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Ground State Electron Transfer as an Initiation Mechanism for Biocatalytic C─**C Bond Forming Reactions**

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Abstract

Developing non-natural reaction mechanisms is an attractive strategy for expanding the synthetic capabilities of substrate promiscuous enzymes. Here, we report an 'ene'-reductase catalyzed asymmetric hydroalkylation of olefins using α-bromoketones as radical precursors. Radical initiation occurs via ground-state electron transfer from the flavin cofactor located within the enzyme active site, an underrepresented mechanism in flavin biocatalysis. Four rounds of site saturation mutagenesis were used to access a variant of the 'ene'-reductase nicotinamidedependent cyclohexanone reductase (NCR) from Zymomonas mobiles capable of catalyzing a cyclization to furnish β-chiral cyclopentanones with high levels of enantioselectivity. Additionally, wild-type NCR can catalyze intermolecular couplings with precise stereochemical control over the radical termination step. This report highlights the utility for ground-state electron transfers to enable non-natural biocatalytic C─C bond forming reactions.

Graphical Abstract

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Supporting Information.

Detailed experimental procedures, characterization data (NMR spectra and HPLC traces). This material is available free of charge via the Internet at<http://pubs.acs.org>.

Introduction

Radicals are versatile synthetic intermediates that enable numerous fascinating processes and retrosynthetic disconnections.¹⁻³ While the overall reactivity of radical intermediates is well understood,⁴ catalytic strategies for controlling the chemo- and stereoselectivity remain underdeveloped.⁵⁻⁷ Nature has evolved various biocatalysts, such as cobalamin and radical ^S-adenosylmethionine (SAM) enzymes, to catalyze the radical-mediated transformations with unparalleled chemo-, regio-, and enantioselectivity in the biosynthesis of complex natural products. $8-11$ However, these enzymes are not readily harnessed outside of their natural settings by organic synthetic chemists, owing to either operational difficulties or lack of catalytic versatility. We imagined that enzymes could be used more broadly to solve selectivity challenges in the radical literature by identifying and developing enzymes that can use non-natural mechanisms for radical formation.^{12,13}

Flavin-dependent 'ene'-reductases (EREDs) naturally catalyze the asymmetric reduction of electronically activated alkenes and are among the most widely used class of biocatalysts in chemical synthesis.^{14,15} Mechanistically, the reaction occurs *via* a stereoselective hydride transfer from the cofactor flavin hydroquinone (FMN_{hq}) to the substrate's electrophilic position followed by protonation by a conserved tyrosine residue in the enzyme active-site to give the hydrogenated product.^{14,15} Our group recently demonstrated that these enzymes can also catalyze asymmetric radical cyclizations when irradiated with visible light.¹⁶⁻¹⁹ This reaction occurs via photoexcitation of an enzyme templated charge transfer (CT) complexes formed between organohalides substrate and flavin hydroquinone (FMN_{ha}) cofactor.¹⁶⁻¹⁸ This mechanism is effective for inducing electron transfer to alkyl iodides and chloroamides whose low reduction potentials make ground state electron transfer thermodynamically challenging (Figure 1A). However, as the energetics of CT complexes are governed by both electrostatic attraction and substrate electron affinity, it can be difficult to predict which substrates will form such complexes.²⁰ Ground state electron transfer is an attractive alternative to photoinduced mechanisms because its viability is determined by the redox potentials of substrate and cofactor ($E_{1/2} = -0.45$ V versus saturated calomel electrode (SCE) for FMN_{ha}^{21}). We previously demonstrated the viability of this mechanism for asymmetric hydrodehalogenation of electronically activated α -bromophenylacetic esters.²² This mechanism has not, however, been utilized for forming less stabilized radicals or been deployed in C─C bond forming reactions.

As a model, we targeted the cyclization of α-bromoketone **1** to afford β-stereogenic cyclopentanone **2** (Figure 1B). As α-bromoaliphatic ketones are more easily reduced by comparison to chloroamides (E_{1/2} = -0.74 V versus SCE for α -bromoacetophenone),²³ we hypothesized that they would be amenable to reduction via ground state electron transfer. While this represents an endergonic electron transfer, we reasoned that subsequent fast and irreversible mesolytic cleavage of the C─Br bond would enable the reaction to proceed. The intermediacy of α-ketonyl radicals present distinct challenges by comparison to our previous biocatalytic cyclization involving α-amidyl radicals.16 Specifically, α-ketonyl radicals have lower reduction potentials and are more reactive, making them prone to undesired electron and hydrogen atom transfer pathways that ultimately form undesired hydrodebrominated products (Figure 1B).²³ These side reactions also plague variants of this reaction mediated by small-molecule reagents.24-26 Additionally, the enhanced reactivity of this intermediate should also result in a transition state for the C─C bond-forming step that more closely resembles the starting material (per the Hammond Postulate), making it harder to achieve high levels of enantioselectivity, potentially accounting for the lack of general small molecules catalysts for this reaction (Figure 1B). 27

Results and Discussion

Discovery and engineering of NCR for radical cyclization

We began our studies by exploring the reductive cyclization of α-bromoketone **1a** to βstereogenic cyclopentanone **2a** catalyzed by structurally diverse wild-type EREDs under ambient conditions with an NADPH regeneration system (GDH/NADP^{+/glucose)} (Table 1). Several EREDs provided the desired cyclopentanone product **2a**, although significant quantities of the hydrodehalogenation product **3** were observed in all cases. The ERED 12-oxophytodienoate reductase 1 (OPR1) from Solanum lycopersicum provided highest enantioselectivity (31% yield, 80:20 er) for product **2a**, with 9% formation of the undesired hydrodebrominated product **3** (Table 1, entry 1). The nicotinamide-dependent cyclohexanone reductase (NCR) from Zymomonas mobilis afforded similar levels of enantioselectivity to OPR1 but with more formation of **3a** (Table 1, entry 2). Interestingly, pentaerythritol tetranitrate reductase (PETNr) afforded the high yields for the desire product **2a**, but with lower levels of enantioselectivity (Table 1, entry 4). Importantly, it is also found in commercial ERED collections. For instance, ERED-30 from the Prozomix library affords product **2a** in 94% yield (65:35 er) and only 6% yield of the linear dehalogenated product **3** (Table 1, entry 4). Control experiments confirmed that both ERED and the NADPH regeneration system were required for reactivity (Table 1, entry 5 and 6).

As a wild-type enzyme could not be identified that afforded high yields and enantioselectivities; we chose to evolve an improved catalyst from NCR. This enzyme was selected as a starting point because it provided the appropriate balance of product yield, enantioselectivity, and high expression levels in 96-well plates. EREDs have not previously been evolved for radical biocatalytic reactions, so amino acid residues were chosen for site saturation mutagenesis (SSM) that line the active site pocket that are thought interact with either the substrate or flavin cofactor (the docking model of substrate **1a** in wild-type NCR is shown in Supplemental Figure 1).²⁸ For each round of SSM, enzyme libraries were co-

expressed with the groES-groEL chaperone using Escherichia coli cells and screened in 96 well plates in the form of cell-free extracts (CFEs). After four rounds of SSM, we discovered four beneficial mutations Y343W, F269W, W342A, and I231S, which collectively furnishing a notable 7.7-fold improvement in yield and further enhancements in enantioselectivity; the undesired dehalogenated linear product **3** was also effectively diminished to a negligible level (Figure 2 and Supplemental Tables 2-10). Using the final quadruple mutant (Y343W/ F269W/W342A/I231S), named NCR-C9, as the biocatalyst under optimized conditions, the (S)-configured cyclization product **2a** was formed in 92% yield and 95:5 er, with a 99:1 ratio of cyclized/linear (**2a**/**3**) products (Figure 2). This reaction can be run on a preparative scale and afford product in 86% isolated yield with no changes in enantio- or chemoselectivity (Scheme 1).

With the evolved non-natural radical enzyme NCR-C9 in hand, we explored the transformation scope and limitations (Scheme 1). The quadruple mutant NCR-C9 accommodated various para-substituents of the aromatic ring, with electron-donating (**1e**–**f**) and electron-withdrawing (**1i**) substituents affording β-stereogenic cyclopentanones in high yields (74−86%) and excellent enantioselectivities (> 94:6 er, Scheme 1). Notably, parahalogenated substrates (**1g**–**h**) were tolerated providing a handle for potential downstream manipulation (Scheme 1). Ortho-substituted (**1b**) and meta-substituted (**1c**–**d**) substrates were also well accepted by the evolved NCR-C9, giving the corresponding cyclized products **2c**–**d** with good yields (57–81%) and high levels of stereoselectivity (> 90:10 er, Scheme 1). The aromatic substituent proved essential for the desired reactivity, with unsubstituted alkene or enone affording the hydrodehalogenated products exclusively (Scheme 1 and Supplemental Figure 2).

Protein engineering campaigns result in catalysts that are better suited for the reaction on which they were evolved. To probe the specialization in this protein engineering campaign, we tested alternative substrates and cyclization modes. The (Z) -isomer of the model substrate (Z)-**1a** was accepted by the NCR-C9, although with diminished yield and enantioselectivity (62% yield, 69:31 er, S-isomer over access) by comparison to the (E) -configured substrate (E) -**1a**, indicating the importance of geometric configuration of the substrate during the NCR-catalyzed radical cyclization reaction (Scheme 1). In contrast, (E) -**1a** and (Z) -**1a** perform similarly with wild-type NCR, indicating that the protein engineering campaign has enhanced the preference for the (E)-olefin isomer. In the realm of alternative cyclization modes, the specialization was less pronounced. While NCR-C9 was ineffective for a 6-exo-trig cyclization, the wild-type enzyme could catalyze the reaction in low yield, indicating the parent enzyme is more promiscuous. In contrast, both variants afforded similar results for 7-exo-trig cyclization (Scheme 1). In addition, both the wild-type and evolved variants can catalyze the 6-endo-trig cyclization providing γ-substituted cyclohexanone **2m** in moderate yield; however, 5-endo-trig cyclization was inaccessible to both enzymes, fitting to the Baldwin rules (Scheme 1 and Supplemental Figure 3). Collectively, these results indicate that specialization is apparent within individual cyclization modes but does not necessarily hold when looking at other manifolds.

Intermolecular radical C─**C bond formation catalyzed by wild-type NCR**

Having evolved a selective enzyme for a radical cyclization, we further questioned whether EREDs could catalyze an intermolecular radical hydroalkylation. Zhao and coworkers have previously reported that EREDs can catalyze the proposed reaction when irradiated with blue LEDs.29 However, as α-bromoacetophenone has a modest reduction potential (−0.74 V vs. SCE), 23 we hypothesized that this reaction can occur without photoexcitation. In a dark manifold, the central challenge is identifying a gating mechanism for ensuring that both the bromoketone and alkene are bound within the protein active site prior to electron transfer. In the absence of such a mechanism, we would expect to form the hydrodehalogenated product primarily. In the photoenzymatic coupling of chloroamides and alkenes, we observed an enzyme templated ternary CT complex between FMN_{hq}, chloroamide, and α-methylstyrene.30 As this complex is more strongly absorbing by comparison to the binary complex (FMN_{ha} and chloroamide), productive chemistry primarily occurs through a CT complex that has both coupling partners bound within the protein active site. 30 In the absence of this photoregulation mechanism, it was unclear whether radical formation would be substrate gated.

We tested a panel of EREDs on the intermolecular hydroalkylation of α-bromo acetophenone **6a** with α-methyl styrene **7a** to provide γ-stereogenic ketone **8a** (Table 2). GluER-T36A, the best variant for the coupling of chloroamides with alkenes, afforded product in 62% yield with 97:3 er (Table 2, entry 1). Remarkably, wild-type NCR catalyzed the desired transformation in a quantitative yield (using alkene as the limiting reagent) with excellent enantioselectivity (97:3 er) for the (R) -enantiomer, outperforming other tested wild-type EREDs (Table 2, entry 2). Decreasing the catalyst loading to 1 mol % afforded decreased yields but with no loss in enantioselectivity (Table 2, entry 3). Interestingly, irradiation with a blue kessil lamp did not afford an increase in yield (Table 2, entry 4). This result indicates that a photoexcited electron transfer mechanism is not available to this substrate/enzyme combination. The (S)-enantiomer of **8a** can also be accessed using Yersina bercovieri alkene reductase (YersER) as the biocatalyst, albeit in moderate yield and enantioselectivity (44% yield, 83:17 er) (Table 2, entry 5). In all cases, <15% of hydrodehalogenated product was observed, suggesting a mechanism for gating radical formation. After optimizing the experimental parameters, wild-type NCR (1.5 mol% loading) catalyzed the non-natural intermolecular hydroalkylation providing the (R)-**8a** in 99% yield (82% isolated yield) and excellent enantioselectivity (99:1 er) (Table 2, entry 7). Control experiments confirmed that both NCR and the NADPH regeneration system are necessary for this biotransformation (Supplemental Table 12). Interestingly, α-chloroacetophenone is an effective substrate under these reaction conditions (49% yield, 97:3 er), despite being less reducing by 600 mV (-1.44 V vs. SCE),²³ indicating that photoinduced electron transfer is not required for radical initiation (Table 2, entry 6).²⁹

A variety of α-bromo aryl ketones are well tolerated by the reaction (Scheme 2). αbromoketones possessing either electron-donating (**6b**–**c**) or electron-withdrawing (**6d**–**i**) substituents at the *para*-position were efficiently converted to the desired enantioenriched γ -chiral ketones (8b–i) in yields of 43–98% with excellent enantioselectivity ($> 97:3$ er). The meta-substituted substrates **6j**–**k** were also well accepted by the wild-type NCR,

providing the corresponding products **8j**–**k** with good yields (72–96%) and high levels of enantioselectivity (> 97:3 er). Beyond acetophenone derivatives, simple α-bromo alkyl ketone **6n** was also successful in the reaction, giving rise to the product **8n** in 63% yield and 95:5 er, highlighting the generality of the ground-state electron transfer mechanism. Unfortunately, α-bromo acetic acid and α-bromo esters were unreactive (Supplemental Figure 4).

The alkene scope is also broad for the NCR-catalyzed intermolecular hydroalkylation (Scheme 2). Various *ortho*- and *meta*-substituted α -methyl styrenes were well accepted by the enzyme, providing the corresponding γ-chiral ketones **8o**–**r** in yields of 58%–88% with excellent enantioselectivity (up to 99:1 er, Scheme 2). Para-substituted α-methyl styrenes were less tolerated in the reaction. The smaller para-F substituent (**8s**, 99% yield, 95:5 er) outperformed larger groups such as para-Me (**8t**) or para-Cl (**8u**) substituent, which afforded only moderate yields (59–69%) and poor enantioselectivity (Scheme 2). Beyond styrenyl alkenes, wild-type NCR could also accept heterocycles, including an electron-deficient α-methylvinylpyridine and electron-rich α-methylvinylthiophene, providing the respective products **8w**–**x** in 61–74% yield and high enantioselectivity (up to 99:1 er). This enzyme, however, was limited to small substituents at the α-position of styrene (**8y-z**). Larger groups, such as \dot{i} -propyl and n -propyl, were poorly reactive (Scheme 2). We hypothesize that this limitation could be overcome using protein engineering. Finally, aliphatic alkenes, such as α-methylvinylcyclohexane, were not reactive (Scheme 2 and Supplemental Figure 4).

Mechanistic Experiments

To elucidate the mechanism of termination for the benzylic radical in the NCR-catalyzed intra- and intermolecular C─C bond-forming reactions, we conducted a set of deuteriumlabeling experiments (Figure 3 and Supplemental Figure 6-11). For the cyclization mediated by NCR-C9, when cofactor flavin was labeled *in situ* using d_1 -glucose and glucose dehydrogenase (GDH), the product β-benzyl cyclopentanone **2a** was obtained in 83% yield and 95:5 er with 47% deuterium incorporation at the benzylic position (Figure 3A). Alternatively, when the model cyclization reaction was performed in deuterated buffer, the product **2a** was observed in high yield and enantioselectivity (93% yield, 95:5 er) with only 11% of benzylic deuterium incorporation (Figure 3A). Collectively, these deuterium-labeling data suggest that the primary mechanism of quenching the benzylic radical would be HAT from flavin (FMN_{sa}), as proposed in Supplemental Figure 5A. In the experiment where in situ deuterium-labeled flavin was used, the moderate deuterium incorporation $(47 \text{ D\%)}$ of product **2a** can be rationalized by deuterium atom transfer being competitive with the deuterium exchange at the flavin N5 position with H_2O buffer, suggesting a possible kinetic isotope effect in the radical termination event (Supplemental Figure 12).³¹

For the intermolecular hydroalkylation catalyzed by wide-type NCR, when flavin was labeled in situ using d_1 -glucose and GDH, the γ -chiral ketone product **8a** was formed in 42% yield with 99:1 er and 80% deuterium incorporation at the benzylic position (Figure 3B). Additionally, when this reaction was carried out in a deuterated buffer, the yield of product **8a** improved to 94% (99:1 er), but hardly any deuterium incorporation at the benzylic position of **8a** was observed (Figure 3B). Collectively, these results suggest the

benzylic radical is primarily terminated *via* HAT from flavin (FMN_{sq}), which also set the γ-stereocenter of the hydroalkylation products (Supplemental Figure 5B).

Finally, we were interested in whether the presence of alkene influenced radical formation. This was determined by measuring the consumption of α-bromo acetophenone **6a** with and without α-methylstyrene **7a**. In the presence of 3 equivalents of **7a**, **6a** was consumed at 7.6 mmol/hour. In the absence of alkene, that initial rate decreased to 3.0 mmol/hour, which was a 2.5-fold decrease to the initial rate (Figure 3C and Supplemental Figure 13-15). These experiments indicate that acetophenone consumption is enhanced by the presence of α-methylstyrene, empirically suggesting a gating mechanism. We hypothesize that an interaction between the π -bond of the alkene and the σ^*_{C-Br} could be responsible for the rate enhancement. Electron transfer in these reactions is understood to be endergonic and reversible. The first irreversible step is mesolytic cleavage of the ketyl radical to form the α-acyl radical. A hyperconjugative interaction between the alkene and either αbromoacetophenone or corresponding radical anion would weaken the C─Br bond, making the mesolytic cleavage more facile. Alternatively, the proposed interaction could decrease the reduction potential of α -haloacetophenone by polarizing the C—X bond causing a buildup of positive charge on the α-carbon. This could potentially account for the ground state reactivity observed with α-chloroacetophenone despite its lower reduction potential.

Conclusions

In conclusion, we have discovered that the flavin-dependent enzyme NCR is capable of catalyzing C─C bond-forming radical cyclization and that the activity and selectivity of the reaction can be significantly improved using directed evolution. The engineered quadruple mutant NCR-C9 shows broad substrate scope and excellent chemo- and stereoselectivity in the 5-exo-trig cyclization reaction, allowing the enantioselective synthesis of a variety of β-chiral cyclopentanones (Scheme 1). Moreover, we also developed the challenging asymmetric intermolecular radical C─C bond-forming reactions using wild-type NCR as the biocatalyst, offering a valuable option for efficient preparation of γ-chiral ketones with high optical purity (Scheme 2). While the β-chiral center of cyclopentanone product was constructed by the C─C bond-forming step in the cyclization catalyzed by NCR-C9, the γ-stereocenter of intermolecular hydroalkylation products produced by wild-type NCR was set *via* a stereoselective HAT process, thus demonstrating the versatile roles of enzymes with unparalleled capability in controlling stereoselectivity (Supplemental Figure 5). Overall, our study showcases how non-natural reactivities of native EREDs can be exploited, which also can be further enhanced by protein engineering, if needed, to expand the biocatalyst toolbox and address the long-standing selectivity challenge in radical chemistry. We foresee EREDs and other flavin-dependent enzymes can be further leveraged to generate new synthetically applicable reactivities; this is particularly true as other fields, such as photocatalysis,16-18,29,32 are increasingly merging with biocatalysis.33,34

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

ACKNOWLEDGMENT

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Can ground state electron transfer initiate radical cyclization of ketones?

B. Proposed Radical Cyclization

Figure 1.

Strategies and challenges in using 'ene'-reductases (EREDs) for non-natural radical cyclization.

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Figure 2.

Directed evolution of NCR catalysts for non-natural asymmetric radical cyclization. **A**, Chemoselectivity (cyclization versus debrominative hydrogenation), stereoselectivity, and yields improvement of NCR variants over wild-type NCR. Standard reaction conditions: the reaction mixture (500 μL) consisted of model substrate (**1a**, 0.004 mmol), GDH-105 (0.5 mg), glucose (5.0 mg), NADP⁺ (0.5 mg), and purified NCR variants (1.0 mol%) in buffer (100 mM KPi buffer pH 8.0) with 5% DMSO as cosolvent at room temperature for 24 h. Yields were determined *via* HPLC relative to an internal standard (average of triplicate). Enantiomeric ratio (er) was determined via HPLC on a chiral stationary phase. **B**, Crystal structure of wild-type NCR (PDB: 4s3u). Residues for substrate binding (H172 and N175) are shown as blue sticks. Four beneficial sites Y343, F269, W342, and I231 are shown in orange sticks.

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Figure 3.

Deuterium labeling experiments. **A**. Deuterium labeling experiments for the cyclization mediated by NCR-C9. **B**. Deuterium labeling experiments for the intermolecular hydroalkylation catalyzed by wide-type NCR. **C**. α-methylstyrene (**7a**) affects the depletion of α-bromoacetophenone (**6a**) in NCR-catalyzed reaction. For experimental details, see Supplemental Figure 6-15.

Scheme 1.

Asymmetric radical cyclization catalyzed by NCR-C9 mutant. Standard reaction conditions: the reaction mixture (500 μL) consisted of substrate (**1**, 0.004 mmol), GDH-105 (0.5 mg), glucose (5.0 mg), NADP⁺ (0.5 mg), and purified NCR-C9 mutant (1.0 mol%) in buffer (100 mM KPi buffer pH 8.0) with 5% DMSO as cosolvent at room temperature for 24 h. ^a Yields were determined *via* HPLC relative to an internal standard. ^b Enantiomeric ratio (er) was determined via HPLC on a chiral stationary phase. ^c Isolated yield was based on 0.08 mmol-scale reaction. d 2 mol% of wild-type NCR was used. e n.d., er not determined.

Scheme 2.

Asymmetric intermolecular radical hydroalkylation catalyzed by wide-type NCR. Standard reaction conditions: the reaction mixture (1.2 mL) consisted of substituted styrene (**7**, 0.005 mmol, 1 equiv), α-bromo carbonyl (**6**, 0.015 mmol, 3 equiv), GDH-105 (0.3 mg), glucose (5.0 mg) , NADP⁺ (0.2 mg) , and purified wild-type NCR protein (1.5 mol) % to alkene) in buffer (50 mM Tris-HBr buffer pH 7.5) with 10% DMSO as cosolvent at room temperature for 36 h. ^a Yields were determined *via* HPLC relative to an internal standard. ^b Enantiomeric ratio (er) was determined *via* HPLC on a chiral stationary phase. ^{c} An excess of styrene (7, 0.015 mmol, 3 equiv) to α-bromo carbonyl (**6**, 0.005 mmol, 1 equiv) was used.

Table 1.

Screening an initial panel of EREDs for cyclization.

Reaction conditions: α-bromoketone (1 mg, 0.004 mmol), GDH-105 (0.5 mg), NADP⁺ (0.5 mg), glucose (5 mg) and purified 'ene'-reductases (2 mol%) in 50 mM Tris-HBr buffer pH 8.0, with 5% DMSO (v/v) as cosolvent, final total volume is 500 µL. Reaction mixtures were shaken under anaerobic conditions at room temperature for 24 h.

 a Yield determined via LCMS relative to an internal standard (TBB).

 $b_{\text{Enantiometric ratio (er) determined by HPLC on a chiral stationary phase.}}$

 $c_{ERED-30}$ (1 mol%) was screened from a genetically diverse EREDs library from Prozomix.

d
n.d., not determined.

Table 2.

Screening an initial panel of EREDs for intermolecular hydroalkylation.

Reaction conditions: α-haloacetophenone (**6**, 0.015 mmol, 3 equvi), α-methylstyrene (**7a**, 0.005 mmol, 1 equiv), NADP+ (0.2 mg), GDH-105 (0.3 mg), glucose (5 mg) and purified 'ene'-reductases in 50 mM Tris-HBr buffer pH 7.5, with 10% DMSO as cosolvent, total volume is 500 μL. Reaction mixtures were shaken under anaerobic conditions at room temperature for 36 h.

 a Yield determined *via* LCMS relative to an internal standard (TBB).

b Enantiomeric ratio (er) determined by HPLC on a chiral stationary phase.

 c Irradiation with a blue LED.

 d Total reaction volume is 1200 μL.

 e^e Yield of isolated product from a 0.1 mmol-scale reaction.