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## Multifunctional plant virus nanoparticles in the next generation of cancer immunotherapies

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### Abstract

Plant virus nanoparticles (PVNPs) have inherent immune stimulatory ability, and have been investigated as immune adjuvants to stimulate an anti-tumor immune response. The combination of immune stimulation, nanoparticle structure and the ability to deliver other therapeutic molecules provides a flexible platform for cancer immunotherapy. Researching multifunctional PVNPs and their modification will generate novel reagents for cancer immunotherapy. Here we review the properties of PVNPs, and their potential for clinical utilization to activate anti-tumor innate and lymphoid immune responses. PVNP have potential utility for cancer immunotherapy as vaccine adjuvant, and delivery systems for other reagents as mono immunotherapy or combined with other immunotherapies. This review outlines the potential and challenges in developing PVNPs as cancer immunotherapy reagents.

### Graphical abstract:

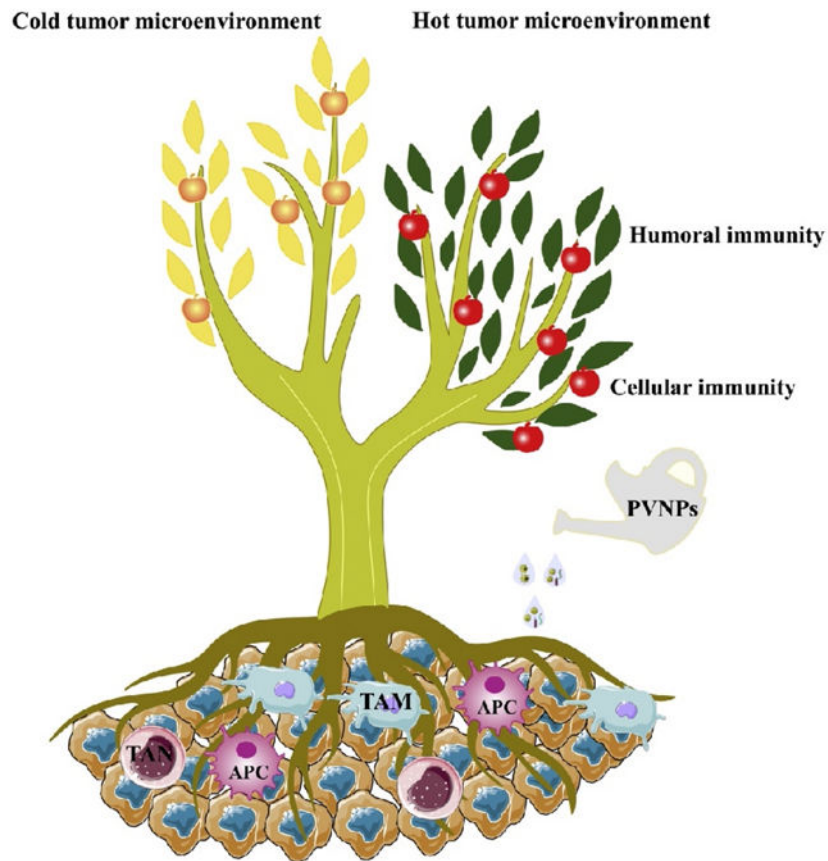
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Conflict of interest

Dr. Fiering is a founder of Mosaic Immunoengineering which is developing PVNP for immune stimulation and vaccine utilization.

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Plant virus nanoparticles as adjuvants and antigen delivery systems to activate the immune system and to improve immunotherapy efficacy.

### Keywords

cancer immunotherapy; in situ vaccination; nanoparticles; molecular farming; combination therapy; virus-like particle

## 1. Introduction

Cancer is a major cause of human morbidity and mortality. Despite improving therapies and outcomes of treatment for some cancers, prognosis for many patients remains poor. Limitations associated with current treatment options including drug resistance, off-target effects and adverse clinical events, and tumor genetic heterogeneity, emphasize the urgency of developing more effective therapies [1].

Mammalian viruses, have been studied as oncolytic viruses, nanocarriers or vaccines to improve conventional cancer therapy [2]. Each virus interacts differently with cells *in vivo* but all are nanoparticles with a natural ability to deliver cargo to target cells. Most viruses can also be engineered by either genetic modification or modification post production to further generate promising options for cancer therapies [3].

Oncolytic viruses (OVs) are currently of considerable interest. Many OVs are preferentially modified for replicating in tumor cells and inducing an immune-mediated anti-tumor effect by combining tumor lysis with induction of typical antiviral responses that can reverse the tumor-mediated immune suppression [4–6]. Additionally, OVs induce release of both tumor-associated antigens (TAAs), and neoantigens, and the antiviral immune response promotes the ingestion and cross-presentation of these antigens by antigen-presenting cells (APCs) [6]. However, since OVs depend on successful invasion of tumor cells and replication, the host immune responses against the OVs can neutralize the OVs cell invasion, block tumor activity, and inactivate by neutralizing antibodies in the blood stream [1, 7, 8].

While of interest and potential clinical value, medical application of mammalian viruses are challenged by the possibility of mutation-driven reversion of attenuated versions to virulent forms, and host-genome integration of virus genome sequences [1]. Plant viruses do not infect mammalian cells, so they lack these infection-related drawbacks and provide useful tools for manipulating tumors and anti-tumor immunity [1, 3]. In contrast with OVs, plant viruses do not infect mammalian cells and so do not replicate within and kill cancer cells directly, PVNPs are a novel nanoparticle class of immunostimulatory agents [3]. However, plant viruses do carry foreign antigens that will be recognized by the immune system and responded against, so it is necessary to understand the molecular processes involved in the interaction of plant viruses and immune cells.

We consider two subsets of plant viruses, whole viruses, referred to in this context as viral nanoparticles (VNPs), and virus-like particles (VLPs). VLPs are unable to replicate in plants and most commonly are genome-free counterparts of their VNPs. VLPs mimic the native structure of viruses, and have both advantages and disadvantages for immunotherapy [3]. VNPs or VLPs can act as both immune adjuvant, and antigen delivery systems through inherent recognition as pathogens and can accommodate loading of either antigens or exogenous immune adjuvants *via* genetic expression, conjugation or encapsulation methods [9–11] (Fig. 1).

VNPs or VLPs, like most nanoparticles, are efficiently taken up by APCs and can stimulate immune responses. As with all nanoparticles, delivery to the tumor is a challenge. While systemic delivery remains a potential option, published utilization in cancer immunotherapy has focused on direct injection into tumors to stimulate anti-tumor immunity by disrupting the local immunosuppression, which supports first local and then systemic anti-tumor immunity, a process called “in situ vaccination (ISV)”. ISV utilizes the tumor antigens in the treated tumor itself as the vaccination antigen source, but could also deliver tumor specific antigens [12]. Further, the presence or absence of the “viral genome’s” in plant VNPs (PVNPs) and their derived VLPs, respectively, causes different immunostimulatory responses as described in this review. PVNPs and their VLPs are nanoparticle antigen or immunostimulatory reagent delivery platforms that include varying levels of inherent immunestimulatory properties [1, 3]. Many outstanding biologic questions remain and the potential to bring these systems into the clinic is the focus of this review.

Overall, understanding the molecular mechanisms that drive PVNP-mediated recognition and activation of the human immune system may pave the way for new reagents that support

effective tumor immunotherapies. Here, we first present the properties of PVNPs and their potential for modification and delivery of antigen/adjuvant. Then we discuss the cellular and molecular mechanisms involved in PVNP-immune interaction and its potential for cancer immunotherapy as vaccine or delivery systems in monotherapy and combinatorial forms. Finally, we summarize the challenges and limitations recognized for PVNP application which must be addressed to facilitate translation of PVNPs-based immunotherapies into the clinic.

## 2. Properties and potentials of plant viruses

Plant viruses contain an RNA or DNA genome, capsid, and unlike mammalian viruses, rarely carry a lipid envelope derived from the cell that generated them [3]. Although plant viruses may cause phytopathology, they are not infectious for animals. Plant viruses have various morphologies, including icosahedron, rod and spiral shapes [3]. Like most viruses, their size, shape, and physico-chemical properties identify plant viruses as bionanomaterials [13] (Fig. 1). There is minimal potential for direct toxicity of PVNPs *in vivo*, since they are noninfectious, biocompatible, biodegradable, and non-teratogenic [3]. These characteristics enable minimal toxicity even in high doses (up to 100 mg/kg) and support safety of PVNPs for *in vivo* application [14–16]. Any potential toxicity is mediated by the desired biomedical function of the PVNPs themselves, such as their immunostimulatory properties.

Plant viruses can be manipulated genetically and physico-chemically for the production of modified PVNPs and VLPs [17]. Briefly, physical manipulation including conditions such as pH, temperature, or buffer formulation and concentration in association with incubation with reagents of interest enables loading of selected cargoes into or on PVNPs [3] (Fig. 1A). Genetic manipulation enables expression of selected proteins with the coat protein (CP) to produce chimeric capsids with new epitopes/cargoes [3, 13] (Fig. 1B). Chemical modifications support loading by functionalizing selected cargoes through coupling reagents or enzymatic reactions to bioconjugate cargoes to external or internal surfaces of PVNPs [3] (Fig. 1C).

As with any clinical reagent, production issues must be considered. PVNPs can be produced efficiently in large quantities by purification from virus-infected plants [18–20], or plant molecular farming (PMF), which refers to the engineering of plants for production of PVNPs or recombinant proteins by transgenic plants since they can be grown on an agricultural scale [21, 22]. Overall, these modification strategies enable production of targeted PVNPs that carry ligands for specific target cells.

## 3. Plant viruses induce innate and adoptive immune responses

There are many mammalian pathogen pattern recognition receptors (PRRs) and their expression varies among cell types. Each cell expresses a unique array of PRRs and activation of a given PRR in each cell type produces different responses. Immune cells express a wide variety of PRRs and respond strongly to PRR signaling. Upon encountering any virus, whether it is infectious or not, the PRRs of immune cells, such as dendritic cells (DCs), macrophages, and B cells recognize pathogen-associated molecules produced

by viruses. PRRs are classified into multiple types including toll-like receptors (TLRs), cytosolic DNA sensors (CDS), nucleotide-binding oligomerization domain (NOD)-like receptors (NLRs), retinoic acid-inducible gene I (RIG-I) like receptors (RLRs), C-type lectin domain (CTLD) proteins, and absent in melanoma (AIM)-like receptors (ALRs) [23, 24]. Plant viruses have recently been shown to primarily engage TLRs which are expressed on the cell surface, in endocytic compartments, and in the cytoplasm [25]. Most recently, we showed that cowpea mosaic virus (CPMV) capsids are recognized by TLR2 and TLR4 on membrane surface of the phagocytes, and its encapsulated nucleic acids are recognized by cytoplasmic TLR7 to induce cytokines secretion as well anti-tumor immunity [26].

The nucleic acids carried by PVNP are potentially recognized by TLRs, giving PVNP of all sorts the potential to be immunostimulatory. Most plant viruses have RNA genomes, and viral double-stranded RNA (dsRNA) and single-stranded RNA (ssRNA) are pathogen associated molecular patterns (PAMPs) recognized by specific TLRs (e.g., TLR 3/7) expressed by many immune cells including professional APCs [27]. Papaya mosaic virus (PapMV) coat proteins (CP) self-assembled around a ssRNA promotes activation of endosomal TLR7 in plasmacytoid DCs (pDCs) which stimulates innate immunity, and induces production of type I interferons, such as interferon- $\alpha$  (IFN- $\alpha$ ), which are important in the anti-tumor immune responses [28]. Other RNA-carrying PVNPs, like tobacco mosaic virus (TMV) and CPMV, stimulate an anti-tumor immune response [29]. Not surprisingly, recognition of PVNP by TLRs is likely a broad characteristic of mammals since mice, dogs and humans all respond.

TLR ligation sometimes engage SYK (spleen tyrosine kinase), as part of a cytoplasmic protein-tyrosine kinase signaling pathway in innate immune cells resulting in downstream signaling and consequent pathogen recognition, inflammasome activation, and antiviral response. Upon PVNP exposure, activation of endosomal TLRs is thought to contribute to SYK- and MyD88-coupled PRR signaling as well as cytokine production [27, 30]. For example, CPMV can activate endosomal TLR7/8 in human monocytes, that involves SYK signaling mediating endosomal acidification as well as activation of human monocytes to produce CXCL10 and type I and II IFNs [27].

TLR signaling mediates either type I interferon related pathways through transcription of interferon regulatory factors (IRF), or inflammatory pathways through activation of nuclear factor kappaB (NF- $\kappa$ B) or both [31]. While recognition of viral nucleic acids has long been recognized, recognition of the viral capsid in immune stimulation is poorly understood. There is developing data showing that at least some PVNP capsids are agonists for TLRs. CPMV induces human monocytes *in vitro* to secrete high levels of cytokines and chemokines such as CXCL10, MIP-1 $\alpha$ , and MIP-1 $\beta$  [27]. Furthermore, bone marrow-derived dendritic cells (BMDCs) exposed to cowpea chlorotic mottle virus (CCMV), CPMV, and SeMV produced different levels of pro-inflammatory cytokines such as IL-1 $\beta$ , IL-6, IL-12, IFN- $\alpha$ , IFN- $\gamma$ , and TNF- $\alpha$ , that shows how these viruses can regulate T cell-mediated immune responses [32–34]. CPMV, PepMV, PVX and TMV can induce anti-tumor effects *via* secretion of TNF, IL-12, IFN- $\gamma$ , and IL-6 in animal cancer models [29, 34–38].

There is variability in the capacity for immune stimulation by different PVNP, but the basis for this variability is not clear. The definition of what constitutes a nanoparticle is not clearly established, but generally nanoparticle is defined as larger than a larger protein (10 nm) and smaller than any free living microorganism which is roughly 500 nm. PVNPs are between 20–300 nm in their longest dimension. Similar to other nanoparticles in this size range, they are efficiently ingested by phagocytic cells and any proteins they contain can be processed for antigen presentation by APCs. [39–41]. The repetitive three-dimensional structure of the non-enveloped capsid of PVNPs is essentially a protein crystal that can stimulate PRRs and thus affect the efficiency of phagocytosis and immune responses [42–44]. There is variability of PRR stimulation by different PVNPs and the basis of that variability is not yet understood. The empty capsid of CPMV (eCPMV) is an RNA-free VLP that is recognized by TLR2 and TLR4 and signals through a MyD88-dependent pathway, to induce inflammatory cytokines, while the ssRNA of CPMV is recognized by TLR7 and induces type I IFNs secretion [26](Fig. 2). Even without type I IFN induction, eCPMV also can induce immunogenicity in treated tumors, which proves that the capsid structure of this particular VLP also can stimulate anti-tumor effects [45]. Notably, the use of free coat protein and naked nucleic acid can be less immunogenic than VNPs and VLP owing in part to sensitivity to proteases and nucleases [29, 46, 47]. There is data in PVNPs showing that the assembled capsid is significantly more immunostimulatory than the dissociated coat proteins [29, 46–48], which may in part be due to the noted increased phagocytosis of nanoparticles or there could be a requirement for that structure for TLR recognition, or both could contribute. The recognition of the capsids of these non-enveloped and non-infectious viruses in mammals is poorly understood and once understood will enable more rapid and effective usage of PVNPs for cancer immunotherapy.

The inherent immunogenicity of a PVNP will likely lead to the development of an anti-PVNP immune response, both by B cells and by T cells. Anti-PVNP specific antibodies are demonstrated in response to administration of CPMV [49], alfalfa mosaic virus (AMV) [50], and pepper mild mottle virus (PMMoV) [51]. Interestingly, TMV nanoparticles camouflaged using serum albumin (SA) does not inhibit anti-TMV antibody production [52]. Interestingly, unlike OV that must infect cells and whose infective capacity would be blocked by neutralizing antibodies, antibodies against PVNPs do not appear to block their immunostimulatory properties, and in fact may enhance it [49].

#### 4. PVNP-based cancer immunotherapies

The immune stimulating properties of PVNPs supports their use in carrying antigens for vaccines, including tumor antigens, which is discussed below. However, there is a role for PVNP to simulate anti-tumor immunity when they are introduced into tumors without exogenous antigens as ISV reagents [53]. The major block to immunotherapy is the immunosuppressive microenvironment of tumors. This local immunosuppressive TME blocks immune effector functions and any tumor immunotherapy must overcome this local immunosuppression to be successful [54]. ISV is a simple and effective immunotherapy strategy in which immunostimulatory agents or treatments are delivered directly to identified tumors [55, 56]. The immune stimulation can generate an effective response against the treated tumor and generate tumor-recognizing T cells that can find and eliminate metastatic

tumors that were not directly treated [54]. The process is referred to as “in situ vaccination” because the process exploits the antigens within the tumor without the need to identify them or bring in identified antigens. ISV is a simple and quickly applied approach to rapidly provide anti-tumor vaccination for cancer patients. ISV could be effectively used for patients with potential unidentified metastatic disease in the time between pathologic diagnosis and surgery to remove the primary tumor, although it is not yet used in this manner.

Beyond the inherent immunostimulating of PVNPs, they can be nanocarriers for antigens, immunostimulatory agents, or both to activate immune responses [57]. PVNPs, owing to natural tropism for APCs as adjuvant, and due to high loading capacity of immunogenic epitopes can activate or enhance the anti-tumor immunity of the body [58]. Table 1 and Fig. 4 depict the functions and applications of PVNPs in tumor immunotherapy.

#### 4.1. PVNP monotherapy modulates immunosuppressive TME

TME refers to the internal environment of tumors, and is composed of tumor, stromal and immune cells, microvessels and interstitium, and is infiltrated by biological molecules [69]. Studies support a crucial role of immune-immune and immune-tumor TME interaction networks, and the tumor immune microenvironment (TIME) on tumor growth, metastasis and resistance to treatments [70, 71]. Nanoparticles, owing to their special physico-chemical properties, and the possible enhanced permeability and retention (EPR) of tumor blood vessels, can be enriched in the TME, modulate the TIME and induce anti-tumor effects [72].

*In vivo*, systemic, and intratumoral inoculation of PVNPs (ISV) meaningfully inhibited tumor cell growth and prevented distant metastasis of tumors without clinical toxicity [73–77]. Notably, the studies clarified that the anti-tumor mechanism of PVNPs includes the modulation of the TIME.

Myeloid-derived suppressor cells (MDSCs) originate from pluripotent hematopoietic stem cell, are classified into monocytic and granulocytic lineages, and can infiltrate tumors and differentiate to tumor-associated macrophages (TAMs) and tumor-associated neutrophils (TANs) [78, 79]. The TME can attract or differentiate MDSC differentiation into immune suppressive cells similar to M2 macrophages and N2 neutrophils [29, 74, 80]. In progressive tumors, M2 type TAMs and N2 type TANs release immunosuppressive mediators in the TME [79, 81–83]. These mediators inhibit T-cell activation, and promote tumor growth, invasion and metastasis (Fig. 3a). M1-TAMs and N1-TANs can be attracted by immune stimulation and play an anti-tumor role by releasing immunostimulatory mediators among other functions [79, 80].

Administration of PVNPs for influencing the TME are done by both systemic administration and ISV (Fig. 3b, and Fig. 4C). In PVNP-ISV, the tumor itself is used as the antigen source, and PVNPs is introduced as an adjuvant. PVNP-ISV efficacy was demonstrated in a variety of mouse models of human cancers, and CPMV [74], tobacco mosaic virus (TMV) [29], potato virus X (PVX) [67] and papaya mosaic virus (PapMV) [73], have been shown to generate anti-tumor immune effects by modulating the TME. For example, in situ treatment of ovarian cancer with CPMV recruited tumor infiltrated neutrophils (TINs) with anti-tumor activity to the tumor site and induced inflammatory responses

detected by elevation in IL-6 levels. Further, in situ CPMV administration modulates the tumor-inhibiting microenvironment by downregulating the production of IL-10, and TGF- $\beta$  immune suppressing cytokines [84]. In another study, in situ TMV administration in a mouse model of dermal melanoma lead to production of inflammatory cytokines such as IL-6, and G-CSF and recruitment of TINs which stimulate T cells, and boost the production of IFN- $\gamma$  by T cells making a more immunostimulatory TME [29]. CPMV-ISV by syringe and active microneedle (MN) delivery systems increase the influx of anti-tumor TANs and TAMs by inducing factors that promote an immunostimulatory TME in melanoma [74]. Most recently, we have shown that ISV with alfalfa in situ vaccination with mosaic virus (AMV) in a breast tumor mouse model leads to suppression of tumor progression and prolonged survival [68]. These findings show that ISV-based PVNP immunotherapy with intrinsic adjuvant properties are able to control tumor progression by coordinating innate and adaptive immune responses involving APCs, TINs, and T cells.

#### 4. 2. PVNP-based antigen delivery

PVNPs can deliver specific antigens without the need for another immune adjuvant [34, 85]. Peptide antigens derived from tumor antigens can be genetically expressed on viral coat proteins (CP) (Fig. 1B, and Fig. 4A) or conjugated to PVNP by chemical methods (Fig. 1C). This approach creates an adjuvant-antigen platform with ordered, repetitively arrayed immunogenic peptides, and thus boosts the immunogenicity of those selected epitopes [85–87] (Table 1). The human papillomavirus (HPV) genotypes 16 and 18 cause approximately 70 % of cervical cancers. Fusion of the sequence for HPV-E7 viral oncoprotein with the DNA sequence of the PVX coat protein generated a DNA fusion vaccine which induced a humoral and cell-mediated immune response in a cervical tumor mouse model [59]. Addressing the transient expression of E7 in this study, another study developed a related vaccine through introducing the E7 HPV sequence in PVX which did not block infection of plants to generate PVX and associated with higher protein expression levels in plants [54]. They also found that E7CP fusion stabilizes the E7 protein [60]. Human epidermal growth factor receptor 2 (HER2) epitopes on PVX stimulated the production of anti-HER2 specific antibodies in mice [62]. Further, tumor antigen-PVNP conjugates on PVX [64], and HER2 on CCMV, CPMV, and SeMV-PVNPs [34] induced immune responses and reduced tumor growth in mouse models. Displaying multiple copies of human HLA-A2 restricted peptide antigen NY-ESO-1157–1165 on CPMV enhanced uptake and activation of APCs as well as stimulated a potent CD8<sup>+</sup>T cell response in transgenic human HLA-A2 expressing mice [63].

PVNP have also been studied for delivering immune related therapies beyond tumor antigens. Tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) is an inducer of cancer cell apoptosis and has been tested by itself for cancer therapy [1], however, the low *in vivo* stability of these agents led to developing a multivalent TRAIL-displaying PVX. Besides improving the efficacy of TRAIL delivery, PVX-TRAIL promoted the crosslinking of TRAIL receptors (DR4/DR5) and thus, boosted caspase-mediated apoptosis in breast cancer xenografts [84]. Overall, genetically engineered plant viruses can carry therapeutically relevant peptides and proteins with promising safety and efficacy and potentially can be optimized and implemented for clinical usage.



### 4. 3. PVNP-based exogenous adjuvant delivery

Vaccines carry antigen and adjuvant, with the adjuvant needed to stimulate an immune response against the antigen (Fig. 4b). Various adjuvants have different immune stimulatory properties since they are recognized by different pathogen recognition receptors which are also variably expressed on different cell types. PVNPs have inherent adjuvant properties but can also be used to deliver other adjuvants to potentially improve immune responses. For example, encapsulation based self-assembly of CpG oligonucleotides with CCMV, (CCMV ODN1826-VLPs), can promote activation of TAMs *ex vivo*, and intratumoral injection of this reagent induces robust anti-tumor responses in the TME [65]. This strategy can protect nucleic acid adjuvants from nucleases *in vivo* and concentrate them in potential APCs to improve their immune impact [65, 88].

### 4.4. PVNPs in combination therapy

As with all immunotherapies, tumor heterogeneity and tumor-mediated immunosuppression can limit the therapeutic efficacy of monotherapy. Therefore, a current focus of immunotherapy for cancer is combinations of immunotherapy strategies. PVNPs combined with other tumor immunotherapeutic options can improve both local control and systemic anti-tumor immune responses. A variety of general approaches have been explored for combining plant virus-based immunotherapies and other modalities of cancer, (Fig. 4).

Lee et al. (Fig. 4F), showed that melanoma cancer therapy by co-administration of PVX and doxorubicin (DOX) (PVX+DOX) or PVX-loaded DOX (PVX-DOX) was better than monotherapy, in part because DOX induces immunogenic cell death. Their combination, especially in PVX+DOX form (unlinked), increased anti-tumor cytokine/chemokine responses and suppressed tumor progression when administered as an intratumoral in situ vaccine [67]. Cai et al. [35], used cyclophosphamide (CPA) an alkylating agent with immunogenic activity and in situ CPMV vaccination. CPA-mediated cell death and associated exposure and release of tumor antigens which were recognized and processed by CPMV-induced immune cell infiltration into tumor site.

CD47 is an immune checkpoint biomolecule overexpressed on cancer cells and acts as a 'do not eat me' signal to phagocytes through binding to SIRP $\alpha$  on macrophages. Wang et al. [89], combined antibodies that block CD47 with CPMV as an in situ vaccine (Fig. 4D). Results revealed that CD47 blockade also primes anti-tumor T cell responses by either activating APCs or inhibiting interactions between CD47 on ovarian cancer cells and the protein thrombospondin 1 on T cells [89]. In situ CPMV vaccination activates the innate immune system and mediates activated phagocyte recruitment to tumors. Therefore, this combination therapy boosts innate cell phagocytosis of cancer cells which leads to presentation of tumor antigens and priming of the adaptive immune system leading to a potent anti-tumor immune response [89]. Like virtually any strategy that activates T cells, ISV with CPMV increases the expression of checkpoint regulators on effector T cells in the TME. Combining treatment with CPMV and selected checkpoint-targeting antibodies, specifically anti-PD-1 antibodies, or agonistic OX40-specific antibodies, reduced tumor burden, prolonged survival, and induced tumor antigen-specific immunologic memory to prevent relapse in three immunocompetent syngeneic mouse tumor models [90].

The abscopal effect of radiation has been known for many years as immune mediated, but it is infrequent and not dependable. An immunotherapeutic approach combining radiation therapy (RT) with CPMV was tested in a preclinical syngeneic mouse model of ovarian carcinoma (Fig. 4E). Utilizing CPMV particles in combination with RT can turn an immunologically “cold” tumor into an immunologically “hot” tumor through infiltration of activated myeloid cells, and CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes into the TME and activating their anti-tumor effector responses [66].

## 5. Future perspectives of PVNPs for cancer immunotherapy

The exploitation of plant virus-based immunotherapy promises to generate clinical reagents that are reasonably inexpensive, safe for patients and caregivers, stable long term at room temperature, do not require tumor antigen identification, and can be scaled-up for production of safe/effective vaccines [91]. However, there are challenges and limitations that should be considered. Expression of some peptides genetically as part of coat proteins will likely impair capsid formation. The requirement for effective infection and PVNP generation in plants limits expression of vaccine epitopes on the surface of virus particles since the protein epitope could disrupt infection and assembly of the virus [92].

As with any developing pharmaceutical platform, there are many challenges to obtaining reagents that are acceptable to regulatory agencies. For manufacturing, additional research must consider the codon optimization between selected peptides and plant codon usage to reduce unstable protein accumulation [21, 22]. Other complementary issues, such as thylakoid localization, promoter usage, and untranslated region selection must be considered [93].

PVNPs have basic characteristics common to most types of nanoparticles that can influence their fates *in vivo* [94, 95]. *In vivo* biological barriers such as interactions with serum, and immune cells or antibodies impact the application of native/functionalized PVNPs in clinical settings [17]. In blood circulation, the PVNP surface may be covered with serum proteins, termed protein corona (PC), that increase their uptake by phagocytic cells [52, 96]. Anti-PVNP antibodies [49, 97] change the interaction of immune cells with PVNP and can eliminate them before reaching the target site [98]. The various delivery challenges can generally be avoided or reduced by ISV.

If the usage involves multiple applications of PVNP over weeks, then the immune system will likely generate anti-PVNP antibodies that can reduce their half-life in circulation and promote clearance from the body [99, 100]. However, this may not be a problem, particularly since the PVNP are not infecting cells, so the concept of “neutralizing antibodies” which implies blocking of infection by viruses is not relevant. When PVNP are used as immune adjuvants, particularly as ISV, the anti-PVNP response could have no effect or could improve the adjuvant properties. Studies demonstrated that antibodies produced against TMV, PapMV, and CPMV do not interfere with their immunostimulating properties [48, 49, 63, 101, 102].

Protein corona (PC) formation can be an obstacle to developing PVNPs for *in vivo* applications. The likely interactions of PVNPs and their physiological effects in the bloodstream show that PVNPs incubated in human plasma can remain stable and acquire a PC lower than what accumulates on synthetic nanoparticles [103]. For example, the PC bound to TMV nanoparticles is approximately 6-fold less than for silica nanoparticles [104, 105]. The reduced protein corona may be due to surface properties, such as patches of positive and negative charges, or heterogeneous hydrophobic and hydrophilic domains [17, 88]. Thus, further studies on biodistribution and rapid clearance of PVNPs will be required to understand the variable PC formation for each PVNP [106].

PVNPs also can interact with red blood cell (RBCs), but blood hemolysis and coagulation assays confirmed the biocompatibility of TMV, TBSV, CPMV particles [97, 98]. PVX induces a slight and dose-dependent hemolysis rate; nonetheless, according to ISO/TR 7406, it has less of this tendency than many synthetic nanoparticles [22, 98]. The lower affinity of PVX for RBCs may be because of greater flexibility of the PVX capsid compared to synthetic nanoparticles [94, 107, 108].

If non-immune cells or tissues are the target of PVNPs, clearance by the immune system should be minimized, but if the immune system is the target, then phagocytosis by immune cells in the appropriate anatomic location is usually the desired outcome, and the approach must be designed with this in mind [109]. The common strategy for reducing nanoparticles clearance and achieving long circulation times, is creating 'stealth' or 'camouflage' effects by PEGylation and self-protein decoration to support bio-inspired stealth [52, 95]. However, these modification cannot completely inhibit opsonizing proteins and the creation of a PC [110]. Furthermore, PEGylation of nanoparticles, which does increase half-life in circulation, has other associated challenges. PEGylation needs optimization in choosing specific PEG polymer and PEG density, and many people have anti-PEG antibodies in the blood, due to using PEG in commercial products, and such antibodies limit the efficacy of the PEG shield [111]. Coating of PVNPs with serum albumin can prevent antibody recognition without interfering with their uptake by macrophages [52]. However, the relationships are complex and the coating of TMV with serum albumin leads to rapid clearance of TMV in endolysosomal conditions that can have implications for cargo delivery [52, 112].

If the goal is to achieve long half-life in circulation, there are options that can be considered and further developed. For example, "don't-eat-me" or "marker of self" signals such as CD47 could be utilized on the PVNPs [89, 113], or using membranes from immune cells or red blood cells to coat loaded nanoparticles for systemic delivery [114]. However, the modifications may impact immune stimulation and associated efficacy. This very general challenge of rapid clearance of nanoparticles delivered IV, is reduced using ISV which delivers the reagent specifically to tumors. While it is more challenging to directly inject tumors that are deeper in the body, surgeons and interventional radiologists have the skill to utilize real time imaging and accurately inject into tumors almost anywhere in a patient.

Viruses of any sort are fundamentally engineerable reagents and the technology to engineer each virus will expand, opening new therapeutic strategies. Incorporating bioactivatable

aspects into rational design of novel viral reagents will provide flexibility and further expand therapeutic options [115]. Further, forward-looking researchers could employ directed evolution using mutagenesis strategies to generate virus libraries that meet a desired phenotypes for new viral variants addressing a user-defined goal. Bioinformatics analysis can be applied for alignment of different viral capsid genes/proteins sequences (through their phylogeny, genetic, and functional similarities), or protein structure which could generate viruses with novel properties, including new chimeric capsids [115]. Computer technologies such as machine learning and mathematical modeling systems could further improve the translation potential of PVNPs for clinical cancer immunotherapy.

Overall, PVNPs are highly flexible and manipulatable molecular machines that can be used to stimulate anti-tumor immune responses. Notably, they appear to stimulate a sustained immune response and created an immune memory in most mouse models.

While once they get to clinical trials, PVNP will be first tested in late stage patients that have failed prior therapy efforts. However, since the safety profile is so good, it is likely that PVNP-ISV will quickly move into utilization in early stage patients that may not have known metastatic disease. The ISV reaction that disrupts tumor-mediated immunosuppression and activates naïve or effector tumor-specific T cells occurs within a few days of intratumoral injection, so ISV with PVNP or other adjuvants could be done in the 1–2 weeks between pathologic diagnosis and surgery that most patients experience. This could safely stimulate antitumor immunity and associated expansion of tumor-recognizing T cells when the potential of metastasis exists but is not identified, which would open better treatment for many early stage cancer patients.

## 6. Conclusions

PVNPs are highly promising platforms for cancer immunotherapy because of unique physico-chemical and genetic properties that differentiate them from other naturally derived or synthetic nanoparticles. Many PVNPs have inherent immunostimulatory properties and preclinical studies have extensively demonstrated PVNPs as useful vehicles for delivery of tumor antigens and immune stimulatory molecules to DCs and other APCs. PVNP based immunotherapy as monotherapy can overcome tumor- immunosuppressive signals in TME. The intrinsic abilities of PVNPs to induce cellular immunity boosts the immunogenicity of poorly immunogenic tumors and thus, improves anti-tumor immune effects against previously “cold” tumors *in vivo*. Combination of PVNPs with chemotherapy and radiotherapy can potentiate anti-tumor responses by increasing immunogenic cell death and combination with checkpoint blockade increases the pool of tumor-recognizing T cells to improve efficacy. As interest in this field grows, the number of plant virus-based immunotherapies in pre-clinical trials will continue to expand and hopefully lead to clinical testing and approval for therapies using these reagents to improve cancer immunotherapy.

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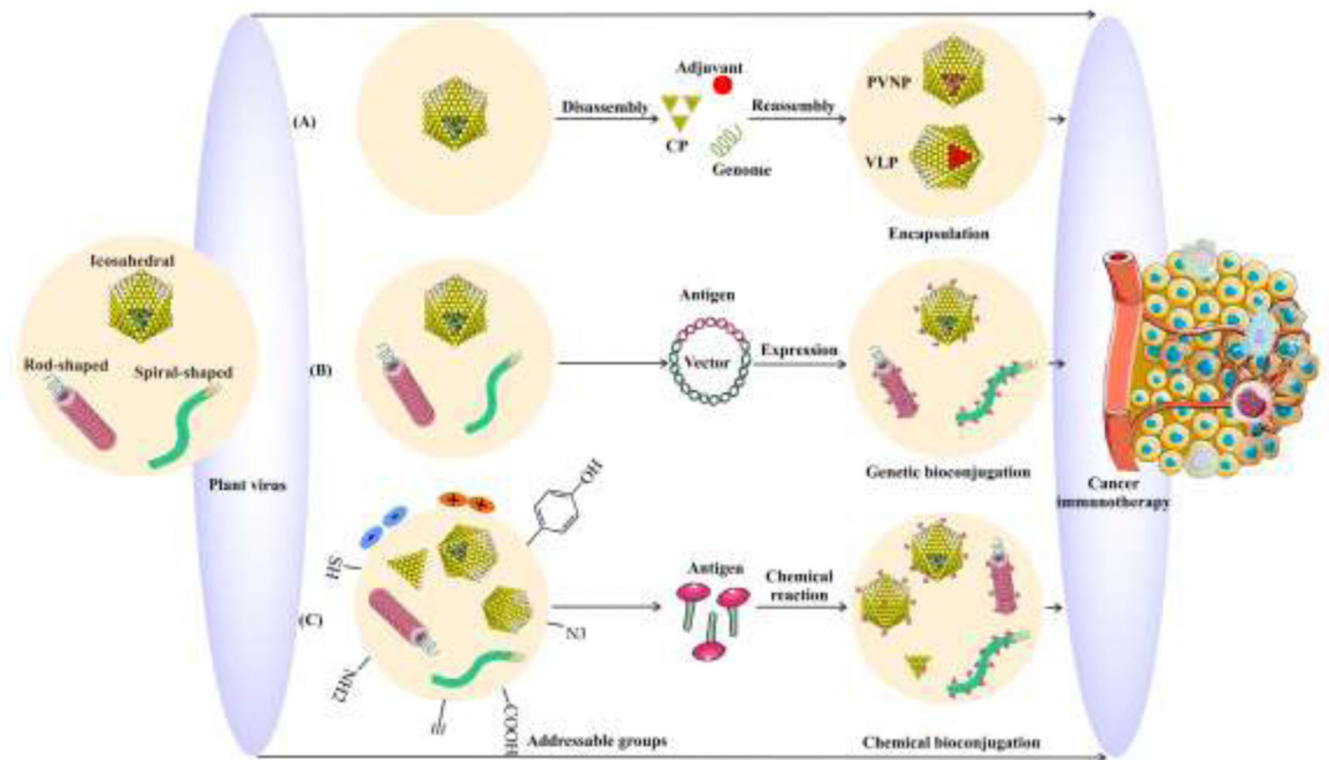
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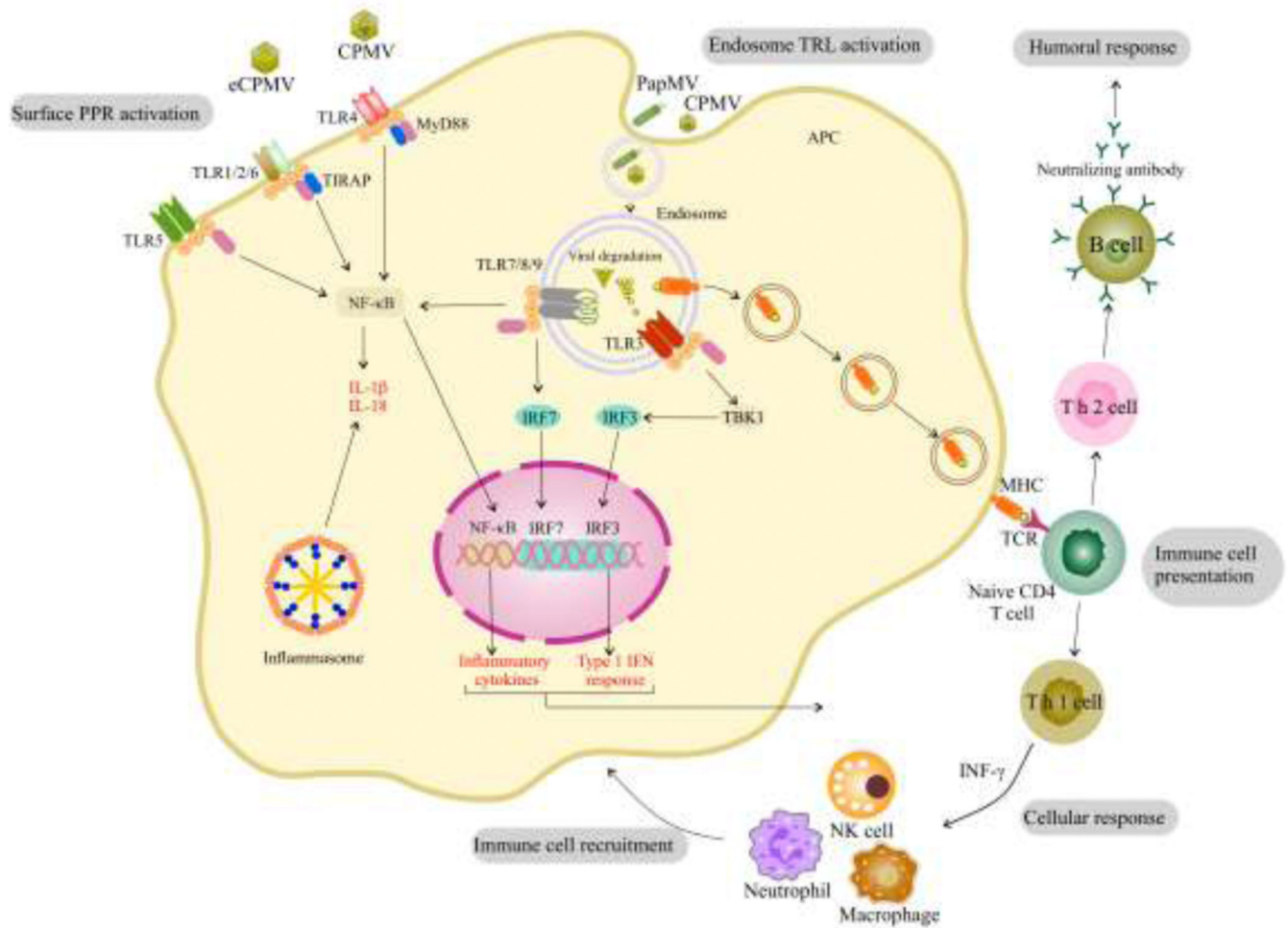
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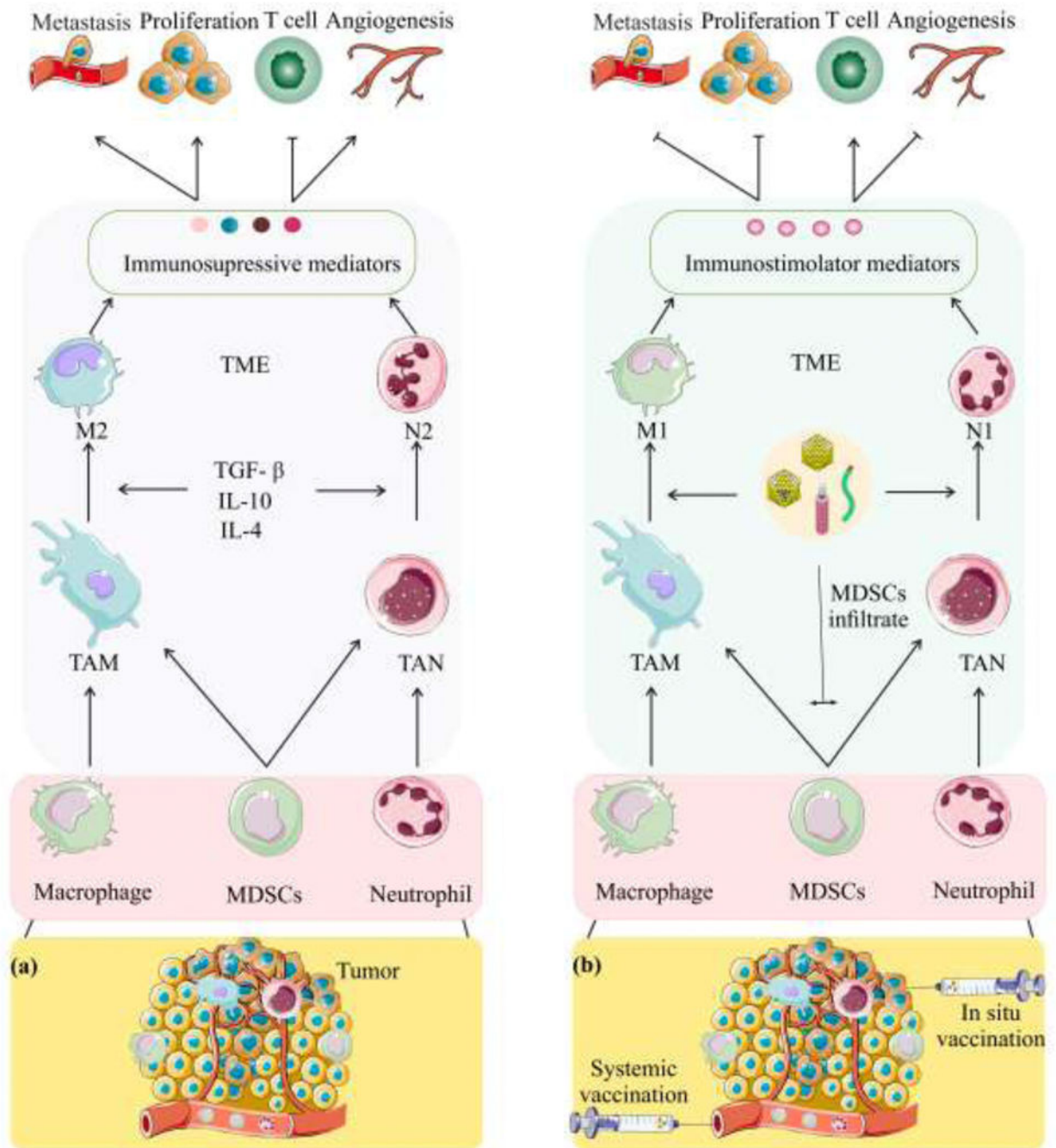
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**Fig. 1.** Diversity of plant virus-based modifications and formulations for cancer immunotherapy. **(A)** PVNPs disassembled and reassembled to encapsulate anti-tumor agents. **(B)** Genetic engineered plant viruses co-express selected peptides with the coat protein (CP) to produce a chimeric capsid. **(C)** Variable parameters including shape and size (different aspect ratio), charge, and surface addressable groups allow conjugation of functionalized immunogenic agents on PVNPs through surface chemistry reaction.



**Fig. 2.** Mechanisms by which PVNPs can activate an innate immune response. PVNPs can act as ligands for PRRs which activate transcription factors that activate the cell and induce the production of cytokines and chemokines, which help direct a particular immune response, such as a TH1 or TH2 type response, as well as influence the immune cells that are recruited to the site of injection. Inflammasome activation is associated with activation of some TLRs stimulated by PVNPs. These pathways also influence antigen presentation by MHC.



**Fig. 3.** PVNPs can regulate the tumor microenvironment (TME), and inhibit tumor growth (a) TME recruit and polarizes myeloid cells to become TAMs and TANs. TAMs can polarize to pro-tumor M2 or pro-tumor N2 and inhibit T-cell activation, and promote tumor growth, invasion and metastasis (b) systemic and in situ vaccination of PVNPs can polarize TAMs and TANs into pro-inflammatory M1 macrophages/N1 neutrophils and activate T-cell, and inhibit tumor growth, invasion and metastasis **Abbreviations:** TAMs, tumor-associated

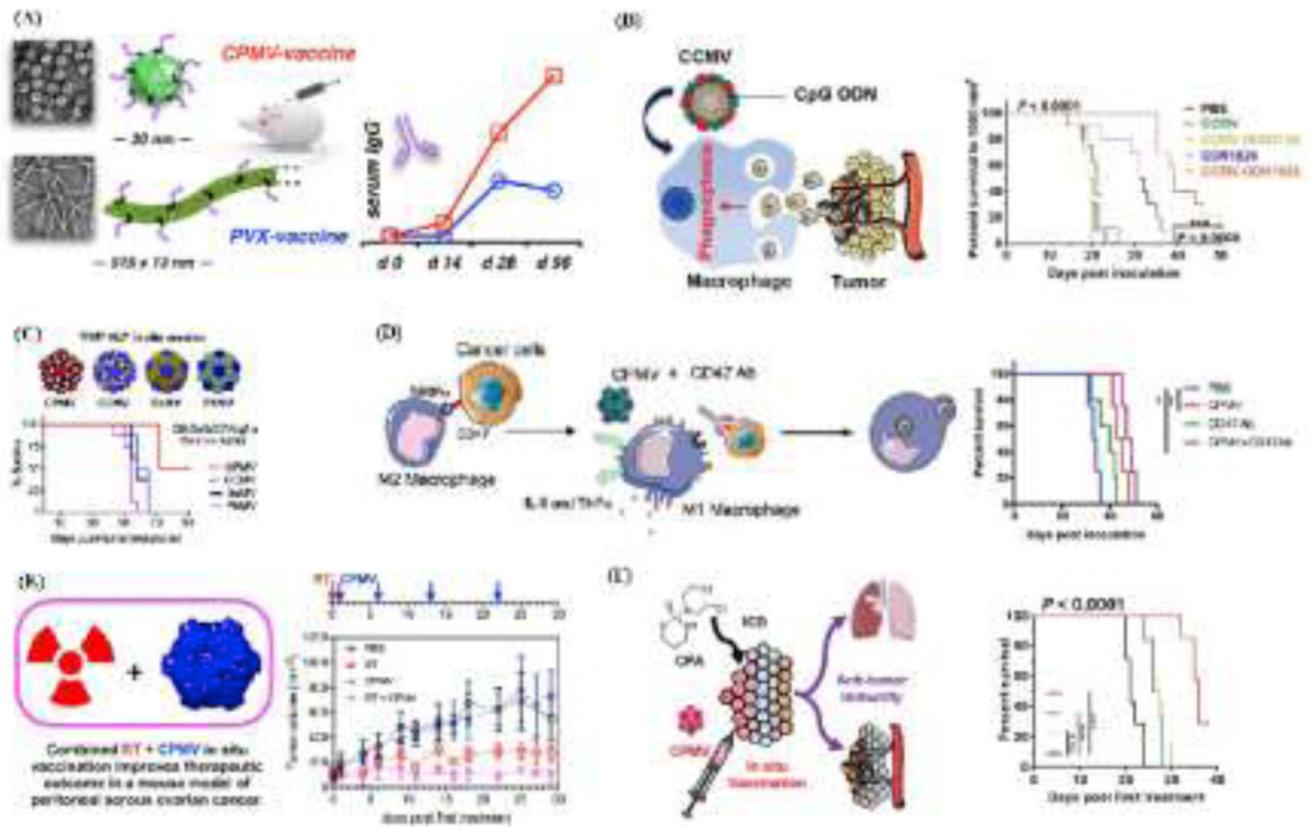
macrophages; TANs, tumor-associated neutrophils; TGF- $\beta$ , transforming growth factor  $\beta$ ; TME, tumor microenvironment; MDSCs, myeloid-derived suppressor cells.

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**Fig. 4.**

Examples of PVNP -based cancer immunotherapies. A) PVNP based vaccines; HER2 antigen conjugated to Cowpea mosaic virus (CPMV) and Potato virus x (PVX) nanoparticles resulted in higher antibody responses (image copywrite [58]). B) PVNP based stimulatory agents; CpG ODN1826 is encapsulated by the *in vitro* disassembly and reassembly of Cowpea chlorotic mottle virus (CCMV) and significantly enhances the efficacy of ODN1826, slowing tumor growth and prolonging survival in mouse models (image copyright [65]). C) PVNPs as monotherapy; *in situ* vaccination of PVNPs based CPMV, CCMV, Physalis mosaic virus (PhMV), Sesbania mosaic virus (SeMV) overcomes the local immunosuppression and stimulates a potent anti-tumor response in several mouse cancer models. PVNPs-based combination therapies leading to a potent anti-tumor immune response (image copyright [87]). D) CPMV *in situ* vaccination (ISV) and CD47-blocking antibodies (image copyright [89]). E) ISV of CPMV and radiation therapy (image copyright [66]). F) ISV of CPMV and chemotherapy agents (image copyright [35]).



**Table 1.**

A summary of multifunctional PVNPs for cancer immunotherapies

Immunotherapies functions	PVNP	Delivery agents	Tumor candidate	Function and major effect	Ref
<b>Vaccine delivery</b>	PVX	HPV-E7 antigen	Cervical cancers	Induce a humoral and cell immune response	[59, 60]
	PVX, CPMV, CCMV, SeMV, TMV	HER2 epitopes	Breast cancer	Production of HER2-specific antibodies in mice	[34, 58, 61, 62]
	CPMV	NYESO-1157–165	NY-ESO 1+malignancies	Uptake and activation of antigen presenting cells and stimulated a potent CD8 <sup>+</sup> T cell response in transgenic human HLA-A2 expressing mice	[63]
	PVX	Idiotypic (Id)	B-cell lymphoma	Induction of strong antibody response	[64]
	TMV	Peptide p15	Melanoma	Improve the survival in mouse model	[43]
<b>Adjuvant delivery</b>	CCMV	CpG	Colon cancer and Melanoma	Enhances the efficacy of CpG, slowing tumor growth in mouse models	[65]
<b>Monotherapy</b>	CPMV, TMV, PVX, AMV	No antigen	Melanoma, Breast, Glioma and Ovarian cancer	Anti tumor activity by innate and adaptive immune responses	[29, 35, 38, 45, 53, 66] [29] [67] [68]