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# Environmental and human health risk assessment of mixture of Covid-19 treating pharmaceutical drugs in environmental waters



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# HIGHLIGHTS

# GRAPHICAL ABSTRACT

- First study to assess the mixture toxicity risk of COVID-19 pharmaceuticals
- Maximum allowable concentration (Callowable) of individual drugs and in combination
- Chronic toxicity data to determine risk estimates
- Ritonavir and lopinavir are major drugs for risks to aquatic species and humans  $(RO > 1)$ .
- Algae is the most sensitive species amongst the three trophic levels.

### ARTICLE INFO ABSTRACT

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This study identified ecological and human health risks exposure of COVID-19 pharmaceuticals and their metabolites in environmental waters. Environmental concentrations in aquatic species were predicted using surface water concentrations of pharmaceutical compounds. Predicted No-Effect Concentrations (PNEC) in aquatic organisms (green algae, daphnia, and fish) was estimated using  $EC_{50}/LC_{50}$  values of pharmaceutical compounds taken from USEPA ECOSAR database. PNEC for human health risks was calculated using the acceptable daily intake values of drugs. Ecological PNEC revealed comparatively high values in algae (Chronic toxicity PNEC values, high to low: ribavirin (2.65 × 10<sup>5</sup> μg/L) to ritonavir (2.3 × 10<sup>-1</sup> μg/L)) than daphnia and fish. Risk quotient (RQ) analysis revealed that algae (Avg. =  $2.81 \times 10^4$ ) appeared to be the most sensitive species to pharmaceutical drugs followed by daphnia (Avg.:  $1.28 \times 10^4$ ) and fish (Avg.:  $1.028 \times 10^3$ ). Amongst the COVID-19 metabolites, lopinavir metabolites posed major risk to aquatic species. Ritonavir ( $RQ = 6.55$ ) is the major drug responsible for human health risk through consumption of food (in the form fish) grown in pharmaceutically contaminated waters. Mixture toxicity analysis of drugs revealed that algae are the most vulnerable species amongst the three trophic levels. Maximum allowable concentration level for mixture of pharmaceuticals was found to be 0.53 mg/L.

# 1. Introduction

Pharmaceutical compounds are being continuously discharged into the aquatic environment where they can cause toxic effects on underlying organisms, even if present in low concentrations (ng/L) level ([Pereira](#page-9-0) [et al., 2015;](#page-9-0) [Simazaki et al., 2015\)](#page-9-0). Their presence raises serious concerns for the quality of water resources [\(Taylor and Senac, 2014](#page-9-0); [He et al.,](#page-9-0) [2018\)](#page-9-0). Possible undesirable effects, even at lower concentrations, to aquatic

Abbreviations: BCF, bio-concentration factor; BAF, bio-accumulation factor; ECOSAR, Ecological Structure Activity Relationship; EMEA, European Medicines Evaluation Agency; NOEL, no observable effect level; NOAEL, no observed adverse effect level; OECD, The Organization for Economic Co-operation and Development; PEC, predicted environmental concentrations; PNEC, predicted no-effect concentrations; RQ, risk quotient; QSAR, Quantitative Structure-Property Relationships; TU, toxicity units; US EPA, United States Environmental Protection Agency; WHO, World Health Organization.

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<span id="page-2-0"></span>organisms has been a reason to be concerned since they were first reported in 1985 [\(Silva et al., 2012\)](#page-9-0). Illustrating pollution characteristics of antibiotics and ecological hazard of surface waters is important for ecological safety and human health [\(Wang et al., 2017\)](#page-9-0).

Continuous use of drugs has increased the existing pollution load of water and can show adverse effects on the underlying aquatic ecosystem ([Bopp et al., 2018\)](#page-8-0). Chemical contamination of the environment is definitely not restricted to short-term or acute exposures but long-term and low-level chronic exposures can be equally detrimental ([Saaristo et al.,](#page-9-0) [2018](#page-9-0)). Any compound either man-made or natural, is a possible source of concern in the field of ecological toxicology [\(USEPA, 1998](#page-9-0)). Ecological hazards posed by contaminants such as pharmaceuticals need to be addressed to understand possible adverse effects to aquatic organisms, if any. Subsequent consumption of contaminated food (taken in the form of fish) grown in aquatic environment can also show adverse effects on human health. Hence, the health hazard of human exposure by ingestion of contaminated foods should also be taken into account [\(Zenker et al., 2014\)](#page-10-0).

Eco-toxicological evaluation investigates and assess the potential harmful effects caused by chemical contaminants on organisms, inhabitants, societies and environments ([Li et al., 2019\)](#page-9-0). When no experimental data are available, ecological risk assessment (ERA) is generally estimated using recommended  $EC_{50}$  or  $LC_{50}$  values from the U.S. Environmental Protection Agency (EPA) Ecological Structure Activity Relationships Class Program (ECOSAR database). This program estimates data through the molecule structure, sometimes underestimating toxic effects ([Raimondo et al.,](#page-9-0) [2010](#page-9-0)). The data derived on the toxicity of the compounds are used to define the predicted-no-effect-concentration (PNEC) with the application of an assessment factor (AF) for the lowest effective concentration. The calculation of a risk quotient (RQ) on the basis of the PEC/PNEC ratio can then be used to characterize the risk in relation to aquatic ecosystems (Zalę[ska-Radziwill](#page-10-0) [et al., 2017\)](#page-10-0). The measure of the predicted environmental concentration (PEC) and the PNEC has in fact become a standard for the ERA and characterization of chemical substances such as biocides and pharmaceuticals [\(Backhaus and Faust, 2012](#page-8-0)).

Besides individual risk of pharmaceuticals, mixture of contaminants might also pose unacceptable risk to aquatic habitats ([Zhang et al., 2018](#page-10-0)) and human health. Study reported that the actual exposure scenario with respect to multiple pharmaceutical drugs would be different from that of individual drugs [\(Kumari and Kumar, 2020a\)](#page-9-0). If several pharmaceuticals having similar mode of action are present in environmental waters, then the toxicity of this mixture could be higher than any one pharmaceutical present. This could result in risk underestimation, as the typical exposure is toward multicomponent chemicals [\(Oliver et al., 2015;](#page-9-0) [Backhaus and Faust,](#page-8-0) [2012](#page-8-0); [Jones-Lepp et al., 2012](#page-9-0)). Therefore, it is important to determine mixture toxicity of contaminants in ecological risk analysis. Mixture toxicity risk assessment of compounds is also important due to high discharge and shock loading.

To address the above mentioned aspects, this study considered five frequently used pharmaceutical drugs (lopinavir, ritonavir, chloroquine, ribavirin, and rapamycin) in treating COVID-19 infection, Since, the past two years, the world is facing COVID-19 pandemic so it would be interesting to identify whether the drugs show any adverse effects on ecological and human health. Huge amount of research has been conducted on SARS-COV-2, the causative agent of COVID-19 [\(Fung and Liu, 2019](#page-9-0); [Liu et al.,](#page-9-0) [2020](#page-9-0); [Singh et al., 2020\)](#page-9-0), but none of the studies reported has addressed the ecological/human risk aspects. Amongst the drugs, lopinavir-ritonavir was found to be effective in treating COVID-19 based on preclinical and empirical studies [\(Horby et al., 2020\)](#page-9-0). Ribavirin is an antiviral agent, which is used in concoction with lopinavir-ritonavir and minimizes the risk of horrible clinical side-effects besides diminishing viral load in patients infected with SARS ([Rabi et al., 2020](#page-9-0)). Chloroquine is an antimalarial drug, and reportedly served as an effective tool against SARS CoV-1 and CoV-2 variants [\(Colson et al., 2020;](#page-8-0) [Yao et al., 2020](#page-10-0)). Rapamycin immuno-therapeutic capacity was found to be successful against COVID-19 infection [\(Omarjee et al., 2020](#page-9-0)). Detailed information is provided in supplementary table, T1.

The present study estimates the overall ecological risk of mixture of compounds including ecological and human health risk hazards due to the exposure of individual pharmaceuticals in environmental waters. ERA is determined for three trophic levels i.e. green algae, daphnia, and fishes while human health risk is estimated for the consumption of contaminated food (in the form of fishes) grown in pharmaceutically contaminated waters. The results presented in this study can help in understanding the overall implications of presence of COVID-19 drugs in environmental waters and the risk associated.

# 2. Methodology

This study uses a six-step approach comprising of hazard identification, dose-response assessment, exposure assessment, risk estimation, risk characterization and management to determine risk estimates ([Sohaili et al.,](#page-9-0) [2017;](#page-9-0) [Kumari and Kumar, 2021\)](#page-9-0). The methodology adopted to determine risk estimates is widely used worldwide and has previously been used to predict the concentration levels of chemical compounds like pharmaceutical drugs [\(Schwab et al., 2005](#page-9-0); [Kumar and Xagoraraki, 2010](#page-9-0)), antibiotics [\(Kumari and Kumar, 2020a\)](#page-9-0) and nanomaterials ([Parsai and Kumar, 2020\)](#page-9-0) in drinking water, ground water, lakes and streams. Briefly, the study assumed that the overuse of repurposed drugs in treating COVID-19 infection has increased the existing pollution load of water which might enter into underlying aquatic organisms through food chain showing possible risks and concerns. Fishes are the major source of food taken by human beings. There is a possibility of drugs accumulating within the fish muscles and tissues which on consumption by humans might show possible adverse effects to human health and subsequent risks. This study estimated human health risks for children since they have been recognized as the most sensitive subpopulation compared to adults [\(Kumar and Xagoraraki, 2010](#page-9-0)). [Fig. 1](#page-3-0) shows the methodology adopted for determining ecological and human health risks of COVID-19 pharmaceutical compounds.

#### 2.1. Hazard identification

The study selected five commonly used pharmaceuticals (lopinavir, ritonavir, chloroquine, ribavirin, and rapamycin) administered to COVID-19 patients. The compounds were selected based on their use and efficacy in treating SARS-CoV-2 infection as reported by clinical trial studies [\(WHO, 2020](#page-9-0)). Following human consumption, pharmaceuticals are excreted from human body and released into wastewater as unaltered drugs or metabolites, which are only partially removed in conventional wastewater treatment plants (WWTPs) [\(Nannou et al., 2020\)](#page-9-0). These residues present in receiving environmental waters can pose ecotoxicological concerns [\(Al](#page-8-0) [Aukidy et al., 2012](#page-8-0); [Godoy and Kummrow, 2017](#page-9-0); [Santos et al., 2010](#page-9-0)). In particular, during pandemic events, high amounts of antiviral drugs and their metabolites were released into environmental waters which are likely to pose high risks to aquatic ecosystem [\(Jain et al., 2013](#page-9-0); [Nannou et al.,](#page-9-0) [2020\)](#page-9-0). Thus, it is important to determine the risk effects of COVID-19 pharmaceuticals and their metabolites.

# 2.1.1. Environmental concentration of pharmaceuticals in surface water,  $PEC_{SW}$

Environmental concentration of pharmaceuticals in surface water, PEC<sub>SW</sub> was predicted in accordance with the Technical Guidance Document on Risk Assessment part II, TGD [\(EC, 2003\)](#page-8-0) using Eq. (1). Drug dose data of pharmaceutical (Drug dose <sub>population</sub>) was extracted from drug sites [\(www.](http://www.drugs.com) [drugs.com](http://www.drugs.com) and [www.drugbank.ca\)](http://www.drugbank.ca). [Table 1](#page-3-0) list the parameters used in determining PEC<sub>SW</sub> values.

$$
PEC_{SW} = \frac{Drug\ dose_{population} \times F_{Pen} \times F_{Exec} \times (1 - F_{WWTP})}{N \times WW_{population} \times DF}
$$
 (1)

where, Drug dose<sub>population</sub> (mg/person/day); Consumption rate of pharmaceutical drug administered per person per day in USA,  $F_{pen}$ ; Market penetration and represents the fraction of the total population that consumes the pharmaceutical on any given day, F<sub>Exec</sub>; Fraction of parent drug excreted

<span id="page-3-0"></span>

Fig. 1. Diagrammatic representation of methodology used to determine ecological and human health exposure of pharmaceutical drugs. PNEC; predicted o-effect concentration of drugs, ERA; ecological risk assessment, HHRA; is the human health risk assessment, ADI; acceptable daily intake, and RQ; risk quotient.

through urine and feces,  $1-F_{WWTP}$ ; Fraction of pharmaceutical's emission from WWTPs to surface waters, WW<sub>population</sub> (L/person/day); Amount of wastewater per person per day, N; number of person infected.

# 2.1.2. Predicted environmental concentration of metabolites (PEC<sub>W, M</sub>)

Predicted environmental concentration of metabolites ( $PEC_{W,M}$ ) is estimated using Eq. (2). Excretion rate of metabolites ( $F_{Exec}$  M) and removal of metabolites by wastewater plant ( $F_{WWTP, M}$ ) is taken from [Kuroda et al.](#page-9-0) [\(2021\),](#page-9-0) rest of the parameters are similar to that described in Eq. [\(1\)](#page-2-0).

$$
PEC_{W,M} = \frac{Drug\ dose_{population} \times F_{Pen} \times F_{Exec,M} \times (1 - F_{WWTP,M})}{N \times WW_{population} \times DF}
$$
\n(2)

# 2.1.3. Predicted environmental concentration of pharmaceuticals in fish,  $PEC_{Fish}$ Concentration level of pharmaceuticals in fish,  $PEC_{Fish}$  was predicted

using Eq. (3).

$$
PEC_{Fish} = PEC_{SW} \times BCF_{Fish} \times BMF
$$
\n(3)

where, PEC<sub>fish</sub>; Predicted environmental concentration (mg/Kg), BCF; Bio-concentration factor value is calculated using the equation given by [Al-Khazrajy and Boxall \(2016\)](#page-8-0). BMF: Bio-magnification factor (dimensionless), value was taken from TGD [\(EC, 2003\)](#page-8-0).

#### Table 1

Values of parameters used for estimating PEC<sub>SW</sub>.

Parameters	Value	References
Drug dose <sub>population</sub> (mg/person/day)	Taken from literature	www.drugbank.ca and www.drugs. com
$F_{\text{Pen}}$	0.47	Elflein, 2020
$F_{Exec}$ (Both urine and feces considered)	For ritonavir, chloroquine and ribavirin, the values were taken from Drug bank database. For lopinavir and rapamycin, a default value of 0.5 was applied	www.drugbank.ca
F <sub>wwTP</sub>	0.50	Gómez-Canela et al., 2019
<b>WW</b> population (L/person/day)	200	EC, 2003
DF(mg/L)	10	Gómez-Canela et al., 2019

#### 2.2. Dose-response and exposure assessment

# 2.2.1. Ecological risks

2.2.1.1. Pharmaceutical compounds. To estimate ecological risks of pharmaceuticals in three different trophic levels i.e. algae, crustaceans (daphnia) and fish, firstly the PNEC values were calculated using  $EC_{50}/LC_{50}$  values and assessment factor (AF). However, when no experimental toxicological studies are reported in published literature, recommended effect concentration 50 ( $EC_{50}$ ) and lethal concentration 50  $(LC_{50})$  EC<sub>50</sub>/LC<sub>50</sub> values from U.S. EPA ECOSAR database have been used to determine PNEC [\(ECHA, 2014;](#page-8-0) [Sanderson et al., 2004](#page-9-0)). ECOSAR is a pragmatic approach to Quantitative structure-activity relationships (QSAR) and have been used by the U.S. EPA and REACH guidelines to predict the aquatic toxicity of chemicals in the absence of test data. Ecotoxicity data of pharmaceuticals for algae, crustaceans and fish were obtained from the ECOTOX database of U.S. EPA using SMILES notations [\(USEPA, 2009](#page-9-0)). The value of PNEC is often extrapolated from acute/chronic toxicity data divided by an assessment factor, AF [\(Chen et al., 2018\)](#page-8-0). AF were selected according to Technical Guidance Document from the European Commission ([EC, 2003](#page-8-0)), OECD and REACH ([http://www.chemsafetypro.com/Topics/CRA/ecotox\\_](http://www.chemsafetypro.com/Topics/CRA/ecotox_aquatic_toxicity.html) [aquatic\\_toxicity.html\)](http://www.chemsafetypro.com/Topics/CRA/ecotox_aquatic_toxicity.html) ([ECHA, 2014](#page-8-0); [ECHA, 2008;](#page-8-0) [EC, 1996;](#page-8-0) [Sanderson et al., 2003;](#page-9-0) [Brock et al., 2006\)](#page-8-0). Ecotoxicological data are presented in Table 2, besides being accessible on the U.S. EPA ECOTOX database (2020) (<https://comptox.epa.gov/dashboard/>) ([USEPA,](#page-9-0) [2009](#page-9-0)).

PNEC of pharmaceutical drugs in three trophic levels were calculated for both acute and chronic toxicity conditions using Eq. [\(4\)](#page-4-0). Research investigations indicates that several pharmaceutical compounds show bioaccumulation and bio magnification potential,







<span id="page-4-0"></span>thus, chronic effects on ecosystems cannot be ignored for animals at the higher end of the food web ([Crouse et al., 2012\)](#page-8-0).

$$
PNEC = \frac{LC_{50} \text{ or } EC_{50}}{AF} \tag{4}
$$

where, AF; Assessment factor (1000 for acute toxicity, and 10 for chronic toxicity).

2.2.1.2. PNEC, pharmaceutical metabolites. PNEC of pharmaceutical metabolites (lopinavir, ritonavir, chloroquine, and ribavirin) is taken from [Kuroda et al. \(2021\)](#page-9-0) study. Rapamycin metabolites has not been reported in literature (to the authors' best knowledge) and thus were not estimated.

# 2.2.2. Human health risks

Human health risk due to exposure of pharmaceuticals through the consumption of food (in the form of fishes) cultivated in pharmaceutically contaminated water was estimated in Eq.(5). The acceptable daily intake (ADI) value of pharmaceuticals is taken from our previously published work [\(Kumari and Kumar, 2021\)](#page-9-0).

$$
PNEC_{fish} = \frac{ADI \times BW \times AT}{BCF \times CR_{Fish} \times EF \times ED} \times 10^3
$$
\n<sup>(5)</sup>

where, ADI; Acceptable daily intake (mg/kg-day), estimated using NOAEL values in rats; BW is the body weight of children (Kg), AT; Average lifetime (days), BCF; Bio-concentration factor in fishes ([Al-Khazrajy and Boxall,](#page-8-0) [2016](#page-8-0)), EF; Exposure frequency (days/year), ED; Exposure duration (year), and CR<sub>Fish</sub>; Rate of fish consumption in children (mg/day), the value is taken from recommended US FDA guidelines.

#### 2.3. Risk estimation and characterization

# 2.3.1. Environmental risk assessment

2.3.1.1. Pharmaceutical compounds. Environmental Risk Assessment (ERA) of pharmaceuticals in aquatic environment was performed in accordance with the Technical Guidance Document on risk assessment from European Commission [\(EC, 2003\)](#page-8-0) using the Risk Quotient (RQ). RQ is estimated as a ratio of PEC to PNEC (Eq. (6)).

$$
RQ = \frac{PEC_i}{PNEC_i} \tag{6}
$$

where, i indicates the trophic level analyzed. If the PEC/PNEC ratio is greater than 1, the compound is of concern and further risk characterization and risk management steps are required to reduce the risk anticipated [\(Ma](#page-9-0) [et al., 2015;](#page-9-0) [EU Commission, 2003\)](#page-8-0).

2.3.1.2. Pharmaceutical metabolites. Risk of pharmaceutical metabolites was conducted using an approach similar to that used for determining risk of pharmaceutical compounds as mentioned in Section 2.3.1.1.

#### 2.3.2. Risk calculation for co-occurrence of pharmaceutical drugs

Research investigations revealed that pharmaceuticals often occur in water as multi-component mixtures, and co-occurrence data has also been reported ([Kumari and Kumar, 2020a;](#page-9-0) [Mahmood et al.,](#page-9-0) [2019\)](#page-9-0). Assessing risk exposure effects of co-occurring pharmaceuticals in different aquatic compartments requires more effort than individual ones ([Paíga et al., 2016](#page-9-0); [Kumari and Kumar, 2020b\)](#page-9-0). This study used two concentration addition (CA)-based risk assessment models for determining comprehensive risk hazards of COVID-19 pharmaceutical compounds (i) summation of Toxic Units (MHQ<sub>STU</sub>, Eq. (7)) and (ii) summation of risk quotients ( $MRQ_{PEC/PNEC}$ ) of the individual mixture components.

$$
MRQ_{\frac{PEC}{PNEC}} = \sum_{i=1}^{n} \frac{PEC_i}{PNEC_i} = \sum_{i=1}^{n} \frac{PEC_i}{min\left( EC_{50,green\ algebra} \right) \cdot EC_{50,Daphnia}, EC_{50,fish} \right) \times \frac{1}{AF_i}}
$$
(7)

If risk is identified (i.e.,  $RQ_{mix} > 1$ ), the MRA is refined in the second calculation step, based on the sum of toxic units ( $MHQ<sub>STU</sub>$ ) (Eq. (8)).

$$
MRQ_{STU} = max \left( STU_{green\ algebra} \right) \cdot STU_{Daphmia}, STU_{Fish} \right) \times AF
$$
  
= max  $\left( \sum_{i=1}^{n} \frac{PEC_i}{EC_{S0i,green\ algebra}} , \sum_{i=1}^{n} \frac{PEC_i}{EC_{S0i,Daphnia}} , \sum_{i=1}^{n} \frac{PEC_i}{EC_{S0i,Fish}} \right) \times AF$  (8)

where, TU; the toxic unit (PEC/EC<sub>50</sub> or  $LC_{50}$ ), STU; the sum of toxic unit. The values of PEC,  $EC_{50}/LC_{50}$ , and AF are the same as in individual HQ approaches.

# 2.4. Maximum allowable concentrations  $(C_{allowable})$

# 2.4.1. C<sub>allowable</sub> for co-occurrence of pharmaceuticals in water

Maximum allowable concentration  $(C_{allowable})$  signifies the levels beyond which no adverse effects are expected to occur. Several model approaches have been developed and are available in literature for determining risks of co-occurring pollutants, of which CA model is reported to be the most effective one [\(Kumari and Kumar, 2020b\)](#page-9-0). CA model ignores the possible antagonistic and synergistic interactions of chemical compounds and can serve as an essential tool for assessing mixture risk and identifying dominant pollutants and threatened taxonomic groups [\(Backhaus and Faust, 2012;](#page-8-0) [Molnar et al., 2020\)](#page-9-0).

### 2.5. Sensitivity analysis

Sensitivity analysis helps in identifying the main input parameter governing risk estimates so that better management options can be implemented to reduce risks, if any. Various input parameters are applied to calculate risk exposure effects of contaminants on human health ([Kumari and](#page-9-0) [Gupta, 2018\)](#page-9-0). It is quite possible that the influence of one variable on the risk estimation process would be different than others. Therefore, it is important to determine the effect of input variables on overall risk estimation process. This study used the sensitivity analysis tool to identify the major parameter responsible for risk to human health. The study was carried out using Origin, 2021 software and the results are depicted by means of radar plots.



Fig. 2. PEC values of pharmaceutical drugs.

#### 3. Results and discussion

#### 3.1. Predicted environmental concentrations, PEC

# 3.1.1. Predicted environmental occurrence of pharmaceuticals in environmental waters,  $PEC_{SW}$

PEC of pharmaceutical in environmental waters ( $PEC_{SW}$ ) ranged from 3840  $\mu$ g/L (ribavirin) to 22  $\mu$ g/L (rapamycin) [\(Fig. 2](#page-4-0)). The observed high levels of substances in environmental waters indicates the excessive use of compounds in treating COVID-19 infected patients leading to their increased concentration. Hence, there is a very strong probability that they can be identified in exceedingly high concentration under real conditions. Study shows that ribavirin is one of the most frequently administered HIV drug to human [\(Pradat et al.,](#page-9-0) [2014](#page-9-0)), and there is a chance that the untreated wastewater effluent is discharged into the nearby rivers or lakes resulting in high levels as observed in this study. Moreover, conventional wastewater treatment plants are not designed for the removal of these [\(Markert et al., 2020](#page-9-0)) compounds leading to their incessant discharge in surface water bodies. Lopinavir has been detected in wastewater at an average concentration of 0.239 μg/L ([Wood et al., 2015](#page-9-0)). Ritonavir has been detected in wastewater in South Africa (3.20 μg/L) [\(Abafe et al., 2018\)](#page-8-0) and surface water in France ([Aminot et al., 2015](#page-8-0)). Concentration of ribavirin were below the quantifiable limit in wastewater in Germany [\(Prasse et al.,](#page-9-0) [2010\)](#page-9-0) and China [\(Peng et al., 2014\)](#page-9-0). The estimated PEC of pharmaceuticals (lopinavir, ritonavir, and ribavirin) in environmental waters were several times higher than aforementioned pharmaceuticals in wastewater however, their occurrence in environmental waters have not been reported (as per authors best knowledge).

#### 3.1.2. Predicted environmental occurrence of pharmaceuticals in fish,  $PEC_{Fish}$

PEC<sub>Fish</sub> were predicted using the surface water concentration of pharmaceuticals and BCF values. BCF values of all the substances except ribavirin was observed to be greater than 1.0 L/kg, indicating their possible bio-accumulation within fish tissues/organs. BCF indicates the bio-accumulative potential of a chemical compound ([Landis](#page-9-0) [et al., 2011](#page-9-0)). PEC<sub>Fish</sub> values ranged from 2240 μg/kg (for ribavirin) to 20 μg/kg (for rapamycin). The average values of compounds in environmental waters (1171.2  $\mu$ g/L) is more than that observed in fish ( $723.8 \mu$ g/kg). Contrary to the results presented in this study, [Fent](#page-8-0) [et al. \(2006\)](#page-8-0) reported high concentration of drugs in fishes than in water. Pharmaceuticals have a fairly similar mode of action in target organisms, and provided that fish and invertebrates share more drug targets with humans, it would be anticipated that they would also react to drugs in a similar fashion ([Pereira et al., 2020\)](#page-9-0). The study observed that the calculated estimated PEC of pharmaceuticals exceeded more than 0.01 mg/L, hence further risk assessment studies were conducted as per the recommended guidelines [\(OECD, 2013](#page-9-0); Zalę[ska-Radziwill](#page-10-0) [et al., 2017](#page-10-0)).

# 3.1.3. Predicted environmental occurrence of metabolites (PEC, $_M$ ) in environmental waters

Environmental concentration of four pharmaceutical metabolites  $(PEC<sub>,M</sub>)$  i.e. lopinavir, ritonavir, chloroquine and ribavirin was predicted however, for rapamycin metabolites, the value was not predicted due to lack of data in published literature. High concentration was predicted for TC0NH<sub>2</sub> (1054 μg/L), the major metabolite of ribavirin, followed by M1/M2 combined (462 μg/L) as shown in Table 3. On the contrary, low values were predicted for n-desethylchloroquine (63 μg/L), chloroquine metabolite and M2 (44 μg/L), a ritonavir metabolite because of low dose and high removal rates. High values of metabolites has been reported in a previous study [\(Kuroda et al., 2021](#page-9-0)), in which the values were 3 times higher than those observed in this study The values of all the pharmaceuticals in environmental waters are lowered by a factor of 10, the assumed dilution factor.

# Table 3

Predicted environmental concentration of pharmaceutical metabolites. Coloured cells indicates COVID-19 drugs.



\*The fraction of each of the four metabolites of lopinavir (M1 to M4) was not available, thus the sum of the four metabolites is shown.

#### 3.2. PNEC estimation

#### 3.2.1. Ecological PNEC

PNEC of pharmaceuticals were calculated in three trophic levels for both (a) acute toxicity, and (ii) chronic toxicity conditions ([Table 4\)](#page-6-0). For algae, ribavirin showed the highest PNEC, whereas lopinavir the lowest. In case of daphnia, similar to algae, ribavirin has the maximum observed PNEC with ritonavir showing the minimum values. PNEC in fish showed a trend similar to that observed for green algae and daphnia. Amongst the three trophic levels, fish showed the highest PNEC followed by daphnia and green algae. Previous studies have also reported high PNEC in fish for antibiotics like azithromycin, ciprofloxacin and erythromycin ([Isidori](#page-9-0) [et al., 2005;](#page-9-0) [Li et al., 2019](#page-9-0)). Based on the results obtained, algae emerged as the most sensitive trophic level species in aquatic environment and are in line with those reported in literature [\(De Lange et al., 2006](#page-8-0); [Johnson](#page-9-0) [et al., 2007](#page-9-0)). Sensitivity of algae to chemical compounds [\(Tang et al.,](#page-9-0) [2015;](#page-9-0) [Deng et al., 2016\)](#page-8-0), antibiotics and herbicide ([Machado and Soares,](#page-9-0) [2019\)](#page-9-0) has been reported. Amongst the pharmaceuticals, ribavirin has the highest observed PNEC in all the three trophic levels whereas ritonavir the lowest.

Ecological PNEC under acute toxicity condition was observed to be less than that obtained for chronic toxicity in three trophic levels ([Table 4\)](#page-6-0). This is due to difference in AF used for determining acute and chronic toxicity. Previous study has also reported lower acute toxicity values in fish [\(Kienzler et al., 2016](#page-9-0)). To determine the effects of long term exposure of pharmaceutical compounds on aquatic organisms, further risk assessment studies were carried out using the chronic toxicity PNEC.

#### 3.2.2. Human health PNEC

PNEC were also calculated to determine risk exposure effects on human health due to consumption of food (in the form of fish) cultivated in pharmaceutically contaminated waters. Health risk estimation was conducted for the most sensitive human sub-population, children. The study observed that rapamycin has the lowest PNEC (4.3 μg/L) which is followed by ritonavir (19.52 μg/L), lopinavir (40 μg/L), chloroquine (7.36  $\times$  10<sup>3</sup> μg/L), and ribavirin (13.23  $\times$  10<sup>3</sup> μg/L), respectively. Previous studies have reported high PNEC of pharmaceuticals such as fluoroquinolones, sulfamethoxazole for drinking water [\(Schwab et al., 2005\)](#page-9-0) and fish consumption exposure [\(Schwab et al., 2005;](#page-9-0) [Kumari and Kumar, 2021\)](#page-9-0). Lower PNEC concentration of rapamycin in surface waters poses possible risks to human health.

#### <span id="page-6-0"></span>Table 4





The lower the PNEC values, higher is the risk due to drug-of-concern for a given exposure pathway.

#### 3.3. Risk characterization or risk quotient analysis

Risk Characterization or risk quotient analysis was carried out using the PEC and PNEC values of pharmaceuticals for assessing ecological and human health risks.

#### 3.3.1. Ecological risk assessment (ERA)

3.3.1.1. Pharmaceutical compounds. ERA estimates ecological hazard for the worst-case scenario ([Thomaidi et al., 2015](#page-9-0)). For ERA, PNEC of pharmaceuticals were calculated using the chronic toxicity data as they are considered to be more reliable ([Zhang et al., 2017\)](#page-10-0). EMEA also recommends the use of chronic toxicity data for PNEC estimation [\(EMEA, 2006](#page-8-0)), and gives much better insight into the "true" risk of chemical. In algae, high RQ values were obtained for lopinavir (6.88  $\times$   $10^2$ ), ritonavir (5.56  $\times$   $10^2$ ), and chloroquine (1.61  $\times$  10<sup>2</sup>) (Table 5). No risk was obtained for the other two compounds as evident by low RQ values. In daphnia, comparatively low RQ values was obtained for lopinavir (2.48  $\times$  10<sup>2</sup>), ritonavir  $(3.20 \times 10^2)$ , chloroquine (7.49  $\times 10^1$ ) whereas ribavirin and rapamycin does not pose any risk (RQ < 1). Even lower RQ values were observed in fish, in which the values ranged from 2.06  $\times$  10<sup>1</sup> (for ritonavir, maximum risk) to 4.05  $\times$  10<sup>-4</sup> (for ribavirin, no risk). Overall, RQ values of ritonavir, lopinavir, and chloroquine in three trophic levels falls within "very high" category of acceptable risk level (RQ  $>$  1). High ecological risk (RQ  $>$  1) of pharmaceutically active compounds in freshwater ecosystems has been reported [\(Molnar et al., 2020](#page-9-0)). Overall, ritonavir emerged as the major compound responsible for risk in the three trophic levels, followed by lopinavir and chloroquine. High toxicity of ritonavir, lopinavir and chloroquine can be attributed to low  $EC_{50}/LC_{50}$  values which is less than 0.1 mg/L, and as per the EU-directive 93/67/EEC, 1996 [\(EC, 2003\)](#page-8-0), compounds with  $EC_{50}$  < 0.1 mg/L are considered to be extremely toxic to aquatic organisms.  $EC_{50}/LC_{50}$  values of other substances were more than 0.1 mg/L which resulted in low toxicity.

The order of susceptibility amongst the three trophic levels was: algae  $\ge$  daphnia  $\ge$  fish. Past studies have also reported algae to be the most sensitive species to pharmaceuticals (antibiotics) in aquatic environment [\(Jeram et al., 2005;](#page-9-0) [Li et al., 2015\)](#page-9-0). Algae have high sensitivities to environmental pollution ([Bi et al., 2018](#page-8-0)) and can accumulate several contaminants from water which can be transferred to species at higher trophic levels ([Xie et al., 2008, 2010\)](#page-10-0). The aforementioned observation is also supported by Margin of Safety, MoS (1/HQ) which in case of algae was

# Table 5

Risk quotients in aquatic organisms (the value in italics show high risk).

Pharmaceuticals	Risk quotient		
	Green algae	Daphnia	Fishes
Lopinavir	$6.88 \times 10^{2}$	$2.48 \times 10^{2}$	$1.87 \times 10^{1}$
Ritonavir	5.56 $\times$ 10 <sup>2</sup>	$3.20 \times 10^{2}$	$2.06 \times 10^{1}$
Chloroquine	$1.61 \times 10^{2}$	$7.49 \times 10^{1}$	$1.19 \times 10^{1}$
Ribavirin	$1.4 \times 10^{-2}$	$2.25 \times 10^{-4}$	$4.05 \times 10^{-4}$
Rapamycin	$1.86 \times 10^{-1}$	$5.10 \times 10^{-2}$	$7.85 \times 10^{-2}$

relatively low ranging from  $1.45 \times 10^{-3}$  (low) to  $6.9 \times 10^{1}$  (high). MoS value was observed to be 33 times lower in algae compared to fishes which showed maximum values. Lopinavir has the minimum MoS values  $(1.43 \times 10^{-3})$  amongst all the drugs, which is a cause of possible concern for aquatic organisms. Ecological risks of drugs are a cause of concern and thorough monitoring and analysis must be carried out to know their actual concentrations in water environment. Uncontrolled and irregular discharge of pharmaceutical effluents has been the main source of these micropollutants in the water environment. Aquatic organisms in the water bodies receiving untreated or partially treated sewage are exposed to a combination of drugs residues, and not just as individual compounds. Therefore, better control and management strategies are required to reduce harmful effects posed by the contaminants under study.

3.3.1.2. Pharmaceutical metabolites. High RQ values of pharmaceutical metabolites was obtained which ranged from  $3.27 \times 10^4$  (for lopinavir metabolites combined) to 1.15  $\times$   $10^3$  (for n-desethylchloroquine, a chloroquine metabolite). As can been seen high ecotoxicological risk was predicted for pharmaceutical metabolites in environmental waters. The predicted high risk posed by lopinavir and ritonavir is similar to those reported in a previous study, wherein the two drugs predominated RQ in hospital effluents [\(Escher et al., 2011](#page-8-0)). High risk predicted for chloroquine and ribavirin metabolites is in contrast to those reported by [Kuroda et al. \(2021\),](#page-9-0) in which low risk was predicted for the compounds studied. On the whole, detrimental ecological consequences can be posed in river waters accepting discharge of pharmaceutical compounds and metabolites.

# 3.3.2. Human health risk assessment (HHRA) of pharmaceuticals through food (in the form of fishes) grown in pharmaceutically contaminated waters

RQ values of pharmaceuticals were estimated using PEC and PNEC values. RQ values for fish consumption exposure ranged from 4.7 (for lopinavir) to  $0.22$  (for chloroquine). RQ obtained for ritonavir (RQ = 6.55), rapamycin (RQ = 5.08), and lopinavir (RQ = 4.65), is higher than the prescribed risk level (RQ > 1). This revealed that significant concern exists on human health by consuming fishes cultivated in these pharmaceutically contaminated waters. No significant concern exists due to the presence of chloroquine and ribavirin as indicated by low RQ values  $($  RQ  $<$  1 $)$ . The above-mentioned observation is supported by MoS values which is less than 1 for lopinavir (0.215), ritonavir (0.152), and rapamycin (0.196). The values for chloroquine (4.39) and ribavirin (3.44) were greater than 1 and found to be protective. Bigger the MoS values, better it is [\(https://www.chemsafetypro.com/Topics/CRA/margin\\_of\\_safety\\_MOS\\_](https://www.chemsafetypro.com/Topics/CRA/margin_of_safety_MOS_margin_of_exposure_MOE_difference_chemical_risk_assessment.html) [margin\\_of\\_exposure\\_MOE\\_difference\\_chemical\\_risk\\_assessment.html\)](https://www.chemsafetypro.com/Topics/CRA/margin_of_safety_MOS_margin_of_exposure_MOE_difference_chemical_risk_assessment.html). High risk values of pharmaceuticals necessities the need of their regular monitoring and analysis in wastewater treatment plants as well as in the effluents discharged so as to protect the human beings from their harmful consequences.

### 3.4. Comprehensive risk hazard analysis

The study revealed high  $\rm{MRQ}_{\rm{PEC/PNEC}}$  values for the three trophic level of which maximum value was observed in green algae (14.06  $\times$  10<sup>2</sup>) followed by daphnia (6.43  $\times$  10<sup>2</sup>) and fish (5.1  $\times$  10<sup>1</sup>). The results demonstrated high ecological risk for mixture of pharmaceutical drugs in environmental waters. High MRQ values can be mainly attributed to high individual RQ values of ritonavir and lopinavir, which accounted for 73.50%–62.35% and 35.41%–26.17% of the total MRQ. The results revealed that ritonavir and lopinavir are the two major drugs contributing to the overall RQ, and needs to be regulated and controlled discharge of effluents must be carried out in order to protect the aquatic ecosystem. The study also observed that the calculated MRQ<sub>PEC/PNEC</sub> is greater than 1, therefore, a refinement of MRQ<sub>PEC/PNEC</sub> is required to investigate the cause of concern ([Kienzler et al., 2019\)](#page-9-0). As mentioned in the [Methodology](#page-2-0) section, improvement of MRQ<sub>PEC/PNEC</sub> is carried out by calculating MRQ<sub>STU</sub>. MRQ<sub>STU</sub> values in algae (0.68), daphnia (0.32), and fish (0.02) were less than 1, of which fish has the lowest  $MRQ<sub>STU</sub>$  values. Environmental risks were considered as low when RQs were less than 1 [\(Markert et al., 2020\)](#page-9-0). Hence, no significant ecological risk exists for mixture of pharmaceuticals in environmental waters. The total  $MRO<sub>STU</sub>$ (1.029) values was greater than 1 indicating possible concern on ecological ecosystem (Fig. 3). It was observed that lopinavir, ritonavir, and chloroquine are the major contributors to the overall estimated mixture risks. These substances need to be studied in order to obtain a comprehensive overview of the mixture risks in surface waters.

#### 3.5. Maximum allowable concentrations  $(C_{allowable})$

# 3.5.1. C<sub>allowable</sub> for co-existing pharmaceutical compounds in aquatic environment

It is essential to determine the maximum allowable concentrations, Callowable for co-existing pharmaceutical compounds in environmental waters since the substances are usually present as mixture combinations, and not as individual compounds [\(Kienzler et al., 2019](#page-9-0)). Mixture toxicity assessment of pharmaceuticals would be helpful in providing information about the maximum allowable level which can be tolerated by aquatic species.  $C_{allowable}$  values of pharmaceutical mixture was found to be 0.53 mg/L. This indicates the level below which no adverse effects are expected to occur in underlying aquatic organisms and is also considered to be safe for human consumption. The estimated  $C_{allowable}$  values are low which calls for strict regulation formulations and their subsequent implementation in environmental waters to protect the underlying ecosystem. The results presented in this study can be used by regulatory agencies in making guidelines so that step can be taken for implementing proper control measures in wastewater treatment plants and effluents discharged. This would help in restricting the exposure of pharmaceutical compounds in aquatic ecosystems and can also be used for human consumption.

#### 3.6. Sensitivity analysis and uncertainty in risk

Fig. 4 shows the radar plot of sensitivity analysis for determining the major governing parameter responsible for risk estimates. Amongst the





Fig. 4. Sensitivity analysis (via radar plot) to show the major parameters governing risk estimates.

input parameters considered, ED was found to be most influential parameter followed by fish consumption rate, BW and ADI values for all the pharmaceutical drugs. The overall results of sensitivity analysis indicated that ED is the most dominant parameter governing risk estimates. Fish consumption rate also showed significant effects on risk estimates. The parameters followed the order of: ED > fish consumption rate > BW > ADI of pharmaceutical compounds > BCF.

It is imperative to ascertain the uncertainty associated in risk estimation to arrive at proper conclusions ([Kumari and Gupta, 2018](#page-9-0)). It is believed that uncertainty in risk estimation arises because of the variation in input parameters such as BW, ED, EF, BCF, toxicity values etc., used in determining risk estimates. Uncertainty might occur due to lack of evidence on the environment-relevant concentrations of the drugs considered. Looking into the potential health benefits and economic importance of pharmaceutical drugs, the most appropriate information must be used. Use of the predicted environmental concentration of drugs to determine risk estimates might have added uncertainty in risk estimates thus regular monitoring and analysis of drugs in water matrices is needed to attain more clarity on the data presented. Besides, BCF is also a vital parameter which would lead to uncertainty. BCF values for majority of drugs are available in literature but since the drugs considered in this study are new and no specific information is available, therefore, the values were calculated using [Al-](#page-8-0)[Khazrajy and Boxall \(2016\)](#page-8-0) equation. Fish consumption rate in children is taken as per USFDA recommendations however, the values might differ depending upon the geo-climatic and geographical locations where the study is conducted. Looking into the above mentioned aspects, the values must be considered as per the need and requirements of the study conducted.

#### 4. Conclusions and implications

This study predicted the environmental concentration of COVID-19 pharmaceutical compounds and their metabolites in environmental waters and also derived risk of individual pharmaceutical compounds and in mixture to identify possible exposure effects on ecological and human health.

- High PEC of pharmaceutical compounds in environmental waters indicates the excessive use of drugs and incompetence of wastewater treatment plants in removing COVID-19 substances. The effluents are discharged in the receiving water without any proper treatment resulting in high levels. Similar observations were made for pharmaceutical metabolites.
- Ecological PNEC revealed that algae are the most sensitive species to pharmaceutical compounds in the aquatic environment owing to their low values (Average: 0.53 μg/L) compared to daphnia and fish. PNEC were derived for a hypothetical situation which can ultimately be refined or accompanied with evidence on experimentally derived selective con-Fig. 3. MRQ <sub>STU</sub> in three trophic levels. centrations in microbial communities as such data become available.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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- <span id="page-8-0"></span>• Ecological risk of pharmaceutical compounds revealed that ritonavir showed major effects in three trophic level. The study observed that algae has the highest average RQ values (2.81  $\times$  10<sup>2</sup>) followed by daphnia (1.28  $\times$  10<sup>2</sup>) and fish (1.02  $\times$  10<sup>1</sup>). On the basis of results presented algae are the most sensitive species followed by daphnia and fish. The aquatic biota could be vulnerable to the presence of pharmaceuticals in their environment, and toxic effects are expected to occur with unexpected outcomes.
- Ecological risk of metabolites revealed high RQ values. The environmental waters receiving effluents discharged from wastewater treatment plants pose risk to aquatic species affecting them.
- Human health risks through consumption of contaminated food revealed that maximum effects of risk exposure come through ritonavir followed by rapamycin and lopinavir as indicated by high RQ values  $(RO > 1)$ . Risk due to other compounds was found to be insignificant and negligible.
- Comprehensive risk assessment analysis revealed that total estimated MHQPEC/PNEC values were greater than 1, suggesting possible risk on the trophic level studied.
- The approach used for assessing comprehensive risk is conceptually different from concentration addition model because the PNEC might be based on different (groups of) species and also using different AFs; however, summation of PEC/PNEC ratios can be used as a screening-level approach (Backhaus and Faust, 2012).
- Callowable values of co-existing pharmaceutical compounds was found to be 530 μg/L which indicates that efforts are required in assessing mixture toxicity of contaminants and guidelines/standards needs to be formulated for their control in environmental waters.
- Sensitivity analysis revealed that for human health, exposure duration is the main parameter governing risk estimates followed by fish consumption rate.

Environmental concentrations of COVID-19 pharmaceuticals at various temporal scales is required in environmental waters for accurately predicting ecological and human health risks. Looking into the potential mixture toxicity of compounds, strict control measures can be implemented by adopting stringent effluent discharge standard in the receiving water bodies so as to protect the underlying aquatic ecosystem and also for reducing human health risk exposure by consuming food grown in pharmaceutically contaminated waters. Co-existence of pharmaceutical compounds has been reported in different water matrices, however, the type of interactions (synergistic/antagonistic) has not been reported for majority of substances. Due to lack of information available in published literature, we have used concentration addition approach to delineate mixture toxicity of pharmaceutical compounds. Interaction based studies (either in vivo or in vitro) are required and needs to be conducted for upcoming risk assessment studies involving COVID-19 pharmaceuticals. The findings of this study can be used by (i) environment researchers for understanding and recognizing the possible pollution of pharmaceutical substances, (ii) risk due to exposure of (a) pharmaceuticals, (b) metabolites, and (c) mixture of drugs so that strict control measures can be adopted to regulate their discharge in receiving water bodies, and (iii) regulatory agencies for guideline development of pharmaceutical drugs in water. Societies can also provide a helping hand by restricting the use of pharmaceutical drugs beyond the prescribed limit.

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### CRediT authorship contribution statement

Minashree Kumari: Conceptualization, Organization, Data collection and analysis, Writing - original draft and editing.

Arun Kumar: Conceptualization, Writing - review & editing, Supervision.

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