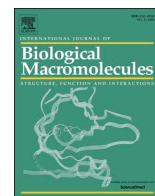




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## Review

## Review: A systematic review of virus-like particles of coronavirus: Assembly, generation, chimerism and their application in basic research and in the clinic

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## ABSTRACT

Virus-like particles (VLPs) are nano-scale particles that are morphologically similar to a live virus but which lack a genetic component. Since the pandemic spread of COVID-19, much focus has been placed on coronavirus (CoV)-related VLPs. CoVs contain four structural proteins, though the minimum requirement for VLP formation differs among virus species. CoV VLPs are commonly produced in mammalian and insect cell systems, sometimes in the form of chimeric VLPs that enable surface display of CoV epitopes. VLPs are an ideal model for virological research and have been applied as vaccines and diagnostic reagents to aid in clinical disease control. This review summarizes and updates the research progress on the characteristics of VLPs from different known CoVs, mainly focusing on assembly, in vitro expression systems for VLP generation, VLP chimerism, protein-based nanoparticles and their applications in basic research and clinical settings, which may aid in development of novel VLP vaccines against emerging coronavirus diseases such as SARS-CoV-2.

### 1. Introduction

Coronavirus disease 2019 (COVID-19), a novel highly infectious disease caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), was first reported in Wuhan, China in December 2019 [1]. Since then, it spread rapidly into a worldwide pandemic. According to the WHO, as of October 15, 2021, there have been more than 2.3 billion confirmed COVID-19 cases around the world with over 4.8 million deaths, with a huge negative impact on public health, social and economic systems. In the past two decades, two other highly pathogenic CoVs – SARS-CoV and Middle East respiratory syndrome (MERS-CoV) [2,3] – emerged from bats, and spilled over to humans through respective intermediate hosts, civet cats and camels [4,5]. CoVs are enveloped viruses with a large single-stranded positive-sense RNA genome that mainly cause respiratory or enteric disease in infected hosts, although neurological or liver disease is found in some cases [6]. Genetically and serologically they can be classified into four genera: *alpha*-, *beta*-, *gamma*- and *deltacoronavirus* [7]. Whereas *alpha*- and *betacoronavirus* mainly infect mammals, *gammacoronavirus* tends to infect poultry and marine mammals, and *deltacoronavirus* can infect both poultry and mammals [8,9]. Based on comparative genomic and

phylogenetic analysis, bat CoVs are the source of *alpha*- and *betacoronavirus* and avian CoVs are the source of *gamma*- and *deltacoronavirus* [8].

The large genome (25–32 kb) of CoVs has led to the development of a unique replication mechanism, which results in a high frequency of recombination [10,11]. In addition, there is precedent for cross-species transmission of CoV, and thus a constant threat of spillover from bat or avian CoVs into humans [12–14]. For this reason, it is essential to study all aspects of CoVs in great detail, which will lead to novel vaccines, diagnostics and therapeutic technology. Virus-like particles (VLPs) are a nano-scale particle composed of viral structural proteins. They have a similar morphology and spatial structure to natural virions, but are not infectious or replicative due to lack of genetic material (Fig. 1), making them an ideal model for certain types of virological research. VLPs can be efficiently recognized by the immune system and induce specific cellular, humoral, and mucosal immune responses [15]. Chimeric VLPs that contain foreign epitopes or proteins have been developed, thus broadening the prospects for VLP-based vaccines. In addition, VLPs can be used as gene- or drug-delivery systems due to their ability to encapsulate nucleic acids or carry other molecules [16]. This review focuses on CoV-related VLPs and their potential use in basic research

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and clinical settings.

## 2. Proteins involved in CoV VLP assembly

CoV particles contain four main structural proteins: spike (S), membrane (M), envelope (E), and nucleocapsid (N) (Fig. 1) [17]. The S protein is a class I fusion protein that plays a critical role in virus entry by mediating receptor binding and membrane fusion [18,19]. The S protein has access to the endoplasmic reticulum (ER) in eukaryotic cells due to its N-terminal signal peptide, and it is heavily N-glycosylated [20]. Spike proteins assemble into homotrimers on the surface of virions, which gives CoVs a crown-like appearance [21]. The identity and distribution of the specific cellular receptor recognized by the S protein are the key factors that determine host species susceptibility and tissue tropism of the virus [22]. Since the S protein contains epitopes that induce strongly neutralizing antibodies, it is the most common target for CoV vaccines. The M protein is the most abundant component of virions and plays an important role in the assembly and release of progeny virus [23]. The M protein has three transmembrane domains that facilitate dimer formation and create a scaffold for the viral envelope [24,25], and it also interacts with the N protein to package the RNA genome within the virus particle [23]. Studies have shown that M protein can also stimulate the immune system to produce neutralizing antibodies [26]. The E protein is a transmembrane protein with ion channel activity that is found at low levels in virus particles [27], where it facilitates virion assembly and release [28] and also functions on inflammatory activation [29,30]. The N protein is the only known protein constituent of the viral nucleocapsid [31], and both N- and C-terminal domains are able to bind viral genomic RNA [32]. The C-terminal RNA binding domain also specifically binds to the genomic packaging signal [33].

The process of virion assembly involves protein-protein (Fig. 2) and protein-RNA interactions [34]. The N protein is translated on free polysomes and interacts with genomic RNA to form helical nucleocapsids, whereas S, E and M are translated on membrane-bound polysomes, inserted into the ER, and transported into ER–Golgi intermediate compartments (ERGIC) [35]. There, M protein directs the assembly process by interacting with: (1) itself, to build the overall framework of the viral envelope; (2) the E protein, to induce membrane curvature and budding; and (3) nucleocapsids, to package the viral genome into the virion [36,37]. S protein is incorporated into virions via interaction with M, but is not required for assembly. Following assembly, virions are transported to the cell surface in vesicles and exocytotically released [17].

For many CoVs, including mouse hepatitis virus (MHV) [38],

transmissible gastroenteritis virus (TGEV) [39], bovine CoV (BCoV) [39] and infectious bronchitis virus (IBV) [40], the coexpression of M + E is the minimum requirement to form a VLP. But for SARS-CoV, studies have shown that either M + E [41–43] or M + N [44,45] is sufficient for VLP formation.

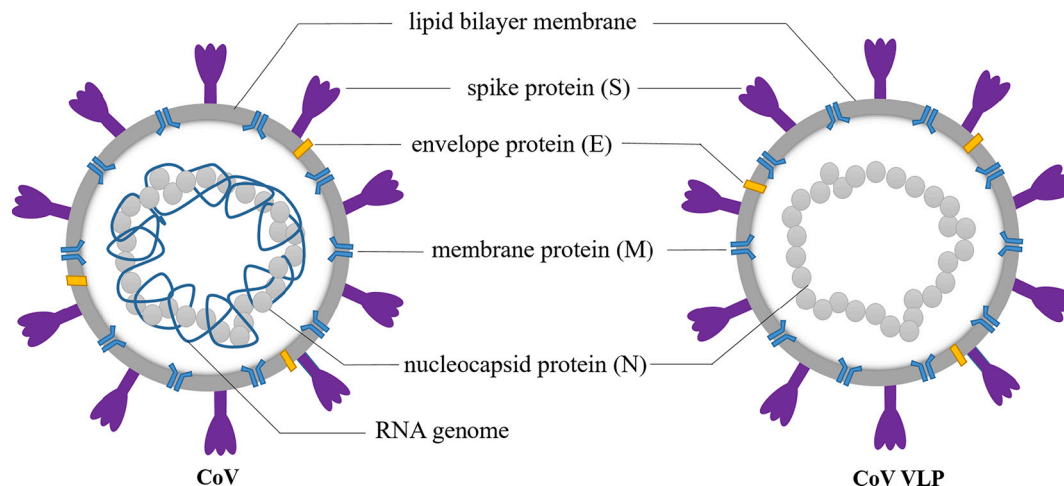
## 3. VLP expression systems and resultant vaccination efficacy

VLP production generally proceeds via the following steps: construction and cloning of viral structural genes; expression in a recombinant heterologous system; separation and purification; and finally, characterization and verification. VLPs can be produced in prokaryotic (mainly bacterial) or eukaryotic (mammalian, yeast, plant, or baculovirus-insect cell) expression systems, and in some cases cell-free expression systems. VLPs can be divided into two categories determined by viral structure: non-enveloped and enveloped VLPs. Most non-enveloped VLPs can self-assemble by viral capsid proteins and contain no host components. For example, the capsid proteins of hepatitis E virus (HEV) [46], human papilloma virus (HPV) [47] and rotavirus (RV) [48] can form non-enveloped VLPs. On the other hand, enveloped VLPs are composed of capsid proteins and host cell membrane, and include those derived from influenza virus [49], hepatitis C virus (HCV) [50], CoV [42], etc. Some expression systems are more suitable for generation of different VLPs based on their variable characteristics (Table 1). For CoV-like particle production, mammalian and baculovirus-insect cell systems are the most common (Fig. 2), though plant systems have been reported to generate VLP-based vaccines against SARS-CoV-2.

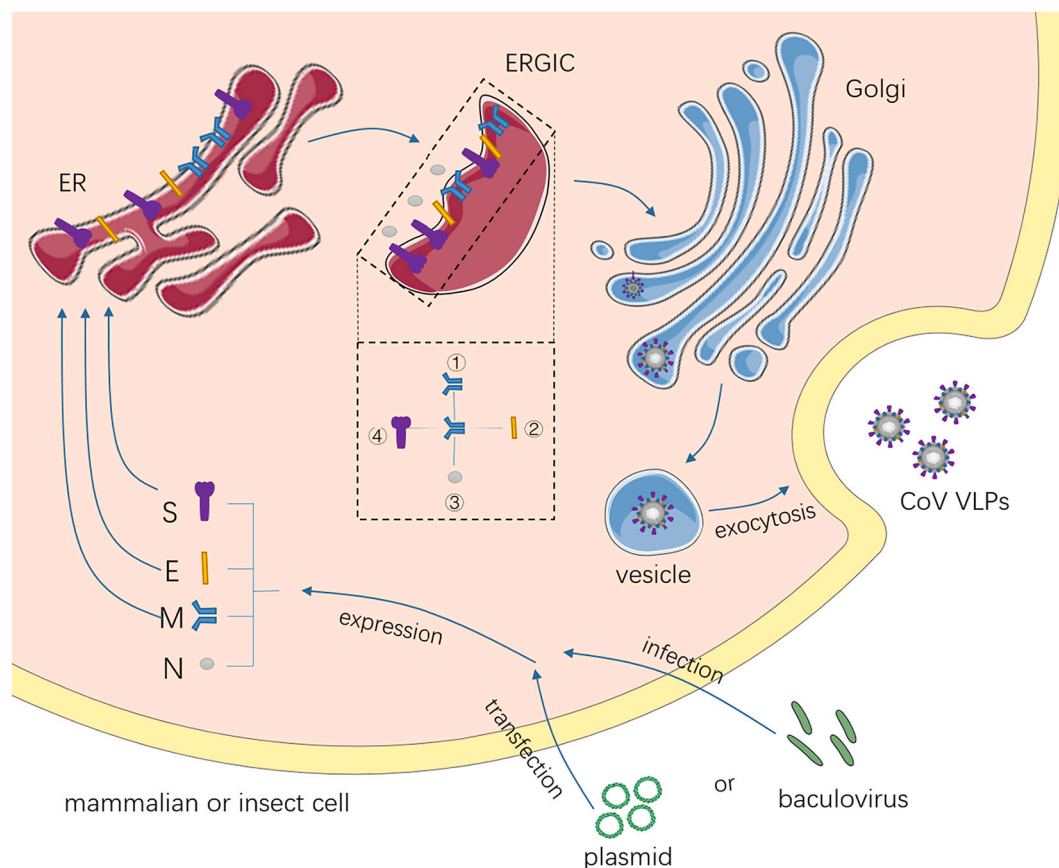
### 3.1. Mammalian cell systems

Mammalian cells possess a capacity for proper protein folding, assembly and complex post-translational modification (PTM) including glycosylation, phosphorylation, acylation and disulfide bond formation, among others. Thanks to this advantage, mammalian cells have become the major system for production of recombinant proteins for clinical applications [51]. VLPs produced from mammalian cells maintain complete structure and function, and have greater immunogenicity compared to yeast-derived VLPs [52]. However, mammalian cell systems are limited by their high production costs, low expression efficiency and potential safety concerns due to contamination by other viruses [53,54].

Xu et al. described the efficient creation of SARS-CoV-2 VLPs by plasmid-driven transfection of viral structural proteins in mammalian cells. They demonstrated that M + E are minimally required for efficient



**Fig. 1.** A schematic diagram of coronavirus (CoV) and CoV VLPs that are assembled from structural proteins. VLPs have a similar morphology and spatial structure to natural virions, but are not infectious or replicative due to a lack of genetic material.



**Fig. 2.** The process of coronavirus (CoV) VLP assembly in eukaryotic cells. The structural genes of CoV are introduced into mammalian cell systems or baculovirus-insect cell systems. N proteins are translated on free polysomes. S, E and M proteins are translated on membrane-bound polysomes, inserted into the endoplasmic reticulum (ER), and transported into the ER–Golgi intermediate compartment (ERGIC). M protein directs the assembly process by interacting with every constituent of the VLP. The number in the dashed box indicates the order of interaction of each protein with M protein in the ERGIC. VLPs are transported to the cell surface in vesicles and exocytotically released.

**Table 1**

Comparison of different VLP expression systems for viruses.

	Mammalian	Insect	Plant	Yeast	Bacteria	Cell-free
VLP type	Non-enveloped and enveloped	Non-enveloped and enveloped	Non-enveloped and enveloped	Mostly Non-enveloped	Non-enveloped	Non-enveloped
Cost	++++	+++	++	+	+	++++
PTMs	++++	+++	++	+	None	+
Contamination	Mammalian virus	Baculovirus and nodavirus	None	None	Endotoxins	None

Note: greater number of “+” = a higher level; PTM, post-translational modification.

assembly and egress of SARS-CoV-2 VLPs and that the presence of E may potentiate the release efficiency of both M and S [55]. Moreover, the corona-like structure of SARS-CoV-2 VLPs derived from Vero E6 cells was more stable and unified relative to those from HEK-293 T cells under transmission electron microscopy (TEM) [55]. VLPs of MHV and feline infectious peritonitis virus (FIPV) were generated in recombinant vaccinia-T7-polymerase (vTF7-3)-infected OST7-1 cells that were co-transfected with DNA constructs separately encoding E and M of MHV-A59 and FIPV [38]. Bos et al. produced MHV VLPs by co-expression of MHV-A59 S, E, M and N in vTF7-3-infected mouse fibroblasts [56]. These MHV VLPs can package defective interfering (DI) RNA and become infectious [56]. Co-infection by recombinant vaccinia viruses encoding IBV E and M in BHK-21 cells resulted in the production of IBV VLPs [57]. However, particle release was extremely inefficient, indicating that other IBV components are required for efficient VLP formation [57]. Several studies have shown that SARS-CoV VLPs can be successfully produced by co-expression of N + M in 293 T cells without

exogenous viruses, or E + M in vTF7-3-infected Vero E6 cells [43,44]. The discrepancies among the reported roles of the SARS-CoV structural proteins in particle formation might be attributable to the use of different experimental expression systems [58]. Siu et al. demonstrated that E, N and M are required for the efficient production and release of SARS-CoV VLPs by transfected Vero E6 cells [59].

### 3.2. Baculovirus-insect cell systems

The baculovirus-insect cell expression system has been widely used in recent years to express foreign proteins because baculovirus is harmless to vertebrates due to its strict tropism for insect cells, and because its large genome can accommodate relatively large foreign genes. Proteins in this system are mainly expressed in soluble form with high efficiency and undergo almost the same PTM as in mammalian cells, which contributes to their strong immunogenicity [60,61]. However, a major challenge faced by this system is the separation of purified

target VLPs from co-produced baculovirus and contaminating nodavirus particles [62,63].

A few different studies have prepared CoV-like particles using a baculovirus-insect cell expression system, with correct VLP morphology confirmed by electron microscopy. MERS-CoV and porcine epidemic diarrhea virus (PEDV) VLPs were successfully generated in Sf9 cells after infection with a recombinant baculovirus co-expressing the S, E and M genes of the MERS-CoV [64] and PEDV [65]. The shape and size of the VLPs were similar to those of the naive virus under TEM. Recently, Kim et al. found that the M protein alone is sufficient for the formation of PEDV VLPs in a HEK-293T cell expression system [66]. S monomers expressed in insect cells were slightly larger than those expressed in mammalian cells, suggesting incomplete glycosylation of these VLPs [64]. Inoculation of rhesus macaques with MERS-CoV VLPs plus alum adjuvant elicited neutralizing antibody titers up to 1:40 after the fourth immunization, and elicited specific IgG antibodies against the receptor binding domain (RBD) with endpoint titers reaching 1:1280. Besides, the detection of IFN- $\gamma$  suggested that these MERS-CoV VLPs also induced a Th1-mediated response, raising hopes for a potential vaccine candidate [64]. PEDV VLPs induced a higher level of specific neutralizing antibody compared with inactivated PEDV, with titers reaching 563 and 282 after the second booster vaccination in mice. Furthermore, enhanced IL-4 levels demonstrated that a Th2 cell-mediated response was elicited by the PEDV VLPs [65]. In a slightly different strategy, IBV VLPs were generated through simultaneous co-infection of Sf9 cells with three recombinant baculoviruses, each expressing M, E or a recombinant S protein containing the S1 subunit fused to the transmembrane domain and the cytoplasmic tail (TM/CT) of S2 subunit [67]. A recombinant S was used because the interactions between the TM/CT domain of S and the CT domain of M are of critical importance for incorporation of S protein into VLPs. These VLPs induced IBV-specific neutralizing antibodies in chickens that were comparable to the inactivated IBV strains. SARS-CoV VLPs have been successfully generated in Sf21 cells by expression of S, E and M proteins using different combinations of recombinant baculovirus [42,68]. Observations of immunogenicity in mice showed that SARS CoV VLPs induced high levels of neutralizing antibody and a Th1-dominated immune response. Sera from VLP-immunized mice reached 90% neutralization capacity at dilutions of 1:8 [68].

### 3.3. Plant systems

Plant expression systems have several advantages, including high expression levels, low cost, absence of mammalian pathogen contaminants, and the ability to produce proteins with correct folding and PTM. Proteins can be produced in plants by different strategies, including stable integration of a transgene into the nuclear or chloroplast genome, or transient transformation by plant viral vectors [69]. The most common gene transfer methods in plants utilize *Agrobacterium*-mediated transformation or particle bombardment [70].

The S protein of TGEV [71] was expressed in Arabidopsis plants after transformation with S cDNAs under the control of the cauliflower mosaic virus 35S (CaMV 35S) promoter. S proteins produced from plants elicited neutralizing antibodies in immunized mice [71]. Medicago Inc. has developed a tobacco plant system to produce VLP-based vaccine candidates, and has manufactured 10 million doses of a monovalent influenza vaccine within 1 month for the Defense Advanced Research Projects Agency (DARPA). A few months after the COVID-19 outbreak, Medicago announced production of VLP-based vaccines against SARS-CoV-2 using their proprietary plant-based platform.

### 3.4. Yeast systems

Yeast are another important eukaryotic expression system for production of recombinant proteins, and they have also been applied to the preparation of VLPs. Two licensed VLP-based vaccines developed by

Merck & Co Inc., Recombivax HB® against hepatitis B virus (HBV) and Gardasil® against HPV, have been generated using this system [69]. *Pichia pastoris*, *Saccharomyces cerevisiae* and *Hansenula* yeast are commonly used, since they are easy to expand and cultivate, cost-effective, and can provide a certain degree of PTM. However, the VLP yield in yeast is lower than in bacteria, and their PTM functions are limited, especially in protein glycosylation [72]. Therefore, yeast systems are mostly used for the preparation of non-enveloped VLPs.

### 3.5. Bacterial systems

Bacteria are the most widely used expression system for the production of recombinant proteins, but they are not always desirable for VLP production due to key limitations such as a lack of PTM, protein solubility problems and contamination by endotoxins [72,73]. They are commonly used to produce non-enveloped VLPs, whose components can self-assemble within the bacterial host. *E. coli* is the most common bacterial type for VLP production, and some approaches such as low-temperature cultivation and the use of different fusion protein systems can enhance expression levels and solubility [16,74].

### 3.6. Cell-free systems

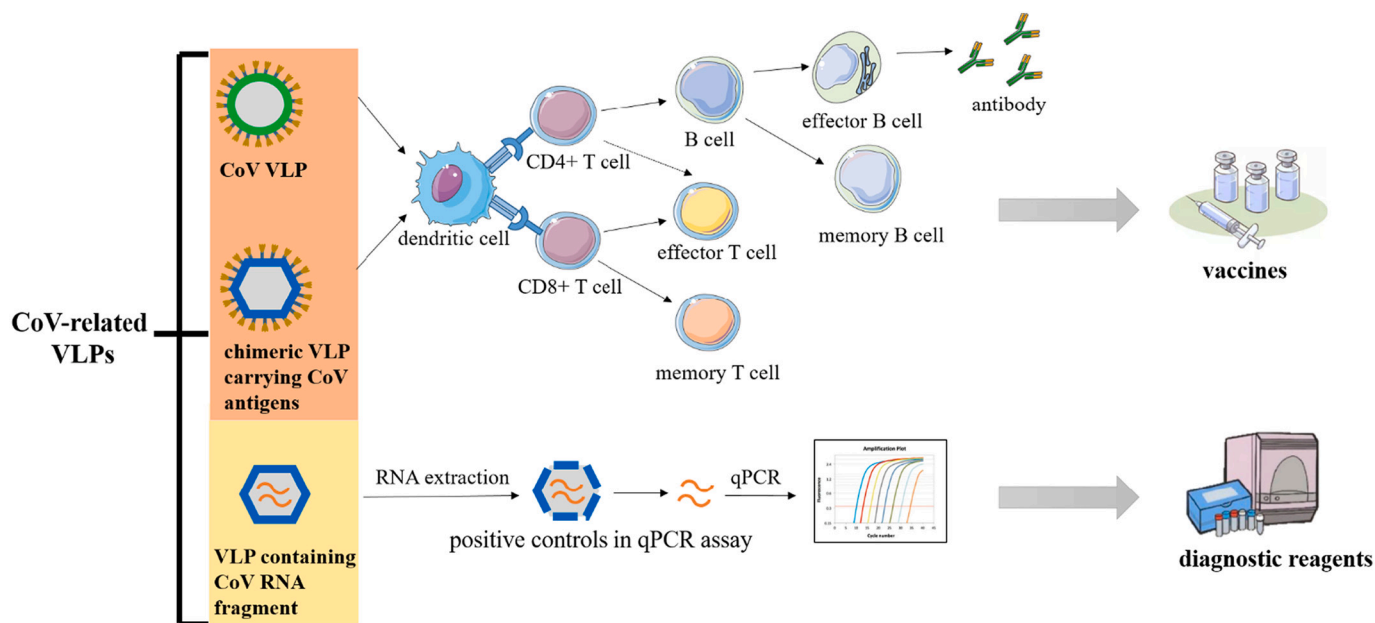
In some cases, the capsid proteins of non-enveloped, single-capsid VLPs can be assembled in a cell-free environment to form homogenous VLPs [75–77], or synthesized and assembled in an entirely cell-free environment [78,79]. In one such system based on purified components, VLP precursors or capsomeres are expressed in *E. coli*, purified from host contaminants, and assembled into VLPs in a cell-free in vitro environment. A different system based on cell-free protein synthesis (CFPS) uses lysates from microbial, animal or plant cells as a source of energy and catalytic components necessary for target protein synthesis. The most commonly used cell-free extracts are from *E. coli*, wheat germ, rabbit reticulocytes and insect cells [80–82]. Cell-free systems have many advantages including time-saving, high yield of proteins and limited cellular contaminants [83]. In addition, CFPS system can expand the possibilities of protein engineering. For example, besides the 20 naturally occurring amino acids, unnatural amino acids, such as furanomycin, can be introduced into a protein structure for specifically designed purposes [84–86].

## 4. Chimeric CoV-related VLPs and their prospects for vaccines

VLPs can also be used as a platform to display foreign epitopes or proteins after genetic or chemical fusion to VLP proteins, resulting in so-called chimeric VLPs (cVLPs) that can be used to simultaneously stimulate immunity against multiple antigens (Fig. 3). Immunogenicity of some epitopes can also be improved by including them within such cVLPs. Here, we will focus on cVLPs for expression of CoV proteins (summarized in Table 2).

Co-expression of structural proteins from different CoVs origins results in self-assembly of cVLPs. Since the efficiency of SARS-CoV VLP production in mammalian cells is low, Lokugamage et al. developed a cVLP carrying the MHV E, N and M proteins with the SARS-CoV S protein by cotransfection with four respective plasmids in 293 T or CHO cells [87]. The efficiency of the cVLP production was about 8 times better than that of VLPs made from SARS-CoV proteins alone [87]. Mice inoculated with cVLPs were protected from SARS-CoV challenge and produced high levels of specific neutralizing antibodies [87]. Bai et al. utilized the baculovirus-insect cells system to generate a cVLP containing S protein of a SARS-like (SL)-CoV from bats and E and M of SARS-CoV [41]. In the absence of a cell culture model for SL-CoV, this cVLP can be used to study the interaction between SL-CoV and host cells.

Since influenza virus is a huge threat to public health, flu VLP development has been a hot research topic in recent years. Influenza VLPs have been generated by co-expressing its structural proteins:



**Fig. 3.** A schematic diagram of existing clinical applications of coronavirus (CoV)-related VLPs. CoV VLPs and chimeric VLPs (which both carry CoV antigens) are promising vaccine candidates due to their ability to stimulate strong humoral and cellular immunity. VLPs that package target regions of CoV RNAs can serve as positive controls for all steps of clinical testing, from RNA extraction to PCR amplification.

**Table 2**

The examples of chimeric VLPs carrying CoV proteins.

VLP platform	Basic composition	Foreign protein	Ref.
MHV	E, M and N	S of SARS-CoV	[87]
SARS-CoV	E and M	S of SARS-like CoV	[41]
Influenza virus	M1 and CT and TM domain of HA	S of SARS-CoV	[95]
Influenza virus	M1 and CT and TM domain of NA	S1 of IBV	[96]
CPV	VP2	RBD of MERS-CoV	[98]
HBV	HBcAg	B-cell epitopes of PEDV	[104,105]
HBV	HBcAg	Immunogenic epitopes of SARS-CoV-2	[106]
NDV	M, NP and CT and TM domain of F	S of SARS-CoV-2	[110]
MLV	Gag	S of SARS-CoV-2	[113]

Abbreviations: MHV, mouse hepatitis virus; SARS-CoV, severe acute respiratory syndrome coronavirus; CPV, canine parvovirus; HBV, hepatitis B virus; NDV, Newcastle disease virus; MLV, murine leukemia virus; IBV, infectious bronchitis virus; MERS-CoV, Middle East respiratory syndrome coronavirus; PEDV, porcine epidemic diarrhea virus; RBD, receptor binding domain; CT, carboxyl terminus; TM, transmembrane; HBcAg, hepatitis B core antigen; NP, nucleocapsid; HA, hemagglutinin; NA, neuraminidase; M1, matrix 1; S, spike; E, envelope; N, nucleocapsid; M, membrane.

matrix 1 (M1) + hemagglutinin (HA) [88,89], HA + neuraminidase (NA) [90], HA + NA + M1 [91–93], or HA + NA + M1 + matrix 2 (M2) [94]. Influenza VLPs have been shown to induce protective immune responses and can serve as a platform for the expression of foreign proteins. Liu et al. produced a cVLP containing a chimeric SARS-CoV S protein and the avian influenza M1 protein in a recombinant baculovirus system [95]. The chimeric SARS-CoV S protein contained an influenza HA transmembrane (TM) and carboxyl terminus (CT) to maximize the interaction between the SARS S and influenza M1. These chimeric SARS-CoV VLPs with a corona-like spike structure on the outer rim have a similar size and morphology to wild-type SARS CoV and protected 100% of the immunized mice from challenge of SARS-CoV in the absence of adjuvant. Lv et al. generated a cVLP containing the influenza M1 protein and an IBV S1 protein fused to the CT and TM domain of an avian

influenza NA protein [96], with a morphology similar to standard influenza VLPs. The cVLPs elicited higher S1-specific antibody responses in mice and chickens, higher neutralization antibody levels in chickens and higher IL-4 production in mice than inactivated IBV viruses [96].

VP2, the main structural protein of canine parvovirus (CPV), can be assembled into VLPs using a baculovirus expression system [97]. CPV-based cVLPs can be produced by fusing a foreign epitope to the N-terminus of VP2 protein; Wang et al. fused the MERS-CoV RBD to produce a novel cVLP displaying MERS-CoV RBD on the surface [98]. This cVLP retained certain characteristics of parvovirus, such as agglutination of porcine erythrocytes and CPV virion morphology, while immunization of mice induced RBD-specific humoral and cellular immune responses [98].

Expression of the nucleocapsid of HBV, also called HBV core antigen (HBcAg), in *Escherichia coli* self-assembles into core particles [99]. HBcAg is highly immunogenic and is known to induce a significant T cell response and high antibody titers [100,101]. Foreign epitopes are often inserted at a superficial loop around amino acid residues 74–83 of HBcAg, considered to be the major immunodominant region (MIR) [102]. Insertion of foreign epitopes in the MIR has been shown to induce a stronger immune response than insertion at the N- or C-terminus [103]. There have been four small, linear and fairly conserved B-cell epitopes (named by S1, S2, S3, M respectively) identified in PEDV. Gillam et al. reported that insertion of these four epitopes into the MIR of HBcAg individually and collectively resulted in successful production of cVLPs in an *E. coli* expression system [104]. Each cVLP, when delivered by IP injection, was able to elicit a strong immunogenic response specific to the inserted PEDV epitopes in mice. Only the HBcAg + S1 and the HBcAg + S1S2S3M VLPs could induce an immune response capable of PEDV neutralization. Furthermore, Gillam et al. tried to make some design changes to the HBcAg-based cVLPs to increase the overall immune response to the inserted PEDV epitopes. New cVLPs carrying substitutions at two residues, D29C and R127C, in the HBcAg protein were able to elicit a strong antibody response to the S1 epitope that had increased ability to neutralize virus [105]. Additionally, placement of foreign epitopes within the HBcAg vector protein influences the immune reaction [105]. Ghorbani et al. predicted the immunogenic epitopes of SARS-CoV-2 and designed an HBcAg-based VLP with insertion in the

MIR [106]. One shortcoming of using the HBCAg-based cVLPs as vaccines is that any pre-existing circulating anti-HBCAg antibodies in the host would greatly reduce their efficacy.

Newcastle disease virus (NDV) is an avian pathogen that causes severe economic losses in the poultry industry worldwide. NDV has six structural proteins including nucleocapsid (NP), phosphoprotein (P), matrix (M), fusion (F), haemagglutinin–neuraminidase (HN), and the large polymerase (L) [107]. The NDV M protein is the main driving force for budding of progeny virions, and its expression alone is sufficient for effective formation and release of VLPs [108,109]. In 293T cells, Yang et al. co-expressed NDV M and NP proteins with a SARS-CoV-2S protein whose TM and CT domains were replaced with those from the NDV F protein, generating a cVLP that displayed the prefusion-stabilized SARS-CoV-2 spike ectodomain [110]. Immunoassays in mice showed this cVLP could be a promising COVID-19 vaccine candidate [110].

VLPs have also been created from expression of the major retrovirus capsid, gag, in a number of systems including bacterial, insect and mammalian cells [111]. During the budding process, gag VLPs can be incorporated with glycoproteins present in the plasma membrane of the producer cells [112]. This phenomenon in which viral envelope proteins are replaced by another envelope glycoprotein is called pseudotyping. Roy et al. produced Moloney murine leukemia virus (MLV)-based VLPs carrying pseudotyped SARS-CoV-2 spike protein [113]. Stable expression of S protein in 293 cells expressing MLV Gag-pol led to a more efficient production of VLPs compared to transient expression [113].

## 5. VLPs as tools for basic CoV research

VLP systems are valuable tools for the study of protein function, viral assembly, protein-protein and protein-RNA interaction. For example, an MHV VLP system containing reporter DI RNAs was used to prove that infectivity of VLPs was dependent on S protein. Several mutant S proteins that were shown to be uncleaved were inserted into MHV VLPs, proving that S protein cleavage is not required for infectivity of MHV [114]. The observation that only M and E are required for formation of MHV VLPs indicated the novel principle that the assembly of CoV envelopes is nucleocapsid-independent, which is a novel budding principle compared to the two other well-studied models, type D retroviruses and alphaviruses, whose nucleocapsids play a central role [38]. Some have reported the release of MHV and IBV E proteins in lipid vesicles when expressed alone, suggesting that E protein likely plays an important role in CoV envelope formation and budding [57,115]. For SARS-CoV, M proteins are capable of self-assembly and release in the form of vesicles when expressed alone, with lower densities than VLPs formed from M + N [116]. Site-directed mutagenesis results showed exactly which amino acid residues are important for M self-assembly, dispersed along the C-terminal endodomain and the N-terminal region, including the transmembrane domains [34]. This finding is consistent with previous deletion analysis results that multiple SARS-CoV M regions are involved in M self-assembly [116]. To identify important M amino acid residues for VLP assembly, genetic analysis and the ability of mutant M proteins to participate in VLP assembly were investigated in an MHV model. A conserved, 12-amino-acid domain in the M protein tail was reported to be functionally important for it to participate in virus assembly [117]. The study, performed in SARS-CoV, showed that cytoplasmic M tail dileucine residues are involved in the packaging of N into VLPs [34]. Two chimeric MHV-FIPV S proteins, consisting of the ectodomain of one virus and the transmembrane and endodomain of the other, were found to only assemble into viral particles of the species from which their C-terminal domain originated [118]. This indicates that assembly of spikes into the CoV envelope is governed by the S protein's C-terminal domain [118]. The result that the cytoplasmic tails of IBV E and M proteins mediate their interaction was confirmed by examining the ability of mutant and chimeric E and M proteins to form VLPs [40]. A cVLP assembly system in which the HIV-1 nucleocapsid (NC) domain was replaced by a SARS-CoV N-coding sequence was employed to test SARS-

CoV N protein's self-interaction capacity, finding that the C-terminal half directed VLP assembly and release [119].

Palmitoylation is a common PTM in which a saturated fatty acid is linked to a cysteine residue by a thioester bond [120]. In viral proteins, this modification has been shown to affect assembly or replication. CoV S proteins are palmitoylated at a cysteine-rich motif in the cytoplasmic domain, adjacent to the transmembrane region [121]. It has been shown that palmitoylation of the MHV S protein is necessary for its interaction with M protein, which is thought to mediate MHV S incorporation into virions [121]. Accordingly, a SARS-CoV VLP system was utilized to determine the functional role of S protein palmitoylation. Results showed that SARS-CoV S mutants lacking two palmitoylated cysteine residues failed to be incorporated into VLPs but could co-localize with the M protein, indicating that M–S co-localization occurs independently of S incorporation in SARS-CoV assembly [122], which is in contrast to studies of the MHV S protein. A similar VLP assay was performed on TGEV, in which a mutant S protein with all cysteine residues substituted by alanines failed to be incorporated into VLPs [120]. However, the S–M interaction was not impaired by lack of palmitoylation; thus, palmitoylation of the S protein of TGEV is dispensable for S–M interaction, but required for the production of infectious virions [120].

VLP systems have been applied to identify the RNA packaging signal (PS) of CoV. The putative packaging signal of CoV genomic RNA was inserted into the 3' noncoding region of the green fluorescent protein (GFP) gene, allowing GFP to act as a tracker for the packaging of RNA into VLPs. For MERS-CoV and SARS-CoV, assembly of the RNA PS into VLPs is dependent on the viral N protein [43,123]. However, for MHV, the RNA PS can be packaged into VLPs in the absence of N protein because of the selective interaction of M protein with PS-containing RNA [124,125]. Furthermore, a functional SARS-CoV RNA packaging signal failed to assemble into the MERS VLPs, indicating that there is virus-specific assembly of the RNA genome [123].

## 6. Clinical applications of VLP for CoV diseases

### 6.1. Current state of VLP-based vaccines

At present, vaccination is still the most effective means to prevent and control infectious diseases, and live-attenuated and inactivated virus vaccines are the most widely used. Live-attenuated vaccines mimic natural infection and thus induce strong cellular and humoral immune responses [126,127]. They are typically highly efficacious, but carry safety concerns of reversion to virulence and require strict refrigeration environment throughout storage and distribution [127]. Inactivated virus vaccines lose their pathogenicity and retain partial immunogenicity. They tend to stimulate a relatively low level of immune response and do not generate a full cellular immune response [127]. They often contain adjuvants and multiple doses are needed to enhance the immune effect [127,128]. Besides these traditional vaccine types, protein subunit, nucleic acid (DNA or mRNA) and viral-vectored vaccines are other strategies for vaccine development. Protein subunit vaccines are safer than live-attenuated and inactivated vaccines since there is no live particle involved, but its immunogenicity is weak [129]. DNA and mRNA vaccines have received increased attention owing to their safety, efficacy, and ease in mass manufacturing [129,130]. However, the stability of RNA remains a problem [131]. Viral-vectored vaccines can induce strong humoral and cellular immune responses but possess the problems of pre-existing anti-vector immunity and a risk of mutagenesis [132,133]. As a novel type of vaccine, VLPs have better immunogenicity and safety. VLPs can be taken up efficiently by antigen-presenting cells (APCs) due to their appropriate size, triggering the adaptive immune system, and can stimulate strong humoral and cellular immunity against multiple antigens and specific structures (Fig. 3) [16,106,134,135]. VLPs are free of viral nucleic acid and thus are not capable of infection and replication. Since only a short time is required for the process of VLP design and expression, VLP vaccines can quickly respond to epidemics of

viral disease [15]. However, VLP technology has been limited by low expression yield and the need for purification from the various protein expression systems [136]. The high cost of production and purification presently restricts their widespread use [137]. Therefore, for economic reasons, the development of VLP-based vaccines is usually only suitable for widespread viruses that cause great damage. In the future, it will be necessary to reduce costs by improving production procedures, so as to expand the range of feasibility for VLP-based vaccines.

Over the past few decades, there have been different VLPs studied to fight against important pathogens such as ebolavirus (EBOV), Chikungunya, Dengue, influenza A, respiratory syncytial virus, and Zika [138]. Several VLP-based vaccines including Recombivax HB and Engerix-B for HBV, Gardasil, Cervarix, and Gardasil-9 for HPV, Hecolin for HEV, as well as in the veterinary field, Ingelvac CircoFLEX for PCV2 are licensed and commercialized [16,83]. Before the outbreak of COVID-19, there were no vaccines approved for a human CoV, let alone a VLP-based option. When SARS and MERS first emerged, there was a rush to develop vaccines against SARS-CoV and MERS-CoV. However, since both epidemics were quickly and effectively controlled, the development of vaccines by researchers was suspended, and vaccines against animal CoVs mostly remain in preclinical trials. The disaster triggered by the rapid spread of SARS-CoV-2 around the world spurred an unprecedented global race towards a vaccine [139]. According to the WHO, as of October 15, 2021, 194 vaccines against SARS-CoV-2 are in pre-clinical development and 126 are in clinical development, among which 5 VLP candidates are in clinical trials (Table 3) and 18 VLP candidates are in preclinical evaluation. CoVLP is a plant-derived COVID-19 vaccine developed by Medicago Inc. In a phase 2 clinical trial, Medicago's vaccine exhibited an acceptable safety profile, and adverse events were primarily mild and moderate, and the duration was short. In terms of immunogenicity, the vaccine candidate induced a significant humoral immune response in both age cohorts (adults aged 18–64 and older adults aged 65+) after two doses, with neutralizing antibody titers that were about 10 times higher than those in a panel of sera from patients recovering from COVID-19 (<https://medicago.com/en/press-release/1751/>). VBI-2902a (VBI Vaccine Inc.) is a monovalent enveloped VLP vaccine expressing the native SARS-CoV-2 spike protein. In the phase 1 portion of an ongoing phase 1/2 study in 61 healthy adults (age 18–54 years), after two doses, VBI-2902a induced neutralization titers in 100% of participants, with 4.3× higher geometric mean titer (GMT) than that of the convalescent serum panel, and peak antibody binding GMT was 1:4047 (<https://www.vbivaccines.com/press-releases/covid-19-vaccine-phase-1-data/>). Undoubtedly, VLPs are acquiring prominence as a vaccine platform for CoVID-19.

## 6.2. Protein-based nanoparticles – one of the most appealing platforms for COVID-19 vaccine design

Protein-based nanoparticles are a popular tool in vaccine design, as they allow the repetitive surface presentation of antigens in their native conformations [140]. Protein-based nanoparticle vaccines (NPVs) can

be roughly divided into three categories according to their scaffold protein: VLP proteins, non-viral naturally oligomeric proteins and engineered proteins. Among them, VLP proteins like hepatitis B surface antigen (HBsAg) from HBV were early platforms utilized in vaccines [141]. In addition to VLPs, many naturally oligomeric proteins such as ferritin, lumazine synthase, and C4b-binding protein (C4bp) orthologs have been used as platforms [140,142]. Recently, a new development in this area is computational protein design, through which proteins are engineered that can assemble into large, highly oligomeric complexes. This design offers greater control over antigen stoichiometry, spacing, and particle size [142]. Similar to VLPs, NPVs are likely not widely used due to the high cost of production and purification in the present stage.

SpyBiotech and Serum Institute of India developed a vaccine candidate using SpyCatcher/SpyTag technology to display the SARS-CoV-2 RBD on the surface of HBsAg VLPs. SpyCatcher/SpyTag is a novel antigen-displaying technology distinct from two older methods, chemical conjugation and genetic fusion. SpyCatcher is an engineered protein designed to spontaneously form an irreversible isopeptide bond to its peptide partner (SpyTag) upon mixing [143]. When used for antigen attachment, SpyCatcher is fused to a nanoparticle scaffold for further coupling with SpyTag-fused antigens [143–145]. Tan et al. utilized the SpyCatcher/SpyTag technology to assemble the SARS-CoV-2 RBD on a protein nanoparticle platform, SpyCatcher003-mi3 VLPs [146]. A nanoparticle scaffold was computationally engineered using an aldolase from thermophilic bacteria that spontaneously assembles into a hollow 36-nm dodecahedral cage. The RBD-SpyVLP has been shown to be highly immunogenic in mice and pigs, inducing robust SARS-CoV-2-neutralizing antibody responses that are superior to convalescent human sera [146,147].

Ferritin is a kind of iron storage protein that is found widely in organisms. Ferritin self-assembles into nanoparticles with strong thermal and chemical stability [141]. Saunders et al. designed a VLP-like vaccine that conjugated the 24-mer SARS-CoV-2 RBD to a ferritin scaffold using a sortase A reaction [140]. Vaccination of macaques with these nanoparticles elicited a cross-neutralizing antibody responses against bat CoVs, SARS-CoV and SARS-CoV-2 and resulted in a 50% inhibitory reciprocal serum dilution (ID<sub>50</sub>) neutralization GMT of 47,216 for SARS-CoV-2, as well as in protection against SARS-CoV-2 in the upper and lower respiratory tracts [140].

Most recently, Walls et al. designed a vaccine that displays 60 copies of the SARS-CoV-2 RBD on the surface of a computationally designed nanoparticle, I53-50, which is a 120-subunit complex with icosahedral symmetry constructed from trimeric (I53-50A) and pentameric (I53-50B) components [148]. These nanoparticle vaccines induced protective antibody responses in mice, with neutralizing titers ten-fold higher than soluble prefusion-stabilized S despite the ~five-fold lower dose. Antibodies recognize multiple distinct RBD epitopes, suggesting that they may not be easily prone to escape mutations [148].

**Table 3**  
VLP vaccine candidates against SARS-CoV-2 in clinical development.

Name of vaccine	Description	Phase	Identifier	Developers
RBD SARS-CoV-2 HBsAg VLP	HBsAg VLPs displaying the SARS-CoV-2 RBD	Phase 1/2	ACTRN12620000817943	Serum Institute of India & Accelagen Pty&SpyBiotech
CoVLP	CoV-like particles derived from plant - nicotiana benthamiana	Phase 2/3	NCT04636697	Medicago
VBI-2902a	An enveloped VLP of SARS-CoV-2 spike glycoprotein and aluminum phosphate adjuvant.	Phase 1/2	NCT04773665	VBI Vaccines Inc.
No name	SARS-CoV-2 VLP vaccine	Phase 2	NCT04818281	The Scientific and Technological Research Council of Turkey
ABNCoV2	Employs a novel plug-&-play capsid cVLP platform	Phase 1	NCT04839146	Radbound University

Source: <https://www.who.int/publications/m/item/draft-landscape-of-covid-19-candidate-vaccines>

Abbreviations: HBsAg, hepatitis B surface antigen; RBD, receptor binding domain.



### 6.3. Progress in development of VLP-based diagnostic reagents

Rapid, large-scale and accurate diagnostic testing is increasingly essential for early detection of infections to control the spread of epidemics from the source. Nucleic acid testing, especially real-time reverse transcription polymerase chain reaction (RT-qPCR), is considered the gold standard for detection of respiratory viruses [149,150], and various RT-qPCR primer/probe sets targeting distinct regions of the viral genome have been reported for the detection of SARS-CoV-2. To ensure the accuracy of RT-qPCR test, positive controls must be run in parallel with clinical samples [151], and the most commonly used are synthetic RNA transcripts or plasmids. RNA is unstable and easily degraded, requiring cold chain transportation and storage. Moreover, neither plasmid DNA or RNA can serve as an appropriate control for extraction from clinical samples.

In order to solve these problems, VLPs that package RNA fragments containing the appropriate nucleic acids for binding of RT-qPCR primers and probes have been developed to serve as positive controls (Fig. 3). This approach was previously applied in the detection of foot-and-mouth disease virus (FMDV), EBOV, influenza virus and SARS-CoV [152–154]. In response to COVID-19, VLPs derived from Q $\beta$  bacteriophage [151], MS2 [155], plant virus cowpea chlorotic mottle virus (CCMV) [151] and cowpea mosaic virus (CPMV) [135] were explored to encapsidate target RNA and used as positive controls for SARS-CoV-2 detection. These VLP-based positive controls are highly stable, able to be mass-produced, and can serve as a standard to monitor the full process of nucleic acid testing, from RNA extraction to PCR amplification.

## 7. Conclusions

In view of the great threat of CoVs to human and animal health, VLPs incorporating their proteins merit further study. The minimal structural protein requirement for CoV VLP formation is still controversial, though this is related to differences between expression and assembly systems used. Because VLPs have the advantage of a similar structure to natural virions, lack of possible infectivity, excellent immunogenicity, good structural stability and the unique ability to display foreign molecules, they have broad potential application in biomedical research and clinical care. VLPs are a safe and accessible alternative to the use of live virus in basic CoV research. Licensed VLP vaccines, such as Recombivax HB and Engerix-B for HBV, Gardasil, Cervarix, and Gardasil-9 for HPV demonstrate that VLPs are an effective platform for vaccine development. However, at present there is no approved VLP-based vaccine product for human CoV, as the safety and efficacy of VLP-based vaccines remain to be verified and improved for use in animal and human populations. With the development of nanotechnology and protein engineering, VLPs are emerging to be a robust and flexible vaccine platform for the targeting of different diseases. Computational design provides accurate prediction and control for the composition and assembly of VLPs, such as the I53–50 nanoparticle vaccines for SARS-CoV-2 [148], thus broadening the range of antigens that can be displayed. Moreover, new bioprocessing technologies like *in vitro* assembly and cell-free expression provide new avenues for improvement of the vaccine production process [156]. VLPs have already been used in the development of vaccines and diagnostic reagents for CoV diseases due to their ability to carry antigens and encapsulate desired nucleic acids. In addition, the successful packaging of synthetic drugs inside VLPs has opened up a prospective role in drug delivery [157], and the surface of the VLP can be changed so that it targets specific tissues or organs [83]. In summary, the application of VLPs in the field of CoVs has only just begun, and will play a great role in the prevention and treatment of future epidemics.

### CRedit authorship contribution statement

**Wan Lu:** Conceptualization, Writing – original draft, Validation. **Zhuangzhuang Zhao:** Writing – review & editing, Validation. **Yao-Wei**

**Huang:** Conceptualization, Funding acquisition, Supervision, Writing – review & editing, Validation. **Bin Wang:** Conceptualization, Supervision, Writing – review & editing, Validation.

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