

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

REVIEW ARTICLE

An mRNA vaccine to prevent genital herpes



SITA AWASTHI, and HARVEY M. FRIEDMAN

PHILADELPHIA, PENNSYLVANIA

The rapid development of two nucleoside-modified mRNA vaccines that are safe and highly effective against coronavirus disease 2019 has transformed the vaccine field. The mRNA technology has the advantage of accelerated immunogen discovery, induction of robust immune responses, and rapid scale up of manufacturing. Efforts to develop genital herpes vaccines have been ongoing for 8 decades without success. The advent of mRNA technology has the potential to change that narrative. Developing a genital herpes vaccine is a high public health priority. A prophylactic genital herpes vaccine should prevent HSV-1 and HSV-2 genital lesions and infection of dorsal root ganglia, the site of latency. Vaccine immunity should be durable for decades, perhaps with the assistance of booster doses. While these goals have been elusive, new efforts with nucleoside-modified mRNA-lipid nanoparticle vaccines show areat promise. We review past approaches to vaccine development that were unsuccessful or partially successful in large phase 3 trials, and describe lessons learned from these trials. We discuss our trivalent mRNA-lipid nanoparticle approach for a prophylactic genital herpes vaccine and the ability of the vaccine to induce higher titers of neutralizing antibodies and more durable CD4⁺ T follicular helper cell and memory B cell responses than protein-adjuvanted vaccines. (Translational Research 2022; 242:56-65)

Abbreviations: HSV-1 = Herpes Simplex Virus Type 1; HSV-2 = Herpes Simplex Virus Type 2; LNP = Lipid nano particles; gC2 = HSV-2 glycoprotein C; gD2 = HSV-2 glycoprotein D; gE2 =

GLOBAL BURDEN OF HERPES INFECTION AND THE NEED FOR A PROPHYLACTIC VACCINE

Herpes infections are ubiquitous.^{1,2} The global HSV-1 seroprevalence for ages 0-49 years is 66.6%, while HSV-2 seroprevalence is 13.2% for ages 15-49.¹ HSV-1 and HSV-2 infections are persistent with frequent recurrences. Genital herpes infections are caused

From the Infectious Disease Division, Department of Medicine, Perelman School of Medicine, University of Pennsylvania, Philadelphia, Pennsylvania.

Reprint requests: Sita Awasthi, University of Pennsylvania, 522F Johnson Pavilion, 3610 Hamilton Walk, Philadelphia, PA 19104-6073 e-mail: sawasthi@pennmedicine.upenn.edu.

1931-5244/\$ - see front matter

© 2021 Elsevier Inc. All rights reserved.

https://doi.org/10.1016/j.trsl.2021.12.006

by either HSV-1 or HSV-2.³ Genital HSV-1 infection is acquired from oral-genital or genital-genital transmission and is common, with up to 50% of new genital herpes cases caused by HSV-1.⁴⁻⁶ However, HSV-1 reactivation infection is less frequent than HSV-2; therefore, the overall burden of disease is higher for HSV-2.⁷ While HSV-1 seropositivity indicates either oral or genital infection, HSV-2 seropositivity is almost exclusively from genital infection.⁸

Genital herpes may be symptomatic or asymptomatic. Sexual transmission by asymptomatic individuals is a major contributor to the high prevalence of genital herpes.⁹ Anxiety about transmitting infection to intimate partners can be debilitating for people with genital herpes. Some individuals with genital herpes have recurrent episodes of HSV-2 meningitis.¹⁰ The most dreaded complication of genital herpes is neonatal herpes.¹¹ Neonates can acquire HSV-1 or HSV-2 infection at the time of labor and delivery because of reactivation

Submitted for Publication October 30, 2021; revision submitted December 9, 2021; Accepted for Publication December 16, 2021.

infection, but neonatal infection is more common when a primary genital infection develops during the third trimester and the infant is delivered before antibodies are transferred transplacentally.¹²⁻¹⁴ Newborns may also acquire HSV-1 infection postnatally from oral contact with caregivers.¹¹ Neonatal infections have a high fatality and long-term neurological complications despite antiviral treatment.¹¹ Genital HSV infections increase the risk of acquisition and transmission of HIV, and a large burden of HIV is likely attributable to genital HSV-2 infection.¹⁵⁻¹⁸

An effective prophylactic genital herpes vaccine needs to be effective against genital infection by HSV-1 and HSV-2. An ideal vaccine will prevent genital lesions and asymptomatic subclinical infection to reduce the risk of transmission. Population-based mathematical models indicate that even a modestly effective herpes vaccine will have a substantial impact on HSV-2 sexual transmission.¹⁹⁻²³

PAST AND CURRENT GENITAL HERPES VACCINE CLINICAL TRIALS

No genital herpes vaccine is FDA-approved despite 75 years of effort. Only a small number of vaccine candidates have reached phase 3 trials. These vaccine candidates are discussed below.

1. Phase 3 vaccine trials for genital herpes prevention. Glycoproteins essential for virus entry were the targets for 3 large phase 3 human trials to prevent genital herpes.²⁴⁻²⁶ None of the trials achieved its primary endpoint, but each provided significant insights into the immune responses needed for success.

HSV-2 glycoproteins B and D (gB2/gD2) administered with adjuvant MF59 was used in randomized, placebo-controlled trials.²⁴ The primary endpoint was time to acquisition of genital herpes infection as determined by HSV-2 virus culture or seroconversion. The time to acquisition of infection was reduced by 50% in the vaccine recipients compared to placebo during the first 5 months, but no benefit was detected beyond that. The gB2/gD2 vaccine produced neutralizing antibody titers comparable to those in naturally infected subjects. The durability of neutralizing antibodies was not reported in this study; however, a prior phase 1/2 human trial using the same vaccine candidate showed a rapid decline in neutralizing antibody titers 6 months after the final (third) immunization.²⁷ Additionally, lower than expected antibody-dependent cellular cytotoxicity (ADCC) titers were reported in the trial suggesting that potent ADCC titers may be required for vaccine protection.²⁸ We postulate that immune evasion mediated by HSV-2 gE may explain the low

ADCC titers. HSV-2 gE is an IgG Fc receptor and promotes virus evasion of IgG Fc-mediated functions, such as ADCC.^{29,30}

In 2002, results of a phase 3 clinical trial were reported using gD2 with MPL and alum as adjuvants.²⁵ One study enrolled HSV-1 and HSV-2 double seronegative subjects while a second study enrolled subjects of any HSV serologic status. The primary endpoint was genital herpes disease. Based on the reduction in genital disease in the vaccine recipients, the efficacy of the gD2 vaccine was 38% in the first study (double seronegative men and women), and 42% in the second study (HSV-2 seronegative women that were either HSV-1 seropositive or seronegative). A subgroup analysis showed that vaccine efficacy in double seronegative women was 73% and 74% in studies 1 and 2, respectively. The vaccine was not efficacious in HSV-1 seropositive women or in men of any serostatus. The fact that the vaccine was not efficacious in HSV-1 seropositive women suggests that prior HSV-1 infection may interfere with vaccine protection. The vaccine failure in men raises concerns about possible sex differences in vaccine efficacy.²⁵

To confirm the vaccine efficacy in double seronegative women, a follow up phase 3 clinical trial was conducted that enrolled only double seronegative women ages 18–45 years (Herpevac Trial for Women).²⁶ The primary endpoint was genital herpes lesions caused by HSV-1 or HSV-2 beginning one month after the second of 3 immunizations with a follow up period extending for 20 months. The overall vaccine efficacy was 20%; however, the efficacy against HSV-1 genital disease was 58% or 77% after 2 or 3 immunizations, respectively. The vaccine was not efficacious against HSV-2 genital disease. The average peak neutralizing antibody titer against HSV-2 was 1:29 1 month after the final (third) vaccine dose and was undetectable by 16 months.²⁶ The low peak HSV-2 neutralizing antibody titers and lack of durable response may explain the poor vaccine efficacy against HSV-2. ELISA gD2 antibody titers correlated with protection against HSV-1, while CD4⁺ T cell responses did not, suggesting antibody responses were important for vaccine efficacy.³¹ A substudy using serum from 30 vaccinated subjects showed 3.5-fold higher neutralizing antibody titers against HSV-1 than HSV-2, providing a possible explanation for protection against HSV-1 but not HSV-2.32

2. Phase 1/2 human trials for prevention of genital herpes. A recent phase 1 study used a live attenuated replication-defective HSV-2 vaccine candidate, HSV529 that has a deletion in 2 genes essential for virus replication, UL5 and UL29. The vaccine was safe and well tolerated; however, immune responses were suboptimal.³³ The average peak titer for neutralizing antibodies in HSV double seronegative subjects was 1:16, which was lower than the titers noted in HSV seropositive (previously infected) subjects. CD4⁺ and CD8⁺ T cell responses were induced in only 36% and 14% of seronegative subjects, respectively. In HSV-1 or HSV-2 seropositive volunteers, HSV529 did not boost neutralizing antibody titers. CD4⁺ T cells responses were boosted in 27%-46%, and CD8⁺ T cell responses in 8%-18%. Based on these results, the HSV529 vaccine candidate is not being pursued for prevention of genital herpes; however, further trials are planned to develop a therapeutic vaccine as treatment for subjects with recurrent genital herpes. The therapeutic vaccine combines HSV529 with glycoprotein antigen gD2 and capsid antigens UL19 and UL25 administered with glucopyranosyl lipid A in a stable emulsion (GLA-SE) as (https://clinicaltrials.gov/ct2/show/ adjuvant NCT04222985). Other phase 1 trials using replication defective virus may be in the planning phase, including one that uses HSV-2 gD deletion virus as a candidate vaccine.34,35

LESSONS LEARNED FROM PAST CLINICAL TRIALS AND NATURAL INFECTION

First lesson: Three genital herpes vaccine candidates that targeted entry molecules were only partially successful. Neutralizing antibody titers were either low, not durable or both.²⁴⁻²⁶ These results suggest that prophylactic vaccines directed at entry molecules should induce high and durable titers of neutralizing antibodies.

Second lesson: Additional support for the importance of antibodies in preventing infection comes from studies of neonatal herpes. Passive transfer of antibodies from mother to fetus protects newborns primarily because of neutralizing antibodies and ADCC.^{36,37}

Third lesson: HSV is highly adapted to evade host immunity, making it difficult for a vaccine to prevent the virus from reaching the ganglia, which is the site of latency.³⁸⁻⁴⁰ A vaccine that targets immune evasion strategies of the virus may help the host clear the virus before it establishes latency.

Fourth lesson: Many individuals acquire HSV-1 infection (orolabial) during childhood before sexual debut.² For example, in the US, HSV-1 seroprevalence among 14–19-year-olds is 30%.⁴¹ The gD2 MPL/alum vaccine candidate did not induce protective immunity in HSV-1 seropositive subjects.²⁵ Future vaccines aimed at preventing HSV-2 infection must be effective in people who are HSV-1 seropositive.

Fifth lesson: In resource-rich countries, 50% of new genital herpes cases are caused by HSV-1. Therefore, a vaccine must be effective against both HSV-1 and HSV-2 genital infection.⁴⁻⁶

ADVANTAGES OF USING MRNA TECHNOLOGY FOR A GENITAL HERPES VACCINE

Modifications in mRNA constructs and lipid nanoparticle (LNP) formulation contributed to the success of the coronavirus disease 2019 (COVID-19) vaccines and will improve chances for success of a genital herpes vaccine.^{42,43} The knowledge gained from COVID-19 mRNA vaccines will help streamline mRNA-LNP vaccine development for herpes and other next generation vaccines because of expertise gained in scaling up manufacturing, developing cold chain distribution, obtaining regulatory approval, and proceeding rapidly from small safety trials to large efficacy trials. We have learned that mRNA vaccines are safe and effective in young and old of both sexes and multiple races.⁴⁴⁻⁴⁶ Safety in adolescents and young adults is important for the future development of a prophylactic genital herpes vaccine because these individuals are the intended recipients of the vaccine.

The mRNA vaccines induce high levels of humoral and cellular immune responses.⁴⁷⁻⁵⁰ COVID-19 mRNA and influenza mRNA vaccines in clinical trials and our genital herpes mRNA vaccine in preclinical studies stimulated long-term memory B-cells, suggesting durable immunity.^{51,52} The lack of durable immunity was a deficiency of prior genital herpes vaccine candidates.^{24,26} Our genital herpes vaccine targets surface glycoproteins. An advantage of mRNA vaccines for expressing glycoprotein antigens is that when the mRNA is translated, glycosylation and other posttranslational modifications are identical to proteins produced during natural infection, unlike subunit protein antigens produced in yeast or insect cells.

TRIVALENT MRNA VACCINE TO PREVENT GENITAL HERPES

In vivo transcribed mRNA was evaluated in the 1990s in preclinical models as a possible delivery mechanism for curing medical illnesses.^{53,54} However, problems emerged because of mRNA instability, undesirable inflammatory immune responses, and inefficient delivery. Years of innovative work by Kariko and Weissman yielded the technology to overcome these setbacks. These investigators and their colleagues substituted uridine residues with 1-methyl-pseudouridine to reduce triggering innate immune sensors; they optimized 5' cap, 5' and 3' UTRs, and polyA tail-length to improve mRNA stability; they removed double-stranded mRNA by purification to avoid triggering innate toll-like receptor sensors; and they used lipid LNP to deliver the mRNA to antigen presenting cells.^{42,55-59} Based on these modifications, the mRNA

induced potent CD4⁺ T-follicular helper cell and germinal center B cell responses.^{48,59,60} T-follicular helper cells are critical for germinal center formation, somatic hypermutation, development of high-affinity antibodies, and effective long-term B cell memory.⁶¹⁻⁶⁴ In preclinical studies, modified mRNA vaccines provide extraordinary protection in animal models for Zika, influenza, HSV-1, and HSV-2.^{47,49,58,64}

Trivalent nucleoside-modified mRNA-LNP vaccine to prevent HSV-2 genital herpes: The vaccine candidates that progress to phase 3 trials targeted viral glycoproteins that are essential for entry, either gD2 alone or gD2 and gB2.²⁴⁻²⁶ Antibodies to gD2 are highly neutralizing and block its interaction with cell receptors nectin-1 and HVEM (herpesvirus entry mediator).^{65,66} We hypothesized that vaccines directed only at entry molecules were not successful because the virus was able to evade antibody responses. HSV-2 glycoprotein C (gC2) and glycoprotein E (gE2) are immune evasion molecules. HSV gC2 binds complement component C3b to inhibit complement activation while gE2 binds the Fc domain of IgG to inhibit Fc-mediated ADCC and complement activation.^{39,67-70} By adding gC2 and gE2 immunogens to gD2, the trivalent vaccine can block gD2 entry, gC2 evasion of complement, and gE2 evasion of activities mediated by the IgG Fc domain (Fig 1).

Our earlier studies evaluated a trivalent protein-adjuvanted vaccine for preventing genital herpes.^{30,71} More recently, we pursued a trivalent nucleoside-modified mRNA-LNP vaccine (referred to as mRNA vaccine). The mRNA vaccine was designed using identical amino acid sequences as the protein vaccine to encode the ectodomains of gC2 amino acids 27-426, gD2 amino acids 26-331, and gE2 amino acids 24-405.^{47,71} Uridine nucleosides in the mRNA were replaced by 1-methylpseudouridine (Fig 2). Each mRNA was purified by high-performance liquid chromatography and all 3 mRNAs were encapsulated into LNPs prior to immunization. The trivalent mRNA vaccine was administered intradermal or intramuscular. Our model of immunogen uptake into antigen presenting cells is shown in Fig 3.

Preclinical studies were conducted in mice and guinea pigs. We compared the trivalent protein vaccine adjuvated with CpG/alum to trivalent mRNA vaccine and showed that the mRNA vaccine induced superior immune responses, including ELISA IgG antibodies, neutralizing antibodies, antibodies that bind to crucial gD2 epitopes involved in virus entry and cell-to-cell spread, CD4⁺ T cell responses, and T-follicular helper and germinal center B cell responses.⁴⁷ The trivalent immunogens, whether administered as mRNA or proteins, completely prevented genital lesions in mice and guinea pigs; however, differences in efficacy were notable for subclinical infection. Twenty-three percent of trivalent protein vaccinated mice had subclinical infection, defined as positive virus cultures on days 2 or 4 postinfection or HSV-2 DNA detected in DRG. In

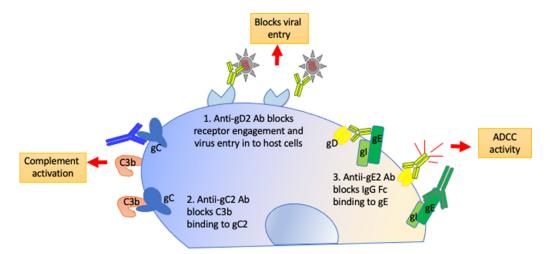


Fig 1. Model of antibody responses produced by the trivalent mRNA vaccine. The goal of the trivalent mRNA vaccine is: **1**, To block gD2 binding to receptor. Antibody to gD2 (yellow) binds to gD2 on the virus and prevents entry into the cell. **2**, To block gC2 on virus or infected cells from binding complement component C3b. Antibody to gC2 (blue) blocks the binding of C3b to gC2 allowing complement activation to proceed. **3**, To block binding of IgG Fc to the virus IgG Fc receptor, gE2/gI2. Antibody to gE2 (green) binds to gE2 and blocks the ability of gE2/gI2 to bind the Fc domain of IgG. In the absence of gE2 antibody, antibody to gD2 (yellow) binds to gD2 by its F(ab')₂ domain and the Fc domain of the same antibody binds to gE2/gI2 (green) to block activities mediated by the IgG Fc domain such as ADCC and complement activation. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

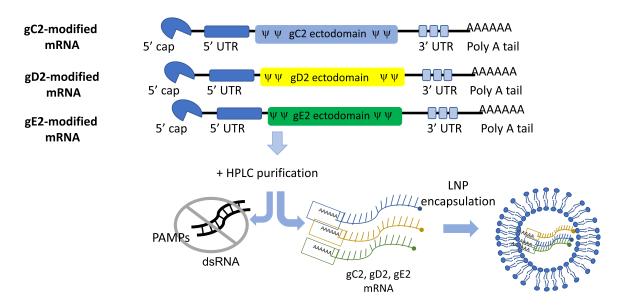


Fig 2. Nucleoside-modified trivalent mRNA-LNP vaccine. The trivalent nucleoside-modified mRNA-LNP encodes the ectodomain of gC2, gD2, and gE2. Uridine residues are replaced by 1-methyl-pseudouridine (ψ), and 5' cap, 5', and 3' UTRs and poly(A) tails are modified to improve mRNA stability and translation efficiency. The mRNA is purified to remove double stranded RNA using high-performance liquid chromatography followed by encapsulation into LNPs. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

contrast, only 2.6% of mice immunized with the mRNA vaccine had subclinical infection based on a single mouse that had HSV-2 DNA detected in DRG on day 4 postinfection.⁴⁷ In the guinea pig model, 50% protein-vaccinated animals had recurrent vaginal shedding of HSV-2 DNA on 9% of days compared to 20% of mRNA-vaccinated animals with recurrent vaginal shedding on 2% of days.⁴⁷ These results describe the potency of the mRNA vaccine in preventing genital lesions and subclinical infection in preclinical models.

We recently addressed the durability of immune responses and protection by the mRNA vaccine.⁵² We immunized guinea pigs with the trivalent protein or mRNA vaccine and challenged them 8 months after the final immunization. Neutralizing antibody titers and ELISA IgG titers declined markedly (6.2fold) in the protein but less (2.2-fold) in the mRNA vaccine group. Eighty-five percent of guinea pigs immunized with the mRNA vaccine remained protected against genital disease at 8 months and none died, while the protein vaccine protection declined dramatically resulting in death in 30% and genital lesions in 80%.⁵²

We used BALB/c mice to evaluate memory B cell responses as a possible mechanism to explain differences in durable immunity. The mRNA vaccine stimulated more robust CD4⁺ T follicular helper cell and germinal center B cell responses than the protein vaccine.^{47,52} These responses led to potent antigen-

specific memory B cell responses that lasted at least one year after the second immunization. Responses to the mRNA vaccine were far superior to the protein vaccine.⁵² We evaluated bone marrow cells by ELISpot for vaccine-specific antibody secreting cells. We detected more antibody secreting cells producing antigen-specific IgG1, IgG2a, and IgG2b in the mRNA than protein group.⁵² High and durable antibody titers likely explain the outstanding protection provided by the mRNA vaccine.

Trivalent mRNA vaccine for preventing HSV-1 genital infection: HSV-1 accounts for 50% of first episodes of genital herpes.^{72,73} Therefore, a prevention vaccine for genital herpes must be effective against both HSV-1 and HSV-2. The Herpevac Trial for Women reported that the HSV-2 gD2 vaccine provided better protection against HSV-1 than HSV-2.26 We evaluated the HSV-2 trivalent mRNA vaccine for cross-protection against genital HSV-1 infection in mice. Mice were immunized twice with the mRNA vaccine and challenged intravaginally with a high dose (2 million PFU) of HSV-1. Mice were totally protected from weight loss and genital disease. Fifty-five percent of animals developed subclinical infection defined by vaginal HSV-1 virus titers on days 2 or 4 postinfection; however, no HSV-1 DNA was detected in DRG indicating that the vaccine successfully prevented both disease and latency.⁴⁹

Table 1 summarizes the mRNA vaccine results for preventing HSV-1 or HSV-2 genital disease and

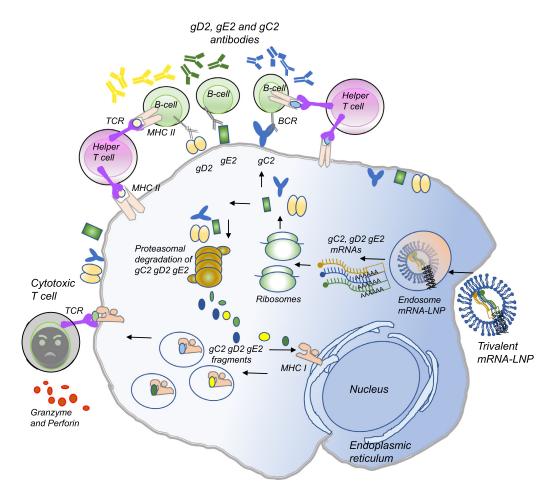


Fig 3. Stimulating immune responses by immunization. The trivalent nucleoside-modified mRNA-LNP vaccine is delivered to antigen presenting cells (APC) and endocytosed. The mRNA is released in the cytosol and translated into gC2, gD2, and gE2 proteins by ribosomes. The proteins are degraded in endosomes and presented on the cell surface to helper T cells by major histocompatibility complex (MHC) class II proteins. The helper T cells stimulate B cells to produce antibodies. The intracellular antigens are also broken down into smaller fragments by the proteasomal complex, and the fragments are then presented on the cell surface to cytotoxic T cells by major MHC class I proteins. The activated cytotoxic T cells kill HSV-2 infected cells by secreting cytolytic molecules, such as perforin and granzymes. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

subclinical infection in mice infected one month after the final immunization, and preventing HSV-2 genital disease and subclinical infection in guinea pigs challenged one or 8 months after the final immunization.

Trivalent mRNA vaccine for preventing neonatal herpes: A goal of a genital herpes vaccine is to prevent disseminated infection in newborns, including infection of the developing brain.^{14,74} The global incidence of neonatal herpes is 1 case per 10,000 births.¹⁵ Although uncommon, neonatal herpes is a dreaded consequence of genital herpes. Antiviral treatment is recommended for infected infants, yet long-term neurological complications are reported in two-thirds of surviving children.^{75,76} Several vaccine candidates have been evaluated in the mouse neonatal herpes model, including replication-defective live virus, single-cycle live attenuated virus,

and trivalent protein. Each provided excellent protection.⁷⁷⁻⁷⁹ Below we summarize our results using the trivalent mRNA vaccine that also was highly protective.

We used a mouse model for neonatal herpes where female mice were immunized with the trivalent mRNA vaccine months prior to mating and the pups born to immunized dams were infected intranasally with HSV-2 on postnatal day 2. The mRNA vaccine was highly efficacious in preventing neonatal herpes for first- and second-generation pups.⁸⁰ The trivalent mRNA vaccine induced high titers of IgG ELISA and neutralizing antibodies in the mothers that protected their newborns against HSV-2. We did not evaluate ADCC titers, but others have reported that ADCC contributes to protection.^{14,36,79,81,82} We are currently performing Table 1. Short- and long-term protection against genital HSV-1 and HSV-2 by trivalent mRNA vaccine

Condition	Mice*,47,49		Guinea pigs ^{*,47,52}	
	HSV-1 ^{\ddagger} short-term ^{\dagger}	HSV-2 ^{\ddagger} short-term ^{\dagger}	HSV-2 short-term ^{\dagger}	HSV-2 long-term ^{\dagger}
Serum neutralizing titer prior to infection	1:6480	1:4480	1:5888	1:2624
Survival	24/24 (100%)	44/44 (100%)	30/30 (100%)	20/20 (100%)
Genital disease	0/24 (0%)	0/44 (0%)	0/30 (0%)	3/20 (15%)
Subclinical infection				
Day 2 vaginal titers	16/29 (55%)	0/64 (0%)	15/30 (50%)	15/20 (75%)
Day 4 vaginal titers	4/29 (14%)	0/64 (0%)	3/30 (10%)	8/20 (40%)
HSV-2 DNA in DRG after day 28	0/25 (05)	0/15 (0%)	4/30 (13%)	6/20 (30%)
Vaginal shedding of HSV-2 DNA after 28 days	NA	NA	6/30 (20%)	11/20 (55%)
Vaginal shedding of virus after day 28	na	NA	0/30(0%)	0/20(0%)

Abbreviation: NA, not applicable.

^{*}Mice were immunized with 10 μ g while guinea pigs were immunized with 20 μ g each mRNA.

¹Short-term refers to mice or guinea pigs infected one month after the final immunization. Long-term refers to guinea pigs infected 8 months after the final immunization.

¹Vaginal infection doses in mice for HSV-1 and HSV-2 were 2 \times 10⁶ PFU and 5 \times 10³ PFU respectively because the LD₅₀ of HSV-1 for vaginal infection in mice is 2000-fold higher than HSV-2.⁴⁹

similar studies to assess mRNA vaccine protection against HSV-1 neonatal herpes.

FUTURE CONSIDERATIONS: MRNA-BASED THERAPIES FOR GENITAL HERPES

mRNA vaccines may also be administered as treatment for individuals already infected with HSV-1 or HSV-2. The immune responses needed to control infection may differ from those required to prevent infection. CD8⁺ T cells may be crucial for controlling recurrent herpes.⁸³⁻⁸⁸ Therefore, antigens used for treatment of recurrent genital herpes may differ from prevention. Another future consideration is to administer mRNA that encodes monoclonal antibody to a recently infected pregnant individual near term to prevent virus transmission to the newborn.

SUMMARY

The mRNA technology had made steady progress in the laboratory and preclinical studies for several decades; however, the true potential of the technology has only been recognized with the impressive success of mRNA COVID-19 vaccines.^{44,45} Efforts to develop an effective vaccine for genital herpes have proven difficult. For the past 5 years, our efforts have focused on an mRNA vaccine.^{47,52} Our strategy is to block virus entry and immune evasion from antibody and complement. Our candidate, the trivalent mRNA vaccine shows great promise in mice and guinea pigs in preventing HSV-1 and HSV-2 genital infection. The mRNA vaccine induces robust T-follicular helper cell and antigen-specific memory B cell responses. We are optimistic about success for the prophylactic mRNA vaccine in future clinical trials. Ultimately, our goal is to develop vaccines for both prevention and treatment to address the needs of those with no prior history of genital infection and the half-billion people already infected.

ACKNOWLEDGMENTS

Conflict of interest: Both authors have read the journal's policy on disclosure of potential conflicts of interest and the journal's authorship statement. The manuscript has been reviewed and approved by both authors. SA and HMF are inventors on patents awarded to the University of Pennsylvania on the use of HSV-1 or HSV-2 subunit proteins or nucleoside-modified mRNA for prevention or treatment of genital herpes. We have disclosed those interests fully to the University of Pennsylvania, and we have in place an approved plan for managing any potential conflicts arising from licensing of our patents.

Data availability statement: All data are available within the article or will be made available upon request.

Authors acknowledge NIH RO1 AI139618 and unrestricted research funding from BioNTech.

REFERENCES

- 1. James C, Harfouche M, Welton NJ, et al. Herpes simplex virus: global infection prevalence and incidence estimates, 2016. Bull World Health Organ 2020;98:315–29.
- Looker KJ, Magaret AS, Turner KM, et al. Global estimates of prevalent and incident herpes simplex virus type 2 infections in 2012. PLoS One 2015;10:e114989.

- Ayoub HH, Chemaitelly H, Abu-Raddad LJ. Characterizing the transitioning epidemiology of herpes simplex virus type 1 in the USA: model-based predictions. BMC Med 2019;17:57.
- Tuokko H, Bloigu R, Hukkanen V. Herpes simplex virus type 1 genital herpes in young women: current trend in Northern Finland. Sex Transm Infect 2014;90:160.
- 6. Khadr L, Harfouche M, Omori R, et al. The epidemiology of herpes simplex virus type 1 in Asia: systematic review, meta-analyses, and meta-regressions. Clin Infect Dis 2019;68:757–72.
- Looker KJ, Garnett GP. A systematic review of the epidemiology and interaction of herpes simplex virus types 1 and 2. Sex Transm Infect 2005;81:103–7.
- Gupta R, Warren T, Wald A. Genital herpes. Lancet 2008;370:2127–37.
- **9.** Tronstein E, Johnston C, Huang M, et al. Genital shedding of herpes simplex virus among symptomatic and asymptomatic persons with HSV-2 infection. JAMA 2011;305:1441–9.
- Logan SA, MacMahon E. Viral meningitis. BMJ 2008;336:36– 40.
- Pinninti SG, Kimberlin DW. Maternal and neonatal herpes simplex virus infections. Am J Perinatol 2013;30:113–9.
- Vontver LA, Hickok D E, Brown Z, et al. Recurrent genital herpes simplex virus infection in pregnancy: infant outcome and frequency of asymptomatic recurrences. Am J Obstet Gynecol 1982;143:75–84.
- 13. Brown ZA, Benedetti J, Ashley R, et al. Neonatal herpes simplex virus infection in relation to asymptomatic maternal infection at the time of labor. N Engl J Med 1991;324:1247–52.
- 14. Prober CG, Sullender, WM, Yasukawa, L L, et al. Low risk of herpes simplex virus infections in neonates exposed to the virus at the time of vaginal delivery to mothers with recurrent genital herpes simplex virus infections. N Engl J Med 1987;316:240–4.
- **15.** Looker KJ, Margaret AS, May MT, et al. First estimates of the global and regional incidence of neonatal herpes infection. Lancet Glob Health 2017;5:e300–9.
- **16.** Looker KJ, Welton NJ, Sabin KM, et al. Global and regional estimates of the contribution of herpes simplex virus type 2 infection to HIV incidence: a population attributable fraction analysis using published epidemiological data. Lancet Infect Dis 2020;20:240–9.
- Wald A, Link K. Risk of human immunodeficiency virus infection in herpes simplex virus type 2-seropositive persons: a metaanalysis. J Infect Dis 2002;185:45–52.
- Freeman EE, Weiss HA, Glynn JR, et al. Herpes simplex virus 2 infection increases HIV acquisition in men and women: systematic review and meta-analysis of longitudinal studies. AIDS 2006;20:73–83.
- Schwartz EJ, Bodine EN, Blower S. Effectiveness and efficiency of imperfect therapeutic HSV-2 vaccines. Human Vaccines 2007;3:231–8.
- **20.** Schwartz EJ, Blower S. Predicting the potential individual- and population-level effects of imperfect herpes simplex virus type 2 vaccines. J Infect Dis 2005;191:1734–46.
- 21. Alsallaq RA, Schiffer JT, Longini IM, et al. Population level impact of an imperfect prophylactic vaccine for herpes simplex virus-2. Sex Transm Dis 2010;37:290–7.
- Garnett GP. Role of herd immunity in determining the effect of vaccines against sexually transmitted disease. J Infect Dis 2005;191(suppl 1):S97–106.

- Garnett GP, Dubin G, Slaoui M, et al. The potential epidemiological impact of a genital herpes vaccine for women. Sex Transm Infect 2004;80:24–9.
- Corey L, Langenberg AG, Ashley R, et al. Recombinant glycoprotein vaccine for the prevention of genital HSV-2 infection: two randomized controlled trials. Chiron HSV Vaccine Study Group.[see comment]. JAMA 1999;282:331–40.
- Stanberry LR, Spruance SL, Cunningham AL, et al. Glycoprotein-D-adjuvant vaccine to prevent genital herpes. N Engl J Med 2002;347:1652–61.
- Belshe RB, Leone PA, Bernstein DI, et al. Efficacy results of a trial of a herpes simplex vaccine. N Engl J Med 2012;366:34– 43.
- Langenberg AG, Burke RL, Adair SF, et al. A recombinant glycoprotein vaccine for herpes simplex virus type 2: safety and immunogenicity [corrected][erratum appears in Ann Intern Med 1995 Sep 1;123(5):395]. Ann Intern Med 1995;122:889–98.
- Kohl S, Charlebois ED, Sigouroudinia M, et al. Limited antibody-dependent cellular cytotoxicity antibody response induced by a herpes simplex virus type 2 subunit vaccine. J Infect Dis 2000;181:335–9.
- 29. Dubin G, Socolof E, Frank I, et al. Herpes simplex virus type 1 Fc receptor protects infected cells from antibody-dependent cellular cytotoxicity. J Virol 1991;65:7046–50.
- **30.** Awasthi S, Huang J, Shaw C, et al. Blocking herpes simplex virus 2 glycoprotein E immune evasion as an approach to enhance efficacy of a trivalent subunit antigen vaccine for genital herpes. J Virol 2014;88:8421–32.
- Belshe RB, Heineman TC, Bernstein DI, et al. Correlate of immune protection against HSV-1 genital disease in vaccinated women. J Infect Dis 2014;209:628–36.
- 32. Awasthi S, Belshe RB, Friedman HM. Better neutralization of herpes simplex virus type 1 (HSV-1) than HSV-2 by antibody from recipients of GlaxoSmithKline HSV-2 glycoprotein D2 subunit vaccine. J Infect Dis 2014;210:571–5.
- 33. Dropulic LK, Oestreich MC, Pietz HL, et al. A randomized, double-blind, placebo-controlled, phase 1 study of a replication-defective herpes simplex virus 2 vaccine, HSV529, in adults with or without HSV infection. J Infect Dis 2019;220:990–1000.
- **34.** Petro C, González PA, Cheshenko N, et al. Herpes simplex type 2 virus deleted in glycoprotein D protects against vaginal, skin and neural disease. eLife 2015;4:e06054.
- Petro CD, Weinrick B, Khajoueinejad N, et al. HSV-2 ΔgD elicits FcγR-effector antibodies that protect against clinical isolates. JCI Insight 2016;1:e88529.
- Kohl S, Loo LS. Protection of neonatal mice against herpes simplex virus infection: probable in vivo antibody-dependent cellular cytotoxicity. J Immunol 1982;129:370–6.
- Kohl S. Role of antibody-dependent cellular cytotoxicity in neonatal infection with herpes simplex virus. Rev Infect Dis 1991;13(suppl 11):S950–2.
- Friedman HM. Immunologic strategies for herpes vaccination. JAMA 2000;283:746., author reply 746-7.
- 39. Friedman HM, Cohen GH, Eisenberg RJ, et al. Glycoprotein C of herpes simplex virus 1 acts as a receptor for the C3b complement component on infected cells. Nature 1984;309:633–5.
- 40. Friedman HM. Immune evasion by herpes simplex virus type 1, strategies for virus survival. Trans Am Clin Climatol Assoc 2003;114:103–12.
- Bradley H, Markowitz LE, Gibson T, et al. Seroprevalence of herpes simplex virus types 1 and 2—United States, 1999–2010. J Infect Dis 2013;209:325–33.
- 42. Karikó K, Muramatsu H, Muramatsu FA, et al. Incorporation of pseudouridine into mRNA yields superior nonimmunogenic

vector with increased translational capacity and biological stability. Mol Ther 2008;16:1833–40.

- Stanton MG. Current status of messenger RNA delivery systems. Nucleic Acid Ther 2018;28:158–65.
- 44. Polack FP, Thomas SJ, Kitchin N, et al. Safety and efficacy of the BNT162b2 mRNA COVID-19 vaccine. N Engl J Med 2020;383:2603–15.
- 45. Anderson EJ, Rouphael NG, Widge AT, et al. Safety and immunogenicity of SARS-CoV-2 mRNA-1273 vaccine in older adults. N Engl J Med 2020;383:2427–38.
- 46. Frenck RW, Klein NP, Kitchin N N, et al. Safety, immunogenicity, and efficacy of the BNT162b2 COVID-19 vaccine in adolescents. N Engl J Med 2021;385:239–50.
- Awasthi S, Hook LM, Pardi N, et al. Nucleoside-modified mRNA encoding HSV-2 glycoproteins C, D, and E prevents clinical and subclinical genital herpes. Sci Immunol 2019;4: eaaw7083.
- Hogan MJ, Conde-Motter A, Jordan APO, et al. Increased surface expression of HIV-1 envelope is associated with improved antibody response in vaccinia prime/protein boost immunization. Virology 2018;514:106–17.
- 49. Egan KP, Hook LM, Naughton A, et al. An HSV-2 nucleosidemodified mRNA genital herpes vaccine containing glycoproteins gC, gD, and gE protects mice against HSV-1 genital lesions and latent infection. PLoS Pathog 2020;16:e1008795.
- Laczkó D, Hogan MJ, Toulmin SA, et al. A single immunization with nucleoside-modified mRNA vaccines elicits strong cellular and humoral immune responses against SARS-CoV-2 in mice. Immunity 2020;53:724–32, e7.
- Sahin U, Muik A, Derhovanessian E, et al. COVID-19 vaccine BNT162b1 elicits human antibody and TH1 T cell responses. Nature 2020;586:594–9.
- Awasthi S, Knox JJ, Desmond A, et al. Trivalent nucleosidemodified mRNA vaccine yields durable memory B cell protection against genital herpes in preclinical models. J Clin Invest 2021;131. https://doi.org/10.1172/jci152310.
- Wolff JA, Malone RW, Williams P, et al. Direct gene transfer into mouse muscle in vivo. Science 1990;247(4949 Pt 1):1465– 8.
- 54. Jirikowski GF, Sanna PP, Maciejewski-Lenoir D, et al. Reversal of diabetes insipidus in Brattleboro rats: intrahypothalamic injection of vasopressin mRNA. Science 1992;255:996–8.
- Pardi N, Weissman D. Nucleoside modified mRNA vaccines for infectious diseases. Methods Mol Biol 2017;1499:109–21.
- Weissman D. mRNA transcript therapy. Expert Rev Vaccines 2015;14:265–81.
- Pardi N, Tuyishime S, Muramatsu H, et al. Expression kinetics of nucleoside-modified mRNA delivered in lipid nanoparticles to mice by various routes. J Control Release 2015;217:345–51.
- Pardi N, Hogan MJ, Pelc RS, et al. Zika virus protection by a single low-dose nucleoside-modified mRNA vaccination. Nature 2017;543:248–51.
- 59. Foster JB, Choudhari N, Perazzelli J, et al. Purification of mRNA encoding chimeric antigen receptor is critical for generation of a robust T-cell response. Hum Gene Ther 2019;30:168–78.
- Richner JM, Himansu S, Dowd KA, et al. Modified mRNA vaccines protect against Zika virus infection. Cell 2017;168:1114– 25, e10.
- Crotty S. A brief history of T cell help to B cells. Nat Rev Immunol 2015;15:185–9.
- **62.** Crotty S. T follicular helper cell differentiation, function, and roles in disease. Immunity 2014;41:529–42.

- 63. Havenar-Daughton C, Lee JH, Crotty S. Tfh cells and HIV bnAbs, an immunodominance model of the HIV neutralizing antibody generation problem. Immunol Rev 2017;275:49–61.
- 64. Pardi N, Hogan MJ, Naradikian MS, et al. Nucleoside-modified mRNA vaccines induce potent T follicular helper and germinal center B cell responses. J Exp Med 2018;215:1571–88.
- Eisenberg RJ, Atanasiu D, Cairns TM, et al. Herpes virus fusion and entry: a story with many characters. Viruses 2012;4:800–32.
- 66. Lazear E, Whitbeck JC, Ponce-de-Leon M, et al. Antibodyinduced conformational changes in herpes simplex virus glycoprotein gD reveal new targets for virus neutralization. J Virol 2012;86:1563–76.
- Frank I, Friedman HM. A novel function of the herpes simplex virus type 1 Fc receptor: participation in bipolar bridging of antiviral immunoglobulin G. J Virol 1989;63:4479–88.
- 68. Awasthi S, Lubinski JM, Shaw CE, et al. Immunization with a vaccine combining herpes simplex virus 2 (HSV-2) glycoprotein C (gC) and gD subunits improves the protection of dorsal root ganglia in mice and reduces the frequency of recurrent vaginal shedding of HSV-2 DNA in guinea pigs compared to immunization with gD alone. J Virol 2011;85:10472–86.
- **69.** Awasthi S, Balliet JW, Flynn JA, et al. Protection provided by a herpes simplex virus 2 (HSV-2) glycoprotein C and D subunit antigen vaccine against genital HSV-2 infection in HSV-1-sero-positive guinea pigs. J Virol 2014;88:2000–10.
- Lubinski JM, Lazear HM, Awasthi S, et al. Herpes simplex virus type 1 IgG Fc receptor blocks antibody-mediated complement activation and antibody-dependent cellular cytotoxicity in vivo. J Virol 2011;85:3239–49.
- Awasthi S, Hook LM, Shaw CE, et al. An HSV-2 trivalent vaccine is immunogenic in rhesus macaques and highly efficacious in guinea pigs. PLoS Pathog 2017;13:e1006141.
- Looker KJ, Johnston C, Welton NJ, et al. The global and regional burden of genital ulcer disease due to herpes simplex virus: a natural history modelling study. BMJ Glob Health 2020;5:e001875.
- Looker KJ, Elmes JAR, Gottlieb SL, et al. Effect of HSV-2 infection on subsequent HIV acquisition: an updated systematic review and meta-analysis. Lancet Infect Dis 2017;17:1303–16.
- 74. Whitley R, Arvin A, Prober C, et al. Predictors of morbidity and mortality in neonates with herpes simplex virus infections. The National Institute of Allergy and Infectious Diseases Collaborative Antiviral Study Group. N Engl J Med 1991;324:450–4.
- Kimberlin DW. Neonatal herpes simplex infection. Clin Microbiol Rev 2004;17:1–13.
- 76. Kimberlin DW, Whitley RJ, Wan W, et al. Oral acyclovir suppression and neurodevelopment after neonatal herpes. N Engl J Med 2011;365:1284–92.
- 77. Patel CD, Backes IM, Taylor SA, et al. Maternal immunization confers protection against neonatal herpes simplex mortality and behavioral morbidity. Sci Transl Med 2019;11:eaau6039.
- Patel CD, Taylor SA, Mehrbach J, et al. Trivalent glycoprotein subunit vaccine prevents neonatal herpes simplex virus mortality and morbidity. J Virol 2020;94:e02163-19.
- **79.** Kao CM, Goymer J, Loh LN, et al. Murine model of maternal immunization demonstrates protective role for antibodies that mediate antibody-dependent cellular cytotoxicity in protecting neonates from herpes simplex virus type 1 and type 2. J Infect Dis 2019;221:729–38.
- 80. LaTourette PC 2nd, Awasthi S, Desmond A, et al. Protection against herpes simplex virus type 2 infection in a neonatal murine model using a trivalent nucleoside-modified mRNA in lipid nanoparticle vaccine. Vaccine 2020;38:7409–13.

- **81.** Yeager AS, Arvin AM, Urbani LJ, et al. Relationship of antibody to outcome in neonatal herpes simplex virus infections. Infect Immun 1980;29:532–8.
- 82. Kohl S, Strynadka NC, Hodges RS, et al. Analysis of the role of antibody-dependent cellular cytotoxic antibody activity in murine neonatal herpes simplex virus infection with antibodies to synthetic peptides of glycoprotein D and monoclonal antibodies to glycoprotein B. J Clin Invest 1990;86:273–8.
- 83. Zhang X, Dervillez X, Chentoufi AA, et al. Targeting the genital tract mucosa with a lipopeptide/recombinant adenovirus prime/ boost vaccine induces potent and long-lasting CD8+ T cell immunity against herpes: importance of MyD88. J Immunol 2012;189:4496–509.
- Khanna KM, Lepisto AJ, Decman V, et al. Immune control of herpes simplex virus during latency. Curr Opin Immunol 2021;16:463–9.

- 85. Khanna KM, Bonneau RH, Kinchington PR, et al. Herpes simplex virus-specific memory CD8+ T cells are selectively activated and retained in latently infected sensory ganglia. Immunity 2003;18:593–603.
- 86. Zhu J, Koelle DM, Cao J, et al. Virus-specific CD8+ T cells accumulate near sensory nerve endings in genital skin during subclinical HSV-2 reactivation. J Exp Med 2021;204:595–603.
- Posavad CM, Huang ML, Barcy S, et al. Long term persistence of herpes simplex virus-specific CD8+ CTL in persons with frequently recurring genital herpes. J Immunol 2000;165:1146–52.
- 88. Posavad CM, Koelle DM, Shaughnessy MF, et al. Severe genital herpes infections in HIV-infected individuals with impaired herpes simplex virus-specific CD8+ cytotoxic T lymphocyte responses. Proc Nat Acad Sci USA 1997;94:10289–94.