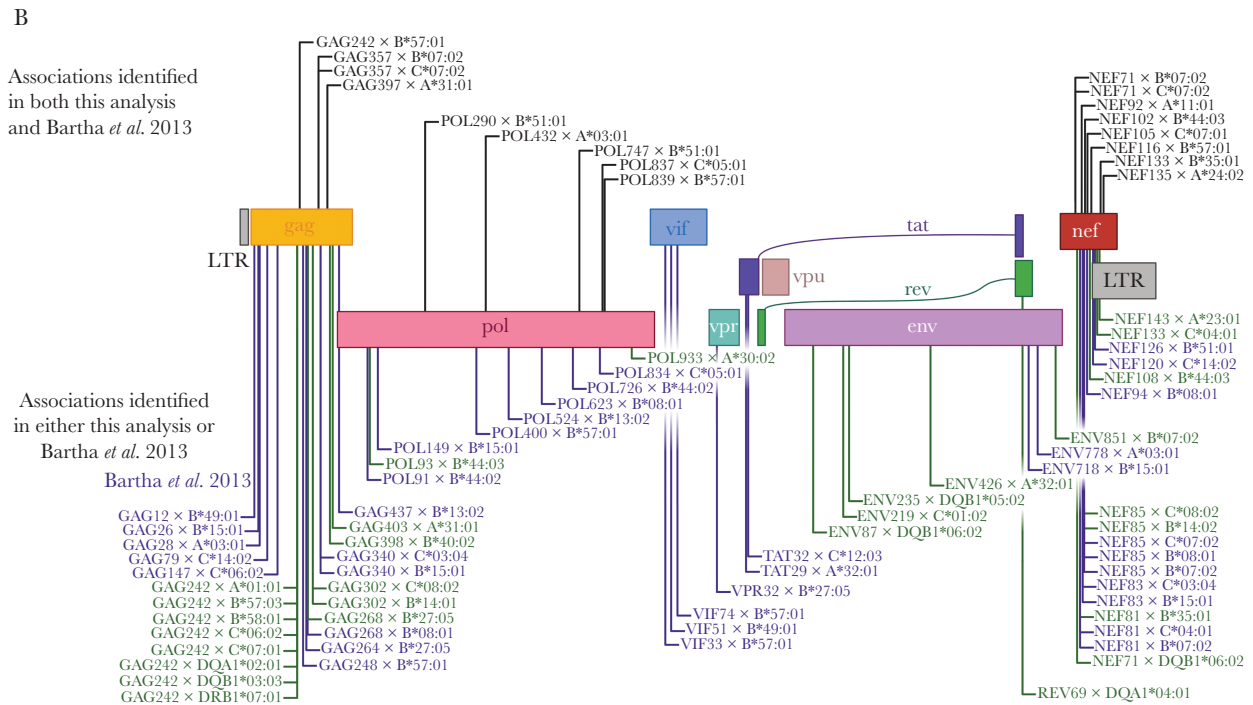
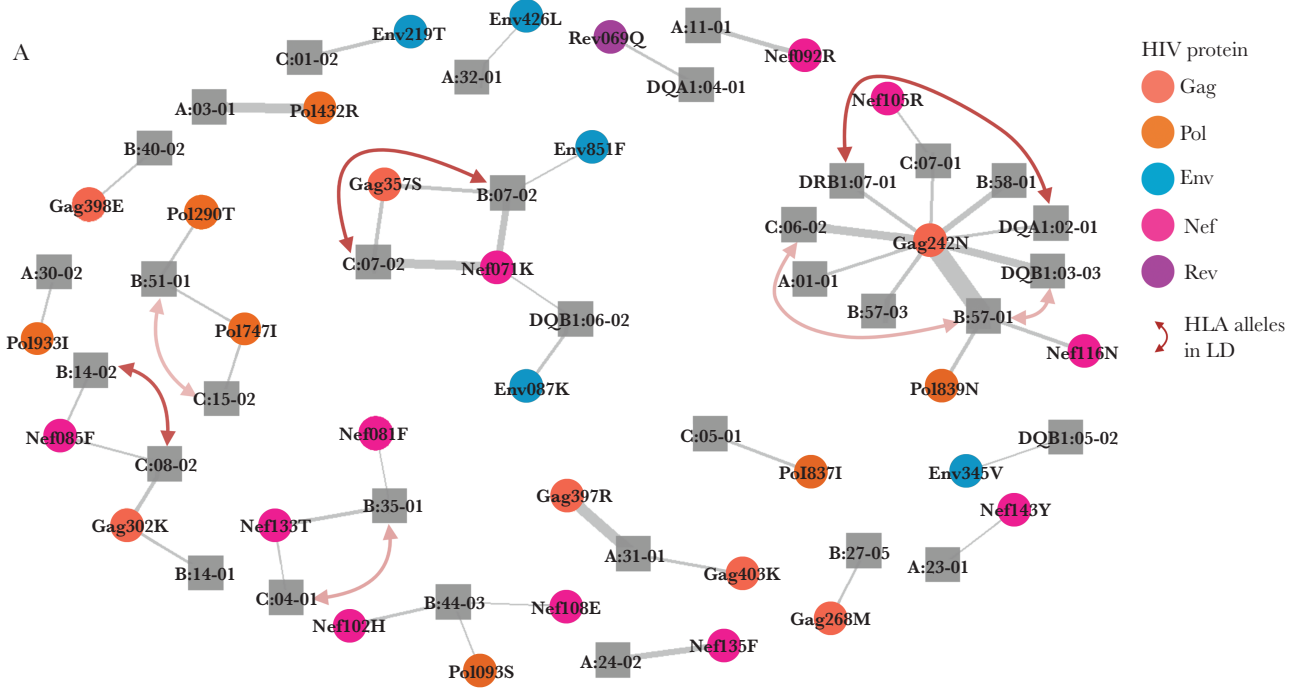


Figure 3. A, Network of the associated HLA alleles and HIV AA variants where line thickness represents the association's strength and red arrows mark HLA alleles in LD. The opacity of the arrows corresponds to squared correlation coefficient (R^2). B, Comparison between the HLA type and HIV AA associations identified in this analysis and the Bartha et al study [19]. Abbreviations: AA, amino acid; HIV, human immunodeficiency virus; LD, linkage disequilibrium; LTR, long terminal repeat.

variant in all 3 cases showed higher VL (P value $< 5 \times 10^{-2}$; Figure 4). Moreover, the interaction between B*57:01 and Gag242N AA variant did not meet our P value threshold (P value = 8.5×10^{-2}); however, it showed the same interaction tendency as B*57:03 allele interaction with Gag242N AA

variant (Supplementary Table 8). Both B*57:01 and B*57:03 HLA alleles are known to belong to the same functional group. Furthermore, we predicted the binding affinities in these 4 cases (Supplementary Tables 7 and 8). Epitopes with the associated HIV AA variants were weak or nonbinders;

Table 3. Summary of HLA Alleles, the Associated HIV Amino Acid Variants, and Their Interaction Effect on Viral Load That Passed the P Value Threshold

Variable	Effect Size (95% CI)	P Value
Gag242N	-0.136 (-.220 to -.053)	1.4 × 10⁻³
B*57:03	-0.591 (-.945 to -.237)	1.1 × 10⁻³
Gag242N×B*57:03	0.577 (.163 to .991)	6.4 × 10⁻³
Gag302K	0.005 (-.124 to .135)	9.3 × 10 ⁻¹
B*14:01	-0.419 (-.712 to -.125)	5.2 × 10⁻³
Gag302K×B*14:01	0.540 (.185 to .896)	3.0 × 10⁻³
Pol432R	-0.015 (-.082 to .051)	6.5 × 10 ⁻¹
A*03:01	-0.188 (-.324 to -.051)	7.3 × 10⁻³
Pol432R × A*03:01	0.226 (.068 to .384)	5.1 × 10⁻³

The variables in bold represent association tests below the P value threshold. Abbreviations: CI, confidence interval; HIV, human immunodeficiency virus.

vice versa, epitopes with other than the HIV AAs on the same position were strong binders.

The 3 associations with interaction effects on VL were used to investigate the explained variance in log₁₀ VL. We first applied the model of VL with the 3 HLA alleles and the associated HIV AA variants as covariates, and HLA alleles together with HIV AA variants explained 2.0% of the total variance. When the interactions were added as covariates to the model, the explained variance was 3.6%.

DISCUSSION

The host and HIV viral genome interaction and its effect on VL in demographically diverse populations is poorly understood. In this study, we performed 4 analyses using baseline data from antiretroviral treatment-naive START trial participants to explore the interaction of viral and host genetics together with the impact it has on the VL, which is a proxy for disease progression. While we found little signal in the associations between HIV AA variants and VL, we identified both host SNPs and HLA alleles that were associated with HIV AA variants. Finally, we showed that the interaction of several of these associations was affecting the VL.

The first association analysis with VL against HIV AA variants showed a weak signal, with only 4 associations below the P value threshold. Similar results were observed in a study on persons infected with subtype B by Bartha et al [19]. Only the HIV AA variant Env571W is located in a region previously described as being associated with the replicative fitness of HIV [30, 31]. However, while these associations pass the stringent P value threshold requirements, further functional laboratory validation is necessary to confirm any biological function. From the low number of HIV AA variants associated with VL, it is apparent that single variants in the HIV genome cannot sufficiently explain differences in VL between individuals. The analysis of associations between VL and HIV AA variants is inherently limited, as VL correlates with the ability to detect

HIV AA variants: low sequencing coverage occurred more frequently in low VL samples.

The second association analysis with HIV AA variants against human SNPs showed strong associations between HIV AA variants and SNPs in the HLA region. In particular, Gag242N was associated with 511 SNPs in the class I HLA region. Interestingly, none of the associations were observed in the gene *env*, which might be due to the hypervariable nature of some regions of this gene and that adaptation to the host immune system happens shortly after the initial infection, making it difficult to capture both adapted and nonadapted viral genotypes in the data set [32]. Ultimately, the associations in the host genome were only observed in the HLA gene region. The same observation of the associated SNPs being in the HLA gene region was made by Bartha et al [19]. To improve the sensitivity and biological interpretability of the analysis, we next tested directly for associations between HLA alleles and HIV AA variants.

Of 66 tested class I HLA alleles, 27 were associated with 1 or several HIV AA variants, which could be corresponding to the CD8⁺ T-cell mediated response primarily interacting with HIV. Our analysis adds to the previous findings of Bartha et al [19] that were based on a smaller and demographically more homogeneous cohort of primarily European descent, and 17 associations between HIV AA and class I HLA alleles overlap between our study and that of Bartha et al [19]. The overlapping associations were located in *gag*, *pol*, and *nef* genes, which suggests these HIV genome regions as likely being under high host pressure. Several factors might explain differences in the associations identified across studies: (1) the START cohort is larger and more demographically heterogeneous than the cohort from Bartha et al [19], where only participants with Western European ancestry and carrying subtype B HIV were included; (2) this analysis included both class I and class II HLA alleles while class II HLA alleles were not part of the Bartha et al study; and (3) Bartha et al did not include a part of the *env* gene (coding for GP120) while in this analysis the beginning of the *gag* gene, the end of the *pol* gene, the beginning of the *tat* gene, and the genes *vif* and *vpr* were not sequenced. Our study supports the findings from Kinloch et al [33], that many escape pathways are not subtype B specific, but rather shared among different subtypes.

Only 6 out of 64 tested HLA class II alleles had associations with P values below the Bonferroni threshold. Furthermore, several associated HLA class II alleles were in LD with other associated HLA alleles, which could indicate that HLA class II alleles have less effect on HIV diversity as the primary immune response is mediated through CD8⁺ T-cells and CD4⁺ cells expressing HLA class II molecules play a lesser role during the infection [34]. However, from the 46 identified associations between HLA alleles and HIV AA variants (Figure 3A) we show that a heterogeneous group of HLA alleles is associated with

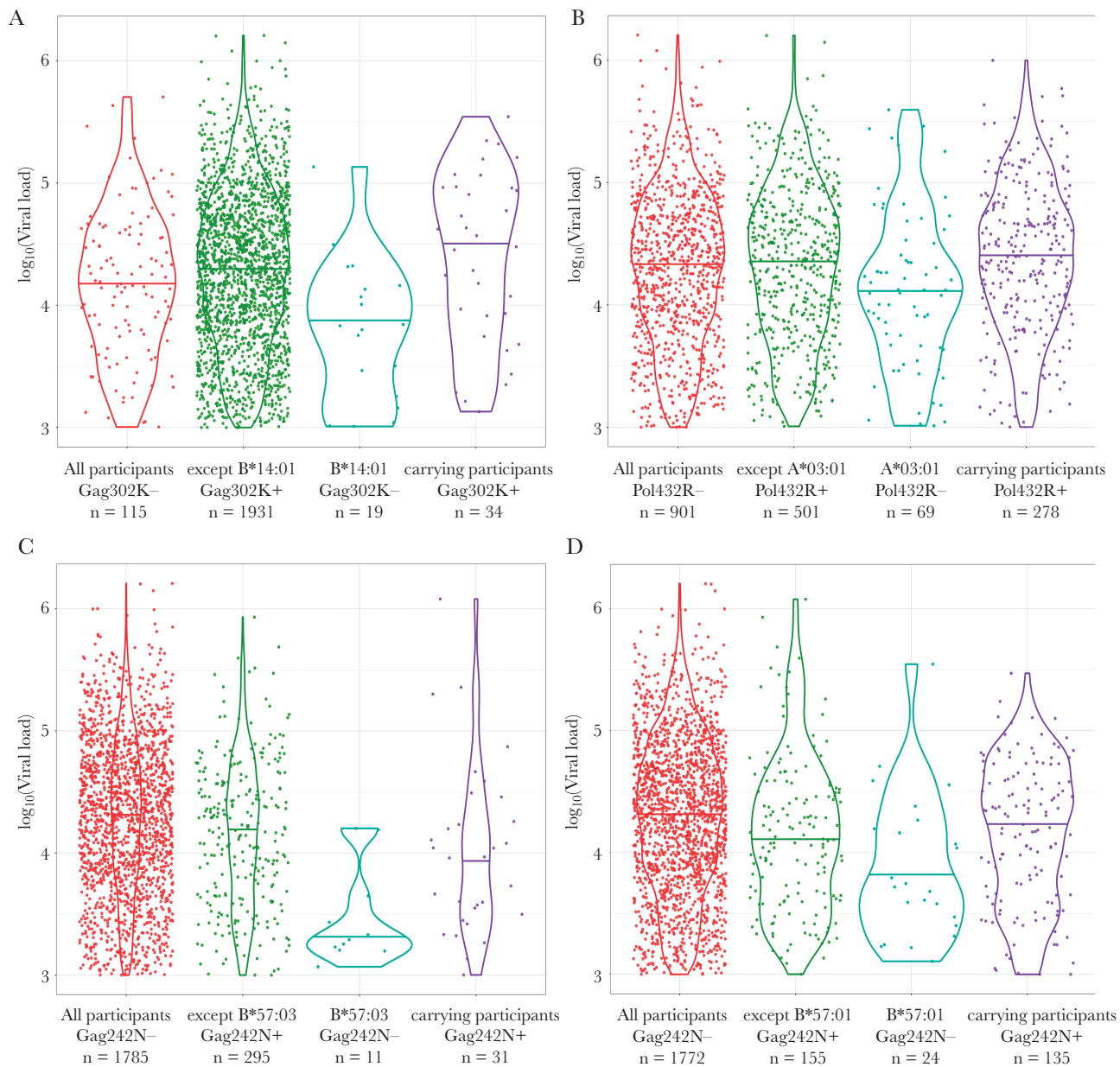


Figure 4. Violin plots of the HIV amino acid (AA) variant and HLA alleles show the 3 associations that had a strong ($P < .05$) interaction effect on viral load (A–C), and the Gag242N and B*57:01 association that did not have a strong interaction effect on viral load but shows the same tendency as the Gag242N and B*57:03 association (D).

a diverse group of HIV AA variants, which might be essential during the HIV infection for viral adaptation to the host.

The interaction analysis between HIV AA variants with the associated HLA alleles and their influence on VL revealed that, while several HLA alleles are linked to lower VL and the HIV AA variants associate with no or even a slightly lower VL, the interaction between them associated with higher VL; thus the protective effect of the HLA allele is diluted when analyzed with the corresponding viral genotype. Arora et al [35] recently showed that B*57:01 and B*57:03 HLA alleles share similar clusters of HIV-associated epitopes. While predominantly found in different races, our analysis shows that these HLA alleles and

their interaction with the associated HIV AA variants show the same impact on VL, which further supports the observed interaction effect as a selected biological trait. This observation is further supported by the predicted epitope binding affinity of the 4 associations where the associated HLA allele had a lower binding affinity to the epitope containing the associated HIV AA variant than the other observed AA variants in the position of interest.

While only a fraction of variance is explained using the 3 associated HLA alleles and HIV AA variants together with their interaction, the observation that addition of the interaction to the model explained almost twice as much variance indicates

that the interaction between the viral and host genomes is essential when analyzing viral evolution and adaptation.

Our study has several limitations. First, while it is a demographically diverse and large cohort, we did not have consent for genotyping from Asian START clinical trial participants. Furthermore, larger cohorts that expand on the demographic diversity are needed for the more sensitive association identification in the association of VL to HIV AA variants analyzed in concert with interactive HLA alleles as the analysis of participants with less common HLA alleles did not have enough power. Moreover, because of the study design no follow-up HIV genomes were included in the study, which could have provided further support for the identified associations as the phenomena of the viral adaptation to the host immunotype. SNP-array genotyping of the host genome and HIV amplicon sequencing instead of whole-genome sequencing limited the amount of genetic variants that could be identified for association analysis; however, our SNP arrays were enriched for immune genes of the human genome [15] and the majority of the coding region in the HIV genome was covered. Similarly, the HLA allele information was imputed with high accuracy and not based on conventional HLA typing, thus slightly increasing the uncertainty in our study. In addition, we analyzed only HIV consensus sequences, and accordingly we did not address the intrahost diversity, which would provide more detailed insights at the individual level. Finally, because of the nature of the cohort, no participants with low CD4⁺ cell count and low VL were included in the analysis and, therefore, HLA alleles from HIV controllers will not be well represented in our analysis.

In conclusion, by using a demographically diverse cohort of treatment-naive HIV-positive persons with different HIV subtypes, we were able to identify and quantify the influence that viral and host genetics have on VL. We observed that HIV AA variants alone explained little to no variation in VL. Instead, we found that a diverse group of HLA class I alleles, and to a lesser extent also HLA class II, impose selection on HIV genomes for variants primarily in *gag* and *nef* genes, and that VL was influenced by the interplay of viral and human genetics. Accordingly, HIV AA variants and the host HLA alleles should be analyzed and interpreted together, highlighting that HIV virulence factors are best studied in the context of the host.

Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes

Acknowledgments. We thank all participants in the START trial and all trial investigators (see Lundgren et al [22] for the complete list of START investigators).

Author contributions. R. L. M. and J. D. L. conceived the study and supervised the project. M. G., M. B., A. G. Z., R. L. M., and J. D. L. designed the analysis plan. M. G. and M. B. conducted the analysis and wrote the manuscript. M. G., M. B., A. G. Z., C. E., D. D. M., V. L. K., G. T., L. V., D. T., J. N., H. C. L., S. S., A. A. P., M. N. P., H. F. G., J. D. L., and R. L. M. revised the analysis plan, and reviewed and edited the manuscript.

Financial support. This work was supported by the Danish National Research Foundation (grant number 126). The START trial was supported by the National Institutes of Health National Institute of Allergy and Infectious Diseases, Division of AIDS (United States) (grant numbers UM1-AI068641, UM1-AI120197, and 1U01-AI36780), National Institutes of Health Clinical Center, National Cancer Institute, National Heart, Lung, and Blood Institute, Eunice Kennedy Shriver National Institute of Child Health and Human Development, National Institute of Mental Health, National Institute of Neurological Disorders and Stroke, National Institute of Arthritis and Musculoskeletal and Skin Diseases, Agence Nationale de Recherches sur le SIDA et les Hépatites Virales (France), National Health and Medical Research Council (Australia), National Research Foundation (Denmark), Bundes Ministerium für Bildung und Forschung (Germany), European AIDS Treatment Network, Medical Research Council (United Kingdom), National Institute for Health Research, National Health Service (United Kingdom), and University of Minnesota. Antiretroviral drugs for the START clinical trial were donated to the central drug repository by AbbVie, Bristol-Myers Squibb, Gilead Sciences, GlaxoSmithKline/ViiV Healthcare, Janssen Scientific Affairs, and Merck.

Potential conflicts of interest. All authors: No reported conflicts of interest. All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

Presented in part: virtual Conference on Retroviruses and Opportunistic Infections (CROI) 8–11 March 2020, poster number 00272.

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