

# Spatiotemporal Control of Noradrenaline-Dependent Synaptic Transmission in Mouse Dorsal Raphe Serotonin Neurons

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Activity of dorsal raphe neurons is controlled by noradrenaline afferents. In this brain region, noradrenaline activates  $G\alpha_q$ -coupled  $\alpha_1$ -adrenergic receptors ( $\alpha_1$ -A<sub>R</sub>), causing action potential (AP) firing and serotonin release. *In vitro*, electrical stimulation elicits vesicular noradrenaline release and subsequent activation of  $\alpha_1$ -A<sub>R</sub> to produce an EPSC ( $\alpha_1$ -A<sub>R</sub>-EPSC). The duration of the  $\alpha_1$ -A<sub>R</sub>-EPSC (~27 s) is much longer than that of most other synaptic currents, but the factors that govern the spatiotemporal dynamics of  $\alpha_1$ -A<sub>R</sub> are poorly understood. Using an acute brain slice preparation from adult male and female mice and electrophysiological recordings from dorsal raphe neurons, we found that the time course of the  $\alpha_1$ -A<sub>R</sub>-EPSC was slow, but highly consistent within individual serotonin neurons. The amount of noradrenaline released influenced the amplitude of the  $\alpha_1$ -A<sub>R</sub>-EPSC without altering the time constant of decay suggesting that once released, extracellular noradrenaline was cleared efficiently. Reuptake of noradrenaline via noradrenaline transporters was a primary means of terminating the  $\alpha_1$ -A<sub>R</sub>-EPSC, with little evidence for extrasynaptic diffusion of noradrenaline unless transporter-dependent reuptake was impaired. Taken together, the results demonstrate that despite slow intrinsic signaling kinetics, noradrenaline-dependent synaptic transmission in the dorsal raphe is temporally and spatially controlled and noradrenaline transporters are critical regulators of serotonin neuron excitability. Given the functionally distinct types of neurons intermingled in the dorsal raphe nucleus and the unique roles of these neural circuits in physiological responses, transporters may preserve independence of each synapse to encode a long-lasting but discrete signal.

**Key words:** dorsal raphe nucleus; GPCR; noradrenaline; serotonin; synaptic transmission; transporter

## Significance Statement

The dorsal raphe nucleus is the predominant source of serotonin in the brain and is controlled by another monoamine, noradrenaline. In this brain region, noradrenaline activates G-protein-coupled  $\alpha_1$ -adrenergic receptors ( $\alpha_1$ -A<sub>R</sub>) causing action potential (AP) firing and serotonin release. Despite high interest in pharmacotherapies to enhance serotonin signaling, the factors that govern noradrenaline  $\alpha_1$ -A<sub>R</sub> signaling have received little attention. Here, we show using mouse brain slices that the time course of  $\alpha_1$ -A<sub>R</sub> signaling is slow, persisting for tens of seconds. Despite slow intrinsic signaling kinetics, noradrenaline-dependent synaptic transmission in the dorsal raphe is controlled temporally and spatially by efficient noradrenaline transporter-dependent clearance of extracellular noradrenaline. Thus, noradrenaline transporters are critical regulators of serotonin neuron excitability.

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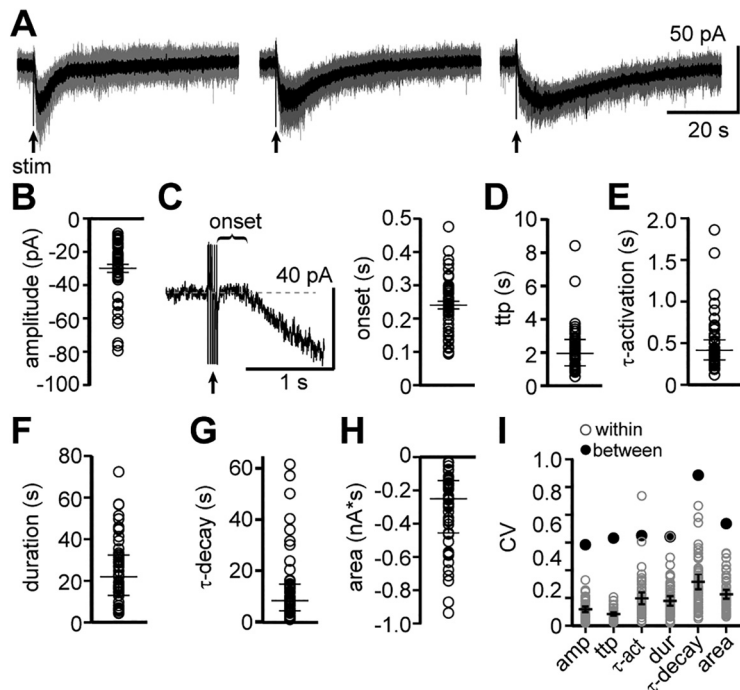
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## Introduction

The dorsal raphe nucleus is the central source of serotonin-containing neurons in the brain. It also contains a diverse array of non-serotonin neurons, including GABA, glutamate, dopamine, and peptide neurons (Huang et al., 2019). Neural activity of each population influences affective and social behaviors, reward processing, and energy balance, including feeding, arousal, and thermogenesis. *In vivo* studies using rodent models have shown that dorsal raphe neurons are controlled by noradrenaline afferents. In this brain region, noradrenaline activates  $G\alpha_q$ -coupled  $\alpha_1$ -adrenergic receptors ( $\alpha_1$ -A<sub>R</sub>), causing membrane depolarization,



**Figure 1.** Basic properties of the  $\alpha 1$ -AR-dependent EPSC are variable across neurons but highly consistent within a single neuron. **A**, Example traces of electrically evoked (arrow)  $\alpha 1$ -AR-EPSCs from three neurons (gray: five successive sweeps; black: averaged trace). **B**, Plot of the maximal amplitude of  $\alpha 1$ -AR-EPSCs. **C**, Left, Example trace of the delay between the end of the stimulus and the initiation of the  $\alpha 1$ -AR-EPSC (onset). Right, Plot of the onset of the  $\alpha 1$ -AR-EPSCs. **D**, Plot of the time-to-peak (ttp) of  $\alpha 1$ -AR-EPSCs. **E**, Plot of activation kinetics ( $\tau$ -activation) of  $\alpha 1$ -AR-EPSCs. **F**, Plot of the duration of  $\alpha 1$ -AR-EPSCs. **G**, Plot of decay kinetics ( $\tau$ -decay) of  $\alpha 1$ -AR-EPSCs. **H**, Plot of charge transfer (area, nA\*s) of  $\alpha 1$ -AR-EPSCs. **I**, Plot of coefficient of variation (CV) for each parameter in **B–G** (gray: CV for each sweep within a single neuron; black: CV for grouped data) demonstrating marked variability between neurons, but low variability within an individual neuron. Line and error bars in **B, D–I** represent median and quartiles, line and error bars in **C** represent mean  $\pm$  SEM;  $n = 51$ .

**Table 1. Characteristics of the  $\alpha 1$ -AR-EPSC in dorsal raphe neurons from male and female mice**

Sex	Male	Female
Amplitude (pA)	−27.6 (−20.5 to −39.4)	−24.3 (−15.9 to −33.3)
Onset (ms)	264.7 $\pm$ 16.3	225.2 $\pm$ 15.4
Time-to-peak (s)	<b>2.4 (1.9–3.0)</b>	<b>1.3 (1.2–2.5)</b>
Duration (s)	20.8 (15.7–32.4)	21.9 (6.7–33.9)
Area (pA*s)	−268.0 (−169.0 to −579.3)	−165.9 (−122.9 to −395.3)
$\tau$ -Activation (ms)	<b>468.9 (389.0–717.1)</b>	<b>341.4 (269.5–494.3)</b>
$\tau$ -Decay (s)	8.6 (5.9–17.3)	7.9 (2.4–13.9)

Median (quartiles), except onset which is mean  $\pm$  SEM.  
 $N = 22$  and  $28–29$  for males and females, respectively.  
 Bold type designates statistical significance.

action potential (AP) firing, and serotonin release (Baraban and Aghajanian, 1980a; Pudovkina et al., 2003). In the absence of noradrenaline, dorsal raphe serotonin neurons are either silent or fire slowly and erratically (Svensson et al., 1975; Baraban et al., 1978; Baraban and Aghajanian, 1980a,b). These studies indicate that  $\alpha 1$ -AR activation provides the necessary subthreshold depolarization to initiate tonic firing of APs. But the  $\alpha 1$ -AR are not typically maximally activated. *In vivo*, local application of noradrenaline increases the firing rate in the majority of dorsal raphe neurons (Couch, 1970; Baraban and Aghajanian, 1980a; Judge and Gartside, 2006) and the amounts of noradrenaline and serotonin in the dorsal raphe are positively correlated (Agren et al., 1986). Thus,  $\alpha 1$ -AR signaling is a critical bidirectional modulator of the excitability of dorsal raphe neurons and

the release of serotonin. Despite the prevalence of pharmacotherapies aimed at enhancing serotonin signaling (e.g., selective serotonin reuptake inhibitors; SSRIs) and an established role of  $\alpha 1$ -AR signaling in serotonin neuron excitability, the factors that govern  $\alpha 1$ -AR signaling have received little attention.

The synaptic connections between noradrenaline terminals and dorsal raphe neurons can be studied in detail *ex vivo*. In rodent dorsal raphe brain slices, electrical stimulation evokes AP-dependent, release of noradrenaline-filled vesicles (Yoshimura et al., 1985; Gantz et al., 2020). Subsequently, activation of  $\alpha 1$ -AR produces an EPSC ( $\alpha 1$ -AR-EPSC) via coupling to ionotropic  $\delta 1$  glutamate receptor (GluD1<sub>R</sub>) channels (Gantz et al., 2020). The duration of the  $\alpha 1$ -AR-EPSC in the dorsal raphe ( $\sim 27$  s) is much longer than that of most other synaptic currents (typically 3 ms to 1 s, Gantz et al., 2020), but it is not known how much of the duration is because of the lifetime of extracellular noradrenaline versus the downstream second messenger signaling cascade.

Here, we show that the kinetics of the  $\alpha 1$ -AR-EPSC are variable across neurons but highly consistent with each stimulation within an individual neuron. The  $\alpha 1$ -AR-EPSC was present in serotonin neurons, but not non-serotonin neurons, indicating selectivity in noradrenaline signaling. The amount of noradrenaline released influenced the amplitude of the  $\alpha 1$ -AR-EPSC without altering the time constant of decay, suggesting that once released, extracellular noradrenaline was cleared efficiently. Reuptake of noradrenaline via noradrenaline transporters and intrinsic second messenger signaling kinetics were the primary means of terminating the  $\alpha 1$ -AR-EPSC, with little evidence for extrasynaptic diffusion of noradrenaline unless transporter-dependent reuptake was impaired. Taken together, the results demonstrate that despite slow intrinsic signaling kinetics, noradrenaline-dependent synaptic transmission in the dorsal raphe is temporally and spatially controlled.

## Materials and Methods

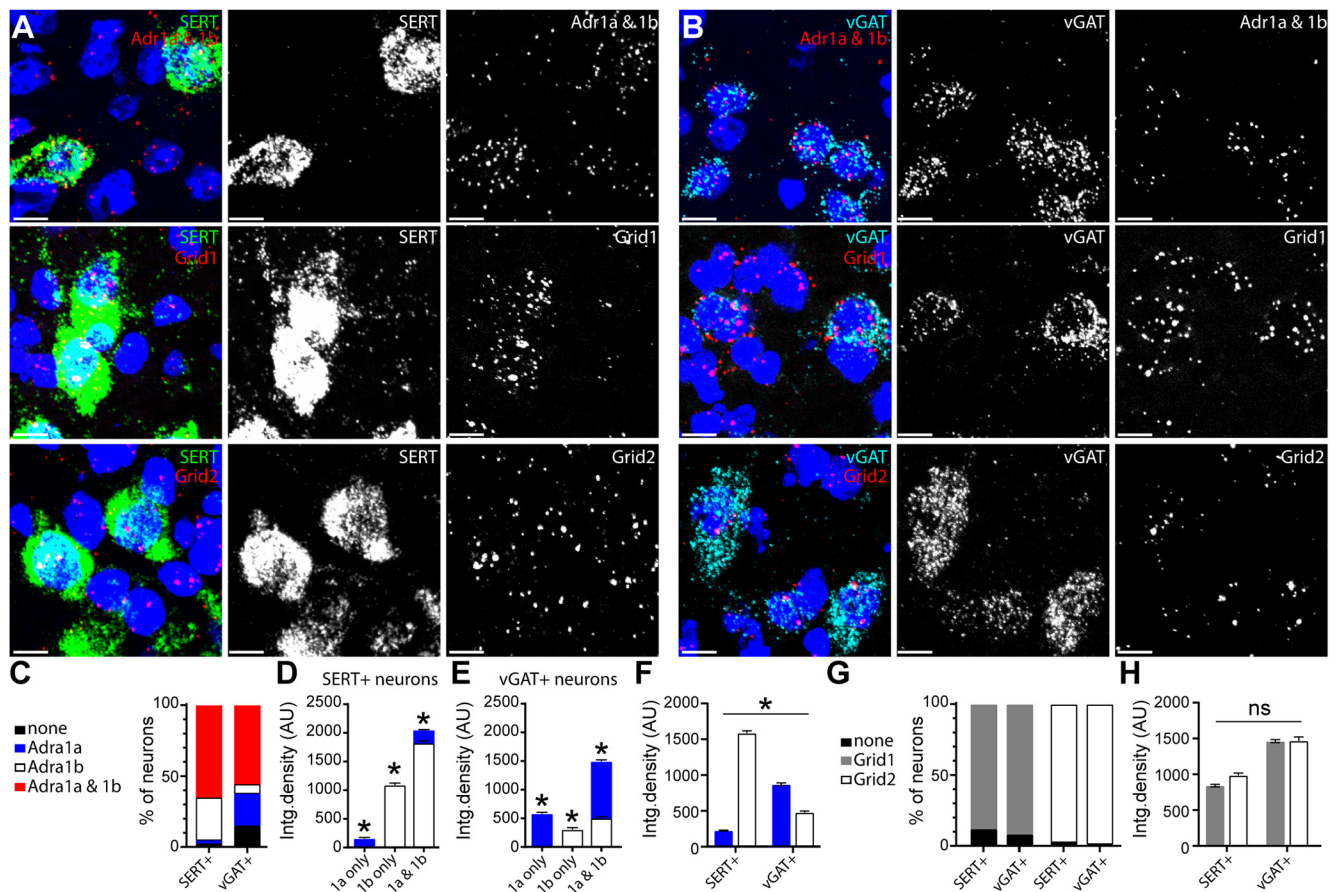
### Animals

All studies were conducted in accordance with and approval by the University of Iowa Institutional Animal Care and Use Committee or the National Institute on Drug Abuse Animal Care and Use Committee. Male and female wild-type C57BL/6J (more than two months old) mice were used. Mice were group-housed on a 12/12 h light/dark cycle.

### Brain slice preparation and electrophysiological recordings

Mice were deeply anesthetized with isoflurane and killed by decapitation. Brains were removed and placed in warmed modified Krebs' buffer containing the following: 126 mM NaCl, 2.5 mM KCl, 1.2 mM MgCl<sub>2</sub>, 1.2 mM CaCl<sub>2</sub>, 1.2 mM NaH<sub>2</sub>PO<sub>4</sub>, 21.5 mM NaHCO<sub>3</sub>, and 11 mM D-glucose with 5  $\mu$ M MK-801 to reduce excitotoxicity and increase slice viability, bubbled with 95/5% O<sub>2</sub>/CO<sub>2</sub>. In the same solution, coronal dorsal raphe slices (240  $\mu$ m) were obtained using a vibrating microtome (Leica VT1000S, Leica Biosystems) and incubated at 28°C for >30 min before recording.

Electrophysiological recordings were made at 35°C with Multiclamp 700B amplifiers (Molecular Devices), Digidata 1440A or 1550B A/D



**Figure 2.** Dorsal raphe serotonin and GABA neurons have mRNA for  $\alpha$ 1- $A_R$  and GluR channels. **A, B**, Representative maximum intensity projection images of fluorescent *in situ* hybridization (RNAscope) labeling Slc6a (left, SERT, green), Slc32a (right, vGAT, cyan), Adra1a and Adra1b (top, red), Grid1 (middle, red), and Grid2 (bottom, red) RNA transcript in serotonin neurons (**A**) and GABA neurons (**B**). Scale bars: 10  $\mu$ m. **C**, Percentage of serotonin (SERT+) and GABA (vGAT+) neurons that expressed only Adra1a, only Adra1b, both isoforms (1a and 1b), or did not express Adra1 mRNA above background values (none). **D**, Plot of the integrated density of Adra1 mRNA in SERT+ neurons that expressed Adra1a-only (blue,  $n = 41$ ), Adra1b-only (white,  $n = 616$ ), or expressed mRNA for both isoforms (1a and 1b,  $n = 1325$ ). Serotonin neurons that expressed 1a and 1b had higher total density of mRNA and serotonin neurons that expressed Adra1b-only had a higher density than Adra1a-only ( $p < 0.0001$  for all comparisons). **E**, Plot of the integrated density of Adra1 mRNA in vGAT+ neurons that expressed Adra1a-only (blue,  $n = 348$ ), Adra1b-only (white,  $n = 107$ ), or expressed mRNA for both isoforms (1a and 1b,  $n = 865$ ). GABA neurons that expressed 1a and 1b had higher total density of mRNA ( $p < 0.0001$ ) and GABA neurons that expressed Adra1a only had a higher density than Adra1b only ( $p = 0.03$ ). **F**, Plot of the integrated density of Adra1 and Adra1b mRNA in SERT+ and vGAT+ neurons showing a predominance of Adra1b in serotonin neurons and Adra1a in GABA neurons (two-way ANOVA, interaction,  $p < 0.0001$ ). **G**, Percentage of serotonin (SERT+) and GABA (vGAT+) neurons that expressed Grid1 or Grid2 mRNA or did not express either above background values (none). **H**, Plot of the integrated density of Grid1 and Grid2 mRNA in serotonin ( $n = 1284$  and 396) and vGAT+ ( $n = 2055$  and 202) neurons showing higher values of Grid1 and Grid2 in GABA neurons compared with serotonin neurons (two-way ANOVA, main effect of cell type,  $p < 0.0001$ ) but no bias in Grid1 or Grid2 predominance between the cell types (two-way ANOVA, interaction,  $p = 0.07$ ). Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance, and ns denotes not statistically significant.

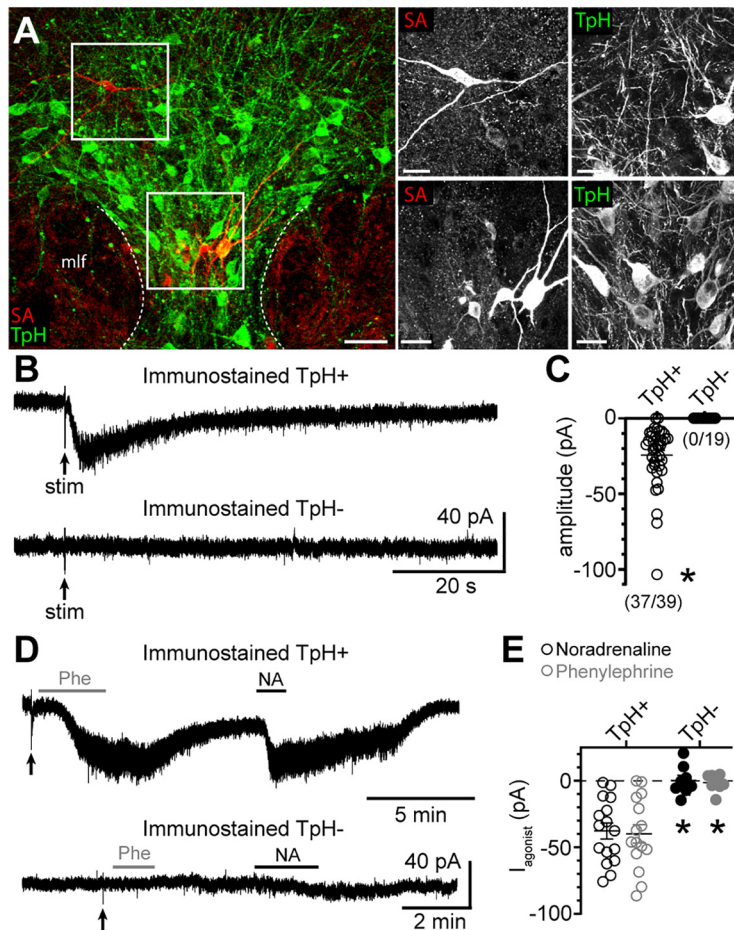
converter (Molecular Devices), and Clampex 10.4 or 11.1 software (Molecular Devices) with borosilicate glass electrodes wrapped with Parafilm to reduce pipette capacitance. Pipette resistances were 3.5–4.2 M $\Omega$  when filled with an internal solution containing 104.56 mM K-methyl sulfate, 3.73 mM KCl, 5.30 mM NaCl, 4.06 mM MgCl<sub>2</sub>, 4.06 mM CaCl<sub>2</sub>, 7.07 mM HEPES (K), 3.25 mM BAPTA(K4) generating predicted-free [Ca<sup>2+</sup>]<sub>i</sub> of  $\sim$ 180 nM (WebMaxC Standard), 0.26 GTP (sodium salt), 4.87 ATP (sodium salt), 4.59 creatine phosphate (sodium salt), pH 7.27–7.32 with KOH, mOsm  $\sim$ 270, for whole-cell patch-clamp recordings. Series resistance was monitored throughout the experiment. Reported voltages are corrected for a liquid junction potential of  $-8$  mV between the internal solution and external solution. All drugs were applied by bath application except noradrenaline, which was also iontophoretically applied ( $-200$ -nA backing current, 200-nA ejection current, 200 ms) onto the soma of the recorded neuron. Synaptic currents were evoked on 60- or 90-s intervals by applying brief pulses (0.5 ms, 60 Hz) of electrical stimulation to the brain slice via a borosilicate glass monopolar stimulating electrode (World Precision Instruments) placed within 200  $\mu$ m of the recorded neuron in the presence of NMDA (MK-801), AMPA/kainate (DNQX or NBQX, 3  $\mu$ M), GABA<sub>A</sub> (picrotoxin, 100  $\mu$ M), and 5-

HT1a (WAY-100635, 300 nM) receptor blockers to isolate the  $\alpha$ 1- $A_R$ -EPSC. Noradrenaline was applied in the presence of idazoxan (1  $\mu$ M). To evoke the maximal amplitude  $\alpha$ 1- $A_R$ -EPSCs, stimulation intensity was gradually increased until the amplitude did not increase further.

#### In situ hybridization

To examine the coexpression of Adra1a, Adra1b, Grid1, or Grid2 mRNA and either (SERT) or (vGAT) mRNA puncta in cell bodies in the dorsal raphe, mRNA was identified with RNAscope Fluorescent Multiplex Assay, a highly sensitive fluorescent *in situ* hybridization method (ACD Bio, Newark, CA). Commercially available probes were used for Adra1a (#408611), Adra1b (#413561), Slc6a4 (SERT; #315851), Slc32a1 (vGAT; #319191), Grid1 (#552311), and Grid2 (#512701). In brief, mice were anesthetized with isoflurane before decapitation. Brains were flash frozen in chilled 2-methyl-butane for 20 s, sectioned with a cryostat (16  $\mu$ m) onto SuperFrost+ slides and stored in  $-80^{\circ}\text{C}$ . Tissue was fixed in formalin, dehydrated with EtOH, and treated with a protease solution. Signals were amplified, washed, and stained with a DAPI solution for 20–30 s. Finished slides were cover-slipped and stored at 4 $^{\circ}\text{C}$ . Z-stack images were collected using an Olympus laser scanning confocal microscope (40 $\times$  objective)





**Figure 3.** The  $\alpha_1$ -AR-EPSC is readily recorded in serotonin, but not non-serotonin neurons in the dorsal raphe. **A**, Representative maximum intensity projection confocal images of Neurobiotin-filled dorsal raphe neurons immunostained for streptavidin (SA; red) and TpH (marker of serotonin neurons, green) showing identification of TpH+ and TpH– neurons. Scale bars: 50  $\mu$ m (left) and 20  $\mu$ m (right). **B**, Example traces of the  $\alpha_1$ -AR-EPSC recorded in a TpH+ neuron but not a TpH– neuron in response to the same electrical stimulation paradigm. **C**, Plot of the amplitude of the  $\alpha_1$ -AR-EPSC in Neurobiotin-filled TpH+ neurons. There was no inward current to electrical stimulation in TpH– neurons ( $p < 0.0001$ ). Numbers in parentheses indicate the number of neurons with an inward current to electrical stimulation over the total number of neurons assayed. **D**, Example traces of the inward currents induced by application of the  $\alpha_1$ -AR agonists phenylephrine (Phe) and noradrenaline (NA) in a TpH+ neuron, but not a TpH– neuron. **E**, Plot of the amplitude of the inward current induced by Phe and NA in Neurobiotin-filled TpH+ neurons. There were no inward currents to Phe or NA in TpH– neurons ( $p = 0.0008$  for each agonist). Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance.

**Table 2. Serotonin and non-serotonin neurons in the dorsal raphe exhibit many similar electrophysiological membrane properties**

Immunostained	TpH+	TpH–
Vr (mV)	$-81.0 \pm 2.2$	$-75.2 \pm 3.3$
Rm (m $\Omega$ )	$397.1 \pm 30.9$	$628.5 \pm 95.8$
tau (ms)	$22.5 \pm 1.2$	$27.4 \pm 2.9$
AP threshold (mV)	$-41.1 \pm 0.8$	$-44.8 \pm 2.3$
slope (mV/ms)	$0.7 \pm 0.4$	$1.8 \pm 1.6$

Properties measured were resting membrane potential (Vr), membrane resistance (Rm) determined by a voltage step from  $-65$  to  $-70$  mV, and the time constant of the membrane (tau) determined by fitting the hyperpolarization produced by negative current injection ( $-40$  pA) with a single exponential. APs were evoked with somatic current injection (150 pA) to determine AP threshold, and the interspike slope (measured in the middle 60% of the interspike interval). Mean  $\pm$  SEM.

$N = 27$ –44 and 10–19 for TpH+ and TpH– neurons, respectively.

within the next few days. Counts were made using Fiji software. Neurons were counted as positive for RNA expression if the fluorescent signal was greater than the mean  $\pm$  2 SDs of the background signal obtained from an extracellular area in the same brain slice.

### Immunohistochemistry and confocal microscopy

To determine tryptophan hydroxylase (TpH) expression in neurons, 0.1% of Neurobiotin (biotin ethylenediamine, hydrobromide; Biotium; Kita and Armstrong, 1991) was mixed into the internal solution and delivered via the glass patch-pipette. After recording, brain slices were fixed for 1 h at room temperature using 4% paraformaldehyde in PBS. Slices were washed three times (15 min) with PBS, permeabilized and blocked in 0.5% Triton X-100 and 10% donkey serum in PBS for 5 h. Afterwards, slices were incubated in rabbit anti-TpH antibody (1:1000, MilliporeSigma) overnight at room temperature, washed in PBS, and incubated in Alexa Fluor 488 donkey anti-rabbit secondary antibody (1:1000, Invitrogen) for 2 h at room temperature. Slices were then washed in PBS and incubated in Cy5-conjugated streptavidin (1:1000, Invitrogen) for 2 h at room temperature. Slices were again washed in PBS before being mounted with DAPI Fluoromount-G (Electron Microscopy Sciences). Fluorescent images were collected with a Zeiss 510 confocal laser scanning microscope with 10 $\times$  and 40 $\times$  objectives and processed using Fiji software.

### Materials

MK-801, DNQX/NBQX, nisoxetine, noradrenaline, picrotoxin, and WAY-100635 were from Tocris. All other materials were from Sigma-Aldrich.

### Experimental design and statistical analysis

Data were analyzed using Clampfit 11.1. To determine the rate of activation and decay, recordings were *post hoc* filtered (Gaussian low pass filter, 60 Hz) and the rising and falling phases were fit with a single exponential. Data are presented as representative traces, or in scatter plots where each point is an individual cell, and bar graphs with mean  $\pm$  SEM. Representative traces of synaptic currents are an average of two to five consecutive currents and are presented baselined, where the whole-cell current immediately before stimulation was set to 0 pA. Unless otherwise noted,  $n =$  number of cells as biological, not technical, replicates. Data sets with  $n > 30$  were tested for normality with a Shapiro–Wilk test. When possible (within-group comparisons), significant differences were determined for two group comparisons by paired  $t$  tests, Wilcoxon matched-pairs signed rank test, and in more than two group comparisons by nonparametric repeated-measures (RM) ANOVA (Friedman test). Significant mean differences in between-group comparisons were determined for two group comparisons by Mann–Whitney tests. ANOVAs were followed, when  $p < 0.05$  by Dunn's multiple comparisons *post hoc* test. A difference of  $p < 0.05$  was considered significant. Exact values are reported unless  $p < 0.0001$  or  $p > 0.999$ . Statistical analysis was performed using GraphPad Prism 8 (GraphPad Software).

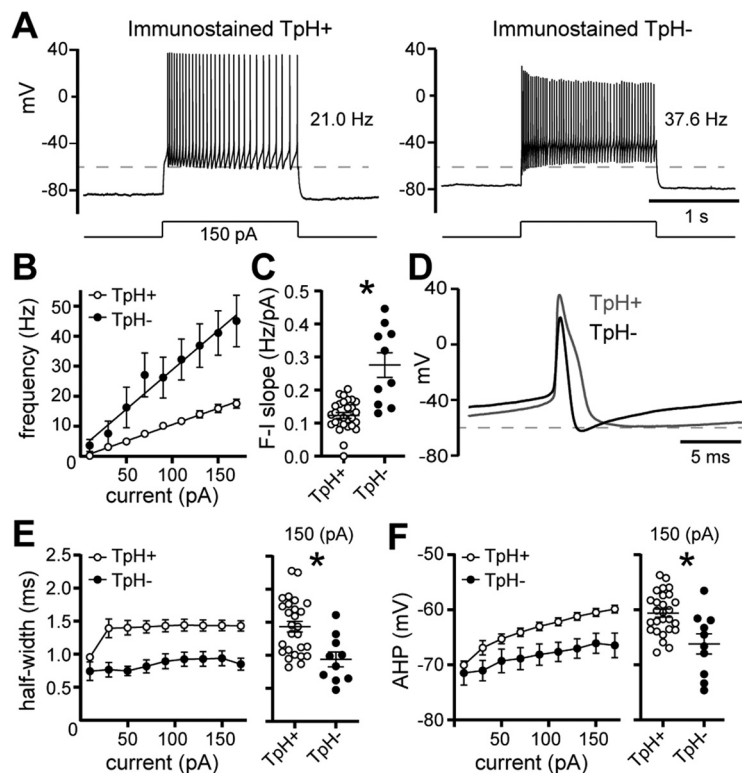
## Results

Whole-cell voltage-clamp recordings were made from dorsal raphe neurons in acute brain slices from wild-type mice at 35 $^{\circ}$ C in the presence of NMDA<sub>R</sub>, AMPA<sub>R</sub>, Kainate<sub>R</sub>, GABA-A<sub>R</sub>, and 5-HT1A<sub>R</sub> antagonists. A train of 5 electrical stimuli (60 Hz, delivered to the brain slice at 90-s intervals via a monopolar stimulating electrode placed within 200  $\mu$ m of the recorded neuron), produced an  $\alpha_1$ -AR-dependent EPSC ( $\alpha_1$ -AR-EPSC; Fig. 1A) as

previously described (Gantz et al., 2020). Figure 1A illustrates marked variability with respect to amplitude, time-to-peak, duration, charge transfer, and kinetics of the  $\alpha 1$ -A<sub>R</sub>-EPSC across neurons ( $n = 51$ ). The amplitude ranged from  $-9.0$  to  $-79.5$  pA (median:  $-24.6$  pA; Fig. 1B). There was a delay between the end of the stimulus and the onset of the  $\alpha 1$ -A<sub>R</sub>-EPSC ( $243 \pm 11$  ms; Fig. 1C). By comparison, this is  $>3\times$  and  $1.5\times$  slower than the onset of “slow” synaptic transmission mediated by dopamine D2 receptor-mediated and  $\alpha 2$ -adrenergic receptor-mediated activation of GIRK channels, respectively (Ford et al., 2009; Courtney and Ford, 2014). The  $\alpha 1$ -A<sub>R</sub>-EPSC peaked 1.9 s after the end of the stimulus (range of 0.6–8.4 s), with a time constant of activation ( $\tau$ -activation) of 417 ms (Fig. 1D,E). The  $\alpha 1$ -A<sub>R</sub>-EPSC lasted 4.4–72.3 s (median: 22 s), with a time constant of decay ( $\tau$ -decay) of 8.3 s (Fig. 1F,G). The total charge transfer was  $-30$  to  $-936$  pA\*s (median:  $-251$  pA\*s; Fig. 1H). Despite the marked variability of the  $\alpha 1$ -A<sub>R</sub>-EPSC across neurons, these parameters were highly consistent within individual neurons when  $\alpha 1$ -A<sub>R</sub>-EPSCs were evoked at 90-s intervals during a single recording (Fig. 1A,I). When the  $\alpha 1$ -A<sub>R</sub>-EPSCs in brain slices from male or female mice were compared, there were no significant differences except that the  $\tau$ -activation and time-to-peak were significantly faster in brain slices from female mice ( $\tau$ -activation:  $p = 0.006$ ; time-to-peak:  $p = 0.02$ ,  $n = 22$  and 28, Mann-Whitney tests; Table 1).

### Serotonin and GABA neurons contain mRNA for $\alpha 1$ -A<sub>R</sub> and GluD channels

The dorsal raphe contains a heterogeneous population of serotonin and non-serotonin (GABA and dopamine) neurons (Fu et al., 2010; Huang et al., 2019). The majority of noradrenaline terminals in the dorsal raphe form synaptic specializations onto serotonin neuron dendrites (Baraban and Aghajanian, 1981), but  $\alpha 1$ -A<sub>R</sub>-dependent excitation of non-serotonin neurons has not been ruled out. Indeed, bath application of an  $\alpha 1$ -A<sub>R</sub> agonist, phenylephrine, depolarizes serotonin and non-serotonin neurons in rat brain slices (Kirby et al., 2003). Therefore, to determine whether heterogeneity in the  $\alpha 1$ -A<sub>R</sub>-EPSC was explained by recording from both serotonin and GABA neurons, we first assayed for the presence of mRNA for  $\alpha 1$ -A<sub>R</sub> (Adra1a and/or Adra1b) in both populations of neurons using *in situ* hybridization with serotonin transporter (Slc6a4, SERT) and vesicular GABA transporter (Slc32a1, vGAT) mRNA as markers for serotonin and GABA neurons respectively (Fig. 2A,B). The data show that nearly all SERT+ (97%) and vGAT+ (85%) neurons contained mRNA for  $\alpha 1$ -A<sub>R</sub> (Fig. 2A–C). The majority of SERT+ (65%) and vGAT+ (55%) neurons contained mRNA for two  $\alpha 1$ -A<sub>R</sub> isoforms,  $\alpha 1A$ -A<sub>R</sub> and  $\alpha 1B$ -A<sub>R</sub> (Fig. 2C). Neurons that contained both isoforms had a higher density of total  $\alpha 1$ -A<sub>R</sub> mRNA when compared with neurons that contained only one isoform (one-way ANOVA: SERT+:  $F_{(2,1979)} = 141.5$ ,  $p < 0.0001$ , Tukey's *post hoc* test,  $p < 0.0001$ ;

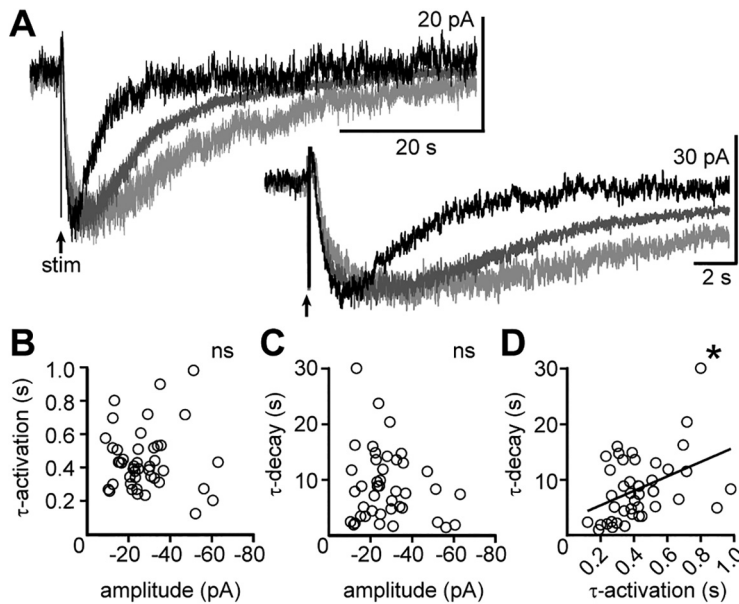


**Figure 4.** Serotonin neurons fire broad APs at slower rates than neighboring non-serotonin neurons in the dorsal raphe. **A**, Representative traces of whole-cell current-clamp recordings of APs evoked by current injection (150 pA, 1.5 s) in a serotonin neuron (left, TpH+) and non-serotonin neuron (TpH-, right). Dashed line is at  $-60$  mV. **B**, Plot of the frequency of AP firing versus injected current in TpH+ (white) and TpH- (black) neurons. Linear fit from 10 to 170 pA indicates mean F-I slope. **C**, F-I slopes for each neuron, determined by linear fit from the first increment of current injection to evoke APs to 170 pA, demonstrating the steeper F-I slope in TpH- neurons when compared with TpH+ neurons ( $p = 0.001$ ), but there was some overlap. **D**, Representative AP waveforms (average of the second through fifth AP) recorded in a TpH+ (gray) and TpH- (black) neuron. **E**, Left, Plot of the half-width of the AP versus injected current in TpH+ (white) and TpH- (black) neurons. Right, AP half-widths for each neuron evoked by 150-pA current injection, demonstrating that on average, TpH+ neurons fired broader APs than TpH- neurons ( $p = 0.003$ ). **F**, Left, Plot of the afterhyperpolarization of the second AP (AHP) versus injected current in TpH+ (white) and TpH- (black) neurons. Right, AHPs for each neuron evoked by 150-pA current injection, demonstrating that on average, TpH+ neurons had more depolarized AHPs than TpH- neurons ( $p = 0.009$ );  $n = 28$  and 10 for all comparisons. Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance.

vGAT+:  $F_{(2,1317)} = 148.2$ ,  $p < 0.0001$ , Tukey's *post hoc* test,  $p < 0.0001$ ; Fig. 2D,E). There was a distinction between SERT+ and vGAT+ neurons in the relative abundance of the two isoforms. Predominately, SERT+ neurons with only one isoform contained  $\alpha 1B$ -A<sub>R</sub> whereas vGAT+ neurons contained  $\alpha 1A$ -A<sub>R</sub> (Fig. 2C). Further, the abundance of  $\alpha 1B$ -A<sub>R</sub> mRNA was greater than  $\alpha 1A$ -A<sub>R</sub> in SERT+ neurons (Tukey's *post hoc* test,  $p < 0.0001$ ; Fig. 2D, F), whereas the abundance of  $\alpha 1A$ -A<sub>R</sub> mRNA was greater than  $\alpha 1B$ -A<sub>R</sub> in vGAT+ neurons (Tukey's *post hoc* test,  $p = 0.03$ ; Fig. 2E, F). These results indicate that both serotonin and GABA neurons may express  $\alpha 1$ -A<sub>R</sub>, with a bias in isoform predominance of  $\alpha 1B$ -A<sub>R</sub> in serotonin neurons and  $\alpha 1A$ -A<sub>R</sub> in GABA neurons (two-way ANOVA interaction,  $F_{(1,5488)} = 1238.0$ ,  $p < 0.0001$ ; Fig. 2F).

Next, we evaluated the presence of mRNA for the ion channel established to carry the ionic current (Gantz et al., 2020), the ionotropic  $\delta 1$  glutamate receptor channel (Grid1), as well as the related isoform  $\delta 2$  (Grid2) since both are found in the dorsal raphe (Hepp et al., 2015; Nakamoto et al., 2020). The data show that nearly all SERT+ and vGAT+ neurons contained comparable levels of mRNA for Grid1 and Grid2 (Fig. 2A,B,G,H). On average, the density of Grid1 and Grid2 mRNA was greater in vGAT+ neurons when compared with SERT+ neurons (two-





**Figure 5.** The amplitude of the  $\alpha_1$ -AR-EPSC does not determine the time course of signaling. **A**, Example traces of the  $\alpha_1$ -AR-EPSC in three different neurons demonstrating similar amplitudes but different decay kinetics. **B**, There was no correlation between amplitude of the  $\alpha_1$ -AR-EPSC and the activation kinetics ( $\tau$ -activation,  $p = 0.999$ ,  $n = 44$  pairs). **C**, There was no correlation between the amplitude of the  $\alpha_1$ -AR-EPSC and the decay kinetics ( $\tau$ -decay,  $p = 0.19$ ,  $n = 42$  pairs). **D**, There was a significant correlation between the activation and decay kinetics of the  $\alpha_1$ -AR-EPSC ( $p = 0.005$ ,  $n = 43$  pairs). Line represents best-fit line by linear regression for visual aid; \* denotes statistical significance, and ns denotes not statistically significant.

way ANOVA, main effect of cell type,  $F_{(1,3933)} = 210.2$ ,  $p < 0.0001$ ; Fig. 2H). There was no bias in isoform predominance of Grid1 and Grid2 mRNA that distinguished SERT+ from vGAT+ neurons (two-way ANOVA interaction,  $F_{(1,3933)} = 3.3$ ,  $p = 0.07$ ; Fig. 2H). Taken together, these data indicate that both serotonin and GABA neurons may express the receptor and channel necessary to produce an  $\alpha_1$ -AR synaptic current.

### Serotonin neurons have an $\alpha_1$ -AR-dependent EPSC

Next, we recorded from dorsal raphe neurons with an internal solution containing 0.1% Neurobiotin. After recording, the brain slices were immunostained for TpH, an enzyme required for the synthesis of serotonin (Fig. 3A). When selecting neurons, efforts were made to label neurons in the ventral-midline, dorsal-midline, and dorsal-lateral subregions of the dorsal raphe. Collectively, 44/63 neurons immunostained for TpH (TpH+), while 19/63 were TpH-. Of the TpH- neurons, 11/19 were found in the midline regions, while 8/19 were in the dorsal-lateral subregions. Consistent with previous reports in rats (Vandermaelen and Aghajanian, 1983; Li et al., 2001; Kirby et al., 2003; Marinelli et al., 2004; Calizo et al., 2011) and mice (Gocho et al., 2013), many of the electrophysiological membrane properties of TpH+ and TpH- neurons were similar (Table 2), but there were differences in AP firing. When APs were evoked with somatic current injection (20 pA increments, 1.5 s), on average, TpH- neurons fired at faster rates ( $p = 0.001$  with Mann-Whitney test; Fig. 4A–C) and had significantly narrower APs ( $p = 0.003$  with Mann-Whitney test; Fig. 4D,E) with larger afterhyperpolarizations ( $p = 0.009$  with Mann-Whitney test; Fig. 4D,F) than APs evoked in TpH+ neurons. Although there was some overlap between the two groups of neurons. However, when the brain slice was stimulated to evoke an  $\alpha_1$ -AR-EPSC, the  $\alpha_1$ -AR-EPSC was recorded consistently in TpH+

neurons (37/39), but not TpH- neurons (0/19; Fig. 3B,C). On average, the amplitude of the  $\alpha_1$ -AR-EPSC in Neurobiotin-filled TpH+ neurons (median  $-18.5$  pA) was comparable to those recorded with standard internal solution in Figure 1B ( $p = 0.09$  with Mann-Whitney test), making it unlikely that Neurobiotin interfered with detection of the  $\alpha_1$ -AR-EPSC. To determine whether non-serotonin neurons respond to exogenous  $\alpha_1$ -AR agonists, as reported in rat brain slices (Kirby et al., 2003), phenylephrine ( $3 \mu\text{M}$ ) and noradrenaline ( $10 \mu\text{M}$ ) were applied. In TpH+ neurons, both phenylephrine and noradrenaline produced a noisy inward current ( $n = 15$ –16; Fig. 3D,E), of comparable amplitude. In contrast, neither agonist produced an inward current in TpH- neurons ( $n = 8$ ; Fig. 3D,E). These data indicate that despite the presence of mRNA for the receptor and channel, GABA neurons are not depolarized by  $\alpha_1$ -AR activation. Thus, heterogeneity in the  $\alpha_1$ -AR-EPSC was not because of recording from serotonin and non-serotonin neurons but stems from another source from variability among the population of serotonin neurons.

### The presence of extracellular noradrenaline is controlled

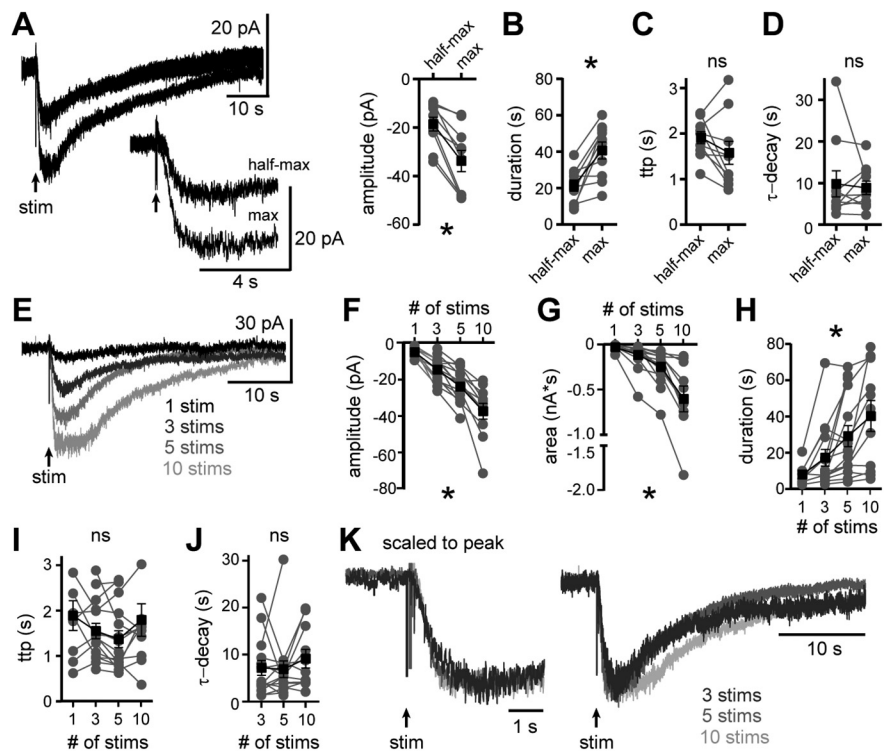
At some synapses, “spill-over” of neurotransmitter outside of the synaptic cleft produces variable and prolonged synaptic currents from activation of extrasynaptic receptors (Szabadics et al., 2007; Balakrishnan et al., 2009), particularly with repetitive stimulation producing neurotransmitter release at a rate that exceeds the clearance from the extracellular space (Scanziani, 2000). Spill-over of noradrenaline occurs at somatodendritic synapses in the locus coeruleus (Courtney and Ford, 2014). In this brain region, larger amplitude  $\alpha_2$ -adrenergic receptor-dependent synaptic currents are correlated with slower decay rates (Courtney and Ford, 2014). Therefore, initially we examined the relationship between the maximal amplitude and the time course of the  $\alpha_1$ -AR-EPSC across serotonin neurons. Figure 5A illustrates  $\alpha_1$ -AR-EPSCs recorded in three neurons that had similar amplitudes but different decay rates. The grouped data revealed no correlation in the amplitude of the  $\alpha_1$ -AR-EPSC and  $\tau$ -activation ( $p = 0.999$ ,  $r = -0.000$ , Spearman correlation; Fig. 5B), nor a correlation in amplitude and  $\tau$ -decay ( $p = 0.24$ ,  $r = -0.19$ , Spearman correlation; Fig. 5C). Instead, there was a positive correlation between  $\tau$ -activation and  $\tau$ -decay ( $p = 0.005$ ,  $r = 0.42$ , Spearman correlation; Fig. 5D). These data indicate that the time course of the  $\alpha_1$ -AR-EPSC was not determined by variability in the amount of noradrenaline released within the population of serotonin neurons. Therefore, we next tested how increasing the amount of neurotransmitter released alters the synaptic response within the same neuron.

Noradrenaline release was varied by changing the intensity of stimulation in the same neurons. First, a maximal amplitude  $\alpha_1$ -AR-EPSC was evoked, then the stimulation intensity was lowered; reducing the  $\alpha_1$ -AR-EPSC amplitude by  $43.5 \pm 1.6\%$  and decreasing the total duration ( $p = 0.002$  with Wilcoxon test for both comparisons,  $n = 10$ ; Fig. 6A,B). Despite the decrease in amplitude, the time-to-peak and  $\tau$ -decay did not change (time-to-peak:  $p = 0.13$ ;  $\tau$ -decay:  $p = 0.92$ , Wilcoxon tests,  $n = 10$ ; Fig. 6C,D). As a further test, noradrenaline release was varied by

changing the number of electrical stimuli delivered to the brain slice at maximal intensity, using either a single stimulus, or a train of 3, 5, or 10 stimuli (60 Hz) in the same neurons. In 9/15 neurons, a single stimulus was sufficient to evoke an  $\alpha_1$ -AR-EPSC ( $-4.6 \pm 0.3$  pA; Fig. 6E–I) that could be detected over baseline noise. On average, increasing the number of stimuli produced  $\alpha_1$ -AR-EPSCs of larger amplitude, longer duration, and thus greater total charge transfer (mixed model RM ANOVAs, amplitude:  $F_{(1,9,20,0)} = 50.1$ ,  $p < 0.0001$ ; duration:  $F_{(2,3,24,0)} = 12.4$ ,  $p = 0.0001$ ; charge:  $F_{(1,1,11,6)} = 20.2$ ,  $p = 0.0007$ ,  $n = 9$ –15; Fig. 6E–H). However, increasing the number of stimuli did not change the time-to-peak nor  $\tau$ -decay [mixed model RM ANOVAs, time-to-peak:  $F_{(0,4,4,7)} = 1.4$ ,  $p = 0.23$  (Fig. 6I);  $\tau$ -decay:  $F_{(1,8,21,9)} = 0.9$ ,  $p = 0.41$  (Fig. 6J)]. When scaled to the peak amplitude, the  $\alpha_1$ -AR-EPSCs evoked by 3, 5, or 10 stimuli were similar (Fig. 6K). When the number of electrical stimuli was varied using half-maximal intensity, similar results were obtained. A single stimulus was sufficient to evoke an  $\alpha_1$ -AR-EPSC in 5/10 neurons. Increasing the number of stimuli produced  $\alpha_1$ -AR-EPSCs of larger amplitude, longer duration, and thus greater total charge transfer (mixed model RM ANOVAs, amplitude:  $F_{(1,2,8,6)} = 37.2$ ,  $p = 0.0002$ ; duration:  $F_{(1,9,14,1)} = 19.4$ ,  $p = 0.0001$ ; charge:  $F_{(1,1,8,0)} = 20.6$ ,  $p = 0.0017$ ,  $n = 5$ –10), but did not change the time-to-peak nor  $\tau$ -decay (mixed model RM ANOVAs, time-to-peak:  $F_{(1,1,8,3)} = 0.2$ ,  $p = 0.72$ ;  $\tau$ -decay:  $F_{(1,9,17,2)} = 2.4$ ,  $p = 0.12$ ). Thus, the amount of noradrenaline released influences the amplitude of the  $\alpha_1$ -AR synaptic response without affecting the rates of current activation and decay. These data suggest extracellular noradrenaline is likely cleared from the synaptic cleft before the peak of the  $\alpha_1$ -AR-EPSC ( $\sim 2$  s from stimulation), otherwise the rates of  $\alpha_1$ -AR-EPSC activation and decay would be increased by repetitive stimuli.

### Noradrenaline transporters limit the duration of the $\alpha_1$ -AR synaptic current

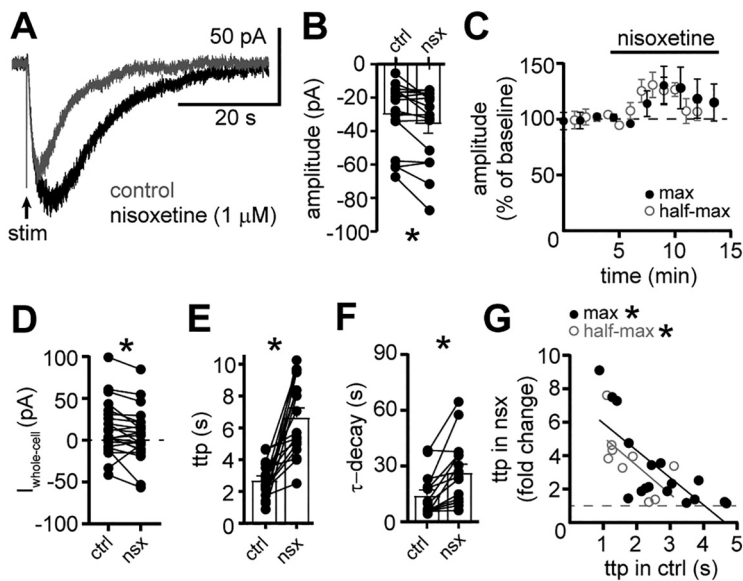
The presence of extracellular neurotransmitter is often limited by efficient transporter-dependent reuptake. Indeed, the nonselective monoamine transporter blocker, cocaine, prolongs the  $\alpha_1$ -AR-dependent synaptic response without increasing the amplitude (Oleskevich and Williams, 1995). In the present study, blocking reuptake with a noradrenaline transporter inhibitor, nisoxetine (1  $\mu$ M) increased the amplitude of the maximal  $\alpha_1$ -AR-EPSC by  $\sim 35\%$  (evoked by a train of five stimuli at 60 Hz,  $p = 0.04$  with Wilcoxon test,  $n = 17$ ; Fig. 7A–C), but the increase was transient (Fig. 7C) and accompanied by a small, tonic inward current ( $-7.3 \pm 2.9$  pA,  $p = 0.01$  with Wilcoxon test,  $n = 23$ ; Fig. 7D). Nisoxetine produced a more substantial and persistent change in the activation and decay rates. Blocking reuptake delayed the



**Figure 6.** Increasing the amount of noradrenaline released increases the amplitude of the  $\alpha_1$ -AR-EPSC without changing the kinetics of signaling. **A**, By adjusting the stimulation intensity, maximal and half-maximal  $\alpha_1$ -AR-EPSCs were evoked (arrow) shown in representative traces (left, inset below shows the same trace on an expanded timescale) and grouped data (right,  $p = 0.002$ ). **B**, Increasing the stimulation intensity increased the duration of the  $\alpha_1$ -AR-EPSC ( $p = 0.002$ ). **C**, Increasing the stimulation intensity did not change the time-to-peak of the  $\alpha_1$ -AR-EPSC (ttp,  $p = 0.13$ ), nor (**D**) the decay rate of the  $\alpha_1$ -AR-EPSC ( $\tau$ -decay,  $p = 0.92$ ). **E**, Example traces of electrically evoked (arrow)  $\alpha_1$ -AR-EPSCs from a single neuron using a single or train of electrical stimuli delivered at 60 Hz (1, 3, 5, or 10 stimuli). **F–H**, Increasing the number of electrical stimuli increased the (**F**) amplitude ( $p < 0.0001$ ), (**G**) area ( $p = 0.0007$ ), and (**H**) duration of the  $\alpha_1$ -AR-EPSC ( $p = 0.001$ ). **I**, Increasing the number of electrical stimuli did not change the time-to-peak of the  $\alpha_1$ -AR-EPSC (ttp,  $p = 0.23$ ). **J**, Increasing the number of electrical stimuli did not change the decay kinetics of the  $\alpha_1$ -AR-EPSC ( $\tau$ -decay,  $p = 0.41$ ). The  $\alpha_1$ -AR-EPSCs evoked by a single stimulus were too small for accurate exponential fits and thus excluded from  $\tau$ -decay analysis. **K**, Example traces of  $\alpha_1$ -AR-EPSCs evoked by 3, 5, or 10 stimuli in the same neuron, scaled to the peak and superposed. **F–J**, Mixed model RM ANOVA for all comparisons,  $n = 9$ –15. Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance, and ns denotes not statistically significant.

time-to-peak by  $4.0 \pm 0.7$  s ( $p < 0.0001$  with Wilcoxon test,  $n = 17$ ; Fig. 7A,E,G) and prolonged the  $\tau$ -decay by  $12.3 \pm 3.1$  s ( $p = 0.0002$  with Wilcoxon test; Fig. 7A,F). Similar results were obtained when half-maximal  $\alpha_1$ -AR-EPSCs ( $49.6 \pm 8.3\%$  of max,  $n = 9$ ) were evoked. Nisoxetine increased the amplitude of the half-maximal  $\alpha_1$ -AR-EPSC ( $p = 0.009$  with Wilcoxon test) to a similar extent as the maximal  $\alpha_1$ -AR-EPSC (37%; Fig. 7C). There was also a significant increase in the time-to-peak ( $3.7 \pm 0.7$  s,  $p = 0.004$  with Wilcoxon test,  $n = 9$ ) and the  $\tau$ -decay of the half-maximal  $\alpha_1$ -AR-EPSC ( $32.1 \pm 12.8$  s,  $p = 0.004$  with Wilcoxon test,  $n = 9$ ). There was a disproportionate increase in the time-to-peak of  $\alpha_1$ -AR-EPSC, such that  $\alpha_1$ -AR-EPSCs with faster activation rates were more affected by reuptake blockade than those with slower activation rates (Spearman correlations, maximal:  $r = -0.70$ ,  $p = 0.002$ ,  $n = 17$ , half-maximal:  $r = -0.73$ ,  $p = 0.03$ ,  $n = 9$ ; Fig. 7G). Taken together, the results indicate that reuptake of noradrenaline via transporters limits the duration of the  $\alpha_1$ -AR-EPSC and the efficiency of reuptake may be a factor that contributes to the heterogeneity in the time course of signaling.

To determine whether extracellular noradrenaline was also cleared via passive diffusion, we tested the effect of extracellular dextran (5%, Molecular Weight: 35–45 kDa), which by



**Figure 7.** Clearance of noradrenaline by transporter-dependent reuptake limits the duration of the  $\alpha 1$ -A<sub>R</sub>-EPSC. **A**, Example traces of the  $\alpha 1$ -A<sub>R</sub>-EPSC in control conditions (gray) and nisoxetine (1  $\mu$ M, black). **B**, Plot of the amplitude of the  $\alpha 1$ -A<sub>R</sub>-EPSC in control conditions (ctrl) and nisoxetine (nsx;  $p = 0.04$ ,  $n = 17$ ). **C**, Plot of the normalized amplitude of the maximal and half-maximal  $\alpha 1$ -A<sub>R</sub>-EPSCs versus time demonstrating the transient increase by nisoxetine. **D**, Plot of the whole-cell current in control conditions (ctrl) and nisoxetine (nsx;  $p = 0.01$ ,  $n = 23$ ). **E**, Plot of the time-to-peak (ttp) of the  $\alpha 1$ -A<sub>R</sub>-EPSC in control conditions (ctrl) and nisoxetine (nsx;  $p < 0.0001$ ,  $n = 17$ ). **F**, Plot of the  $\tau$ -decay of the  $\alpha 1$ -A<sub>R</sub>-EPSC in control conditions (ctrl) and nisoxetine (nsx;  $p = 0.0002$ ,  $n = 15$ ). **G**, There were significant correlations between time-to-peak of the  $\alpha 1$ -A<sub>R</sub>-EPSC in control conditions (ctrl) and the increase in the time-to-peak of the maximal (black) and half-maximal (gray)  $\alpha 1$ -A<sub>R</sub>-EPSC in nisoxetine (nsx), shown as a fold-change (maximal:  $r = -0.70$ ,  $p = 0.002$ ,  $n = 17$  pairs; half-maximal:  $r = -0.73$ ,  $p = 0.03$ ,  $n = 9$ , Spearman correlations). Solid lines represent best-fit lines by linear regression. Dashed line demarks no change in time-to-peak. Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance.

macromolecular crowding slows diffusion of neurotransmitter in brain slices as a function of distance (Ford et al., 2010; Courtney and Ford, 2016). To determine how impairing diffusion impacts the time course of the  $\alpha 1$ -A<sub>R</sub>-dependent current, noradrenaline was focally applied  $\sim 5 \mu$ m from the soma via a high-resistance pipette and iontophoresis (200 ms). Iontophoretically applied noradrenaline (delivered to the brain slice at 90-s intervals 90 s) reliably produced an inward current ( $I_{NA}$ ,  $-30.1 \pm 4.6$  pA; Fig. 8A,B). Relative to the  $\alpha 1$ -A<sub>R</sub>-EPSC ( $243 \pm 11$  ms; Fig. 1C), the onset of  $I_{NA}$  was markedly slower ( $444.9 \pm 22.3$  ms,  $p < 0.0001$ ; Fig. 8A,C). Restricting diffusion with extracellular dextran reduced the amplitude of  $I_{NA}$  ( $p = 0.02$ ; Fig. 8A, B,E) and delayed the onset by  $254 \pm 55.6$  ms ( $p = 0.02$ ; Fig. 8A,C). Dextran had no effect on  $\tau$ -decay of  $I_{NA}$  ( $p = 0.94$ , Fig. 8D; Wilcoxon tests,  $n = 7$  for each comparison), consistent with  $\tau$ -decay determined primarily by transporter-dependent clearance and the rate of intrinsic second messenger signaling. In contrast to  $I_{NA}$ , dextran had no effect on the amplitude of the  $\alpha 1$ -A<sub>R</sub>-EPSC ( $p = 0.41$ ,  $n = 22$ ; Fig. 8E,F), nor the onset ( $p = 0.22$ ,  $n = 13$ ; Fig. 8G). These data indicate that diffusion over extended distance is not required for afferent-derived noradrenaline to activate postsynaptic  $\alpha 1$ -A<sub>R</sub>. Further, passive diffusion is not a primary mechanism by which extracellular noradrenaline is cleared. Unlike reuptake blockade, dextran also had no effect on  $\tau$ -decay of the  $\alpha 1$ -A<sub>R</sub>-EPSC ( $p = 0.77$ ,  $n = 22$ , Wilcoxon tests; Fig. 8H). However, when reuptake was impaired by nisoxetine, then dextran reduced the amplitude of the  $\alpha 1$ -A<sub>R</sub>-EPSC ( $p = 0.02$  with Wilcoxon test,  $n = 8$ ; Fig. 8I). There was no change in the onset ( $p = 0.88$  with Wilcoxon test,  $n = 6$ ; Fig. 8J). Together, these data indicate that under

conditions of reuptake blockade, afferent-derived noradrenaline can diffuse over extended distance to prolong the time course of the  $\alpha 1$ -A<sub>R</sub>-EPSC. Interestingly,  $\tau$ -decay remained significantly prolonged in nisoxetine and dextran ( $p = 0.38$  with Wilcoxon test,  $n = 7$ ; Fig. 8K). It may be that dextran incompletely prevented extended diffusion, which was observed for  $I_{NA}$ , or nisoxetine also prolonged the lifetime of noradrenaline in the synaptic cleft. We also examined the role of enzymatic degradation of noradrenaline by catechol-O-methyltransferase (COMT). Acute inhibition of COMT with OR-486 (1  $\mu$ M) increased the amplitude of the  $\alpha 1$ -A<sub>R</sub>-EPSC by  $44.9 \pm 18.5\%$  ( $p = 0.049$ ) without changing  $\tau$ -activation ( $p = 0.92$ ), time-to-peak ( $p = 0.91$ ), or  $\tau$ -decay ( $p = 0.77$ , Wilcoxon tests,  $n = 10$  for each comparison, data not illustrated). Thus, enzymatic degradation of noradrenaline does not influence the time course of the  $\alpha 1$ -A<sub>R</sub>-EPSC. Taken together, the results indicate that the activation of  $\alpha 1$ -A<sub>R</sub> is limited primarily by noradrenaline transporter-dependent clearance of noradrenaline with little role of extrasynaptic diffusion unless the primary mode of clearance is impaired.

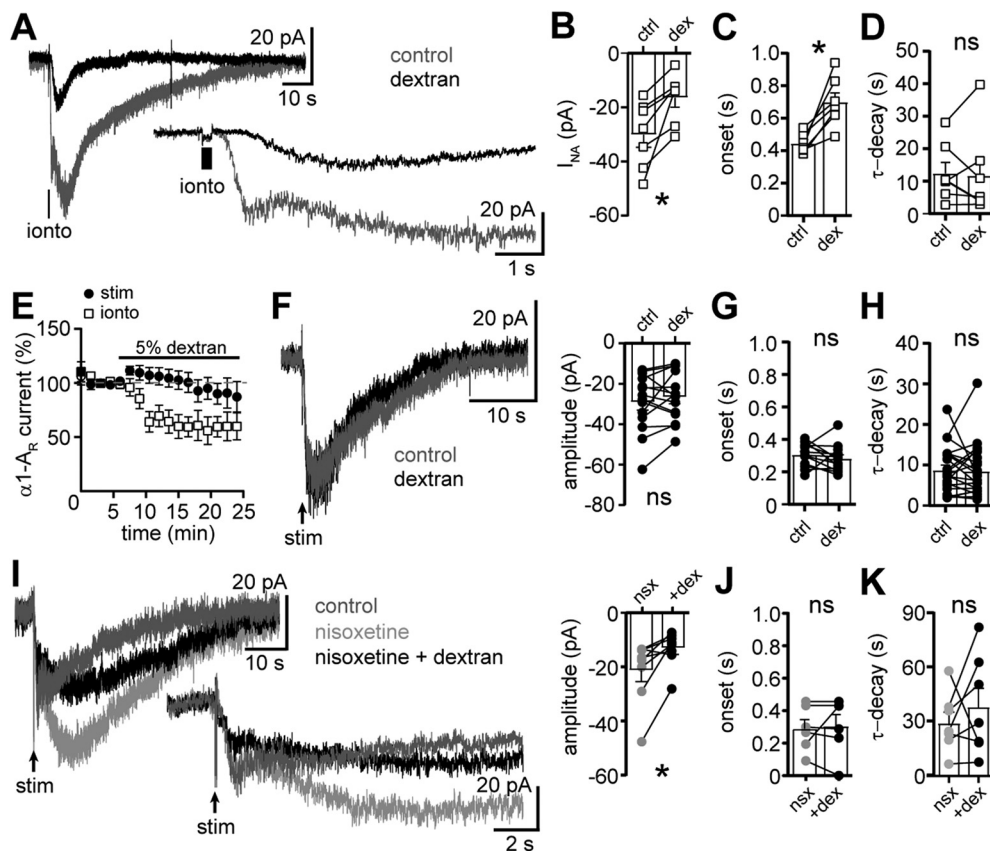
## Discussion

### Spatiotemporal control of noradrenaline in the dorsal raphe

At many synapses, spill-over of neurotransmitter outside of the synaptic cleft extends the action of neurotransmitter in time and space (Isaacson et al., 1993; Otis et al., 1996; Min et al., 1998; Carter and Regehr, 2000; Scanziani, 2000; Beenhakker and Huguenard, 2010). Spill-over can lead to pooling of neurotransmitter from neighboring presynaptic release sites (Otis et al., 1996; Balakrishnan et al., 2009), and activation extrasynaptic receptors (Isaacson et al., 1993; Scanziani, 2000; Szapiro and Barbour, 2009). In some instances, receptors are only located at extrasynaptic sites and spill-over is required for their activation and the generation of the postsynaptic response (Otis and Mody, 1992; Beenhakker and Huguenard, 2010). When spill-over is required, neurotransmitter release must often be evoked by trains of stimuli, such that extracellular neurotransmitter overwhelms the clearance mechanisms. In contrast, in the majority of recordings here, a single stimulus was sufficient to evoke the  $\alpha 1$ -A<sub>R</sub>-EPSC. Moreover, impairing diffusion of noradrenaline from the release site with dextran had no effect on the  $\alpha 1$ -A<sub>R</sub>-EPSC. These results are consistent with ultrastructural evidence for direct innervation of noradrenaline axon terminals onto serotonin neuron dendrites (Baraban and Aghajanian, 1981), suggesting that spill-over of noradrenaline to extrasynaptic sites is not required for the generation of the  $\alpha 1$ -A<sub>R</sub>-EPSC.

More commonly, spill-over of neurotransmitter activates both synaptic and extrasynaptic receptors. Spill-over not only prolongs synaptic currents mediated by ionotropic receptors, but also prolongs synaptic currents mediated by G-protein-coupled receptors despite their slow signaling kinetics (Isaacson et al., 1993; Scanziani, 2000; Beenhakker and Huguenard, 2010; Courtney and Ford, 2016). In the locus coeruleus, spill-over of noradrenaline shapes the time course of  $\alpha 2$ -adrenergic receptor-





**Figure 8.** Slowing diffusion of noradrenaline does not affect the  $\alpha_1$ -AR-EPSC unless clearance via transporters is impaired. **A**, Example traces of the inward current produced by focal iontophoretic application of noradrenaline (ionto, 200 ms) in control conditions (gray) and in 5% dextran (black). Inset below shows the same traces on an expanded timescale. **B**, Plot of the amplitude of the noradrenaline-induced inward current ( $I_{NA}$ ) in control conditions (ctrl) and in dextran (dex,  $p = 0.02$ ,  $n = 7$ ). **C**, Plot of the onset of  $I_{NA}$  in control conditions and dextran ( $p = 0.02$ ,  $n = 7$ ). **D**, Plot of the  $\tau$ -decay of  $I_{NA}$  in control conditions and dextran ( $p = 0.94$ ,  $n = 7$ ). **E**,  $\alpha_1$ -AR current was produced every 90 s by iontophoretic application of noradrenaline (ionto,  $I_{NA}$ ) or electrical stimulation (stim,  $\alpha_1$ -AR-EPSC). Dextran reduced the amplitude of  $I_{NA}$  but not the  $\alpha_1$ -AR-EPSC. **F**, Dextran had no effect on the amplitude of the  $\alpha_1$ -AR-EPSC shown in representative traces of the in control conditions (gray) and in 5% dextran (black; left) and grouped data (right,  $p = 0.41$ ,  $n = 22$ ). **G**, Plot of the onset of the  $\alpha_1$ -AR-EPSC in control conditions and dextran ( $p = 0.22$ ,  $n = 13$ ). **H**, Plot of the  $\tau$ -decay of the  $\alpha_1$ -AR-EPSC in control conditions and dextran ( $p = 0.77$ ,  $n = 22$ ). **I**, left, Example traces of the  $\alpha_1$ -AR-EPSC in control conditions (dark gray), nioxetine (1  $\mu$ M, light gray), and nioxetine with dextran (black). Inset below shows the same traces on an expanded timescale. Right, Plot of the amplitude of the  $\alpha_1$ -AR-EPSC in nioxetine (nsx) and nioxetine with dextran (+dex;  $p = 0.02$ ,  $n = 8$ ). **J**, Plot of the onset of the  $\alpha_1$ -AR-EPSC in nioxetine (nsx) and nioxetine with dextran (+dex;  $p = 0.88$ ,  $n = 6$ ). **K**, Plot of the  $\tau$ -decay of the  $\alpha_1$ -AR-EPSC in nioxetine (nsx) then nioxetine with dextran (+dex;  $p = 0.60$ ,  $n = 7$ ). Line and error bars represent mean  $\pm$  SEM; \* denotes statistical significance, and ns denotes not statistically significant.

dependent synaptic currents with larger amplitude currents, generated by increasing noradrenaline release, having slower decay rates (Courtney and Ford, 2014). In contrast, increasing noradrenaline release did not prolong the decay rate of the  $\alpha_1$ -AR-EPSC, suggesting limited spill-over in the dorsal raphe under basal conditions. Since increasing the amount of noradrenaline released did not affect the kinetics of the  $\alpha_1$ -AR-EPSC, the presence of extracellular noradrenaline is likely shorter in duration than the time-to-peak ( $< 2$  s) of the  $\alpha_1$ -AR-EPSC, indicating that  $\sim 18$  s ( $\sim 22$ -s total duration minus  $\sim 2$ -s time-to-peak; Fig. 1) of the  $\alpha_1$ -AR-EPSC is caused by second messenger signaling and modulation of GluD1<sub>R</sub> channels.

#### Noradrenaline transporters limit spill-over of noradrenaline

Given the  $\alpha_1$ -AR-EPSC is much slower than other G-protein-coupled receptor-dependent synaptic currents, it is possible that the second messenger signal cascade would obscure spatiotemporal spread of noradrenaline signaling. Since spill-over of neurotransmitter is often limited by transporter-dependent uptake (Isaacson et al., 1993; Otis et al., 1996; Min et al., 1998; Carter and Regehr, 2000; Scanziani, 2000; Szabadics et al., 2007; Beenhakker and Huguenard, 2010; Courtney and Ford, 2014,

2016), we examined the effect of blocking reuptake with a noradrenaline transporter inhibitor, nioxetine. Consistent with a previous study using rat brain slices (Oleskevich and Williams, 1995), blocking reuptake prolonged the  $\alpha_1$ -AR-EPSC substantially. Thus, despite the slow signaling kinetics, the time course of the  $\alpha_1$ -AR-EPSC is affected by extending the presence of extracellular noradrenaline in time and space, and reuptake of noradrenaline by transporters is the primary mechanism by which extracellular noradrenaline is cleared from the cleft. There was marked heterogeneity in the time course of the  $\alpha_1$ -AR-EPSC across neurons that was not explained by membrane properties nor the amount of noradrenaline released. Instead, activation and decay rates were positively correlated within the same neuron. Moreover,  $\alpha_1$ -AR-EPSCs with faster activation rates under control conditions were more affected by reuptake blockade, suggestive of greater transporter activity at these synapses. Therefore, heterogeneity in  $\alpha_1$ -AR-EPSC kinetics may arise from varied density of noradrenaline transporters across subregions of the dorsal raphe, as observed in human brain tissue (Ordway et al., 1997). However, the possibility that the depth of the neuron in the brain slice contributes to heterogeneity cannot be excluded.

The efficiency of transporter-dependent reuptake also limited the spatial spread of noradrenaline. When reuptake was impaired, restricting diffusion of noradrenaline with dextran reduced the amplitude of the  $\alpha 1$ -A<sub>R</sub>-EPSC, indicating that under conditions of reuptake blockade, noradrenaline diffuses out of the synaptic cleft and activates extrasynaptic receptors. As observed under conditions of spill-over (Isaacson et al., 1993; Min et al., 1998), additional effects of impairing clearance or diffusion of noradrenaline on the  $\alpha 1$ -A<sub>R</sub>-EPSC via activation of presynaptic inhibitory autoreceptors (Frankhuijzen et al., 1988; Garratt et al., 1991) cannot be ruled out. Taken together, the data indicate that noradrenaline signaling may occur at discrete synapses without pooling of presynaptic release sites and spill-over of noradrenaline to extrasynaptic sites. This form of synaptic transmission resembles dopamine D<sub>2</sub> receptor-dependent synaptic transmission in the ventral midbrain, where release sites and postsynaptic receptors are closely apposed (Ford et al., 2010; Gantz et al., 2013). Despite dramatic differences in the time course of the D<sub>2R</sub> and  $\alpha 1$ -A<sub>R</sub>-dependent synaptic currents, it is likely noradrenaline signaling in the dorsal raphe is similarly localized, with transporters maintaining synapse specificity by limiting spill-over.

#### Utility of independent synapses in a heterogeneous brain region

The dorsal raphe nucleus contains a remarkably diverse array of serotonin and non-serotonin neurons, including GABA, glutamate, dopamine, and peptide neurons (Huang et al., 2019). Each class of neurons influences distinct behaviors, largely through nonredundant or reciprocal changes in firing rates in response to rewarding, aversive, or salient stimuli (Liu et al., 2014; Cohen et al., 2015; Li et al., 2016; Matthews et al., 2016; Cho et al., 2017, 2021; Nectow et al., 2017; Seo et al., 2019; Gazea et al., 2021; Yu et al., 2021). Differences in noradrenaline  $\alpha 1$ -A<sub>R</sub>-dependent signaling across the types of dorsal raphe neurons have received little attention, whether at the level of individual synapses or neural circuits and behavior. In the present study, we found that nearly all serotonin neurons expressed the necessary machinery for  $\alpha 1$ -A<sub>R</sub>-dependent synaptic transmission and had an  $\alpha 1$ -A<sub>R</sub>-EPSC. In addition, the GABA neurons also contained mRNA for  $\alpha 1$ -A<sub>R</sub> and GluD<sub>1R</sub> channels, which carry the ionic current after  $\alpha 1$ -A<sub>R</sub> activation (Gantz et al., 2020). However, using conventional brain slice stimulation,  $\alpha 1$ -A<sub>R</sub>-EPSCs were not recorded in non-serotonin neurons. These results are consistent with a prior *in vivo* study that concluded dorsal raphe GABA neurons exert tonic inhibitory influence on neighboring serotonin neurons but are not directly targeted by noradrenergic afferents (Baraban and Aghajanian, 1980b).

Within the population of serotonin neurons, there are subtypes distinguished by anatomic and molecular properties, genetic sublineages, or gene expression (Jensen et al., 2008; Calizo et al., 2011; Kiyasova et al., 2011; Spaethling et al., 2014; Fernandez et al., 2016; Huang et al., 2019). Accumulating evidence demonstrates these subtypes of serotonin neurons are also functionally distinct, influencing separate physiological responses (Brust et al., 2014; Okaty et al., 2015; Niederkofler et al., 2016; Ren et al., 2018) or in some cases, exerting opposing effects in the same behavior (e.g., anxiolytic vs anxiogenic; for review, see Hale et al., 2012). Whether the heterogeneous rates of noradrenaline signaling among the population of serotonin neurons segregate by the different subtypes remains unknown. But given the functionally distinct types of serotonin neurons intermingled in the dorsal raphe, limiting cross talk across

neurons with efficient clearance of noradrenaline may preserve independence of each synapse to encode a discrete signal.

#### Health implications and concluding remarks

Dysregulation of the noradrenaline system has been linked to sleep disturbances, irritability, hyperaggression, and posttraumatic stress disorder. Imbalances in the serotonin system have been linked to complex emotional disorders including anxiety, bipolar, impulsivity, and depression. Disorders caused by imbalances in noradrenaline and serotonin signaling are frequently comorbid. This is likely because of a significant but not fully understood interaction between the serotonin and noradrenaline systems.

We have previously shown in brain slices that noradrenaline release and subsequent synaptic activation of  $\alpha 1$ -A<sub>R</sub> depolarize serotonin neurons and produces AP firing for ~10 s (Gantz et al., 2020). Here, we show that the delays intrinsic to the second messenger cascade likely constitute the largest portion of the time course of noradrenaline-dependent excitation. Despite the slow kinetics of signaling, reuptake via noradrenaline transporters limited the temporal and spatial spread of extracellular noradrenaline, such that inhibition of noradrenaline transporters substantially prolonged the  $\alpha 1$ -A<sub>R</sub>-EPSC and allowed for diffusion to extrasynaptic sites. Thus, noradrenaline transporters are critical regulators of serotonin neuron excitability. Noradrenaline transporter inhibition is a leading pharmacotherapy, namely, by anti-depressants or psychostimulants. The results of this study suggest that the behavioral effects of noradrenaline transporter inhibition may arise, at least in part, via modulation of the dorsal raphe neural circuits and serotonin release. Furthermore in mouse models, noradrenaline release in the dorsal raphe is required for the acute anti-depressant behavioral responses to SSRIs (Cryan et al., 2004; O'Leary et al., 2007). Thus, altered expression of noradrenaline transporters may contribute to the etiology of neurologic and neuropsychiatric disease classically linked to perturbances in serotonin signaling.

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