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### **Home, sweet home: how mucus accommodates our microbiota**

### **Benjamin X. Wang**#1,2, **Chloe M. Wu**#1, **Katharina Ribbeck**<sup>1</sup>

<sup>1</sup>Department of Biological Engineering, Massachusetts Institute of Technology, Cambridge, MA, USA

<sup>2</sup>Microbiology Graduate Program, Massachusetts Institute of Technology, Cambridge, MA, USA

# These authors contributed equally to this work.

### **Abstract**

As a natural environment for human-microbiota interactions, healthy mucus houses a remarkably stable and diverse microbial community. Maintaining this microbiota is essential to human health, both to support the commensal bacteria that perform a wide array of beneficial functions and to prevent the outgrowth of pathogens. However, how the host selects and maintains a specialized microbiota remains largely unknown. In this viewpoint, we propose several strategies by which mucus may regulate the composition and function of the human microbiota and discuss how compromised mucus barriers in disease can give rise to microbial dysbiosis.

#### **Keywords**

microbial dysbiosis; microbiota; mucin glycans; mucins; mucus

### **Introduction**

The human body is estimated to host 38 trillion bacterial cells [1] in large and diverse microbial communities on external surfaces covered by skin and on internal surfaces lined with mucus. Although microbes in these complex communities encounter numerous potential competitors for nutrients and space, a healthy microbiota remains relatively stable [2,3] and diverse [4] (Fig. 1A). Commensal microbes promote human health in a variety of ways, including by resisting invasion by potential pathogens [5], metabolizing otherwise inaccessible carbohydrates [6], synthesizing vitamins [6], and priming the adaptive immune system [7]. On the other hand, shifts in microbiota composition and function cause an imbalance—dysbiosis—that is implicated in a wide range of inflammatory, cardiovascular, and autoimmune diseases  $[8-12]$  (Fig. 1B). It is therefore imperative that the host effectively manages its microbial inhabitants, but how the body selects and maintains complex microbial communities is not fully understood.

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**Correspondence:** K. Ribbeck, Department of Biological Engineering, Massachusetts Institute of Technology, Cambridge, MA, USA, Tel: +617-715-4575, ribbeck@mit.edu.

Author contributions

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The majority of the human microbiota resides in the mucus of the digestive tract, but mucus also harbors distinct microbial communities along the oral cavity and the respiratory and urogenital tracts. Mucus is a complex viscoelastic matrix containing water, gel-forming mucin glycoproteins, lipids, and many proteins, including antimicrobial immune factors [13]. Critical to the structural and biological activity of mucus are mucins, extraordinarily large glycoproteins (>2 MDa) consisting of approximately 50–80% carbohydrate by mass [13] (Fig. 2). The mucus barrier is dynamic, as mucins are constantly being synthesized, secreted, post-translationally modified, degraded, and cleared. The mucus gel varies in thickness, viscoelasticity, and composition to perform various protective functions across the body that is essential for health. For example, dysfunctional mucus barriers play major roles in ailments including cystic fibrosis (CF) [14], inflammatory bowel disease [15], Sjogren's syndrome [16], and preterm delivery [17], which are also associated with microbial dysbiosis, underscoring the integral role of mucus in regulating the microbiota.

Considered the first line of defense against infection, the host employs mucus in several ways to protect the inner epithelia of the body from invading microbes. For example, mucus traps or aggregates bacteria, enabling their clearance from the body [18,19]; this function is critical in delicate tissues such as the lungs and oral cavity. Mucus also acts as a physical barrier between bacteria and the epithelial surface, as exemplified by the dense inner layer of mucus that lines the colon and is generally impenetrable to bacteria. While important, these classical models of mucus' protective roles are incomplete, as they fail to explain how mucus accommodates trillions of commensal microbes and enables the coexistence of diverse community members.

To close this gap, we hypothesize that mucus is a bioactive environment that not only excludes intruders, but also selects for and stabilizes a healthy microbiota. Here, we consider three strategies by which mucus may organize microbiota: (1) by providing a rich nutrient source to select and retain specific microbes, (2) by spatially dispersing microbial communities, and (3) by providing a source of signals that can directly impact microbial gene expression and behavior. The focus of this Perspective is not the role of mucus as a physical barrier, which has been more thoroughly studied and evaluated elsewhere [18,20,21]. Instead, we highlight several mechanisms by which mucus may actively regulate the microbiota.

### **A feast for all: mucin glycoproteins support a metabolically diverse microbial community**

Mucus provides nutrition for mucus-dwelling microbes and may contribute to the selection of various commensal microbes that make up our microbiota (Fig. 3A). This nutritive role largely stems from mucin glycoproteins, which are the major structural and functional units of the mucus barrier.

Several commensal species are known to degrade mucins [22–25]. For example, Bacteroides possess an extensive set of carbohydrate-utilization genes and have been shown to degrade mucins in vitro [24]. In turn, *Bacteroides* benefit the host by providing metabolic products that are readsorbed through the large intestine [26], which may modulate the host immune

system to more effectively manage inflammation [27]. Further, characterization of a model microbiota of 177 reference genomes present in the human gut revealed that bacteria may harbor > 9000 carbohydrate-degrading enzymes, although significant functional redundancy is expected [28]. For context, the human genome contains coding sequences for at most 17 enzymes to digest glycans [28]. This complex set of mucin glycans may enable the host to support the growth of commensal microbes with different metabolic capabilities; in contrast, if only a few nutrients were provided by the host, aggressive microbial competition and eventual outgrowth could ensue. Host mucins may also buffer the microbial metabolic landscape against large variations in host diet.

Cooperation and cross-feeding between microbial strains are also important in a heterogeneous environment. Mucins present hundreds of unique glycan structures that require the action of linkage-specific glycosidases to be effectively broken down and utilized, which could require cooperation between strains (Fig. 3A). Different bacterial species often exhibit specialized functions, and their interactions can lead to biologically useful community dynamics and structures. Although the challenges of isolating natural mucin glycans [29] have limited experimental characterization of their bioactivity, there are several examples of how glycans in other contexts influence microbial community assembly, two of which we expand upon below.

A recent study found that polysaccharide composition can mediate community assembly of marine microbes specializing in distinct metabolic functions: Initial colonization on each substrate was achieved by specialized primary degraders, while successional dynamics were driven by metabolic cross-feeding interactions with a diverse group of broad-range taxa [30]. Extrapolating these principles of community assembly to the human microbiota suggests that mucin glycans may determine species composition by selecting for primary degraders and their associated broader communities. Ultimately, this strategy allows the host to bolster a beneficial and diverse microbiota; modulating mucin glycosylation levels and profiles could establish niche-specific communities.

Another example is found in human milk oligosaccharides (HMOs), which are thought to function as prebiotics, selectively cultivating a desirable gut microbiota [31]. HMOs present an analogous pool of hundreds of complex sugars which are similar in structure to mucin glycans and have fascinating bioactive properties. Because human infants lack the enzymatic capability to process HMOs [31], undigested oligosaccharides become the primary carbon source in the intestines [31]. As a result, bacterial strains involved in initial colonization of the gut must utilize HMOs. Accordingly, breastfed infants have higher proportions of Lactobacillus and Bifidobacterium [32], which are known to metabolize HMOs [33], than infants fed formula, which contains a lower abundance and diversity of oligosaccharides [34]. Similarly, early colonization of mucosal niches may be guided by a bacterial strain's ability to forage mucin glycans, which include structures shared with HMOs as well as structures distinct from those in HMOs.

Do mucin glycans support microbial coexistence in ways other than as a source of nutrition? Recent work suggests that this is likely. Mucin glycans are not a preferred carbon source for many host commensals, including the well-studied *Bacteroides thetaiotaomicron* [35]. It

is now known that these commensals prefer to eat saccharides that are available through human consumption of food, as well as surface glycans on the sloughed-off surfaces of epithelial cells, and that they only turn to mucin glycans when these other carbon sources are depleted [36]. Therefore, while mucin glycans likely play an integral role in the initial establishment of diverse communities, their importance may decrease when dietary polysaccharides are abundant. Further, offering a wide array of nutrients does not uniquely support commensals—several bacterial pathogens have developed strategies to benefit from host glycan metabolism and to disrupt healthy microbial communities. For example, the opportunistic pathogen Pseudomonas aeruginosa can grow on short-chain fatty acids in the CF lung that are generated during the consumption of mucin glycans by host commensals [37]. *Clostridium perfingens* SM101, an opportunistic pathogen in the gut, has also been shown to grow on intestinal mucins [38]. Furthermore, a set of genes involved in mucus and sugar utilization was exclusively identified in a clade of Ruminococcus gnavus enriched in irritable bowel disease patients [39]. Since preventing the outgrowth of these potentially harmful bacteria is essential to maintaining a healthy microbiota, mucus environments likely contribute to the selection and maintenance of healthy microbes beyond serving as a food source.

#### **I want my own space! How mucus spatially organizes bacterial populations**

Another feature of mucus is its ability to spatially organize populations of microbes. Microbial ecologists have long postulated [40,41] that a variety of different bacteria can thrive when allowed to form individual niches protected from competition, and indeed, various theoretical and experimental models have demonstrated that heterogeneous spatial structure supports diverse bacterial populations [42,43]. The importance of spatial structure has been demonstrated in stabilizing in vitro bacterial communities [44], as well as maintaining diversity within different mucus layers of the gut [45]. Consequently, by providing a three-dimensional scaffold for colonization, mucus may play a pivotal role in shaping the microbiota.

Mucus networks of varying pore size and adhesiveness may mediate spatial organization of bacterial communities in a variety of ways (Fig. 3B). Bacterial adhesion to mucus has been shown to influence bacterial colonization [46,47], and *in vitro* mucin binding assays with commensals such as Bacteroides fragilis [48] and Lactobacillus fermentum [49] substantiate the hypothesis that adhesion to mucosal surfaces allows beneficial bacteria to protect the host from invasion by potential pathogens. This hypothesis has been further supported by simulations of bacterial communities in the host epithelium, which suggest that host modulation of bacterial adhesion can be an important positive selection strategy [50], as adherent cells better resist displacement by nonadherent cells that are otherwise more competitive. Bacteria that bind mucin directly can further shape the environment by acting as sites of attachment for other bacteria, as well as point sources and sinks for diffusible metabolites and other factors. For example, the complex community structure in dental plaque is thought to form through initial attachment of Streptococcus and Actinomyces to the salivary pellicle, followed by attachment by other species including *Fusobacterium* nucleatum, which physically binds early and late colonizers [51].

In addition to binding directly to microbes, the spatial properties of mucus may also affect microbial group behaviors such as bacterial motility and aggregation [52]. For example, the heterogeneous glycan presentation of mucins may contribute to microbial movement, as glycans on mucins may act as chemoattractants to bacteria including P. aeruginosa [53] and *Campylobacter jejuni* [54]. Further, recent work has shown that mucins can prevent certain pathogens from aggregating and forming biofilms, and can also disperse cells from preformed aggregates and biofilms [55–58]. The inability of these pathogens to aggregate in mucus could affect microbial communication. For instance, cells in large aggregates have been reported to exhibit stronger quorum sensing activity than cells that are more uniformly distributed [59–61]. It is conceivable that this mucin-derived spatial separation could impact cell-cell communication among pathogens and perhaps even commensal species, although this hypothesis remains to be rigorously tested.

Mucins may also spatially distribute chemical signals that can influence the development of bacterial communities. The distribution of small molecules through mucus can be generally affected by bulk flow, as molecules are transported through advection [62]. This principle likely extends to microbially produced small molecules; for example, quorum sensing has been observed to change under different flow conditions, with a higher flow rate generally reducing quorum sensing [63–65]. In mucus, flow via mucociliary clearance is determined by coordinated ciliary activity and mucus viscosity [66]. Since mucins are the primary factor underlying mucus viscosity, alterations in mucin content can significantly impact flow [18,21]. These observations suggest that mucus may impact the advection of microbial signaling molecules and, consequently, the assembly of microbial communities.

Mucins could also affect the diffusion of small molecules through specific interactions. Selective transport of small molecules through mucus is a complex process influenced by electrostatic, hydrophobic, and specific binding interactions that together determine a particle's adhesiveness to mucins [67]. Such interaction filtering may establish gradients in nutrients and other factors, which have been shown to shape the assembly of microbial communities [68]. Although there have yet to be systematic studies of the movement of many small molecules through mucus, mucin has empirically been shown to bind small-molecule drugs including polymyxin and fluoroquinolone antibiotics [69], protecting P. aeruginosa from killing by these antibiotics [70]. Oligopeptides have also exhibited modulated transport through mucus due to subtle differences in charge distribution [71], and mucins inhibit the diffusion of pyocyanin, a small molecule produced by P. aeruginosa [60]. As technical advances facilitate the measurement of small-molecule diffusion and binding to mucins, future studies may illuminate how mucins impact the distribution of diffusible molecules, thereby regulating microbial behavior.

## **Mucus can "talk" to microbes: Mucins present host-derived signals that can directly impact microbial gene expression and behavior**

Thus far, we have discussed how mucin glycans can serve as food sources to support beneficial microbes, and we have highlighted how the spatial structure afforded by mucus can impact microbial communities. However, emerging evidence suggests that mucus can

contribute to microbial coexistence through a third mechanism: by providing a source of signals that can directly trigger changes in bacterial gene expression and phenotypes.

To date, the signaling potential of mucus has been primarily investigated in terms of its striking ability to attenuate virulence in a variety of pathogens. Despite the traditional view of mucus as a simple physical barrier, multiple studies from our laboratory suggest that mucins can directly suppress the virulence of potential pathogens by changing their *identity* from harmful pathogens to host-compatible commensals [55–58] (Fig. 3C). In turn, the virulence-attenuating properties of mucin may contribute to the coexistence of microbes in mucus environments.

The ability of mucins to suppress microbial virulence is remarkably broad, as various serotypes of mucins (MUC5AC, MUC5B, MUC2) in sites across the human body (mouth, lungs, gut) attenuate virulence in evolutionarily distant pathogens, including Gram-negative microbes like *P. aeruginosa* [58,72], Gram-positive bacteria like *Streptococcus mutans* [56], and fungal species like *Candida albicans* [57]. Notably, mucins suppress biofilm formation across all three of these species [56–58] and also promote the dispersal of pre-formed P. aeruginosa biofilms [58]. Further, mucins directly trigger changes in the expression of virulence genes. For example, RNA sequencing revealed that mucins globally downregulate virulence pathways in P. aeruginosa [72], including the type I, II, III, and VI secretion systems, quorum sensing, phenazine production, and iron acquisition. Similarly, incubation of C. albicans with mucin downregulated multiple virulence genes, including those involved in biofilm formation, proteinase secretion, and filamentation [73]. Mucininduced downregulation of virulence genes also prevents these pathogens from killing host cells and other microbes. For instance,  $P$ . aeruginosa and  $C$ . albicans were unable to kill human epithelial cells effectively *in vitro* in the presence of mucin [57,72], and *P. aeruginosa* was less virulent on an *in vivo* porcine burn wound model of infection when mucin was added [72]. Further, S. mutans was unable to outcompete the oral commensal Streptococcus sanguinis when incubated with mucin  $[74]$ , while *P. aeruginosa* could not utilize its type VI secretion system (T6SS) to kill *Escherichia coli* or *Burkholderia cepacia*in the presence of mucin glycans [75]. As> 200 structures of mucin glycans have already been discovered [76], we propose that a "glycan code" differentially impacts virulence pathways in distinct pathogens (Fig. 3C).

By what mechanisms do mucin glycans serve as signaling molecules? Glycans can be directly sensed by receptors, such as the membrane boundhistidine kinases that are widely distributed across bacteria. For example, the RetS sensor kinase in P. aeruginosa has structural homology to other carbohydrate-binding proteins [77] and is considered a master regulator of virulence [78]. We have recently demonstrated that mucin glycans act as a signal for RetS [75], which triggers the downregulation of virulence traits associated with a chronic infection state, including theT6SS [75]. Another possibility is that mucin glycans may act through dedicated sugar sensing and utilization pathways by mimicking nutrient signals. To identify other receptors involved in sensing mucin glycans across species, we believe that in vivo screens with systematic knockouts of receptors in a particular microbe as well as in vitro screens to look for binding between purified receptor domains and

mucin glycans will yield valuable mechanistic insights. Many microbes also encode other sugar-binding proteins, such as adhesins, which could interact with mucin glycans.

Glycans may also signal in indirect ways. For instance, RNA sequencing of *P. aeruginosa* revealed that dozens of putative metabolic genes are differentially expressed in response to mucins and mucin glycans [72,75], suggesting that glycans may induce dramatic changes in the metabolic states of these microbes. A growing body of work suggests that changes in metabolic state are associated with the expression of virulence pathways [79]. A recent modeling study identified dozens of genes involved in both virulence-factor production and primary metabolism in P. aeruginosa [80], and fluctuations in central metabolic pathways such as the citric acid cycle can lead to changes in the activity of antimicrobial systems such as the T6SS [81–83]. Many of the annotated metabolic genes identified in the above RNA-seq experiments have not been characterized, but a deeper understanding of how mucin glycans alter the metabolic state of pathogens may yield novel insights into how these signals indirectly affect virulence-associated behaviors across microbial species.

Interestingly, the virulence-attenuating effects of mucin glycans are also evident with the aforementioned HMOs, a separate class of human-produced glycans that are the third most abundant solid in human breastmilk [84]. Although traditionally viewed as food sources for commensals, recent work suggests that HMOs also play important roles in protection against pathogens, which could in turn promote microbial coexistence. For example, the HMOs 2-fucosyllactose and 6'-sialyllactose block the adhesion of Gram-negative E. coli and Gram-positive *Salmonella fyris* to epithelial cell surfaces [85], a necessary step prior to invasion. It has also been reported that nonsialylated HMOs inhibit the growth of pathogenic Streptococcus species [86]. Although the exact mechanism of this bacteriostatic activity is unclear, transposon mutagenesis identified a putative glycosyltransferase that may play a role in the response to HMOs [86]. Further, incubation of C. albicans with HMOs delays the yeast-to-hyphae transition of this fungal pathogen [87], which is reminiscent of the virulence suppression effects of mucin [57].

Virulence suppression by HMOs can also be indirect. For example, various host commensals such as *Bifidobacterium* species can utilize HMOs as sole carbon sources [88]. Interestingly, it has been reported that incubation of pathogenic E. coli O157:H7 and Salmonella typhimurium with spent media taken from cultures of Bifidobacterium species in which HMOs were supplied as a carbon source led to the downregulation of various virulence genes [89]. This observation suggests that metabolic by-products of HMOs generated by host commensals may serve as virulence-suppressing signals, although the actual signals in spent media have not yet been identified at the molecular level.

Overall, a clearer picture is emerging in which mucins and their associated glycans serve as virulence-attenuating signals for various opportunistic pathogens. However, the effects of mucin on other residents of the mucus environment—such as the trillions of commensal microbes that reside in mucus, as well as host immune cells—have not been well-studied. Commensal microbes are generally regarded as 'host-compatible', but the process by which mucus is populated by commensals is not fully understood. Whether mucins accomplish this selection by serving as food sources, establishing spatial structure and/or by acting as signals

such as Siglecs [90,91], which raises the possibility that these host cells sense and respond to mucin glycans [92]. Ultimately, further investigation of how mucins and their associated glycans affect both commensals and host cells will provide further insights into how mucus manages the microbiota.

#### **Compromised mucus gives rise to microbial dysbiosis**

While healthy mucus houses a stable microbiota, various mucosal diseases including ulcerative colitis and cystic fibrosis are associated with microbial dysbiosis. In the gastrointestinal tract, degradation of mucus has been linked to the pathogenesis of inflammatory conditions [93,94]. Mucus which has undergone glycosidic and proteolytic degradation by enteric bacteria is less viscous [95] and more permeable to toxins and microbes [96] which can induce damage and inflammatory responses. This, in turn, may lead to the widespread killing of host commensals, which will decrease microbial diversity. Furthermore, mucin oligosaccharides in inflammatory bowel disease exhibit drastically shorter chain lengths, decreased sulfation, and increased sialylation [97]. Such modifications may weaken the ability of mucus to maintain microbial coexistence through the mechanisms discussed above, as glycans potentially play key roles in the nutrient presentation, structural arrangement, and virulence suppression functions of mucus.

At the other end of the spectrum, abnormally thick and viscous mucus is more susceptible to microbial infection than healthy mucus. CF is a genetic disorder in which the structure and function of the CF transmembrane conductance regulator protein are disrupted, leading to abnormally thick lung epithelial mucus [14]. In addition to the higher mucin content of CF mucus, inflammatory cell necrosis produces large quantities of extracellular polymers like DNA and F-actin [14,98,99], which likely alter mucus structure. This diseased mucus is more susceptible to colonization by various opportunistic pathogens such as *P. aeruginosa*, which can form dense bacterial communities in this niche that are resistant to both clearance by the immune system and to antibiotic treatment [100]. Changes to the mucus environment in CF may therefore influence biofilm-forming behavior, a key virulence determinant for many pathogens [101–103].

Importantly, colonization by P. aeruginosa is one of the largest sources of morbidity and mortality in CF patients [104], highlighting the clinical importance of clarifying the links between mucins and the microbiota of the lung. Thanks to several decades-spanning studies [105–107], we now know that both microbial diversity and lung function are highest in younger (<10 years of age) CF patients [108]. Strikingly, the increased prevalence over time of opportunistic pathogens including P. aeruginosa and Burkholderia correlates with decreased trends in both lung function and microbial diversity in the lung microbiota [108]. However, we still do not fully understand why the diseased CF lung allows certain pathogens to dominate, for example due to a malfunction in providing food for microbes, a change in the spatial structure of mucus or of the microbial community, a loss of virulenceattenuating signals, or a combination of these factors. Further research is warranted to better

characterize the critical distinctions between healthy and diseased mucus environments, and to understand how these differences trigger changes in microbial communities and ultimately in host health.

#### **Final perspectives and conclusions**

Our understanding of mucus has dramatically evolved over the years. However, the current textbook description of mucus as a simple protective barrier is woefully incomplete. In this Perspective, we have highlighted three major roles that mucus plays in regulating the microbiota. First, heavily glycosylated mucins serve as food sources for diverse host commensals. Second, spatial structure provided by mucin gel networks helps microbes carve out specific niches. Third, mucins directly serve as virulence-attenuating signals to transition potential pathogens into a host-compatible state. Together, these three factors likely help select for and accommodate a diverse, yet specialized microbial community in our mucus environments, which is critical to human health. However, many questions remain. Is the ability to utilize mucin glycans widespread among bacteria, or exclusive to mucus-dwelling commensals? Are mucin gel networks able to impede the movement of microbial signaling molecules such as autoinducers? What are the actual signals in mucus environments that directly suppress the expression of virulence genes, and what are the receptors that sense them? Do mucin glycans alter microbial and/or host metabolism? How do mucins signal host cells, such as immune cells? What are the key differences between healthy and diseased mucus environments, such as the CF lung? We envision that answers to these critical questions and others will enable the development of therapeutics for diseases of the mucus environment, and that deeper understanding of the mechanisms that drive microbial coexistence in mucus environments will empower us to design novel treatments to promote mucosal and microbial health.

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#### **Abbreviations**



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#### **Fig. 1.**

Overview schematic of microbial communities in healthy and diseased mucus. (A) Healthy mucus selects for and maintains a diverse, yet specific, microbial community. (B) Diseases with compromised mucus barriers are often associated with microbial dysbiosis.



#### **Fig. 2.**

Mucin glycoproteins are the primary structural component of mucus gels. Mucin monomers are densely grafted with diverse and complex glycans. Shown are representative glycan structures isolated from MUC5AC, as identified by mass spectrometry.



#### **Fig. 3.**

Proposed mechanisms of influence of mucins on microbial communities. A. Mucin glycans are a source of diverse and complex nutrients, which can metabolically shape the microbiota. Glycans may select for beneficial microbes which produce specific glycosidases, as well as facilitate cooperation across species which produce complementary degradative enzymes. B. Mucin networks may spatially organize bacterial communities in several ways, including by directly binding microbes, by altering group behaviors such as aggregation, or by impacting the transport of nutrients, host immune factors, and/or signaling molecules which may shape the assembly of microbial communities. C. Mucin glycans act through regulatory signaling pathways to attenuate virulent behavior. In the presence of mucins, potentially pathogenic microbes may sense and respond to mucin glycans, which enables their transition a host-compatible state within a healthy community. Without mucin regulation, aggressive microbes may overtake the community, forming a dysbiotic microbiota.