



# HHS Public Access

Author manuscript

*Environ Res.* Author manuscript; available in PMC 2023 May 01.

Published in final edited form as:

*Environ Res.* 2022 May 01; 207: 112154. doi:10.1016/j.envres.2021.112154.

## Long-Term Nitrogen Dioxide Exposure and Cause-Specific Mortality in the U.S. Medicare Population

Ki-Do Eum<sup>1</sup>, Trenton James Honda<sup>2</sup>, Bingyu Wang<sup>3</sup>, Fatemeh Kazemiparkouhi<sup>1</sup>, Justin Manjourides<sup>2</sup>, Vivian C. Pun<sup>4</sup>, Virgil Pavlu<sup>3</sup>, Helen Suh<sup>1</sup>

<sup>1</sup>Department of Civil and Environmental Engineering, Tufts University, Medford, MA, USA

<sup>2</sup>Bouvè College of Health Sciences, Northeastern University, Boston, MA, USA

<sup>3</sup>Khoury College of Computer Sciences, Northeastern University, Boston, MA, USA

<sup>4</sup>Jockey Club School of Public Health and Primary Care, The Chinese University of Hong Kong, Hong Kong, Hong Kong

### Abstract

**Background:** Since 1971, the annual National Ambient Air Quality Standard (NAAQS) for nitrogen dioxide (NO<sub>2</sub>) has remained at 53 ppb, the impact of long-term NO<sub>2</sub> exposure on mortality is poorly understood.

**Objectives:** We examined associations between long-term NO<sub>2</sub> exposure (12-month moving average of NO<sub>2</sub>) below the annual NAAQS and cause-specific mortality among the older adults in the U.S.

**Methods:** Cox proportional-hazard models were used to estimate Hazard Ratio (HR) for cause-specific mortality associated with long-term NO<sub>2</sub> exposures among about 50 million Medicare beneficiaries living within the conterminous U.S. from 2001–2008.

**Results:** A 10 ppb increase in NO<sub>2</sub> was associated with increased mortality from all-cause (HR: 1.06; 95% CI: 1.05–1.06), cardiovascular (HR: 1.10; 95% CI: 1.10–1.11), respiratory disease (HR: 1.09; 95% CI: 1.08–1.11), and cancer (HR: 1.01; 95% CI: 1.00–1.02) adjusting for age, sex, race, ZIP code as strata ZIP code- and state-level socio-economic status (SES) as covariates, and PM<sub>2.5</sub> exposure using a 2-stage approach. NO<sub>2</sub> was also associated with elevated mortality from ischemic heart disease, cerebrovascular disease, congestive heart failure, chronic obstructive pulmonary

---

**Correspondence to:** Ki-Do Eum, PhD, MPH, Department of Civil and Environmental Engineering, Tufts University, Anderson Hall, Room 302, 200 College Ave, Medford, MA, Tel: 617-627-3211, Ki-Do.Eum@tufts.edu.

**Conflict of Interest Disclosures:** The authors declare that they have no known competing financial interests or personal relationships that could have influenced the work reported in this paper.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have influenced the work reported in this paper.

**Human subjects research committee approvals:** This study was approved by the Institutional Review Boards of Northeastern University and Tufts University.

**Publisher's Disclaimer:** This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

disease, pneumonia, and lung cancer. We found no evidence of a threshold, with positive and significant HRs across the range of NO<sub>2</sub> exposures for all causes of death examined. Exposure-response curves were linear for all-cause, supra-linear for cardiovascular-, and sub-linear for respiratory-related mortality. HRs were highest consistently among Black beneficiaries.

**Conclusions:** Long-term NO<sub>2</sub> exposure is associated with elevated risks of death by multiple causes, without evidence of a threshold response. Our findings raise concerns about the sufficiency of the annual NAAQS for NO<sub>2</sub>.

### Keywords

air pollution; cardiovascular mortality; respiratory mortality; cancer mortality; pneumonia mortality; congestive heart failure mortality; chronic obstructive pulmonary disease mortality; racial inequality

## 1. Introduction

Since 1971, the annual National Ambient Air Quality Standard (NAAQS) for nitrogen dioxide (NO<sub>2</sub>) has remained at 53 ppb. During this period, ambient NO<sub>2</sub> concentrations within the US have decreased substantially, with all areas in the US in attainment (U.S. Environmental Protection Agency, 2019). Despite this, several studies have demonstrated associations with mortality at currently observed low annual NO<sub>2</sub> levels. For example, in our earlier paper of >14 million Medicare beneficiaries living near EPA monitoring sites (Eum et al. 2019), we showed NO<sub>2</sub> exposures below the annual NAAQS to be associated with increased mortality risks, consistent with findings from the Cancer Prevention II (Turner et al. 2016) and the Canadian Census Health and Environment Cohort studies (Crouse et al. 2015). These findings raise questions regarding the sufficiency of the annual NAAQS NO<sub>2</sub> standard.

Their findings, however, are limited by their geographic and demographic scope. For example, our recent study of NO<sub>2</sub> on mortality was based on a largely urban cohort (89%) (Eum et al. 2019), while the Cancer Prevention Study II included almost entirely White adults (94.6%) (Turner et al. 2016). As such, the generalizability of these findings to other, less studied populations, is not known. Further, prior studies leave unanswered questions regarding whether a threshold level exists below which NO<sub>2</sub> exposures pose no harm.

In this paper, we assess the association between long-term NO<sub>2</sub> exposure and mortality among a near-complete sample of US Medicare beneficiaries.

## 2. Materials and methods

### 2.1 Data Source and Study Population

From the Centers for Medicare and Medicaid Services Medicare Enrollment file, we obtained beneficiary data for ~50 million enrollees living in the conterminous US between 2001 and 2008. For each enrollee, we compiled information on age, sex, race, date of death, and ZIP code of residence. We also obtained cause of death from the National Death Index (NDI) (<http://U.S..resdac.org/resconnect/articles/117#cause-of-death>). Using International

Classification of Disease (ICD–10), we identified deaths from cardiovascular (CVD) and respiratory disease and cancer, which together account for ~74 % of all deaths (Table S1). We also identified deaths from specific subcategories, including ischemic heart disease (IHD), cerebrovascular disease (CBV), congestive heart failure (CHF), chronic obstructive pulmonary disease (COPD), pneumonia, lung cancer, and from aggregate non-accidental causes of mortality. We also classified accidental mortality as a negative control.

## 2.2 Ambient NO<sub>2</sub>, PM<sub>2.5</sub>, Black Carbon Exposures

We estimated 12-month moving average NO<sub>2</sub> exposure for beneficiaries based upon their ZIP code of residence using NO<sub>2</sub> estimates from Bechle et al. (Bechle et al. 2015), who used land-use regression (LUR) and spatially varying temporal scaling factors to estimate monthly NO<sub>2</sub> concentrations on a 100-meter grid across the conterminous U.S. from 2000 to 2008 (Bechle et al. 2015). In brief, monthly average NO<sub>2</sub> concentrations were calculated for each of 370 EPA regulatory monitoring stations which met the reliability criterion of 75% valid hourly values. These values were scaled using 2006 LUR estimates and through interpolation were used to estimate monthly NO<sub>2</sub> along a 100-meter grid. Estimates had high validity and low error, explaining 81% of the spatial ( $R^2 = 0.81$ ), 73% of temporal ( $R^2 = 0.73$ ), and 84% of the spatiotemporal variation ( $R^2 = 0.84$ ) in monthly mean NO<sub>2</sub> concentrations from 2000 to 2010, with an absolute average bias of 2.4 ppb. Although the mean error was similar, the model performance was lower in rural ( $R^2 = 0.69$ ) as compared to urban ( $R^2 = 0.80$ ) areas, suggesting greater exposure error for rural ZIP codes.

We adjusted for potential confounding by fine particulate matter (PM<sub>2.5</sub>) using daily PM<sub>2.5</sub> concentrations estimated on a 6×6 km grid across the conterminous U.S. from a set of well-validated spatio-temporal smoothing models (Yanosky et al. 2014). The models predicted PM<sub>2.5</sub> concentrations from measured PM<sub>2.5</sub> data from the US EPA Air Quality System, meteorological data, geographical factors, and traffic-related PM<sub>2.5</sub> estimated from a line-source Gaussian dispersion model. Model performance was strong, with a cross-validation  $R^2$  for daily PM<sub>2.5</sub> concentrations of 0.76. We averaged monthly NO<sub>2</sub> and daily PM<sub>2.5</sub> concentrations for each beneficiary to obtain 12-month moving average exposure estimates for each pollutant. We linked estimated PM<sub>2.5</sub> concentrations to aggregated beneficiary mortality data by month and ZIP code (using the PM<sub>2.5</sub> concentration estimated at the grid point closest to the centroid of each beneficiary's residential ZIP code), accounting for residential moves.

We adjusted for potential confounding by BC with an aerodynamic diameter less than 2.5  $\mu\text{m}$  using annual BC concentrations for each ZIP code and year from 2000 to 2008 estimated using a combined Geoscience-Statistical Method by van Donkelaar et al. (van Donkelaar et al., 2019). We linked BC estimates to aggregated beneficiary mortality data by calendar year and ZIP code, again accounting for residential moves.

## 2.3 Urbanicity, Region, and SES assessment

We classified ZIP codes as urban, micropolitan, and rural using the Rural Health Research Center (RUCA) Categorization B. Specifically, ZIP codes were characterized as 'urban' (codes: 1.0, 1.1, 2.0, 2.1, 3.0, 4.1, 5.1, 7.1, 8.1, 10.1), 'micropolitan,' (codes: 4.0, 4.2,

5.0, 5.2, 6.0, 6.1), or 'rural,' (codes: 7.0, 7.2, 7.3, 7.4, 8.0, 8.2, 8.3, 8.4, 9.0, 9.1, 9.2, 10.0, 10.2, 10.3, 10.4, 10.5, 10.6) (<http://depts.washington.edu/uwruca/ruca-uses.php>). We classified states into four U.S. Census regions: 'West', 'Midwest', 'Northeast', and 'South' (<https://www.census.gov/prod/1/gen/95statab/preface.pdf>). To estimate ZIP code- and state-level SES, we obtained data from the U.S. Internal Revenue Service (IRS) on the annual mean gross adjusted income for each state and ZIP code. The data were based upon the individual tax returns filed with the IRS (Internal Revenue Service).

## 2.4 Statistical Analyses

For each month between 2001 and 2008, we computed the number of beneficiaries and deaths for each ZIP code, sex, race, age. For each month, we calculated the number of deaths for each age interval, as year from 65–89 years and as one interval for individuals 90 years and older. Since our Cox proportional hazards model stepped through time every month, we aggregated the number of deaths (by cause) for each month, allowing us to calculate the changes in both the number at risk and number of deaths for each month. This discretized version of the Cox PH model essentially resulted in a coarse grid of times with ties that were broken by adding an extremely small amount of noise to each death time. We used a 12-month moving average of NO<sub>2</sub> as our exposure measure, which changes monthly, reflecting the average exposure over the previous 12 months. As our base model, we examined the impact of a 10 ppb increase in 12-month moving average NO<sub>2</sub> exposure on cause-specific mortality in age, sex, race, ZIP code-stratified Cox proportional-hazard models, adjusting for ZIP code- and state-level SES. We used the calendar month as a time scale.

We also fit PM<sub>2.5</sub>-adjusted models to assess potential confounding of our results by PM<sub>2.5</sub>. To do so, we used a two-stage approach, given the strong correlation between NO<sub>2</sub> and PM<sub>2.5</sub> concentrations ( $r=0.59$ ; Table S2). In the first stage, we regressed 12-month moving average NO<sub>2</sub> on a 12-month moving average PM<sub>2.5</sub>. In the second stage, we used the residuals from this regression as the exposure measure in Cox proportional-hazard models, with the resulting HRs representing the NO<sub>2</sub>-associated mortality risk, adjusting for PM<sub>2.5</sub>. In sensitivity analyses, we also fit two-pollutant models that included either NO<sub>2</sub> and PM<sub>2.5</sub> or NO<sub>2</sub> and BC together in the same model.

We fit PM<sub>2.5</sub>-adjusted Cox models for the conterminous U.S. as well as for each mutually exclusive U.S. region. We examined effect modification using interaction terms for sex, age (age <75, age ≥75), race (White, Black, Asian, Hispanic), urbanicity (urban, micropolitan, rural), and NO<sub>2</sub> level (low <7 ppb, medium <12 ppb, high >12 ppb). Given that minority beneficiaries lived predominantly in urban locations, we also examined effect modification by race only for urban beneficiaries. We examined the exposure-response curve using restricted cubic splines with 3 knots, which demonstrated superior model performance compared to 4 and 5 knot models based on BIC criterion (Figure S1). We also assessed the exposure-response curve stratified by the potential effect modifiers. We implemented all Cox models in Java, incorporating linkage, grouping and other data mining techniques to reduce memory needs and improve computational efficiency (Wang et al. 2020). For comparison

with previous studies, we rescaled results from prior studies to reflect HRs per 10 ppb increase in NO<sub>2</sub> exposure.

### 3. Results

Our study population included almost 50 million Medicare beneficiaries (65–120 years), with 13.2 million deaths: 96.7% from non-accidental and 2.4% from accidental causes. CVD accounted for 39.9% of all non-accidental mortality, followed by cancer (22.6%), and respiratory mortality (11.2%; Table 1, Table S1). Approximately 78.0%, 11.1%, and 10.9% of beneficiaries lived in urban, micropolitan, and rural areas, respectively. Minority populations lived predominantly in urban areas, with 74.2%, 92.5%, and 85.2% of all Black, Asian, and Hispanic beneficiaries, respectively, while 55.8% of all White beneficiaries lived in urban areas. The annual mean NO<sub>2</sub>, PM<sub>2.5</sub>, and BC concentrations were 10.9 ppb, 9.6 µg/m<sup>3</sup>, and 0.78 µg/m<sup>3</sup>, respectively, with higher mean concentrations in urban (12.8 ppb, 10.4 µg/m<sup>3</sup>, 0.87 µg/m<sup>3</sup>) as compared to micropolitan (6.8 ppb, 8.5 µg/m<sup>3</sup>, 0.67 µg/m<sup>3</sup>), and rural areas (5.4 ppb, 7.8 µg/m<sup>3</sup>, 0.61 µg/m<sup>3</sup>). The NO<sub>2</sub> concentration were higher in the West (14.45 ppb) and Northeast (14.38 ppb) regions than Midwest (9.55 ppb) and South (7.98 ppb) regions (Table 1, Figure S2). Mean 12-month NO<sub>2</sub> exposure also differed by race, with Asians (14.3±6.8 ppb), Hispanics (13.6±7.4 ppb), and Black (11.1±6.4 ppb) beneficiaries having higher exposures as compared to White (9.5±5.7 ppb). The interquartile range (IQR) for 1-year moving average NO<sub>2</sub> concentrations equaled 7.86 ppb for our entire study population, with IQR values of 8.12 ppb, 3.12 ppb, and 2.46 ppb for participants living in urban, micropolitan, and rural areas, respectively.

#### 3.1 Associations of Long-term NO<sub>2</sub> and Specific Causes of Death

In base models, we found 12-month moving average NO<sub>2</sub> to be significantly associated with increased mortality for all examined causes of death, with increased risks ranging from 4% for lung cancer (HR: 1.04; 95% CI: 1.02–1.07) to 33% for pneumonia (HR: 1.33; 95% CI 1.29–1.37) (Table 2). While attenuated, HRs remained significant and positive for all causes of death after adjusting for PM<sub>2.5</sub>. PM<sub>2.5</sub>-adjusted HRs were highest for CVD-related mortality (HR: 1.10; 95% CI: 1.10–1.11), with 1.12 (95% CI: 1.11–1.13), 1.08 (95% CI: 1.06–1.10), and 1.10 (95% CI: 1.06–1.13) times the risk of death for IHD, CBV, and CHF, respectively (Table 2). For all respiratory mortality, we observed a HR of 1.09 (95% CI: 1.08–1.11); risks were higher for pneumonia (HR: 1.23; 95% CI: 1.19–1.27) and lower for COPD (HR: 1.03; 95% CI: 1.01–1.05). While lower, NO<sub>2</sub>-associated HRs (HR: 1.03; 95% CI: 1.01–1.05) were positive and significant for lung cancer mortality and were marginally significant for all cancer mortality (HR: 1.01; 95% CI: 1.00–1.02). Associations between NO<sub>2</sub> and accidental mortality were null for all examined models, supporting the validity of the analysis. When we additionally adjusted for year and month (Table S4), we found similar patterns of association. We found greater associations between NO<sub>2</sub> and cause-specific mortality in models that did not adjust for ZIP- and state-SES (Table S5), or that used the two-pollutant models (versus our two-stage approach) to control for confounding by PM<sub>2.5</sub> or BC exposure (Table 2).

The shape of the exposure-response curves varied by cause of death (Figure 1). Associations of long-term NO<sub>2</sub> exposure and non-accidental and lung cancer mortality were linear, while those for CVD, IHD, CVB, and pneumonia mortality were supra-linear, with higher HRs when NO<sub>2</sub> exposures were lower as compared to higher than ~12 ppb. In contrast, exposure-response curves for respiratory mortality were sub-linear in shape, with lower risks when NO<sub>2</sub> exposures were less than ~12 ppb.

### 3.2 Effect Modification

Of the examined effect modifiers, race had the greatest impact on NO<sub>2</sub>-associated HRs (Figure 2, Table S6). PM<sub>2.5</sub>-adjusted HRs for Black, White, and Asian beneficiaries were positive and significant for most causes of death. Black beneficiaries had the highest NO<sub>2</sub>-associated risks of death, with HRs 1.3 to 1.9 times higher for CVD-related diseases, 3.7 to 12 times higher for respiratory-related disease, and 7 times higher for cancer and lung cancer, as compared to White beneficiaries (p-values for differences < 0.05). Likewise, Asian and Hispanic beneficiaries also had higher risks of respiratory and COPD mortality as compared to White beneficiaries (p-values for differences < 0.05). NO<sub>2</sub>-associated HRs for Black, Asian, and Hispanic, but not White beneficiaries, were higher for respiratory- as compared to CVD-related mortality. NO<sub>2</sub>-associated risks of lung cancer were highest for Black and Asian, and while lower, were also significant and positive for White beneficiaries. NO<sub>2</sub>-associated risks of lung cancer for Hispanic beneficiaries were null. When we limited our analyses to beneficiaries living in urban ZIP codes, we found similar pattern of associations by race (Table S7).

The exposure-response curves for CVD, IHD, and CBV mortality were again supra-linear for all racial groups (Figure S3, S3a). However, the curves for Hispanic and Asian beneficiaries were positive and significant only until ~66<sup>th</sup> percentile of exposure (12 ppb), after which the associations were non-significant. The associations for lung cancer mortality were largely linear and positive for Asian and Black beneficiaries, but as in the linear analysis, were null for Hispanics. Conversely, the curves for all races for CHF, respiratory, and COPD mortality were sub-linear, with lower associations at lower levels of exposure. For cancer, NO<sub>2</sub> exposures were significantly associated with mortality in all races other than Hispanics, for whom associations were null. (Table S6, Figure 2, S3, S3a).

HRs also varied by age, sex, and urbanicity. Associations for CVD, IHD, CHF, respiratory, COPD, and lung cancer were higher for older as compared to younger beneficiaries (Figure 2, Table S6). The curves for each age group largely mirrored those for the whole population (Figure S4, S4a). Associations for both sexes were significant and positive, except for COPD, cancer, and lung cancer mortality in men. HRs for men and women were comparable for all-CVD, CBV, and CHF, and all respiratory mortality. For other causes of death, HRs were higher for women as compared to men (Table S6, Figure 2). Exposure-response curves were similar to those we observed by age (Figure S5, S5a).

NO<sub>2</sub>-associated mortality risks were higher among beneficiaries living in urban, as compared to non-urban ZIP codes for non-accidental, CHF, and respiratory-related causes of death (Table S6, Figure 2). In contrast, HRs for CVD, IHD, CHF, cancer, and lung cancer mortality were similar regardless of urbanicity. As with age and sex, exposure-response

curves were largely linear for all-cause, lung cancer, and pneumonia, supra-linear for CVD, IHD, and CBV, and sub-linear for CHF, respiratory, and COPD mortality (Figure S6, S6a).

#### 4. Discussion

In our cohort of Medicare beneficiaries, we found that long-term exposure to NO<sub>2</sub> was associated with increased mortality from all causes as well as from specific causes related to CVD, respiratory diseases, and cancer. Significant associations remained after adjusting for PM<sub>2.5</sub> and BC, suggesting the adverse effect of NO<sub>2</sub> is independent of that from PM<sub>2.5</sub> and BC. Risks varied by beneficiary characteristics, including race, age, urbanicity, and to a lesser extent by sex. Importantly, we found no evidence of a threshold in NO<sub>2</sub>-associated HRs on mortality from non-accidental, CVD, respiratory and lung cancer mortality, with significant and positive associations across the range of examined NO<sub>2</sub> exposures.

Our findings of increased mortality risk are consistent with those from previous studies (Beelen et al. 2008; Crouse et al. 2015; Cesaroni et al. 2013; Eum et al. 2019; Filleul et al. 2005; Fischer et al. 2015; Gehring et al. 2006; Hart et al. 2011; Heinrich et al. 2013; Katanoda et al. 2011; Krewski et al. 2000; Schikowski et al. 2007; Turner et al. 2016; Zhang et al. 2011), including our earlier study of Medicare beneficiaries living near air pollution monitoring sites (Eum et al. 2019) (Figure S7). As in our prior study, we found an increased risk of non-accidental mortality, CVD, IHD, CBV, cancer, respiratory disease, and pneumonia (Eum et al. 2019; Figure S7), with similar HRs as found previously for non-accidental mortality (HR: 1.06 vs. 1.04), CVD (HR: 1.10 vs. 1.11), CBV (HR: 1.08 vs. 1.05), all cancer (HR: 1.01 vs. 1.02), pneumonia (HR: 1.23 vs. 1.29), and respiratory disease (HR: 1.09 vs. 1.03). In contrast, we found statistically significant increased risk of COPD (HR: 1.03; 95% CI: 1.01–1.05) and lung cancer (HR: 1.03; 95% CI: 1.01–1.05) mortality in our present study, for which associations were null in our prior study, possibly due to its smaller sample size. Similarly, other studies (Figure S7) also found the increased risk of non-accidental mortality (or all cause mortality), CBV, respiratory disease, COPD, or lung cancer (Beelen et al. 2008; Crouse et al. 2015; Cesaroni et al. 2013; Filleul et al. 2005; Fischer et al. 2015; Gehring et al. 2006; Hart et al. 2011; Heinrich et al. 2013; Katanoda et al. 2011; Krewski et al. 2000; Schikowski et al. 2007; Turner et al. 2016; Zhang et al. 2011). We also newly found a significant 10% increased risk of CHF mortality (HR: 1.10; 95% CI: 1.06–1.13), an association which has only rarely been explored in prior literature, given that CHF comprises only a small proportion (3%) of overall deaths. It is also notable that adjustment for PM<sub>2.5</sub> resulted in attenuated effect estimates across all mortality outcomes, and the impact of this adjustment was greater in rural environments than in urban environments (Table S6, S8). This may be due to differences in the correlation of NO<sub>2</sub> and PM<sub>2.5</sub> (Beelen et al. 2014; Monn et al. 1995; Putaud et al. 2010), in PM<sub>2.5</sub> composition (Kulshreshtha et al. 2014), and/or mortality outcome ascertainment (Kulshreshtha et al. 2014) between urban and non-urban environments.

Our findings were insensitive to model specifications, with similar patterns of association in models additionally adjusting for year or month (Table S4), although confounding by weather remains possible, given that both mortality and NO<sub>2</sub> are associated with weather (Marti-Soler and Marques-Vidal 2015; Braga et al. 2002), which warrants further study.

We showed the shape of the exposure-response curve for NO<sub>2</sub> and mortality to vary by aggregate cause of death groupings (e.g. cardiovascular, respiratory), but to be generally consistent within these groupings. For example, we found linear associations for non-accidental and lung cancer mortality, supra-linear associations for all CVD, IHD, and CBV mortality, and sub-linear (but still significant and positive) associations for all respiratory and COPD mortality. These findings add substantially to the scientific literature, as to date only two studies have examined the shapes of the exposure-response curves for NO<sub>2</sub> and mortality, with mixed results. As in our study, Cesaroni et al. (Cesaroni et al. 2013) found associations to be linear for non-accidental and lung cancer mortality and supra-linear for IHD mortality, but showed a linear association for CVD mortality. In contrast, in a Canadian cohort, Crouse et al. (Crouse et al. 2015) reported a supra-linear pattern of association for all-cause mortality, with higher risks below as compared to above approximately 10 ppb. Lack of adjustment for PM<sub>2.5</sub> in the previous studies may explain the different findings (Crouse et al. 2015; Cesaroni et al. 2013). Neither study examined the impact of NO<sub>2</sub> on other causes of death, or the shape of the exposure-response curve for different sub-populations based on demographic characteristics or place of residence.

We found both demographic characteristics and place of residence to be important modifiers of the NO<sub>2</sub>-mortality association. Of these factors, we found race to have the largest impact on NO<sub>2</sub>-associated HRs, with minority, especially Black beneficiaries being particularly susceptible to the impacts of NO<sub>2</sub>. Higher HRs for minority may result from their higher NO<sub>2</sub> exposures, with Asians having the highest exposures, followed by Hispanic and Black beneficiaries, and finally White beneficiaries, consistent with previous studies (Clark et al. 2014; Grineski et al. 2007; Jones et al. 2014; Su et al. 2011). For respiratory mortality, higher NO<sub>2</sub> exposures for minority beneficiaries corresponded to higher mortality risks, as evidenced by its sub-linear curve, with higher HRs for NO<sub>2</sub> exposures above 12 ppb. Correspondingly, we found lower HRs for CVD mortality among Asian and Hispanic as compared to White beneficiaries despite their higher overall exposure, which is consistent with our findings of lower mortality risks at higher NO<sub>2</sub> exposures for CVD mortality. Notably, Black beneficiaries had the highest CVD mortality risks of all racial groups, possibly reflecting the fact that their average NO<sub>2</sub> exposures, while higher than that for Whites, were below the inflection point of our exposure-response curves, for which HRs were higher. Alternatively, high CVD mortality risks may also be result from the influence of other, unmeasured factors. While ours is the first study to examine modification of the NO<sub>2</sub>-mortality association by race, our findings are indirectly supported by prior studies showing Black persons to have higher PM<sub>2.5</sub>-related mortality risks (Di et al. 2017; Wang et al. 2017), as found in our study of PM<sub>2.5</sub> and cause-specific mortality in the Medicare cohort (Wang et al. 2020). We note that our findings of effect modification by race does not control for individual level socioeconomic status, which is closely tied with race/ethnicity in the United States (Tibuakuu et al. 2018). Since individual-level race/ethnicity data were not available in our study, our effect modification analyses controlled for ZIP code-level SES and were restricted to beneficiaries living in urban areas, given that the majority of non-White beneficiaries lived in urban areas. However, given the lack of control for individual level SES, our findings of effect modification by race may reflect the combined impacts of both race/ethnicity and SES, or as suggested by Gwynn and Thurston (Gwynn



and Thurston 2001) and Grineski et al. (Grineski et al. 2010), modification by SES instead. Future analyses should control or conduct stratified analyses using individual-level SES data to better understand whether and how NO<sub>2</sub>-associated mortality impacts differ separately or jointly by race and SES.

Associations of NO<sub>2</sub> and mortality were also significantly modified by urbanicity. Urban beneficiaries showed generally greater mortality risks than their rural counterparts, consistent with their higher mean NO<sub>2</sub> exposures (Table 1) and higher proportion of minorities (18.75%, 9.22%, 8.4% of Black, Asian and Hispanic among urban population versus 9.09%, 1.03%, 1.62% among the whole study population). These findings are consistent with a previous Minnesota study, which found minorities and lower SES urban populations to be exposed to higher levels of traffic-related air pollution and to be at higher health risk, than those living in rural environments (Want et al. 2017). Together, our findings suggest that the impact of NO<sub>2</sub> on mortality vary by both race and urbanicity, underpinning the importance of estimating risk among representative sub-populations.

While our study adds to the literature showing significant and positive associations between NO<sub>2</sub> and mortality for several causes of death, the biological mechanism through which long-term NO<sub>2</sub> exposures may independently cause mortality is not well understood. It is possible, however, that observed NO<sub>2</sub>-associated sub-clinical effects contribute over long time periods to increased mortality. NO<sub>2</sub> exposures, for example, have been shown to cause lipid peroxidation in cell membranes and damage to structural and functional molecules by the release of free radicals (Sandström, 1995). Consistent with this, NO<sub>2</sub> exposures have been associated with pulmonary inflammation, bronchial hyperresponsiveness, and increased risk of respiratory infection in toxicological studies (Koenig, 2000), while epidemiological findings show associations between NO<sub>2</sub> exposure and increased systemic inflammation and blood pressure and decreased pulmonary function (Gao et al. 2020; Lepeule et al. 2014). Importantly, these NO<sub>2</sub>-associated sub-clinical impacts have been shown to be independent of PM<sub>2.5</sub>.

It is possible that these NO<sub>2</sub>-associated adverse effects contribute over long time periods to increased mortality, as has been observed in ours and other epidemiological studies. Importantly, our findings suggest independent impacts of NO<sub>2</sub> on mortality, given that NO<sub>2</sub> remained significantly and positively associated with mortality even after adjustment for PM<sub>2.5</sub> and BC. However, it is possible that some unmeasured confounding by PM<sub>2.5</sub> and/or BC remains.

Our study has several limitations. First, while we used ambient NO<sub>2</sub> and BC estimates based upon validated models, exposure misclassification is likely (Bechle et al. 2015; Yanosky et al. 2014). As stated in Bechle et al. (Bechle et al. 2015), exposure error was similar in areas near versus far from EPA monitoring sites and was not dependent on urbanicity, which was associated with mortality in our study and in others (House et al. 2000). Since the vast majority of our cohort lived in urban areas, exposure misclassification in our study was likely non-differential, and any bias in effect sizes in our study would likely be towards the null (Hart et al. 2015a, Zeger et al. 2000). Support for this is provided by previous epidemiological studies that corrected for measurement error using calibration

factors that accounted for differences between personal exposures and corresponding ambient concentrations, finding an increase in the association's magnitude when the exposure measurement error corrections were made (Avery et al. 2010a; Avery et al. 2010b; Hart et al. 2015b). Consistent with this, when we additionally adjusted for urbanicity, associations were similar, supporting the robustness of the findings among the whole population. We note, however, that since the error associated with NO<sub>2</sub> estimates in rural areas was relatively larger, our associations of 1-year NO<sub>2</sub> exposures and cause-specific mortality for rural populations may be more vulnerable to exposure misclassification. Second, while our analyses controlled for individual covariates, including age, sex, race, and residential ZIP code, and ZIP code- and state-level SES, we were unable to adjust for individual-level SES or behavioral confounders, given our reliance on Medicare data, which lack this information. Despite this, confounding by individual-level SES or behavioral characteristics is unlikely to explain our findings, given results from Krewski et al. (Krewski et al. 2009) which found little change in air pollution-associated mortality risk estimates after adjustment for individual-level characteristics. Nonetheless, residual confounding by unmeasured covariates, such as individual-level SES remains a possibility (Tibuakuu et al. 2018). Third, our data included only older adults living in the U.S. between 2000 and 2008, limiting generalizability to younger or non-U.S. populations and to a lesser extent more recent time periods. While the gender, race and age composition of the US older adult population has remained largely unchanged from 2008 to now (U.S Census Bureau, 2008; U.S Census Bureau, 2019), annual NO<sub>2</sub> concentrations have decreased in the US across this same time period. As a result, NO<sub>2</sub>-associated mortality risks at the high end of the NO<sub>2</sub> concentration distribution may be less applicable to the current US older adult population, given that fewer US older adults experience long-term NO<sub>2</sub> exposures of this magnitude. Our findings for lower NO<sub>2</sub> exposures, however, are generalizable to today's US older adults, as evidenced by our exposure-response curves, which show 1-year moving average NO<sub>2</sub> exposures to be associated with higher mortality risks at concentrations below 12 ppm with very low associated uncertainty. Fourth, we used a two-stage model to adjust for PM<sub>2.5</sub> given collinearity between ambient PM<sub>2.5</sub> and NO<sub>2</sub> concentrations, which may overcontrol for PM<sub>2.5</sub> concentrations and underestimate NO<sub>2</sub>-associated mortality risks. Support for this theory is provided by our findings from a two-pollutant model that includes both NO<sub>2</sub> and PM<sub>2.5</sub>, which showed greater effects for NO<sub>2</sub> as compared to that found in our two-stage model. These findings are consistent with an independent effect of long-term NO<sub>2</sub> exposures on mortality. While our findings may also reflect the ability of NO<sub>2</sub> to serve as a better proxy of combustion-related PM<sub>2.5</sub>, as has been found in previous studies (Pope et al. 1995), our finding of similar HRs for NO<sub>2</sub> in single and BC-adjusted models suggests this to not be the case.

These limitations are balanced by our study's substantial strengths, including its large size and its ZIP code-specific pollution estimates, which provide ample statistical power to estimate associations and exposure-response curves in understudied populations. Our study provides strong evidence of an association between NO<sub>2</sub> and increased all-cause and cause-specific mortality in U.S. older adults, especially for racial minorities and older adults living in urban areas. Black beneficiaries, those living in urban environments, and those >75 years were found to be most susceptible. Given that NO<sub>2</sub> exposures were well below the

annual NAAQS, our findings suggest that its current annual NAAQS does not sufficiently protect public health.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Funding:

This work was supported by NIEHS grant R01ES022657-01A1 and Electric Power Research Institute grant (EPRI 00-10003095).

## Abbreviations

<b>NAAQS</b>	National Ambient Air Quality Standard
<b>NO<sub>2</sub></b>	Nitrogen dioxide
<b>PM<sub>2.5</sub></b>	Particulate matter with an aerodynamic diameter less than 2.5 μm
<b>HR</b>	Hazard ratio
<b>CI</b>	Confidence interval
<b>ICD</b>	International Classification of Disease
<b>CVD</b>	Cardiovascular disease
<b>IHD</b>	Ischemic heart disease
<b>CBV</b>	Cerebrovascular disease
<b>CHF</b>	Congestive heart failure
<b>COPD</b>	Chronic obstructive pulmonary disease
<b>LUR</b>	land-use regression
<b>RUCA</b>	Rural health research center
<b>IRS</b>	Internal Revenue Service
<b>SD</b>	standard deviation

## References

- Avery CL, Mills KT, Williams R, et al. 2010a. Estimating error in using residential outdoor pm2.5 concentrations as proxies for personal exposures: A meta-analysis. *Environ Health Perspect.* 118:673–678. 10.1289/ehp.0901158 [PubMed: 20075021]
- Avery CL, Mills KT, Williams R, et al. 2010b. Estimating error in using ambient pm2.5 concentrations as proxies for personal exposures: A review. *Epidemiology* 21:215–223. 10.1097/ede.0b013e3181cb41f7 [PubMed: 20087191]
- Bechle MJ, Millet DB, Marshall JD, 2015. National spatiotemporal exposure surface for NO<sub>2</sub>: Monthly scaling of a satellite-derived land-use regression, 2000–2010. *Environ Sci Technol.* 49(20):12297–12305. 10.1021/acs.est.5b02882 [PubMed: 26397123]

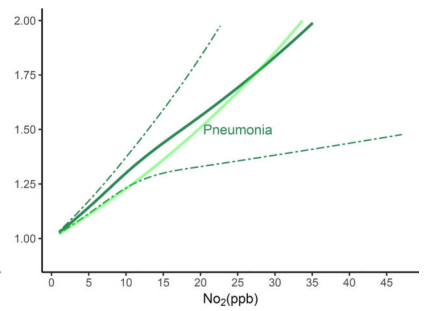
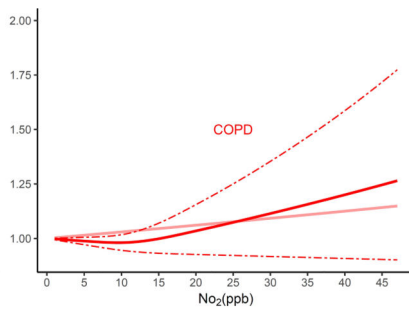
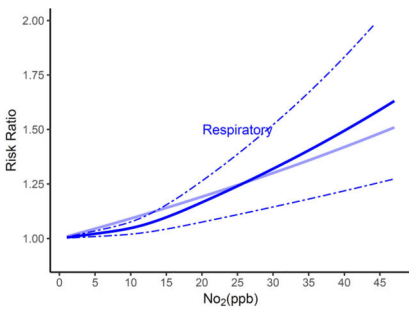
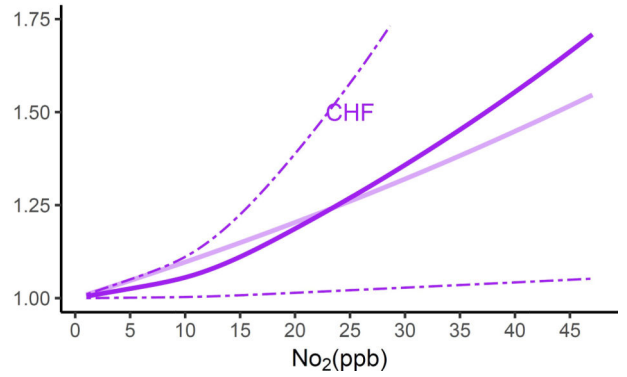
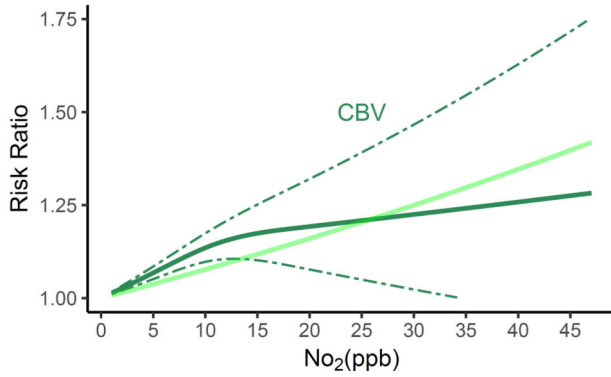
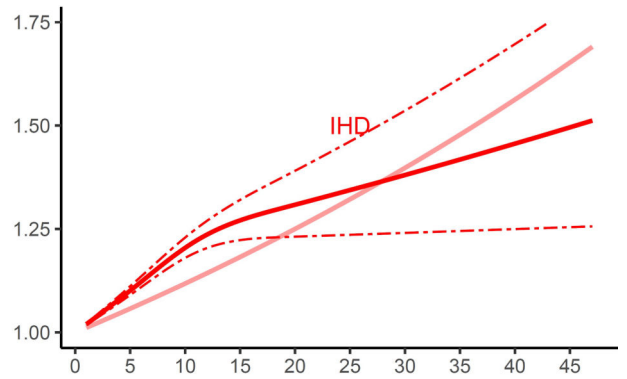
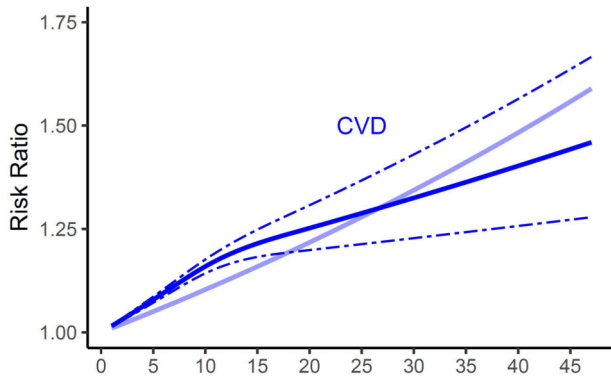
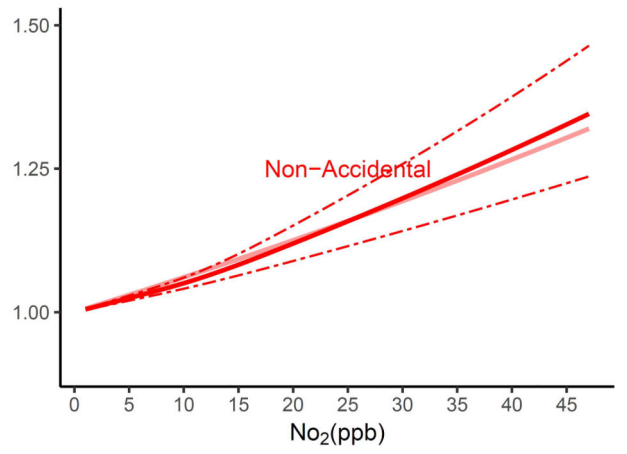
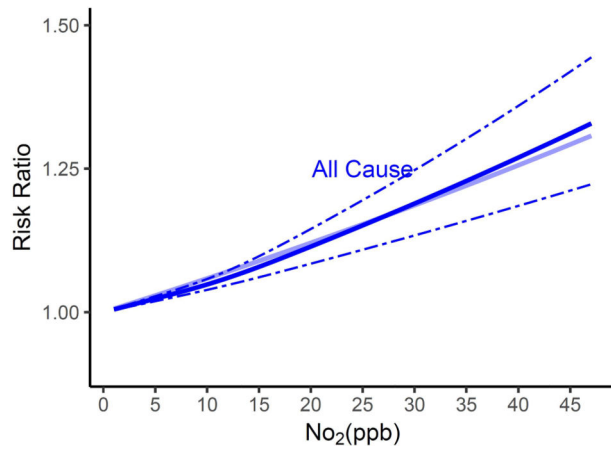
- Beelen R, Hoek G, van den Brandt PA, et al. , 2008. Long-term effects of traffic-related air pollution on mortality in a Dutch cohort (NLCS-AIR study). *Environ Health Perspect.* 116(2):196–202. 10.1289/ehp.10767 [PubMed: 18288318]
- Beelen R, Raaschou-Nielsen O, Stafoggia M, et al. , 2014. Effects of long-term exposure to air pollution on natural-cause mortality: An analysis of 22 European cohorts within the multicentre ESCAP project. *Lancet* 383(9919):785–795. 10.1016/s0140-6736(13)62158-3 [PubMed: 24332274]
- Braga AL, Zanobetti A, Schwartz J, 2002. The effect of weather on respiratory and cardiovascular deaths in 12 U.S. cities. *Environ Health Perspect.* 110(9):859–63 10.1289/ehp.02110859
- Cesaroni G, Badaloni C, Gariazzo C, et al. , 2013. Long-term exposure to urban air pollution and mortality in a cohort of more than a million adults in Rome. *Environ Health Perspect.* 121(3):324–331. 10.1289/ehp.1205862 [PubMed: 23308401]
- Clark LP, Millet DB, Marshall JD, 2014. National patterns in environmental injustice and inequality: Outdoor NO<sub>2</sub> air pollution in the United States. *PLoS One* 9:e94431. 10.1371/journal.pone.0094431 [PubMed: 24736569]
- Crouse DL, Peters PA, Hystad P, et al. , 2015. Ambient PM<sub>2.5</sub>, O<sub>3</sub>, and NO<sub>2</sub> exposures and associations with mortality over 16 years of follow-up in the canadian census health and environment cohort (CanCHEC). *Environ Health Perspect.* 123(11):1180–1186. 10.1289/ehp.1409276 [PubMed: 26528712]
- Di Q, Wang Y, Zanobetti A, et al. , 2017. Air pollution and Mortality in the Medicare Population. *N Engl J Med.* 376(26):2513–2522. 10.1056/nejmoa1702747 [PubMed: 28657878]
- Eum KD, Kazemiparkouhi F, Wang B, et al. , 2019. Long-term NO<sub>2</sub> exposures and cause-specific mortality in american older adults. *Environ Int.* 124:10–15. 10.1016/j.envint.2018.12.060 [PubMed: 30639903]
- Filleul L, Rondeau V, Vandentorren S, et al. , 2005. Twenty five year mortality and air pollution: Results from the French PAARC survey. *Occup Environ Med.* 62:453–460. 10.1136/oem.2004.014746 [PubMed: 15961621]
- Fischer PH, Marra M, Ameling CB, et al. , 2015. Air Pollution and Mortality in Seven Million Adults: The Dutch Environmental Longitudinal Study (DUELS). *Environ Health Perspect.* 123(7):697–704. doi: 10.1289/ehp.1408254. Epub 2015 Mar 11. [PubMed: 25760672]
- Gehring U, Heinrich J, Krämer U, et al. , 2006. Long-term exposure to ambient air pollution and cardiopulmonary mortality in women. *Epidemiology.* 17(5):545–51. doi: 10.1097/01.ede.0000224541.38258.87. [PubMed: 16755270]
- Gao N, Xu W, Ji J, et al. , 2020. Lung function and systemic inflammation associated with short-term air pollution exposure in chronic obstructive pulmonary disease patients in Beijing, China. *Environ Health.* 19(1):12. doi: 10.1186/s12940-020-0568-1. [PubMed: 32000783]
- Grineski S, Bolin B, Boone C, 2007. Criteria air pollution and marginalized populations: Environmental inequity in metropolitan Phoenix, Arizona. *Soc Sci Quart.* 88(2):535–554. 10.1111/j.1540-6237.2007.00470.x
- Grineski SE, Staniswalis JG, Peng Y, et al. , Children’s asthma hospitalizations and relative risk due to nitrogen dioxide (NO<sub>2</sub>): effect modification by race, ethnicity, and insurance status. *Environ Res.* 2010;110(2):178–188. doi:10.1016/j.envres.2009.10.012 [PubMed: 19944410]
- Gwynn RC, Thurston GD. 2001. The burden of air pollution: impacts among racial minorities. *Environ Health Perspect.* 109 Suppl 4(Suppl 4):501–506. doi:10.1289/ehp.01109s4501
- Hart JE, Garshick E, Dockery DW, et al. , 2011. Long-term ambient multipollutant exposures and mortality. *Am J Respir Crit Care Med.* 183(1):73–78. 10.1164/rccm.200912-1903oc [PubMed: 20656944]
- Hart JE, Liao X, Hong B, et al. , 2015a. The association of long-term exposure to pm<sub>2.5</sub> on all-cause mortality in the nurses’ health study and the impact of measurement-error correction. *Environ Health.* 14:38. 10.1186/s12940-015-0027-6 [PubMed: 25926123]
- Hart JE, Spiegelman D, Beelen R, et al. , 2015b. Long-term ambient residential traffic-related exposures and measurement error-adjusted risk of incident lung cancer in the netherlands cohort study on diet and cancer. *Environ Health Perspect.* 123:860–866. 10.1289/ehp.1408762 [PubMed: 25816363]

- Heinrich J, Thiering E, Rzehak P, et al. , 2013. Long-term exposure to NO<sub>2</sub> and PM<sub>10</sub> and all-cause and cause-specific mortality in a prospective cohort of women. *Occup Environ Med.* 70:179–186. 10.1136/oemed-2012-100876 [PubMed: 23220504]
- House JS, Lepkowski JM, Williams DR, et al. , 2000. Excess mortality among urban residents: how much, for whom, and why? *Am J Public Health.* 90(12):1898–1904. 10.2105/ajph.90.12.1898 [PubMed: 11111263]
- Internal Revenue Service, SOI Tax Stats - Individual Income Tax Statistics - ZIP Code Data (SOI), accessed at <https://www.irs.gov/statistics/soi-tax-stats-individual-income-tax-statistics-zip-code-data-soi> on 23 June 2021.
- Jones MR, Diez-Roux AV, Hajat A, et al. , 2014. Race/ethnicity, residential segregation, and exposure to ambient air pollution: The Multi-Ethnic Study of Atherosclerosis (MESA). *Am J Public Health.* 104(11):2130–2137. 10.2105/ajph.2014.302135 [PubMed: 25211756]
- Katanoda K, Sobue T, Satoh H, et al. , 2011. An association between long-term exposure to ambient air pollution and mortality from lung cancer and respiratory diseases in japan. *J Epidemiol.* 21:132–143. 10.2188/jea.je20100098 [PubMed: 21325732]
- Koenig JQ 2000. Health Effects of Nitrogen Dioxide. In: *Health Effects of Ambient Air Pollution.* Springer, Boston, MA. 10.1007/978-1-4615-4569-9\_12
- Krewski D, Burnett RT, Goldberg M, et al. , 2000. Reanalysis of the Harvard six-cities study and the American Cancer Society study of air pollution and mortality, phase II: sensitivity analysis. *Res Rep Health Eff Inst.* 295
- Krewski D, Jerrett M, Burnett RT, et al. , 2009. Extended follow-up and spatial analysis of the American Cancer Society study linking particulate air pollution and mortality. *Res Rep Health Eff Inst.* 5–114; discussion 115–136.
- Kulshreshtha A, Goyal A, Dabhadkar K, et al. , 2014. Urban-rural differences in coronary heart disease mortality in the United States: 1999–2009. *Public Health Rep.* 129:19–29. 10.1177/003335491412900105
- Lepeule J, Bind MA, Baccarelli AA, et al. , 2014. Epigenetic influences on associations between air pollutants and lung function in elderly men: the normative aging study. *Environ Health Perspect.* 122(6):566–72. doi: 10.1289/ehp.1206458. Epub 2014 Mar 6. [PubMed: 24602767]
- Marti-Soler H, Marques-Vidal P, 2015. Weather and cardiovascular mortality. *Heart* 101:1941–1942. 10.1136/heartjnl-2015-308613 [PubMed: 26567232]
- Monn CH, Braendli O, Schaeppli G, et al. , 1995. Particulate matter <10 μm (PM<sub>10</sub>) and total suspended particulates (TSP) in urban, rural and alpine air in Switzerland. *Atmos Environ.* 29(19):2565–2573. [https://ui.adsabs.harvard.edu/link\\_gateway/1995AtmEn..29.2565M/doi:10.1016/1352-2310\(95\)94999-U](https://ui.adsabs.harvard.edu/link_gateway/1995AtmEn..29.2565M/doi:10.1016/1352-2310(95)94999-U)
- Pope CA 3rd, Thun MJ, Namboodiri MM, et al. , 1995. Particulate air pollution as a predictor of mortality in a prospective study of U.S. adults. *Am J Respir Crit Care Med.* 151(3 Pt 1):669–74. doi: 10.1164/ajrccm/151.3\_Pt\_1.669 [PubMed: 7881654]
- Putaud JP, Van Dingenen R, Alastuey A, et al. , 2010. A European aerosol phenomenology-3: Physical and chemical characteristics of particulate matter from 60 rural, urban, and kerbside sites across europe. *Atmos Environ.* 44(10):1308–1320. 10.1016/j.atmosenv.2009.12.011
- Sandström T 1995. Respiratory effects of air pollutants: experimental studies in humans. *Eur Respir J.* 8(6):976–95. [PubMed: 7589386]
- Schikowski T, Sugiri D, Ranft U, et al. , 2007. Does respiratory health contribute to the effects of long-term air pollution exposure on cardiovascular mortality? *Resp Res* 8(1):20. 10.1186/1465-9921-8-20
- Su JG, Jerrett M, de Nazelle A, et al. , 2011. Does exposure to air pollution in urban parks have socioeconomic, racial or ethnic gradients? *Environ Res.* 111(3):319–328. 10.1016/j.envres.2011.01.002 [PubMed: 21292252]
- Tibuakuu M, Michos ED, Navas-Acien A, et al. , Air Pollution and Cardiovascular Disease: A Focus on Vulnerable Populations Worldwide. *Curr Epidemiol Rep.* 2018 Dec;5(4):370–378. doi: 10.1007/s40471-018-0166-8. Epub 2018 Sep 19. [PubMed: 30931239]

- Turner MC, Jerrett M, Pope CA, et al. , 2016. Long-term ozone exposure and mortality in a large prospective study. *Am J Respir Crit Care Med*. 193(10):1134–1142. 10.1164/rccm.201508-1633oc [PubMed: 26680605]
- U.S. Census Bureau, Current Population Survey, Annual Social and Economic Supplement, 2019. Accessed at <https://www.census.gov/topics/population/race/data/tables.2019.html> on 23 June 2021.
- U.S. Census Bureau, Current Population Survey, Annual Social and Economic Supplement, 2008. Accessed at <https://www.census.gov/topics/population/race/data/tables.2008.html> on 23 June 2021.
- U.S. Environmental Protection Agency, 2019. Applying or Implementing Nitrogen Dioxide Standards. Accessed at <https://www.epa.gov/no2-pollution/applying-or-implementing-nitrogen-dioxide-standards> on 28 October 2019.
- van Donkelaar A, Martin RV, Li C, Burnett RT. Regional Estimates of Chemical Composition of Fine Particulate Matter Using a Combined Geoscience-Statistical Method with Information from Satellites, Models, and Monitors. *Environ Sci Technol*. 2019 Mar 5;53(5):2595–2611. doi: 10.1021/acs.est.8b06392. Epub 2019 Feb 12. [PubMed: 30698001]
- Wang B, Eum KD, Kazemiparkouhi F, et al. , 2020. The impact of long-term PM<sub>2.5</sub> exposure on specific causes of death: Exposure-response curves and effect modification among 53 million u.S. Medicare beneficiaries. *Environ Health*. 19(1):20. 10.1186/s12940-020-00575-0 [PubMed: 32066433]
- Wang Y, Shi L, Lee M, et al. , 2017. Long-term exposure to PM<sub>2.5</sub> and mortality among older adults in the Southeastern US. *Epidemiology* 28(2):207–214. 10.1097/ede.0000000000000614 [PubMed: 28005571]
- Yanosky JD, Paciorek CJ, Laden F, et al. , 2014. Spatio-temporal modeling of particulate air pollution in the conterminous united states using geographic and meteorological predictors. *Environ Health*. 13(1):63. 10.1186/1476-069x-13-63 [PubMed: 25097007]
- Zeger SL, Thomas D, Dominici F, et al. , 2000. Exposure measurement error in time-series studies of air pollution: Concepts and consequences. *Environ Health Perspect* 108:419–426. 10.1289/ehp.00108419 [PubMed: 10811568]
- Zhang P, Dong G, Sun B, et al. , 2011. Long-term exposure to ambient air pollution and mortality due to cardiovascular disease and cerebrovascular disease in Shenyang, China. *PLoS One* 6(6):e20827. 10.1371/journal.pone.0020827 [PubMed: 21695220]

### Highlights

- Long-term NO<sub>2</sub> exposure was associated with increased nonaccidental mortality, as well as mortality from cardiovascular disease, respiratory disease, and cancer.
- NO<sub>2</sub>-mortality associations were without a lower threshold, suggesting that any increase in long-term NO<sub>2</sub> exposures is associated with elevated mortality risk.
- The increased mortality associated with NO<sub>2</sub> exposure was considerably higher among the Black beneficiaries than other races.



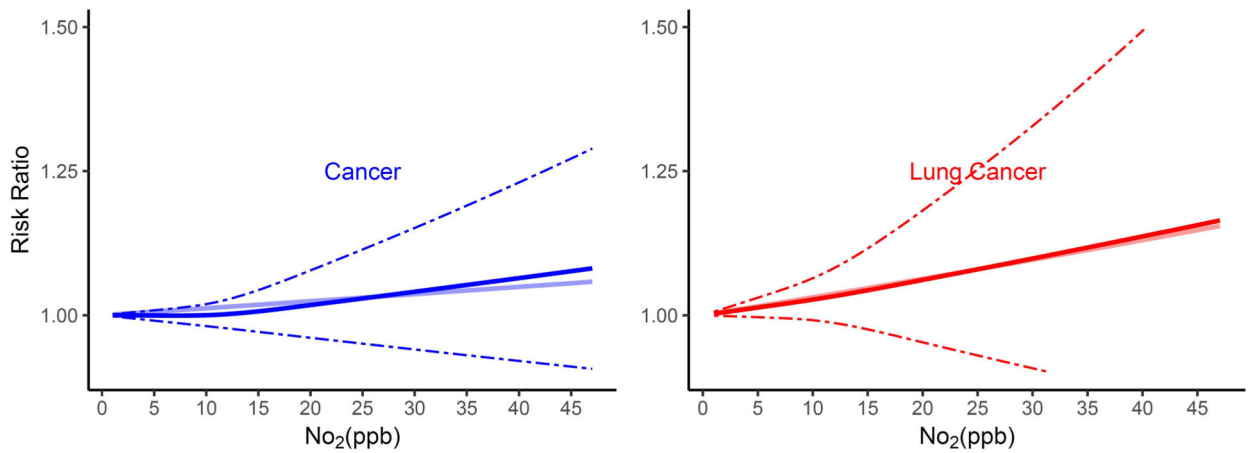
Author Manuscript

Author Manuscript

Author Manuscript

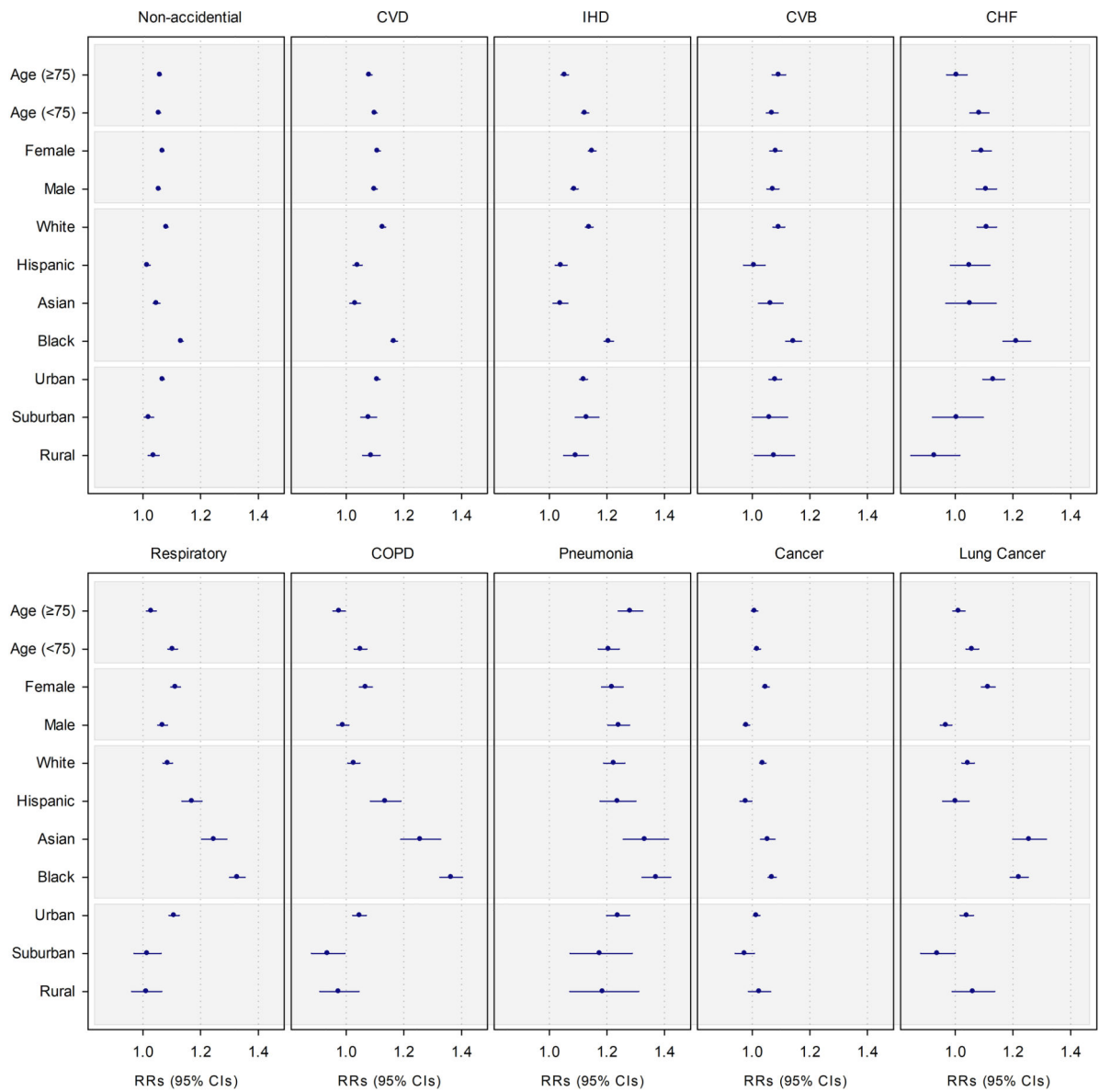
Author Manuscript





**Figure 1.**

Non-linear Relationship of Mortality Hazard Ratio (95% CI) for Cause-Specific Mortality and 10 ppb Increase in 12-month Moving Average NO<sub>2</sub>. All analyses were conducted using Cox Proportional Hazard models with strata for sex (male, female), race (White, non-White), age (1 year age categories with 90+ years old as one category), and ZIP code, and including ZIP code- and state-level SES as model covariates. Models were also adjusted for 12-month moving average PM<sub>2.5</sub> using a 2-stage approach. The bold solid line represents the non-linear relationship estimated using restricted cubic spline (3 knots); the dotted line represents the 95% C.I.s for the non-linear association, and the muted solid line represents the linear association for (A) All-cause and non-accidental mortality, (B) Cardiovascular-related mortality, (C) Respiratory-related mortality, (D) Cancer and lung cancer mortality



**Figure 2.** Mortality Hazard Ratio (95% CI) Associated with a 10 ppb Increase in 12-month Moving Average NO<sub>2</sub> Exposure by Beneficiary Characteristics. Analyses were based on Cox proportional hazard models with strata for sex (male, female), race (White, non-White), age (1 year age categories with 90+ years old as one category), and ZIP code, and including ZIP code- and state-level SES as model covariates. Models were also adjusted for 12-month moving average PM<sub>2.5</sub> using a 2-stage approach.

**Table 1.**

## General Characteristics of the Study Population

<b>Population</b>	<b>N (%) or Mean ± SD</b>			
Persons	49,712,702			
Deaths	13,213,500			
Person-months	3,119,343,105			
<b>Pollution concentration</b>	<b>Mean ± SD</b>	<b>Median (25<sup>th</sup>, 75<sup>th</sup>)</b>	<b>Range</b>	
NO <sub>2</sub> , ppb	10.90 ± 6.44	9.14 (6.13, 13.98)	1.03 – 47.04	
PM <sub>2.5</sub> , µg/m <sup>3</sup>	9.59 ± 3.12	9.44 (7.56, 11.45)	0.70 – 25.79	
Black Carbon, µg/m <sup>3</sup>	0.78 ± 0.38	0.70 (0.53, 0.95)	0.00 – 3.23	
<b>Individual characteristics</b>	<b>N (%)</b>	<b>NO<sub>2</sub>, ppb Mean ± SD</b>	<b>PM<sub>2.5</sub>, µg/m<sup>3</sup> Mean ± SD</b>	<b>Black Carbon, µg/m<sup>3</sup> Mean ± SD</b>
<b>Sex</b>				
Male	22,032,670 (44.32)	10.84 ± 6.43	9.55 ± 3.13	0.77 ± 0.38
Female	27,680,032 (55.68)	10.94 ± 6.45	9.62 ± 3.12	0.78 ± 0.38
<b>Race</b>				
White	43,220,223 (86.94)	9.51 ± 5.65	9.17 ± 3.00	0.72 ± 0.33
Black	4,518,885 (9.9)	11.13 ± 6.40	10.61 ± 2.74	0.88 ± 0.35
Asian	512,041 (1.03)	14.33 ± 6.75	10.54 ± 3.33	0.94 ± 0.48
Hispanic	805,346 (1.62)	13.61 ± 7.36	9.85 ± 3.55	0.85 ± 0.50
Native American	656,208 (1.32)	9.30 ± 5.79	7.75 ± 3.30	0.61 ± 0.35
<b>Neighborhood characteristics</b>				
Urban	38,775,908 (78.00)	12.8 ± 6.5	10.4 ± 3.1	0.87 ± 0.41
Micropolitan	5,518,110 (11.10)	6.8 ± 2.3	8.5 ± 2.6	0.67 ± 0.27
Rural	5,418,685 (10.90)	5.4 ± 1.9	7.8 ± 2.5	0.61 ± 0.27
<b>Geological regions</b>				
West	9,296,275 (18.70)	14.45 ± 7.48	8.39 ± 4.53	0.81 ± 0.61
Midwest	10,489,380 (21.10)	9.55 ± 5.25	9.72 ± 2.83	0.66 ± 0.23
South	11,985,732 (24.11)	7.98 ± 3.75	10.07 ± 2.29	0.80 ± 0.28
Northeast	17,936,343 (36.08)	14.38 ± 7.18	9.79 ± 2.71	0.88 ± 0.33

**Table 2.**

Mortality Hazard Ratios (95% CI) Associated with a 10 ppb Increase in 12-month Moving Average NO<sub>2</sub> Exposure: by Cause of Death in Base and PM<sub>2.5</sub>- and BC-Adjusted Models

Cause of Death	HR <sup>a</sup>	PM <sub>2.5</sub> -Adjusted HR		BC-Adjusted HR <sup>d</sup>
		2-stage <sup>b</sup>	2-pollutant <sup>c</sup>	
<b>All-Cause</b>	1.14 (1.13,1.15)	1.06 (1.05,1.06)	1.14 (1.13,1.15)	1.14 (1.13,1.15)
Non-Accidental	1.14 (1.14,1.15)	1.06 (1.06,1.07)	1.15 (1.14,1.15)	1.15 (1.14,1.15)
Accidental	0.99 (0.95,1.03)	0.98 (0.95,1.02)	0.98 (0.94,1.02)	0.98 (0.94,1.02)
<b>All cardiovascular</b>	1.23 (1.22,1.24)	1.10 (1.10,1.11)	1.24 (1.23,1.25)	1.24 (1.23,1.26)
IHD	1.26 (1.24,1.27)	1.12 (1.11,1.13)	1.27 (1.25,1.29)	1.28 (1.27,1.30)
CBV	1.25 (1.22,1.28)	1.08 (1.06,1.10)	1.24 (1.21,1.27)	1.28 (1.25,1.30)
CHF	1.16 (1.12,1.21)	1.10 (1.06,1.13)	1.19 (1.15,1.24)	1.19 (1.14,1.23)
<b>All respiratory</b>	1.17 (1.15,1.19)	1.09 (1.08,1.11)	1.19 (1.17,1.21)	1.17 (1.15,1.19)
COPD	1.06 (1.03,1.08)	1.03 (1.01,1.05)	1.06 (1.04,1.09)	1.06 (1.03,1.08)
Pneumonia	1.33 (1.29,1.37)	1.23 (1.19,1.27)	1.41 (1.36,1.47)	1.36 (1.31,1.40)
<b>All Cancer</b>	1.04 (1.03,1.06)	1.01 (1.00,1.02)	1.04 (1.03,1.05)	1.04 (1.03,1.06)
Lung Cancer	1.04 (1.02,1.07)	1.03 (1.01,1.05)	1.05 (1.03,1.08)	1.04 (1.02,1.07)

<sup>a</sup>Base models with strata for sex (male, female), race (White, non-White), age (1 year age categories with 90+ years old as one category), ZIP code and adjusted for ZIP code- and state-level SES by including the two SES variables as covariates.

<sup>b</sup>Base models with additional adjustment for 12-month PM<sub>2.5</sub> using a two-stage approach.

<sup>c</sup>Base models with additional adjustment for PM<sub>2.5</sub> using 2-pollutant modeling approach.

<sup>d</sup>Base models with additional adjustment for annual BC using a 2-pollutant modeling approach.