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# The interplay of the extracellular matrix and stromal cells as a drug target in stroma-rich cancers

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# Abstract

The tumor microenvironment (TME) is a complex neighborhood that consists of immune cells, fibroblasts, pericytes, adipocytes, endothelial and neuronal cells, and the extracellular matrix proteins. TME also consists of physical factors, such as oxygen availability, changing pH, interstitial fluid pressure, and tissue stiffness. As cancer progresses, the physical properties and the cells in the TME change significantly, impacting the efficacy of the therapies and modulating drug resistance. This has led to the development of several new treatments targeting the TME. This review focuses on recent advances on the role of TME in drug resistance, with a particular focus on the ongoing clinical trials aiming at disrupting the TME-and the extracellular matrix-mediated protection against therapies.

# Keywords

Tumor microenvironment; cancer-associated fibroblasts; extracellular matrix; drug resistance; stroma-cancer crosstalk; clinical trials

# Cell types of the tumor microenvironment

In addition to the tumor cells themselves, the cell types that contribute to the changing **tumor microenvironment (TME)** (see Glossary) are the cancer-associated fibroblasts (CAFs), adipocytes, pericytes, neurons, endothelial and immune cells. Under non-pathological conditions these non-oncogenic cells are required for normal homeostasis. In cancer, although still genetically normal, their functions and behavior change significantly due to the effect of the tumor cells and the changing matrix and cytokine environment (Figure 1). Below we will describe some recent findings on how these cell types impact drug resistance.

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# CAFs:

CAFs, in addition to secreting extracellular matrix (ECM) proteins and cytokines, have recently been shown to secrete small metabolites that increase tumor fitness and promote drug resistance. For instance, in pancreatic cancer, the pancreatic stellate cells (PSCs) that produce the majority of the ECM and cytokines, recently were also shown to secrete alanine [1] and lipids that are taken up by the tumor cells to support the synthesis of phosphatidylcholines and lysophosphatic acid (LPA), thus favoring the proliferation and migration of the tumor cells [2]. Stellate cells were also shown to induce drug resistance by secretion of nucleosides that competed with Gemcitabine chemotherapy [3] [4]. It is also becoming evident that not all CAFs are tumor-promoting. Different populations of CAFs can have tumor-restricting effects, and targeting different CAF populations might have very different outcomes [5]. Tumor cells themselves can induce the CAFs to differentiate into these different populations with very distinct functions (e.g. inflammatory vs. myofibroblastlike CAFs), that in turn influence tumor and immune cell behavior [6, 7]. Furthermore, these different CAF subtypes and the ECM they secrete shape tumor cell heterogeneity, and are linked to differential drug responses and clinical outcomes in pancreatic [8] and breast cancer [9, 10]. CAFs have also been shown to secrete high amounts of cytokines such as Interleukine-6 and -8 (IL-6, IL-8), Transforming Growth Factor beta (TGF-β), and Leukemia Inhibitory Factor (LIF) [11] [12], most known for increasing stress tolerance and drug resistance [13–15], leading to efforts to target CAFs and CAF-secreted factors in cancer.

#### Adipocytes in the TME:

Obesity is a known risk factor for several cancers, and has been shown to be associated with therapy resistance [16–19]. Obesity can alter the TME by inducing chronic inflammation that has profound effects on all the cell types in the TME. In addition, adipocytes secrete lipids uptaken by the tumor cells. For instance, in hypoxia, tumor cells switch from glucose to lipid utilization, and adipocytes going through lipolysis 'donate' their lipids to the cancer cells, fueling their growth either at the primary site or during metastasis [20–22]. Obesity is also linked with increased IL-6 secretion, known to induce drug resistance [23].

#### **Endothelial cells:**

The stiff, expanded tumor stroma compresses the endothelial cell vasculature, contributing to intratumoral hypoxia. While still functional, the tumor vasculature is often leaky, with plasma proteins leaking out, and poor waste removal leading to the build-up of waste products and acidic pH. This greatly influences the tumor cells, their metabolism and energy utilization. Low pH, hypoxia and the ECM and cytokine environment also impact endothelial cell functions. Cytokines and pro- and anti-angiogenic signals embedded in the ECM guide endothelial cells to proliferate and to create new vasculature. This abnormal vasculature and endothelial cell behavior is considered to aid tumor cells, and to suppress the immune system [24].

# Pericytes:

These are present in the microcapillaries and wrap the endothelial cells. They have a role in blood vessel formation and maintenance, stabilizing small blood vessels [25]. Recently it was shown that targeting the glioma stem-cell derived pericytes could disrupt the blood-tumor barrier and improve delivery of chemotherapy to the tumors [26].

#### Neural cells and perineural invasion:

Neurons are part of the TME, and newly formed neurons can infiltrate and proliferate in solid tumors. For instance, in prostate cancer tumors expressing neurotrophic factors attract neurons, causing neural progenitor cells to infiltrate and initiate **neurogenesis** [27]. The depletion of these progenitor cells could inhibit the early stages of tumor growth and metastasis [28]. Another aspect of the tumor-neuron crosstalk is the **perineural invasion**, where the tumor cells are attracted and invade the perineural space further driving cancer progression [29].

# Immune cells and the TME:

Given that cross-talk between the TME and immune cells has been covered in recent reviews [30], we will briefly highlight some of the recent studies that pertain to the ongoing clinical trials.

In order for the tumor to form, it needs to escape the immune system, which normally would eliminate abnormal cells. The immune TME is composed of dendritic cells, Cytotoxic T cells (CTLs), Regulatory T cells (Tregs), tumor-associated macrophages (TAMs), Natural killer (NK) cells, neutrophils and myeloid-derived suppressor cells (MDSCs) [31]. We have briefly described the functions of these cell types in Box 1, to help the reader follow the rationale for eliminating or attracting these various immune cells into the TME.

Dense fibrotic stroma is considered immunosuppressive partially because of the high levels of TGF<sup>β</sup> that can exclude CTLs [32] and attract immunosuppressive TAMs. Immunosuppressive TAM formation is also stimulated by stiffness, hypoxia and the common ECM component, Hyaluronic acid (HA) [33][34]. In addition to immunosuppression, TAMs can also support metastasis via secretion of the ECM remodeling enzymes, such as matrix metalloproteinases (MMPs) [35] in response to the cancer cells cytokine secretion. Another factor inducing the immunosuppressive state in the TME is the Colony stimulating factor 1 (CSF1), which is secreted by the tumor cells and the CAFs, leading to more immunosuppressive TME through the recruitment of TAMs [36]. TAMs are recruited and regulated by CSF1-ligand receptor pair (CSF1/R), and its expression in tumors is associated with worse outcome and worse response to chemotherapy [37]. Another chemokine that can attract TAMs is the cytokine C-X-C motif chemokine 12 (CXCL12) that is secreted by CAFs and endothelial cells. CXCL12 receptor CXCR4 is frequently expressed in tumors and immune cells, and its expression is up-regulated by hypoxia, inflammation and fibrosis. CXCL12 secretion attracts Tregs, MDSCs and immunosuppressive TAMs that express the CXCR4 receptor, thus increasing immune-suppression in hypoxic tumors [38].

Overall, intra-TME crosstalk, between the immune cells, ECM and the other cell types, poses a complex area of biology, and understanding this is of paramount importance for the development of successful immunotherapies in stroma-rich cancers.

# The changing physical landscape of the TME in cancer progression

The physical and biochemical properties of the TME play a significant role in tumor progression and metastasis [40]. The TME is often referred to as a 'wound that does not heal', and is based on the tumors invoking programs closely resembling wound healing response in its recruitment and activation of the stroma to induce **desmoplasia**, with similarity to scar tissue [39]. This aberrant and fibrotic stroma influences the physical properties of the TME, and is very different from the normal stroma (Box 2).

Matrix proteins themselves can increase tumor fitness and drug resistance through multiple mechanisms (Box 3). For example, the proteoglycan perlecan, secreted by the tumoreducated CAFs, can induce resistance to chemotherapy [41], and similarly, fibronectin can induce therapy resistance in breast cancer, contributing both to endocrine- [42] and chemotherapy resistance [43]. Recent data also showed that collagen remodeling contributes to melanoma metastasis, particularly during aging, where more aligned collagen increased metastases [44]. This is in line with the body of work from Patricia Keely's group who showed that tumor-associated collagen signatures (TACS) are important predictors of tumor progression and therapy resistance (Box 4).

ECM proteins also induce survival signaling in the tumor cells through integrin engagement leading to the downstream activation of tyrosine kinases (c-Src and Focal adhesion kinase [FAK]) known to promote cell survival and drug resistance [14, 45–47]. These integrin-ECM adhesions and signaling also contribute to increased invasion, migration and metastasis in nutrient poor conditions [48]. Another less conventional role for ECM in increasing tumor cell fitness is to use it as a nutrient source [49]. Particularly Kirsten rat sarcoma viral-oncogene homolog (KRAS)-mutant cancers can use macropinocytosis to ingest any proteins in close proximity to increase their nutrient supplies under nutrient limiting and hypoxic conditions [50, 51]. Since ECM proteins are present in abundance in the TME, the cancer cells take advantage of this unconventional nutrient supply [52]. Therefore, the ECM environment regulates several aspects of the tumor cell behavior and is an attractive target for improving the efficacy of more traditional cancer therapies.

Interestingly, the source of the matrix also seems to play a role in tumor progression; the pancreatic cancer matrix proteome (matrisome) was recently characterized [53] and although the stromal fibroblasts secreted >90% of the tumor ECM mass, it was the tumor cell-secreted matrix proteins presence that correlated with the poorest patient survival. These data suggest that perhaps targeting the tumor-secreted ECM proteins might benefit patients more rather than targeting the stroma-secreted ones.

A less traditional cause for drug resistance are the extra-cellular vesicles secreted by multiple cell types in the TME; they carry a multitude of information (e.g. proteins, RNA, non-coding RNAs, and metabolites) that can provide protection against drugs as well as

prepare pre-metastatic niches and modify the TME. These aspects of the TME have been recently reviewed so we will not cover this topic here further [54, 55].

Changes in the composition of the ECM proteins modify the physical properties of the TME. It is well known that tumor elastic modulus (stiffness) is several times higher than normal tissue counterparts. This leads to more aggressive and migratory tumors, and, for example, stiffer breast tissue correlates with increased cancer risk, suggesting that mechano-sensing also drives cancer progression [56]. Interestingly, there appears to be a threshold after which the stiffness becomes tumor suppressive again, suggesting a bell-shaped effect on cancer growth and invasion [57]. Stiffer TME also impacts intracellular signaling triggered by integrins, FAK and c-Src kinases. Secretion of matrix crosslinking enzymes, like collagen prolyl hydroxylases, lysyl hydroxylases, lysyl oxidases (LOXs), and weaker ECM linkers, such as Hyaluronan and Proteoglycan Link Protein 1 (HAPLN1), by the tumor cells and the CAFs can significantly increase tissue stiffness [58]. These enzymes fold and align the collagen fibers and crosslink them with elastin molecules, making the ECM mechanically durable and stiff, and increase cancer progression and metastasis [58].

Another physical feature of the TME is the high interstitial fluid pressure (IFP). One of the molecules that increases this is HA, a predominant glycosaminoglycan in the TME, highly expressed in pancreatic, breast, lung and colorectal cancer [59]. HA increases the IFP by trapping water molecules. A by-product of this increased pressure is collapsing vasculature. The collapsed vasculature further increases IFP, creating a vicious cycle leading to intratumoral hypoxia, known to contribute to cancer progression and resistance to therapies by a variety of mechanisms, including direct regulation of ECM homeostasis through the activity of Hypoxia inducible factors (HIFs) [60]. Hypoxia can dramatically increase the synthesis rate of ECM proteins [61], as well as other non-structural matricellular proteins like thrombospondins, osteonectins, tenascins, osteopontin, periostin, and fibulins [62]. HIF-1 $\alpha$  was shown to upregulate the expression of several hydroxylases [63] and LOX's under hypoxic conditions [64], contributing to ECM remodeling and stiffening TME. Furthermore, hypoxia also influences the remodeling of ECM via enhanced expression of proteolytic enzymes like MMPs, and the components of the plasminogen activation system [65]. These dynamic changes in the ECM lead to a more effective uptake of cytokines, growth factors and adipokines secreted by different cell types residing in the TME.

# Targeting TME in cancer

Over the years several approaches have been used to target the TME. These approaches include targeting cancer cell-ECM adhesions, matrix proteins, cytokines, and stromal cells directly (Figure 2). Given the sometimes tumor-promoting sometimes tumor-restricting role of the ECM and other TME components in cancer, the preclinical and clinical trials targeting the TME have had mixed results. Yet, the number of clinical trials targeting the TME has been growing (Table 1), and the biomarkers known to be indicative of clinical outcomes have steadily grown as well [66]. The mixed results from the stroma targeting are likely to be explained by its ability to form a physical barrier around the tumor, trapping the tumor cells and preventing metastatic spread, but at the same time providing tumor cells with

nutrients and protecting them from drug treatments. In the next chapters we will discuss the current ongoing trials that are targeting different aspects of the TME.

#### Targeting tumor cell adhesions

Targeting integrin-ECM interactions has been an attractive target for cancer therapy given that integrins are involved in cancer progression, invasion, metastasis and drug resistance [67] [68]. Integrins are heterodimeric adhesion receptors that bind ECM proteins, adhesion molecules and TGF $\beta$ . They regulate signals from the outside of the cells to the inside [69] and signal to c-Src and FAK. Therefore, small molecules and blocking antibodies have been developed to target integrins and their downstream pathways. Integrin heterodimers  $\alpha V/\beta 3$ and  $\alpha V/\beta 5$  are increased in melanoma and glioblastoma, and associated with invasion and poor survival, and inhibitors for these heterodimers have been developed and tested in preclinical and clinical trials [67]. However, despite showing promise in early preclinical trials, the larger trials have failed [68]. Targeting integrin  $\alpha V/\beta 6$  has also shown mixed results, showing tumor shrinkage in some models and increasing tumor growth in others [67]. However, it was recently shown in preclinical models that targeting  $\beta 1$  integrin prevented the disseminated tumor cells from adhering to the perivascular niche and sensitized them to chemotherapy [70]. Thus, the rationale to target integrins in cancer remains. Unfortunately, so far, the clinical trials have not met their primary endpoints. This might be due to the large amount and overlapping functions of integrins, and also the overlapping downstream pathways that integrin heterodimers regulate. Therefore, many current clinical trials are targeting the kinases downstream from integrins, c-Src and FAK.

#### Targeting FAK

FAK is activated by the stiff and abundant ECM in several cell types in the TME [71]. It has a role in cell motility, adhesion, survival and drug resistance, and its differential activation status in the stromal cells vs. the tumor cells might play a role in its therapeutic efficacy [72]. Of note, FAK targeting in the endothelial cells can sensitize tumor cells to chemotherapy [73]. Several FAK inhibitors have shown encouraging clinical efficacy when used in combinations with other therapies. In a mouse model of pancreatic ductal adenocarcinoma (PDAC), FAK inhibitor, defactinib, decreased the number of tumor-infiltrating immunosuppressive cells, tumor fibrosis, and the formation of liver metastases [74]. Defactinib is currently in phase I/II clinical trials for advanced PDAC in combination with Pembrolizumab (PD-1) and Gemcitabine (Clinical Trial Number<sup>1</sup>: NCT02546531), and in ovarian cancer with carboplatin and paclitaxel (NCT02546531). A Phase II study is ongoing in PDAC to combine Defactinib with Pemrolizumab in patients with resectable PDAC (Clinical Trial Number: NCT03727880), so as several other trials listed in Table 1.

#### RESOURCES:

- ii. https://ascopubs.org/doi/abs/10.1200/JCO.2019.37.4\_suppl.TPS465
- iii. https://www.x4pharma.com/news/x4-pharmaceuticals-announces-new-data-lead-candidate-x4p-001-renal-cellcarcinoma-eortc-nci-aacr-molecular-targets-cancer-therapeutics-symposium/
- iv. https://www.halozyme.com/investors/news-releases/news-release-details/2019/Halozyme-Announces-HALO-301-Phase-3-Study-Fails-To-Meet-Primary-Endpoint/default.aspx

i. https://clinicaltrials.gov/

#### **Targeting c-Src**

Src-family kinases (SFK) are non-receptor tyrosine kinases that transduce mitogenic, survival, angiogenic and migratory signals from receptor tyrosine kinases, G-protein coupled receptors, steroid hormone receptors, and ECM receptors [75]. There are 11 members of SFK among which Src, Fyn and Yes are ubiquitously expressed. Activation of SFK leads to the induction of several downstream signaling survival pathways, and increased activation of SFKs is associated with cancer progression. Therefore, several inhibitors have been developed to target this pathway. Dasatinib is an FDA-approved inhibitor of SFK for the treatment of chronic myeloid leukemia (CML) or Philadelphia chromosome-positive acute lymphocytic leukemia (ALL), and there are several ongoing clinical trials of dasatinib in solid malignancies (Table 1). In addition, AZD0530 (saracatinib), bosutinib and imatinib have been used in solid tumor therapies. Dasatinib and imatinib target CSF-1 signaling in tumor-associated macrophages [76, 77], suggesting a possible role of c-Src inhibition in the modulation of TME. Further supporting this option are the studies demonstrating that pharmacological or genetic (shRNA) inhibition of c-Src decreases survival of breast cancer cells that have metastasized to the bone marrow but not to the brain or liver [78]. The bone marrow environment provided CXCL12 to support c-Src-dependent survival of the cancer cells. These data indicate a critical role for TME in shaping cancer cell responses to SFK inhibitors. Therefore, understanding the mechanisms of TME-mediated SFK activation in cancer cells and in the other cell types in the TME will provide critical information on how to normalize TME-mediated activation of oncogenic signaling in cancer cells.

#### Targeting Vascular Endothelial Growth Factor (VEGF)

Aberrant secretion of pro-angiogenic signals such as VEGF in the TME often leads to abnormal vasculature aiding tumor cells and suppressing the immune system [24]. VEGF blocking therapies have been used to try to normalize the tumor vasculature and to improve therapy responses [79]. However, as a monotherapy, VEGF inhibitors have not been able to result in tumor shrinkage, but they show great promise used as combination therapies, and FDA recently approved a combination of Programmed Death-Ligand 1 (PD-L1) and VEGF1 blockade for the treatment of metastatic nonsquamous non-small cell lung carcinoma (Clinical Trial Number: NCT02366143), and numerous other trials are ongoing (Table 1). Another approach for normalizing vasculature could be the use of lysyl oxidase (LOX) inhibitors that prevent collagen cross-linking. However, LOX function blocking antibodies, although showing promise in preclinical trials, failed in the clinical trials for pancreatic and colorectal cancer. It is likely that success of the approaches involving stroma targeting strongly relies on both the timing, and the combination of the drugs used.

#### Targeting stromal cytokines and chemokines

Several cell types in the TME secrete CSF1 and CXCL12. This secretion attracts immunosuppressive cells making immunotherapy approaches less successful. Data indicate that high presence of the CSF1R positive MDSCs in the TME is associated with poor clinical responses to chemotherapy and reduced overall survival, and that CSF1R blockade can promote an immuno-stimulatory TME [80]. More recently it was shown that targeting TAMs by blocking CSF1R in breast cancer models led to an increase in interferon

signaling in the tumors, enhancing chemotherapy efficacy and targeting immunosuppressive neutrophils in this model [81]. CSF1R antagonists including cabiralizumab are in clinical trials in pancreatic cancer combined with immune checkpoint blockade <sup>ii</sup> (also see Clinical Trial Number: NCT03599362, NCT03697564, NCT03336216, NCT02526017). It is thought that depleting TAMs may increase cytotoxic T-cell responses and sensitize tumors to anti PD-1 treatments. However, recent data also indicate that inhibition of CSF1R can change CAF secretome and result in accumulation of tumor-promoting MDSCs and that combination treatments are needed for the CSF1R inhibitors to be most effective [82].

Blocking the CXCL12 receptor, CXCR4, has been shown to reduce desmoplasia, increase CTL infiltration and improve immunotherapy efficacy in metastatic breast cancer models that are normally resistant to immunotherapies [83]. Interestingly, the immunosuppressive effects in this model were dependent on the CXCR4 signaling in CAFs and pericytes. In immuno-competent ovarian cancer mouse model, CXCR4 blockade led to increased apoptosis and necrosis in tumor cells, reduction of intraperitoneal dissemination, and increased antitumor immune response [84]. These preclinical data led to the initiation of several clinical trials testing CXCR4 blockade in pancreatic cancer (Table 1), and also to the development of newer CXCR4 inhibitors, such as BL8040 which is currently in clinical trials for PDAC in combination with Pembrolizumab (Clinical Trial Number: NCT02826486) and 5FU/nalirinotecan (Clinical Trial Number: NCT02907099, NCT02826486), and as a basket study (Clinical Trial Number: NCT03193190). Similar approaches are used in renal cell carcinoma, squamous cell carcinoma, and melanoma, where several trials are combining CSF1R or CXCR4 inhibitors with Axitinib (VEGFR inhibitor) or with Pembrolizumab (Clinical Trial Number: NCT04058145) and have showed encouraging early results <sup>iii</sup>). Another approach to increase anti-tumor immunity by targeting stroma is by anchoring intratumorally administered cytokines (IL-2 and IL-12) to collagen binding lumican. This was shown to potentiate systemic immunotherapy and reduce toxicity [85].

#### Targeting ECM

High MMP expression has been linked to poor prognosis given that MMPs can modulate the ECM, enabling tumor cell migration and metastasis. Several small molecule inhibitors targeting MMPs have been developed that have been tested in clinical trials (Clinical Trial Number: NCT00004147, NCT00003721, NCT00001683, NCT00020683). Disappointingly, these trials failed for lack of efficacy, poor oral bioavailability and toxicity, prompting the development of safer and more specific biologics, such as functionblocking antibodies, currently in clinical trials (Clinical Trial Number: NCT02864381, NCT02545504, NCT03486730, NCT03631836) [86].

#### Other targets

Epidemiological studies suggest that vitamin D supplementation can reduce cancer risk, but this might be due to its effect on the TME rather than on cancer cells. Recent reports have described a role for the vitamin D receptor in the TME in pancreatic stellate cells, where treatment with the vitamin D analog calcipotriol resulted in a normalization of the stroma, reduced fibrosis and increased drug penetration, it also suppressed PDAC metastasis

by inhibiting secretion of cytokines from the pancreatic stellate cells [87]. Vitamin D has a protective effect also in colorectal carcinoma through its high receptor expression in the CAFs [88] as well as by suppressing the secretion of tumorigenic microRNAs from the CAFs [89]. These pre-clinical studies prompted numerous clinical trials that are investigating whether addition of Vitamin D or its analogs would result in better disease outcomes in cancer (Table 1). However, even the preclinical data have been somewhat mixed in their outcomes, some studies showing positive outcomes, yet others finding no correlation with improved patient outcome [90]. Recently the largest-ever clinical trial that investigated vitamin D's effect in cancer prevention, failed to find any link [91]. Perhaps one reason for this outcome is the different effects of the vitamin D in tumor cells vs. stromal cells. A synthetic lethal screen found that vitamin D receptor knock-down sensitized tumor cells to gemcitabine treatment [92]. This is likely because vitamin D supplementation has been shown to activate the anti-oxidant responses and DNA repair pathways [93], thus promoting resistance to chemotherapies. Therefore, successful Vitamin D receptor targeting in the CAFs vs the tumor cells might increase Vitamin D therapeutic efficacy.

Targeting Hyaluronic acid (HA) has delivered some successes in preclinical trials and led to phase 3 trial in pancreatic cancer. HA rich tumors are correlated with poorer prognosis, have extremely high interstitial fluid pressure (IFP), poor perfusion and poor drug accumulation [94]. Therefore, HA has posed an attractive target in cancer therapy. The ablation of stromal HA normalizes IFP and re-expands the vasculature. In combination with gemcitabine, the treatment resulted in a near doubling of overall survival in mouse models of PDAC [95–98]. These data led to a phase 2 trial of **PEGPH20 hyalurodinase** with gemcitabine/ nab-paclitaxel in untreated metastatic PDAC. This trial showed improved progression free survival in patients with high HA content in their tumors [99]. A phase 1b/II trial was initiated combining PEGPH20 with FOLFIRINOX (FOLinic acid-Fluorouracil-IRINotecan-OXaliplatin) [100] but was closed early when it showed poorer survival in the PEGPH20 group. The unexpected results from this trial could be due to the fact that the patients in the combination group had experienced more treatment-related toxicities, which resulted in lower drug doses, dose delays and reduced exposure. The next phase III trial used gemcitabine nab-paclitaxel as the chemotherapy backbone, and included patients with HA high tumors. Unfortunately, this Phase 3 trial was also recently stopped early as it did not meet the primary end-point, increasing survival <sup>iv</sup>. One might speculate that perhaps some of these disappointing results can be explained by a recent study that showed that Hyaluronidase treatment was linked to increased tumor metabolism and led to a robust increase in tumor cell glycolysis through degradation of Thioredoxin-Interacting Protein (TXNIP) RNA required for glucose transporter internalization. This resulted in upregulation of the glucose transporter 1 (GLUT1) at the plasma membrane, increased glucose uptake, and increased migration and metastasis of the tumor cells [101]. These data might suggest that breaking down HA with hyaluronidase might have unwanted consequences, leading to increased metastasis.

#### Anti-fibrotics and TGFβ

Anti-fibrotics and TGF- $\beta$  inhibitors work partially by reducing fibrosis and are being tested in several clinical trials (Table 1). TGF $\beta$  induces fibrosis through stimulating ECM secretion

and its own secretion in a feed-forward fashion [102]. It is deposited to ECM in its latent form and needs to be processed to obtain its activated form. Integrins can help activate the latent TGF $\beta$  [103] and the efforts to target integrins were in part aimed at inhibiting TGF $\beta$  signaling [67]. Through reducing fibrosis the anti-fibrotics and TGF- $\beta$  inhibitors are thought to allow other cancer drugs to penetrate the tumors more effectively [104].

Ajulemic acid is a synthetic cannabinoid derivative that was shown to have anti-fibrotic and anti-inflammatory effects, and is being tested in phase III clinical trials as an anti-fibrotic agent in several diseases under the trade name Lenabasum. In pancreatitis it can normalize the activated stellate cells [105]. Its mechanism of action has been shown to be through activation of the cannabinoid receptor 2 that leads to production of eicosanoids, decreasing inflammatory cytokines, and inhibiting TGF $\beta$  production. Thus, there is some interest to test this compound in combination with chemotherapy in stroma-rich cancers.

Recently halofuginone, an antifibrotic agent, was used to normalize the tumor stroma in a mouse model of PDAC. This treatment increased drug delivery by decreasing fibroblast activation and reducing ECM elements. Treatment also altered the immune landscape in PDAC, with increased number and distribution of activated inflammatory macrophages and cytotoxic T cells. This led to a widespread intra-tumoral necrosis and reduced tumor volume [106]. However, no clinical trials are currently using this compound.

Targeting TGF $\beta$ -expressing CAFs with pirfenidone, an anti-fibrotic agent and a TGF- $\beta$  antagonist, in a triple-negative breast cancer mouse model inhibited tumor fibrosis and TGF $\beta$ -signaling and in combination with doxorubicin prevented metastasis [107] suggesting that the stroma-targeting agents are most effective when used in combination with other cancer therapies. Pirfenidone is currently in a phase 1 clinical trial in advanced stage non-small cell lung cancer in combination with chemotherapy (Clinical Trial Number: NCT03177291).

Angiotensin II receptor agonists, such as Losartan, are normally used to treat high blood pressure, but they also inhibit TGF $\beta$  signaling, resulting in reduced desmoplasia through reduced collagen I and HA deposition, and increasing vascular integrity and improved drug delivery to tumors [108–110]. Losartan has also been implicated in reduced mortality in certain cancers [109, 111], and is being evaluated in clinical trials in locally advanced PDAC in combination with FOLFIRINOX, nivolumab and radiotherapy (Clinical Trial Number: NCT03563248). The results from a previous similar trial (Clinical Trial Number: NCT01821729) were encouraging, showing a surgical resection rate of 61% in the treated patients (surgical removal of the tumor is critical to cure PDAC), with overall median progression-free survival of 17.5 months, and median overall survival of 31.4 months [112]. In ovarian cancer, tumor fibrosis and angiotensin-driven fibrogenic signaling are inversely correlated with survival, and Losartan treatment enhanced chemotherapy efficacy in ovarian cancer xenograft models by normalizing the tumor stroma. The authors also found in a retrospective analysis that patients receiving angiotensin system inhibitors concurrently with standard treatment for ovarian cancer exhibited longer overall survival compared with patients on other anti-hypertensives [113].

# Concluding remarks and Future perspectives

In conclusion, the efforts to target stroma in cancer are still not as successful as hoped for, with very mixed results coming from the clinical trials. This is likely because the TME-cancer crosstalk is still inadequately understood and perhaps normalizing the stroma, targeting the stroma-induced pathways in tumor cells, or the tumor-secreted ECM, rather than completely ablating the stroma, might be a better strategy for improved outcomes. Furthermore, different cancers have very different stromal and matrix environment that likely impact therapeutic efficacies (see Outstanding Questions). On one hand, the stroma would need to be more penetrable for better drug delivery, but on the other hand, not ablate its ability to restrict metastasis. The results coming from the clinical trials using anti-fibrotics could do this by allowing other tumor targeting agents and immune cells to penetrate and reach the cancer cells that have been previously protected.

Stroma targeting has also the potential to increase immune cell infiltration and activation, particularly in traditionally immune cold cancers such as breast and pancreatic cancer, and this is an exciting avenue of research, with several clinical trials testing checkpoint inhibitors with stroma targeting drugs. There are still many hurdles to be crossed, particularly with patient stratification and biomarker identification, and understanding which parts of the tumor stroma should be targeted and which aspects are more helpful at restricting tumor cells in their original location. Also, a more thorough understanding of the underlying biology is necessary to optimize the use of stroma-targeting agents. Lastly, it is important to understand how the current therapies change the TME, to evaluate whether therapies evoke pro-, or anti-tumorigenic behavior in TME, or both, and which cell types are affected. This might allow us to identify cell type specific vulnerabilities that could be used to target tumor cells more efficiently.

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# GLOSSARY

#### Cancer-associated fibroblasts (CAFs)

cancer-associated fibroblasts, a cell type within the tumor microenvironment that promotes tumorigenic features by remodeling the extracellular matrix or by secreting cytokines.

#### CSF1

The colony-stimulating factor 1, also known as macrophage colony-stimulating factor, a secreted cytokine regulating the survival, proliferation and differentiation of macrophages and monocytes.

#### CXCL12

Stromal cell-derived factor 1 (also called SDF1a), active on T-lymphocytes and monocytes but not neutrophils via activation of the C-X-C chemokine receptor CXCR4 to induce a rapid and transient rise in the level of intracellular calcium ions and chemotaxis.

#### Desmoplasia

Excessive deposition of ECM proteins causing dense fibrosis around tumors or other affected organs.

#### **Extracellular matrix (ECM)**

consists of proteins that form the 3D like-meshwork of proteoglycans and fibrous proteins, such as laminins, collagens and fibronectin. It provides structural and biochemical support to the tissues it is in contact with and also acts a storage and sequester of growth factors that the cells in the TME secrete.

#### Fibrosis

the formation of an excessive ECM deposition occurring as a consequence of inflammation, activation of the stromal cells or other injury.

#### Hyaluronic acid (HA)

a predominant glycosaminoglycan in the TME, highly expressed in pancreas, breast, lung and colorectal cancers.

#### Neurogenesis

A process by which cells of the nervous system are generated from stem cells.

#### PEGPH20

pegvorhyaluronidase alfa, the name of a drug degrading hyaluronan, potentially increasing drug delivery in stroma-rich cancers.

#### Pericytes

Fibroblast-like cells present in intervals in the capillaries and venules and wrap around the endothelial cells of the microvessels. They are important for blood vessel formation and maintenance, and they also maintain the blood-brain barrier and regulate immune cell entry into the central nervous system.

#### **Perineural invasion**

Invasion of cancer cells to the area surrounding the nerve. Can make the resection of the lesions more difficult, frequently seen in head and neck, prostate and colorectal cancer.

#### Stiffness

mechanical property of tumors defining the rigidity of the TME and the extent of tumors' resistance to deformation, depending on the composition and organization of the ECM strands and other structural components of the TME.

#### TME

tumor microenvironment consisting of the cancer, immune cells, fibroblasts, endothelial cells, adipocytes and the extracellular matrix (ECM) proteins.

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#### Box 1.

#### Immune cell types in the TME

#### **Dendritic cells:**

present antigens to T cells either in the tumor or at lymph nodes to activate T cells.

# Cytotoxic T cells (CTLs):

Activated by dendritic antigen presenting cells. Recognize the (neo) antigens of tumor cells that are not present in normal cells. Also involved in auto-immune diseases, which is why Tregs evolved to dampen the T cells activity. CD8+ T cells give rise to CTLs that kill cancer cells, CTLs can be generated by priming naïve T cells or reprogramming memory T cells. CTLs must overcome intrinsic checkpoints (e.g. PD-L1, CTLA-4), extrinsic checkpoints (Tregs and myeloid cells), inflamed TME (immunosuppressive environment), immune-evasion by tumor cells, and physical blocks such as desmoplasia or fibrotic TME.

#### Memory T cells:

Provide long-term protection, can differentiate into CTLs.

#### Natural killer cells (subset of T cells):

Release cytotoxic cytokines to kill tumor cells.

#### **Regulatory T cells (Tregs):**

Critical for the development of adaptive immune system and for immune tolerance, these often maturate into TAMs, function by dampening CTL activity.

#### **Macrophages:**

Engulf and digest debris, microbes, cancer cells that are recognized as foreign. Recruit other cell types such as lymphocytes through antigen presentation to T and B cells. Can have inflammatory (M1) and anti-inflammatory (M2) roles through differential cytokine secretion, and this polarization is regulated by the microenvironment and cytokine milieu.

#### Tumor-associated macrophages (TAMs):

recruited to tumors through cytokine secretion (e.g. CSF1, IL-34 and CCL2), which have been shown to induce the tumor-promoting phenotype. Are often in the tumor-promoting M2 polarization state that is regulated by cytokines in the TME (e.g. TGF $\beta$ ).

#### Myeloid-derived suppressor cells (MDSC):

Induced by chronic inflammation, they protect tumor cells and help form immunosuppressive TME. Their presence predicts higher stage and worse survival. They also predict resistance to immunotherapies, and give rise to TAMs, and suppress T cell functions. Tumor cells can recruit them and alter their activity and proliferation by secreting cytokines (e.g. IL-6 and GM-CSF).

#### **Neutrophils:**

Develop subtypes that can either promote or suppress tumor growth. G-CSF leads to neutrophil expansion and polarization towards T-cell suppressive phenotypes.

# **Chronic inflammation:**

Induces infiltration of cytokine-activated myeloid cells and immune suppressive B, T and myeloid cells, suppresses cytotoxic T cells and modulate the TME to promote tumor growth.

#### Box 2.

# Basement membrane vs. stromal/interstitial matrix

In normal tissues ECM comes in two varieties: 1) as stromal (or interstitial) ECM, or 2) as basement membrane. The stromal/interstitial ECM, that surrounds the mesenchymal cells and fills the space between organs, is secreted by mesenchymal fibroblasts and is composed mostly of collagens, fibronectin, elastin, glycosaminoglycans and proteoglycans. The basement membranes are a layer of deposited matrix proteins and consist mostly of laminins, collagen IV, nidogen, heparan sulphate proteoglycans perlecan and agrin. The basement membranes form the basal surface onto which polarized epithelial and endothelial cells adhere to. Basement membrane is required for normal epithelial cell polarity and function, but also restricts cell proliferation in the presence of oncogenic mutations, functioning as a barrier to carcinoma progression.

# Box 3.

# ECM induced drug resistance

ECM has been acknowledged for more than 20 years as a driver of drug resistance. Several groups showed that tumor drug responses differ between 2D and 3D cultures, and tumor cells cultured on different matrices (basement membrane vs. interstitial matrix) respond to drugs differently, some matrices inducing drug resistance while others sensitizing tumor cells to drug treatments [114, 115]. These insights have prompted efforts to generate better in vitro models, combining 3D cell culture models with different oxygen levels and different matrix components and adding different cell types from the TME to mimic more complex environments that could better predict tumor drug responses [116].

# Box 4.

# Tumor-associated collagen signatures (TACS) in cancer and therapy resistance

The composition and the organization (e.g. alignment) of matrix proteins (collagen most prominently) in the TME differs significantly from their normal tissue counterparts [117]. In particular in breast cancer it has been shown that patients with dense breast tissue have higher risk of developing breast cancer [118], and in animal models collagen density and organization can promote breast cancer initiation and progression. TACS have provided a useful marker to characterize survival and tumor invasiveness in breast cancer [119]. Furthermore, studies in melanoma have shown that treatment resistance correlates with the presence of bundled collagen [120] and stiffer ECM [121].

#### **Outstanding questions**

- How to effectively normalize the stroma?
- Several trials are targeting stroma (e.g. VEGF, PEGPH20, Losartan) in combination with immune checkpoint inhibitors, particularly in cancers that have been traditionally considered immune cold. Will these approaches change the current treatment strategies for these extremely hard-to-treat, drug resistant cancers?
- How to translate measurement of stromal density and composition (biomarkers) into clinical applications? What are the best ways to stratify patients for clinical trials targeting stroma?
- How do chemotherapy and targeted therapy shape different components of the TME?

## Highlights

- Tumor microenvironment is a habitat for cancer cells, immune cells, fibroblasts, endothelial cells, pericytes, neural cells, adipocytes and the extracellular matrix (ECM) proteins, significantly influencing the efficacy of the modern cancer therapeutic agents, in some cases inducing resistance, in others sensitizing the tumors to therapy.
- Stiff and abundant stroma is able to restrict and promote cancer cell dissemination both at the same time, due to the dynamic remodeling of the ECM. Anti-cancer drugs aiming at complete depletion of the stroma have led to enhanced rate of metastases, but too abundant stroma blocks drug penetrance and leads to tumor growth, therefore efforts to normalize the tumor stroma are tested increasingly in the clinic.
- Current treatment approaches of the stroma-rich cancers include targeting proteins and cytokines secreted and expressed by the stromal cells, targeting cancer cell–stroma signaling interactions, and targeting stroma-immune cell crosstalk increasing anti-tumor immunity.





#### Figure 1: Components of the tumor microenvironment (TME)

Cancer cells are surrounded by various cell types: fibroblasts, adipocytes, endothelial cells, pericytes, neurons and immune cells. The dense ECM that the tumors adhere to serves as a storage for growth factors, cytokines, lipids, proteases and metabolites, secreted by the cells of the TME. As tumor grows, the physical properties in the tumor core like oxygen and nutrient levels, pH, stiffness, and pressure become different from those on the edge of the tumor. These changes, so as the differences in matrix composition and alignment, influence the properties and behavior of other cell types in the TME, thus influencing drug penetrance, drug resistance and disease outcome.





#### Figure 2: Current strategies to target tumor stroma in clinical trials

Different strategies currently used in the clinic or in clinical trials to target stroma in cancer include A) increasing anti-tumor immunity by targeting secreted factors (e.g. CXCL12, CSF1) that decrease the presence of TAMs and increase the infiltration of cytotoxic T lymphocytes; B) targeting ECM-cell adhesion molecules such as integrins, Src and FAK kinases (often in combination with other drugs) to reduce tumor cell fitness and tumor drug resistance to other therapies; C) targeting matrix proteins such as Hyaluronic acid and connective tissue growth factor (CTGF) to normalize tumor stroma and interstitial pressure and to normalize vasculature and reduce hypoxia, and to increase delivery of chemotherapies

and increase the access of immune cells; D) use of anti-fibrotics and TGF $\beta$  inhibitors to reduce fibrosis, increase drug delivery, normalize vasculature, reverse hypoxia and increase immune cell infiltration; E) use of VEGF inhibitors, to normalize vasculature and increase cytotoxic T lymphocyte and immune cell infiltration.

# Table 1.

Clinical trials targeting stroma in cancer; all trials vs. trials that are active, recruiting patients and not yet recruiting were included in this table.

Clinical Trials Cancer Only Tria	ls		
Target	Compound	# of all Trials:	# of Active, Recruiting and Not yet recruiting trials:
Vitamin D	Vitamin D, not specified	396	114
	Paricalcitol	43	12
	Cholecalciferol	141	40
Hyaluronan	PEGPH20	22	13
Integrins	Integrin targeting trials	146	53
Src	Src targeting, other	117	37
	Saracatinib	26	1
FAK	FAK targeting, not specified	41	12
	Defactinib/VS-6063	14	6
TGFβ	TGFβ targeting trials, not specified	168	77
	Losartan	12	6
	Galunisertib	21	12
	AVID200	2	2
	Fresolimumab	7	1
	LY3200882	3	3
	Vactosertib	8	7
	Anti-PD-L1/TGF $\beta$ RII Fusion Protein M7824	17	16
CXCR4	CXCR4	61	27
CXCL12/SDF1a	CXCL12/SDF1a	45	14
CSF1	All CSF1 targeting trials	256	95
CSF1R	All CSF1R targeting trials	42	30
VEGF	Sevacizumab	3	1
	Avastin/Bevacizumab	1912	488
	Vanucizumab (angiopoetin)	4	2
	Cabozantinib (c-MET, VEGFR2, AXL, RET)	145	108
	Axitinib	131	51
	Regorafenib	171	89
	Apatinib	287	194
	IB1305	38	35
	Ramucirumab	112	63
	Cediranib	103	30
CTGF	Pamrevlumab	1	1