



Published in final edited form as:

Biochemistry. 2021 April 06; 60(13): 966–990. doi:10.1021/acs.biochem.0c00860.

Disulfide Bridging Strategies in Viral and Non-viral Platforms for Nucleic Acid Delivery

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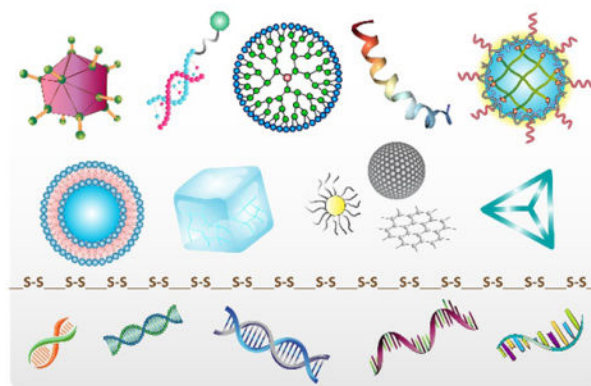
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Abstract

Self-assembled nanostructures that are sensitive to environmental stimuli are promising nanomaterials for drug delivery. In this class, disulfide containing redox-sensitive strategies have gained enormous attention for its wide applicability and simplicity in nanoparticle design. In the context of nucleic acid delivery, numerous disulfide-based materials have been designed relying on covalent or non-covalent interactions. In this review, we highlight major advances in disulfide-containing materials designs for nucleic acid encapsulation, including covalent nucleic acid conjugates, viral vectors or virus-like particles, dendrimers, peptides, polymers, lipids, hydrogels, inorganic nanoparticles and nucleic acid nanostructures. Our discussion will focus on the context of materials design and their impact on addressing the shortcomings of the current know-hows in the intracellular delivery of nucleic acids.

Graphical Abstract



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1. Introduction

Advances in understanding biochemical pathways at cellular level have brought new insights into the possibility of treating challenging diseases with nucleic acid therapeutics.¹⁻³ Multiple nucleic acid candidates are either identified or chemically synthesized to intervene cellular processes for modulating gene expressions. Antisense oligonucleotides (ASO), plasmid DNA (pDNA), short interfering RNA (siRNA), microRNA (miRNA) and messenger RNA (mRNA) have become the most promising candidates for gene therapy.⁴⁻⁸ While ASO, siRNA and miRNA act to repress translation via binding to mRNA and stop production of undesired proteins, pDNA and mRNA function to increase synthesis of a requisite protein in cells. Thus, with various nucleic acid design modalities it is now possible to expand the portfolio of biologic drugs with a promise to overcome severe side-effects and toxicity from small molecule drugs.

Similar to many other biologic candidates, however, nucleic acid therapeutics must reach to the target cellular site without alterations in their chemical structure and biological function. Although the conceptual basis for this field is quite mature, the bottleneck of intracellular delivery of nucleic acid still remains to be the major hurdle in successful translation to clinic.^{3, 9} The nucleic acid cargo faces a variety of environmental threats like nuclease-mediated cleavage, opsonization and aggregation. Additionally, their inherent physical properties such as size, shape, molecular weight and hydrophilicity also deter them from crossing the hydrophobic cell membrane to reach the target work-site. Notably, the endocytosis process that traffics the molecules into intracellular space traps the therapeutics in a vesicle like structure, endosome. Release from the endosome is one of the prevailing hurdles in any macromolecular drug delivery. These practical challenges have inspired the development of suitable strategies to fill up the quintessential requirements for nucleic acid delivery.

Generally, two tactics are elucidated in literature: i) structural modifications of nucleic acid via chemical conjugation of functionalities; and ii) encapsulation into delivery vectors to resist effects from adverse environments and boost cellular delivery at target localization.^{10, 11} Also, spatiotemporal control on the delivery activity is instrumental to circumvent the barriers producing desired biological response, which might be addressable by both tactics. Advances in synthetic and materials chemistry have offered numerous options for nucleic acid delivery. Newly developed bioconjugation techniques along with smart design of materials consisting polymers, peptides, lipids and inorganics have enabled to strategize a successful delivery route.^{12, 13} One of the heavily explored materials design include structural manipulations that can be sensitized against environmental cues to trigger functional responses. In multi-step nucleic acid delivery perspective, responsive materials that sense changes in physiological conditions such as pH, redox, ATP, enzyme concentration, inflammation, and hypoxic conditions could be helpful. To this end, redox-based strategies can be of particular importance due to the ~3 log differences in redox-potentials between intra- and extracellular milieu, which is often more substantial between healthy and diseased cells.^{14, 15} The thiol-disulfide based molecular redox-controllers such as glutathione (GSH), cysteine and thioredoxin precisely maintain complex cellular redox homeostasis.¹⁶ Attracted by this phenomena, fascinating disulfide based redox-sensitive

systems have been delineated in literature to conduct drug delivery inside diseased cells. This concise review will specifically highlight disulfide-containing systems for nucleic acid delivery (Figure 1). We will discuss various designs of delivery systems from a materials perspective emphasizing both covalent and non-covalent approaches for nucleic acid encapsulation.

2. Covalent modifications on nucleic acids: redox-responsive *carrier-free* approaches

Some of the key hurdles that limit successful development of nucleic acid therapeutics are poor stability and pharmacokinetic properties of the biomacromolecules during systemic circulation. Although attractive designs of drug carriers are available to address this setback, a straightforward yet powerful strategy would be to attach various ligands that can provide desired biodistribution characteristics without altering the therapeutic outcome. Bioconjugation of specific ligands to nucleic acids can enhance cellular uptake, provide stability and targeting ability.^{17–19} Compared to carrier-based systems that include complex, multistep and often difficult-to-control processes, carrier-free techniques can provide attractive incentives in case of distinct molecular characterizations and quality control.

2a. Conjugation to small molecule, lipid and polymer.

Attaching hydrophobic small molecules has often perceived as a notable strategy to carry nucleic acids across hydrophobic lipid bilayers of the cell membrane. Consistent with this thought, cholesterol conjugated non-coding RNAs were synthesized for repairing mitochondrial DNA mutations.²⁰ However, compared to a disulfide bridged conjugate, a pH-responsive hydrazine bearing RNA conjugate performed better in cellular transfection and mitochondrial genome manipulation. Another study looked at linker modification on cholesterol-conjugated anti-PCSK9 antisense oligonucleotide including disulfides for improving hepatic accumulation.²¹ Further, the effect of cholesterol conjugation was tested in a study that compared gene silencing and immunogenic effects of TAT (48–60)-, penetration- and cholesterol-conjugated siRNA acting on p38 MAP kinase.²² Similar to the co-delivery strategy, hydrophobic drug doxorubicin was conjugated to the sense strand of an siRNA via a self-immolative linker containing disulfide bond for targeting EWS/Fli1 protein relevant for Ewing's sarcoma.²³ Although hydrophobizing with lipids or small molecules had served as a typical procedure to enhance cellular penetration, this could lead to nanoassembly formation due to amphiphilicity of the oligonucleotide conjugates in aqueous media leading to reduced efficacy and voiding the original purpose. Inspired by the recent progress in disulfide-based systems showing interactions with cell surface thiols for direct cellular internalization,^{24, 25} antisense DNA and siRNA molecules were tagged with multiple disulfide moieties at the chain end via phosphoramidite chemistry (Figure 2a).²⁶ The strategy enabled ultrafast delivery of therapeutics (within 10 min) along with silencing of ApoB expression relevant for hypercholesterolemia. Building on this, the cationic charge of the amino groups from the linker was masked in another study with the help of disulfide units, which was due to be unmasked under reducing environment to deliver nucleic acids for gene silencing.²⁷ Apart from the above examples, covalent conjugates of DNA and dendron bearing disulfide bridges have been also pursued for gene delivery.²⁸

Unlike covalent conjugation, polyelectrolytic complexation of nucleic acids, specifically with smaller candidates like siRNA and miRNA, might not be conducive to incur sufficient stability in biological environment. Thus, adapting the idea of antibody-drug conjugates, multiple siRNA constructs were covalently bonded via cleavable disulfide linkages to the PLL chain of a RGD tagged PEG-PLL polymer.²⁹ The intracellular delivery and release of siRNA from the conjugate was confirmed from FRET studies and silencing of luciferase gene. An interesting strategy to further improve the covalent conjugation of nucleic acids includes the concept of 'dynamic polyconjugates' (Figure 2b).³⁰ Here, the amphipathic poly(vinyl ether) polymer backbone was attached with masking PEG chains for circulation stability and hepatocyte targeting ligand N-acetylgalactosamine (NAG or GalNAc) through pH-responsive carboxylated dimethyl maleamate groups that was amenable to cleavage in endosome by exposing cationic amines inducing destabilization of endosomal membrane.³⁰ The siRNA was tagged to the chain end with the help of disulfide bonds for redox-responsive cleavage. Also, this reversible covalent conjugation strategy efficiently demonstrated siRNA-mediated knock-down of apoB and ppara genes in mouse liver. The concept of polyconjugate was extended in another similar concept, wherein an endosomolytic poly(amido amine disulfide) polymer was synthesized to attach siRNA through bio-reducible disulfide linkages for silencing apoB gene.³¹

2b. Peptide nucleic acid (PNA).

PNAs are artificially synthesized DNA analogues wherein the deoxyribose phosphate backbone is replaced with N-(2-aminoethyl)glycine units.³² For therapeutic purposes, PNAs are considered to have antisense activity for binding to specific mRNA halting gene expression.³³ However, like other nucleic acids PNAs are cell-membrane impermeable owing to their hydrophilicity. A simple approach was taken to address this issue, wherein a hydrophobic triphenylphosphonium (TPP) cation was tethered to a PNA via disulfide-linkage for GSH-mediated release in cytosol.³⁴ The delivered PNA, complementary to mRNA of mouse *Pax2* gene, was able to downregulate the Pax2 protein by >85%, whereby the non-disulfide control PNA could not produce any antisense effect. Releasing the PNA in original form without any remnant of the linkers is also critical for preserving its function. Built on this aim, a self-immolative strategy was conceived to link PNA with TPP cation via carbamate-disulfide bond.³⁵ The conjugates were shown to undergo GSH triggered thiol-disulfide reshuffling to free PNA. The system utilized a 16-mer PNA for intracellular delivery and showed anti-HIV activity via targeting TAR region of HIV-1 gene. To further aid in the cellular delivery, a covalently conjugated PNA to mesoporous silica nanoparticle (MSNP) was employed targeting Bcl-2 gene.³⁶ The disulfide-bridged PNA showed redox-mediated release and reduced Bcl-2 protein expression to 30% compared to untreated cells.

No doubt that covalent modifications are interesting strategies that have the potential to be implemented in the clinic. In fact, covalently modified siRNA is now a feasible product in pharmaceutical market (ONPATRO® or patisiran).³⁷ Moving forward, recent studies in disulfide bridging approaches could serve as potential therapies to address many existing challenges with safety and biodegradability concerns.

3. Nucleic acid carriers with disulfide-sensitive functionalities

While conjugation of small molecules to nucleic acids offered certain advantages, the cargo itself remains exposed to degradative nucleases. Carrier-based approaches remain attractive for the protection of the nucleic acids from harsh biological environment and trafficking to the target location, which are otherwise difficult to achieve solely via carrier-free strategies. Major players in this sub-group are discussed below.

3a. Modified viral vectors or virus-like particles.

Viral vectors or virus-like particles (VLPs) are one of the primarily tested approaches to deliver therapeutics mimicking the viral mechanism of infection.^{38–41} These are composed of either the original viral capsids or artificially assembled capsid proteins transformed into spherical hollow structures with the desired choice of nucleic acids encapsulated inside these.^{41, 42} As the original genetic materials are removed from the capsids, those are supposed to lack infectious properties. In the construction of artificial mimics, disulfide crosslinking is heavily used only to impart structural stability to the capsid in VLPs,^{43, 44} however evidences of disulfide chemistry in delivery is rare. A recent study constructed VLPs via reversibly crosslinking different capsid variants through a homobifunctional cross-linker DTSSP (3,3'-dithiobis (sulfosuccinimidylpropionate)) to stably encapsulate tRNA and siRNA up to 74% and 45%, respectively.⁴⁵ The construct not only showed silencing of the targeted luciferase gene, but also provided protection of the nucleic acids from enzymatic degradation. Although non-disulfide-based strategies are reported on viral vectors or its mimics, the systems still need to provide rigorous mechanistic insights on the delivery pathway and in vivo efficacy without toxicity issues. Also, viral vectors and VLPs are not completely devoid of eliciting immune responses; rather VLPs have beneficial effects for utilization in vaccine delivery. Incorporation of disulfide bonds might become effective to reduce the burden of immunogenic concerns for viral vectors, albeit needs further investigations.

3b. Dendrimers.

In the context of non-viral vectors, dendritic gene delivery agents have been of great research interest due to its tunable structural features to generate low-to-high generation molecules for optimizing electrostatic interactions with nucleic acids. While high generation dendrimers are perfectly suitable for nucleic acid complexation owing to their high cationic charge density, they are found to impart cytotoxicity. In contrary, low generation molecules are relatively safe while less efficient in gene silencing. To reach a practical solution to this paradox, research approaches have always been weighing to balance the two outcomes. In the past, poly(amidoamine) (PAMAM),^{46, 47} poly(L-lysine) (PLL),^{48, 49} PAMAM-PEG-PLL,⁵⁰ PLL-PEG-PLL⁵¹ or PLL-pLac-PLL⁵² (pLac: poly(L-lactide) based dendrimers or dendritic polycations had been explored for complexation of nucleic acids. Due to the higher pK_a (~10) of primary terminal amines incorporated into the dendron structure, they can complex with anionic nucleic acids at physiological pH. However, higher order structures raise concerns on cytotoxicity and immunogenicity issues from high cationic charge density. In an effort to reduce toxicity via designing smaller degradable dendrons, disulfide-bridged lysine-based dendritic structures were utilized in synergistic combination with cationic

lipids to complex DNA.⁵³ Similarly, lipoic acid-derived crosslinkable dendritic amphiphiles were also optimized for optimal self-assembly with siRNA.⁵⁴ Higher generation PAMAM dendrimers are considered to be as efficient as polyethyleneimine (PEI) in gene transfection, but their synthesis is labor intensive and thus costly. As a remedy, a second generation (G2) PAMAM dendrimer was synthesized and crosslinked via a disulfide based (3,3'-dithiodipropionic acid-di(N-succinimidyl ester), DSP) linker (Figure 3a).⁵⁵ The disulfide crosslinked G2 PAMAM dendrimer showed significantly higher transfection of pDNA for eGFP and luciferase genes in comparison to G2 or G5 control PAMAM dendrimers (Figure 3b). Although there are commercial transfection agents based on dendrimers, such as Superfect and Prioject, their clinical translation is limited by the increased cationic charge-induced cytotoxicity. While disulfide-based approaches in dendrimers have elucidated a plausible solution to the toxicity concerns, the research interest seems to be lacking in recent time.

3c. Cell penetrating peptides (CPPs).

Protein transduction domains or CPPs are short amino acid sequences that are membrane active. Traditionally, CPPs like TAT, HIV-TAT, oligoarginine, melittin, penetratin, transportan, are known to promote cellular uptake including macromolecular cargoes.⁵⁶⁻⁶⁰ In this class, utilization of specific amino acids could serve three purposes: (i) complexation with nucleic acids by charge complementarity; (ii) increased interaction with cell membrane via both electrostatic and covalent interactions; (iii) influence direct uptake or endosomal escape via 'proton sponge' effect. The introduction of disulfide based CPPs have become popular recently to control stimuli-responsive release in biological milieu, impart biodegradability and thereby reducing toxicity. Following this concept, a combination of amino acids, viz. arginine, histidine and cysteine, were molded into a CPP along with a hydrophobic stearyl moiety (Figure 4a).⁶¹ Cysteine served the purpose to crosslink the nanoparticle and introduce redox-responsive release of the luciferase-siRNA under in vitro and in vivo conditions. Disulfides had also been strategically incorporated into cyclical helical polypeptide, PPABLG-SH, to impart complex stability via crosslinking, specifically for small siRNAs where initial complexation had suffered due to rod-like conformationally rigid structure of the peptide.⁶² In another scenario, disulfide constrained L-isomers of cyclic amphiphatic peptides, Ac-C(FKFE)₂CG-NH₂ and Ac-C(WR)₄CG-NH₂, were found efficient in silencing TTF1 gene in A549 cells and mouse lung cells presumably due to reduction and proteolytic degradation of the peptides to effectively unpack siRNAs inside cytosol.⁶³ Inspired by the viral capsid stability via covalent crosslinking based on disulfides to protect the genomic material from harsh environment, a dendritic disulfide bridged lipopeptide containing arginine clusters and hydrophobic oleyl double-tails was designed mimicking the viral envelope.⁶⁴ The non-viral vector was shown to transfect better than conventional PEI (~380 fold) and efficacies at lower N/P ratios compared to analogous non-disulfide control lipopeptides (N/P 10 vs. N/P 40). Although CPPs are one of the oldest materials utilized in drug delivery for more than three decades,⁶⁵ disulfide-based approaches in CPPs are rather new. As disulfide bonds seem to hold multi-dimensional advantages in gene delivery imparting stimuli-responsiveness and presumably influencing cellular uptake and endosomal escape as well, integration of disulfide into CPPs hold promises and needs further exploration.

3d. Polymers.

Nanoparticles constructed with polymeric materials have two functional advantages, tunable physicochemical properties and robust structure, which inherently promise better control in nucleic acid encapsulation and stability in systemic circulation. In this class of materials, both synthetic and natural polymers have been widely used for nucleic acid complexation. While natural polymers represent biocompatible materials with satisfactory encapsulation stability, synthetic versions offer a large arena of customizable materials that suits the choice of applications and critical environmental factors. In this context, disulfide-based approaches in synthetic polymer domain bring in long-demanding biodegradability feature addressing the key safety concerns with the artificial polymeric materials. Several disulfide bridged redox-sensitive strategies have been explored with polymers (Figure 5) and are classified below based on their structures.

Polyethylenimine (PEI).—Considered as the gold-standard for nucleic acid transfection, various PEI polymers have been exploited with a range of molecular weight distributions and structural parameters. Although high MW and branched structure in PEI are considered for better efficacy, those also bring in cytotoxic effects in comparison to low MW or linear polymers.^{66–68} As much of the toxicity issues arise from charge-interactions with sub-cellular components, cellular processes and non-biodegradability of the PEI, a simple yet robust approach would be to incorporate disulfide-based PEI to introduce polyplex degradability under reducible cellular environment at a reasonable timescale (Figure 6a).^{69, 70} In an effort to introduce degradability, low MW PEI was reversibly crosslinked with two disulfide based crosslinker dithiobis(succinimidylpropionate) (DSP) and dimethyl-3,3'-dithiobispropionimidate.2HCl (DTBP) to achieve efficient transfection.⁷¹ Afterwards, dithiodipropionic acid or cystine crosslinked low MW (< 4.6 kDa) linear PEI was developed to reveal high transfection efficacy (~60%) along with high cellular survival (>90%) across a wide range of cell types.⁶⁸ A similar strategy to develop disulfide containing linear poly(ethylenimine sulfide) (l-PEIS) with variable amine densities also provided evidence of this nanoparticle design over gene delivery.⁷²

Earlier results showed that the sulfhydryl-activated pore-forming endosomolytic protein Listeriolysin O (LLO) attached with protamine via disulfide linkage could efficiently transfect pDNA in multiple cell lines.^{73, 74} Extending this to PEI-based systems, LLO-S-S-PEI construct was designed to reveal a comparable transfection of pDNA and better cell viability with low MW PEI (1.8 kDa) compared to the high MW variant (25 kDa PEI).⁷⁵ Moreover, peptide conjugated bio-reducible PEI derivatives were explored for targeted delivery to the brain. Mimicking the viral transfection method, rabies virus glycoprotein (RVG) peptide was attached to PEG-PEI polymer encapsulated with pDNA to cross the blood-brain-barrier targeting acetylcholine receptor (nAChR) of brain neuronal cells.⁷⁶ In an interesting study, branched thiolated PEI (25 kDa) was compared with disulfide crosslinked derivatives via reacting with DTT.⁷⁷ Optimized crosslinking was found to transfect DNA better presumably due to elevated interactions with cell membrane and efficient unpackaging of nucleic acids inside cytosol, whereas either low or high crosslinking degree under- or over-stabilize the polyplexes leading to diminished chances of success in gene delivery. In an attempt to temporally control the delivery of co-encapsulated siRNA (for P-gp) and

doxorubicin in a dual stimuli-responsive scaffold, a redox-sensitive C16-alkyl grafted PEI (C16-S-S-PEI) nanoparticle was assembled with hydrophobized light-sensitive doxorubicin and PLGA.⁷⁸ The optimized system demonstrated sequential release of the drugs to provide chemotherapeutic effect in multi-drug resistance phenomena. The drug delivery outcome can be ameliorated via synergistic effect of sequential dual therapeutics delivery. Recently, a study demonstrated that delivery of miRNA-21 to ovarian cancer cells through a redox-sensitive branched PEG2k-PEI polymer system could help eliminate the cis-platin resistance through upregulation of tumor suppressor PDCD4 and downregulation of apoptosis inhibitor c-IAP2.^{79, 80}

Polylysine (PLL) and poly(oligo-arginine).—Early examples of DNA transfection agents in PLL based systems with redox-sensitive moieties include PLL polymers with decorated surfaces by protective stimuli-responsive sheath of crosslinked disulfide bonds for ‘lateral stabilization’ as well as cytosolic delivery at high concentrations of glutathione (GSH).⁸¹ Recent advances in PEGylation strategies helped to ameliorate circulation half-life, obstruct protein opsonization, and maintain nanoparticle stability through repulsive interactions.^{82–84} Following this, a mPEG-S-S-PLL cationic construct was developed responsive to intracellular redox conditions to detach the mPEG unit for delivery of pDNA expressing luciferase gene.⁸⁵ Further developments of dual stimuli-based PLL systems involves mPEG-S-S-PLL-glutaraldehyde star cationic construct incorporated with acid labile imine and redox-sensitive disulfide units. The pH sensitive imine crosslinker in the star PLL architecture was hypothesized to undergo cleavage under acidic tumor or endosomal environment releasing the decrosslinked smaller mPEG-SS-PLL fragment to be processed by the reducing cytosolic environment to release pDNA cargoes for eGFP and luciferase gene expressions.⁸⁶

Other potential amino acid-based strategies involve poly(oligoarginine)s wherein the cell penetrating capability of these positively charged protein transduction domain (PTD) mimics had been explored for nucleic acid transport inside cells. Oligoarginines hydrophobized with cholesterol (Chol-R9) had been developed to deliver siRNA targeting VEGF protein.⁸⁷ To reduce toxicity of the system, disulfide reduction induced fragmentation was introduced through a cysteine based oligomeric structure, Cys-(D-R9)-Cys, to deliver pDNA for luciferase expression in mice lungs, siRNA for VEGF gene suppression under in vivo tumor model and pDNA of HO-1 gene in ischemia/reperfusion (I/R)-induced brain stroke animal models.^{88–90}

Poly(β -amino ester) (PAE).—Amongst hydrolytically degradable polymers, PAEs comprise a promising class of polymeric scaffold for nucleic acid encapsulation.⁹¹ To introduce flexibility of incorporating additional targeting functionality, a pyridyldithio moiety was incorporated in polymer side chain.⁹² This strategy had demonstrated integrin targeted delivery of pDNA.⁹³ In another scenario, disulfide moieties were incorporated in the PAE polymer backbone instead, showing redox-sensitive chain degradation as well as efficient pDNA transfection.⁹⁴ Another major purpose of incorporation of disulfide moieties is to provide a complementary yet faster degradation kinetics which was otherwise unachievable with PAEs undergoing slow hydrolysis kinetics.^{95–97} These dual-responsive

systems had been able to co-deliver either doxorubicin or methotrexate and pDNA targeting hepatomas.^{96, 98} PAE polymer was further engineered to introduce a dendritic structure aiming at better nucleic acid condensation and protection compared to the linear variations. A hyperbranched PAE was developed incorporating degradable disulfide units for gene transfection in adipose-derived stem cells and astrocytes with a transfection efficacy of 52–77% (Figure 6).⁹⁹ Furthermore, the strategy was tested for clinically suitability with minicircle DNA delivery to elicit neurite outgrowth from model cell line.

Poly(amido amine) (PAA).—The cationic nature of PAAs, originated from the tertiary amine linkages in the linear amide polymer backbone, had been employed to capture nucleic acids.^{100–102} Unlike poly(amino ester)s, the stability of PAAs under hydrolytic condition is governed by the stable amide bond relative to the ester. In an effort to introduce stimuli-sensitivity and bio-reducibility in the gene delivery vector, a series of PPAs were synthesized via Michael-addition of various primary amines with cystamine bis-acrylamide (Figure 6c).^{103, 104} The amine candidates containing histamine or hydrophobic side chains, e.g., amino butanol, amino pentanol and methoxypropylamine showed higher pH buffering capacity for efficient endosomal disruption and transfection efficacy in comparison to PEI.^{103, 105, 106} When this strategy was extended to investigate PAA polymers with oligoamine side chains with variable spacer lengths, aminoethylene incorporated polymers were found to perform better for pDNA transfection in COS-7 cells compared to aminopropylene polymers owing to the higher buffering capability of aminoethylenes.¹⁰⁷ In order to further improve, a library PAAs with 34 different amine side chains were chosen based on learnings from an earlier study with poly(amino ester)s.^{108, 109} When tested for pDNA transfection efficacy of BMP-2 genes in tonsil-derived mesenchymal stem cells, bis(3-aminopropyl)piperazine incorporated PAA showed effective nucleic acid condensation, improvements of osteogenic potentials and expressions of related genes.¹⁰⁹ Processing of internalized polyplexes inside cytosol through GSH mediated decrosslinking have direct consequences in genetic delivery. Levels of intracellular GSH can influence the rate and efficacy of this process. An interesting study reported complexation of four different nucleic acids, e.g., antisense oligonucleotide, siRNA, mRNA and pDNA with disulfide-bearing PAA containing 1-(2-aminoethyl)piperazine to study the effect of cellular GSH levels towards gene delivery.¹¹⁰ While reducible PAA transfect better compared to a non-reducible one in various pancreatic cancer cell lines with variable surface thiol and cellular GSH contents, only pDNA delivery was found to be improved with an increase in GSH levels in the cell lines.¹¹⁰ In addition, spatiotemporal control of nucleic acid delivery is also critical to avoid premature leakage of the therapeutic drug, specifically when utilizing disulfide based redox systems due to its general sensitivity to variable GSH concentrations. To control biodegradation kinetics under different GSH levels, increased steric crowding was introduced via incorporation of methyl groups adjacent to disulfide bonds in the PAA polymers.¹¹¹ While at low GSH concentration (0.001–0.01 mM) 2-methyl cystamine disulfide PAA variant remained stable, stimuli-responsive release was observed for higher concentration (1–10 mM), along with higher pDNA transfection efficacy with reduced toxicity. Amongst other approaches, utilization of hyperbranched PAA for targeted delivery of pDNA via hyaluronic acid decoration or EGFR siRNA delivery via iRGD peptide functionalization were also notable.^{112, 113}

Poly(disulfide).—Another class of redox-sensitive biodegradable material, exploited for nucleic acid encapsulation, is polydisulfides.^{25, 114} In an early example of poly(disulfide) synthesis for nucleic acid complexation, a solid phase reaction was utilized to first synthesize ionizable or cationic dithiol monomer which was subsequently polymerized oxidatively in DMSO.¹¹⁵ Both pDNA and siRNA for luciferase genes were successfully delivered with this molecular construct. In another effort, poly(cystaminebisacrylamide-diaminohexane) modified with arginine was reported to enhance cellular uptake and silence VEGF genes via siRNA delivery.¹¹⁶ In order to study the effect of side chain pendant cationic units, three polydisulfides were synthesized with diamino-ethane, -butane and -hexane groups.¹¹⁷ All of these structures showed efficient pH buffering capacity between pH 7.4–5.1, while the hexaethylene spacer performed 7-fold better in pDNA expression for luciferase gene compared to PEI 25 kDa polymer. As polydisulfides can be made to mimic polyarginines, it was hypothesized that these could also possess efficient cell-penetrating behavior to be utilized for therapeutic delivery directing into cytosol avoiding natural endosomal pathway.^{25, 118} For nucleic acid delivery, polydisulfide modified mesoporous silica nanoparticles were encapsulated with antagomir and small molecule inhibitors for miR-21 to demonstrate the cellular uptake and GSH-mediated controlled release manipulating gene expressions (Figure 7a).¹¹⁹ As co-delivery of small molecule drugs and biologics therapeutics have shown promising therapeutic outcome, a polydisulfide conjugated star polymer with β -cyclodextrin core was designed to encapsulate miRNA-203 and camptothecin drug (Figure 7b).¹²⁰ The polymer showed efficient cytosolic delivery of the therapeutics with synergistic reducing of cancer cell viability and silencing of surviving gene expression.

Amphiphilic random and block copolymers.—Self-assembly of polymeric materials has enabled to achieve difficult encapsulation goals.^{14, 121} Tunable architectures of polymeric materials have been utilized to perform several challenging and wide-spread applications, e.g., catalysis, tissue engineering, encapsulation and stabilization of actives and drug delivery.^{122–124} In the context of nucleic acid encapsulation, polymeric nanoparticles have widened the scope and opportunity to target nucleic acids with different structural features and sizes through numerous flexible design options. One of the interesting strategies in redox-responsive polyplex design involve ‘non-cationic’ encapsulation of nucleic acids. The logical approach for complexation of negatively charged nucleic acids involve designing cationic materials, which in turn promotes cytotoxicity owing to the interactions with proteins and sub-cellular components under in vivo conditions. A meaningful solution towards addressing this issue could be alteration or removal of cationic charge of the materials without hampering the encapsulation stability, which forms the inspiration for designing a N-methylated random copolymer of PEG and pyridyldisulfide (PDS) to encapsulate RNA.¹²⁵ A disulfide based crosslinking reaction was employed to shed-off the cationic moiety while stably holding the nucleic acid cargo.^{125, 126} This supramolecular approach demonstrated successful delivery of dsTuba1a RNA in sensitive mouse embryo cells with minimal toxicity. In order to boost encapsulation efficacy and biocompatibility, a reverse-emulsion type approach was envisaged with cationic N-methylated p(PDSMA-co-DodecylMA) random copolymer (Figure 8).¹⁴ The final nanoparticle decorated with zwitterionic lipids showed efficient delivery and silencing efficacy for eGFP, PLK1 and

MDR1 genes. Similar disulfide mediated delivery strategy was further explored for gene editing purposes via CRISPR-Cas9 delivery.¹²⁷

One of the interesting candidates in block copolymers for nucleic acid encapsulation is polyionic complex (PIC) micelles made out of PEG and poly(aspartamide) blocks having N-(2-aminoethyl)-2-aminoethyl groups (PEG-P[Asp-(DET)]), which undergoes pH dependent protonation to exert 'proton-sponge' effect overcoming the barriers of endosomal entrapment.¹²⁸ Although incorporation of PEG in original P[Asp-(DET)] polymer format enabled stability and longevity in systemic circulation, PEG palisade impeded gene transfection efficacy.¹²⁹ To overcome this issue, the polymer was incorporated with detachable PEG units via introduction of disulfide linker to form PEG-S-S-P[Asp(DET)] copolymer.¹²⁹ Even though luciferase gene expression with the disulfide-based system was slightly delayed in comparison to P[Asp-(DET)] due to the processing time of disulfide bonds inside cytosol, pDNA transfection efficacy was found to be comparable to PEI.

In an effort to universally deliver multiple nucleic acids like DNA, mRNA, and Cas9 RNP, a cationic block copolymer (P[Asp-AED-ICA]-PEG) was synthesized incorporating imidazole groups for helping endosomal escape and disulfide bonds for intracellular glutathione responsiveness.¹³⁰ To deliver CRISPR-Cas9 systems, this approach was further modified to generate cationic disulfide bridged p(BAC-TET)-based polymers crosslinked via adamantane- β -CD chemistry along with non-crosslinked version (Figure 9a).¹³¹ Both crosslinked and non-crosslinked versions revealed superior gene delivery along with genome-editing efficacy.

Combination therapy has the ability to circumvent many delivery barriers and increase the therapeutic window. In a recent approach, amphiphilic PEG-lipid decorated polyprodrug (polyHCPT, HPCT: 10-hydroxycamptothecin) nanoparticle was designed for nucleic acid encapsulation (Figure 9b).¹³² The polymeric nanoparticle was also surface decorated with lactobionic acid for selective targeting through asialoglycoprotein receptors and simultaneously deliver HPCT drug and siRNA for Bcl-2 protein silencing to induce apoptosis.

Natural polymers.—In order to impart high colloidal stability, low toxicity and targeting ability, a natural dextran-based polymer with similar benefits like PEG was chemically modified to incorporate disulfide linked 1,4-bis(3-aminopropyl)piperazine (BAP) groups conjugated with folate ligand.¹³³ This cationic dextran conjugate with 30 BAP residues not only showed high pDNA delivery efficacy even in serum containing media, they could also successfully target SKOV-3 tumors under in vivo conditions to express LacZ gene. Extending the portfolio of natural polymers for gene delivery, a stearic amine tagged chitosan-based nanoparticle equipped with disulfide linkages was designed to co-deliver paclitaxel and Bcl-2 siRNA.¹³⁴ Similarly, another redox-responsive cationic glycopolymer based on galactose, P(LAEMA-st-AEMA-st-BMAC), was synthesized to encapsulate siRNA for EGFR silencing in HeLa cells.¹³⁵

With a rich history of available chemistry and evolving strategy, polymeric materials represent one of the most promising as well as highly explored field for drug delivery

applications. With several encouraging results with disulfide containing polymers, it would be interesting to see successful clinical translations of these materials for nucleic acid delivery.

3e. Lipid-based nanoparticles.

Drug delivery systems based on lipids are well explored in the context of nucleic acid delivery in cellulo and in vivo due to their favorable biocompatible properties.^{136–139} As cell membranes are comprised of phospholipids, lipidic nanocarriers have a natural tendency to interact with the physiological membrane due to structural similarity to facilitate uptake of nucleic acids. Moreover, owing to their relatively lower molecular weight compared to many other conventionally used synthetic materials, lipid-based delivery vectors display a lower risk of undesirable immunogenic effects. Because of these factors lipid-based delivery systems are well accepted in clinical trials for nucleic acid based therapies.¹³⁶ However, one of the major issues encountered by these systems is related to the transfection efficacy of the nucleic acid therapeutics, which can be mainly attributed to the inefficient release of the encapsulated payload due to strong binding interactions between the nucleic acids and the nanocarrier even after internalization in cells. This, in turn, limits the available number of therapeutic molecules to achieve desired gene silencing efficiency. An attractive solution could be designing smart lipid-based delivery vehicles which can readily release the encapsulated payload in response to distinct intracellular stimulus such as redox potential.¹¹⁰

Liposomes, vesicular structures comprised of lipid molecules, have gained significant interest in the field of nucleic acid delivery because of the relatively modular and straight forward formulation procedures.^{136, 140} Developments in bioreducible liposomes have provided interesting examples for nucleic acid encapsulation wherein disulfide bonds are used as spacers to introduce rapid lipid degradation in reducing environment in the cytosol.¹⁴¹ Early reports discussed the development of disulfide containing lipids, 1', 2'-dioleoyl-sn-glycero-3'-succinyl-2-hydroxyethyl disulfide ornithine conjugate (DOGSDSO) or cholesteryl hemidithiodiglycolyl tris(aminoethyl)amine conjugate (CHDTAEA) for pDNA (pGL3-luciferase) delivery, revealing up to 50 times higher transgene expression compared to non-disulfide controls.^{142, 143} In another approach, a new redox sensitive liposome comprised of triazine-based disulfide-bridged *gemini* surfactant SS14 had been utilized alongside supporter lipids DOPE and DOPC for delivering pDNA (pEGFP-N1 and pCMV-GLuc).^{144, 145} Unsaturated dioleoyl groups of the *gemini* surfactant and phosphatidylethanolamine moiety of the DOPE lipid helped boost transfection efficacy. The study demonstrated a 3-fold higher gene transfection efficiency compared to the commercially available Lipofectamine 2000 for the DOPC/DOPE/SS14 formulation with ~50% (mol/mol) SS14 content. Similarly, another disulfide bridged H-shaped *gemini* like cationic lipid was synthesized for delivering GFP, EGFR and VEGF siRNA to investigate the gene silencing efficiency.^{146, 147} In comparing with non-disulfide containing cationic lipid controls, the study showed superior efficacy of the disulfide-bridged lipoplexes towards endosomal escape and gene silencing, which could be the result of cellular break-down and disassembly of the lipoplex to monomeric units leading to release of siRNA. To improve the stability of an antisense oligonucleotide, a thiol-modified phosphorylated 18mer oligodeoxyribonucleotide (ODN, G3139) was conjugated with DOPE to generate DOPE-S-

S-ODN, which was then encapsulated in a liposomal composition consisting DOTAP, PC and TPGS lipids.¹⁴⁸ The antisense effect of hydrophobized ODN in the prepared liposomes was evaluated by Bcl-2 gene down regulation in KB cells which showed a reduction of Bcl-2 protein by ~58% in comparison to ~64% efficiency for positive control oligofectamine.

In the context of biodegradable or bio-reducible lipids, a comprehensive understanding of the structure-property relationship towards improving gene delivery efficacy is highly desirable. In an interesting study, six bio-reducible lipidoids were synthesized via Michael addition of aliphatic amines with acrylates incorporating degradable disulfide bonds.¹⁴⁹ Control lipidoids were also designed by replacing the disulfide bond with C-C bond to recognize the effect of bio-reducibility. The lipoplex prepared with disulfide containing C16 alkyl chain bonded to N,N-dimethyl-1,3-propanediamine showed suppression of GFP and PLK1 gene expressions down to 28% and 25%, respectively, whereas non-bio-reducible lipidoids could not show discernable silencing. Furthermore, the combinatorial library of bio-reducible lipids was extended to include multiple primary and secondary amines serving as the lipidoid head group for the delivery of Cas9:sgRNA complex.¹⁵⁰ In this study, lipidoids with twelve different ionizable cationic headgroups were designed and screened for efficient delivery of negatively supercharged GFP-Cre and Cas9:sgRNA complexes in cells. With promising results in delivering active GFP-Cre to >90% cells via lipids containing 14, 16 or 18C tails, the efficiency of the designed lipids were further investigated to deliver Cas9:sgRNA complex into EGFP expressing cells. Results showed ~70% loss in EGFP expression using lipids with 14C hydrophobic tails and three distinct amine head groups. However, a key challenge in broadening the spectrum of CRISPR/Cas9-based genome editing techniques is unwanted off-target effects and mutagenesis. To address this problem, bio-reducible lipidoid nanoparticles had been utilized to efficiently administer Cas9 mRNA and sgRNA simultaneously into cells (Figure 10).¹⁵¹ Compared to Cas9 plasmid, the corresponding mRNA was found to be relatively safer option to minimize insertional mutagenesis.¹⁵¹⁻¹⁵³ First, the gene transfection efficiency of the prepared liposomes, containing disulfide-tagged lipids, cholesterol, DOPE, DSPE-PEG2000 and nucleic acids, was thoroughly screened using luciferase knock out to identify promising lipidoid candidate.¹⁵¹ The selected lipidoid containing disulfide showed significantly higher endosomal escape capability than the non-bio-reducible control group. Further studies revealed 40% GFP knockout within 24 h that increased up to 90% after 36 h of treatment. In vivo studies showed PCSK9 down regulation to 20% upon treatment with lipidoid nanoparticle with Cas9 mRNA/sgPCSK9 nucleic acids.

Lipid based systems represent a favorable candidate for drug delivery in pharmaceutical industry. Similarity in structure and property of lipids with their natural siblings have raised their chances of success in drug delivery applications. Although there are marketed nucleic acid drugs that utilize liposomal vector, disulfide-based approaches are yet to be rigorously explored in clinic.

3f. Hydrogel.

While many nucleic acid delivery agents are reported to have efficient encapsulation and circulation stability, those often fall short in robust targeting mechanism and require

multiple dosages. This reduces patient compliance and increases risk of cytotoxicity. A local biodegradable material like hydrogel that can deliver therapeutics through a controlled mechanism could aid in resolving these issues. The three-dimensional crosslinked network in hydrogel had served to host therapeutics ranging from small molecule drugs to biomacromolecules.^{154–157} In an effort to precisely control the physicochemical properties of hydrogels, a particle replication in nonwetting template (PRINT) technology was developed for encapsulation of siRNA through both electrostatic and covalent encapsulation methods.¹⁵⁸ To ensure redox-mediated release of the nucleic acid from PEG-modified cationic 2-aminoethyl methacrylate-based hydrogel, siRNA was covalently modified with a disulfide containing self-immolative linker before encapsulation into hydrogel matrix. While the transfection efficacies were comparable for all hydrogel systems, the disulfide-containing one showed significant luciferase gene silencing compared to non-disulfide and naked siRNA containing hydrogel systems. Another example of covalently attaching siRNA for controlling homogeneous distribution, release kinetics and minimization of toxicity from transfection agent include a dextran hydrogel system.¹⁵⁹ The thiol modified siRNA was attached to photo-crosslinkable mono(2-acryloyloxyethyl)succinate-modified dextran (DEX-MAES) hydrogel. The release of siRNA was facilitated by both hydrolysis and redox-triggered cleavage of disulfide bonds to silence GFP expression. Although there is a growing interest in hydrogel materials for biomacromolecules delivery, disulfide containing materials are only being explored recently. Combining unique physicochemical properties and redox-sensitive feature, hydrogels could offer many promising applications via nucleic acid delivery which might not be otherwise attainable conveniently.

3g. Inorganic nanoparticles.

Inorganic nanomaterials consisting gold, iron oxide, quantum dots, carbon and mesoporous silica have been well known for their unique physicochemical properties leading to promising applications in cellular imaging and therapeutics delivery.^{160–162} Although several approaches have shown successful delivery and cellular internalization of nucleic acids, the release of the encapsulated cargo from the packed vehicle presents a potential challenge in obtaining desired extent of gene transfection.¹⁶³ Extension of stimuli-responsive materials platform to inorganic nanoparticles has broadened the possible scope of therapeutics development. Continuing our discussion, designing redox-responsive nanomaterials has manifested encouraging results in the context of nucleic acid transfection and safety profile.^{161, 164, 165}

Au nanoparticles (AuNPs).—AuNPs have gained significant research interest in controlled delivery of genes due to the ease of synthesis, unique surface plasmon resonance and thermal ablation capabilities.^{166–169} To design a GSH-responsive gene delivery vehicle, cationic trimethylammonium-modified mixed monolayer protected gold clusters (MMPCs) have been synthesized for delivery of functional DNA.¹⁷⁰ Large differences of glutathione concentrations in extra- and intra-cellular spaces¹⁷¹ had been utilized to release the DNA from MMPC through the suggested charge based interactions, which was validated through ethidium bromide assay with a 37mer DNA in a dose-dependent manner. The GSH-mediated release of bound DNA showed transcription recovery T7 RNA polymerase. Inspired from these results, MMPCs with 2 nm Au core decorated with a tetra(ethylene

glycol)-containing cationic ligand and a Bodipy dye had been investigated for enhanced cellular uptake and GSH-sensitive release of the Bodipy dye.¹⁵ As the Au-core served as a quencher, dye fluorescence remained quenched immediately upon cellular internalization, after which de-quenching started within several hours of post-processing via cellular GSH. A similar concept was tested in a subsequent study, wherein amino acid functionalized AuNPs were designed in order to obtain an enhanced gene transfection efficiency by tuning the surface charge on the nanoparticles.¹⁷² DNA delivery via the lysine dendron modified AuNP resulted ~28 times superior activity of expressed reporter β -gal gene compared to polylysine transfection agent with no apparent cytotoxicity.

Though redox-responsive AuNPs have garnered significant amount of success as powerful gene carriers, the transfection efficiencies of those suffer from lack of specificity to target cells. Simple introduction of oligoarginine moieties can greatly enhance the cellular uptake of genes both in cancer and normal cells, causing undesired off-target effects and wastage of therapeutic genes.¹⁷³ Therefore, in an elegant approach bio-reducible AuNP-pDNA complex was coated with TAT polypeptide (pTAT) and hyaluronic acid for targeted gene delivery.¹⁷⁴ Notably, pTAT had been used for its excellent efficiency in cellular uptake and hyaluronic acid (HA), a ligand of CD44 receptors, had been coated to AuNP to achieve cell specific targeting. The delivered nanoplexes showed significantly enhanced transfection efficiency and luciferase (by pGL3 pDNA) expression after incubation with hydrophobic cell penetrating glutathione analogue GSH-OEt, which suggested the influence of intracellular GSH over gene delivery efficacy. In another study, EGFR targeting peptide GE11¹⁷⁵ and cell penetrating octaarginine (R8)¹⁷⁶ peptide were conjugated onto AuNPs through Au-S bonds enabling redox-mediated cleavage and cargo release (Figure 11a).¹⁷⁷ The gene transfection efficiency of prepared nanoplexes was monitored for pDNAs (pGL3 and pGFP) encoding luciferase and GFP genes, which revealed synergism of GE11 and R8 in transfection. Further, in vivo studies for shEGFR delivery via the nanoplexes also showed promising anti-tumor activity. With an aim to target nucleic acid delivery in solid tumor, a unimer polyion complex (uPIC) nanostructure with tunable size was designed via coating AuNP with charged matched PEG-PLL/siRNA complex.¹⁷⁸ The study investigated luciferase gene silencing using both in vitro and in vivo models.

Mesoporous silica nanoparticles (MSNs).—High surface area, control on morphology, pore size distribution and available chemistries for surface functionalization have made MSNs as one of the highly researched drug carriers, including nucleic acids.^{179–181} Given the fact that many drug carriers have serious side effects, controlled delivery systems that enable stimulated release of cargo are getting popular. In this context, redox-responsive MSNs modified with suitable functionalities for stimulated release of nucleic acid drugs are quite interesting. In a recent study, multilayered nanocomplexes (MLNs) were designed consisting TAT-MSN as inner cationic core with anionic poly(allylamine hydrochloride)-citraconic anhydride (PAH-Cit) and cationic galactose modified trimethyl chitosan (GTC) sequentially coated on top of it.¹⁸² The nanoparticle was utilized for encapsulation of DOX and siVEGF was complexed with the surface cationic charges. Reductive cleavage of the GTC layer was hypothesized for the liberation of siRNA inside cells. Dual delivery of DOX and siVEGF resulted ~84% gene

silencing in vitro and potent anti-tumor activity in vivo. In another study, PEI immobilized MSNs were further crosslinked with redox responsive dithiobis(succinimidyl propionate) (DSP) for delivering siVEGF.¹⁸³ In this design, short chain PEI was utilized as a capping agent after loading siRNA onto MSNs and crosslinked to secure the nanoparticle from cargo leakage. In vitro studies showed a significant downregulation of protein expression by ~75% compared to ~40% with non-crosslinked MSN-siRNA/PEI nanocomposites. The results were further evaluated by monitoring the VEGF protein and mRNA levels in the treated cells, which showed a significant inhibition of both by ~46% and ~55% respectively.

Controlled release of drugs associated with degradation of delivery vector serves as an encouraging lead towards development of biodegradable carriers with reduced toxicity.¹⁸⁴ Similarly, to realize simultaneous release of drugs and nucleic acid, a self-destructive MSN nanocarrier was designed using a one-pot method for encapsulation of DOX and pDNA (Figure 11b).¹⁸⁵ In vitro gene transfection studies via luciferase assay revealed increasing transfection efficiency with increasing N/P ratio of the nanocomplex, which was attributed to the increased pDNA condensation. Encouraged by the promising results of in vitro studies these systems were further evaluated by in vivo studies. Experiments on c6 glioma in vivo model substantiated an efficient and simultaneous delivery of DOX and P53 to achieve better anti-tumor efficacy. Similar synergistic co-delivery approaches include chitosan modified MSNs (MSN-SS-CP) or PDS-MSNs as redox responsive nanocarriers for simultaneous delivery of DOX-P53 gene or DOX-Bcl-2 siRNA into cells inducing apoptosis.^{186, 187}

Nanoparticle based delivery systems often experience undesired off target effects attributed to lack of specificity and targeting ability.^{188, 189} Addressing this AS1411 aptamer, known to strongly bind to cell surface nucleolin and inhibit anti apoptotic pathway in cancer cell via blocking of NF- κ B signaling, was utilized in conjugation with gelatin-siloxane nanogels (GS-NGs) to deliver luciferase targeting siRNA sensitized by redox-environment selectively in A549 and 3T3 fibroblast cells.¹⁹⁰ The study showed enhanced uptake of AS1411 conjugated nanogels compared to the control non-targeted nanogel.

Miscellaneous redox-responsive inorganic nanoparticles.—Apart from Au and silica, various other inorganic nanoparticles modified with redox responsive functionalities for triggered release of nucleic acids have been designed and studied. One of such studies discussed the design of disulfide modified single walled carbon nanotubes (SWNTs) and their application as a potential gene delivery vector.¹⁹¹ The functionalized nanotubes were prepared by stably suspending short SWNTs in aqueous medium with the aid of surface adsorbed PEG-functionalized phospholipids via hydrophobic and van der Waals forces. Thiol modified biomolecules were incorporated into SWNTs via a heterobifunctional crosslinker containing disulfide. Studies with cy3-labeled DTT showed rapid release of fluorescently labeled DNA upon reduction of the disulfide bonds, while excellent gene silencing efficiency with siRNA encoding lamin A/C protein was observed upon delivery through SWNTs. Similarly, PEI conjugates of SWNT bearing disulfides were found to show higher transfection efficacy of luciferase reporter gene compare to only PEI.¹⁹² The study with 15 different vectors based on conjugation of SWNT and PEI through different spacers concluded the cysteine linker modified PEI (1.8 kDa)-SWNT conjugate was the most efficient in gene transfection showing >800 folds increase compared to PEI 25 kDa.

Apart from SWNT, carbon dots (CDs) have also gained significant interest in imaging and therapeutic platforms due to their biocompatibility, uniform size distribution and impressive quantum yield.^{193, 194} However, unlike SWNT, reports on redox-responsive carbon nanodots as gene carriers are very limited. In a recent study, multi-functionalized CDs had been designed to efficiently deliver therapeutic siRNA to non-small cell lung cancer cells.¹⁹⁵ In this study, the CDs prepared by microwave pyrolysis of glycerol and PEI were treated with a disulfide containing crosslinker for imparting redox-sensitivity, which was further decorated with folic acids for targeting purposes. In vitro studies substantiated efficient release of two complexed siRNAs (cyclin B1 and EGFR) in a reductive environment resulting in reduction of H460 lung cancer cell viability up to ~30% in comparison to only ~60% while using single siRNA, revealing a combinatorial synergistic effect in play. Studies in mice model showed a dramatic decrease in tumor size as well as ~50% reduction in luciferase expression in tumor cells lasting for around 2 weeks.

Besides carbon nanotubes, iron oxide nanoparticles (ION) are also considered as gene carriers for its biocompatibility, degradability and unique magnetic properties.¹⁹⁶ In a recent study, IONs coated with redox responsive linker modified PEI (IONs@rPEI) were designed for high gene transfection efficiency with low cytotoxicity and also as a MRI contrast agent.¹⁹⁷ In vitro studies with the prepared nanocomposite material having pGL3 DNA showed significantly higher transfection efficiency compare to PEI (600 Da). This difference can be attributed to efficient redox responsive DNA release from IONs@rPEI nanocomposites, while higher polymer to DNA ratio in control PEI polymer-based systems retard the DNA release due to persistent electrostatic interactions.

Unlike MSNs, usage of silicon nanowires (siNW) in nucleic acid encapsulation is rather limited. In the context of redox sensitive systems, a PEI-modified renewable siNW surface with disulfides were introduced for encapsulation and delivery of pDNA.¹⁹⁸ The strategy not only showed recyclability and disulfide mediated delivery, but also proposed a different non-lysosomal delivery pathway.

Robust structural features and suitable functionalization methodologies have made inorganic nanomaterials stand out of other nucleic acid delivery platforms. Though disulfide mediated nucleic acid delivery has shown attractive opportunity, the overall degradability of the materials remains as a challenge that need rigorous attention to be able to move-forward these approaches further in clinical trials.

3h. Nucleic acid-based carriers.

DNA nanostructures are made up of strands of nucleic acids linked together and folded into shapes.¹⁹⁹ Moreover, DNA can be used to not only form linear structures but also to create junctions linked together by using sticky strands.²⁰⁰ This is now thought to be a plausible method for drug delivery due to their high degree of biocompatibility.²⁰¹ DNA origami methods have enabled the community to make DNA nanostructures with void pockets for possible drug loading capabilities. However, DNA-modified delivery agents are often tedious to achieve without any established protocol for controlled release of encapsulated drugs. Incorporation of disulfide as a responsive unit could be beneficial for triggered release of nucleic acid drugs from these nanostructures. To this end, a DNA nanohydrogel Y-gel-Apt

was constructed with three nucleic acid monomers, each connected via disulfide bonds to enable GSH-mediated degradation (Figure 12a).²⁰² With the help of a S6 aptamer tagged with the nanostructure, the system was shown to be selectively internalized into A549 cells, instead of control untargeted HeLa cells. To check gene delivery efficacy, an antisense oligonucleotide for *c-raf-1* mRNA and a DNzyme targeting MMP-9 were conjugated into the DNA nanogel structure. In effect, the Y-gel-Apt system showed reduction in cell proliferation and silencing of target mRNA for MMP-9 protein only in A549 cells.

Non-cationic approaches for nucleic acid delivery are attractive as they promise attenuation of cytotoxicity from cationic charge of the carrier. Conceptualizing this idea, an siRNAsome was designed consisting of hydrophilic siRNAs linked via disulfide bonds to poly(N-isopropylacrylamide) (pNIPAM) polymer to create a core-shell type structure.²⁰³ Understandably, the median shell was sensitive to redox-environment and temperature. The hydrophilic aqueous interior and hydrophobic median layer enabled the vesicular structure to simultaneously encapsulate both hydrophobic drug doxorubicin and hydrophilic derivative doxorubicin hydrochloride (Dox-HCl) and bovine serum albumin. The construct was also reported to deliver Pgp-siRNA for silencing Pgp mRNA in multi drug resistant (MDR) cells. Similar to this co-delivery strategy of multiple-drugs through same biodegradable nanoparticle, another nanocarrier was developed for nucleic acid delivery relying on DNA-origami derived delivery vector (Figure 12b).²⁰⁴ An aptamer functionalized antisense oligonucleotide construct encapsulated with doxorubicin (Apt-Dox-origami-ASO) was prepared for controlled release of hydrophobic drug and ASOs in response to GSH. Two different ASOs targeting Bcl2 and P-gp genes were delivered in MDR cancer cell lines to achieve therapeutic benefit. Another instance of utilizing triangular DNA-nanostructures for treating MDR was reported to develop a disulfide-responsive system targeting both P-gp and surviving genes through RNAi (Figure 12c).²⁰⁵ The siRNAs and DOX were delivered through this engineered origami structure attached with a targeting MUC1 aptamer to achieve gene silencing in vitro and in vivo. DNA-origami can be utilized for plug-and-play approach to assimilate multifunctional components to generate a better drug carrier. Similarly, a double-bundle DNA tetrahedron structure was designed conjugating ASOs targeting proto-oncogene *c-raf* via disulfide linkages and nuclear localization sequence (NLS)-tagged DNA.²⁰⁶ The nanostructure revealed inhibition of cellular proliferation affecting viability through silencing *c-raf* gene expression.

Apart from these examples, other redox-responsive nucleic acid-derived nanocarriers are developed, but those are yet to be explored for nucleic acid drug delivery applications. Some of the interesting cases are discussed in brief. Inspired by the natural process of disulfide crosslinking to protect folded proteins, DNA strands were reversibly connected together through disulfide bridges to make a stable structure under denaturing conditions.²⁰⁷ As the structures are responsive to reducing conditions in the cytosol, those were proposed to be utilized as redox-responsive drug carriers. Similarly, reversibly crosslinked DNA nanostructures were prepared using disulfides between 2'-deoxyuridine or 2'-deoxycytidine.²⁰⁸ Out of four different motifs, the one containing eight helices form a tube-like channel that could be used for future applications in drug delivery. A pre-requisite for an efficient drug delivery vehicle is to incorporate a smart release mechanism of the loaded therapeutic. Based on this, DNA nanostructures were designed that could be

formed and deformed with kinetic redox control via disulfide bonds.²⁰⁹ Another switchable DNA self-assembly was created via cystamine and cysteamine interconversion.²¹⁰ The assembly and disassembly processes were controlled by the addition of peroxide and GSH, respectively which could be used as a stimuli-responsive cargo delivery system. Creation of a disulfide-containing molecular sticker (DSMS) on a DNA nanostructure was reported to aid in cellular uptake process.²¹¹ DSMS was attached to the DNA nanostructures through salt bridges formed by the cationic guanidinium groups and the disulfide-containing tail helped in direct delivery to cells.

Nucleic acid-based nanostructures are one of the youngest candidates in nucleic acid delivery field. So, there is still a lot to learn about these materials in terms of toxicity, degradability, stability in circulation, half-life or renal clearance time, biodistribution pattern, and cargo release kinetics. Though incorporation of disulfide units has answered some of the questions raised above, the potential of this delivery platform is yet to be fully unfolded.

4. Conclusions and future directions

Biodegradability has become one of the key requirements in today's carrier-based nucleic acid delivery approaches to mitigate nanotoxicity concerns. Many outstanding research efforts have been devoted creating drug delivery platforms to comply with the ever-rising demands of the clinical trials. No surprise that the nuanced balance between the gene delivery efficacy and cytotoxicity is still difficult to achieve. In this context, stimuli-triggered materials that can respond towards subtle changes in environmental conditions are one of the most promising approaches to develop smart nano-carriers for systemic drug delivery. Disulfide based systems are particularly promising due to the stark differences in redox-potentials inside and outside cellular spaces. This specific criterion has been lucrative enough to develop numerous drug delivery strategies as discussed in this review. Though other stimuli like pH, temperature, light, enzyme and ROS are also promising, disulfide-based systems are probably the most appealing ones due to its simplicity, ease of functionalization and general applicability of the systems amid most in vivo conditions. Moreover, disulfide bond not only introduces biodegradability and provides a mechanism of drug release, but the functionality could also influence amphiphilicity and polarizability during nucleic acid condensation,^{212, 213} favorably manipulate the pK_a of the tertiary amines when present at the β -position,²¹² and contribute to cellular uptake and endosomal escape mechanisms.^{214, 215}

Recent literature on disulfide-based systems showed both direct cellular uptake and endocytosis pathway for trafficking therapeutics.^{216, 217} However, the precise role of disulfide in cellular internalization processes is yet to be fully unraveled by designing proper control systems. Also, limited studies have been performed on redox-sensitive strategies for mRNA and miRNA mediated gene therapy. In the context of endocytosis pathway, escape from the endosome is one of the prerequisites for designing any drug delivery agent. A rather unconventional way to address this challenge is the utilization of fusogenic delivery aids to either surpass the endocytic uptake or help evade endosome via fusion with cell and endosomal membranes, respectively. These fusogenic materials, e.g., fusogenic lipids

(DOPE, DMPC and DOTAP) or fusogenic peptide (KALA), not only directed cytosolic trafficking, those also helped overcome the structural limitations of liposomal systems when coated on rigid delivery vectors like polymers or inorganic nanomaterials.^{14, 218–222} As fusogenic delivery platforms have shown improvement of efficacy for nucleic acid carriers, it might be an interesting strategy to couple these materials with redox-sensitive approaches to further enhance therapeutic efficacy.

Though nucleic acid therapeutics are still relatively new compared to other small molecule therapeutics, recent approvals of Onpattro, Tegsedi, Givlaari and Vyondys 53 are encouraging.^{223–225} Even though the field has accomplished this feat, improvements are necessary to reach their full potential as therapeutics. Currently, the methods of nucleic acid delivery in clinics primarily comprise of lipid nanoparticles, conjugation systems like GalNAc conjugates, and a few polymeric systems based on PEG or PLGA polymers. Also, in order to reach the point of clinical evaluations, suitable *in vivo* models need to be established for each specific disease. Few promising technologies, like LODER (Local Drug EluteR) and KRAS-LODER, utilize biodegradable PLGA polymer to deliver siRNA slowly over months.^{226, 227} Lipid nanoparticles consisting ionizable lipids were used in potential therapeutics to deliver mRNAs for prophylactic (including SARS-CoV-2) and viral (Zika and Chikungunya) vaccines, cancer treatments, autoimmune and heart diseases.^{228, 229}

Based on the recent developments, the progress in nucleic acid therapeutics is quite encouraging. However, going by the substantial unexplored pathways, it would be worthwhile to extend and explore existing disulfide-based redox sensitive strategies to various nucleic acid therapeutic candidates. With the lack of sufficient translatable technologies and historically poor clinical success rates in the nucleic acid delivery, the simple yet powerful disulfide bridging strategies could help to keep and deliver promises made.

Acknowledgements

We are thankful for the support from the U.S. Army Research Office (W911NF-15-1-0568) and partial support from the National Institutes of Health (GM-136395).

Abbreviations:

PAMAM

poly(amidoamine)

PLL

poly(L-lysine)

PLL-PEG-PLL

poly(L-lysine)-poly(ethylene glycol)- poly(L-lysine)

PLL-pLac-PLL

(pLac:poly(L-lactide))

PEI

polyethyleneimine

DSP

3,3'-dithiodipropionic acid-di(N-succinimidyl ester)

PPABLG-SH

poly(γ -(4-((2-(piperidin-1-yl)ethyl)aminomethyl)benzyl)-L-glutamate)-r-poly(γ -(4-((2-mercaptoethyl)aminomethyl)benzyl)-L-glutamate)

TTF-1

thyroid transcription factor-1

LLO

listeriolysin O

eGFP

enhanced green fluorescence protein

PAA

poly(amido amine)

GSH

glutathione

miR

microRNA

VEGF

vascular endothelial growth factor

PTD

protein transduction domain

BAP

1,4-bis(3-aminopropyl)piperazine

HO-1

heme oxygenase-1

P(Asp-AED-ICA)-PEG

poly(aspartic acid-(2-aminoethyl disulfide)-(4-imidazolecarboxylic acid))-poly(ethylene glycol)

p(BAC-TET)

poly(N,N'-bis(acryloyl)cystamine-co-triethylenetetramine)

P(LAEMA-st-AEMA-st-BMAC)

poly(2-lactobionamidoethylmethacrylamide-st-2-aminoethylmethacrylamide-st-N,N'-bis(methacryloyl)cystamine)

PLGA

poly (lactic-co-glycolic) acid

HPCT

10-hydroxycamptothecin

PDS

pyridyldisulfide

PLK1

polo-like kinase-1

MDR1

multidrug resistant-1

NAG

n-acetylgalactosamine

apoB

apolipoprotein B

ppara

peroxisome proliferator-activated receptor alpha

DSP

dithiobis(succinimidylpropionate)

DTBP

dimethyl-3,3'-dithiobispropionimidate.2HCl

RVG

rabies virus glycoprotein (RVG)

nAChR

acetylcholine receptor

DOGSDSO

1', 2'-dioleoyl-sn-glycero-3'-succinyl-2-hydroxyethyl disulfide ornithine

CHDTAEA

cholesteryl hemidithiodiglycolyl tris(aminoethyl)amine conjugate

DOPE

1,2-dioleoyl-sn-glycero-3-phosphoethanolamine

DOPC

1,2-dioleoyl-sn-glycero-3-phosphocholine

GLuc

gaussia luciferase

ODN

oligodeoxyribonucleotide

DOTAP

1,2-dioleoyl-3-trimethylammoniumpropane

PC

phosphatidylcholine/ α -tocopheryl polyethylene glycol 1000 succinate

CRISPR/Cas9

clustered regularly interspaced short palindromic repeat associated protein 9

Dox·HCl

doxorubicin hydrochloride

pNIPAM

poly(N-isopropylacrylamide)

P-gp

P-glycoprotein

Bcl2

B-cell lymphoma 2

DSMS

disulfide-containing molecular sticker

TPP

triphenylphosphonium

MMPC

monolayer protected gold clusters

uPIC

unimer polyion complex

PEG-PLL

poly(ethylene glycol)-b-poly(L-lysine)

MLNs

multilayered nanocomplexes

PAH-Cit

poly(allylamine hydrochloride)-citraconic anhydride

GTC

galactose modified trimethyl chitosan

DSP

dithiobis(succinimidyl propionate)

SWNTs

single walled carbon nanotubes

ION

iron oxide nanoparticles

CD

carbon dots

DMPC

1,2-dimyristoyl-sn-glycero-3-phosphocholine

LODER

Local Drug EluteR

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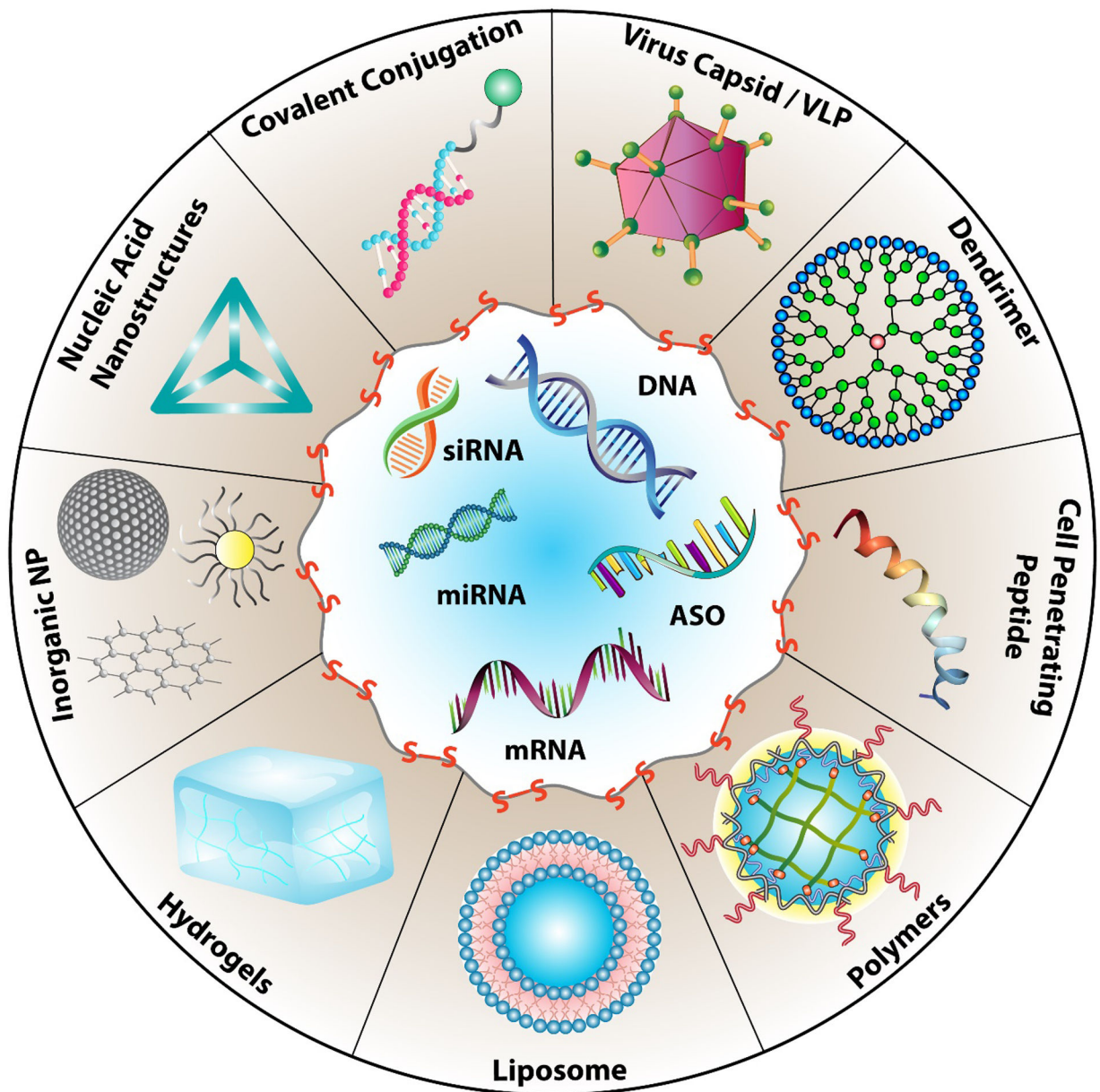
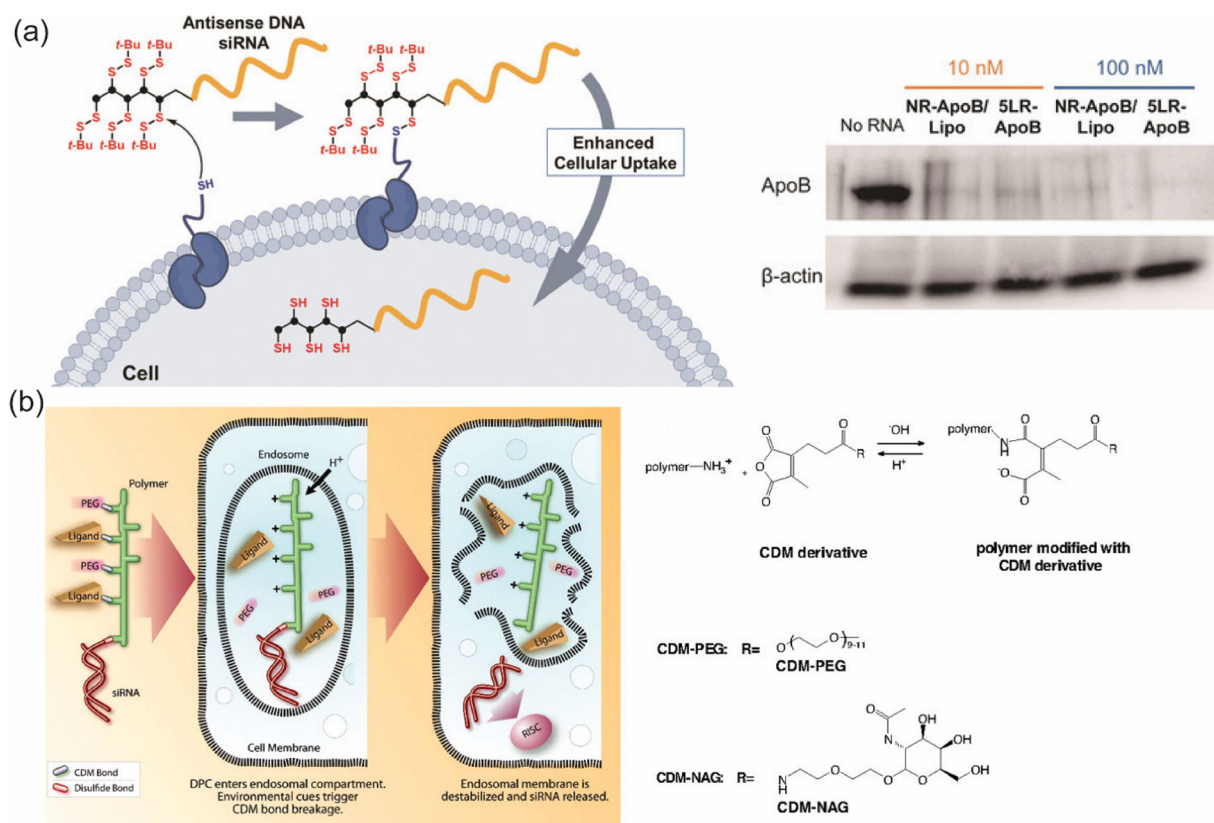


Figure 1. Schematic for available drug delivery materials that rely on disulfide-bridges to enable nucleic acid delivery.

**Figure 2.**

(a) *left:* Ultrafast delivery of nucleic acids via disulfide conjugation to antisense DNA or siRNA; *right:* Western blot showing knockdown of ApoB gene via delivery of siRNA.

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(b) Design of 'dynamic polyconjugate' construct for delivery of siRNA into hepatocytes; siRNA is conjugated to the polymer via disulfide linkage with a conjugation efficiency of ~70–90%. Reprinted with permission from reference 30. Copyright 2007 The National Academy of Sciences of the USA.

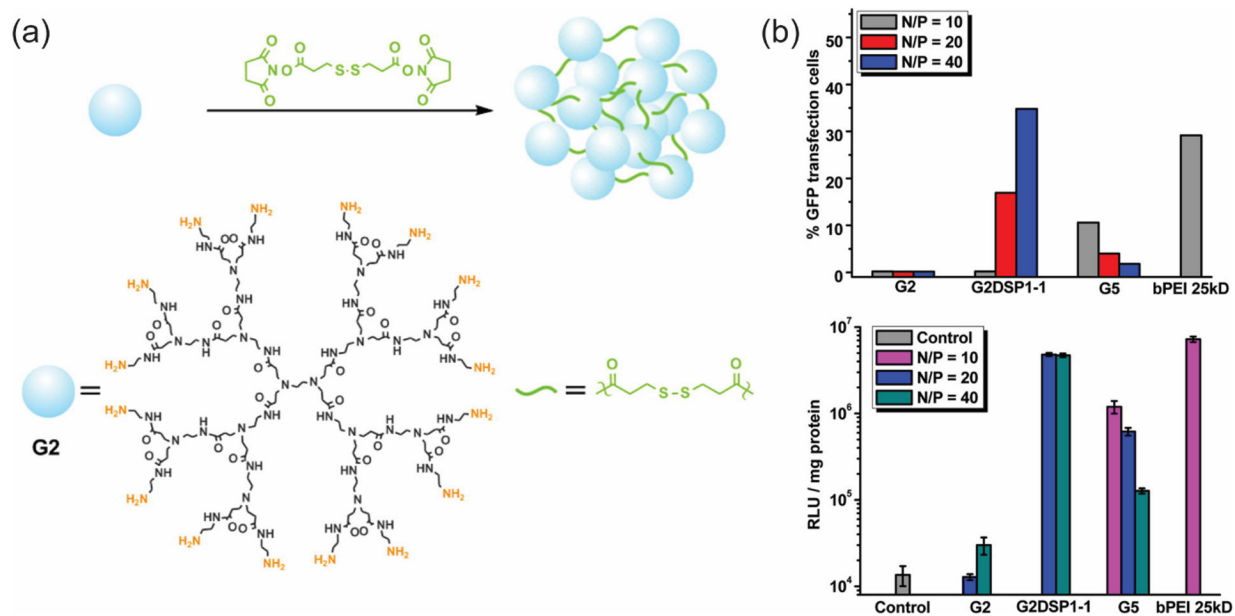


Figure 3.

(a) Strategy for disulfide-mediated crosslinked G2DSP dendrimer for pDNA complexation; (b) Comparison of eGFP (top) and luciferase (bottom) gene expressions with G2DSP PAMAM dendrimers and controls including G2, G5 dendrimers and branched PEI (25 kDa). Reprinted with permission from reference 55. Copyright 2012 American Chemical Society.

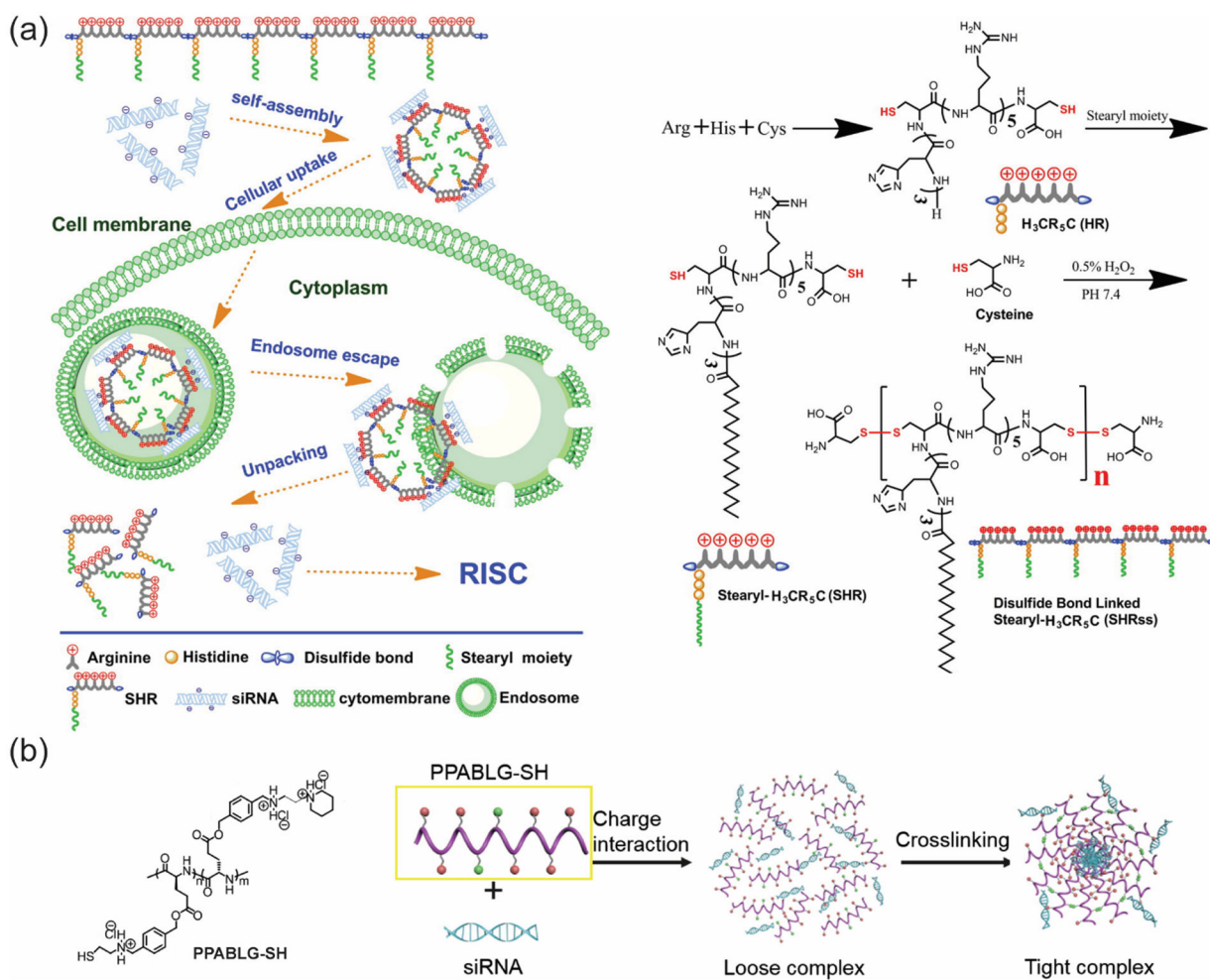
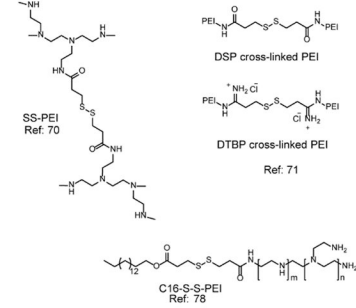


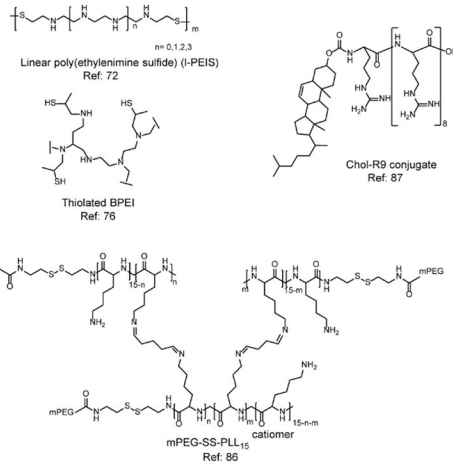
Figure 4.

(a) Design and synthesis for disulfide-crosslinked stearylated peptide conjugates for siRNA delivery. Reprinted with permission from reference 61. Copyright 2015 American Chemical Society. (b) Redox-responsive thiolated helical polypeptide for siRNA condensation. Reprinted with permission from reference 62. Copyright 2015 Elsevier.

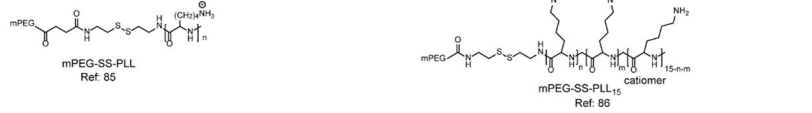
PEI polymers



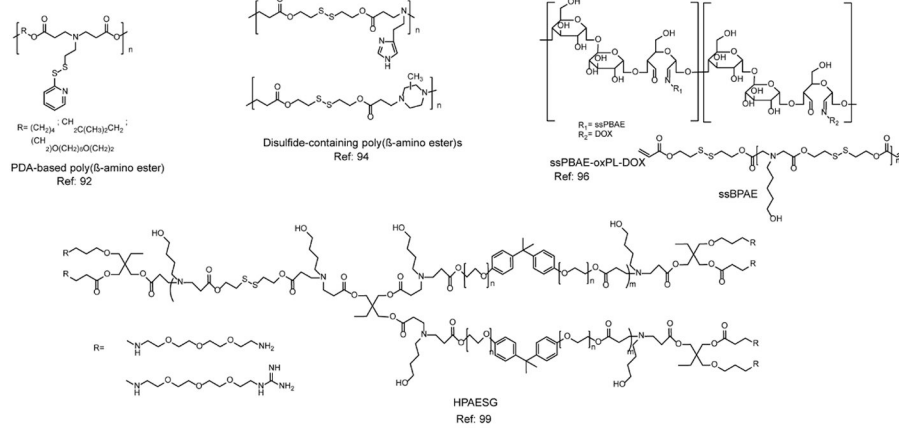
Poly(oligo arginine) polymers



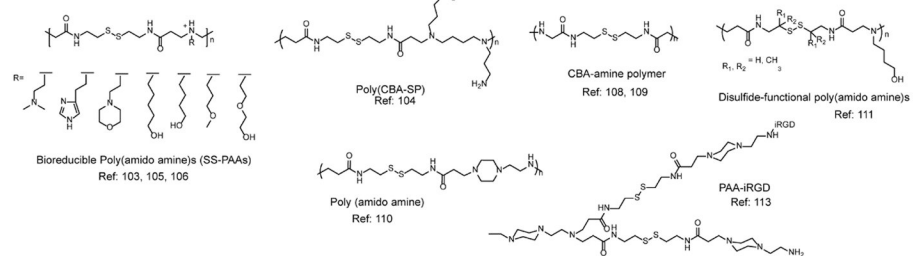
PLL polymers



PAE polymers



PAA polymers



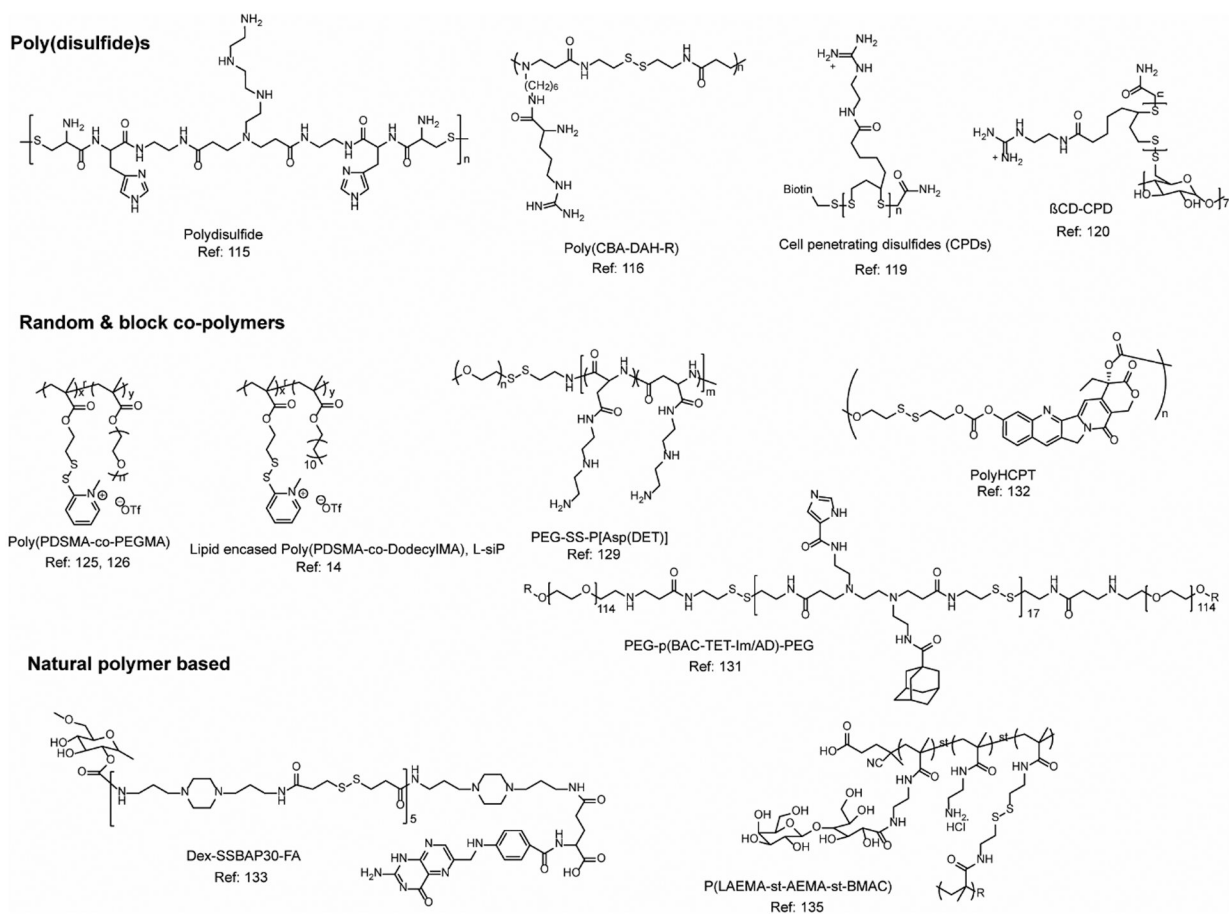
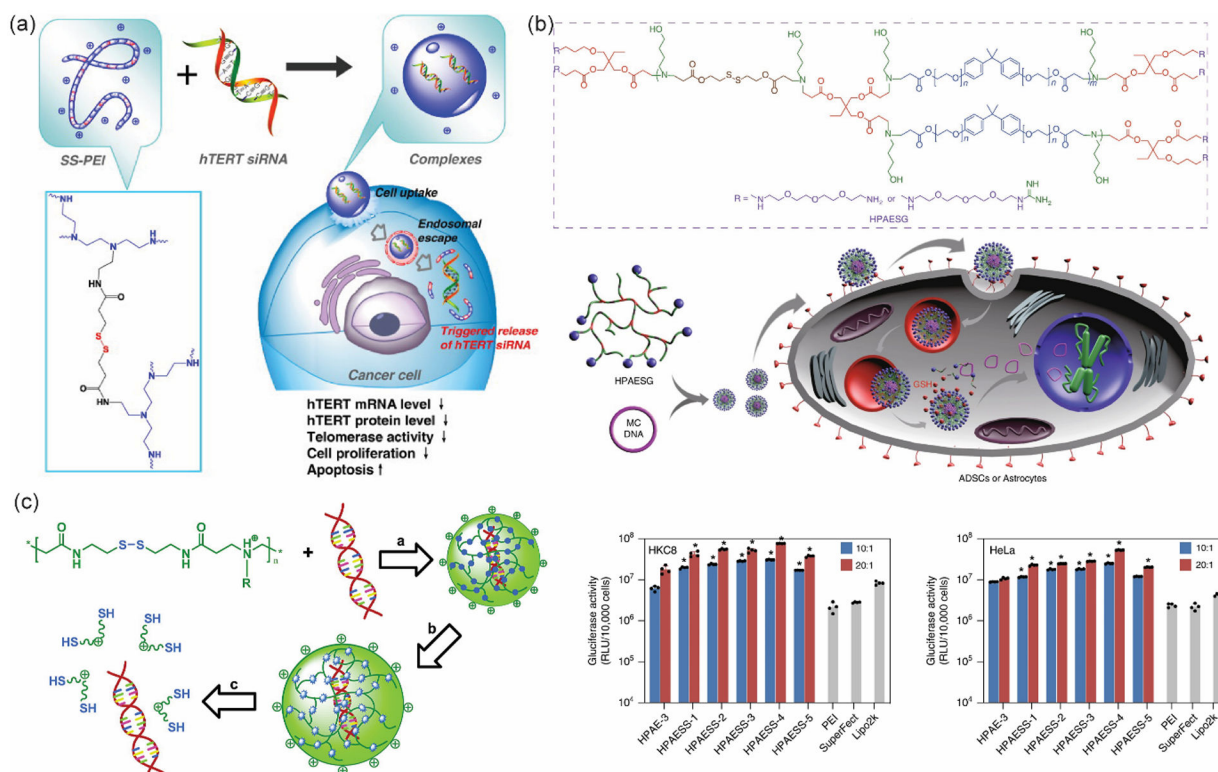


Figure 5. Chemical structures of key disulfide bridged polymers, discussed in this review, for complexation and delivery of nucleic acids.

**Figure 6.**

(a) Bioreducible PEI for delivery of hTERT siRNA. Reprinted with permission from reference 70. Copyright 2011 Elsevier. (b) *top*: Structure of hyperbranched PAE and its delivery of minicircle DNA into stem cells and astrocytes; *bottom*: higher transfection of Gluciferase gene was observed for disulfide containing PAE nanocomplexes in HKC8 and HeLa cell lines. Reprinted with permission from reference 99. Copyright 2019 Nature Publications. (c) Condensation of DNA with PAA and intracellular release via cleavage of disulfide bonds. Reprinted with permission from reference 103. Copyright 2007 American Chemical Society.

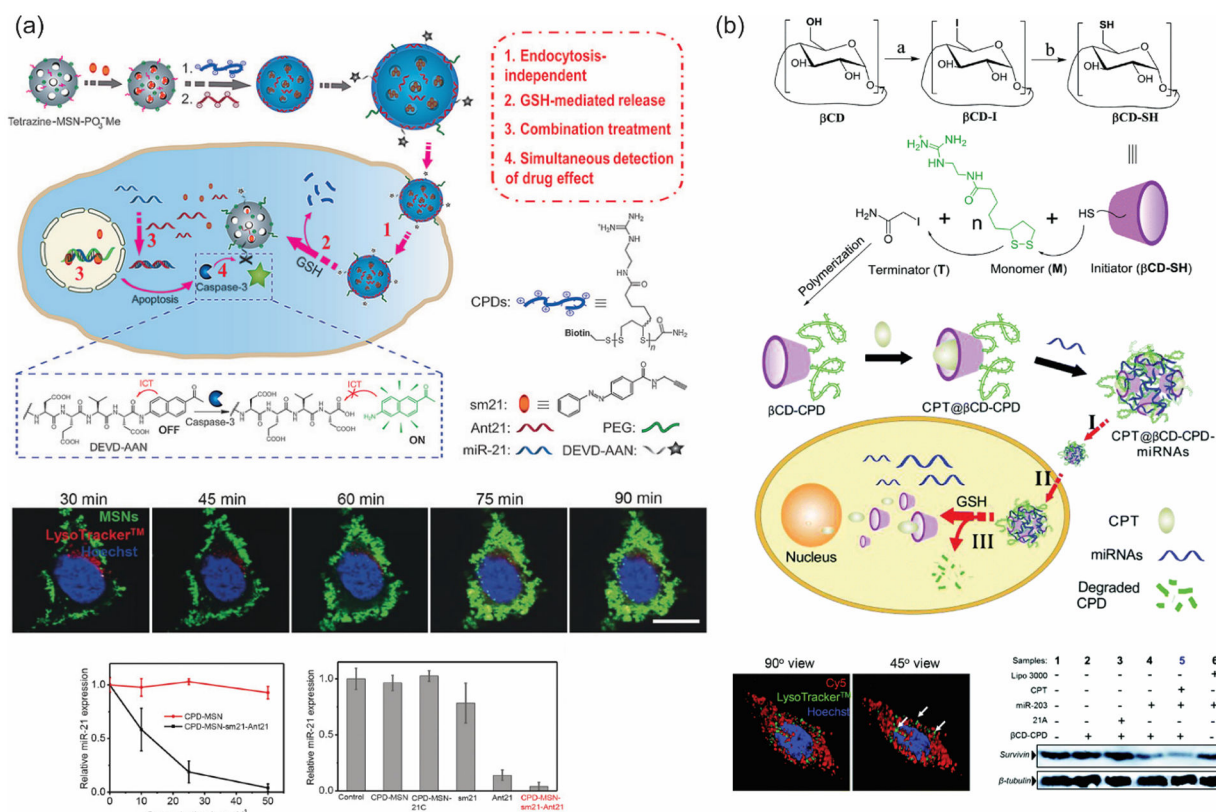


Figure 7.

(a) *top to bottom*: Strategy showing cell penetrating poly(disulfide) assisted delivery of miRNA for gene regulation; time-dependent efficient cellular uptake in HeLa cells; and qPCR analysis of miR-21 expression levels after treatment with nanoparticles. Reprinted with permission from reference 119. Copyright 2016 Wiley-VCH Verlag GmbH & Co.

(b) *top to bottom*: β -cyclodextrin decorated poly(disulfide) nanoparticle for codelivery of miRNA and camptothecin drug; efficient cytosolic distribution of miR-203^{cy5} and western blot analysis for inhibition of survivin protein expression. Reprinted with permission from reference 120. Copyright 2017 Royal Society of Chemistry.

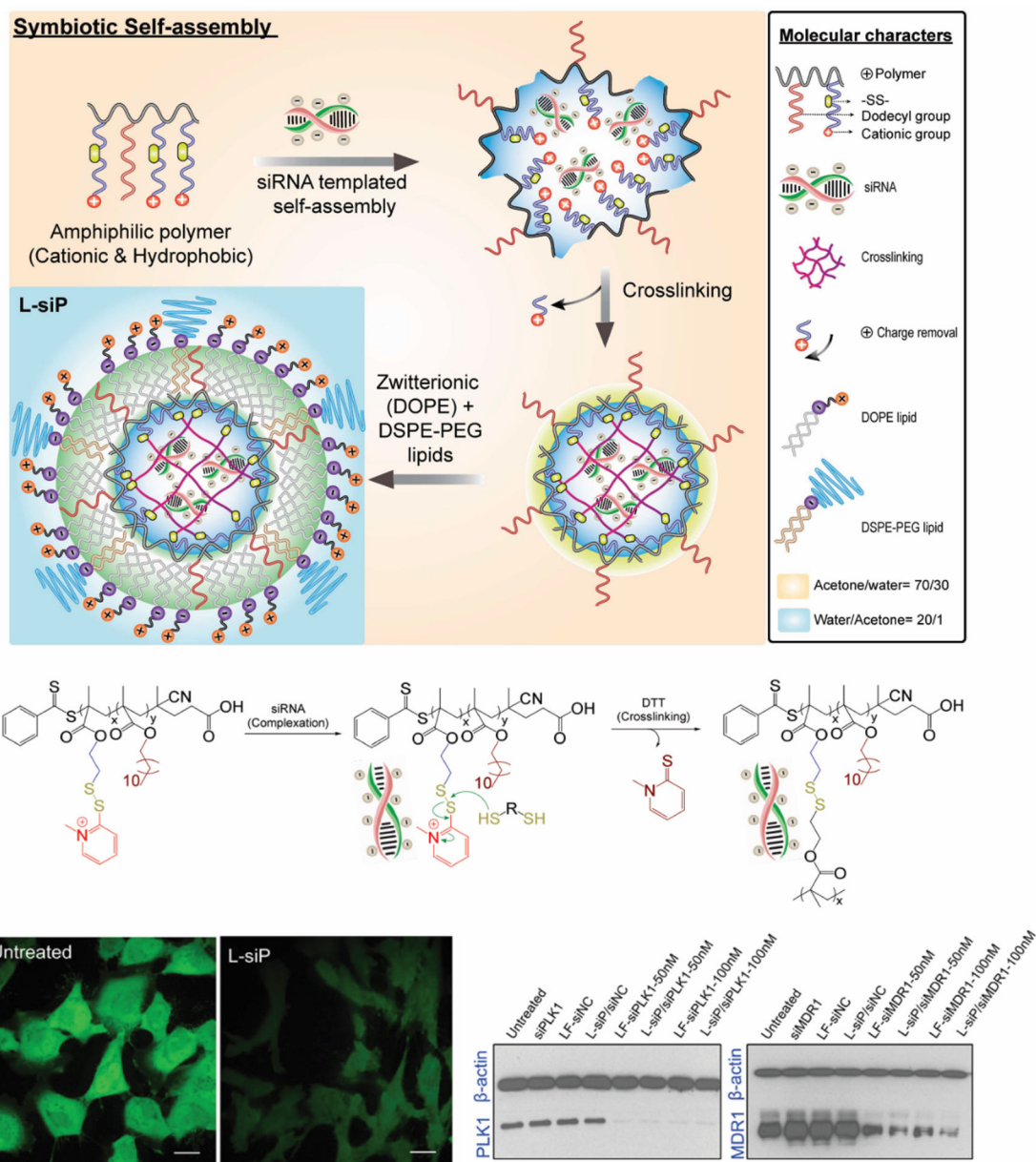
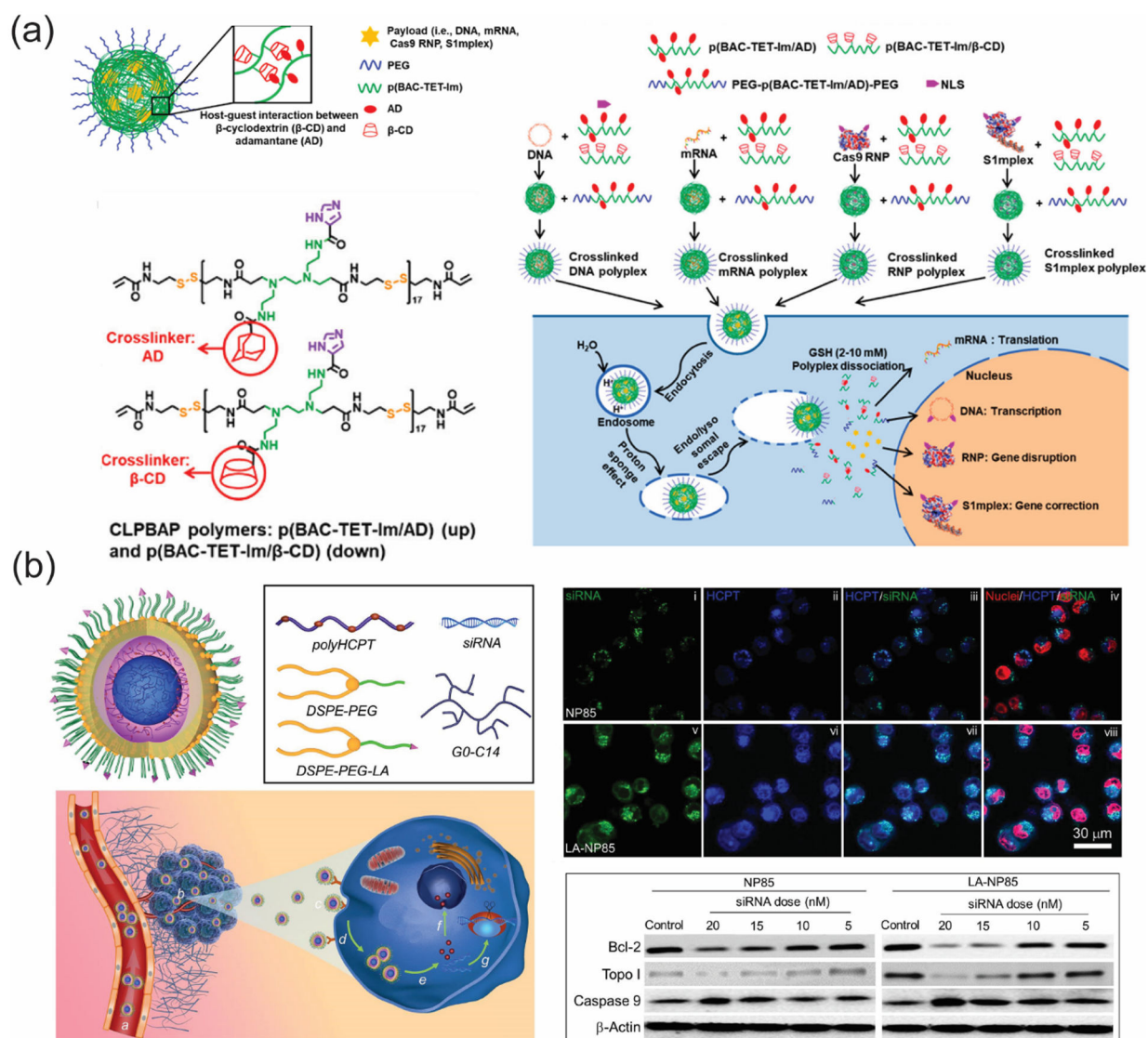


Figure 8. *Top to bottom:* Symbiotic self-assembly of lipid decorated random copolymer and siRNA; reaction scheme of the non-cationic approach for siRNA complexation; and silencing of eGFP, PLK1 and MDR1 genes via intracellular delivery of siRNAs. Reprinted with permission from reference 14. Copyright 2019 American Chemical Society.

**Figure 9.**

(a) Redox-responsive polyplex design for delivery of multiple cargoes, including pDNA, mRNA, CRISPR-Cas9. Reprinted with permission from reference 131. Copyright 2018 American Chemical Society. (b) Redox-sensitive polyprodrug concept for targeted and synergistic delivery of siRNA and small molecule drug; confocal microscopy images showing dual cellular delivery of siRNA and polyHCPT; and western blot analysis of different protein expressions after treatment with nanoparticles loaded with siBcl-2. Reprinted with permission from reference 132. Copyright 2020 Elsevier.

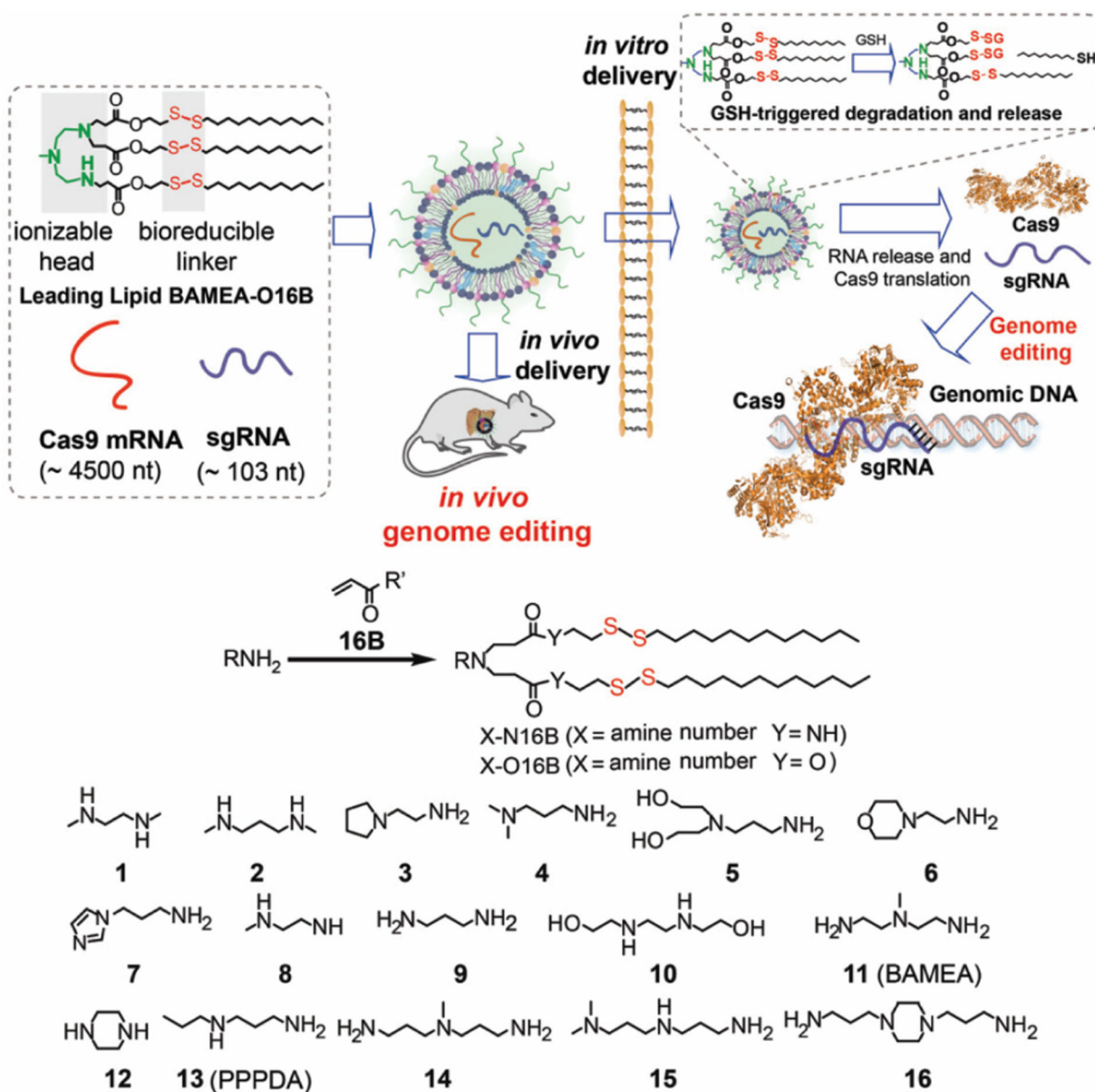


Figure 10.

Molecular designs of bioreducible lipids for Cas9 mRNA/sgRNA delivery *in vitro* and *in vivo* and various amine functionalities incorporated into the lipid structure. Reprinted with permission from reference 151. Copyright 2019 WILEY-VCH Verlag GmbH & Co.

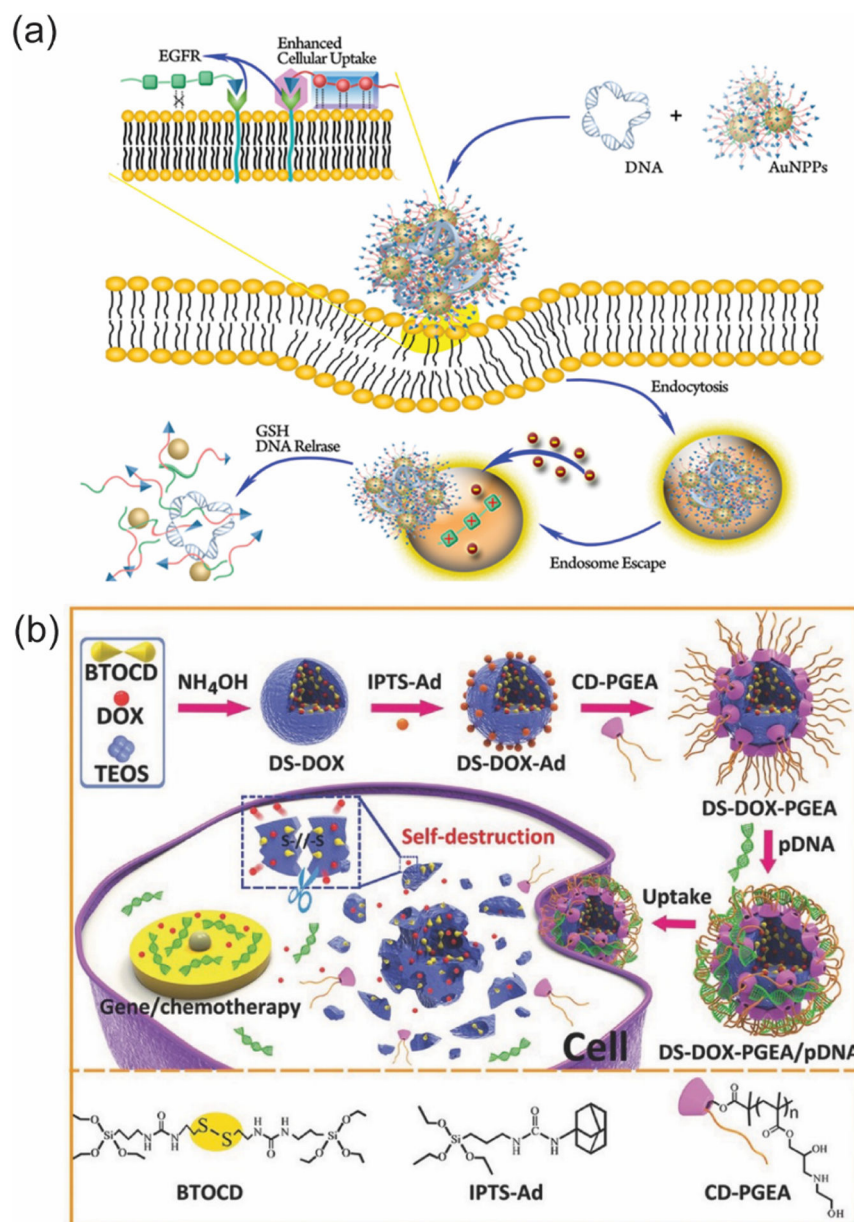


Figure 11.

(a) Polypeptide (cysteine, histidine and arginine) decorated AUNPs for intracellular transport of DNA. Reprinted with permission from reference 177. Copyright 2020 American Chemical Society; (b) Redox-sensitive mesoporous silica nanoparticle mediated co-delivery of drug and nucleic acid. Reprinted with permission from reference 185. Copyright 2019 WILEY-VCH Verlag GmbH & Co.

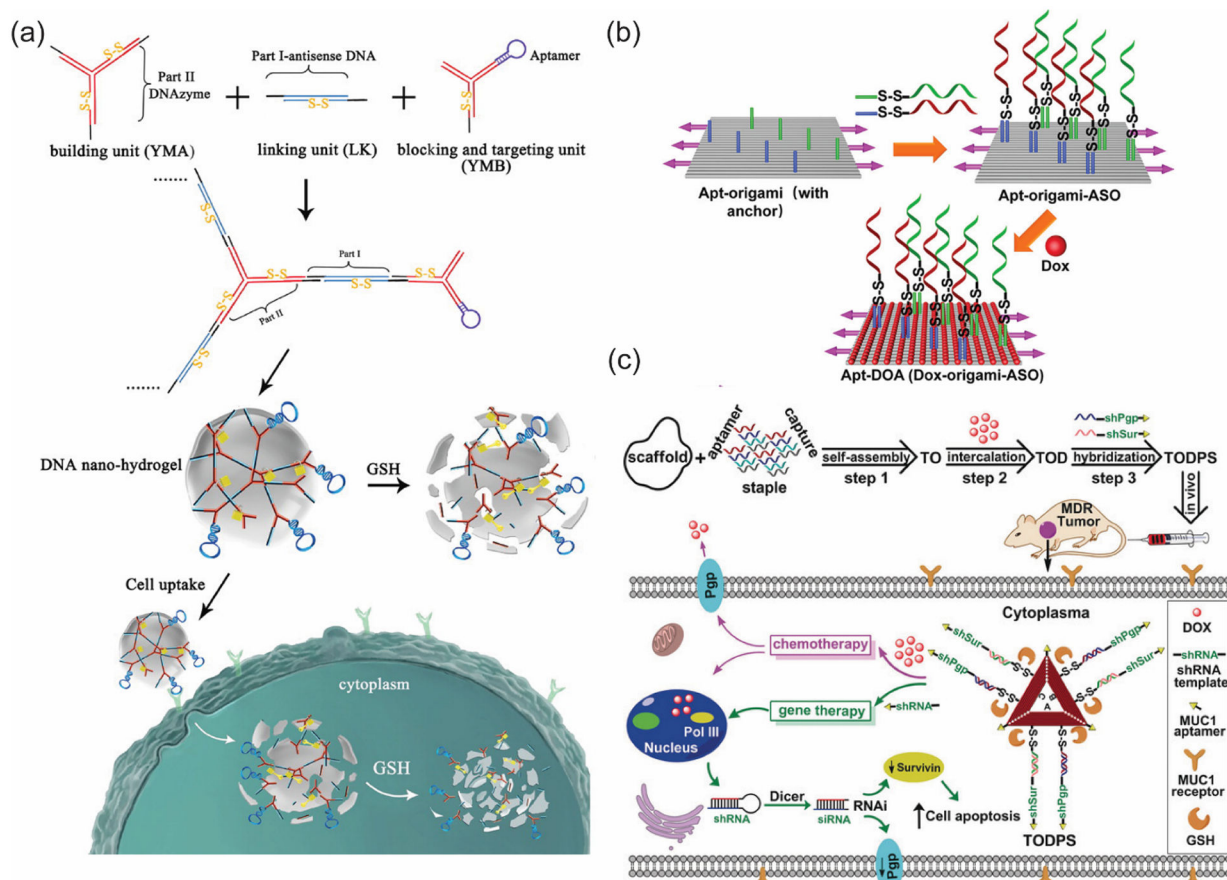


Figure 12.

(a) Redox-sensitive DNA nano-hydrogel for targeted modulation of gene expression. Reproduced with permission from ref 202. Copyright 2015 American Chemical Society. (b) Aptamer functionalized disulfide mediated co-delivery of doxorubicin and ASO. Reprinted with permission from reference 204. Copyright 2020 American Chemical Society. (c) Synergistic delivery of chemotherapeutic drug and small hairpin RNA via DNA-origami based nanostructures. Reprinted with permission from reference 205. Copyright 2018 Wiley-VCH Verlag GmbH & Co.