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## Temperature Cycling Enables Efficient $^{13}\text{C}$ SABRE-SHEATH Hyperpolarization and Imaging of $[1-^{13}\text{C}]$ -Pyruvate

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### Abstract

Molecular metabolic imaging in humans is dominated by positron emission tomography (PET). An emerging non-ionizing alternative is hyperpolarized MRI of  $^{13}\text{C}$ -pyruvate, which is innocuous and has a central role in metabolism. However, similar to PET, hyperpolarized MRI with dissolution dynamic nuclear polarization (d-DNP) is complex, costly and requires significant infrastructure. In contrast, Signal Amplification By Reversible Exchange (SABRE) is a fast, cheap, and scalable hyperpolarization technique. SABRE in SHield Enables Alignment Transfer to Heteronuclei (SABRE-SHEATH) can transfer polarization from parahydrogen to  $^{13}\text{C}$  in pyruvate, however, polarization levels remained low relative to DNP (1.7% with SABRE-SHEATH vs.

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### ASSOCIATED CONTENT

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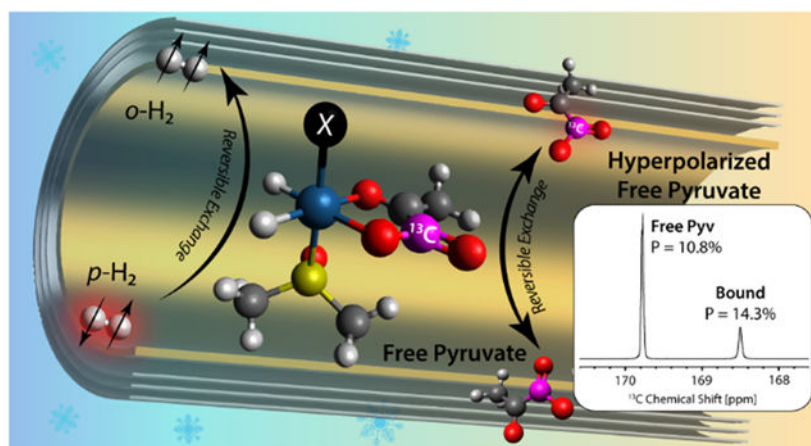
Additional figures and results as discussed in the text.

Thomas Theis holds stock in Vizma Life Sciences LLC (VLS) and is President of VLS. VLS is developing products related to the research being reported. The terms of this arrangement have been reviewed and approved by NC State University in accordance with its policy on objectivity in research. The authors have filed a provisional patent application through NC State University with the USPTO regarding this work (Application# 63/203,591). EYC discloses a stake of ownership in XeUS Technologies, LTD.

≈60% with DNP). Here we introduce a temperature cycling method for SABRE-SHEATH that enables >10% polarization on [1-<sup>13</sup>C]-pyruvate, sufficient for successful *in vivo* experiments. First, at lower temperatures, ≈20% polarization is accumulated on SABRE-catalyst bound pyruvate, which is released into free pyruvate at elevated temperatures. A kinetic model of differential equations is developed that explains this effect and characterizes critical relaxation and build-up parameters. With the large polarization, we demonstrate the first <sup>13</sup>C pyruvate images with a cryogen-free MRI system operated at 1.5 T, illustrating that inexpensive hyperpolarization methods can be combined with low-cost MRI systems to obtain a broadly available, yet highly sensitive metabolic imaging platform.

## Graphical Abstract

New techniques and fundamental insights in hyperpolarization chemistry provide high nuclear spin polarization on pyruvate using parahydrogen, paving the way for applications in biophysical studies on proteins *in vitro*, on metabolism in cell cultures, or on disease states *in vivo*.



## Introduction

Hyperpolarized magnetic resonance imaging (MRI) is emerging as a technique to track biomolecular metabolism without radioactive labels or ionizing radiation.<sup>1</sup> Hyperpolarized (HP) MRI is currently under investigation in clinical trials to gain insights and diagnose metabolic disease states such as cancer<sup>1</sup>, diabetes<sup>2</sup>, or cardiovascular disease<sup>3,4</sup>. HP pyruvate is as a leading candidate as metabolic marker due to its safety and its central role in metabolism.<sup>1</sup> Through measuring pyruvate metabolism, striking advancements have been made in the detection of cancer cells in prostate<sup>5,6</sup>, breast<sup>7</sup>, and brain<sup>8</sup> tissues<sup>9</sup>. However, the leading method to hyperpolarize pyruvate, dissolution dynamic nuclear polarization (d-DNP), is limited in broad availability due to its high cost (≈\$2.5M), long contrast agent production times (≈30 min or more), and instrument complexity.<sup>10,11</sup> In contrast, Signal Amplification By Reversible Exchange (SABRE)<sup>12</sup> is a fast (≈20 s), cheap (≈\$25k), and scalable hyperpolarization technique using parahydrogen ( $p\text{-H}_2$ ) as a source of spin order to directly hyperpolarize small molecules in solutions, including pyruvate.<sup>12-15</sup>

The hyperpolarization of heteronuclei (*e.g.*,  $^{13}\text{C}$ ) is optimized in magnetic shields that establish  $\mu\text{T}$  magnetic fields, called SABRE in Shield Enables Alignment Transfer to Heteronuclei (SABRE-SHEATH).<sup>16-18</sup> Previous work has demonstrated  $^{13}\text{C}$  pyruvate hyperpolarization with SABRE-SHEATH, but remained limited in polarization relative to the high values of DNP (1.7% vs.  $\approx 60\%$ ).<sup>13,14</sup> Here, we present a combination of advances including the use of temperature cycling to overcome the *in vivo* polarization threshold of 10% with SABRE-SHEATH. The results of this study also indicate that further optimization is possible to maximize the critical molar polarization, defined as the product of concentration and polarization (introduced by Shchepin et al.<sup>19</sup> and Knecht et al.<sup>20</sup>), ultimately the most important hyperpolarization parameter required for *in vivo* translation.<sup>5,11,21</sup>

Previous demonstrations of parahydrogen induced polarization with side arm hydrogenation (PHIP-SAH) on pyruvate have shown the feasibility of *in vivo* studies.<sup>22,23</sup> These experiments demonstrate that an initial  $^{13}\text{C}$  polarization of 10%, which was purified to give a 35 mM 3.5% polarization solution at the time of injection, is sufficient for *in vivo* chemical-shift MRI.<sup>22</sup> PHIP-SAH involves synthesis of a propargyl pyruvate precursor, hydrogenation, complex spin transfer, hydrolysis, and phase transfer steps to obtain HP pyruvate.<sup>22</sup> In contrast, the facile nature of SABRE enables direct hyperpolarization of the  $^{13}\text{C}$  spins in pyruvate with reduced complexity. Figure 1 highlights the catalytically active species originally described by Iali *et al.*, where optimized hyperpolarization levels of  $[1-^{13}\text{C}]$ -pyruvate reached 0.96%,<sup>13</sup> substantially below the  $^{13}\text{C}$  polarization achieved with DNP<sup>6</sup> or the PHIP-SAH methods.<sup>22,24</sup>

In the present work, we highlight that sufficiently fast  $p\text{-H}_2$  exchange still occurs in the complex at low temperatures to efficiently polarize bound pyruvate. Using this feature, we implement time-dependent temperature gradients with SABRE-SHEATH on  $[1-^{13}\text{C}]$ -pyruvate to reach  $P_{^{13}\text{C}}$  ( $^{13}\text{C}$  polarization) 10.8% on free pyruvate in solution, which is over six times greater than previous optimized results (Figure 2A). Additionally, this figure is on par with the initial polarization achieved on allyl pyruvate with SAH-PHIP,<sup>22</sup> indicating that with simple purification methods<sup>20,24</sup> a viable biocompatible injectable for *in vivo* imaging could be produced. This is enabled by starting with  $P_{^{13}\text{C}} \approx 20\%$  on catalyst-bound pyruvate at lower temperatures. We also provide detailed insights and a kinetic model to describe exchange dynamics and relaxation processes during temperature gradients, which modulate substrate and hydride exchange rates. As detailed below, further optimization yields even greater molar polarization levels ( $\text{conc.} \times \%P$ ) as needed for *in vivo* studies.<sup>9,20,22</sup>

## Results and Discussion

The spectrum and results shown in Figure 2A are the maximum achieved single-shot polarization. This data shows 14.3% polarization on bound pyruvate (after warm-up), and 10.8% on the free pyruvate, corresponding to a total polarization of 11.8%. The calculation of  $\%P$  uses the reference signal displayed in Fig. 2B, and is detailed in the SI. This result is enabled by, a) the use of high catalyst to substrate ratio (5 eqv. pyruvate, 3.3 eqv. DMSO), as done in previous work,<sup>14,25</sup> b) the use of  $[1-^{13}\text{C}]$ -pyruvate, (both  $[2-^{13}\text{C}]$ -pyruvate and  $[1,2-^{13}\text{C}_2]$ -pyruvate give lower polarization under identical conditions), and c) pre-cooling

to slow exchange followed by bubbling at elevated temperature causing a time-dependent temperature gradient. To ensure reproducibility, we conducted the same experiment five times on different days and obtained an average of  $10 \pm 1\%$  polarization on free pyruvate (see SI section 8). On bound pyruvate, polarization levels approaching 20% are observed when bubbling at even lower temperatures as detailed below.

Using the high polarization on  $[1-^{13}\text{C}]$ pyruvate, we acquired a  $^{13}\text{C}$  image, shown in Figure 2C, utilizing a fast spin echo sequence at 1.5 T of a cryogen-free MRI system that can be operated at any field between 5 mT and 3 T. At the clinically relevant field of 1.5 T, we imaged the sample directly in an NMR tube with sub-mm resolution. The HP signal enables 3D multi-slice  $^{13}\text{C}$ -imaging of the 3.45 mm cross-sectional area of the NMR tube (full details are provided in the SI). As can be seen in the images, even the small, sub mm sized capillary can be resolved in the images.

To characterize the temperature dependence of the hyperpolarization, we conducted the experiments depicted in Figures 3A-E. We used a pneumatic shuttle,<sup>26</sup> where the sample is first cooled in the probe and subsequently shuttled out of the cooled atmosphere into magnetic shields for SABRE-SHEATH<sup>13,27,28</sup>. Figure 3E shows the change in sample temperature as a function of bubbling time when starting at a sample temperature of 0 °C. The temperature was assessed with the internal methanol thermometer (see SI).

At low initial temperature, the slower exchange promotes efficient polarization buildup on the catalyst-bound pyruvate. This effect is evidenced by up to 20% polarization on the catalyst-bound pyruvate achieved by starting at the lowest temperature of  $-10$  °C (see Figure 3C). With only 15 s of bubbling (Figure 3B) the polarization remains almost exclusively on the bound species **3b**. In contrast to previous work,<sup>14</sup> our data suggests that at low temperatures, efficient hydrogen exchange still occurs on **3b** and **3a** species yet at a sufficiently slow rate to allow the weak hydride- $^{13}\text{C}$  couplings to pump large degrees of polarization onto bound  $^{13}\text{C}$  pyruvate, which barely exchanges. As the sample warms during the bubbling period,  $[1-^{13}\text{C}]$ -pyruvate can exchange off the catalyst more rapidly while SABRE continues, albeit with reduced efficiency, ultimately leading to high polarization on free pyruvate. As is evident from Figure 3B and 3C, at even further elevated temperatures the free and bound polarization numbers equilibrate due to efficiently exchanging polarization pools. To unequivocally confirm that experiments with a temperature gradient give higher polarization than experiments with constant temperature, we conducted the study shown in Figure 3F. For this direct comparison, we had to use manual sample transfer experiments with a 1.1 T benchtop NMR spectrometer. In these experiments, the sample is either bubbled in a water bath at constant temperature in a magnetic shield (purple, Figure 3F) or first pre-cooled in a water bath at a set temperature and then bubbled in the shield at ambient temperature (green, Figure 3F). The constant temperature experiments consistently stay below the experiments with temperature gradients—lower relative polarization values in this data, compared to automated shuttling, are due to unavoidable inconsistencies in slow manual sample transfer. Additionally, the temperature gradient experienced in this setup is different than in shuttling. In the shuttling system, the sample is bubbled in an atmosphere of  $\approx 14$  °C (see Figure 3E), while in the manual transfer experiments the sample is just moved into a room temperature ( $\approx 23$  °C) atmosphere.

Relaxation and polarization build-up data shown in Figure 4 additionally support and characterize the described dynamics. We fit the data to a two-state (bound - free) model, which we developed inspired by previous work.<sup>29</sup> First, for relaxation dynamics in the absence of a pumping term (Figure 4 A), the model takes into account chemical exchange and relaxation of the bound and free pyruvate species.

$$\frac{dP_B}{dt} = -(k + \rho_B) P_B[t] + k \cdot P_F[t] \quad (1)$$

$$\frac{dP_F}{dt} = k \cdot P_B[t] - (k + \rho_F) P_F[t] \quad (2)$$

Here  $p_B$  and  $p_F$  are the free and bound polarization,  $k$  is the pyruvate exchange rate, and  $\rho_B$  and  $\rho_F$  are the relaxation rates of the free and bound pyruvates species. Solving the system of differential equations yields a fitting function for the bound and free spin relaxation. The full derivation of the fitting functions is given in the SI.

After solving these differential equations, we use the resulting model to fit the relaxation data in Figure 4A. At  $t=0$  the bound polarization exceeds the free polarization. The difference of the two is illustrated by the purple curve in Figure 4A. Initially, bound polarization decreases quickly because of exchange and relaxation. In contrast, the free polarization only experiences very slow initial decrease because of the exchange with the highly polarized bound species. After about 8 s, the free polarization surpasses the bound polarization due to faster relaxation of the bound species.

A similar model is used to fit the polarization build-up data displayed in Fig. 4B. The only difference is that we introduce a temperature (i.e. bubbling-time) dependent polarization pumping rate,  $\Gamma$ .

$$\frac{dP_B}{dt} = \Gamma - (k + \rho_B) P_B[t] + k \cdot P_F[t] \quad (3)$$

$$\frac{dP_F}{dt} = k \cdot P_B[t] - (k + \rho_F) P_F[t] \quad (4)$$

$$\Gamma = b + a \cdot e^{-\frac{t}{\tau}} \quad (5)$$

where Eq. 4 is identical to Eq. 2. The present model for  $\Gamma$  is purely empirical with fit parameters  $b$ ,  $a$ , and  $\tau$ . After solving this new set of differential equations, only  $k$ ,  $b$ ,  $a$ , and  $\tau$  are used as fit parameters.  $\rho_B$  and  $\rho_F$  are used as extracted from the relaxation data (see SI for details). With this model, the fits explain the rapid initial build-up of bound polarization where pumping is efficient, yet pyruvate exchange is inefficient. We point out that without a temperature-dependent pumping rate  $\Gamma$ , the resulting models cannot represent the data in any reasonable way even if a temperature-dependent  $k$  is used. It appears that

$\Gamma$  has a larger temperature dependence than the pyruvate exchange  $k$ . In forthcoming work, we will examine this question and characterize the activation parameters of both hydrogen and pyruvate exchange in full. In the current absence of activation enthalpy and entropy and without knowledge of the exact  $J$ -coupling values that drive polarization transfer from  $p$ -H<sub>2</sub> to bound [1-<sup>13</sup>C]-pyruvate, the empirical model for  $\Gamma$  gives valuable information, showing that hydrogen exchange becomes too fast at elevated temperatures to effectively drive SABRE. Therefore, temperature cycling solves the conundrum of having to optimize both hydrogen and substrate exchange simultaneously.

Finally, in Figure 4C we illustrate that  $p$ -H<sub>2</sub> is not the limiting substrate at pressures above 75 psi for the investigated sample composition of 6 mM Ir-IMes catalyst, 20 mM DMSO and 30 mM [1-<sup>13</sup>C]-pyruvate. This graph implies that at higher substrate and catalyst concentrations the  $p$ -H<sub>2</sub> pressure can be increased to maintain the same polarization levels while boosting the ultimately important molar polarization. This insight is further stressed by the results displayed in Figure 4D, which demonstrate the scalability of <sup>13</sup>C pyruvate polarization from 30 mM [1-<sup>13</sup>C]-pyruvate (where all the previously discussed results were obtained) to 60 mM [1-<sup>13</sup>C]-pyruvate (maintaining the same ratios of catalyst and DMSO). Doubling of the concentration actually leads to an increase in polarization, more than doubling the molar polarization, indicating that 60 mM [1-<sup>13</sup>C]-pyruvate may be the ideal concentration for future studies. Shifting to an even higher concentration yielded slightly reduced polarization, however these higher concentrated samples are likely to be parahydrogen limited (see Figure 4C), so higher  $p$ -H<sub>2</sub> pressures may return similar polarization levels, while boosting molar polarization.

## Conclusion

In summary, we demonstrated a high (11.8% weighted average) total polarization for [1-<sup>13</sup>C]-pyruvate and 10.8% free polarization paving the way for further optimization and significantly enhancing the feasibility of *in vivo* work. Specifically, the facile and robust nature of SABRE hyperpolarization relative to other hyperpolarization methods make it an easily scalable technology. In these results, we emphasize the role that spin system, sample composition, and temperature gradients play in achieving high polarization levels. A kinetic model of differential equations was used to rationalize the high polarization levels. We used these high polarization levels to acquire multi-slice HP <sup>13</sup>C images with a cryogen-free MRI system operated at 1.5 T. This achievement indicates that it is possible to combine low-cost hyperpolarization with low-cost MRI to achieve high-sensitivity molecular imaging. Future work will focus on partnering these methods with previous demonstrations of catalyst extraction<sup>30</sup> or phase-switching<sup>31</sup> to achieve safely injectable solutions for *in vivo* demonstrations at the preclinical level, driving this technology toward clinical applications.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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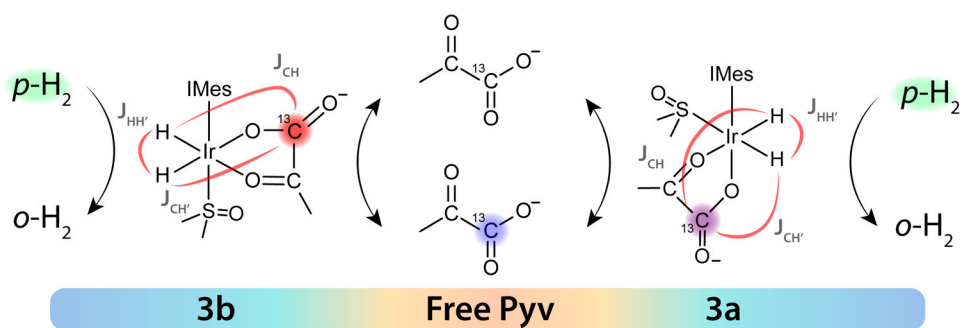
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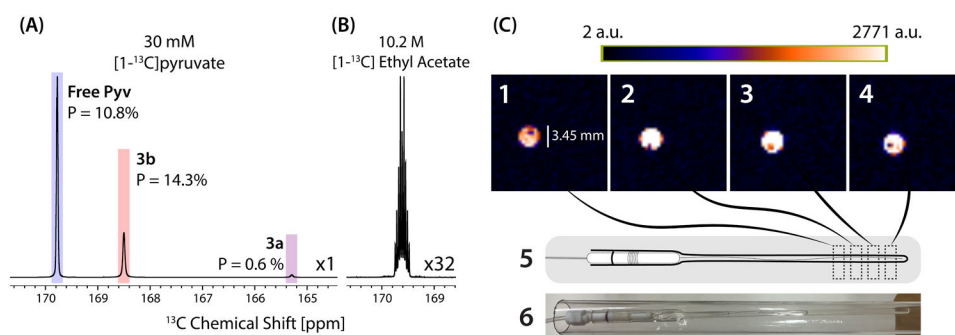
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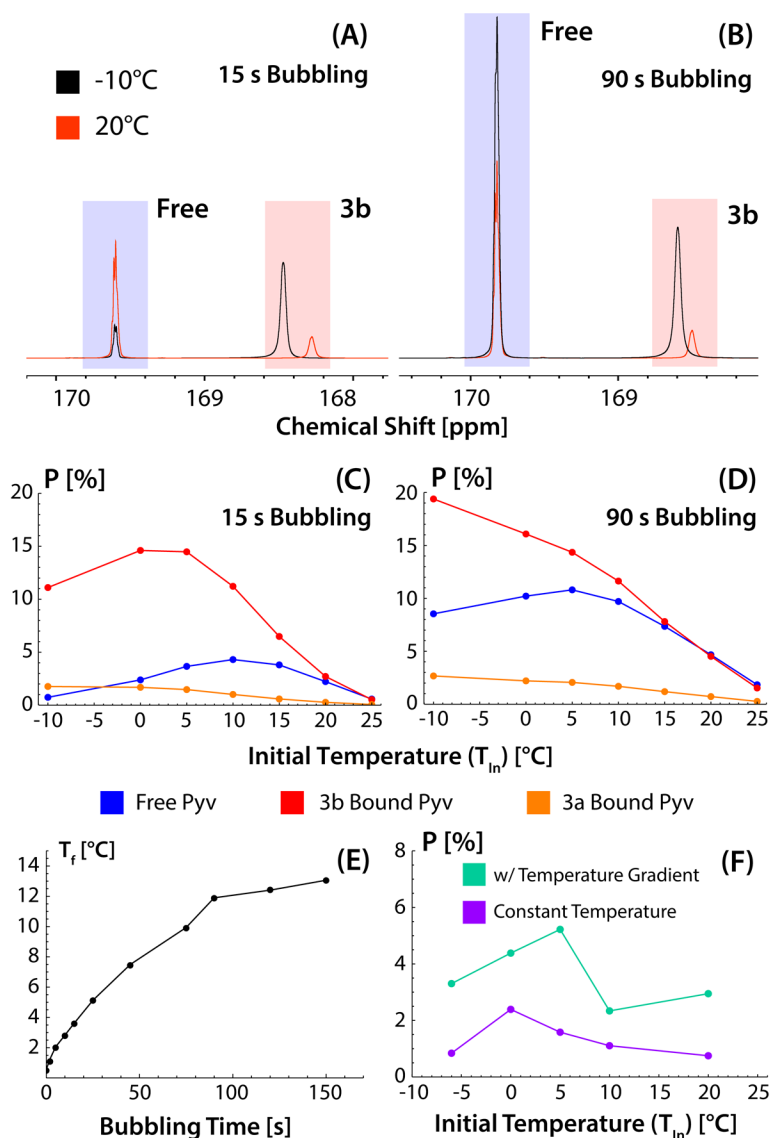


**Figure 1.** Hyperpolarization scheme of [1-<sup>13</sup>C]-pyruvate, with a gradient representation of temperature cycling. The full IMes ligand is omitted for diagram clarity, where IMes = 1,3 - bis(2,4,6 - trimethylphenyl) imidazole-2-ylidene.

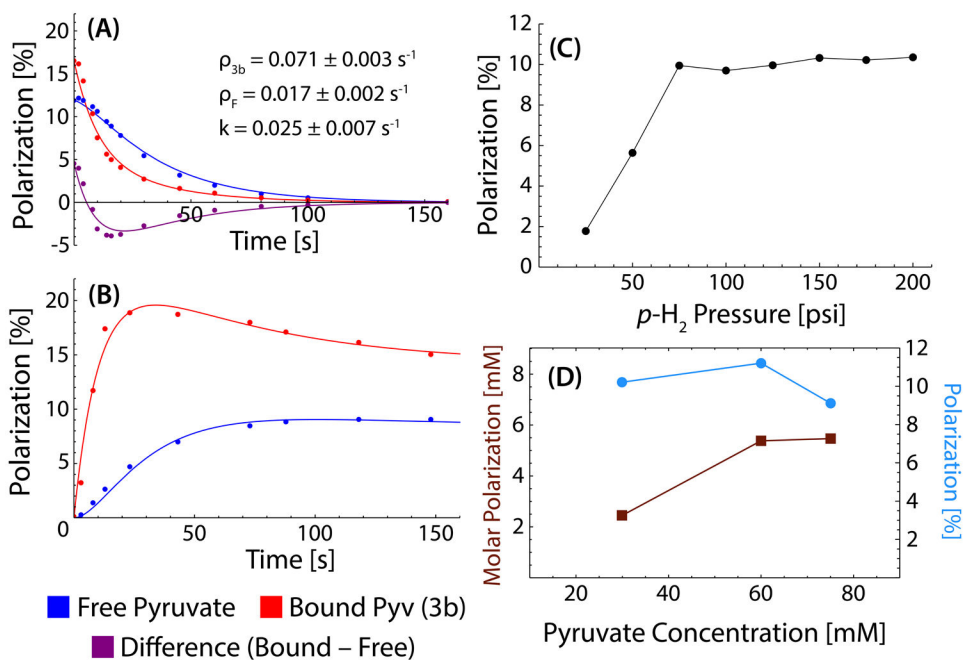


**Figure 2.**

(A) NMR spectrum of hyperpolarized  $[1-^{13}\text{C}]$ -pyruvate in free and catalyst-bound forms. This spectrum was acquired with a sample of 30 mM  $[1-^{13}\text{C}]$ -pyruvate, 20 mM DMSO, and 6 mM IMES catalyst in  $\text{CD}_3\text{OD}$ . (B) Thermal reference spectrum of  $[1-^{13}\text{C}]$ -ethyl acetate at 9.4 T, used for calculation of the polarization. (C) MRI of HP  $[1-^{13}\text{C}]$ -pyruvate sample of 60 mM  $[1-^{13}\text{C}]$ -pyruvate, 40 mM DMSO, and 12 mM IMES catalyst in  $\text{CH}_3\text{OH}$ . Four axial slices of the image are taken in 1-4, with the NMR tube phantom and corresponding slice positioning shown in 5 and 6. The images are acquired with a fast spin echo sequence at 1.5 T with  $64 \times 64$  voxels,  $30 \times 30 \text{ mm}^2$  FOV, a single echo train with 64 lines, and an overall acquisition time of 1.5 s. Full details regarding the setup and sequence are in the SI. The polarization obtained in the MRI was 5.85% by comparison to a thermal phantom.



**Figure 3.** Variable temperature comparisons in the hyperpolarization of [1-<sup>13</sup>C]-pyruvate. (A,B) Comparison of HP spectra obtained with an initial sample temperature of -10°C and 20°C using (A) 15 s bubbling and (B) 90 s bubbling. (C,D) Comparison of the temperature dependence of [1-<sup>13</sup>C]-pyruvate hyperpolarization with (C) 15 s bubbling and (D) 90 s bubbling. (E) Final sample temperature with variable bubbling time for an initial sample temperature of 0°C in the pneumatic shuttling setup. (F) Comparison of polarization obtained on free pyruvate with and without a temperature gradient using manual sample transfer.



**Figure 4.** Relaxation, build-up, pressure, and concentration dependence. (A) Low-field ( $0.3 \mu\text{T}$ ) relaxation and (B) polarization build-up data for free and bound pyruvate. Relaxation data is acquired after  $p\text{-H}_2$  bubbling is stopped followed by a variable delay, while buildup data is acquired with variable bubbling periods. The data are fit to curves derived from the discussed differential equations (Eq. 1-5). Since the resulting analytical solutions are lengthy, they are integrated and detailed in the SI. Table 5 in the SI gives all fit parameters. In A the temperature is constant and approx.  $14 \text{ }^\circ\text{C}$ . (C) Pressure dependence of pyruvate polarization. (D) Sample concentration dependence for pyruvate polarization and molar polarization. Catalyst and DMSO concentrations are scaled at constant ratio. All data are acquired using an initial sample temperature of  $0 \text{ }^\circ\text{C}$ .