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Targeting the mitochondrial permeability transition pore for neuroprotection in a piglet model of neonatal hypoxic-ischemic encephalopathy

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Abstract

Neonatal hypoxic-ischemic encephalopathy (HIE) causes significant morbidity despite treatment with therapeutic hypothermia. Mitochondrial dysfunction may drive the mechanisms underlying neuronal cell death, thereby making mitochondria prime targets for neuroprotection. The mitochondrial permeability transition pore (mPTP) is one such target within mitochondria. In adult animal models, mPTP inhibition is neuroprotective. However, evidence for mPTP inhibition in neonatal models of neurologic disease is less certain. We tested the therapeutic efficacy of the mPTP small molecule inhibitor GNX-4728 and examined the developmental presence of brain mPTP proteins for drug targeting in a neonatal piglet model of hypoxic-ischemic brain injury. Male neonatal piglets were randomized to hypoxia-ischemia (HI) or sham procedure with GNX-4728 (15 mg/kg, IV) or vehicle (saline/cyclodextrin/DMSO, IV). GNX-4728 was administered as a single dose within 5 min after resuscitation from bradycardic arrest. Normal, ischemic, and injured neurons were counted in putamen and somatosensory cortex using hematoxylin and eosin staining. In separate neonatal and juvenile pigs, western blots of putamen mitochondrial-enriched fractions were used to evaluate mitochondrial integrity and the presence of

DECLARATION OF TRANSPARENCY

SUPPORTING INFORMATION

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The authors, reviewers and editors affirm that in accordance to the policies set by the *Journal of Neuroscience Research*, this manuscript presents an accurate and transparent account of the study being reported and that all critical details describing the methods and results are present.

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mPTP proteins. We found that a single dose of GNX-4728 did not protect putamen and cortical neurons from cell death after HI. However, loss of mitochondrial matrix integrity occurred within 6h after HI, and while mPTP components are present in the neonatal brain their levels were significantly different compared to that of a mature juvenile brain. Thus, the neonatal brain mPTP may not be a good target for current neurotherapeutic drugs that are developed based on adult mitochondria.

Keywords

ANT; CypD; ischemic-necrosis; mitochondria; neonatal brain damage; neuronal cell death; neuroprotection; RRID:AB_478282; RRID:AB_2191808; RRID:AB_2630358; RRID:AB_2756816; RRID:AB_10862212; RRID:AB_10864110; RRID:SCR_002798; RRID:SCR_003210; VDAC

1 | INTRODUCTION

Neonatal hypoxic-ischemic encephalopathy (HIE) from birth asphyxia is one of the top three leading causes of neonatal death worldwide (Liu et al., 2015). Therapeutic hypothermia is the standard clinical treatment for HIE because it reduces the risk of death. However, many survivors treated with hypothermia still suffer lifelong disabilities from brain injury (Jacobs et al., 2013; Shankara et al., 2012). Adjunct neuroprotective therapies for HIE are being sought to reduce the burden that HIE causes to patients, their families, and society.

Mitochondrial dysfunction is ubiquitously involved in neurodegeneration and neuronal cell death (Hagberg et al., 2014; Lu et al., 2015; Northington et al., 2007; Thornton et al., 2017). Mitochondrial permeability transition is a functional state shift from normal mitochondria to pathological mitochondria (Hunter & Haworth, 1979). The formation of the mitochondrial permeability transition pore (mPTP) is thought to drive this transition and to be a potential mechanism for neuronal cell death (Bernardi et al., 1998; Martin, 2010). The mPTP is a nonspecific pore that can span the mitochondrial membranes. It forms and opens during the intracellular oxidative stress and calcium overload that occurs with hypoxic-ischemic brain injury (Halestrap, 2009). The mPTP opening uncouples oxidative phosphorylation and leads to ATP depletion with necrotic and apoptotic cell death (Hagberg et al., 2014; Halestrap, 2009). In fact, the mPTP may drive the apoptosis-necrosis cell death continuum in neonatal hypoxic-ischemic brain injury (Martin, 2011; Northington et al., 2011). The mPTP is thus a molecular target for neuroprotection, though its complete composition and biophysical properties are still being explored.

Small molecule inhibitors of the mPTP are being developed for human therapeutics. A class of newly synthesized cinnamic anilides has garnered attention (Fancelli et al., 2014). One such molecule, GNX-4728 (Martin et al., 2014; šileikyt & Forte, 2016), blocks mPTP opening by increasing the calcium retention capacity of the mitochondria, thus altering the threshold for membrane permeability transition and preventing mitochondrial damage and cell death signaling (Fancelli et al., 2014). GNX-4728 and a related compound GNX-4975 appear to target the mitochondrial inner membrane's adenine nucleotide translocator (ANT) and the inorganic phosphate carrier (PiC) (Richardson & Halestrap, 2016), which physically

interact during mPTP formation (Leung et al., 2008). GNX-4728 crosses the blood–brain barrier, increases calcium retention capacity of brain mitochondria within 5 min after systemic administration (Martin et al., 2014), and increases the threshold for permeability transition. Thus, GNX-4728 is a potent brain mPTP inhibitor.

GNX-4728 had neuroprotective and cardioprotective benefits when administered before symptoms began in adult mice with amyotrophic lateral sclerosis (ALS) (Martin et al., 2014) and prior to reperfusion injury in rabbits with myocardial infarction (Fancelli et al., 2014). Fang et al published that GNX-4728 may provide sex-dependent mitochondrial protection acutely after hypoxia-ischemia (HI) in neonatal mice; however, it did not protect against regional brain injury in those neonatal mice (Fang et al., 2019). This therapeutic inefficacy suggested neuropathological and developmental differences in neuronal injury after neonatal HI compared to that of adult neurodegeneration. For example, selective motor neuron degeneration occurs in the adult mouse model of ALS (Martin et al., 2014). By contrast, HI in neonatal mice causes a focal lesion without selective vulnerability because territories encompassing the cerebral cortex, hippocampus, striatum, and thalamus are all infarcted (Fang et al., 2019).

We examined GNX-4728 as a therapy in a neonatal piglet model of hypoxic-ischemic brain injury. This gyrencephalic animal develops well-characterized robust neuropathology after global HI with regional and selective vulnerability that mimics HIE in term human newborns (Koehler et al., 2018; Martin et al., 1997; Northington et al., 2011). The putamen and somatosensory cortex are commonly injured in clinical HIE (Fatemi et al., 2009; Lee et al., 2017). We tested the hypothesis that GNX-4728 would protect neurons in the putamen and somatosensory cortex of neonatal piglets after global HI. We additionally evaluated the acute vulnerability of brain mitochondria in this model and the developmental presence of brain mPTP proteins as targets for neuroprotection.

2 | METHODS

2.1 | Animal preparation

All procedures were approved by the Animal Care and Use Committee at Johns Hopkins University, and the care and handling of the animals were in accord with the National Institutes of Health guidelines. All experiments were designed and reported in accordance with ARRIVE guidelines. Neonatal male piglets (2–3 days old, 1–2.5 kg) were randomized to HI or sham procedure. Piglets and humans have analogous perinatal growth with similar brain development at term gestation (Dobbing & Sands, 1979) and the brain injury seen in piglets after HI is similar to those of human neonates (Conrad & Johnson, 2015; Koehler et al., 2018). Because of the exploratory proof-of-principle intent of this study, we did not include female animals. Piglets were anesthetized with 5% isoflurane and 50%:50% nitrous oxide:oxygen for intubation. Piglets were then mechanically ventilated to maintain normocapnea. Isoflurane was decreased to 2% for cannulation of the femoral artery and external jugular vein for continuous arterial blood pressure monitoring and intravenous (IV) administration of medications and fluids. After placement of the catheters, which took approximately 10–15 min, the isoflurane was discontinued. The anesthetic was continued using 70%:30% nitrous oxide:oxygen and fentanyl boluses (10 µg/kg IV) in 0.45% saline

with 5% dextrose at 4 ml kg⁻¹ h⁻¹. This anesthetic regimen has been examined for brain neurotoxicity in piglets and does not induce neuronal apoptosis or proteostasis cell stress above normal developmental levels (Lee et al., 2016; Santos et al., 2018; Wang et al., 2015, 2016). Normothermia was maintained (goal 38.5-39.5°C rectal; normothermic for swine) using blankets and heat lamps. Arterial blood pressure, heart rate, rectal temperature, and end-tidal CO₂ were monitored continuously. During the 5-min administration period of drug or vehicle, operators blinded to treatment group also continuously monitored the arterial blood pressure and recorded the presence or absence of a change in mean arterial blood pressure (MAP) >10 mmHg.

2.2 | Whole body hypoxic-ischemic injury

All piglets received rocuronium (0.2 mg/kg, IV) to prevent ventilatory effort during hypoxia and asphyxia and to ensure all groups received the same medications. The inspired oxygen concentration was decreased to 10% for 45 min with a goal oxyhemoglobin saturation of 30%. Five minutes of room air were then provided to allow for successful cardiac resuscitation. Next, the endotracheal tube was clamped for 8 min to produce asphyxia. All piglets develop severe bradycardia or hypotension with this protocol with heart rate less than 60 beats per minute or MAP less than 45. We resuscitated all piglets with manual chest compressions, 50% oxygen, and epinephrine (100 µg/kg, IV). Biphasic defibrillation with 10 J/kg was performed infrequently when necessary for ventricular fibrillation. Piglets that did not resuscitate after 3 min of compressions were excluded. After resuscitation, the inspired oxygen was lowered to 30% for the remainder of the experiment. This respiratory support strategy is well below the contraindicated 100% oxygen for term newborns and is still below the cutoff considered for high oxygen concentration for resuscitation (60%). Sham piglets received the same duration of anesthesia, surgical preparation, and 30% inhaled oxygen but without HI. Three hours after HI or sham procedure, the piglets emerged from anesthesia were extubated, and recovered with ad libitum access to milk.

2.3 | GNX-4728

Piglets were additionally randomized to receive GNX-4728 (15 mg/kg IV; Congenia Srl, Milan, Italy) or vehicle (10% DMSO/40% cyclodextrin/saline IV) after HI or sham procedure. GNX-4728 and vehicle were freshly made for each experiment and administered as a single dose (15 mg/kg or vehicle volume equivalent, IV) over 5 min and within 5 min after the return of spontaneous circulation (ROSC), which was defined by a consistent heart rate above 60 beats per minute. Operators conducting the piglet experiments were blinded to GNX-4728 or vehicle administration.

The GNX-4728 dosing regimen was extrapolated from the neonatal mouse experiments (Fang et al., 2019) which used an intraperitoneal (IP) dose of 30 mg/kg as well as preliminary unpublished piglet experiments with 30 mg/kg versus 15 mg/kg dosing. Higher doses of GNX-4728 were associated with greater systemic blood pressure effects, thus the drug may have blood pressure dose-limiting effects, and did not confer additional neuroprotection in our initial H&E neuropathology screening. Furthermore, since IV administration has a more rapid and higher bioavailability than IP administration, we chose to use the lower 15 mg/kg dose for our experiment.

2.4 | Neuropathology

After 4 days of recovery when striatal injury is advanced but still incomplete (Martin et al., 1997), piglets were euthanized by intraperitoneal injection of pentobarbital 390 mg/kg and phenytoin 50 mg/kg (SomnaSol, Dublin, OH) and transcardially perfused with chilled phosphate-buffered saline (PBS) for exsanguination followed by 4% paraformaldehyde in PBS for brain fixation. The piglets were decapitated and the brains were allowed to fix *in situ* in the head overnight at 4°C before removal from the skull. The brains were immersed in 4% paraformaldehyde for 24 hr followed by placement into 20% glycerol in PBS until tissue processing. After midsagittal hemispheric transection, the right hemisphere was cut into evenly spaced slabs that were sampled to generate appropriate anatomic levels of the striatum and cerebral cortex for neuropathological analysis. Brain samples were embedded in paraffin and cut on a rotary microtome into 10 - μ m coronal sections. Brain sections at the anterior to middle striatal level were stained in batches with hematoxylin and eosin (H&E) (Figure 1a).

One investigator (MWC) who was blinded to treatment group identified and counted the cell bodies (soma) of normal, ischemic-necrotic, and injured neurons in putamen and somatosensory cortex (O'Brien et al., 2019; Wang et al., 2015) at 1,000× magnification with oil immersion. These brain regions are readily and consistently identifiable as individual structures in the piglet brain (Figure 1a) and have been shown to exhibit neuronal selective vulnerability to HI (Martin et al., 1997). Normal, ischemic-necrotic, and injured neurons are shown from representative microscopic fields (Figure 1b-e). The cell bodies of normal principal striatal neurons were round, approximately 10 µm in diameter, and flush against the extracellular matrix of the neuropil (Figure 1b-e). In normal striatal neurons, the nucleus, that comprised most of the volume of the soma, had diffuse granular or gritty chromatin in the nucleoplasm (representing the euchromatin) and usually a prominent darkly stained nucleolus (Figure 1b-e). The ischemic-necrotic neurons (Figure 1c,d) were distinguished by their eosinophilic (acidophilic), glassy cytoplasm, angular somal attrition, and nuclear condensation to an irregularly shaped dark (hyperchromic) structure that can be seen as fragments or karyorrhexis. The injured neurons (Figure 1e) had an eosinophilic cytoplasm (less intense that the ischemic-necrotic neurons) and somal shape distortion into an angular cell compared to the normal round cells. The nucleus in injured neurons was hyperchromic and condensed, losing the granular euchromatin, but not displaying the pyknosis or karyorrhexis of ischemic-necrotic neurons. Injured neurons were usually separated from the extracellular matrix. Other forms of cell death such as classic apoptosis and autophagy (Martin, 2010) do not appear to have major contributions to the striatal neuropathology in this model (Martin et al., 1997, 2000). A second investigator (LJM) screened the slides for counter-reliability, but independent assessments were not averaged.

Putamen neurons were counted in 12 distinct non-overlapping fields that spanned dorsal to ventral (corpus callosum/external capsule to basal forebrain) and medial to lateral (internal capsule-external capsule) territories of the putamen. This coverage is necessary because the presence of putamen neuron degeneration can be compartmental and zonal (Martin et al., 1997). Primary somatosensory cortex neurons were counted along the medial bank of the gyrus in 10–12 distinct non-overlapping fields from cortical layers 2 to 6. Counts of

degenerating cortical neurons were not layer specific because the lamination is not always distinct, particularly in severely injured piglets (Martin et al., 1997). The average number of neurons per microscopic field and percent total of average counts was used for the analysis.

2.5 | Western blotting for mitochondrial proteins

To assess mitochondrial integrity and putative mitochondrial targets of GNX-4728, separate neonatal male piglets were randomized to HI or sham procedure. They were euthanized 6 hr after return of spontaneous circulation or time equivalent in sham piglets to harvest fresh brain tissue. For a developmental comparison of brain mPTP proteins, additional neonatal naïve and mature juvenile pigs (30 kg) were used. Three of the juvenile pigs were naïve (did not receive any anesthesia or surgery) and one was used as a sham for a separate adult brain injury experiment. They were perfused transcardially with ice-cold PBS for rapid brain harvest. The putamen was dissected from fresh slabs of neonatal and juvenile brains on a metal plate chilled by wet ice. Samples were flash-frozen in isopentane-chilled dry ice. Samples of unfixed frozen human brain were obtained from the Johns Hopkins University Human Brain Resource Center (Division of Neuropathology). The human brain samples were important for detecting mPTP proteins as a positive control and comparing the mobilities of these proteins on SDS-PAGE to those in piglet brain. We homogenized the tissue and fractionated the samples into nuclear, cytosolic, and mitochondrial-enriched fractions using the NE-PER Nuclear and Cytoplasmic Extraction kit (Thermo Scientific, Rockford, IL). This procedure and its variants have been validated (Chestnut et al., 2011; Wong et al., 2013) with confirmatory western blot data shown in Figure S1. Western blotting was conducted as previously published (Santos et al., 2018). We loaded 15–20 µg of protein sample into each lane. Protein loading was verified by membrane Ponceau S stain (Sigma Life Science, St. Louis, MO).

Superoxide dismutase-2 (SOD2) is a highly specific marker for mitochondria because it is a mitochondrial matrix protein. Therefore, the level of SOD2 protein immunoreactivity is a putative biomarker of mitochondrial presence and integrity on western blot and immunohistochemistry (Martin et al., 2007, 2014), and possibly registers mitochondrial swelling previously detected as signal change by MRI (Wu et al., 2019). Immunoblots for SOD2 (mouse monoclonal 1:500; clone E-10; Santa Cruz Biotechnology Cat# sc-137254, RRID:AB_2191808) were performed on all mitochondrial-enriched fractions 6h after HI or sham procedure as well as in neonatal naïve and juvenile pig brain fractions.

For mPTP proteins, we compared neonatal sham or neonatal naïve pig brains to mature juvenile pig brain mitochondrial-enriched fractions by western blot using the primary antibodies listed in Table 1, which include the anti-voltage-dependent anion channel (VDAC also known as porin; mouse monoclonal 1:2,000; clone 20B12F2; MitoScience LLC Cat# MSA03, RRID:AB_478282), antiadenine nucleotide translocases (ANT, mouse monoclonal 1:1,000; clone 5F51BB5AG7; Abcam Cat# ab110322, RRID:AB_10862212), and anti-cyclophilin D (CypD; alias cyclophilin F [gene nomenclature] antibody, mouse monoclonal 1:2,000; clone E11AE12BD4; Abcam Cat# ab110324, RRID:AB_10864110). Several genetically distinct isoforms of human VDAC exist, including VDAC1 (chromosome 5), VDAC2 (chromosome 10), VDAC3 (chromosome 8), and VDAC4 (chromosome 1), and

genetically distinct isoforms of human ANT exist, including ANT1 (chromosome 4), ANT2 (chromosome X), ANT3 (chromosome X), and ANT4 (chromosome 4). We were unable to confirm isoform specificity of the antibodies so the target proteins were generically identified as VDAC and ANT. Membranes were then incubated with the appropriate secondary antibody (goat anti-mouse or goat-anti-rabbit IgG; Jackson Immunoresearch, West Grove, PA). Immunoreactive bands were developed with enhanced chemiluminescence (Bio-Rad, Hercules, CA) and imaged with the iBrightCL 1000 Imaging System (Invitrogen) or Amersham Imager 680 (GE Healthcare). We used Mylmage Analysis (Thermo Scientific, Rockford, IL) to analyze the immunoreactive band intensities.

2.6 Statistics

Analyses were conducted with SigmaPlot (v. 14.0, Systat Software Inc., San Jose, CA, RRID:SCR_003210), and graphs were generated with GraphPad Prism (v. 8.1.1, GraphPad Software Inc., La Jolla, CA, RRID:SCR_002798). Data are presented as medians and interquartile ranges (IQR). Physiologic variables and histologic neuron counts were analyzed by the Mann-Whitney rank sum test or the Kruskal–Wallis ANOVA on ranks with post hoc Dunn's pairwise comparisons. Data for western blot immunodensities were normalized to (divided by) the Ponceau S protein load. In the HI versus sham cohort, immunodensities were additionally divided by the median value of the sham procedure piglets to control for gel differences. Western blot data were analyzed using the Mann-Whitney rank sum test or the Kruskal-Wallis ANOVA on ranks with post hoc Dunn's pairwise comparisons. Statistical significance was set at p < 0.05.

2.7 | Sample size estimate

A priori data about the effects of GNX-4728 on neonatal piglet brain after HI are not available. However, a similar mPTP inhibitor reduced cardiac ischemia in rabbits by 26% (Fancelli et al., 2014). Using this estimate, n = 4 would permit rejection of the null hypothesis of no protection at an alpha level of 0.05 and power of 0.9. We increased the sample size for the current study to six or seven piglets/group to permit some variability in our initial effect size estimates.

3 | RESULTS

Twenty-nine piglets underwent HI or sham procedure for planned neuropathologic evaluation with a target survival time of 4 days. Three piglets did not complete the protocol and were excluded from analysis—one from the HI-GNX-4728 group and two from the HI vehicle group. The HI GNX-4728 animal died on recovery day 1 before reaching the 4th recovery day (12% failure). One HI vehicle animal could not be resuscitated from cardiac arrest; the other HI vehicle animal was sacrificed on recovery day 2 for clinical seizures (22% failure). Thus, seven HI GNX-4728, seven HI vehicle, six sham GNX-4728, and six sham vehicle piglets were analyzed by neuropathology. An additional 12 neonatal piglets (HI n = 6, sham n = 6), five neonatal naive piglets, and four juvenile pigs were used for western blotting.

3.1 | Physiology

At baseline, there were no significant differences in weight, temperature, pH, partial pressure of arterial carbon dioxide, partial pressure of arterial oxygen, oxygen saturation, hemoglobin, MAP, or HR between groups (Table 2). During HI, the HI GNX-4728 and HI vehicle groups had similar levels of oxyhemoglobin saturation, acidosis, and hypercarbia during hypoxia and asphyxia. Two HI GNX-4728 pigs and one HI vehicle pig required defibrillation. Rectal temperature in the HI GNX-4728 group, before drug treatment, was 0.5°C lower than the HI vehicle group at hypoxia 42 min (p < 0.05) but this difference disappeared during asphyxia. During recovery from asphyxia, there were differences between groups in temperature at ROSC 5 min (p < 0.05) and in pH at ROSC 1 hr (p < 0.05) with the HI animals demonstrating lower values, but without significant post hoc pairwise comparisons. There were no differences in oxygen saturation or paO₂ values between groups. MAP and HR decreased during asphyxia in both HI groups, then rebounded at ROSC 5 min without differences between the HI groups. At 1 hr, MAP recovered to levels seen in the sham controls.

GNX-4728 decreased the MAP more than vehicle. Of the piglets where MAP changes were noted after GNX-4728 or vehicle administration, 60%–75% of those animals given GNX-4728 had a MAP drop > 10 mmHg, while none of the animals given vehicle had such a change (Table 3).

3.2 | GNX-4728 does not protect against HI-induced ischemic-necrosis in putamen

The average and percent total number of ischemic-necrotic neurons per field in putamen differed significantly among groups (H(3) = 17.97, p < 0.001; H(3) = 17.825, p < 0.001). In post hoc pairwise tests, the HI vehicle (n = 7) and HI GNX-4728 (n = 7) groups had more ischemic-necrotic neurons in putamen than did sham vehicle piglets (n = 6; p = 0.005; Figure 2a,b). The average and percent total number of injured neurons did not differ among groups (p > 0.05). In contrast, the percentage of normal putamen neurons differed significantly among groups (H(3) = 14.8, p = 0.002) with HI vehicle (n = 7) and HI GNX-4728(n = 7) having less normal neurons than sham vehicle (n = 6; p = 0.008; Figure 2b) in post hoc comparisons. In somatosensory cortex, there were no significant differences in the number of ischemic-necrotic, injured, or normal neurons among the four groups (Figure 2c, d, p > 0.05 for all comparisons).

3.3 | HI acutely depletes mitochondria of SOD2 in putamen

In mitochondrial-enriched fractions from putamen (Figure S1), we detected SOD2 immunoreactivity at ~ 23 kD, which is consistent with expectation from rodent western blots (Martin et al., 2007). SOD2 levels at 6h were significantly lower after HI (n = 6) than after sham procedure (n = 6, U = 4, p = 0.026; Figure 3a,b). Putative mPTP proteins (VDAC, ANT, CypD) were all identified in pig brain at molecular weights consistent with observations seen in rodent (Martin et al., 2009) and human brain mitochondria (Wong et al., 2013) (Figure S2). VDAC, ANT, and CypD levels did not differ between HI and sham piglets at 6h (n = 6, p > 0.05; Figure 3c–g).

3.4 | mPTP proteins are differentially present in the brains of anesthetized neonatal shams relative to mature juvenile brain

SOD2 levels were comparable in mitochondrial-enriched fractions from putamen of neonatal sham (n = 5) and mature juvenile pigs (n = 4, U = 5, p = 0.286, Figure 4a,b). Within this cohort, VDAC levels were lower in the neonatal sham putamen compared to that of juvenile putamen (U = 1, p = 0.032, Figure 4c,d). CypD had lower levels in the neonatal shams compared to juvenile that was not statistically significant (U = 2, p = 0.063, Figure 4c,e). ANT levels were significantly lower in neonatal shams compared to that in the juveniles (U = 1, p = 0.032, Figure 4f,g).

3.5 | CypD levels are different in unanesthetized neonatal naïve brain relative to the mature juvenile brain

Separate western blots comparing unanesthetized neonatal naïve piglets (n = 5) to mature juvenile pigs (n = 4) are shown in Figure 5. In mitochondrial-enriched fractions from putamen, SOD2 levels were not significantly different between neonatal naïve (n = 5) and mature juvenile animals (n = 4, U = 5, p = 0.286, Figure 5a,b). VDAC and ANT levels were also not different between the groups (U = 4, p = 0.19 for VDAC, Figure 5c,d; U = 10, p = 1 for ANT, Figure 5f,g); however, CypD levels were lower in the neonatal naïve brain compared to that of the mature juvenile (U = 0, p = 0.016, Figure 5c,e).

4 | DISCUSSION

Our study focused on three aspects of mitochondria in neonatal piglet brain. We first examined the mPTP as a therapeutic target for neuroprotection in HIE. Secondly, we tested the emergence and acuteness of brain mitochondrial molecular pathology in a piglet model of HI. Finally, we studied the postnatal development of mPTP protein levels in pig brain with and without anesthesia.

We found that a single acutely administered dose of the mPTP inhibitor GNX-4728 was ineffective at preventing neuronal ischemic-necrosis in putamen after 4-day survival from neonatal HI. The HI injury did not severely damage the somatosensory cortex when neurons from cortical layers 2-6 were examined together, and accordingly GNX-4728 did not affect the cortical neuronal counts. We have previously shown that the putamen and primary somatosensory cortex are vulnerable to HI in our piglet model (Agnew et al., 2003; Martin et al., 1997; Wang et al., 2015). The presence of putamen damage at 4-day survival in the current study concurs with our past studies (Martin et al., 1997). In this piglet HI model, cortical layer 5 is typically injured with significant loss of normal neurons (Lee et al., 2012; Martin et al., 1997), but more variable injury in neocortex is also seen (Martin et al., 1997). Lesser injury in the other cortical layers may have decreased the effect of HI on the neuron counts in the current study. Additionally, we classified neurodegeneration as ischemic-necrotic and by a distinct cellular morphology of injured neurons with apparent cytopathology. While the former neuronal cell pathology is the conventional damage that is typical of human neonatal HIE (Northington et al., 2011), the latter category of injured neurons did not meet the criteria of ischemic-necrosis but likely falls along the cell death continuum (Martin, 2001). GNX-4728 did not shift this continuum.

We did not study pharmacokinetics or structure-activity relationships of GNX-4728 in piglets. Nonetheless, we previously showed that GNX-4728 crosses the blood-brain barrier after a single bolus and it enhances brain mitochondrial calcium retention capacity (Martin et al., 2014). Though GNX-4728 has some brain mitoprotective properties in a neonatal mouse model of HI, there was no histopathologic protection (Fang et al., 2019). We conducted the current piglet study to examine the neonatal brain mPTP given the prominent differences in small and large animal models of neonatal HI (Koehler et al., 2018), differences in neuropathology among animal models (Northington et al., 2011), and differences in neuronal cell death mechanisms in mouse, pig, and human neurons (Martin & Chang, 2018).

The benefits and strengths of using a large animal HI model were exemplified by the ability to do detailed physiologic and specifically continuous hemodynamic monitoring and also IV delivery of drugs. The HI piglets had lower rectal temperatures soon after resuscitation likely as a result of poor circulation after asphyxia. Some piglets were acidotic after HI but had normal pH restored by 2h. The higher MAPs in the HI groups immediately after ROSC (and before GNX-4728 or vehicle administration) were likely due to the epinephrine given for resuscitation. Importantly, we identified that GNX-4728 can acutely decrease the MAP by >10 mmHg during drug infusion over 5min. This may account for the toxic effects of GNX-4728 seen in the mouse model of HI at high doses (Fang et al., 2019) where continuous blood pressure monitoring was not possible.

While we did not observe the same protective benefits of GNX-4728 seen in adult animal models (Fancelli et al., 2014; Martin et al., 2014), our results concur with the absence of neuroprotection from GNX-4728 in the neonatal mouse model of HI (Fang et al., 2019). The lack of neuroprotection from GNX-4728 could be due to several reasons. The extra central nervous system (CNS) action of GNX-4728 as shown on systemic blood pressures in Table 3 may have deleteriously effected cerebral blood flow and autoregulation therefore limiting its neuroprotective capacity. Independent of drug treatment, the SOD2 depletion suggests that mitochondria are damaged or have an altered function by 6h after HI and moreover, mPTP molecular components may be lower in neonatal piglet brain relative to the more mature juvenile pig brain. A rapidly evolving mitochondrial pathology after HI in the brain at term gestation alongside the immature properties of neonatal mPTP may make mitochondria a difficult therapeutic target for neonatal HI.

We have also previously found structural evidence of mitochondrial pathology that is present 3h after HI in piglets (Martin et al., 2000), thus supporting our current observation of depletion of SOD2 at 6h on western blot. Early subcellular drug target loss due to rapid mitochondrial destruction may have precluded mitochondria-mediated protection. Similarly in neonatal rodent brain, mitochondrial cell death protein mobilization occurs within 2h of excitotoxic injury (Lok & Martin, 2002) and mitochondrial bioenergetic and structural failure occurs within 3h after HI insult (Chavez-Valdez et al., 2012; Northington et al., 2007). We administered GNX-4728 within 5min after return of spontaneous circulation in an effort to provide mitochondrial protection through inhibition of the mPTP. However, our findings suggest that calcium dysregulation and mitochondrial permeabilization may have already been occurring at this very early time point with engagement of neuronal cell death

mechanisms. Using a similar compound, GNX-4975, Richardson and Halestrap established that the mPTP inhibitors work more efficiently in an initially calcium-depleted environment (Richardson & Halestrap, 2016). As a result, GNX-4728 may be less effective in neonatal HI because injury and calcium loading have likely occurred prior to drug administration. We do not yet know precisely when calcium loading occurs in HI piglet striatal neurons.

The SOD2 depletion seen at 6h after HI while other mitochondrial proteins (VDAC, ANT) and even a different matrix protein (CypD) were not depleted at 6h is intriguing. The persistence of the mPTP proteins suggests that mitochondria have not yet undergone complete destruction at 6h. Meanwhile, the SOD2 finding points to a new highly selective mechanism of striatal neuron injury where mitochondrial function is altered in the presence of SOD2 depletion driving unmitigated superoxide generation (normally quenched by SOD2). Evidence of this superoxide pathology has been shown in the putamen of HI piglets with increased levels of peroxynitrite, formed by superoxide and nitric oxide (Martin et al., 2000). Furthermore, an antioxidant with superoxide dismutase mimetic properties, EUK134, can protect striatal neurons in piglets with HI (Ni et al., 2011). It is therefore possible that highly specific mitochondrial-targeted potent SOD2 mimetic drugs acutely administered could be therapeutic in neonatal HIE and warrants further study.

Our finding on the developmental regulation of mPTP protein levels is also new. They anticipate a potential developmentally immature form of the mPTP that may have therapeutic relevance for neonatal and mature brains and perhaps different tissue types. Our mitochondrial isolation and fractionation was completed using flash-frozen tissue, which could fracture the mitochondria and lead to spurious western blot results for mitochondrial proteins. However, we addressed this possibility and found no difference between fresh and flash-frozen putamen mitochondrial fractions and protein expression of VDAC or CypD (Figure S2). The western blot data in neonatal naive unanesthetized piglets showed that CypD, the most convincing regulator of the mPTP, while sustained in neonatal brain, was at low levels. Furthermore, mPTP components may be more sensitive to anesthesia in the neonatal brain relative to the mature juvenile brain. Western blot data in neonatal sham anesthetized piglets showed distinct protein level differences with variability in CypD and significantly lower VDAC and ANT when compared with juvenile animals. A biophysically immature mPTP as well as anesthesia effects might have further reduced the efficacy of GNX-4728. This could explain the potent therapeutic efficacy of GNX-4728 in adult mouse neurodegenerative disease (Martin et al., 2014) and adult rabbit myocardial infarction (Fancelli et al., 2014) and the lack of protection in neonatal mouse (Fang et al., 2019) and piglet HI. Our study highlights the importance of examining developmental differences in mitochondrial physiology and the mPTP (Robertson et al., 2004; Wang et al., 2009) when considering mitochondria-targeted therapies for neonatal brain.

Our study had several limitations. The sample size was small with only male animals but the design was a proof-of-concept study for targeting mitochondrial protection. The timing and administration of a single dose of GNX-4728 after injury could be inadequate for neuroprotection since brain bioavailability of the drug was unknown in the piglet model. We had no published data on drug tolerability in piglets and so the dose was conservative and delivered at a time when mitochondrial pathology was acutely emerging. Mitochondrial

failure after HI occurs putatively in two phases (Hagberg et al., 2014). We targeted the primary phase because of its certainty in the involvement in acute neuronal destruction. The identified acute hypotension after GNX-4728 administration and our prestudy limited drug-dosing trial showing greater systemic blood pressure effects with a higher dose suggest that further dose increases and more frequent doses could be detrimental. It is possible that a multi-dose regimen targeting both phases of injury with very slow administration of the drug (slower than 5 min) to avoid hypotension could increase the possibility of neuroprotection. However, given early mitochondrial injury and lower mPTP availability in the neonatal brain that we identified in our study, multidosing could equally be unsuccessful. Lastly, while we were able to demonstrate SOD2 depletion after HI in a similar cohort of piglets, this pilot study, which had a histopathologic endpoint, was not designed for biochemical assays after GNX-4728 treatment. However, future studies should optimize the use of fresh tissue for mitochondrial savays and include additional evaluations such as GNX-4728 levels in the brain and mitochondrial function assays or calcium retention capacity studies.

5 | CONCLUSION

In our pilot study with a large animal model of neonatal HI, the mPTP inhibitor GNX-4728 was ineffective at neuroprotection in putamen. We advise caution in the translation of adult neuroprotective agents for use in a neonatal population. Furthermore, developmental differences in mitochondrial biology, specifically mPTP composition, may limit the usefulness of mitochondria and the mPTP as organelle and molecular targets for therapeutics in neonatal HIE.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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CONFLICT OF INTEREST

Dr. Martin received prior funding for a different project from Congenia Srl-Genextra Group, Milan, Italy. Dr. Lee is currently a paid consultant for Edwards Life Sciences and was a paid advisory board member for Medtronic. Dr. Lee also received a research grant from Medtronic for a separate study. These arrangements have been reviewed and approved by the Johns Hopkins University in accordance with its conflict of interest policies. The other authors declare no conflict of interest.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Significance

Mitochondrial dysfunction in neonatal hypoxic-ischemic encephalopathy (HIE) drives neuronal injury. Targeting of mitochondria and the mitochondrial permeability transition pore (mPTP) are neuroprotective strategies in adult animal models; however, in neonatal brain, data are lacking. We showed that the mPTP inhibitor GNX-4728 does not protect the neonatal piglet brain after hypoxia-ischemia, found mitochondrial matrix injury, and discovered that the neonatal and juvenile brain mPTP could be biophysically different in composition. We conclude that mitochondrial-targeted drugs with efficacy in adults may not translate to the neonatal brain because of organelle vulnerability and differences in mitochondrial properties.

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FIGURE 1.

Representative piglet brain neuropathology with H&E staining, (a) Brain section with demarcations of the anatomic regions of putamen (blue outline) and somatosensory cortex (black outline) where neurons were classified and counted. (b) Medium magnification $(400\times)$ image of a putamen field in a sham vehicle animal. Normal neurons are present (open arrows). The cell bodies are about 10 µm in diameter and the nucleus is open and round with a prominent nucleolus. (c) Medium magnification (400μ) image of a putamen field is schemic-necrotic neurons (solid arrows) are present in addition to normal neurons (open arrows). The degenerating neurons have a bright pink cytoplasm and a dark pyknotic nucleus. (d) Representative ischemic-necrotic (solid arrow) and normal neurons (open arrow) at 1,000× magnification with oil immersion. (e) Representative injured neuron in the center (arrowhead) surrounded by several normal neurons (open arrow) at 1,000× magnification with oil immersion [Color figure can be viewed at wileyonlinelibrary.com]

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FIGURE 2.

Putamen and somatosensory cortex neuron counts at $1,000 \times$ magnification with oil immersion represented as average number of neurons per field and % total of average neuron counts. (a, b) In putamen, the number of ischemic neurons in the HI GNX-4728 (n = 7) and HI vehicle (n = 7) groups were significantly higher compared with sham vehicles (n = 6, *p < 0.05). In contrast, normal neuron percentage was lower in the HI GNX-4728 and HI vehicle groups compared with sham vehicle (*p < 0.05). (c, d) In somatosensory cortex there were no significant differences among groups. Survival was 4 days

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FIGURE 3.

Representative HI versus sham western blots of neonatal putamen mitochondrial-enriched fractions detected by the mitochondrial matrix protein superoxide dismutase-2 (SOD2). See Figure S1 for validation of tissue fractionation method, (a, b) Mitochondrial SOD2 depletion occurs early after HI. SOD2 immunodensity is significantly lower 6h after HI (n = 6) when compared to sham (n = 6) (*p < 0.05). (c-g) There were no significant differences in voltage-dependent anion channel (VDAC), cyclophilin D (CypD), or adenine nucleotide translocase (ANT) immunodensities between HI and sham. Ponceau S-stained membranes of exactly the same blots are shown for protein loading

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FIGURE 4.

Neonatal *sham* anesthesia versus juvenile piglet western blots for mPTP proteins in mitochondrial-enriched fractions from putamen. (a, b) No differences in SOD2 immunodensities between groups. (c-e) VDAC showed lower immunodensities in neonatal sham (n = 5) compared to juvenile putamen fractions (n = 4, *p < 0.05). CypD also showed a similar pattern but was not statistically significant (p = 0.063). (f-g) ANT immunodensities were significantly lower in neonatal shams (n = 5) compared to that of juveniles (n = 4, *p < 0.05). Ponceau S-stained membranes of exactly the same blots are shown for protein loading

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FIGURE 5.

Neonatal *naïve* unanesthetized versus juvenile piglet western blots for mPTP proteins in mitochondrial-enriched fractions from putamen. (a, b) No differences in SOD2 immunodensities between groups. (c, d) VDAC immunodensities were not significantly different between groups; however, CypD immunodensities were significantly lower in neonatal naive fractions (n = 5) compared to juvenile putamen fractions (n = 4, *p < 0.05). (f, g) ANT immunodensities were similar in neonatal naïve and juvenile fractions. Ponceau S-stained membranes of exactly the same blots are shown for protein loading

Target protein name	lmmunogen	Antibody source, catalog number	Antibody registry RRID	Clone ID	Species origin of antibody	Clonality	Molecular weight of target protein	Concentration of primary and secondary used for western blotting
Adenine Nucleotide Translocase	Recombinant full-length protein corresponding to human Adenine Nucleotide Translocase 1/ANT 1	Abcam Cat# ab110322	AB_10862212	5F51BB5AG7	Mouse	Monoclonal	33 kDa	Primary 1:1,000 Secondary 1:5,000
Cyclophilin F (also known as ppif and CypD)	Recombinant full-length protein corresponding to rat Cyclophilin F aa 1-206 (also known as CypD)	Abcam Cat# ab110324	AB_10864110	E11AE12BD4	Mouse	Monoclonal	22 kDa	Primary 1:2,000 Secondary 1:5,000
GAPDH	Recombinant fragment within mouse GAPDH aa 100 to the C terminus. The exact sequence is proprietary	Abcam Cat# ab181602	AB_2630358	EPR16891	Rabbit	Monoclonal	36 kDa	Primary 1:5,000 Secondary 1:5,000
Histone H3	Peptide specific to the carboxy terminus of human histone H3 protein	Cell Signaling Cat #14269	AB_2756816	1B1B2	Mouse	Monoclonal	17 kDa	Primary 1:2,000 Secondary 1:5,000
SOD2	Specific for an epitope mapping between amino acids 22 and 58 near the N terminus of SOD2 of human origin	Santa Cruz Biotechnology Cat# sc-137254	AB_2191808	E-10	Mouse	Monoclonal	25 kDa	Primary 1:500 Secondary 1:5,000
Porin (VDAC, Voltage- Dependent Anion Channel)	Recombinant full-length protein. This information is proprietary	MitoScience LLC Cat# MSA03	AB_478282	20B12AF2	Mouse	Monoclonal	39 kDa	Primary 1:2,000 Secondary 1:5,000

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TABLE 1

Information on primary antibodies used in this study

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TABLE 2

Physiological parameters

Parameter/Group	u	Baseline (median, IQR)	Hypoxia 42 min (median, IQR)	Asphyxia (median, IQR)	ROSC 5 min (median, IQR)	ROSC 1H (median, IQR)	ROSC 2H (median, IQR)
Weight, kg							
HI GNX	٢	1.72 (1.56, 1.80)					
HI vehicle	٢	1.60 (1.45, 1.76)					
Sham GNX	9	1.62 (1.55, 1.72)					
Sham vehicle	9	1.53 (1.49, 1.71)					
Temperature (°C)							
HI GNX	٢	37.6 (37.5, 37.8)	38.4 (38.2, 38.7) ^a	38.4 (37.8, 38.7)	37.9 (37.6, 38.2) ^b	38.3 (37.6, 39.0)	38.7 (38.6, 39.0)
HI vehicle	٢	38.3 (36.0, 38.8)	38.9 (38.7, 39.2)	38.1 (37.3, 38.9)	37.9 (37.7, 38.9)	38.4 (38.0, 39.0)	38.9 (38.7, 39.1)
Sham GNX	9	38.1 (37.8, 38.5)			38.7 (38.2, 39.1)	38.8 (38.5, 39.1)	39.1 (39.0, 39.3)
Sham vehicle	9	38.2 (37.5, 38.9)			38.9 (38.5, 39.1)	39.2 (38.6, 39.4)	38.6 (38.3, 39.1)
Hq							
HI GNX	٢	7.39 (7.36, 7.42)	7.29 (7.26, 7.32)	6.75 (6.70, 6.85)	7.40 (7.26, 7.41)	7.30 (7.20, 7.35) $^{\mathcal{C}}$	7.38 (7.32, 7.44)
HI vehicle	٢	7.40 (7.34, 7.41)	7.25 (7.22, 7.31)	6.78 (6.74, 6.82)	7.38 (7.35, 7.49)	7.33 (7.24, 7.36)	7.33 (7.33, 7.40)
Sham GNX	9	7.39 (7.33, 7.46)			7.39 (7.31, 7.44)	7.37 (7.36, 7.44)	7.35 (7.33, 7.45)
Sham vehicle	9	7.35 (7.31, 7.37)			7.33 (7.31, 7.36)	7.42 (7.32, 7.43)	7.38 (7.33, 7.48)
paCO ₂ , mmHg							
HI GNX	٢	37.6 (33.5, 41.7)	39.6 (38.3, 41.1)	110.5 (104.1, 114.5)	38.4 (32.4, 49.4)	40.1 (35.3, 42.8)	40.7 (38.8, 41.5)
HI vehicle	٢	37.6 (36.9, 42.0)	43.1 (39.2, 47.5)	114.0 (110.0, 128.0)	39.3 (38.8, 39.6)	37.8 (34.7, 46.7)	40.4 (38.9, 56.8)
Sham GNX	9	38.6 (36.8, 43.3)			39.4 (35.4, 41.4)	37.1 (32.8, 39.8)	35.6 (33.1, 45.9)
Sham vehicle	9	41.0 (39.5, 44.6)			39.6 (34.4, 42.0)	40.4 (34.6, 46.9)	39.5 (31.7, 41.5)
paO ₂ , mmHg							
HI GNX	٢	144 (138, 191)	30.4 (27.8, 32.7)	15.2 (12.7, 18.8)	147 (140, 165)	137 (118, 138)	136 (112, 142)
hi vehicle	٢	165 (150, 189)	31.4 (28.2, 32.6)	18 (15.7, 23.6)	162 (146, 190)	146 (126, 165)	140 (106, 150)
Sham GNX	9	162 (131.6, 180.8)			148 (143.2, 169.8)	131.5 (130.5, 152.5)	148 (114, 154.5)
Sham vehicle	9	144 (131.2, 156.2)			140 (107.6, 162.5)	135 (124, 147.5)	137 (102.9, 172.5)

Parameter/Group	u	Baseline (median, IQR)	Hypoxia 42 min (median, IQR)	Asphyxia (median, IQR)	ROSC 5 min (median, IQR)	ROSC 1H (median, IQR)	ROSC 2H (median, IQR)
$SaO_2, \%$							
HI GNX	٢	100 (99.8, 100)	34.3 (24.2, 37.1)	4.5 (3.9, 6.5)	100 (99.2, 100)	99.7 (98.9, 100)	99.7 (98.6, 100)
HI vehicle	٢	100 (99.8, 100)	30.0 (25.8, 38.5)	3.6 (3.3, 6.7)	100 (99.4, 100)	99.9 (99.5, 100)	99.4 (98.5, 100)
Sham GNX	9	100 (96.7, 100)			100 (99.8, 100)	100 (99.7, 100)	99.6 (99.4, 100)
Sham vehicle	9	100 (99.5, 100)			99.5 (98.8, 100)	99.8 (99.0, 100)	99.5 (98.9, 100)
Hemoglobin, g/dl							
HI GNX	٢	6.9~(6.1, 8.4)	7.1 (6.1, 8.5)	7.3 (6.7, 8.7)	6.3 (5.8, 6.3)	7.6 (5.8, 7.7)	7.9 (6.8, 8.7)
HI vehicle	٢	7.7 (6.8, 8.9)	8.0 (7.4, 9.1)	8.7 (7.5, 9.1)	7.0 (6.4, 7.8)	7.8 (6.8, 8.8)	8.2 (7.8, 9.5)
Sham GNX	9	7.1 (6.7, 8.1)			7.6 (6.6, 8.7)	8.4 (7.2, 9.1)	8.9 (6.9, 14.3)
Sham vehicle	9	7.6 (6.7, 8.6)			8.8 (5.5, 9.1)	8.5 (6.7, 9.4)	7.9 (6.0, 9.3)
MAP, mmHg							
HI GNX	٢	75 (64, 78)	77 (68 84)	34 (25, 38)	119 (112, 129) $^{d, {\vec{\tau}}, {\vec{\tau}}}$	65 (63, 68)	67 (62, 75)
HI vehicle	٢	73 (62, 75)	69 (66, 73)	25 (16, 48)	112 (104, 117) ${\r X}$	56 (56, 70)	63 (59, 73)
Sham GNX	9	58 (50, 69)			60 (51, 88)	70 (53, 86)	64 (50, 84)
Sham vehicle	9	73 (59, 84)			78 (62, 81)	84 (67, 94)	66 (55, 84)
HR, bpm							
HI GNX	٢	171 (152, 188)	246 (225, 259)	55 (43, 73)	274 (226, 297)	203 (191, 210)	204 (188, 242)
HI vehicle	٢	195 (165, 210)	225 (222, 253)	42 (13, 62)	280 (271, 285)	210 (207, 230)	210 (150, 227)
Sham GNX	9	192 (144, 224)			185 (147, 223)	178 (140, 257)	199 (171, 238)
Sham vehicle	9	194 (162, 208)			192 (170, 270)	205 (170, 258)	192 (145, 213)
Abbreviations: bpm, b eturn of spontaneous c	eats F circul	per minute; HI, hypoxic-isch lation (ROSC 5 min refers to	iemic injury; HR, heart rate o 5 min after ROSC); SaO2	:,MAP, mean arterial blood p , arterial oxygen saturation.	ressure; paCO2, partial pressure of	carbon dioxide; paO2, partial	pressure of oxygen; ROSC,

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 a^{d} At 42 min of hypoxia, HI GNX piglets were more hypothermic than the HI vehicle piglets (U = 3.5, p = 0.008) by the Mann-Whiney rank sum test.

b At ROSC 5 min, there was a significant difference in temperature between groups (H(3) = 9.536, p = 0.023) by the Kruskal-Wallis ANOVA on ranks test, this did not reach significance on post hoc Dunn's test (p > 0.05).

cAt ROSC 1 hr, there was a significant difference in pH between groups (H(3) = 8.017, p = 0.046) by Kruskal-Wallis, this did not reach significance on post hoc Dunn's test (p > 0.05).

dAt ROSC 5 min, there was a significant difference in MAPs between groups (H(3) = 17.633, p = 0.001). On post hoc pairwise comparisons, the HI GNX group had significantly higher MAPs than sham GNX (p = 0.003) and sham vehicle (p = 0.01). The HI vehicle group also had significantly higher MAPs than sham GNX (p = 0.05) in post hoc pairwise comparisons

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 $\dot{r}_p < 0.05$ versus sham vehicle in post hoc Dunn's test; $\dot{r}_p < 0.05$ versus sham GNX in post hoc Dunn's test.

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Mean arterial blood pressure (MAP) changes after GNX-4728 or vehicle administration

	Number of animals where MAP changes were available	MAP drop > 10 mmHg after drug/vehicle dose (%)
HI GNX	2/J	3 (60%)
HI vehicle	3/7	0
Sham GNX	4/6	3 (75%)
Sham vehicle	3/6	0