

Emergence and Transmission of Daptomycin and Vancomycin-Resistant Enterococci Between Patients and Hospital Rooms

Lynn El Haddad,¹ Blake M. Hanson,^{[2](#page-0-1)[,3](#page-0-2)} Cesar A. Arias,^{[2,](#page-0-1)[3](#page-0-2),[4](#page-0-3)} Shashank S. Ghantoji,^{[5](#page-0-4)} Cynthia P Harb,⁵ Mark Stibich,^{5[,6](#page-0-5)} and Roy F. Chemaly⁵

¹Department of Medicine, University of Florida, Gainesville, Florida, USA; ²Center for Antimicrobial Resistance and Microbial Genomics and Division of Infectious Diseases, UTHealth McGovern Medical School, Houston, Texas, USA; ³Center for Infectious Diseases, UTHealth School of Public Health, Houston, Texas, USA; ⁴Molecular Genetics and Antimicrobial Resistance Unit— International Center for Microbial Genomics, Universidad El Bosque, Bogota, Colombia; ⁵Department of Infectious Diseases, Infection Control and Employee Health, University of Texas MD Anderson Cancer Center, Houston, Texas, USA; and ⁶Xenex Disinfection Services, San Antonio, Texas, USA

Background. Vancomycin-resistant enterococci (VRE) are a major cause of morbidity and mortality in immunocompromised patients. Tracking the dissemination of VRE strains is crucial to understand the dynamics of emergence and spread of VRE in the hospital setting.

Methods. Whole genome sequencing (WGS) and phylogenetic analyses were performed to identify dominant VRE strains and potential transmission networks between 35 patients with VRE-positive rectal swabs and their rooms (main rooms and bathrooms) on the leukemia (LKM) and the hematopoietic cell transplant (HCT) floors. Sequence types (STs), drug resistance genes, and patients' outcomes were also determined.

Results. A total of 89 VRE strains grouped into 10 different STs, of which newly described STs were isolated from both floors (ST736, ST494, ST772, and ST1516). We observed highly genetically related strains transmitted between rooms, floors, and time periods in an average period of 39 days (ranging from 3 to 90 days). Of 5 VRE bacteremia events, 3 strains were lacking the pili operon *fms14–17–13* (ST203) and the remaining 2 were resistant to daptomycin (DAP; ST736, ST664). Of 10 patients harboring DAPresistant strains, only 2 were exposed to DAP within 4 months before strain recovery.

Conclusions. Our comparisons of VRE strains derived from the environment and immunocompromised patients confirmed horizontal transfer of highly related genetic lineages of multidrug-resistant (particularly to DAP) VRE strains between HCT and LKM patients and their room environment. Implementing WGS can be useful in distinguishing VRE reservoirs where interventions can be targeted to prevent and control the spread of highly resistant organisms.

Keywords. vancomycin-resistant enterococci (VRE); immunocompromised; daptomycin (DAP); whole genome sequencing; transmission.

Vancomycin-resistant enterococci (VRE) constitute a major cause of morbidity and mortality in patients with underlying malignancies [\[1\]](#page-6-0). In allogeneic hematopoietic cell transplant (allo-HCT) recipients, subjected to high antibiotic administration, over one third of the population is colonized with VRE [[1](#page-6-0)]. VRE infections range from 3.6% to 22% within the first year after transplantation, with 30-day mortality rates of 38% and sometimes up to 88% [\[2\]](#page-6-1). In these patients, loss of mucosal immunity and disruption of the gastrointestinal barrier contribute to the translocation of VRE strains into the bloodstream after colonization [[3,](#page-6-2) [4](#page-6-3)]. Of interest,

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VRE-colonized HCT recipients also experience higher rates of norelapse mortality compared to noncolonized patients [\[5](#page-6-4)].

Daptomycin (DAP) has been used for treatment of VRE infections since 2003 [[6](#page-6-5)]. This drug has bactericidal activity against VRE with low toxicity potential [\[7\]](#page-6-6). However, emergence of DAPresistant VRE causing invasive infections seems to be on the rise [\[6\]](#page-6-5). In fact, a study showed an increase in DAP-resistant VRE bacteremic isolates from 3.4% in 2007 to 15.2% in 2009, with 83% occurring in patients with hematologic malignancies [\[6\]](#page-6-5). DAP exposure, along with immunosuppression, might be associated with the development of resistance in enterococci. In a recent study, colonization or infection with DAP non-susceptible enterococci was detected in 60% of patients who had exposure to DAP therapy for about 14 days [[8](#page-6-7)]. Of these patients, 68% had DAP nonsusceptible enterococci infections and 36% experienced bacteremia. In another study, patients with DAP-resistant enterococcal bacteremia were more likely to be HCT recipients (74%) and to be exposed to high-dose (>6mg/kg per day) DAP (71%) than patients with other hematologic malignancies [\[2\]](#page-6-1).

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Correspondence: R. F. Chemaly, Department of Infectious Diseases, Infection Control, and Employee Health, University of Texas MD Anderson Cancer Center, 1515 Holcombe Blvd, Unit 1460, Houston, TX 77030-4095 ([rfchemaly@mdanderson.org](mailto:rfchemaly@mdanderson.org?subject=)).

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Nonetheless, development of DAP resistance in the absence of DAP exposure has also been documented [[6](#page-6-5), [9,](#page-6-8) [10](#page-6-9)].

Considering the serious public health threat associated with the emergence of DAP-resistant VRE and VRE in general, studying the clinical epidemiology and transmission dynamics of these organisms are the initial steps to understand their emergence and spread in the hospital setting. In this study, using whole genome sequencing (WGS) and single nucleotide polymorphism (SNP) analyses, we identified VRE dynamics and transmission networks in leukemia (LKM) and allo-HCT patients at the University of Texas MD Anderson Cancer Center, Houston, Texas, USA. In addition, we studied the transmission and influence of DAP-resistant strains in patients' outcomes.

MATERIALS AND METHODS

Sampling and Selection of VRE Isolates

Rectal swabs are performed routinely as part of an infection control surveillance program for HCT recipients and LKM patients on admission and once a week during their stay until discharge at MD Anderson Cancer Center, Houston, Texas. Environmental samples were collected from rooms on 2 consecutive floors that housed LKM and HCT patients who had VRE-positive rectal swabs between April and August 2012 (clinical and environmental samples). The environmental samples from the main room and bathroom of VRE-positive colonized patients were obtained using sterile swabs, after patient discharge, but before terminal cleaning using standard sampling practices. Sampled high-touch surfaces included bedrails, tables, telephones, doorknobs, and call button in the room, and toilet seat, doorknobs, and handrails in the bathroom. All samples were evaluated qualitatively for VRE presence by inoculating swabs in Enterococcosel medium (Beckton Dickinson Company, Franklin Lakes, New Jersey, USA). A total of 133 clinical and environmental VRE strains were isolated. Eighty-nine of the 133 VRE isolates were selected based on pairing VRE-positive environmental swabs (28 bathroom/26 main room samples) from the same rooms of patients with VRE-positive rectal swabs (35 of 64 rectal swabs).

Data Collection

Data were retrieved retrospectively from infection control and pharmacy databases. The variables collected included length of stay, dates of VRE positive cultures, time to acquisition of VRE colonization or infection, room number and unit (HCT/LKM floors), admission and discharge dates, exposure to DAP, and development of bacteremia as a main clinical outcome.

Whole Genome Sequencing and Analyses

DNA extraction was performed on the 89 selected VRE isolates using QIAamp DNA Stool Kit (Qiagen, Germantown,

Maryland, USA). WGS was performed using Illumina MiSeq (250 bp paired-end reads) [[11](#page-6-10)]. Sequencing adapters and low-quality bases were removed with Trimmomatic v0.36 [\[12\]](#page-6-11), genomes were assembled using SPAdes [[13](#page-6-12)], and annotated using Prokka [[14](#page-6-13)]. Core genomes were identified using Roary [\[15\]](#page-6-14). VRE sequence types (STs) were determined using the MLST database [\[16\]](#page-6-15). Genes conferring resistance to the major antibiotics against enterococci were identified using Abricate (ResFinder) [[17](#page-6-16)]. Amino-acid substitutions in predominant LiaFSR proteins were identified using BLAST+ v2.6.0 [\[18\]](#page-6-17) for DAP resistance (threonine to alanine in aminoacid position 120 of the LiaS histidine-kinase sequence and trytophan to cystein in position 73 of the LiaR response regulator) [[19](#page-6-18)]. The identification of highly related VRE isolates and VRE transmission networks between patients and rooms on both floors was conducted according to the number of SNPs found within the core genome of VRE isolates. Briefly, the core genome alignment file was processed with Snippy software to identify the number of SNPs between all isolates [\[14,](#page-6-13) [15](#page-6-14)]. We defined highly related strains as those differing by 5 SNPs or less. The 5 SNP threshold was selected as 62% of genomes were within 0–5 SNPs of at least 1 other isolate to a maximum of 5 other isolates [[20,](#page-6-19) [21\]](#page-6-20). Phylogenetic trees were constructed using filtered core genome SNPs, and a maximum likelihood tree search algorithm RAxML [[22\]](#page-6-21) and iTOL softwares were used to generate and visualize the tree [\[23\]](#page-6-22). To further assess the SNPs called within this analysis, we sequenced one ST736 isolate (33-S-51112) on an Oxford Nanopore GridION X5 sequencer using an R9.4 flow cell and the SQK-RBK004 rapid barcoding kit. A consensus assembly was generated using long-read sequencing and the short-read Illumina data using Flye hybrid assembly pipeline (version 0.6; [https://github.com/wshropshire/flye_hy](https://github.com/wshropshire/flye_hybrid_assembly_pipeline)[brid_assembly_pipeline](https://github.com/wshropshire/flye_hybrid_assembly_pipeline)). This consensus assembly was used as the reference for reference-based SNP calling of all ST736 within this study using Snippy and adjusting for recombination using Gubbins [[24](#page-6-23)].

Ultraviolet-C Device Disinfection Devices

An ultraviolet-C pulsed-field xenon device (PX-UV) was tested for its efficacy to control the spread of nosocomial VRE infections [[25\]](#page-6-24) in a controlled prospective study. This device generates a broad-spectrum, high-intensity ultraviolet light through pulsed xenon flash lamps to deactivate and kill bacteria, spores, and viruses on high-touch surfaces in 5 minutes or less [\[25](#page-6-24), [26](#page-6-25)]. A disinfection step using the PX-UV device versus no intervention was performed after discharge of VRE-positive patients and after terminal cleaning of rooms on the LKM and HCT floors. Information on the acquisition of nosocomial VRE infection by the subsequent room's occupant was captured as an outcome measure.

RESULTS

Characteristics of the VRE Strains

All 89 VRE strains belonged to the species *Enterococcus faecium* and were classified by their source of isolation and the inpatient floors they were isolated from (LKM and HCT) ([Table 1\)](#page-2-0). Percentages of VRE recovery were very similar and equally distributed on both LKM and HCT floors according to the type and source of VRE isolation. When comparing the MLST profile of these isolates, 10 different STs were identified ([Table 1\)](#page-2-0). Most of the isolates (80%) had known STs, whereas the rest exhibited potential recombination events in 1or more of the housekeeping genes used for MLST profiling and had unknown STs (Table 1 and Supplementary [Table 1\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data). Among those with known STs, 75% found on both floors grouped under STs 18 ($n = 25$), 584 ($n = 16$), and 736 $(n = 12)$ [\(Table 1\)](#page-2-0). One strain belonging to ST772 was found on the HCT floor. Moreover, only 1 strain found on the LKM floor belonged to ST494. This VRE strain was the only isolate harboring the *vanB* gene cluster. All other VRE isolates contained the *vanA* cluster. Finally, 1 strain on the LKM floor belonged to ST1516, a new identified ST in March 2019 according to the MLST website that did not group under any clonal complex yet.

DAP-resistant strains were compared to the other VRE strains on both the LKM and the HCT floors (Table 1). All DAP-resistant strains grouped either under ST736 or ST664. These latter STs were not found for any of the other VRE strains [\(Figure 1](#page-3-0) and [Supplementary Figure 1\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data). Interestingly,

DAP-resistant strains were responsible of around an equal number of bacteremia event on both floors when compared to the other VRE strains; 12.5% of VRE strains collected in this cohort were DAP-resistant with 3% conferring bacteremia. In fact, 2 of these VRE strains recovered from blood cultures were DAP-resistant, and the remaining 3 were lacking the putative pilus operon *fms14-17-13* ([Figure 1\)](#page-3-0). Most of the 89 VRE strains were resistant to macrolides, lincomide, streptogramin B (97%), and aminoglycosides (81%) ([Table 2\)](#page-3-1). An overview of the 89 VRE strains clustered according to SNPs found on their core genome, their sources, STs, and any additional information is shown in the phylogenetic trees in [Figure 1](#page-3-0) and [Supplementary](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data) [Figure 1](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data).

VRE Transmission Network

The core genome length used in our phylogenetic analysis and SNP distance assessments consisted of 728 056 bases, with the entire pan-genome consisting of 5 549 736 bases. The VRE strains isolated from patients and their respective room environment (pairs) were found to be highly genetically related. We also found highly related clusters of VRE isolates recovered from different rooms and floors, including 5 on the HCT and 3 on the LKM units [\(Figure 2](#page-4-0)). The transmission events occurred within a mean of 39 days (ranging from 3 to 90 days). The transmitted VRE strains on the LKM floor belonged to ST736 and ST584. Those transmitted on the HCT floor belonged to ST18 and the VRE strains found on both floors belonged to ST584, ST18, and ST736 (Figures 1 and [2](#page-4-0)). Additionally, a heatmap

Abbreviations: DAP-R, daptomycin resistant strains; HCT, hematopoietic cell transplant; LKM, leukemia; n, number; STs, sequence types; VRE, vancomycin-resistant enterococci.

^a Percentages are in relation to either the HCT or the LKM total patient numbers.

^b Due to recombination events in one or more of the 7 housekeeping genes selected for VRE MLST.

Figure 1. Phylogenetic tree showing the genetic relatedness according to SNPs found in the whole genome of the 89 VRE isolates. Transmissions between patients are shown on the figure. Abbreviations: B, bathroom; DAP, daptomycin; HCT, hematopoietic cell transplant; LKM, leukemia; M, main room; S, rectal swabs; SNP, single nucleotide polymorphism; ST, sequence type; VRE, vancomycin-resistant enterococci.

Abbreviations: HCT, hematopoietic cell transplant; LKM, leukemia; n, number.

^aDaptomycin resistance was conferred by the presence of amino-acid substitutions in predominant LiaFSR proteins; threonine to alanine on position 120 of the LiaS protein sequence and trytophan to cystein on position 73 the LiaR sequence.

Figure 2. Transmission networks of VRE isolates differing by ≤5 SNPs in their core genomes on stem cell transplant and leukemia floors. Potential index cases of VRE are marked with a star. Possible daptomycin-resistant VRE strain transmission between rooms is highlighted in red. Transmission time period range from 3 to 90 days with an average of 39 days. Abbreviations: SNP, single nucleotide polymorphism; VRE, vancomycin-resistant enterococci.

representing all clusters of the 89 strains can be found in the [Supplementary material](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data) ([Supplementary Figure 2\)](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data).

We also further investigated the SNP distances between isolates within ST736 using the hybrid assembly of 33-S-51112 as a whole-genome reference. We observed a slight increase in SNPs called between isolates (max—70, mean—27.98) but observed the same clustering as completed with the full data set using a core-gene based method [\(Supplementary Table 2](http://academic.oup.com/cid/article-lookup/doi/10.1093/cid/ciab001#supplementary-data)).

Daptomycin Exposure

A total of 19 strains were found to be resistant to DAP and harbored chromosomally encoded Lia R^{W73C} and Lia S^{T120A} substitutions [\(Figure 1\)](#page-3-0) [\[19](#page-6-18)]. Of the 19 DAP-resistant VRE strains, 8 were isolated from patients' rectal swabs and 11 from their respective rooms. Interestingly, only 2 of the 8 patients with DAP resistant-VRE were exposed to DAP for 5 and 150 days, respectively. The remaining 6 patients had no prior exposure to DAP raising the possibility of transmission of these highly resistant strains between patients or their environment. In fact, 1 patient on the northeast side of the LKM floor was treated with DAP for VRE bacteremia and was still positive for VRE after 1 month. A possible transmission of this DAP-resistant VRE strain may have occurred 1 month later to another patient on the southeast side of the LKM floor (0 SNP difference between both patients' strains) [\(Figure 2](#page-4-0), *highlighted in red*). A total of 4 of the 8 patients with DAP-resistant strains died within a median of 2 months after strain recovery (range of 1–5 months). Deaths were mainly attributed to respiratory failure or cancer relapse.

PX-UV Study

After confirming transmission of VRE strains between our patients' floors, and the likely contribution of environmental contamination in the transmission network, we sought to evaluate the efficacy of a PX-UV device versus no intervention after sampling each patient's room. Half of the rooms on the LKM and the HCT floors were disinfected with the PX-UV devices (12/29 rooms on HCT floor and 6/13 rooms on the LKM floor). Subsequent patients admitted in the same disinfected rooms were monitored for potential acquisition of VRE or VRE infections. One patient had a hospital-acquired VRE colonization 6 days after admission to a room that did not receive a PX-UV intervention where VRE ST18 was detected previously (data not shown). No VRE acquisition or infection was identified in subsequent patients who were admitted to rooms disinfected with the PX-UV devices during the study period.

DISCUSSION

The dominance of VRE in the gastrointestinal tract, the use of broad-spectrum antibiotic therapy, and VRE transmission through direct contact or via the surrounding environment are all factors contributing to the spread of VRE in the hospital setting [[27–](#page-6-26)[29\]](#page-6-27). Due to the lack of therapeutic options for VRE infections, understanding VRE transmission networks within the hospital environment is of paramount importance to control and contain the emergence and the propagation of these pathogens.

WGS is increasingly used as an epidemiologic tool to distinguish strains that would appear identical by conventional typing, identify potential transmission networks, and accurately stratify the different clusters of VRE as well as any predominant strain circulating in multiple rooms and persisting in the hospital environment. Among the attributable STs, 3 were recognized as most predominant in our hospital setting (ie, ST18, ST584, and ST736). ST17, thought to be the leading ST in clonal complex 17, is being replaced by the newest STs such as ST18, ST203, ST412, ST584, ST736, and ST664. This shift was observed worldwide in Canada, [[30\]](#page-6-28), the United States, [\[31\]](#page-7-0), Australia, Germany, and China [[32](#page-7-1)]. Interestingly, newly identified STs belonging to clonal complex 17 were isolated in our institution (ie, ST494 and ST736). ST736 is a novel clone exhibiting DAP nonsusceptibility [[31\]](#page-7-0). In this study, 13% and 15% of the VRE ST736 were found on the HCT and the LKM floors, respectively. ST736 was previously identified in 76% of DAP nonsusceptible enterococci [\[31](#page-7-0)] and the 2012 DAP-resistant VRE cohort in a New York hospital [[33](#page-7-2)]. Similarly, we showed that all strains belonging to ST736 and ST664 isolated in our study were DAP-resistant, providing evidence of a potential association between these genetic lineages and DAP resistance. Moreover, 1 VRE strain belonging to ST494 was isolated from the LKM floor and harbored the *vanB* gene cluster, whereas all other strains possessed *vanA* [\[34](#page-7-3), [35\]](#page-7-4). ST494 was only reported once in a Peruvian hospital in 2010 [[36\]](#page-7-5). However, both *vanA* and *vanB* determinants reside on mobile elements that can be transferred to other enterococci strains as well as other gram-positive organisms posing a major public health challenge [\[37\]](#page-7-6). The propagation of newly identified genetic lineages in different regions and countries demonstrates the significance of VRE evolutionary dynamics and genome malleability [\[36\]](#page-7-5). Interestingly, a cluster of 11 VRE isolates lacked the *fms 14-17-* 13 pili operon and were responsible for 60% of the bacteremia cases in this study. It has been previously shown that the absence of a pilus protein can result in increased interactions between alternative pilus proteins and human cells, causing subsequent invasion and meningitis in group B *Streptococcus* [[38\]](#page-7-7).

The phylogenetic analyses of 89 VRE isolates suggest that potential transmission events seem to have occurred within the LKM and the HCT floors between the patient and the room environment and among patients on the same floor or on different floors, highlighting the high potential for spread in VRE. Likely, environmental sources of VRE were located on the west and east wing of the LKM floor and were associated with the spreading of VRE ST584 and ST736, respectively. Additionally, the west wing of the HCT floor was another potential environmental source of VRE ST18 to rooms on different floors. These results are in agreement with other studies showing ST18, ST584, and ST736 as predominant VRE STs currently circulating in hospitals worldwide [\[30](#page-6-28)[–32](#page-7-1)].

An important focus of our study was the dynamics of transmission of DAP resistance in our patients [\[8\]](#page-6-7). As such, prior exposure to DAP may be an important factor to select for DAP nonsusceptible strains. Indeed, a recent study showed that the majority of patients with DAP-resistant strains (60%) had a prior exposure to daptomycin [[8\]](#page-6-7). In contrast, other studies have documented de novo emergence of DAP resistance in the absence of prior exposure to DAP. Indeed, Kamboj et al found that 89% of their patients with DAP-resistant VRE (mostly hematologic malignancy patients) had no documented DAP exposure [[6\]](#page-6-5), a finding supported by other studies [\[9,](#page-6-8) [10\]](#page-6-9). Here we showed that 75% of our patients harboring DAP-resistant VRE had no prior exposure to DAP, suggesting possible nosocomial transmission of DAP-resistant VRE strains in the hospital environment. This assumption is supported by our findings that some of the DAP-resistant isolates are highly genetically related.

The presence of VRE clonal transmission in the hospital setting underscores the importance of infection control measures and antimicrobial stewardship interventions to control the emergence and transmission of highly resistant pathogen between rooms and patients, including DAP-resistant strains. These measures include wearing appropriate personnel protective equipment when needed and compliance with hand hygiene, in addition to enhanced cleaning protocols such as the use of UV-C devices [[39\]](#page-7-8). The routine and real-time use of WGS for identifying new or unrecognized transmission networks and potential reservoirs of predominant pathogens within a hospital has proven to be a reliable method of infection control surveillance and may aid in limiting the transmission of pathogens, especially multidrug-resistant organisms within the hospital.

This study has several limitations. In total, 34 rooms were sampled on both floors as only VRE-positive patients were included. Half of these were disinfected with PX-UV devices. This constitute a small sample size; however, the efficacy of PX-UV in disinfecting hospital rooms has been widely tested in other studies [\[26](#page-6-25), [40](#page-7-9)]. Additionally, single colonies were used in the analyses, which cannot capture the full diversity of strains within a patient and has the potential to miss some transmission events. One potential limitation is the lack of sampling of healthcare workers monitoring of infection control practices. A social network analysis might reveal additional information on transmission events occurring within the hospital. Finally, the rooms were only sampled following patient discharge; as such, we cannot be fully certain about the direction of transmission.

CONCLUSION

The efficacy of infection control measures such as hand hygiene, use of recommended barrier precautions, and UV-C surface disinfection to limit the dissemination of pathogens can be evaluated by surveillance and routine use of WGS. Our data suggest the presence and the transfer of highly related and invasive DAP-susceptible and DAP-resistant VRE isolates between HCT recipients, LKM patients, and their room environment. Our findings underscore the significance of infection control strategies and the importance of implementing WGS to early detect, prevent, and control the spread of this opportunistic organism in the hospital setting.

Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes

Author Contributions. L. E. H., C. P. H., and S. S. G. conducted the experiments. L. E. H., B. H., and R. F. C. analyzed the results. R. F. C. designed the study, and M. S. provided assistance with the study design. L. E. H., B. H., M. S., C. A. A., and R. F. C. wrote and edited the manuscript. All authors approved the manuscript preparation.

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