

Unfinished Business in Classifying HPV-Positive Oropharyngeal Carcinoma: Identifying the Bad Apples in a Good Staging Barrel

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Abstract

This commentary highlights three important findings in the study by Vijayvargiya et al, published in this journal, involving 9554 oropharyngeal cancer patients from the SEER database. Firstly, there is improved performance in outcome prediction with TNM-8 in HPV+ OPC. However, heterogeneity exists, especially in TNM-8 stage I disease, and there is need for ongoing improvement in risk stratification. Several anatomical and non-anatomical prognostic factors have been proposed. Among them, radiologic extranodal extension has emerged as one of the promising parameters to be considered for future staging. These baseline prognostic factors should address sensitivity, specificity, and diagnostic accuracy to serve different clinical needs. Secondly, cure is possible for some patients presenting with M1 disease. Optimal management of such patients remains to be explored, and clinical trials targeting de novo M1 disease should be encouraged to optimize outcomes for this subset. Finally, methodologies to address missing tumor HPV status in historical cohorts have been discussed, including using baseline demographics and clinical characteristics, as well as statistical procedures such as multiple imputation.

Key words: HPV; oropharyngeal carcinoma; staging.

An essential purpose of a stage classification is to describe prognostically relevant subsets defined by anatomic extent of a cancer. Ideally these should stratify a cancer into realistic distinct prognostic groups to serve the needs of clinical care, research, and cancer control activities. Recognizing inadequacies of the 7th edition TNM (TNM-7) in depicting prognosis of HPV-positive (HPV+) oropharyngeal cancer (OPC), the 8th edition UICC/AJCC TNM (TNM-8) introduced a new stage classification for this fast-growing disease that currently dominates OPC population in Western countries, without obvious slackening in tempo in the near future. Many independent institutional series and NCDB data have shown that TNM-8 improves prognostic distinction in HPV+ OPC. The study by Vijayvargiya et al¹ used administrative data comprising 48655 OPC patients from SEER and adds further evidence to the field.

Although current TNM-8 staging enhances the depiction of prognosis of this disease compared with TNM-7, unfinished business in this sphere mandates on-going improvement of current staging systems through data compilation, analysis, and validation.

A useful finding of the study is lack of distinction in overall survival (OS) between stages I and II disease, mainly due

to underperformance of the former group. The lower-than-expected OS in stage I disease in the current dataset could be attributable to misclassification of relatively young (<65 years old) OPC patients with TNM-7 T1-2N2b HPV-negative tonsil/base of tongue tumor as stage I HPV-associated disease. It could also be explained by under-appreciated/under-recognized heterogeneity within TNM-8 stage I disease which accounted for 21% of their HPV-selected OPC population. How to identify the “bad apples” in a “good barrel” (stage I disease) remains an important and essential goal for future staging of this disease. Several anatomical parameters and non-anatomical prognostic biomarkers have been proposed but all must cross the bar of practicality, feasibility, and availability, in addition to validation.

Radiologic extranodal extension (rENE) appears to be one of the front-running candidate anatomic variables to identify patients with high risk of distant metastasis and mortality.² Billfalk–Kelly et al² showed that within cN+ stage I HPV+ OPC, unequivocal rENE-positive patients had increased risk of distant metastasis (>20%) and death (>30%) at 5-years while rENE-negative patients achieved >95% locoregional control and distant control regardless of treatment. Many studies have now consistently shown that rENE is a powerful

prognostic factor for HPV+ OPC.³⁻⁵ However, it is essential to consolidate the definition of rENE and assessment methods, as well as level of certainty in declaration of rENE, and recognize that ambiguous rENE could dilute its prognostic importance. Indefinite rENE may result from artifactual imaging signal or observer uncertainty, or represent lesser rENE extent, any of which could carry reduced prognostic importance, especially in the era of contemporary treatment.

Among non-anatomic biomarkers, PD-L1 expression appears to be able to identify a group of HPV+ OPC with favorable outcomes.^{6,7} Circulating HPV DNA might also serve as a dynamic biomarker following chemoradiotherapy for treatment response assessment and disease surveillance⁸ but should ideally also demonstrate baseline characterisation to permit prognostication at the point of diagnosis. Smoking pack-years has been shown to stratify mortality risk in HPV+ OPC⁹; however, its impact on disease control is inconsistent in the literature. Hawkin's et al¹⁰ showed that smoking history seems less important than TNM-8 stage in relation to disease-specific outcomes, and even suggested that deintensification may be appropriate for otherwise low-risk patients with a significant smoking history. Current smoking status appears to have greater impact on outcomes compared with cumulative intensity of tobacco exposure itself (eg, smoking pack-years).¹¹ This is presumably related to smoking-induced hypoxia which impacts radiotherapy efficacy.¹² In addition, a recent meta-analysis¹³ also suggested that smoking during radiotherapy could elevate late toxicity and therefore intensifying treatment in such settings could actually add additional detriment to heavy smokers. Therefore, it is plausible that advocating smoking cessation during radiotherapy represents an important and effective strategy to optimize outcomes for radiotherapy patients.

Notably, the current study also included patients with M1 disease (TNM-8 stage IV) which represented 4% of the authors' cohort. They demonstrated that while these patients have a generally unfavorable outcome, a small proportion (about 15%-20%) can still live beyond 10 years. Long-term survival in HPV+ OPC with oligometastasis has been reported by Huang et al¹⁴ and others.¹⁵ This raises the possibility of "cure" in a subset of patients with de novo or metachronous oligometastasis, supporting consideration of aggressive treatment with curative intent if the metastatic disease is amenable to local ablative treatment. This includes surgery or radical dose radiotherapy with/without systemic agent (chemotherapy and immunotherapy). However, optimal sequencing to address locoregional and distant metastatic disease at presentation remains to be explored, ideally in the clinical trial setting. Identifying the "good apples" in an otherwise "bad barrel" is just as relevant for this group of patients compared with the more favorable subgroups. Therefore, the authors' recommendation to explore genomic profiling in this disease may be even more important for this subset of the HPV+ OPC population.

A uniqueness of the current study is the methodology in handling a historical dataset where HPV status was unavailable. The authors used demographic data (age <65 years, male gender, white race) and disease subsite (tonsil and base of tongue) as surrogates. This method is acceptable in the HPV+ OPC endemic regions where the majority of OPC (estimated >80%) are caused by high-risk HPV infection. However, the choice of parameters and their cutoff values remains debatable

and its applicability in areas of lower disease density should be applied with caution. Other methods have been explored to address "missing HPV status" issue. Statistical methods, such as multiple imputation, are useful to ascribe HPV status for missing HPV status subset based on characteristics of HPV status known subset^{16,17}; however, this method requires there to be a proportion of cases with ascertained HPV status within the dataset to drive the statistical modelling procedures. Estimating tumor HPV status using patient-specific characteristics has been explored by many authors. D'Souza et al¹⁸ showed a moderate predictivity using demographics and behavioral characteristics to predict HPV+ OPC. Chan et al¹⁹ constructed clinical models using patient demographics plus disease subsite and T/N classifications showed improved predictability in HPV positivity in OPC. Leijenaar et al²⁰ showed feasibility in predicting tumor HPV status based on radiomic signatures from standard CT images. Although all these methods cannot replace routine HPV testing in OPC, they could be useful to address historical data to help understand time trends of this burgeoning disease in specific jurisdictions.

In summary, as demonstrated by Vijayvargiya et al,¹ current TNM-8 staging represents an improvement in outcome prediction for HPV+ OPC, a new disease for which TNM-7 was never intended. However, it is only the first step in risk stratification of HPV+ OPC. The ability to gather more "wheat" and less "chaff" in favorable stage groups, whether by new and emerging anatomic (eg, rENE) or non-anatomic biomarkers (including genomic profiling), would facilitate clinical care and research for the typical curative population of patients. These baseline prognostic factors should address sensitivity, specificity, and diagnostic accuracy to serve different clinical needs. For factors addressing deintensification trial ineligibility, high sensitivity should be prioritized which would avoid exposing patients to suboptimal treatment. For staging purposes, or eligibility for clinical trials addressing risk of distant metastasis from an adverse feature, high specificity should be emphasized to preserve prognostic importance. Finally, investigations and clinical trials targeting de novo M1 disease should also be useful to optimize outcomes for this subset, some of whom may still be curable with appropriate individualized management.

Conflict of Interest

The authors indicated no financial relationships.

Author Contributions

All authors: conception/design, manuscript writing, final approval of manuscript.

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