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mAb Das-1 Identifies Pancreatic Ductal Adenocarcinoma and High-grade Pancreatic Intraepithelial Neoplasia with High Accuracy

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Abstract

Pancreatic intraepithelial neoplasia (PanIN) is a microscopic precursor lesion to pancreatic ductal adenocarcinoma (PDAC), however there are few biomarkers that segregate high-grade PanIN/PDAC from low-grade PanIN lesions. mAb Das-1 is a monoclonal antibody against a colonic epithelial antigen that is reactive to premalignant conditions of the upper gastrointestinal tract including Barrett's esophagus, incomplete-type gastric intestinal metaplasia, and intraductal papillary mucinous neoplasm (IPMN) of the pancreas at high risk of malignancy. We sought to examine a role for Das-1 expression in differentiating high-grade PanIN/PDAC from low-grade PanIN lesions. We examined surgical specimens from 86 patients and 2 autopsied pancreata (74 with and 14 without PDAC) with 107 distinct PanIN lesions, 74 PDAC, and 32 associated lymph

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DECLARATION OF INTEREST

A patent for the use of mAb Das-1 in the detection of precancerous lesions of the esophagus has been awarded (KMD) and a patent for its possible use in the detection of pancreatic cancer is currently pending (KKD, MMK, KMD). These patents have not been licensed and these authors hold no commercial interests at this time.

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node metastases with internal controls of normal pancreatic ducts observed in 56 cases. All of the normal pancreatic duct controls (0/56) and low-grade PanIN (0/95) lesions were non-reactive to Das-1. Das-1 expression amongst high-grade PanIN (7/12, 58%), PDAC (55/74, 74%), and lymph node metastasis (21/32, 66%) was significantly higher (p<0.0001). Clinicopathologically, Das-1 reactivity was significantly correlated to nodal metastasis (p=0.021). Overall, the sensitivity, specificity, and accuracy of Das-1 in segregating high-grade PanIN/PDAC from low-grade PanIN lesions and normal ducts were 72%, 100%, and 90% respectively. Thus, mAb Das-1 reacts with high specificity to high-grade PanIN and PDAC and may help in preoperative diagnosis and/or clinical risk stratification.

Keywords

Pancreatic intraepithelial neoplasia (PanIN); mAb Das-1; Pancreatic Adenocarcinoma; Biomarker; CEP

1. INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) is projected to become the second leading cause of cancer death in the United States by 2030.(1) Unfortunately, the vast majority of patients present with locally advanced or metastatic disease, and only if the patient's cancer is small and confined to the pancreas, or ideally preinvasive, will post-surgical overall survival be meaningfully improved.(2) Thus, biomarkers to identify PDAC, preferably in its preinvasive stage, are of utmost clinical need.

Pancreatic intraepithelial neoplasia (PanIN) is a precursor lesion to PDAC that is confined to pancreatic ducts and is 5mm or less in diameter. PanIN typically exhibits a gastric epithelial morphology and is currently classified into two grades: low-grade PanIN (PanIN-1 and PanIN-2) and high-grade PanIN (PanIN-3). The latter demonstrates pronounced cytologic and architectural atypia, equivalent to *in situ* carcinoma.(3-5) Morphological progression from low-grade to high-grade PanIN lesions is marked by successive accumulation of alterations in cancer-associated genes including KRAS, p16/CDKN2A, TP53 and SMAD4/ DPC4.(3) Clinically, the presence of high-grade PanIN may impact the decision for pancreatic resection and if found in the context of a patient with hereditary pancreatitis for example, is an indication for total pancreatectomy.(6) Unfortunately, there is significant inter-observer variability in morphologic grading of PanIN(7, 8) creating the need for additional approaches for molecular grading. Indeed, given the high prevalence of low-grade PanIN lesions that are unlikely to progress to clinically important neoplasm in the general population, (4, 7, 9) markers are needed not only to help differentiate neoplastic from nonneoplastic pancreatic lesions, but also to identify high-grade PanIN that often accompanies invasive carcinoma or might indicate a future pancreatic cancer risk.

While genetic and/or epigenetic alterations of several genes such as *KRAS*, *TP53* and *DPC/ SMAD4*, and expression of several biomarkers such as COX2, S100A4, and Survivin have been identified and correlated to outcomes in PDAC, studies have not been able to show that their expression reliably identifies cancer at an early or preinvasive stage with high sensitivity or specificity.(10) Many markers are confounded by their expression in chronic

pancreatitis (CP), from which PDAC may emerge. Even critical markers such as *KRAS*, which is found in the vast majority of PDAC, are ubiquitous in low-grade PanIN as well as in individuals who smoke, those with chronic pancreatitis, and in non-neoplastic ductal hyperplasia.(11–13) Available screening modalities may have difficulty in distinguishing mass-forming CP from PDAC, not only because PDAC may arise in the background of CP, but also because clinical signs and symptoms of the conditions can be quite similar.

Using a colon epithelial protein (CEP), we have previously developed a novel murine monoclonal antibody, mAb Das-1 (formerly known as 7E₁₂H₁₂, IgM isotype), that reacts specifically with normal colonic epithelium.(14) Both via immunoperoxidase and immunofluorescence assays, we and others, have independently demonstrated that mAb Das-1 specifically reacts with both non-goblet and goblet cell colonic epithelium, but not with normal small intestinal enterocytes from the duodenum, jejunum, or ileum.(14, 15) While mAb Das-1 reactivity is similarly absent from normal pancreatic, gastric, and esophageal mucosa, it is strongly expressed in pre-neoplastic and intestinal-phenotypic changes in these organs. Indeed, it has been shown that mAb Das-1 is both highly sensitive and specific for the detection of Barrett's esophagus, incomplete type gastric intestinal metaplasia, and cancer developed therefrom.(16–19) We have reported that mAb Das-1 expression serves as a sensitive and highly specific marker segregating high-risk lesions of intraductal papillary mucinous neoplasm of the pancreas (IPMN) from low-risk lesions. While normal pancreatic ducts and low-grade (low- and intermediate-grade) gastric-type IPMN were minimally reactive, mAb Das-1 was significantly more reactive in high-risk/ malignant lesions including: intestinal-type IPMN, high-grade dysplasia of any epithelial subtype, and IPMN associated carcinoma.(20) In a large, multi-center validation study with cyst fluid from 169 patients with resected pancreatic cystic lesions, ELISA for Das-1 identified high-risk lesions (those lesions warranting surgical resection) with 88% sensitivity, 99% specificity, and 95% accuracy which was significantly more accurate than any available clinical guideline.(21)

In the present study, we explore the ability of mAb Das-1 to distinguish non-neoplastic pancreatic ducts and low-grade PanIN from high-grade lesions. To that end we examined reactivity of the mAb by immunohistochemistry against tissue with all grades of PanIN, associated PDAC, and matched lymph node metastasis.

2. MATERIALS AND METHODS

2.1 Case Selection

The Massachusetts General Hospital institutional review board approved this study. The surgical pathology file of Massachusetts General Hospital was queued for pancreatic resections for PDAC between 2005 and 2007. After exclusion of post neoadjuvant therapy resections and those with insufficient materials, the remaining 74 cases as well as 10 pancreatic resections for mass-forming chronic pancreatitis, 2 pancreatic resections for pancreate with associated chronic pancreatitis, and 2 autopsied pancreata with PanIN lesions formed our study cohort (n=88). Of those, representative sections with various grades of PanIN, invasive adenocarcinoma, and/or associated lymph node (LN) metastasis were evaluated with Das-1 immunohistochemistry. All blocks were formalin fixed, paraffin

embedded, and routine serial 5 μ m sections were made. Hematoxylin-eosin (H&E) stained sections were reviewed to ensure the presence of representative lesions in the same block.

For the purpose of this study, PanIN lesions were classified into low-grade PanIN (PanIN-1 and PanIN-2), and high-grade PanIN (PanIN-3) using previously accepted criteria. (4) In brief, PanIN-1 is defined as either a flat (PanIN-1A) or papillary/micropapillary (PanIN-1B) epithelial lesion composed of columnar cells with basally located nuclei, abundant cytoplasmic mucin, and essentially no cytologic atypia. PanIN-2 is predominantly papillary and exhibits mild-to-moderate nuclear atypia, nuclear crowding, hyperchromatism, and pseudostratification. In contrast, PanIN-3 has pronounced architectural and cytologic atypia equivalent to carcinoma *in situ* that is usually papillary or micropapillary and demonstrates a loss of polarity with prominent nucleoli and mitoses.

2.2 Immunohistochemistry using mAb Das-1

Tissue sections from each lesion were examined with mAb Das-1 using a sensitive immunoperoxidase assay, as described previously.(14, 16, 17, 20) Each experiment included at least two slides of normal colon and duodenal tissue sections as positive and negative controls, respectively (Figure 1 A&B). Reactivity to mAb Das-1 was considered positive if a dark green to crisp golden-brown staining was present and were graded based on the percentage of affected cells that were positive: Grade 0 (negative) - < 5%, Grade 1 - 5-25%, Grade 2 - 25-50%, and Grade 3 - >50%. Two of the authors (KKD and MM-K) who were blinded to the clinical data evaluated and scored each section. There was agreement among the investigators in the vast majority of cases, and in the cases with discordant scores, the final score was determined after discussion.

2.3 Statistical Analysis

Das-1 expression in high-grade PanIN, PDAC, and Lymph Node metastases were compared against low-grade PanIN and normal pancreatic ducts using Fisher's Exact test. Das-1 reactivity was also correlated with the other clinicopathological parameters by Fisher's Exact test. *P*<0.05 was considered statistically significant.

3. RESULTS

3.1 mAb Das-1 Expression in Non-neoplastic Pancreatic Parenchyma

Non-neoplastic pancreatic ducts and pancreatic parenchyma including chronic pancreatitis were essentially non-reactive in all the samples examined (Figures 1C&D). Notably, tumor associated chronic pancreatitis and stroma are essentially negative except in areas with nests of tumor cells or immediately adjacent, which may indicate localized extravasation. Das-1 expression was observed in rare, small foci with acinar to ductal metasplasia (ADM) in chronic pancreatitis secondary to PDAC (Figures1E&F).

3.2 mAb Das-1 is Specific for High-grade PanIN Lesions and PDAC

In total, the PanIN lesions consisted of 95 low-grade PanIN (58 PanIN-1 and 37 PanIN-2) and 12 high-grade PanIN lesions. The former included 16 low-grade PanIN (10 PanIN-1 and 6 PanIN-2) lesions from pancreatic resections for mass-forming chronic pancreatitis

and the latter, 4 high-grade PanIN lesions in the pancreata that did not show invasive carcinoma. Of the low-grade PanIN, no lesions were reactive to Das-1 (Figures 2A&B) (Table 2). Compared to non-neoplastic pancreatic ducts (present in 56 individual sections) and low-grade PanIN lesions, Das-1 expression amongst high-grade PanIN was significantly higher (P<0.0001) with 7/12 (58%) staining positive (Grade 1: n=5, Grade 2: n=0; Grade 3: n=2). Of note, 2 (50%) of 4 high-grade PanIN lesions identified in the pancreata without PDAC were immunoreactive to Das-1. When reactive to mAb Das-1, the lesional epithelium exhibited intense staining in cytoplasmic and/or membranous patterns (Figures 2C&D). Within samples demonstrating various PanIN grades, mAb Das-1 preferentially reacted with high-grade PanIN and was absent in low-grade lesions.

3.3 mAb Das-1 Expression in Pancreatic Ductal Adenocarcinoma

In total, 55/74 (74%) invasive carcinomas were considered positive (Tables 1 & 2). Das-1 staining was often diffuse (Grade 1: n=10; Grade 2: n=14; Grade 3: n=34), and mostly cytoplasmic in the invasive ducts (Figure 3), and also highlighted perineural lymphatic, and venous invasion, and cancerization of the duct (intraductal spread of carcinoma) (Figures 4A,B&C, Table 2). Overall, the sensitivity and specificity of Das-1 in segregating high-grade PanIN/PDAC from non-neoplastic ducts and low-grade PanIN lesions were 72% (95% CI: 0.62–0.81) and 100% (95% CI: 0.98–1.00), respectively, with an accuracy of 90%.

Compared to Das-1 negative tumors, those with Das-1 expression were more likely to have nodal metastasis (58% vs. 89%, p=0.021), but there was no association between Das-1 expression and other clinicopathological features including age, gender, pathologic T stage and AJCC stage, histologic grade of the tumor, lymphovascular and perineural invasion and resection margin status (Table 1). There was no difference in overall survival between the two groups as well. Two-year overall survival rate was 56% for those with negative Das-1 expression and 47% for those with positive Das-1 expression (p=0.313).

3.4 mAb Das-1 Reactivity in Nodal Metastases

mAb Das-1 was also examined in 32 lymph nodes with metastatic deposits, distant from the primary PDAC in 30 cases. Reactivity was evident in 21/32 (66%) of the lymph nodes (Grade 1: n=6, Grade 2: n=2; Grade 3: n=13) in these cases (Table 2). Staining was specific for metastatic adenocarcinoma tissue within the lymph node (Figure 4D). Among the primary tumors in these cases, 25/30 (83%) were positive for Das-1 and in no case was a lymph node reactive when a primary tumor was not. Only in 4 cases was Das-1 expression present in the primary tumor but absent in the nodal metastasis. Interestingly, in one case, a primary tumor exhibiting Das-1 expression had one lymph node that was focally positive for Das-1 and another that was negative.

4. DISCUSSION

In this study, we show that mAb Das-1is a potential novel biomarker to specifically identify high-grade PanIN and PDAC, but not non-neoplastic pancreatic ducts and low-grade PanIN lesions. Indeed, in our cohort, mAb Das-1 was able to distinguish high-grade PanIN/PDAC with 72% sensitivity, 100% specificity, and 90% accuracy. While mAb

Das-1 was completely nonreactive with low-grade PanIN, expression among high-grade PanIN was significantly higher at 58% (7/12). With regards to the performance of the immunohistochemistry assay, 55% of positive samples stained at a grade 3 level (>50% of affected glands staining positive) and the vast majority (75%) of samples stained at a grade 2 level or higher (at least 25% of affected glands stained positive).

While low-grade PanIN lesions are commonly identified in benign pancreata and associated with few genetic alterations, (9, 22, 23) high-grade PanIN lesions are usually confined to the immediate vicinity of an infiltrating carcinoma with a genetic profile similar to that of PDAC.(24–27) In addition, we have recently demonstrated in a large autopsy study of 173 patients who did not die with any evidence of PDAC or IPMN, a frequency of PanIN-1 of 77% and PanIN 2 of 28% in comparison to PanIN-3 of 4%.(9) Given the ubiquity of these asymptomatic, low grade PanIN lesions, the ability to make the distinction between PanIN-2 and PanIN-3 lesions is clinically crucial. However, as with many features in surgical pathology that one attempts to stratify into ordinal categories, the features that separate PanIN-3 from PanIN-2 lie on a continuum, resulting in ambiguity in delineating PanIN-2 and 3 lesions.(4) Indeed, even expert pancreatic pathologists disagree in diagnosing high-grade dysplasia versus lower-grade dysplasia in 16% of cases.(8) Thus the development of biomarkers that can specifically delineate high-grade PanIN, but not low-grade PanIN may help to confidently grade lesions and optimally manage early PDAC.

Mass forming chronic pancreatitis including autoimmune pancreatitis can clinically mimic PDAC. Initial assessment of pancreatic masses frequently involves endoscopic ultrasoundguided, trans-duodenal/gastric fine needle aspiration. As such, pathologic evaluation of cytologic materials is often contaminated with gastric or duodenal glandular epithelium. Further, chronic pancreatitis frequently exhibits low-grade PanIN lesions which may be difficult to distinguish from well-differentiated PDAC. As mAb Das-1 is non-reactive in normal gastric, duodenal, and pancreatic epithelium, as well as in pancreatitis and low-grade PanIN lesions, its reactivity may be helpful in these initial evaluations. A few immunohistochemistry markers including SMAD4 (loss of expression), p53 (aberrant expression) and IPM3 have been evaluated for the differentiation of PDAC and/or highgrade PanIN from non-neoplastic pancreatic tissue and low-grade PanIN.(28, 29) Of those, IPM3 is expressed in 96% of PDAC, but its expression in high-grade PanIN lesions appears to be limited (<60%).(28) Thus, a panel of immunohistochemical stains including mAb Das-1 and IPM3 for example, may allow for more confident discrimination between welldifferentiated PDAC and/or high-grade PanIN from gastric or duodenal epithelium and/or low-grade PanIN lesions.

Evidence in mouse models has suggested that ADM is a likely antecedent to the development of PanIN and subsequently PDAC.(30) ADM also may be a site of increased epithelial to mesenchymal transformation (EMT) in models of pancreatic cancer.(31) In our study, we found a few instances of ADM with strong membranous and cytoplasmic expression of mAb Das-1. Further evaluation in lineage labeled models of EMT may elucidate at what stage mAb Das-1 expression becomes apparent as well as whether gastric and/or intestinal programming is activated concurrently.

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Previous studies of mAb Das-1 reactivity in fetal tissues by Badve et al. demonstrated expression of the Das-1 antigen in organs arising from the primitive gut, ureteric bud, and several other sites of ectodermal, mesodermal, and endodermal origin.(32) Subsequently it has been shown that while Das-1 is expressed in the fetal esophagus, stomach, and small intestine, it is lost in the respective adult organs, and reappears in precancerous and cancerous conditions like Barrett's Esophagus/esophageal adenocarcinoma,(16, 33) incomplete-type gastric intestinal metaplasia/gastric adenocarcinoma,(17, 19) and small intestinal adenomas/small intestinal adenocarcinoma.(34) These findings suggest that mAb Das-1 may be a novel oncofetal marker. While expression of Das-1 is present in fetal pancreatic tissue and absent in adult pancreata,(32) we now demonstrate that expression returns in high-grade PanIN and PDAC. Furthermore, the reactivity remains upon metastasis into lymph nodes in the vast majority of PDACs.

We have previously described the expression of mAb Das-1 in high-grade IPMN lesions including those of the gastric epithelial type, as well as associated invasive carcinoma.(20, 21) It is not certain why this colonic specific marker would be expressed in dysplastic lesions of the pancreas and PDAC. As the antigen of the Das-1 antibody, CEP, has not been fully characterized and its function not elucidated, further research is ongoing to assess the functional significance of the expression of this marker in these preinvasive and invasive conditions of the pancreas.

There are several limitations of our study. First, as our surgical specimens were entirely from a single tertiary referral center, there may be a referral or treatment access bias. In addition, our PDAC cases were chosen non-randomly on the basis of availability of tissue samples from sequentially resected PDACs. This selection should not bias our sample as all cases by definition contained a combination of pre-neoplastic and neoplastic lesions and analysis was completed on a per-lesional basis. Second, the numbers of PanIN lesions in individual histologic categories, particularly high-grade PanIN, are small. However, the differences in reactivity among low-grade PanIN and high-grade PanIN were still highly statistically significant (p<0.001). Third, additional biomarkers, and clinicopathologic parameters like biliary obstruction/jaundice and plasma Ca19–9 were not available to correlate to mAb Das-1 expression. Nevertheless, we have demonstrated that expression of mAb Das-1 segregates high-grade PanIN/PDAC from low-grade PanIN by immunohistochemical analysis.

In conclusion, mAb Das-1 is a sensitive and highly specific biomarker for high-grade PanIN and PDAC in the pancreas. The inclusion of the Das-1 marker into the analysis of tissue may aid in the pre-operative diagnosis and/or risk stratification of patients with a pancreatic mass lesion.

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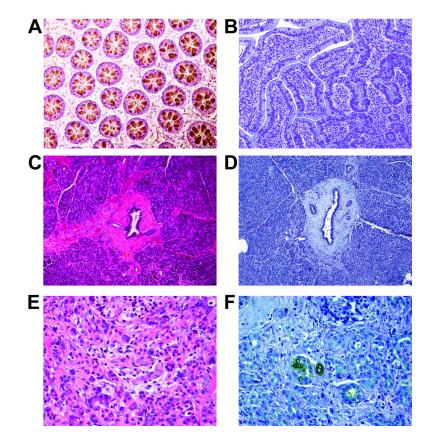


Figure 1:

Normal colonic mucosa exhibits cytoplasmic expression of Das-1 (A), while normal small intestinal mucosa is negative for Das-1 (B). Similarly, normal pancreatic parenchyma including pancreatic ducts are non-reactive to Das-1 (C: H&E stain, D: Das-1 immunohistochemistry). Interestingly, rare small foci with acinar to ductal metaplasia in the setting of chronic pancreatitis secondary to pancreatic ductal adenocarcinoma shows strong membranous and/or cytoplasmic Das-1 expression (E: H&E stain, F: Das-1 immunohistochemistry).

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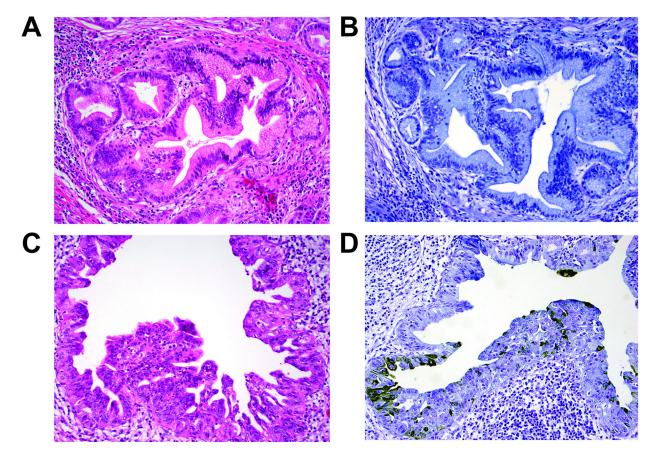


Figure 2:

Low-grade PanIN (PanIN-1 and PanIN-2) are non-reactive to Das-1 (A: H&E stain, B: Das-1 immunohistochemistry), while cytoplasmic and/or membranous patterns of Das-1 expression is seen in the majority of high-grade PanIN (PanIN-3) lesions (C: H&E stain, D: Das-1 immunohistochemistry).

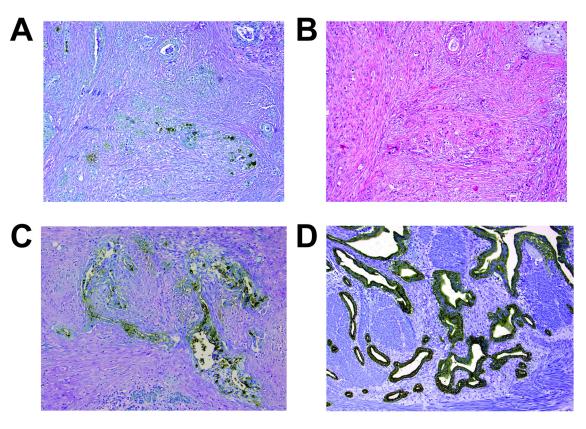


Figure 3:

Examples of pancreatic ductal adenocarcinomas with grade 1 (A & B), grade 2 (C) and grade 3 (D) Das-1 expression (A, C & D: Das-1 immunohistochemistry, B: H&E stain).

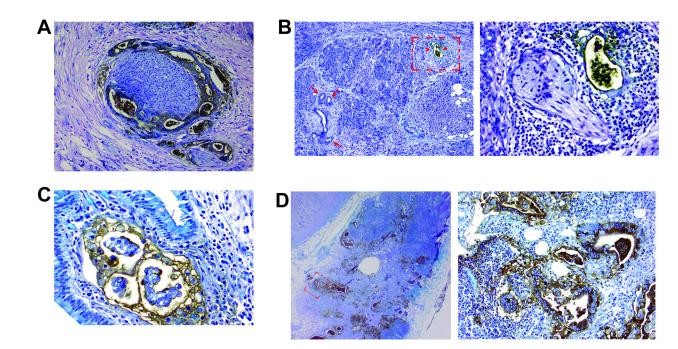


Figure 4:

A) Das-1 staining highlighting perineural invasion. B) A malignant duct in the vicinity of neural tissue is positive for Das-1 (arrow heads; an area marked by a rectangle is magnified in the right-side image), while normal interlobular duct and low-grade PanIN lesions are non-reactive to Das-1 (arrows). C) Lymphatic invasion with Das-1 expression. D) An example of lymph node metastasis with grade 3 Das-1 expression (an area marked by a rectangle is magnified in the right-side image).

Table 1:

Clinicopathological features of patients with pancreatic ductal adenocarcinoma stratified by Das-1 expression

Clinical or pathologic feature	Total N	Das-1 expression		P value
		Negative	Positive	
All cases	74	19	55	
Gender				0.785
Male	28	8 (42%)	20 (36%)	
Female	46	11 (58%)	35 (64%)	
Age (years)				0.100
Mean	65.8	62.5	67.0	
SD	10.2	9.7	10.3	
Tumor size (cm)				0.446
Mean	3.1	2.9	3.1	
SD	0.9	0.9	0.9	
Tumor stage				0.671
pT1	1	0 (0%)	1 (1.8%)	
pT2	7	1 (5.3%)	6 (11%)	
pT3	65	18 (95%)	47 (85%)	
pT4	1	0 (0%)	1 (1.8%)	
Nodal stage				0.021
pN0	16	8 (42%)	8 (11%)	
pN1	58	11 (58%)	47 (89%)	
Stage				1.000
IA	1	0 (0%)	1 (1.8%)	
IB	1	0 (0%)	1 (1.8%)	
IIA	14	8 (42%)	6 (11%)	
IIB	57	11 (58%)	46 (84%)	
III	1	0 (0%)	1 (1.8%)	
Histological grade **				
Low	35	10 (53%)	25 (45%)	0.606
High	39	9 (47%)	30 (55%)	
Lymphatic invasion				
(-)	25	8 (42%)	17 (31%)	0.408
(+)	49	11 (58%)	38 (69%)	
Vascular invasion				
(-)	26	9 (47%)	17 (31%)	0.266
(+)	48	10 (53%)	38 (69%)	
Perineural invasion				
(-)	6	1 (5.3%)	5 (9.1%)	1.000
(+)	68	18 (95%)	50 (91%)	
Resection margin status				
Negative	26	11 (58%)	15 (27%)	0.259

Total	Das-1 expression		P value
IN	Negative	Positive	
48	8 (42%)	40 (73%)	
	N	N Negative	N Negative Positive

** low grade: well and moderately differentiated, high grade: poorly differentiated and undifferentiated

TABLE 2:

Das-1 expression in pancreatic ducts, PanIN and pancreatic adenocarcinomas

	Non-neoplastic pancreatic duct	Low-grade PanIN ^{<i>a</i>}	High-grade PanIN ^{<i>a</i>}	PDAC ^b	LN ^c
Total	56	95	12	74	32
Das-1 +	0	0	7	55	21
	0%	0%	58%	74%	66%
Grade $1^{(1)}$	0	0	5	10	6
Grade $2^{(2)}$	0	0	0	14	2
Grade $3^{(3)}$	0	0	2	31	13
p-value *	NS	NS	<0.0001	< 0.0001	< 0.0001

a) PanIN - Pancreatic Intraepithelial Neoplasia

*b)*PDAC - Pancreatic Ductal Adenocarcinoma

*c)*LN – Lymph Node Metastasis

¹⁾Grade 1: Das-1 expression was seen in 5–25% of tumor cells

 $^{2)}$ Grade 2: Das-1 was positive in 25–50% of tumor cells

³⁾Grade 3: Das-1 was expressed in > 50% of tumor cells.

* Each category was compared to low-grade PanIN lesions and non-neoplastic pancreatic duct controls.

Performance for High-grade PanIN/PDAC: Sensitivity 72% | Specificity 100%

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