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MINIREVIEWS

Targets of immunotherapy for hepatocellular carcinoma: An update

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Abstract

Hepatocellular carcinoma, the most common primary liver cancer, in an immunogenic tumor with a poor prognosis because these tumors are diagnosed at late stages. Although, surgical resection, ablation, liver transplant, and locoregional therapies are available for early stages; however, there are yet no effective treatment for advanced and recurrent tumors. Immune checkpoint inhibitor therapy and adoptive cell transfer therapy has gained the popularity with some positive results because these therapies overcome anergy and systemic immune suppression. However, still there is a lack of an effective treatment and thus there is an unmet need of a novel treatment. At present, the focus of the research is on oncolytic viral therapy and combination therapy where therapies including radiotherapy, immune checkpoint therapy, adoptive cell transfer therapy, and vaccines are combined to get an additive or synergistic effect enhancing the immune response of the liver with a cytotoxic effect on tumor cells. This review discusses the recent key development, the basis of drug resistance, immune evasion, immune tolerance, the available therapies based on stage of the tumor, and the ongoing clinical trials on immune checkpoint inhibitor therapy, adoptive cell transfer therapy, oncolytic viral vaccine therapy, and combination therapy.

Key Words: Hepatocellular carcinoma; Immunotherapy; Immune checkpoint inhibitors; Adoptive cell therapy; Oncolytic vaccines; Combination therapy

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Core Tip: A significant proportion of patients with hepatocellular carcinoma (HCC) present with advanced disease and therapeutic strategies for such patients are limited. The tumor microenvironment mediating immune response suppression, immune

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tolerance, and evasion further complicate the treatment in advanced HCC. The involvement of immune response in the pathogenesis of HCC makes immunotherapy an attractive approach for the treatment of advanced HCC. Further, the recent research with beneficial results with immune checkpoint inhibition, adoptive cell transfer therapy, tumor vaccines, and combinational therapies to boost the immune response of the tumor are in development and have been discussed here.

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INTRODUCTION

Hepatocellular carcinoma (HCC), an inflammation-driven cancer, is an immunogenic tumor arising from chronically inflamed liver (liver cirrhosis) caused by risk factors including alcoholic fatty liver disease, non-alcoholic fatty liver disease, and viral and non-viral pathogenesis[[1](#page-13-0)]. Liver cancer is the third most common cause of cancer deaths and sixth most common cancer diagnosis worldwide. HCC has an incidence of 9.3 cases per 100000 person-years and 8.5 deaths per 100000 person-years worldwide and incidence of 9.5 per 100000 person-years in the United States[\[2,](#page-13-1)[3](#page-13-2)]. HCC incidence has a regional variability because of relative prevalence of key risk factors. HCC has grim prognosis and increasing incidence and with a similar existing trend, HCC has a projected rate of an increase approximately 2.8% per year through 2030 particularly in countries with a high socio-demographic index $[3-5]$ $[3-5]$ $[3-5]$. Current therapy for HCC based on the stage of HCC comprises of surgical, locoregional, and systemic therapies. Surgical resection or liver transplantation, depending on liver function, the presence of portal hypertension, and tumor burden, is standard of therapy with a 5-year survival rate in 70% of treated patient for early stage; radiofrequency, thermal and non-thermal ablation, and trans-arterial chemoembolization (TACE) with 3-5-year survival rates in patients with nonresectable tumor and not fit for liver transplantation; and systemic therapy with tyrosine-kinase inhibitors sorafenib and regorafenib and inhibitor of vascular endothelial growth factor (VEGF) receptors lenvatinib with a very limited survival benefit due to chemoresistance and toxicities $[3,6-11]$ $[3,6-11]$ $[3,6-11]$ $[3,6-11]$ $[3,6-11]$ $[3,6-11]$. Sorafenib, targeting VEGF is standard first line systemic therapy approved for advanced HCC patients; lenvatinib is alternative first line therapy; and regorafenib, and cabozantinib are second line systemic therapy $[3,5]$ $[3,5]$ $[3,5]$. The lower survival rate in unresectable HCC is due to resistance to systemic treatment modalities and chemotherapy. The recent studies suggest immunotherapy as a promising modality for the treatment of advanced HCC because immunotherapy could elicit nontoxic, systemic, long-lived anti-tumor activity. Additionally, a correlation between immune response and HCC and the paucity of available therapeutic strategies supports the notion to investigate immunotherapeutic targets and designing of better therapeutics for HCC. In this review, we have discussed the basis of resistance to therapy and various modalities for the treatment of advanced cancer along with the recent updates including ongoing clinical trials.

TUMOR MICROENVIRONMENT, IMMUNOSUPPRESSION, AND IMMUNE EVASION IN HCC

The intrinsic immune response of the liver mediated by liver sinusoidal endothelial cells (LSECs), liver resident macrophages or Kupffer cells (KCs), hepatic stellate cells (HSCs), and hepatic dendritic cells (HDCs) plays a central role in host defense, functional heterogeneity of the liver, and in the maintenance of self-tolerance ([Figure 1\)](#page-2-0). Natural immune response mediated by these cells to protect liver parenchyma is generated by the exposure to antigens and makes liver an immune suppressive microenvironment. LSECs, the specialized endothelial cells, are the most effective scavenger cells which also act as antigen-presenting cells (APCs) while regulating the immune response. LSECs regulate immune cell recruitment *via* specific

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Metastasis, angiogenesis, invasion, growth

Figure 1 Tumor microenvironment in hepatocellular carcinoma. Tumor microenvironment comprising of liver sinusoidal endothelial cells, liver resident macrophages or Kupffer cells, hepatic stellate cells, hepatic dendritic cells, tumor-associated macrophages, cytokines, fibroblasts, infiltrating immune cells, pro-tumor M2 macrophages, and growth factors mediate immune suppression, immune tolerance, and immune evasion causing increased tumorigenicity with enhanced evasion, angiogenesis, metastasis, tumor growth. IL: Interleukin; TNF-α: Tumor necrosis factor-alpha; TGF-β: Transforming growth factor-beta; IFN-γ: Interferongamma; MMPs: Matrix metalloproteinases; PD-1: Programmed cell death protein 1; PD-L1: Programmed death-ligand 1; PGE2: Prostaglandin E2; EGF: Epidermal growth factor; VEGF: Vascular endothelial growth factor; TIM3: T-cell immunoglobulin and mucin-domain-containing molecule-3; LAG3: Lymphocyte-activation gene 3; EMT: Epithelial mesenchymal transition.

integrins (αLβ2, α4β1, α4β7)[[1](#page-13-0),[3](#page-13-2)[,5](#page-13-3)[,12](#page-13-6)[,13](#page-13-7)]. LSECs prevent immune responses against gut bacterial antigens by inhibiting CD4+ and CD8+ T lymphocytes and reduce the ability of dendritic cells (DCs) to activate T cells. KCs, the non-migratory liver resident macrophages residing in the lumen of liver sinusoids, promote immunological tolerance by increasing the secretion of interleukin (IL)-10 and prostaglandins, removing the gut bacteria, attenuation of CD4+ T lymphocytes, and proliferation of inhibitory CD4+ regulatory T cells (Tregs). The roles of KCs in mediating immune response and immune tolerance, recruiting Tregs and neutrophils, stimulating T cell response to infection, and recruitment and activation of natural killer (NK) cells have been discussed in detail[\[5\]](#page-13-3). HSCs, the specialized fibroblasts, are present in the space of Dissé between the parenchymal cells and play an immune sentinel role. HSCs secrete transforming growth factor (TGF)-β which is an immunosuppressive cytokine involved in inflammation, liver regeneration, and liver fibrosis [\(Figure 1](#page-2-0)). HDCs being the poor stimulator of effector CD4+ T cells contribute to the tolerogenic microenvironment of the liver. The immune suppressive microenvironment of the liver with downregulation of immune response genes is more evident during the development and progression of HCC and results in a lower tumor immunity during advanced disease. The presence of numerous non-redundant mechanisms of immunesuppression in HCC-tumor microenvironment (TME) synergize with immunotherapy [[1](#page-13-0),[3](#page-13-2)[,12](#page-13-6),[13\]](#page-13-7). In addition to immune effector cells LSECs, KCs, HSCs, and HDCs; resident liver lymphocytes including NK cells and innate T-cells play a crucial role in innate immune response against intracellular bacteria, viruses, and parasites. However, a dysfunctional immune response due to higher proportion of CD4+ to CD8+ cells promote immune tolerance and a poor prognosis. A decreased T-cell activation and tumor infiltration due to lower expression of tumor antigens on liver cancer cells results in a less efficient control of tumor growth and worse clinical outcome. A hypofunctional NK cells and insufficiency of tumor-infiltrating lymphocytes (TILs) in controlling tumor growth adds to HCC progression[\[1](#page-13-0)[,3,](#page-13-2)[5](#page-13-3)].

Although the immune suppressive microenvironment is important for the selftolerance in the normal liver, this characteristic of the liver is a major impediment in

developing an effective antitumor immunotherapy. TME helps in escaping the immunological surveillance, growth, and progression of the tumor. The decreased efficacy of antitumor treatment is also mediated by tumor evasion. The presence of cells with immune suppressive functions and higher expression of immune checkpoint molecules characterizing the TME leads to reduced activity of effector antitumor immune response and tumor immune evasion. HCC cancer cells and KCs have a higher expression of programmed death-ligand 1 (PD-L1) and an interaction between programmed cell death protein 1 (PD-1) and PD-L1 on tumor infiltrating lymphocytes and tumor cells mediate T cell exhaustion ([Figure 1](#page-2-0)), tumor-specific T-cell dysfunction and immune evasion $[5,14]$ $[5,14]$ $[5,14]$ $[5,14]$. Immune evasion and poor prognosis in HCC are also mediated by higher expression of T-cell immunoglobulin and mucin-domaincontaining molecule-3 (Tim-3) and lymphocyte-activation gene 3 (LAG-3) on CD8+ T lymphocytes from tumor [\(Figure 1](#page-2-0)) and not on T cells from normal liver tissue. TIM3 is also expressed on tumor-associated macrophages (TAM) which are associated with growth, angiogenesis, invasion, and metastasis of HCC[\[15](#page-13-9)[-20](#page-14-0)]. Further, an increased expression of immunosuppressive cytokines including IL-4, IL-5, IL-8, and IL-10 and decreased levels of pro-inflammatory cytokines including tumor necrosis factor-α, interferon (IFN)-γ, and IL-1β in TME ([Figure 1\)](#page-2-0) contribute to immune dysfunction, immune evasion, an aggressive tumor phenotype, and poor prognosis in HCC patients [[3](#page-13-2),[21,](#page-14-1)[22\]](#page-14-2). The balance of effectors and immunosuppressive cells in TME as well as the ability of malignant cells to present tumor antigens to APCs are the requisite for an effective antitumor immune response by promoting the cytotoxic T cell infiltration. Increased infiltration of T cells is associated with the expression of non-specific tumor associated antigens (TAAs) and mutated antigens (neoantigens) which might be potential immunological targets because they are derived from mutation in cancer cells. Thus, identifying the immunologically relevant neoantigens present on the tumor cells surface which do not have a homology with wildtype but have a homology with pathogen-derived epitope is warranted for better therapeutics and clinical outcome[\[1,](#page-13-0)[23](#page-14-3)].

IMMUNOTHERAPY FOR HCC

The immune-mediated pathogenesis of HCC makes it attractive for immune-based therapies. Immune dysfunction, immunosuppression, immune evasion, the presence of immune checkpoints and neoantigens underlying the pathophysiology of HCC makes immunotherapy a potential therapeutic strategy by targeting different mechanisms involved in the development and progression of HCC; however, there are limitations, and the results of various studies so far are modest. The immunotherapy strategies available for HCC are immune checkpoint inhibitors, cancer vaccines, DC-, NK cells-, cytokine-induced killer (CIK) cell-, and TILs-mediated immunotherapy, chimeric antigen receptor (CAR) T-cell immunotherapy, adoptive T cell transfer therapy, and combinational immunotherapy ([Figure 2](#page-4-0)). Further, targeting molecular mediators including microRNAs, CCL2/CCR2, fibroblast growth factors (FGF), triggering receptor expressed on myeloid cells (TREMs), Wnt signaling, Smads, and TGF-β involved in the pathophysiology of HCC are additional targets[\[1,](#page-13-0)[3,](#page-13-2)[5](#page-13-3),[13,](#page-13-7)[20,](#page-14-0)[23](#page-14-3)- [30\]](#page-14-4). The molecular mechanisms of immunotherapy for HCC based on DC, NK cells, Tcells, and Tregs cells and targeting TREMs, TLRs, folate receptor, chemokine receptor, receptor for advanced glycation end products (RAGE), and microRNAs have been described elsewhere^{[\[24](#page-14-5)]}. The current immunotherapy aimed to unmask the immune response of the tumor and to stimulate a different immune response controlling the tumor growth and progression. Of these, immune checkpoint inhibitors involve targeting inhibitory receptors on T cells including PD-1, cytotoxic T-lymphocyte associated antigen 4 (CTLA-4), and immunosuppressive cytokines such as TGF-β; antibodies targeting alpha-fetoprotein (AFP) or glypican-3 (GPC3); and coupling of antibodies with T- or NK cells-mediated therapy to make it more effective $[1,3,24]$ $[1,3,24]$ $[1,3,24]$ $[1,3,24]$ $[1,3,24]$. PD-1/PD-L1 and CTLA-4 play an important role in the suppression of T cell activation by the tumor cells. GPC3, AFP, and heparan sulfate-based immunotherapy has been discussed in the literature[[24\]](#page-14-5).

IMMUNE CHECKPOINT INHIBITION

The systemic management of HCC has been revolutionized by the advent of immune checkpoint inhibitors. Immune checkpoint inhibition blocks the negatively regulating

Figure 2 Immunotherapy in hepatocellular carcinoma: Potential strategies and therapeutic targets. IR: Immune response; PD-1: Programmed cell death protein 1; PD-L1: Programmed death-ligand 1; CTLA-4: Cytotoxic T-lymphocyte associated antigen 4; TGF-β: Transforming growth factor-beta; LAG3: Lymphocyte-activation gene 3; CIK: Cytokine-induced killer; TIL: Tumor-infiltrating lymphocyte; NK: Natural killer; DC: Dendritic cell; GPC3: Glypican-3.

signals directly on T cells or on cells interacting with T cells and enhances the antitumor immunity. Immune checkpoint inhibitors and therapeutic monoclonal antibodies fine tunes the immune response by blocking the checkpoint proteins from binding with their partner proteins thereby helping the body to recognize and attack cancer cells by T cells leading to death of cancer cells. Immune checkpoint inhibitors are most effective in tumors with high mutagenic load $[3,5,13]$ $[3,5,13]$ $[3,5,13]$ $[3,5,13]$ $[3,5,13]$. Immune checkpoints are mainly expressed on B and T cells, NK cells, DC, TAMs, monocytes, and myeloidderived suppressor cells (MDSC)[[25\]](#page-14-6). CTLA-4, PD-1, LAG-3, B and T lymphocyte attenuator, and T cell immunoglobulin and mucin-domain containing (TIM-3) are the common immune checkpoints investigated in human cancer and PD-1/PD-L1 and CTLA-4 has become standard of care $[31,32]$ $[31,32]$ $[31,32]$. Immune checkpoint inhibitor therapy using antibodies against PD-1, CTLA-4, PD-L1, and prostatic-acid phosphatase have been shown to be safe and advantageous in treating melanoma, renal cell carcinoma, triple negative breast cancer, urothelial carcinoma, squamous cell carcinomas of the head and neck, prostate carcinoma, Merkel-cell carcinoma, non-small cell lung cancer, AIDS-related Kaposi sarcoma, and hairy cell leukemia[[5](#page-13-3),[25\]](#page-14-6). PD-1 is expressed on immune cell including CD8+ T cells, CD4+ T cells, B cells, NKs, Tregs, MDSCs, and DCs. Binding of PD-1 with its ligand PD-L1 inhibits the effector T cell response and thus, PD-1 has become an attractive target for immunotherapy. PD-1 inhibitor nivolumab and pembrolizumab have been approved as second line treatment of HCC [[33](#page-14-9)[,34](#page-14-10)] [\(Table 1\)](#page-5-0). Various phase I, phase II, and phase III clinical trials investigating drugs targeting PD-1 have been summarized in [Table 2.](#page-6-0) Other studies including the ORIENT-32 study (NCT03794440; sintilimab, bevacizumab biosimilar *vs* sorafenib), the RATIONALE-301 study (NCT03412773-phase III trial), the KEYNOTE-240 study (NCT02702401-phase II trial; pembrolizumab *vs* placebo), NCT03713593 (pembrolizumab and lenvatinib *vs* lenvatinib monotherapy), NCT03764293, NCT03434379 (atezolizumab, bevacizumab), NCT03847428 (durvalumab, bevacizumab), NCT0329- 8451 (tremelimumab, durvalumab *vs* sorafenib), NCT03755739 (pembrolizumab), NCT02576509 (nivolumab *vs* sorafenib), NCT03062358 (pembrolizumab *vs* placebo), and NCT03764293 (camrelizumab, apatinib) targeting PD-1/PD-L1 with VEGF inhibition have been described and summarized in the literature $[3,20]$ $[3,20]$ $[3,20]$ $[3,20]$. Of these NCT03298451 involves targeting CTLA-4 (tremelimumab) along with PD-1. Similarly, clinical trials of combination therapies based on PD-1/PD-L1 blockade combined with other agents (immunotherapies, antiangiogenics, targeted agents targeting TGF-β,

Table 1 Immune checkpoint inhibitor therapy for hepatocellular carcinoma

Immune checkpoint inhibitor therapy

CTLA-4: Cytotoxic T lymphocyte protein 4; PD-1: Programmed cell death protein 1; HCC: Hepatocellular carcinoma; HCV: Hepatitis C virus; RFA: Radiofrequency ablation; TACE: Transarterial chemoembolization.

> HSP90, c-met, FGFR4, locoregional therapies including TACE and Y90) for HCC have been discussed in detail[[25\]](#page-14-6).

> CTLA4 also known as CD152 is a membrane bound protein inhibitory receptor which keeps immune response in check and downregulates immune responses by inhibiting its binding with its ligand CD28. CTLA-4 is upregulated after T-cell activation and antagonize CD80 and CD86 co-stimulatory molecules[[13\]](#page-13-7). CTLA-4 has been an attractive target for the treatment of advanced HCC and some phase I and phase II trials have shown promising results ([Table 1](#page-5-0)), and some are ongoing to evaluate the effects of targeting CTLA-4 ([Table 2](#page-6-0)). As discussed above, TIM-3 plays a crucial role in immune evasion and poor prognosis in HCC, TIM-3 seems to be an important target for immune checkpoint inhibitors. TIM-3 is a transmembrane protein expressed on TILs, Tregs, and CD4+ and CD8+ T-cells and increases the number and activation level of macrophages. TIM3 expression on tumor cells leads to decreased cytotoxic T lymphocytes^{[\[13](#page-13-7)[,35](#page-14-11)]}. LAG-3, associated with hypofunctional CD8+ response, is another membrane bound protein which binds with MHC II and suppress T cell activity and cytokine release and upregulates T cell exhaustion in chronic viral infection or cancer[\[36](#page-14-12)]. Efficiently augmented proliferation and cytokine production by NY-ESO-1-specific CD8(+) T cells during T-cell priming with dual blockade of LAG-3 and PD-1 in ovarian tumor indicate antitumor function of NY-ESO-1-specific $CD8(+)$ T cells^{[[37\]](#page-14-13)} and supports the notion of targeting LAG-3 in HCC as its expression is increased in HCC[[1](#page-13-0)]. Increased expression of TGF-β, a membrane bound protein expressed on Tregs, is associated with suppression of CD4+ T cell response in HCC and promote tumor growth and progression[[38\]](#page-14-14). Targeting PD-1 on CD4+CD69+ Tregs is another potential target for advanced HCC ([Table 1](#page-5-0)). Various completed and ongoing clinical trials investigating the role of immune checkpoint inhibitors have been listed in Tables [1](#page-5-0) and [2.](#page-6-0) Other clinical trials including CheckMate-040, CheckMate-459, Keynote-224, Keynote-524, KeyNote-240, HIMALAYA, IMbrave150, VEGF Liver 100, COSMIC312, LEAP-002 along with their details and outcomes have been summarized in the literature[\[13](#page-13-7)]. In addition to this, clinical trials evaluating the immune checkpoint inhibitors nivolumab (ONO-4538, MDX-1106, BMS-936558), pembrolizumab (MK-3475), tislelizumab (BGB-A317), camrelizumab (SHR-1210), and spartalizumab (PDR001) for PD-1; durvalumab (MEDI4736), atezolizumab (MPDL3280A), and avelumab (MSB0010718C) for PD-L1; and tremelimumab (CP

Table 2 Ongoing clinical trials for immune checkpoint inhibitor therapy Identifier Type of study Study design Status/outcome Status/outcome NCT02576509 (CheckMate-459) Global phase III randomized control trial Comparing nivolumab with sorafenib as first treatment in advanced HCC Recruitment closed; Results awaited NCT01658878 Phase I/II doseescalation, openlabel, noncomparative study Phase 1 to establish the safety of nivolumab at different dose; Phase 2 to compare the efficacy of nivolumab and sorafenib; To study the safety and efficacy of the combination of nivolumab plus ipilimumab and nivolumab plus cabozantinib Active, not recruiting NCT03298451 Randomized phase III HIMALAYA trial To compare the combination of tremelimumab (CTLA-4 inhibitor) and durvalumab (PD-L1 inhibitor) *vs* sorafenib Recruiting patients NCT03680508 Phase II trial To test efficacy of TSR-022 (cobolimab, TIM-3 binding antibody) and TSR-042 (dostarlimab, PD-1 binding antibody) on advanced HCC Recruiting patients NCT02947165 Phase I/Ib study Anti-TGF-β monoclonal antibody NIS793 and PD-1 inhibitor spartalizumab in breast, lung, colorectal, pancreatic, renal, and HCC Active, not recruiting NCT03412773 Phase III randomized, openlabel, multicenter, global study To compare the efficacy and safety of tislelizumab *vs* sorafenib in unresectable HCC Active, not recruiting NCT03434379 (IMbrave150)[[51\]](#page-15-6) Phase III study To evaluate the efficacy and safety of atezolizumab in combination with bevacizumab compared with sorafenib in locally advanced or metastatic HCC; To determine overall survival Atezo + Bevac showed improved survival at 18 mo (52%) with clinically meaningful treatment benefit and safety. The trial confirmed atezo + bevac as a standard of care for previously untreated, unresectable HCC NCT02702401 (MK-3475- 240/KEYNOTE-240) Phase III study Pembrolizumab (MK-3475) in advanced HCC treated systemically as a second line therapy; To determine overall survival and progression free survival Active, not recruiting NCT03062358 (MK-3475- 394/KEYNOTE-394) Phase III study To determine the efficacy and safety of pembrolizumab or placebo with best supportive care previously systemically treated HCC Active, not recruiting NCT03383458 Phase III study To investigate if nivolumab will improve recurrence-Active, not recruiting

CTLA-4: Cytotoxic T lymphocyte protein 4; PD-1: Programmed cell death protein 1; HCC: Hepatocellular carcinoma; TGF: Transforming growth factor; TIM3: T cell immunoglobulin and mucin domain-containing protein 3.

free survival compared to placebo in HCC undergone

complete resection

675206) and ipilimumab (BMS-734016, MDX-010) for CTLA-4 have also been discussed [[30\]](#page-14-4). The advantage of immune checkpoint inhibitor therapy in augmenting the immune response of liver is due to its safe profile, rates of immune-related toxicity like other tumor type, and without any hepatic dysfunction as supported by the fact that the increase in aspartate aminotransferase and alanine aminotransferase with therapy was not relevant to cause its discontinuation^{[[39,](#page-14-15)[40](#page-15-7)]}. The phase I and phase II clinical trials, which makes the basis for phase III trials, involving immune checkpoint inhibitors nivolumab (anti-PD1), pembrolizumab (anti-PD1), tislelizumab (anti-PD1), durvalumab (anti-PD1), tremelimumab (anti-CTLA4), and camrelizumab (anti-PD1) have been discussed in the literature^{[\[30](#page-14-4)]}. Similarly, the clinical trials (NCT01658878, NCT02576509, NCT02702414, NCT02702401, NCT02715531, NCT03434379, NCT01008358, and NCT01853618) with immune checkpoint inhibitors have been summarized $[20,29]$ $[20,29]$ $[20,29]$ $[20,29]$ ([Table 2](#page-6-0)). The results of these studies and outcome of phase I and phase II clinical trials suggest that immune checkpoint inhibitor therapies can provide objective response in advanced HCC. These studies suggest that immunotherapy might be good therapeutic strategies for the treatment of HCC and combinational approach might be even more effective.

Immune check-point inhibitor therapy has been approved as first-line therapy in the cases not suitable for surgery and has been proven beneficial in stabilizing the quality of life, however, only a subset of patients has shown positive outcome and there are reports of tumor progression, worsening of liver function, and poor prognosis in others. The reason behind the equivocal results in clinical trials for immune checkpoint inhibitor therapy is due to the lack of biomarkers to check the tumor respons-

(CheckMate 9DX)

iveness during therapy $[41]$ $[41]$. Different strategies to assess the response and cut off values for the biomarkers are another reason for failure of immune check-point inhibitor therapy[[42\]](#page-15-9). Decreased T-cell infiltration, expression of newer or other immune checkpoints, mutation of the immunogenicity of cancer itself, change in gut microbiota, and TME might be other causes for unresponsiveness or failure of the immune checkpoint inhibitors[[43\]](#page-15-10). Limited efficacy or inability of the immune checkpoint inhibitors to target signaling pathways involved in tumorigenesis, as in case of monotherapy targeting PD-1/PD-L1 but not VEGF-A or Wnt/β-catenin, is another cause for failure or limited response of immune check-point inhibitor therapy[[44\]](#page-15-11). Collectively, these factors are responsible for not a high response rate of immune check-point inhibitors in HCC.

ADOPTIVE CELL TRANSFER

Adoptive cell transfer therapy involves administration of autologous lymphocytes in the HCC patients. Adoptive cell transfer therapy involves infusion of NK cells, CIK cells, TILs, and CAR-T cells. NK cells form nearly 50% of the immune cells in the liver and can kill the cells without any prior activation, thus endowing the defense against infection and tumor development. The cytotoxic role of expanded NK cells on HCC in murine model resulting in reduced tumor growth and improving overall survival[[29,](#page-14-16) [52](#page-15-12)[,53](#page-15-13)] supports the notion of using adoptive cell transfer therapy in HCC. CIK cells are T lymphocytes representing a T cell population which acquire phenotype of NK cells with NK cells surface markers through manipulation with IFN- γ , and IL-2, IL-1, and a monoclonal antibody against the T cell marker CD3 (OKT3) and represent non-MHCrestricted tumor-killing activity and inhibitory effect on tumorigenesis[[3](#page-13-2),[54\]](#page-15-14). Adoptive cell transfer therapy with CIK cells represents novel immunotherapy and early randomizing trials in HCC patients following surgical resection as adjuvant therapy shows promising results with a significantly reduced risk of recurrence, but without an improvement in overall survival $[3]$. Improved progression and recurrence free survival has been documented by a systematic review and meta-analysis of CIK cell therapy in HCC[\[55](#page-15-15)]. Similarly, studies investigating the efficacy of CIK cell therapy in HCC with the results of no major adverse events after a median follow-up of 14 mo with autologous TILs, benefits of CIK cell treatment, and significant superiority in prolonging the median overall survival, progression free survival, significantly higher disease-free survival rates, and disease control rate in HCC patients has been reviewed [[29\]](#page-14-16). GPC3, a member of the glypican family of heparan sulfate proteoglycans, is tumor specific and important for cell proliferation and its role in the pathogenesis of HCC has been described. Thus, targeting GPC3 and other TAAs or neoantigens with CAR-T cell therapy in HCC seems to be prospective immunotherapeutic option and has been reviewed in the literature[\[24](#page-14-5),[29\]](#page-14-16). The results of various clinical trial and the ongoing clinical trials for adoptive cell therapy has been summarized in Tables [3](#page-8-0) and [4](#page-9-0), respectively.

Adoptive cell transfer therapy has emerged as a promising therapy in HCC; however, the clinical trials and clinical research is progressing slowly because of various limitations such as inactivity of the infiltrating lymphocytes due to changing TME caused by immunoediting or immunomodulation. Down regulation of MHC class I molecule, cellular heterogeneity of the cells, terminal differentiated cells and short viability of these cells, effectiveness only in in-vitro but limited efficacy *in vivo*, unexpected toxicity (CAR-T), specificity for a target, cytokine storm, presence of neoantigens, presence of suppressive immune cells, evolution of inhibitory ligands, variability in cells processing conditions, defects in antigen processing and presentation, evolving tumor escape mechanisms, and presence of hostile TME associates with the limited success and slow progression of adoptive cell transfer therapy [[56-](#page-15-16)[58\]](#page-16-0). Off-tumor effects are potential concerns related to CAR-T cell therapy and associate with limited efficacy^{[[59\]](#page-16-1)}. CAR-T cells function can potentially be altered due to the interaction between CAR-T cells and host TME^{[[58\]](#page-16-0)}.

VACCINES

Peptide, DCs, whole-cell vaccines, oncolytic viruses, and DNA agents are the most common therapeutic vaccines used to increase immune response to tumor antigen [[64\]](#page-16-2). The common peptide vaccines including AFP, multidrug resistance-associated protein 3 (MRP3), and GPC3 have been proven safe and well-tolerated and clinical

Table 3 Adoptive cell therapy for hepatocellular carcinoma

Adoptive cell transfer

NK: Natural killer; IL: Interleukin; HCC: Hepatocellular carcinoma; CIK: Cytokine-induced killer; TIL: Tumor-infiltrating lymphocytes; RFA: Radiofrequency ablation; CAR: Chimeric antigen receptor.

> trials including UMIN000001395, UMIN000005678, NCT01974661, NCT00554372, and NCT01387555 using these vaccines have been summarized in the literature[[29\]](#page-14-16). As discussed above, the non-specificity of tumor antigen is a major cause of impediment in designing novel therapeutics and tumor vaccines helps in augmenting specific immune responses to tumor antigens. Thus, tumor vaccines seem to be potential therapeutics for advanced HCC, however, disappointing results from previous trials and lack of vaccine efficacy in other tumors cause scarcity of clinical trials of tumor vaccines for HCC. However, this hurdle has been renounced due to the modern techniques of identifying novel targets using RNA/DNA sequencing and bioinformatics[[3](#page-13-2)]. DCs are APCs presenting the TAAs and provide secondary costimulation required for the priming of an effective T cell response. Peripheral DCs treated with various factors to activate and mature ex-vivo are reinfused and these primed DCs functions as vaccine *via* inducing recruitment of effector cells and tumor cell lysis^{[[65\]](#page-16-7)}. The efficacy of the vaccines can be enhanced by optimizing the TAAs. An effective immune response in the tumor can also be induced by peptide vaccines. GPC3 peptide in increased in HCC and might be a potential target, a study by Wu *et al* [[66\]](#page-16-8) showed that GPC3 coupled lymphocytes elicit robust GPC3-specific antibody and cytotoxic T lymphocyte responses in mouse and might be precision therapeutics. A recent phase 1 clinical trial showed that after peptide vaccination in HCC patients, peptide-specific cytotoxic T lymphocytes frequency might be a predictive marker of overall survival[[67\]](#page-16-9). Targeting TAA seems to be effective therapeutics, however, clinical trials showed specific T cell response rate of over 70% while targeting AFP, GPC3, and MRP3 while T cell response rate was below 40% while targeting NY-ESO-1, SSX- 2, MAGE-A, and TERT[[68\]](#page-16-10). The weak efficacy of the vaccines might be due to insufficient immune activation of targeting self/tumor antigen. The use of oncolytic viruses to induce oncolysis is an important strategy in HCC because of the ability of oncolytic virus for selective infection, within tumor replication, and destruction and eradication of tumor cells. The strategies, mechanistic aspects, and the limitations of the oncolytic viruses including New-Castle disease virus (rNDV-18HL, rNDV-IL2- TRAIL, rLaSota/IL2), adenovirus [ZD55-XAF1, QG511-HA-melittin, GOLPH2 regulated GD55, AD55-Mn-SOD, Ad5-HC, Ad5-AFP (IRES), hTERT-Ad, Ad-199T, AdDE1bDVA+2'AP, Adenovirus SP-E1AE1B(D55)-TSLC1(SD55-TSLC1), Adenovirus eSurphSulf1], vaccinia virus [GLV-1h68, GLV-2b372, JX594, Pexa-Vec (JX-594)], recombinant vesicular stomatitis virus (rVSV, VSV with NSC74859), parvovirus (Recombinant H-1 PV), and measles virus (MeV-SCD) have been discussed in detail in the literature[[24\]](#page-14-5). Using oncolytic viruses as vaccines is more recent approach and intra-tumoral injection of viruses is used to selectively replicate in and destroy cancer cells. Pexa-Vec is the most common virus vaccine and is a modified vaccinia poxvirus (JX-594). Various clinical trials have studied the anti-tumor effects of Pexa-Vec on HCC ([Table 5\)](#page-10-0) and some trials are ongoing, but results have not been posted and are awaited [\(Table 6\)](#page-10-1). Changing tumor immunogenicity due to mutation or low tumor immunogenicity limits the response of vaccine therapy in HCC and identification of novel specific tumor epitopes is warranted to improve efficacy of HCC cancer vaccine [[69\]](#page-16-11).

NK: Natural killer; IL: Interleukin; HCC: Hepatocellular carcinoma; CIK: Cytokine-induced killer; TIL: Tumor-infiltrating lymphocytes; RFA: Radiofrequency ablation; CAR: Chimeric antigen receptor; Adenoviral and Yeast based vaccines: ETBX-011, GI-4000, avelumab, Aldoxorubicin hydrochloride, ETBX-051, ETBX-061, GI-6207, GI-6301, and N-803; IRE: Irreversible electroporation; LDC: Lymphodepleting conditioning; GLYCAR: Glypican 3-specific chimeric antigen receptor expressing T cells for hepatocellular carcinoma; HCC: Hepatocellular carcinoma.

COMBINATION THERAPIES

Since HCC is a multifactorial disease, targeting more than one factor involved in the pathogenesis of HCC seems to be a promising approach. Along with the ongoing clinical trials for immune checkpoint inhibitors targeting PD-1/PD-L1 and CTLA4, the

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IL: Interleukin; GM-CSF: Granulocyte-macrophage colony-stimulating factor; HCC: Hepatocellular carcinoma.

DCs: Dendritic cells; CIK: Cytokine-induced killer; HCC: Hepatocellular carcinoma.

recent interest is to design combination therapies. This notion is supported by significantly improved clinical response with the combination of nivolumab with ipilimumab in sorafenib-treated patients with an acceptable safety profile[\[76](#page-16-18)]. The combination therapies combining checkpoint inhibitors with other drugs including oncolytic virus/viral vaccines, small molecules, ablative therapies or combining multiple checkpoint inhibitors is the area of interest. The basis of the combination therapies is additive or synergistic effects of the therapy by combining systemic or radiotherapy with immunotherapy[[25](#page-14-6)[,28](#page-14-17)]. Radiotherapy primes the immune cells, increase inflammatory response, and when combined with immunotherapy produce synergistic effect and enhance anti-tumor effects. Various aspects of combination

Table 7 Ongoing clinical trial for combination therapy for hepatocellular carcinoma

SBRT: Stereotactic body radiotherapy; TACE: Trans-arterial chemoembolization; HCC: Hepatocellular carcinoma; RFA: Radiofrequency ablation.

therapies including the primary or acquired resistance to anti-PD-1/PD-L1 therapies in melanoma, increased viral load in patients when tumor started to progress with anti-CTLA-4 therapy ultimately leading to treatment failure, the presence of low mutation rate as a cause of therapy resistance, and strategies to enhance function of effector cells have been discussed $[25]$ $[25]$. A dose-dependent increase in PD-L1 expression post irradiation in HCC cell lines mediated by IFN-γ-STAT3 signaling pathway support the notion of using combination therapy for advanced HCC[[77\]](#page-17-0). An improved treatment outcome in murine model with HCC, mammary cancer in xenograft murine model, and CT26 murine colon carcinoma xenograft model while combining radiotherapy with PD-1/PD-L1 and CTLA-4 as described in[[28\]](#page-14-17) suggests the advantage of combining radiotherapy with immune checkpoint therapy. This rationale is also supported by the results of clinical studies documenting increased PD-1 and PD-L1 expression of T cells and tumor cells^{[[78-](#page-17-1)[80\]](#page-17-2)}. Additionally, the combination therapies combining checkpoint inhibitor therapies with other strategies with the mechanism of action and study details of various clinical trials (NCT01658878, NCT02519348, NCT03071094, NCT02572687, NCT03006926, NCT02856425, NCT02942329, NCT02988440, NCT02423343, NCT02859324, NCT03095781, NCT02474537, NCT02325739, NCT03143270, NCT03033446, NCT02837029, NCT03099564) have been summarized[\[20](#page-14-0)[,25](#page-14-6)]. Similarly, promising clinical results of combining RT with immunotherapy reported by Chiang *et al*[\[81](#page-17-3)] using stereotactic body radiotherapy followed by nivolumab for large unresectable HCC and Y-90-RE and nivolumab bridging therapy prior to partial hepatectomy by $[82,83]$ $[82,83]$ $[82,83]$ $[82,83]$ supports the notion of combination therapy for advanced HCC. The ongoing clinical trials of combination therapies for HCC has been listed in [Table 7.](#page-11-0) Additionally, the clinical trials involving durvalumab + tremelimumab, nivolumab + ipilimumab, atezolizumab + bevacizumab, pembrolizumab + lenvatinib, and SHR-1210 + apatinib have been discussed[[30\]](#page-14-4).

CONCLUSION

The limited efficacy of immune-based therapies is due to inherently tolerogenic character of the liver in both healthy and diseased state. Chronic inflammation of liver during the pathogenicity of HCC leads to higher tumor immunogenicity and makes a basis of immunotherapeutic approaches to treat HCC. However, strong intrinsic immune suppressive microenvironment and high immune evasion are major impediment for an effective immune response against tumor with immunogenic approach. Additionally, liver also plays a crucial role in host defense and in the maintenance of self-tolerance, it is important to design personalized immunosuppressive therapies[\[1\]](#page-13-0). The intrahepatic immunosuppressive TMEs play a major role in reducing the effects of immunotherapy and thus an effective therapy must be designed to counteract and target factors playing a role in immune evasion and treatment resistance. Additionally, therapeutic regimens which can amplify tumor-specific immunity and counteract immunosuppressive mechanisms might profoundly improve clinical outcomes for HCC patients. The initial results from various clinical trials involving immune

checkpoint inhibitor therapy, adoptive cell transfer therapy, tumor vaccines, and combination therapy are promising but warrant more research in terms of investigating tumor specific antigens and better personalized therapies.

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