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The Role of Malnutrition and Muscle Wasting in Advanced Lung Cancer

Rishi Jain1, **Chris Coss**2, **Peter Whooley**1, **Mitch Phelps**2, **Dwight H. Owen**³

¹Department of Hematology/Oncology, Fox Chase Cancer Center, Philadelphia, PA, USA

²Division of Pharmaceutics and Pharmacology, College of Pharmacy, The Ohio State University, Columbus, OH, USA

³Division of Medical Oncology, The Ohio State University James Comprehensive Cancer Center, Columbus, OH, USA

Abstract

Purpose of Review—Malnutrition, cancer cachexia, and sarcopenia often co-occur in patients with advanced cancer and are associated with poorer response to chemotherapy and reduced survival. Here, we evaluate the current literature regarding the role of nutrition and these associated conditions in patients with advanced lung cancer.

Recent Findings—While rates of malnutrition are high, nutritional intervention studies have generally been limited by small sample sizes. Novel strategies such as home-based meal delivery may have promise. While no therapy is approved for cancer cachexia, ghrelin agonists and other targeted therapies have yielded promising data in clinical trials. Recent data also suggest that obesity may improve immunotherapy responsiveness.

Summary—Malnutrition and associated muscle wasting are clearly negative prognostic markers in advanced lung cancer. Patients with malnutrition should be urgently referred for dietary counseling and guidelines for nutritional support should be followed. Optimal treatment of these syndromes will likely include nutrition and anti-cachexia interventions used in combination.

Keywords

Lung Cancer; Malnutrition; Sarcopenia; Cancer cachexia; Immunotherapy; Obesity

Rishi Jain, rishi.jain@fccc.edu.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

Conflict of Interest Rishi Jain declares that he has no conflict of interest. Chris Coss has received compensation from Immuneering for service as a consultant; has patents pending on anti-cachexia therapeutic strategies; was a previous employee of GTx, Inc., which developed Enobosarm as an anti-cachexia therapy, but has had no financial or other relationship with GTx since 2014. Peter Whooley declares that he has no conflict of interest. Mitch Phelps declares that he has no conflict of interest. Dwight H. Owen has received research funding (paid to his institution) from Merck, Bristol-Myers Squibb, Palobiofarma, and Genentech; has received compensation from AstraZeneca for participation on an advisory board; and has received compensation from theMednet for service as a consultant.

Introduction

Patients with lung cancer are at risk for malnutrition [1], sarcopenia [2], and cancer cachexia [3]. While these conditions are often present during the early stages of disease, individuals with advanced cancer are typically at highest risk. The presence of one or more of these conditions has been associated with poor outcomes in patients with advanced cancer including reduced treatment tolerance [2], decreased therapeutic efficacy [2], reduced quality-of-life [4], higher healthcare costs [5], poor clinical trial outcomes [6], and reduced survival [7]. Unfortunately, despite some improvements in the management of these conditions over the years, malnutrition, sarcopenia, and cancer cachexia often remain under-recognized and therefore untreated [8, 9]. Thus, there is an urgent need to find better strategies to identify these poor prognostic conditions early and intervene aggressively in an effort to improve cancer outcomes.

While malnutrition, sarcopenia, and cancer cachexia have overlapping features, it is important to recognize the differences between these conditions (Fig. 1). Malnutrition is commonly defined as a deficiency of energy intake which can lead to altered body composition, impaired physical and mental functioning, and poor clinical outcomes in patients with chronic diseases [10]. Unlike simple malnutrition which should be reversible by caloric repletion alone, cancer-associated malnutrition is far more complex with associated metabolic derangements (e.g., insulin resistance, lipolysis, proteolysis) which may not respond to nutritional repletion. Cancer cachexia is a multifactorial syndrome characterized by skeletal muscle loss with or without loss of adiposity [11]. A number of tumor- and host-related factors (e.g., altered cytokines and systemic inflammation, energy imbalance, adipose tissue depletion) have been implicated in the etiology of cancer cachexia [12]. Sarcopenia is strictly the loss of skeletal muscle mass, strength, and/or function [10]. While inadequate caloric intake is frequently observed in these conditions, conventional nutritional support alone may only partially reverse the weight and muscle loss seen in these conditions.

In this review, we present updated analysis demonstrating the important role nutrition and cachexia play in cancer treatment, and discuss ongoing research efforts to better understand this interplay and eventually develop interventions to improve the outcomes of patients with advanced NSCLC.

Recent Changes in Lung Cancer Therapy

Lung cancer is the greatest cause of cancer-related death in the USA [13]. The treatment for metastatic non-small cell lung cancer (NSCLC), the most common type of lung cancer, has changed dramatically over the course of the last few years. Patients with metastatic NSCLC are now offered treatments tailored to the specific changes detected within the genes or proteins of their tumor cells. Patients may receive targeted therapy based on mutations in EGFR, ALK, and other genes, and these targeted therapies are associated with higher response rates and longer progression-free survival than chemotherapy [14, 15]. Recently, the expression of the checkpoint protein programmed death-ligand 1(PD-L1) has been shown to predict patients that are more likely to respond to treatment with

pembrolizumab, an immunotherapy agent, than to standard chemotherapy [16]. In fact, nearly all patients with advanced NSCLC are currently treated with immunotherapy either alone or in combination with chemotherapy as their first treatment option [17-19]. Despite these advances, however, the median overall survival for patients with metastatic NSCLC is still less than 1 year [20], and less than half of patients see a significant decrease in their tumor burden with immunotherapy alone [16]. Multiple biomarkers have been evaluated to better predict which patients will respond to treatment with immunotherapy agents. In addition to PD-L1 expression which is an FDA-approved biomarker, other biomarkers being studied include tumor mutational burden (TMB) [21-23], mismatch-repair status (although uncommon in NSCLC) [24], the host microbiome [25], and weight loss prior to or during treatment, which has long been recognized as an indicator of poor outcome in NSCLC [26, 27].

Malnutrition in Advanced Lung Cancer

There is a high prevalence of malnutrition in patients with lung cancer with reported rates ranging from 34.5 to 69% [28-31]. Malnutrition is particularly prevalent in patients who have been hospitalized and in those with advanced or metastatic disease [30, 32, 33]. While a large proportion of patients with advanced lung cancer have overt malnutrition at the time of their first oncology visit [33], adverse effects of advanced lung cancer treatments can also alter nutritional status. For example, chemotherapy and targeted therapy induced side effects (e.g., nausea/vomiting, diarrhea, taste changes) that can impact an individual's ability or desire to eat [34]. Malnutrition can also be exacerbated by radiation-related toxicity including mucositis and esophagitis. Immunotherapy-related adverse events such as colitis or pancreatitis may also alter nutritional status, appetite, and oral intake. The toxicity profile of combination chemotherapy-immunotherapy is just beginning to be understood, with any grade of decreased appetite observed in 28% of patients in the landmark KEYNOTE-189 study [17]. Given the strong associations between malnutrition and adverse treatment outcomes (e.g., poorer treatment tolerance, inferior survival, impaired quality-of-life), early identification is critical. Differing rates of malnutrition in the reported literature are likely due to the multiple methods used to screen for and diagnose malnutrition in patients with cancer [35]. Weight loss is available for all patients as part of standard clinical assessments and is a fundamental aspect of most malnutrition screening and assessment strategies, and pre-treatment weight loss at diagnosis is common. For example, in a study of European patients with lung cancer, median weight loss was 6.5%—with 34.5% of patients reporting a weight loss of more than 10% prior to initiation of treatment [31]. Other studies have found that up to 31% of patients had sustained weight loss of 5% or more within 90 days of initiation of radiotherapy [36, 37]. Research shows that pre-diagnosis weight loss is strongly associated with inferior survival with one study showing a 17%, 23%, and 46% increased mortality risk in patients with a pre-diagnosis weight loss of \lt 5 kg, 5–10 kg, or 10 kg, respectively [38]. Weight loss can be exacerbated by tumor growth as well as treatment-related complications, and studies show that post-diagnostic weight loss is associated with inferior survival, underscoring the strong prognostic impact of weight loss in this disease [26].

While the significance of weight loss is known, the influence of body mass index (BMI, kg/m^2), a commonly used anthropometric measure, on advanced lung cancer outcomes is less clear [38]. Low BMI (e.g., BMI < 18.5 or BMI < 20) is commonly included in diagnostic criteria for malnutrition or cancer cachexia and is clearly associated with negative outcomes in patients with advanced malignancies [11, 39••]. In patients with lung cancer and brain metastases, those who are underweight have inferior survival [40]. While a "normal" BMI ranges from > 18.5 to < 25 , recent studies have suggested that a "high-normal" or even "overweight" BMI may be advantageous in some cancer populations, perhaps due to greater lean-mass stores [41]. For example, a recent small retrospective analysis of patients with SCLC found that patients with a BMI 23 had improved survival [42]. A larger single-center study of 1935 patients with NSCLC (including those with stage III+ disease) who underwent surgical resection at MD Anderson Cancer Center generally found that a higher BMI was associated with improved outcomes [43]. After adjusting for other known prognostic markers, BMI was an independent predictor of survival with a significantly improved overall survival in patients with a BMI $\,$ 30 in comparison with a BMI of 25. Population studies in older adults have also suggested a reduced mortality risk in individuals who are overweight (BMI 25–30) or in those with a BMI at the higher-end of the "normal" BMI spectrum (> 23) with all-cause increasing with BMI < 23 [44]. As lung cancer is a disease of aging as the median age of lung cancer diagnosis is 70 [45], higher age-related BMI cutoffs may be particularly relevant in this disease. One major limitation when using BMI is that it does not account for differences in muscle versus fat mass. As the condition of sarcopenic obesity (obesity in the presence of low muscle loss) gains attention as an independent predictor of poor prognosis in cancer [46•], it is likely that body composition assessments which quantify muscle mass in addition to fat mass will ultimately provide enhanced predictive and prognostic ability in advanced lung cancer in comparison with BMI or weight loss alone.

Nutritional Assessment

A number of validated nutritional screening and assessment modalities exist with a superior ability to detect malnutrition than by simply assessing weight loss or BMI alone. The Malnutrition Screening Tool (MST) is commonly used and is an effective predictor of malnutrition risk in oncology outpatients [47]. The MST incorporates two questions to determine risk: weight loss (with increasing points for higher degrees) and decreased appetite. In the MST, a score of 2 or more suggests the individual is "at risk" for malnutrition. The NUTRISCORE screening tool was also recently validated and goes beyond the MST with emphasis on the nutritional risk of the patient's underlying malignancy and accompanying treatments [48•]. For example, an at-risk patient with lung cancer (moderate risk, additional one point) getting chemoradiation therapy (additional two points) who only has a small amount of weight or appetite loss would be captured with NUTRISCORE. Both the MST and NUTRISCORE have high degrees of sensitivity and specificity for preferred nutritional assessment methods such as the Patient Generated Subjective Global Assessment (PG-SGA) [47, 48•].

The PG-SGA builds upon screening tools, incorporating the impact of performances status, food intake, metabolic stress, and physical findings of muscle loss to provide

a comprehensive assessment of nutritional status [49]. The PG-SGA also integrates the impact of nutrition-related symptoms, also known as nutrition-impact symptoms (NIS), such as nausea, diarrhea, or pain which can interfere with eating. Identification of and aggressive treatment of NIS may be particularly valuable in patients with advanced lung cancer who are undergoing systemic anti-neoplastic therapy. In an effort to build a unifying global consensus regarding the diagnostic criteria for malnutrition, the Global Leadership Initiative on Malnutrition (GLIM) criteria were recently published [39••] and provide a framework for the diagnosis of malnutrition in clinical settings. After reviewing the existing approaches to nutritional screening and assessment, the GLIM working group identified specific phenotypic criteria (weight loss, low BMI, or reduced muscle mass) and etiologic criteria (reduced food intake or inflammation) for malnutrition [39••]. Both phenotypic and etiologic criteria are required for diagnosis, and stages of severity are provided. Regardless of the strategy used, we advocate for the clinical integration of a standardized nutritional screening technique longitudinally throughout the treatment of patients with advanced lung cancer to enhance early identification of—and timely intervention for—patients at risk.

Nutritional Interventions

Multiple nutritional interventions can be offered to patients with advanced lung cancer, including dietary counseling, oral nutritional supplements (ONS), enteral feeding, and even parenteral feeding. The choice of nutritional support varies largely on patient-, disease-, and treatment-related factors. While there have been some studies conducted on the routine integration of total parenteral nutrition (TPN) in patients with advanced lung cancer, outcomes were mixed and these studies were mostly conducted in the era prior to modern chemotherapy approaches. For instance, one randomized study studying the effects of 4 weeks of TPN in patients with small cell lung cancer showed temporary improvements in total caloric intake, body weight, and arm muscle circumference; however, there were no long-term improvements in nutritional parameters [50]. A subsequent meta-analysis on routine use of TPN in cancer patients concluded that despite a trend towards improved survival, the risks (e.g., infections) were high without any clinically significant impact on improving chemotherapy toxicity [51]. With respect to enteral feeding (e.g., percutaneous endoscopic gastrostomy (PEG) tube or jejunostomy tube (J-tube) feeding), little data exists regarding routine use in patients with advanced lung cancer. Cancer specific nutrition guideline consensus from major organizations such as the European Society of Parenteral and Enteral Nutrition (ESPEN) and the American Society of Parenteral and Enteral Nutrition (ASPEN) advises against routine use of enteral or parental nutrition in cancer patients [52, 53]. However, certain circumstances may warrant consideration of enteral or parenteral feeding. For instance, if oral food intake has been decreased for a prolonged period of time (e.g., after chemoradiation-induced esophagitis), enteral feeding may be necessary. Though less common in advanced lung cancer, if a patient has lost a large portion of their intestinal tract (e.g., after small bowel obstruction requiring extensive resection), parenteral nutrition may be indicated. In these specific circumstances, clinicians must rely heavily on registered dietitians (RDs) for an accurate assessment of caloric intake and needs while balancing risks and benefits of nutritional support options as part of a multidisciplinary discussion [54].

While routine use of enteral and parenteral feeding is not recommended, routine screening for malnutrition and dietary counseling by an RD with or without use of ONS is strongly recommended in inpatients and outpatients with malnutrition and advanced cancer [52]. Some studies have specifically evaluated the impact of dietary counseling with or without ONS interventions on outcomes in patients with lung cancer (Table 1) [55-62]. Studies evaluated interventions ranging from dietary counseling alone, ONS alone to dietary counseling with ONS [55-62]. The studies are heterogeneous with respect to the clinical setting of the intervention (e.g., during chemoradiation versus chemotherapy alone), the duration of intervention (few weeks to 6 months), intensity of intervention, and trial type (randomized versus single arm). Unfortunately, most studies are also limited by a small sample size making it challenging to derive conclusions regarding efficacy. Nonetheless, certain interventions did show some improvements in weight maintenance, muscle function, or even quality-of-life. ONS containing omega-3 polyunsaturated fatty acids seemed particularly promising in preventing muscle or weight loss and should be evaluated in larger studies [59]. Preliminary data from a novel home food and snack delivery intervention strategy appears promising and should be studied in larger, randomized studies [61]. The integration of commonly used nutritional support strategies in conjunction with anti-cachexia therapies may be particularly valuable and efficacious.

Pre-Clinical and Clinical Studies Targeting Cachexia in NSCLC

As immunotherapy continues to transform the management of advanced solid and hematologic malignancies, an ongoing challenge has been identifying and utilizing appropriate pre-clinical immune-competent models [63]. Multiple murine models of cancer cachexia have been described including several models of lung cancer that exhibit wasting [64, 65]. The Lewis Lung Carcinoma (LLC) model is well characterized and has been used extensively in the pre-clinical evaluation of anti-cachectic therapy. Notably, administration of ghrelin was associated with improved cachectic outcomes in LLC-tumor-bearing mice [66]. Ghrelin is the ligand for the G protein–coupled ghrelin receptor (GRLN) [67], leads to growth hormone (GH) release, and has anabolic and anti-inflammatory properties [68].

Targeting Ghrelin in Cancer Cachexia

Based on strong pre-clinical evidence, anamorelin, an orally active ghrelin receptor agonist, was evaluated in phase 1 and 2 studies of patients with a variety of tumor types as well as in the phase 3 setting in patients with NSCLC [69•]. The phase 3 program included two phase 3, double-blinded, randomized, placebo-controlled international clinical trials (ROMANA 1 and 2) in patients with stage III or IV NSCLC. Eligibility criteria included involuntary weight loss of at least 5% within the prior 6 months or a BMI less than 30 kg/m². Patients were stratified by cancer treatment status—starting new course of chemotherapy and/or radiation, maintenance chemotherapy, or no active treatment—geographic region, and degree of weight loss. However, patients were not stratified by stage of disease, histology of NSCLC, treatment regimen, time from diagnosis, ECOG performance score, or molecular biomarkers. The study co-primary endpoints were change in lean body mass and handgrip strength at 12 weeks. Secondary endpoints included change in bodyweight, symptoms of cachexia and fatigue, and overall survival at 1 year. A total of 484 patients were enrolled

in ROMANA 1 and 495 in ROMANA 2. In both studies, patients who received anamorelin had a statistically significant increase in lean body mass but neither study demonstrated a difference in handgrip strength. Additional post hoc analyses demonstrated higher total body mass, fat mass, and appendicular lean body mass in patients who received anamorelin compared with those receiving placebo. No significant difference was observed in 1-year overall survival in patients who received anamorelin (hazard ratio 1.06; 95% confidence interval [CI] 0.89, 1.26, $p = 0.47$ [69•]. In both ROMANA 1 and 2, anamorelin was overall well tolerated, with a slight increased risk of hypoglycemia and poorly controlled diabetes observed in patients receiving anamorelin. In the safety extension ROMANA 3 study, which evaluated 513 of the 703 patients initially enrolled to ROMANA 1 and 2 for an additional 12 weeks (i.e., weeks 12–24), no new safety signals emerged, with most common toxicities being grade 1 and 2 edema, nausea, vomiting, and constipation [70]. Although neither study demonstrated an improvement in handgrip strength, which was a co-primary endpoint, the improvement in lean body mass and cachexia-related symptoms was felt to be promising and an ongoing phase 3 study is ongoing to evaluate administration of anamorelin over 24 weeks in patients with NSCLC, with a composite primary endpoint of body weight gain and improvement in the Anorexia Symptom Scale ([NCT03743051\)](https://clinicaltrials.gov/ct2/show/NCT03743051).

Similar to anamorelin, the selective androgen receptor modulator enobosarm was evaluated as anti-cachectic therapy in advanced NSCLC patients [71]. Enobosarm's registration trials differed from the ROMANA trials in their primary endpoint (stair climb power test) and enrollment criteria (no weight loss required) but similarly failed to successfully demonstrate efficacy [72].

Immunotherapy Antibody Clearance and Cancer Cachexia

As mentioned, the introduction of immune checkpoint inhibitors (ICI) in the first- and second-line setting for patients with advanced NSCLC has transformed clinical outcomes for these patients. However, mechanisms of primary and acquired resistance remain unknown. Notably, recent studies have demonstrated pembrolizumab and nivolumab baseline clearance $(CL₀)$ was among the strongest predictors of overall survival in multivariate analyses in patients with NSCLC and melanoma [73•, 74-76]. However, somewhat paradoxical is the absence of a relationship between drug exposure and clinical response with immune checkpoint inhibitors (ICIs) [73•, 75, 77, 78], suggesting that increased clearance of ICIs is not the key cause of poor outcomes but rather a surrogate marker of other underlying mechanisms leading to poor outcomes. Similarly, ICI antibody clearance often decreases over time in lung cancer and other diseases, and greater magnitude of decrease in clearance is associated with better outcomes [79]. Elevated protein turnover and antibody drug clearance often occur in catabolic disorders such as cachexia, and close association of high antibody $CL₀$, shorter survival, and presence of cachectic features was noted in both NSCLC and melanoma patients treated with pembrolizumab [73•]. However, these studies have not assessed patients based on the consensus definition of cachexia [11], and the underlying mechanisms tying ICI therapy, high $CL₀$, and poor outcomes in patients with cachectic features remain to be studied [80, 81].

Biomarkers and Future Directions

There remains a clear need to identify cachectic NSCLC patients as soon as possible yet reliable biomarkers of cachexia have yet to be identified [82]. Driven by the strong relationship between low lean body mass and poor outcomes in other cancers [83], CT-derived assessments of body composition are being explored in NSCLC patients [84]. Though not without its challenges [85], the use of diagnostic or cancer staging image information to asses cachexia in NSCLC patients has demonstrated some promise [86]. Lastly, the recent recognition of the importance of gut microbiome in impacting responsiveness to immunotherapy [25], as well as data supporting the role of the microbiome in cancer cachexia [87, 88], points to a possible novel role for the gut microbiome to impact not only the efficacy of cancer therapy but also to prevent cachexia. One promising study demonstrated that modulating the gut microbiome could improve not only cachexia but also exert anti-tumor effects in pre-clinical models of leukemia and cachexia [89].

Obesity and Immunotherapy Responsiveness

Despite the marked success of PD1/PD-L1 inhibition in the treatment of lung cancer, treatment responses vary and far-reaching efforts are underway to identify biomarkers or clinical features as tools to forecast treatment response [17, 90, 91]. Obesity leads to a state of chronic inflammation which can augment T cell presence and function. This may be characterized as T cell exhaustion associated with a progressive loss of effector function following chronic antigen exposure or inflammatory state, and has been characterized in cases of viral infection, autoimmunity, and cancer [92]. Wang et al. found there were significantly fewer tumor-infiltrating T cells and significantly increased PD-1 expression in tumors of obese patients [93]. Clinically, through an analysis of a cohort ($n = 250$) of patients with various cancers who received treatment with a checkpoint inhibitor, there was an improved response among patients with high BMI. Patients with BMI > 30 achieved significant improvement in progression-free survival (median, 237 days versus 141 days) and overall survival (median, 523 days versus 361 days) when compared with patients with normal BMI. Notably, there were no increases in immune-related adverse effects observed in obese patients [93]. Obesity, which is linked to an increased risk of certain cancers and tumor progression through the milieu of chronic inflammation and metabolic dysfunction, yields accelerated immune senescence or exhaustion that may yet offer vulnerability that can be harnessed for dedicated cancer treatment [92-94, 95•].

Conclusion

Malnutrition, sarcopenia, and cancer cachexia are associated with poor outcomes in advanced lung cancer. Oncology healthcare providers and organizations should include nutritional screening and assessment mechanisms to identify these conditions early and nutritional support should be provided appropriately. A number of novel biomarkers of malnutrition, sarcopenia, and cancer cachexia are being evaluated, and anti-cachexia agents are currently being evaluated in late-phase clinical trials. Additional biomarkers such as the role of the intestinal microbiome or obesity may also play a key role in predicting response

to lung cancer therapies including immunotherapy. Though data from large randomized studies is lacking, research suggests that early and aggressive nutritional interventions may improve outcomes in this disease. Future studies should evaluate multimodal approaches (e.g., anti-cachexia therapies in conjunction with nutritional support) to optimize the efficacy of interventions.

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Fig. 1.

Overlapping features of malnutrition, cancer cachexia, and sarcopenia. GLIM Global Leadership Initiative on Malnutrition, BMI body mass index, DXA dual energy X-ray absorptiometry, CT computed tomography

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NSCLC non-small cell lung cancer, ONS oral nutritional supplementation, N sample size, PUFA polyunsaturated fatty acid, QOL quality-of-life, EPA eicosapentanoic acid, gm gram, CT computed
tomography, SCLC small cell lung c N sample size, PUFA polyunsaturated fatty acid, QOL quality-of-life, EPA eicosapentanoic acid, gm gram, CT computed NSCLC non-small cell lung cancer, ONS oral nutritional supplementation, tomography, SCLC small cell lung cancer