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## The present and future of PI3K inhibitors for cancer therapy

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## Abstract

Phosphoinositide-3- kinase (PI3K) signaling regulates cellular proliferation, survival and metabolism, and its aberrant activation is one of the most frequent oncogenic events across human cancers. In the last few decades, research focused on the development of PI3K inhibitors, from preclinical tool compounds to the highly specific medicines approved to treat patients with cancer. Herein we discuss current paradigms for PI3K inhibitors in cancer therapy, focusing on clinical data and mechanisms of action. We also discuss current limitations in the use of PI3K inhibitors including toxicities and mechanisms of resistance, with specific emphasis on approaches aimed to improve their efficacy.

## Introduction

The PI3K pathway is a key regulatory hub for cell growth, survival, and metabolism. Activation of PI3K is a frequent hallmark of cancer, highlighted by the prevalence of somatic mutations in genes encoding key components of this pathway. Over the last two decades, the clinical development of PI3K inhibitors has evolved considerably; from the first-in-class tool compounds that lacked potency, specificity, and appropriate drug-like properties, all the way to medicines that have been approved by several regulatory agencies for the treatment of different types of cancer such as leukemia and breast cancer. These most recent agents present improved potency, selectivity, and pharmacological profiles, and can be safely administered to patients as monotherapies or in combination with other anti-

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Conflicts of interest

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PC is founder and advisory board member of Venthera, Inc. ET has received honorarium for invited lectures at AstraZeneca and Oric Pharmaceuticals. MS is an Astrazeneca employee, holds AstraZeneca equity and is a co-founder of medendi.org. In the past two years, MS received research funding from Daiichi-Sankio, AstraZeneca, Menarini Ricerche, Puma Biotechnologies and Targimmune. JAE was a Novartis employee and holds Novartis equity. JAE is a co-founder of Treeline Biosciences.

cancer agents. Despite the extraordinary progress in the field, there are still may questions surrounding the clinical use of PI3K inhibitors. In this review, we summarize the current clinical investigations of compounds targeting PI3K. We also discuss relevant mechanisms of action behind the clinical efficacy and current limitations of these therapeutic approaches, including drug resistance. Finally, we discuss potential strategies aimed to improve the therapeutic index of these inhibitors and how novel chemical entities are required to unveil the full potential of PI3K inhibition in cancer therapy.

#### PI3K activation in cancer

Given its essential cellular functions, PI3K is evolutionary conserved across metazoans and, in humans, it has undergone successive gene duplication events giving rise to different isoforms. There are four catalytic subunits encoded in our genomes: p110a and p110β (encoded by *PIK3CA* and *PIK3CB*, respectively) are ubiquitously expressed, while p1108 and p110 $\gamma$  (encoded by *PIK3CD* and *PIK3CG*, respectively) are restricted to immune lineages (reviewed by <sup>1–3</sup>) (Figure 1A).

PI3K is an enzyme that catalyzes the synthesis of the second messenger phosphatidylinositol (3,4,5)-trisphosphate (PIP3) by phosphorylating phosphatidylinositol 4,5-bisphosphate (PIP2), an abundant lipid found at the plasma membrane. This biochemical reaction is mediated by a catalytic subunit, p110, which forms a heterodimer with a regulatory subunit, p85 (or p84/p101 for the p110 $\gamma$  isoform). The interaction with the regulatory subunit controls the appropriate localization, regulation, and stability necessary for the activity of the catalytic subunit. The p85 regulatory subunit has two Src Homology 2 (SH2) domains that are critical for the interaction with phospho-tyrosine (pTyr) residues found in activated receptor tyrosine kinases (RTKs) and/or adaptor proteins (e.g., IRS-1 and ERBB3). However, when the SH2 domains are not binding pTyr residues, they mediate inhibitory intermolecular contacts with p110, maintaining the catalytic subunit in an inactive conformation<sup>4</sup>. Detailed structural studies have been undertaken in this context and revealed a complex network of interactions between catalytic and regulatory subunits required for PI3K activation<sup>5</sup>. The RAS GTPases directly interact with the p110 subunit to regulate PI3K activity<sup>6</sup>. Whether this interaction contributes directly to PI3K catalytic activity or regulates PI3K stability or localization remains less understood. Regardless, the interaction between RAS GTPases and PI3K appears to be necessary for RAS-driven lung tumorigenesis in murine models<sup>7</sup>.

PI3K is commonly activated by receptor tyrosine kinase (RTK) stimulation. Some RTKs are particularly efficient at activating this enzyme, including IR, PDGFR, and HER2. The increased concentration of PIP3 at the plasma membrane triggers the recruitment of proteins containing the PIP3-binding pleckstrin homology (PH) domain and activation of downstream pathways<sup>2</sup>. Among the proteins that contain PH domains, PDK1 and AKT kinases are the key canonical downstream effectors of PI3K activation<sup>8,9</sup>. Activated AKT phosphorylates an array of effector proteins that control fundamental cellular processes, including mTORC1, an important downstream effector complex that regulates cell growth, translation, and metabolic fitness<sup>10</sup>. AKT activates mTORC1 by phosphorylating and inhibiting TSC2 and PRAS40, two negative regulators of mTORC1<sup>11</sup>. AKT also directly

phosphorylates and inhibits the FOXO transcription factors impacting cell survival and cell cycle progression, as well as many other processes regulated downstream of FOXO target genes<sup>12</sup> (Figure 1B). Despite the rapid activation of PI3K upon growth factor stimulation, PIP3 is short-lived. Downstream signaling is rapidly attenuated due to the activity of phosphatase and tensin homolog (PTEN) and other lipid phosphatases that antagonizes the enzymatic activity of PI3K by directly de-phosphorylating PIP3<sup>13</sup> (Figure 1B).

A frequent mechanism of PI3K activation in cancers is the presence of mutations in the *PIK3CA* gene. Such gain-of-function mutations generally affect two different domains of p110a, the kinase and the helical domains, where the mutational hotspots affect the H1047 and the E542/E545 codons, respectively<sup>14</sup>. Although mutations at these sites account for most *PIK3CA* mutations, other variants can be found in the p85-binding (ABD) and C2 domains (Figure 1C). Structural insights into how these mutants lead to hyperactivation of PI3K suggest that the helical and kinase domain mutations activate the kinase via distinct molecular mechanisms. Helical domain mutations, which lead to a lysine replacing a glutamate (i.e. E542K and E545K), abrogates an intramolecular inhibitory interaction between p110a and the N-terminal SH2 domain of its regulatory subunit, p85, leading to constitutive activity that mimics pTyr stimulation<sup>15,16</sup>. On the other hand, the kinase domain mutations appear to increase the specific activity of p110a by affecting binding lipid membranes and its substrate, PIP2<sup>16</sup>.

*PIK3CA* mutations are most frequently found in gynecological malignancies, breast adenocarcinomas, and head and neck cancers (Figure 1D). However, other tumor types including lung, bladder, and colorectal adenocarcinomas, as well as overgrowth syndromes, often carry *PIK3CA* activating mutations<sup>17–19</sup>. Mutation bias has also been observed in tumors, similar to other driver oncogenes. For instance, head and neck cancers are more likely to carry helical E542/545 hotspot mutations<sup>20</sup>. *PIK3CA* amplifications are also frequent across cancer types and have been suggested to increase p110a activity. Mutations in other genes can also lead to hyperactivation of the PI3K pathway. Deletions, non-sense, and loss-of-function missense mutations in *PTEN* are frequent in many cancers, including prostate and breast cancer, glioblastoma, and melanoma, among others. Although loss of PTEN results in accumulation of PIP3 and elevated PI3K activity, it is important to highlight that this effect appears to be mediated to a greater extent by p110β<sup>21</sup>. Mutations in *PIK3R1*, the gene encoding for the p85 regulatory subunit, lead to activation of PI3K signaling. Although infrequent, they are found in uterine, ovarian, and prostate cancers<sup>22</sup>.

#### Mechanism of action of PI3K inhibitors

To date, most PI3K inhibitors that have entered clinical development are reversible, ATPcompetitive inhibitors. The few covalent inhibitors that irreversibly inhibit PI3K are mostly natural products and have not been tested in late phase clinical trials.

PI3K inhibitors can induce a diverse set of cellular responses including apoptosis and/or cell cycle arrest (Figure 2). These effects can be achieved by different mechanisms that are regulated by the PI3K/AKT pathway. For instance, both alpelisib, a p110a inhibitor, and copanlisib, a pan-p110 inhibitor, caused apoptosis and cell cycle arrest in a subset of breast cancer cells<sup>23–25</sup>. Although some cell lines undergo apoptosis when treated with these

inhibitors, the mechanism by which cells die is not entirely clear and may involve dynamic regulation of BH3 proteins (i.e. BAX/BAD are substrates of AKT) and transcriptional programs mediated by FOXO transcription factors among other mechanisms<sup>26,27</sup>. In addition, PI3K inhibitors often lead to suppression of mTORC1 in sensitive cells, and inhibition of mTORC1 can promote apoptosis by impairing translation, especially of prosurvival and growth proteins and increasing autophagy<sup>10,28–31</sup>.

The anti-tumoral effects induced by PI3K inhibitors *in vivo* are not necessarily the result of cancer cell-intrinsic mechanisms, as these drugs have also been shown to affect other compartments within the tumor microenvironment (Figure 2). Angiogenesis is highly dependent on PI3K signaling, both during embryonic development and tumorigenesis<sup>32</sup>. Inhibitors that specifically target p110a have been shown to impair functional angiogenesis, which can also contribute to tumor shrinkage<sup>33</sup>.

In addition, PI3K is an important signaling node necessary for the maturation and activation of different immune cells. Therefore, inhibition of this enzyme can lead to anti-tumoral effects that are partially mediated by the immune system. For example, it has been shown that macrophages require PI3K $\gamma$  for polarization and suppression of T-cell activation in syngeneic mouse models of cancer; hence, inhibition of this isoform with selective inhibitors has been shown to enhance the immune recognition of a solid tumor model <sup>34</sup>. Similarly, myeloid suppressive cells can be inhibited with duvelisib, a p1108/ $\gamma$  inhibitor that enhance T-cell-mediated cytotoxicity and tumor regression<sup>35</sup>. In both experiments, inhibition of PI3K increased the efficacy of anti-PD-L1 therapies.

In lymphocytes, PI3K $\delta$  is required for proper antigen receptor signaling and both inactivation and hyperactivation of this isoform has important functional consequences<sup>36,37</sup>. In tumors, genetic or pharmacologic blockade of PI3K $\delta$  leads to the inhibition of suppressive T-regulatory lymphocytes, hence promoting the activation of cytotoxic lymphocytes<sup>38</sup>. While PI3K $\delta$ / $\gamma$  inhibitors have been mostly developed and approved in the context of hematologic malignancies, there is increasing preclinical evidence for using these inhibitors in solid tumors<sup>38,35</sup>. Additional mechanisms regarding the impact of PI3K $\delta$  inhibitors in the tumor stroma have been previously discussed in detail<sup>39</sup>.

Another effect of PI3K inhibition is the systemic metabolic adaptation. Acute treatment with these inhibitors induces hyperinsulinemia, which in turn can activate pro-survival pathways in the cancer cell<sup>40</sup>. However, long-term treatment with PI3K inhibitors has been shown to reduce adiposity, hyperglycemia, and increase survival in mice and monkeys<sup>41</sup>.

#### **Clinical development of PI3K inhibitors**

The first inhibitors of the PI3K pathway, isolated and characterized more than 25 years ago, were used to study the role of PI3K in lymphocyte activation and trafficking<sup>42–45</sup>, insulin receptor signaling<sup>46</sup> and DNA synthesis and repair<sup>47–49</sup> among other biological processes. Both wortmannin and LY294002 were used as PI3K inhibitors, albeit these are in fact promiscuous agents that target also other members of the phosphatidylinositol 3-kinase-related kinases (PIKK) family such as mTOR and DNA-PK. This broad activity against a number of different kinases prevented their clinical implementation and development; of

note, wortmannin and LY294002 have been extensively used as tool compounds for more than a decade.

More specific inhibitors of PI3K were later developed and began to be tested in preclinical models across several cancer types<sup>50–53</sup>. The rationale behind the development of these pan-PI3K inhibitors (small molecules that inhibit all of the p110 isoforms) was the attempt of widening their therapeutic window by limiting their activity specifically to PI3K. Buparlisib is a pan-PI3K inhibitor that exemplifies the initial excitement for these compounds, and from which we have learned much in terms of tolerability and specificity. The combination of buparlisib and the ER degrader fulvestrant showed convincing efficacy in preclinical models of ER-positive breast cancer<sup>54,55</sup>. After the results from the first-in-human phase I clinical trial in solid tumors<sup>56</sup>, buparlisib was tested in combination with either aromatase inhibitors<sup>57</sup> or fulvestrant<sup>58</sup> in ER-positive breast cancer patients, with some encouraging clinical responses but also important tolerability issues. In addition to the common side effects also observed with other PI3K inhibitors (rash, hyperglycemia, fatigue and diarrhea as discussed more below), buparlisib treatment induced neurological symptoms (e.g., depression) in a substantial proportion of patients, likely due to its impact blood brain barrier permeability<sup>59</sup>. In HER2-positive breast cancer, buparlisib was tested in combination with trastuzumab, both in the laboratory $^{60,61}$  and in the clinical setting $^{62-64}$  where promising clinical benefits were again accompanied by serious adverse events that rendered this therapeutic strategy impractical. Buparlisib was also tested in combination with olaparib in both breast and ovarian cancers<sup>65,66</sup>. However, the lack of biomarkers of sensitivity (beyond BRCA1/2 mutations) and the dose-limiting adverse effects observed in a significant proportion of patients precluded the design of larger trials testing the effectiveness of this combination. Another PI3K inhibitor with predominant and potent activity against PI3Ka and PI3K8 that underwent substantial clinical development is copanlisib<sup>67</sup>. Copanlisib demonstrated impressive efficacy and encouraging tolerability leading to the FDA approval for the treatment of follicular lymphoma<sup>68</sup> and it is now being tested with a number of combinatory treatments in patients with non-Hodgkin lymphoma (NCT04263584, NCT03474744, NCT03877055), including the anti-CD20 antibody rituximab<sup>69</sup>. Although this compound is considered a pan-PI3K inhibitor, its clinical efficacy in lymphoma is likely the result of the potent PI3K8 inhibition. In addition, copanlisib is now being tested in clinical trials in patients with thyroid cancers (NCT04462471), with advanced solid cancers that have alterations in *PIK3CA* and *PTEN* (NCT04317105), and patients with HER2+ breast cancers (NCT04108858) among others. However, one of the clinical challenges of copanlisib is its administration, which is an intravenous infusion that has to be conducted at the hospital.

Despite the disappointing results obtained with buparlisib, clinical trials showed for the first time that *PIK3CA* mutant breast cancers might be particularly sensitive to PI3K inhibition and paved the way for the development of isoform-specific p110a inhibitors, which were predicted to have a better therapeutic window for cancers bearing this frequent genomic alteration. The first clinical PI3Ka-specific inhibitor, alpelisib, was developed with the aim of targeting the PI3K pathway (when activated by the p110a isoform), but limiting the emergence of toxicities attributed to inhibition of other isoforms of p110<sup>25,70</sup>. The phase I first-in-human trial of alpelisib in solid cancers with *PIK3CA* mutations demonstrated

single-agent activity<sup>71</sup>, and several clinical studies were launched to test its activity in combination with targeted therapies, including anti-hormonal agents, in breast cancer patients. The combination of fulvestrant and alpelisib was tested in a phase I trial enrolling patients with ER-positive metastatic breast and showed promising clinical activity in the *PIK3CA* mutant subpopulation<sup>72</sup>. This early observation was confirmed in a large Phase III clinical trial (SOLAR1) where the progression-free survival (PFS) of *PIK3CA* mutant breast cancer patients treated with alpelisib plus fulvestrant was 11 months in comparison to 5.7 months of the patients treated with fulvestrant monotherapy<sup>73</sup>. These results granted FDA approval of this regime in ER-positive *PIK3CA* mutant metastatic breast cancer patients.

Another p110a inhibitor that was developed for solid tumors is taselisib. This potent inhibitor showed remarkable efficacy in preclinical studies<sup>74</sup>. During clinical trials, taselisib, in combination with endocrine therapy, demonstrated significant an increased response rate and duration of response compared to the placebo arms<sup>75</sup>. Nevertheless, the clinical activity was negated because of intractable toxicity, such as diarrhea, hyperglycemia, colitis, and stomatitis. The main difference between alpelisib and taselisib is the specificity for the p110 isoforms; taselisib is not only a potent p110a inhibitor but is also very active against p1108. In addition, taselisib half-life is around 39 hours<sup>76</sup>, which could potentially result in drug accumulation with daily treatment regimes. Although *PIK3CA* mutant breast cancer has been the focus for both drugs, they have been tested in many different preclinical models, spanning across several cancer types. For example, taselisib showed interesting activity in head and neck cancer, another malignancy where genomic alterations of *PIK3CA* are frequently observed<sup>77</sup>. Preclinical studies suggested cooperativity with radiation therapy<sup>78</sup> and in clinical trials, responses to taselisib monotherapy were also observed in heavily pre-treated patients with *PIK3CA* mutant head and neck cancer<sup>77</sup>.

More recently a potent and highly selective p110a inhibitor (GDC-0077) has been developed for clinical use. Mechanism of action studies indicate that GDC-0077 selectively degrades PI3Ka in a proteasome-dependent manner<sup>79</sup>. Although the completed results from the Phase I clinical trial have not yet been published, GDC-0077 is being tested in combination with endocrine agents and palbociclib in advanced ER+ breast cancer patients that harbor *PIK3CA* mutations (NCT04191499, NCT03006172).

Despite the efforts in targeting PI3K in solid tumors, the developments in hematological malignancies have also been significant. In this regard, specific inhibitors targeting the p110 $\delta/\gamma$  isoforms have been developed and approved for the treatment of different forms of leukemia and lymphomas. For example, the PI3K $\delta$  inhibitor idelalisib is approved by the FDA for the treatment of relapsed chronic lymphocytic leukemia, follicular non-Hodgkin lymphoma and small lymphocytic lymphoma<sup>80</sup>. Similarly, duvelisib, a dual inhibitor of the p110 $\delta/\gamma$  isoforms, was approved by the FDA for the treatment of relapsed chronic lymphocytic leukemia, follicular non-Hodgkin lymphocytic leukemia, follicular lymphoma, and small lymphocytic lymphoma<sup>81</sup>. Another compound that has been recently approved for the treatment of follicular and marginal zone lymphoma is the dual PI3K $\delta$  and casein kinase-1e inhibitor umbralisib<sup>82</sup>.

A snapshot of the PI3K inhibitors that have been tested in advanced clinical phases or that are currently approved by the FDA can be found in Table 1.

Finally, another emerging use of p110a inhibitors worth noting is in the treatment of the non-cancerous *PIK3CA*-related overgrowth spectrum (PROS), a group of heterogeneous disorders characterized by overgrowth and vascular anomalies. Preclinical and early clinical work has shown that alpelisib could be beneficial for the treatment of these disorders<sup>83,84</sup> and clinical trials aimed at testing efficacy are currently underway.

#### Improving the therapeutic index of PI3K inhibitors

PI3K inhibitors have demonstrated clinically meaningful benefit in breast cancer, and additional indications and combinations are being investigated. It is likely that we have yet to realize the full benefit of *completely* inhibiting PI3K signaling in cancers. At a minimum, the therapeutic window of PI3K inhibitors is limited by on-target, off tumor toxicity. In particular, the induction of hyperglycemia and hyperinsulinemia are observed as major dose limiting toxicities for p110a inhibitors<sup>73</sup>. Insulin signaling in muscle and liver requires PI3K signaling, and inhibition of PI3K in these tissues impairs insulin signaling, leading to insulin resistance. Thus, it is likely that induction of hyperinsulinemia and hyperglycemia prevents dosing high enough to fully suppress PI3K signaling in the tumor.

With the recent approval of alpelisib, there is increased need to find methods to mitigate hyperglycemia. In the clinical trial of alpelisib that led to its approval for breast cancer, 37% of patients developed grade 3–4 hyperglycemia, and this toxicity was one of the main causes of dose interruptions and reductions<sup>73</sup>. Although the progression-free survival (PFS) was 11 months for the alpelisib treatment arm (approximately double the PFS of the control arm), the majority of patients required dose interruptions and/or dose reductions. Moreover, recent laboratory studies reveal that the higher insulin levels may activate P3K signaling in cancer cells, thereby decreasing the degree of the PI3K inhibition in the cancer cells<sup>40</sup>. More effective mitigation of hyperinsulinemia and hyperglycemia may lead to more uninterrupted dosing, which in turn might result in even superior clinical efficacy. Although metformin was employed to treat most patients in early trials, newer and more potent therapeutic approaches to manage hyperglycemia may have a significant impact on the patients' quality of life. One such class of agents are the SGLT2 inhibitors, and in preclinical studies, their concomitant administration with PI3K inhibitors abrogated induction of insulin and led to better tumor growth control in preclinical studies <sup>40</sup>. Notably, ketogenic diet also yielded promising preclinical results as well. Future clinical studies will reveal the impact of more effective management of hyperglycemia on this class of agents<sup>85</sup>.

To improve the therapeutic index of PI3K inhibitors careful consideration should also be given to patient stratification (Figure 3). In fact, alpelisib was only approved for *PIK3CA*-mutated advanced breast cancer as detected by an FDA-approved companion diagnostic PCR test to select for patients who have *PIK3CA* mutations in tumor tissue and/or in circulating tumor DNA (ctDNA) isolated from plasma. As expected, in SOLAR-1, a meaningful benefit was not observed for alpelisib-fulvestrant in the cohort without *PIK3CA*-mutated cancer<sup>73</sup>. Apart from *PIK3CA* mutations being a powerful biomarker for alpelisib sensitivity, the SOLAR-1 study and a phase I/II study of alpelisib plus anti-estrogen therapy (NCT01870505) showed a wide range of clinical benefit. These results prompted additional investigations for additional molecular stratifiers<sup>86</sup>, which identified PTEN loss in a subset

of cancers with primary resistance. Accordingly, excluding tumors with PTEN loss might yield meaningful improvements in the response rates of PI3K inhibitors.

As highlighted above, multiple PI3K inhibitors failed to provide a clinical meaningful outcome (i.e buparlisib, pictilisib), in part because of substantial toxicities, highlighting the importance of dose and scheduling as another key solution in increasing their therapeutic index (Figure 3). Through the lens of targeted therapies, the attention to classical clinical pharmacology characteristics (such as dose, regimen, bioaccumulation, and others) has often been suboptimally investigated, mostly because targeted agents were anticipated to have a positive safety profile and because continuous dosing has been favored with the assumption of prolonged target inhibition<sup>87</sup>. In order to deliver the full potential of PI3K inhibitors, new scheduling and dosing may be less toxic while preserving efficacy. For instance, in preclinical models both metronomic (daily) and intermittent (weekly) inhibition of PI3K using different doses of pictilisib has been studied<sup>88</sup>. The different treatment doses and schedules examined in this study elicited similar tumor responses in combination with fulvestrant<sup>88</sup>. Moreover, a first-in-human, Phase I dose-escalation study of the p110a. inhibitor serabelisib (TAK-117) found that intermitted dosing had an acceptable safety profile and enabled higher doses and total weekly exposures in comparison to once-daily dosing<sup>89</sup>, suggesting that alternative dosing and scheduling strategies might expand the therapeutic window of PI3K inhibitors.

In order to overcome the current limitations of PI3K inhibitors, novel strategies to target this enzyme have been envisioned. For instance, a potential strategy to mitigate toxicity is to develop selective inhibitors for mutant PI3K, targeting either the helical domain, E545K, or the kinase domain, H1047R, mutations. Selective compounds that specifically inhibit these mutant proteins but spare wildtype p110a have the promise of fully suppressing cancer signaling while having minimal effect on PI3K signaling in normal tissues, which in turn would ameliorate the metabolic toxicities associated to non-selective PI3K signaling inhibition. Since the helical and kinase domain mutations reside in distinct regions of p110a, likely increasing the enzyme's activity via distinct molecular mechanisms, it seems unlikely that a single molecular entity would be able to inhibit both mutants while sparing the wildtype isoform. Thus, it is quite conceivable that there will be different mutationspecific selective inhibitors for the helical and kinase domain mutants, respectively. The impact of inhibiting wildtype p110a in cancers that harbor an oncogenic variant remain largely unknown. Although mutant-selective inhibitors hold great promise, there may be indications in which targeting wild-type PI3K may have important anti-tumor activity, and in these indications, a pan p110a or AKT inhibitor compound administered concomitantly with a potent anti-hyperglycemia regimen may be the preferred approach.

An emerging chemical technology that could be of interest for targeting PI3K is the use of proteolysis targeting chimeras (PROTAC) to promote specific degradation of proteins. These heterobifunctional molecules have the ability to bind a target and recruit ubiquitin ligase complexes (namely CRL2<sup>VHL</sup> or CRL4<sup>CRBN</sup>)<sup>90</sup>. Using the large collection of PI3K kinase inhibitors available to date, it is in principle possible to create such molecules; however, the advantage of these compounds in terms of therapeutic efficacy and toxicity over the classic kinase inhibitors remains to be addressed. For instance, GDC0077 was reported to induce

degradation of mutant p110 $\alpha$ , although the mechanism that leads to proteolysis has not yet been discovered<sup>79</sup>. If mutant p110 $\alpha$  is more prone to degradation, this could represent a promising starting point for mutant-specific inhibitors. Bifunctional molecules that enforce protein-protein interactions, such as the so-called small-molecule protein ligands interface stabilizers (SPLINTs), could be engineered to re-establish and/or stabilize the inhibitory interaction with p85<sup>91</sup>. This would be particularly interesting in *PIK3CA* helical mutant tumors, in which such interaction is compromised.

PI3K inhibitors with clinical experience are orthosteric antagonists and only interact within the catalytic pocket of p110a. However, the mechanism of activation of PI3K involves many steps that could be potentially targeted with allosteric inhibitors. For instance, structural and biochemical studies have shown that pTyr peptides and RAS proteins promote dynamic changes in p85-p110a dimers that result in increased kinase activity<sup>16,92</sup>. Therefore, allosteric inhibitors that leverage these mechanisms could lead to novel targeting strategies that are selective for cancers with specific mechanisms of activating the PI3K pathway.

#### Therapeutic combinations

It has long been speculated that PI3K inhibitors would be most effective as part of combination strategies, especially when used in earlier lines of therapy. Currently, alpelisib is approved in combination with anti-estrogens in breast cancer, where a few additional combinations are generating a great interest. Preclinical studies demonstrate that combined CDK4/6 and p110a inhibition is highly effective in *PIK3CA* mutant breast cancers<sup>93</sup>, and clinical trials of the combination are currently underway. Indeed, recent data demonstrate that the triplet combination of palbociclib, taselisib, and fulvestrant has promising efficacy in heavily pretreated *PIK3CA*-mutant ER+ breast cancer<sup>94</sup>. There is also interest in developing PI3K inhibitors in ERBB2 amplified breast cancers. HER2 provides a strong signal to PI3K (via HER3), and preclinical studies demonstrate that ERBB2 amplified breast cancers are among the most sensitive cancers to single-agent PI3K inhibitors. This has led to the clinical development of PI3K inhibitors and HER2-targeting agents in this subset of breast cancers. In addition, and as mentioned before, PI3K inhibitors have shown intriguing activity with PARP inhibitors in BRCA1 mutant breast and ovarian cancers<sup>65,66</sup>, and trials assessing this combo are underway. There is also substantial preclinical data examining other combinations with conventional chemotherapy and radiation therapy, and clinical trials have begun to assess their efficacies. For example, a trial of buparlisib and paclitaxel for head and neck cancer had demonstrated an overall survival benefit compared to paclitaxel<sup>95</sup>.

It has been long-appreciated that concomitant inhibition of the PI3K-AKT and MEK-ERK pathways is effective at killing cancer cells<sup>96</sup>. However, clinical trials of these combos have been disappointing, likely because toxicity prevents full suppression of both pathways. Indeed, the challenges with respect to therapeutic index of single agent p110a inhibitors underscores the difficulties combining these agents with MEK and/or ERK inhibitors, each of which have their own challenges with therapeutic index. Thus, these combinations are ripe for mutant selective PI3K inhibitors in *PIK3CA* mutant cancers. For example, a combination of such PI3K inhibitor with a MEK inhibitor would suppress MEK/ERK systemically, but concomitant inhibition of PI3K and MEK/ERK pathways would occur

exclusively in cancer cells. Furthermore, the advent of mutant KRAS selective inhibitors<sup>97</sup> holds promise for combinations with PI3K inhibitors. Indeed, preclinical experiments have demonstrated PI3K inhibitors may be quite powerful combination partners for KRAS G12C inhibitors<sup>98</sup>. Furthermore, some KRAS mutant cancers (e.g. KRAS G12D GI cancers) have significant flux through the PI3K pathway in lung cancers<sup>50</sup>, and concomitant inhibition of KRAS and PI3K might have significant anti-tumor efficacy. It is also well-known that several colorectal cancers harbor both *KRAS* and *PIK3CA* mutations, suggesting that combined KRAS mutant cancers, a mutant selective KRAS inhibitor might combine well with a PI3K inhibitor because both pathways will be suppressed specifically in cancer cells, but the MEK/ERK pathway will remain intact in the normal cells of the body, increasing significantly the tolerability of the combination.

#### **Resistance mechanisms**

Drug resistance continues to be a major challenge in cancer therapy, and is determined by the pharmacological properties of the drugs in concert with the cell-intrinsic and extrinsic properties of the heterogeneous tumor environment<sup>99</sup>. Similar to the majority of cancer drugs, resistance to PI3K inhibitors is a key factor limiting the impact of these agents in the clinic. Resistance mechanisms can be divided into *de novo*, where no initial clinical responses are observed due to intrinsic refractoriness of the tumors (or very rapid adaptation to PI3K inhibition), and acquired, when prolonged clinical response is followed by therapy escape. There are several well-characterized mechanisms of resistance to PI3K inhibitors, encompassing the reactivation of the PI3K pathway and the activation of compensatory parallel signaling cascades, which in turn restore cell homeostasis following PI3K suppression.

Initial studies conducted over a decade ago identified the expression and activation of RTKs as a way that can fuel downstream signaling pathways limiting the response to PI3K inhibitors<sup>100–103</sup>. RTKs such as HER3 and others can stimulate PI3K by binding of pTyr to the SH2 domains of p85, thereby relieving its inhibition of p110α. The relevance of this cellular adaptation to PI3K inhibitors is underscored by the observation that combinatorial treatments targeting RTKs and PI3K led to superior antitumor activity in the preclinical setting in PI3K-driven tumors<sup>61,104,105</sup>.

A clinically validated mechanism of acquired resistance to p110 $\alpha$  specific inhibitors is the loss of function mutations in the tumor suppressor *PTEN*, which leads to increased p110 $\beta$  signaling<sup>106</sup>. Loss of *PTEN* was first found to limit the effectiveness of p110 $\alpha$  inhibition by analyzing different metastases of a patient that showed an impressive initial response to alpelisib monotherapy followed by widespread progression<sup>106</sup>. In addition, analyses of plasma and tumors from a recent clinical trial attesting the combination of alpelisib and aromatase inhibitors (NCT01870505) uncovered loss of function *PTEN* mutations in 25% of patients with *de novo* resistance<sup>86</sup>. Mechanistically, inhibition of the p110 $\beta$  isoform was required to restore antitumor activity in breast cancer cells that lost PTEN expression and became resistant to alpelisib<sup>106</sup>. Likewise, initial efficacy of p110 $\alpha$  inhibition was mitigated by rapid accumulation of PIP3 produced by the p110 $\beta$  isoform which re-activates PI3K<sup>107</sup>.

Consistently, the addition of a p110 $\beta$  inhibitor to p110 $\alpha$  prevented PIP3 rebound and led to greater anti-tumor effects compared to single agent treatment in breast cancer and in *PTEN* deficient preclinical models of prostate cancer<sup>108</sup>. The biological determinants of the increased dependency on PI3K p110 $\beta$  in PTEN loss cancer cells remains to be delineated.

Resistance to PI3K inhibitors can also been mediated by parallel activation of related kinases that feed into the PI3K pathway. For instance, activation of mTORC1 can drive resistance to PI3K inhibitors and everolimus can re-sensitize breast and head and neck cancers to PI3Ka blockade<sup>23,109–111</sup>. Mechanistically, we and others have shown that in breast cancer cells resistant to p110a inhibition, the PDK1-SGK axis can overcome AKT inhibition by activating mTORC1 signaling<sup>110,111</sup>. More recently, we identified and validated several negative regulators of mTORC1 including TSC1, TSC2, ITFG2, TBC1D7, AKT1S1, STK11, NPRL2, NPRL3 among others, whose loss reduced the sensitivity to p110a inhibition<sup>112</sup>. Other kinases that have been proposed to confer resistance to alpelisib include the overexpression and/or overactivation of PIM and PKC kinases, which maintain downstream pathway activation in an AKT-independent manner<sup>109,113</sup>. Moreover, inhibition of other kinases such as cyclin-dependent kinase 4 and 6 (CDK4/6) can restore sensitivity to alpelisib in breast cancer cells in cancers that maintain RB phosphorylation despite inhibition of PI3K<sup>93</sup>.

A more recent mechanism of resistance to PI3K inhibitors involving a cancer-cell extrinsic mechanism was described in murine models of breast cancer, showing that an increase in blood glucose and insulin following treatment with PI3K inhibitors was sufficient to activate PI3K signaling, even in the presence of PI3K inhibitors, through systematic glucose-insulin feedback<sup>40</sup>. As mentioned above, this feedback could be inhibited by sodium glucose cotransporter 2 (SGLT2) inhibition or ketogenic diet that prevent hyperinsulinemia<sup>40</sup>. Another study found that expression of the FOXM1 transcription factor upon PI3K inhibitor is a biomarker of resistance due to metabolic changes driven by the expression of lactate dehydrogenase (LDH)<sup>114</sup>. Thus, changes in the metabolomic milieu could predict response to PI3K inhibitors and rapid assessment of these alterations may help identify patients who would benefit from PI3K inhibitors. In addition, pharmacological targeting of specific metabolic enzymes, which are inherently druggable, may offer effective new therapeutic alternatives<sup>9</sup>.

A critical example of a highly uniform adaptive mechanism is the activation of ER signaling upon PI3Ka inhibition, which drives resistance to PI3K inhibitors<sup>115</sup>. The importance of the PI3K pathway in ER+ breast cancer is underscored by the high frequency of activating mutations in *PIK3CA* (~40%) in this cancer subtype<sup>116</sup>. In this regard, PI3Ka inhibition elicited an increased dependency of *PIK3CA*-mutant breast cancer cells on ER signaling, which limited their sensitivity and was reversed by the addition of anti-ER therapies<sup>115</sup>. Mechanistically, PI3Ka inhibition regulated ER activity by the phosphorylation of the epigenetic regulator KMT2D by the PI3K effectors AKT/SGK<sup>117,118</sup>. The clinical utility of combined inhibition of ER and PI3Ka was established in the SOLAR-1 clinical trial, where the addition of alpelisib to anti-ER therapy led to a substantial increase in progression-free survival<sup>73</sup>.

In the context of prostate cancer, which is characterized by its dependence on androgen receptor (AR) and PI3K signaling through loss of *PTEN*, inhibition of PI3K paradoxically activates AR-mediated transcription as a survival feedforward mechanism<sup>119</sup>. Similar to ER in breast cancer, the inhibition of PI3K (with pan-PI3K inhibitors) or AKT, together with anti-AR therapy, led to tumor shrinkage. This has raised hopes for more durable disease control through combination of PI3K inhibitors and anti-AR therapy in prostate cancer with loss of *PTEN*. Currently, AKT inhibitors in combination with anti-AR therapy are showing promising results in phase II clinical trials<sup>120</sup>. Altogether, it is notable that the targets whose inhibition elicits powerful adaptive responses seem to belong to cellular growth pathways that are important for the growth of both normal and cancer cells and that are regulated by complex feedback loops.

## Conclusion

Although *PIK3CA* is among the most common oncogenes in cancer and several studies have demonstrated its validity as a biomarker for PI3K inhibition, skepticism remains in considering this pathway a suitable and satisfactory target for cancer therapy. This could be for a number of reasons. First, *PIK3CA* mutations do not necessarily translate to poor prognosis or aggressive phenotypes, as seen in other oncogenes such as EGFR in lung cancer. Second, the relatively narrow therapeutic window of these agents, due to the relevance of the PI3K pathway for the homeostasis of normal cells, can limit its therapeutic window. Even in tumors that are highly addicted to this signaling cascade for proliferation and survival, dose-limiting toxicities result in short and incomplete target engagement. Third, inhibition of the pathway often causes a fast and tissue-dependent activation of molecular feedbacks that limit the long-term efficacy of these agents. However, this can also be an opportunity to design rationale-based combinatorial strategies and new drug discovery approaches that increase the efficacy and safety of PI3K inhibitors.

In our opinion, and considering the new and exciting body of evidence which led to the FDA approval of PI3K inhibitors, these agents should not be limited to very specific cancer subtypes and lines of therapy, but rather tested in other cancer types to broaden their application. This implies identifying other tumor types, or subtypes, that are likely to respond to these medicines, developing appropriate combinatorial therapies that maximize their benefit, reducing toxicities that lead to treatment discontinuation, and developing novel chemical modalities that would allow cancer-specific inhibition of PI3K.

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Figure 1. The PI3K-AKT pathway and the most common PIK3CA mutations in cancer. a. The gene expression profile of PI3K isoforms in normal human tissues shown in log2 (TPM+1) scale based on http://gepia.cancer-pku.cn (visited on April 2021). **b.** Receptor tyrosine kinase (RTK) activation by insulin or growth factors mediates tyrosine phosphorylation which allows the recruitment of the lipid kinase PI3K to the plasma membrane through the p85 regulatory subunit. PI3K phosphorylates the lipid phosphatidylinositol 4,5-bisphosphate (PIP2) to generate phosphatidylinositol 3,4,5trisphosphate (PIP3). The lipid phosphatase PTEN dephosphorylates PIP3 back to PIP2. PIP3 recruits the serine/threonine kinase AKT to the plasma membrane, where it gets phosphorylated and activated through phosphorylation at T308 by the PDK1 kinase and S473 by mTORC2 kinase complex. AKT then phosphorylates a numerous of substrates promoting glucose, metabolism, cell cycle arrest, cell growth, proliferation, and translation. P denotes protein phosphorylation events. c. The most frequent mutations of the PI3KCA gene by MSK-IMPACT. d. The percentages of the alteration frequency of the PIK3CA gene across human cancers by MSK-IMPACT. Amino-terminal adaptor-binding domain (ABD); Ras-binding domain (RBD); Protein-kinase-C homology-2 (C2).

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Figure 2. The non-cell autonomous and the cell-autonomous effects of PI3K inhibitors.

PI3K inhibitors have well-studied cell-autonomous effects in cancer cells that lead to a cytostatic and cytotoxic response. These include the activation of intrinsic apoptosis, the reduction of glucose metabolism, translation inhibition, and changes in transcriptional regulation through the FOXO transcription factors among others. However, in addition to these effects, PI3K inhibitors can also exert non-cell autonomous affects in the organism and tumor microenvironment that can significantly contribute to the antitumoral effect. For example, administration of PI3K inhibitors lead to short and long-term metabolic responses that affect tumor nutrient availability and glycemic and insulinemic response.

These compounds also have remarkable anti-angiogenic properties that are mediated by the alpha isoform. Inhibitors that target the delta and gamma isoform of PI3K inhibitors have been shown to affect macrophage activation (including polarization and phagocytosis), inhibition of regulatory T-cells, and inhibition of suppressive myeloid cells and neutrophils. Most of these changes result in activation of cytotoxic T-cells that promote cancer cell killing. The predominant PI3K isoform mediating each of these cellular effects have been highlighted in red (alpha), green (delta), and blue (gamma).



### Figure 3. Proposed approaches to increase the therapeutic index of PI3K inhibitors.

Several factors that may increase the therapeutic index of PI3K inhibitors are required to improve the clinical application of these agents. These include pharmacological strategies, such as careful consideration of dose and schedule; patient stratification allowing the identification of biomarkers and mediators of drug resistance; the effect of metabolism and diet, and the successful management of common toxicities such as hyperglycemia, GI problems, and rash among others. Similar to many other therapies, there are novel modalities to inhibit PI3K that need to be considered, which should overcome the current limitations of PI3K inhibitors in the clinic.

# Table 1. Pharmacological properties of clinical PI3K inhibitors.

PI3K inhibitors that have reached late phases of clinical development and/or have been approved by the FDA for the treatment of cancer are listed.

In vitro IC50 (nM)											
PI3K inhibitor	Company	Structure	PI3Ka	РІЗКβ	PI3K	ΡΙ3Κγ	Clinical	Dose	t1/2 (h)	Registration trial	Ref
Alpelisib	Novartis		4.6	1156	290	250	Approved for HR+/ Her2- mBC in combination with Fulvestrant	Oral; 300 mg daily	8	NCT02437318	71–73
Buparlisib	Novartis		52	166	116	262	Discontinued during Phase III	Oral; 100 mg daily	40	NCT01633060	58,121
Copanlisib	Bayer		0.5	3.7	0.7	6.4	Approved for relapsed follicular lymphoma	IV; 60 mg three times a month	39.1	NCT01660451	68,69
Duvelisib	Verastem		1602	85	2.5	27	Approved for relapsed follicular lymphoma, Chronic lymphocytic leukemia; small lymphocytic leukemia	Oral; 25 mg twice daily	4.7	NCT02004522	81
Idelalisib	Gilead		8600	4000	19	110	Approved for relapsed follicular lymphoma, Chronic lymphocytic leukemia; small lymphocytic leukemia	Oral; 150 mg twice daily	8.2	NCT01282424	122,123

In vitro IC50 (nM)											
PI3K inhibitor	Company	Structure	PI3Ka	РІЗКβ	PI3K	ΡΙ3Κγ	Clinical	Dose	t1/2 (h)	Registration trial	Ref
Inavolisib	Genentech		0.038	N/A	N/A	N/A	Ongoing Phase III in combination with Fulvestrant and palbociclib for HR+/ Her2- mBC	Oral; 9 mg daily	18	NCT04191499	124,125
Taselisib	Genentech	H2N FONSTON	0.029	8	0.12	0.97	Discontinued during Phase III	Oral; 4 mg daily	39.3	NCT02340221	76,77,94
Umbrasilib	TG Therapeutics		>10000	>10000	6.2	1400	Approved for relapsed follicular lymphoma and marginal zone lymphoma	Oral; 800 mg daily	91	NCT02793583	82