

The Impact of IFN λ 4 on the Adaptive Immune Response to SARS-CoV-2 Infection

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Genetic polymorphisms at the *IFNL4* loci are known to influence the clinical outcome of several different infectious diseases. Best described is the association between the *IFNL4* genotype and hepatitis C virus clearance. However, an influence of the *IFNL4* genotype on the adaptive immune system was suggested by several studies but never investigated in humans. In this cross-sectional study, we have genotyped 201 severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-positive participants for 3 *IFNL4* polymorphisms (rs368234815, rs12979860, and rs117648444) and stratified them according to the IFN λ 4 activity. Based on this stratification, we investigated the association between the *IFNL4* genotype and the antibody as well as the CD8⁺ T cell response in the acute phase of the SARS-CoV-2 infection. We observed no differences in the genotype distribution compared with a Danish reference cohort or the 1,000 Genome Project, and we were not able to link the *IFNL4* genotype to changes in either the antibody or CD8⁺ T cell responses of these patients.

Keywords: Interferon lambda-4, COVID-19, SARS-CoV-2, genetics, antibody, T cell response

Introduction

INTERFERON LAMBDA 4 (IFN λ 4) is a recently identified member of the IFN λ family. Humans possess 4 genes belonging to the IFN λ family: *IFNL1*, *IFNL2*, *IFNL3*, and *IFNL4*. The first 3 members (*IFNL1-3*) share a high degree of similarity and were identified by 2 independent groups in 2003 (Kotenko and others 2003; Sheppard and others 2003) as a novel family of genes encoding virally induced IFNs. In the following decade, genome-wide association studies (GWAS) linked the clearance of hepatitis C virus (HCV) to genetic variation within the type III IFN loci (Ge and others 2009; Suppiah and others 2009; Tanaka and others 2009; Thomas and others 2009), and this subsequently led to the discovery of IFN λ 4 (Prokunina-Olsson and others 2013).

Upon identification of IFN λ 4, the investigators also identified a dinucleotide variant (rs368234815, TT/ Δ G) located in the first exon of IFN λ 4. Rs368234815 Δ G is the ancestral allele and generates the full-length IFN λ 4 protein, whereas the rs368234815 TT allele leads to a frameshift and therefore aborts the expression of IFN λ 4. The Δ G/TT variation is associated with spontaneous HCV clearance as well as with the response to treatment (Prokunina-Olsson and others 2013). This variant is also in high linkage disequilibrium (LD) with the initially discovered and still often clinical denoted GWAS marker (rs12979860, C/T). Surprisingly, patients harboring the functional *IFNL4* have a lower HCV clearance rate than that of patients who have a nonfunctional IFN λ 4 (Prokunina-Olsson and others 2013).

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While the functional *IFNL4* is unfavorable in terms of HCV clearance, the functional version of this gene is associated with lower levels of liver inflammation and fibrosis in HCV-infected patients (Bochud and others 2012; Eslam and others 2017; Mohlenberg and others 2019), as well as in patients with nonalcoholic fatty liver disease (Eslam and others 2015; Petta and others 2017), proving an advantage for patients. The causal role of the IFN λ 4 protein in lower HCV clearance rates is further supported by the finding of a genetic variant (rs117648444, G/A) resulting in a single amino acid substitution of a proline to a serine at position 70 (IFN λ 4 P70S) in functional IFN λ 4, which substantially affects the antiviral activity of IFN λ 4 (Terczynska-Dyla and others 2014).

HCV patients harboring the impaired IFN λ 4 S70 variant display lower IFN-stimulated gene expression levels, but better treatment response rates and better spontaneous clearance rates than those patients carrying the fully active IFN λ 4 P70 variant (Terczynska-Dyla and others 2014). Thus, it is clear that the disease course of HCV is affected by the *IFNL4* genotype.

Recently, we demonstrated that treatment with the recombinant murine IFN λ 2 protein specifically enhanced the generation of IgG1 and IgA antibodies in a mouse model of influenza A virus infection (Ye and others 2019). Furthermore, it has been suggested that IFN λ 4 could shape the adaptive immune response in humans since the genetic findings surrounding *IFNL4* are mainly in relatively complex diseases (Larrubia and others 2014; Sutti and Albano 2020).

Another indication came recently, when the ability to produce *IFNL4* has been associated with higher antibody levels against HCV (Waldenström and others 2021). Until now this hypothesis has been difficult to study since IFN λ 4 is absent in rodents, however, a unique chance occurred with the emergence of the novel coronavirus designated severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in December 2019 (Wang and others 2020; Zhou and others 2020; Zhu and others 2020) and becoming a pandemic in the spring of 2020 (WHO 2020).

We can safely assume that patients are infected with the SARS-CoV-2 virus for the first time, and thus, we can study the primary response of the adaptive immune system. Therefore, we seized the chance to investigate the potential link between the *IFNL4* genotype and the adaptive immune response raised toward the SARS-CoV-2. We got access to patients diagnosed with SARS-CoV-2 infection and genotyped 3 polymorphisms within the *IFNL4* gene. In this study, we stratify the patients based on the functional activity of IFN λ 4 and investigate the relationship with the antibody and CD8⁺ T cell response.

Materials and Methods

Study design

The study was conducted on 203 participants from the CoroNAT cohort (Nielsen and others 2021; Vibholm and others 2021), collected at the Department of Infectious Diseases at Aarhus University Hospital, Denmark, from April 3 to July 9 2020. The study was approved by The National Health Ethics Committee (case number 1-10-72-76-20) and the Danish Data Protection Agency. Patient flow diagram is shown in Supplementary Fig. S1. Demographic and clinical data on participants were collected to determine if certain parameters were correlated to the *IFNL4* genotype (Table 1).

Blood samples were collected at a minimum of 14 days after full recovery (no ongoing COVID-19 symptoms, except loss of sense of smell/taste, and cognitive deficits, which are symptoms equivalent to long-COVID-19) and at a maximum of 12 weeks after a first positive SARS-CoV-2 Real Time-PCR. Participants were allocated into 2 groups according to the severity of COVID-19 based on the following criteria on COVID-19 severity: (1) Outpatients (able to stay at home either with no or some limitation to their daily activities), and (2) Hospitalized [both nonintensive care unit (ICU) and ICU admission]. Each participant provided written informed consent before any study activities.

DNA extraction and genotyping

Genomic DNA was purified from cryopreserved peripheral blood mononuclear cell using the DNeasy Blood and Tissue Kit (No. 69504; Qiagen) following their specification. Genotyping was performed using a competitive allele-specific PCR assay designed and optimized by LGC following their protocol on a Roche LC480-Series instrument. The following polymorphisms were genotyped: rs368234815 (Δ G/TT) (\pm IFN λ 4), rs12979860 (C/T) (GWAS marker), and rs117648444 (G/A) (IFN λ 4-P70/S70) using primer sets provided by LGC (Supplementary Fig. S2). Genotyping was performed blinded to clinical phenotypes.

Neutralization assay

The SARS-CoV-2 neutralization capacity of plasma from participants from the CoroNAT cohort was assessed through infection of Vero76 cmcy hTMPRSS2 cells, with

TABLE 1. COHORT CHARACTERISTICS

Characteristics	Total cohort
Patients, <i>n</i>	201
Female sex, <i>n</i> (%)	110 (54.7)
Age, years, average (range)	47 (20–79)
Body mass index, kg/m ² , median (range)	25.4 (18.8–47.8)
Duration of COVID-19 symptoms, days, median (range)	12 (0–47)
COVID-19 disease severity, <i>n</i> (%)	
Outpatient	167 (83.1)
Hospitalized	34 (16.9)
Smoking, <i>n</i> (%)	
Never	133 (65.5)
Current	9 (4.4)
Previous	61 (30.0)
Race, <i>n</i> (%)	
Asian	2 (1.0)
Black or African European	—
White or Caucasian	197 (98.0)
Other	2 (1.0)
Haplotype	
No IFN λ 4	87 (43.3)
IFN λ 4-S70	25 (12.4)
IFN λ 4-P70	89 (44.3)

All participants were assigned a COVID-19 severity group depending on their course of disease. Group 1 consisted of asymptomatic or moderately sick participants able to recover at home. Group 2 comprises all severely ill hospitalized participants, regardless of intensive care unit admission or oxygen supplementation. Haplotype is determined on the basis of genotyping of rs368234815, rs12979860, and rs117648444 using a KASP assay.

VSV* Δ G(luc)-SARS-2-S pseudovirus particles as described in Nielsen and others (2021). Neutralization curves were plotted with 3 parameter nonlinear fits from which IC₅₀ values were calculated.

Total Ig, IgM, and IgA detection

Serum levels of anti-SARS-CoV-2 antibodies were detected by semiquantitative ELISA on participants from the CoroNAT cohort as described in Vibholm and others (2021).

Dextramer staining by flow cytometry

The dextramer stains were performed on the HLA-A2-positive patient samples from the CoroNAT cohort as described in Vibholm and others (2021) and their location visualized in Fig. 5 of Nielsen and others (2021). PBMCs were incubated at room temperature for 30 min with the following SARS-CoV-2 dextramers (all from Immudex): A*0201/TLACFVLA AV-PE (Cat. No. WB3848-PE), A*0201/GMSRIGMEV-FITC (Cat. No. WB5751-FITC), A*0201/LLDRLNQL-APC (Cat. No. WB5762-APC), A*0201/ILLNKHIDA-PE (Cat. No. WB5848-PE), A*0201/RLNEVAKNL-FITC (Cat. No. WB5750-FITC), A*0201/YLQ PRTFLL-APC (Cat. No. WB5824-APC), A*0201/VLNDI LSRL-PE (Cat. No. WB5823-PE), A*0201/NLNESLIDL-FITC (Cat. No. WB5850-FITC), A*0201/FIAGLIAIV-APC (Cat. No. WB5825-APC), A*0201/LLLNCLWSV-PE (Cat. No. WB3513-PE), or positive/negative control dextramers: A*0201/NLVPMVATV-PE (Cat. No. WB2132-PE, Pos. Control, CMV), A*0201/NLVPMVATV-FITC (Cat. No. WB2132-FITC, Pos. Control, CMV), A*0201/NLVPMV ATV-APC (Cat. No. WB2132-APC, Pos. Control, CMV), A*0201/Neg. Control-PE (Cat. No. WB2666-PE), A*0201/Neg. Control-FITC (Cat. No. WB2666-FITC), A*0201/Neg. Control-APC (Cat. No. WB2666-APC). Cells were washed and stained with viability dye (Zombie Violet, Cat. No. 423114; Biogend) and CD8 (Clone RPA-T8, Cat. No. 563795; BD) and acquired on a 5-laser Fortessa flow cytometer.

Statistical analyses

Graphs and data analyses were performed using GraphPad Prism 7.0 and StataIC 16.1. The 3 polymorphisms were analyzed both separately and as haplotypes. The LD metrics (D' and r^2) between polymorphisms were analyzed and plotted using Haploview 4.2 (Barrett and others 2005).

Testing for a normal distribution when the data were divided according to the genotype or haplotype was performed by an unpaired Student's T -test or Mann-Whitney test as appropriate. $P < 0.05$ was interpreted as statistically significant. P values are indicated as follows: n.s. = not significant, * = $P < 0.05$, ** = $P < 0.01$, *** = $P < 0.001$, and **** = $P < 0.0001$.

Results

The participants

Two hundred one SARS-CoV-2-positive patients were included from a Danish COVID-19 cohort collected at Aarhus University hospital; the CoroNAT cohort (Nielsen and others 2021; Vibholm and others 2021). Clinical characteristics of participants are shown in Table 1, and the

patient flow diagram is shown in Supplementary Fig. S1. In our study, the participants were fairly evenly divided between male and female, with 110 (54.7%) participants being female. The average age was 47 years and the median body mass index was 25.4 kg/m². Participants experienced a range of symptoms, from asymptomatic to hospitalization and ICU admission, with a median duration of hospitalization of 12 days. The participants were divided into 2 groups based on hospitalization status as a marker for disease severity. The majority of the participants were nonhospitalized (ie, outpatients, 83.1%). Furthermore, the majority of the cohort was nonsmokers and of white origin.

Genetics of IFN λ 4

Participants were genotyped for the following polymorphisms: rs368234815 (Δ G/TT) (\pm IFN λ 4), rs12979860 (C/T) (GWAS marker), and rs117648444 (G/A) (IFN λ 4-P70/S70) (Supplementary Table S1), from which the allele frequency was calculated (Supplementary Table S2). Participants were allocated into 3 groups according to the haplotype of *IFN λ 4* based on the genotyping: No IFN λ 4; rs368234815 TT/rs12979860 C, IFN λ 4-P70; rs368234815 Δ G/rs12979860 T/rs117648444 G, and IFN λ 4-S70; rs368234815 Δ G/rs12979860 T/rs117648444 A (Table 1). The participants were assigned to their *IFN λ 4* haplotype using a dominant model: participant heterozygote for the IFN λ 4 determining variant [rs368234815 (Δ G/TT)] was considered IFN λ 4 positive. Eighty-seven participants (43.3%) belonged to the No IFN λ 4 group, 25 participants (12.4%) belonged to the IFN λ 4-S70 group, and 89 (44.3%) participants belonged to the IFN λ 4-P70 group.

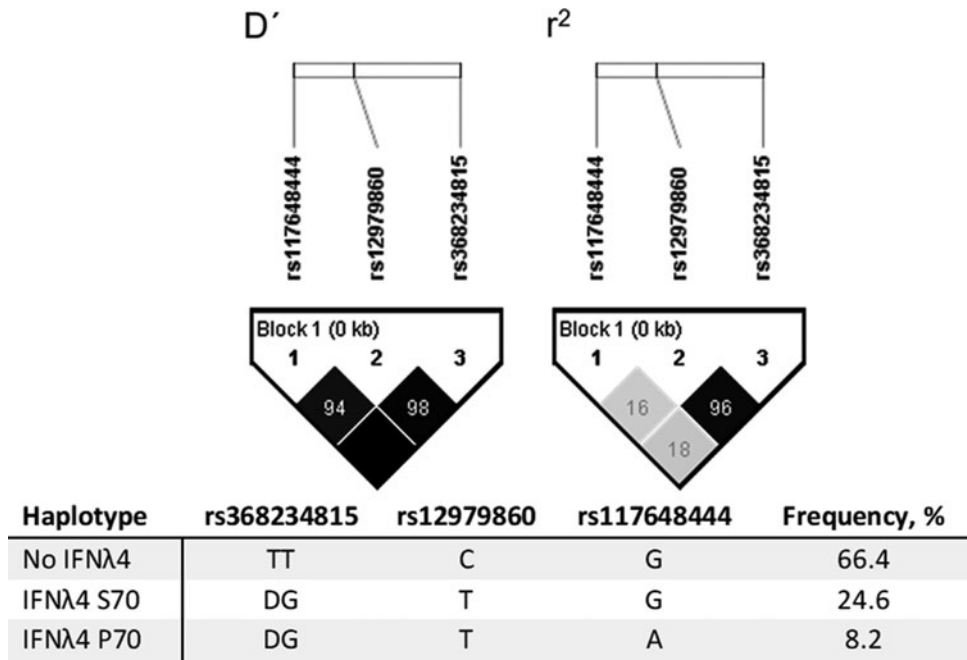
Furthermore, we observed a strong LD between rs368234815 and rs12979860 ($D' = 0.98$ and $r^2 = 0.96$) (Fig. 1). This observation is comparable with what is detected in the European population of the 1,000 Genome Project (Supplementary Fig. S3). The genotype and allele frequencies of the 3 SNPs were comparable with the European population of the 1,000 Genome Project (Supplementary Table S2).

Antibody response

The participants had their antibody response measured 4 weeks after recovery (Nielsen and others 2021) and we stratified the results according to the *IFN λ 4* haplotype (Fig. 2). The first parameter we analyzed was the antibody neutralization potency measured by IC₅₀. The IC₅₀ was extrapolated based on the functional neutralization capacity of total plasma antibodies *in vitro* measured using VSV pseudotyped with the SARS-CoV-2 spike protein. Antibody neutralizing potency was evaluated by serial dilutions of participant plasma, yielding infectivity titration curves for each of the participants leading to extrapolation of the IC₅₀ values. When allocating the IC₅₀ values to the *IFN λ 4* haplotypes, we observed no significant difference between the different haplotypes (Fig. 2A).

The next parameter we analyzed was the total immunoglobulin (Ig) levels of serum anti-SARS-CoV-2 antibodies detected by ELISA. Again, allocation to the *IFN λ 4* haplotypes proved to be nonsignificant between the different haplotypes (Fig. 2B). The SARS-CoV-2-specific serum IgA and IgM were also measured on the participants by ELISA.

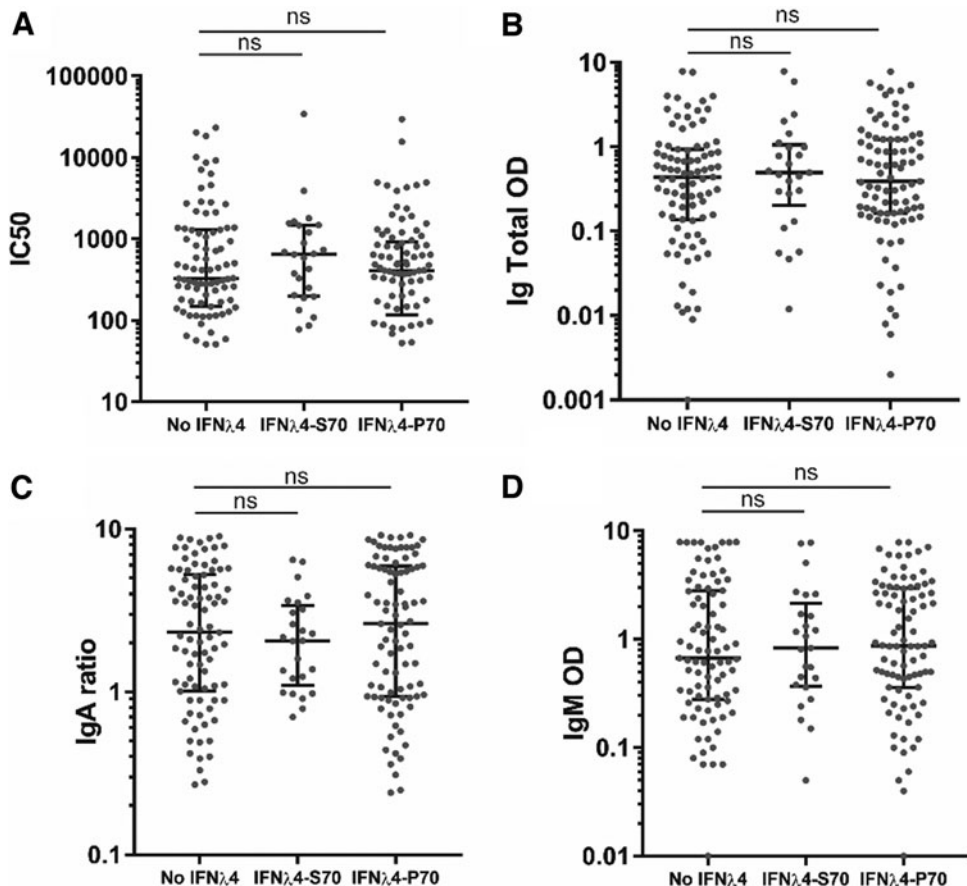
FIG. 1. Haploview LD plots of rs368234815, rs12979860, and rs117648444 for the participants. The plots show the LD metrics generated with Haploview. For D' the color depicts the LOD; *black*: $\text{LOD} \geq 2$ and *gray* < 2 , and the number is D' . For r^2 the color and number depict r^2 ; shades of *gray*: $0 < r^2 < 1$ and *black*: $r^2 = 1$. If the value is 100, it is for simplicity not written. LD, linkage disequilibrium; LOD, logarithm of the odds.



To investigate any differences between the effectivity of seroconversion in the participants, we also allocated the IgA and IgM measurements to the *IFN λ 4* haplotypes (Fig. 2C, D, respectively). Again, we observed no significant differences between the *IFN λ 4* haplotypes.

We observed the same nonsignificant results when we allocated the antibody response to either the presence or absence of functional IFN λ 4 or the individual polymorphisms: rs368234815 (Δ G/TT) (\pm IFN λ 4), rs12979860 (C/T) (GWAS marker), or rs117648444 (G/A) (IFN λ 4-P70/S70)

FIG. 2. Antibody response toward the SARS-CoV-2 spike assigned to the IFN λ 4 haplotype. (A) IC₅₀ values calculated from neutralization curves. (B) Blank-corrected chemiluminescent signal of total Ig against SARS-CoV-2 spike measured by ELISA. Total Ig is shown as OD (1:100). (C) Blank-corrected chemiluminescent signal of IgA against SARS-CoV-2 spike measured by ELISA. IgA is shown as ratio against standard. (D) Blank-corrected chemiluminescent signal of IgM against SARS-CoV-2 spike measured by ELISA. IgM is shown as OD (1:11). Error bars show median and interquartile range. Statistical comparison by Mann-Whitney *U* test. ns = $P > 0.05$, $n = 201$. Ig, immunoglobulin; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.



(Supplementary Fig. S4). In summary, the haplotype as well as the genotype of *IFNL4* did not impact the antibody response in our analysis.

CD8⁺ T cell response

Samples collected 4 weeks after recovery from the 105 HLA-A2-positive participants were subjected to a dextramer staining flow cytometry analysis to assess the CD8⁺ T cell responses toward 9 different CD8⁺ T cell epitopes. The epitopes were covering different parts of the SARS-CoV-2 genome (Nielsen and others 2021). Again, we stratified the participants according to the *IFNL4* genotype. The first analysis we performed was to determine whether the CD8⁺ T cells from the participants were able to bind to the dextramers based on the *IFNL4* haplotype (responders) or not (nonresponders) (Fig. 3A).

There was a slight tendency toward the functional *IFNL4* haplotypes (IFN λ 4-S70 and IFN λ 4-P70) having a higher number of responsive participants compared with non-responsive participants, however, this tendency is nonsignificant. Since we observed a tendency toward a higher responsiveness in the functional *IFNL4* haplotypes, we investigated the participants with CD8⁺ T cells able to bind the dextramers (responders) in more detail. We allocated the number of positive epitopes for each responsive participant according to the *IFNL4* haplotype (Fig. 3B). However, we detected no significant differences in the number of epitopes recognized between the groups. We also compared the strength of the CD8⁺ T cell response, defined as the cumulative frequencies of all dextramer responses, across the IFN λ 4 haplotypes, but the distributions were again nonsignificant (Fig. 3C).

We observed similar nonsignificant associations when we assigned the CD8⁺ T cell response to either the presence or absence of functional IFN λ 4 or the individual polymorphisms: rs368234815 (Δ G/TT) (\pm IFN λ 4), rs12979860 (C/T) (GWAS marker), or rs117648444 (G/A) (IFN λ 4-P70/S70) (Supplementary Fig. S5). In conclusion, the CD8⁺ T cell response does not seem to be influenced by the *IFNL4* haplotype as well as genotype, even though there is a tendency that participants with functional IFN λ 4 might have a better response. This will, however, require further investigation.

Discussion

The COVID-19 pandemic offered a unique opportunity to study the impact of *IFNL4* genetics on the primary response of the adaptive immune system. This study set out to characterize the link between the *IFNL4* genotype and the antibody, as well as the CD8⁺ T cell response toward an acute SARS-CoV-2 infection. First, we performed a genetic analysis showing a strong LD between rs368234815 and rs12979860 as expected within a northern European cohort (Prokunina-Olsson 2019).

Next, we analyzed the impact of *IFNL4* genetics on the adaptive immune response by stratifying the participants according to *IFNL4* haplotype and performing a comparison of different antibody and T cell response parameters. We did not observe any differences in any of the analyzed antibody or T cell parameters (Figs. 2, 3, and Supplementary Figs. S4–S5). We analyzed the antibody response in the entire cohort, but the T cell response only in a proportion of the cohort due to sample quality and scarcity. A different subset of participants might possibly lead to differential results, however, we do not believe this to be the situation.

In the original study surrounding the CoroNAT cohort, we conclude that the SARS-CoV-2 infections lead to the production of effective neutralizing antibodies (Nielsen and others 2021). Furthermore, this study shows that the neutralization capacity is increased with disease severity. It is possible that the disease severity has a greater impact on the adaptive immune response compared with the *IFNL4* haplotype.

Our findings do not show any strong linkage between *IFNL4* and the adaptive immune response during the acute phase of COVID-19. This is in accordance with the GWAS performed on COVID-19 patients, which have found no association with the *IFNL4* locus (Severe Covid-19 GWAS group 2020; Oh and others 2020; Pairo-Castineira and others 2021). However, our study includes fewer participants in comparison with the GWAS associating *IFNL4* with HCV (Ge and others 2009; Suppiah and others 2009; Tanaka and others 2009; Thomas and others 2009; Waldenström and others 2021).

In addition, the functional IFN λ 4 is protective toward inflammation (Eslam and others 2017; Mohlenberg and others 2019) and it is known that host-mediated lung inflammation drives the mortality in COVID-19 patients (Dorward and others

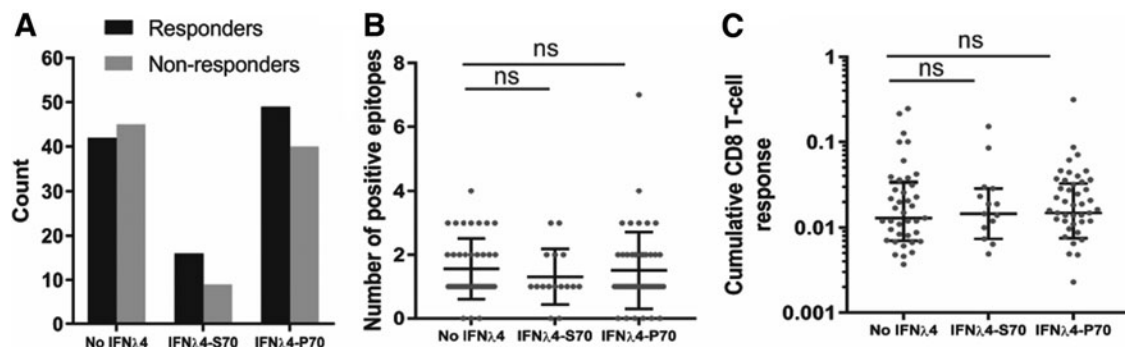


FIG. 3. SARS-CoV-2 responsive CD8⁺ T cells assigned to IFN λ 4 haplotype. (A) Bar chart showing whether participants responded to the investigated epitopes or not, $n = 201$. (B) CD8⁺ T cell response shown as the cumulative number of SARS-CoV-2 epitopes targeted by the responsive participants, $n = 106$. (C) Distribution of the cumulative CD8⁺ T cell responses in participants, $n = 105$. Ten percent of the participants had no detectable CD8⁺ T cell epitope response, and are not shown on the graph, but were included in statistical tests. Error bars show median and interquartile range. Statistical comparison by Mann–Whitney U test. ns = $P > 0.05$.

2021). This implies that further studies investigating other clinical outcomes might be needed. Furthermore, emerging data from the COVID-19 pandemic suggest there could be substantial long-term effect following SARS-CoV-2 infection leading to “long COVID-19” or “postacute COVID-19 syndrome” in some patients (George and others 2020; Nalbandian and others 2021). This group of patients share some characteristics with chronically infected patients. Genetic analyses of patients with long-term effects of COVID-19 might be more likely to produce clear results. Thus, the relationship between *IFNL4* genetics and COVID-19 still remains to be investigated in more depth, and further genetic studies are needed.

Authors' Contributions

M.M., I.M., L.K.V., S.F.N., M.H.S., R.O., M.K., J.D.G. O.S.S., T.R.O., M.T., and R.H. contributed to data collection, data analysis, and data interpretation. M.M. and R.H. contributed to the study design and wrote the article draft. M.M. performed the literature search, and created figures and tables. The final version of this article was reviewed and approved by all the authors.

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Author Disclosure Statement

T.R.O'B. is a coinventor on patents for the IFN- λ 4 protein that are held by the U.S. National Cancer Institute. The other authors report no conflict of interest.

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Supplementary Material

Supplementary Figure S1
 Supplementary Figure S2
 Supplementary Figure S3
 Supplementary Figure S4
 Supplementary Figure S5
 Supplementary Table S1
 Supplementary Table S2

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