Increased Incidence of Ventilator-Acquired Pneumonia in Coronavirus Disease 2019 Patients: A Multicentric Cohort Study*

OBJECTIVES: Little is known about the epidemiology of ventilator-acquired pneumonia among coronavirus disease 2019 patients such as incidence or etiological agents. Some studies suggest a higher risk of ventilator-associated pneumonia in this specific population.

DESIGN: Cohort exposed/nonexposed study among the REA-REZO surveillance network.

SETTING: Multicentric; ICUs in France.

PATIENTS: The coronavirus disease 2019 patients at admission were matched on the age, sex, center of inclusion, presence of antimicrobial therapy at admission, patient provenance, time from ICU admission to mechanical ventilation, and Simplified Acute Physiology Score II at admission to the patients included between 2016 and 2019 within the same surveillance network (1:1).

INTERVENTIONS: None.

MEASUREMENTS AND MAIN RESULTS: The overall incidence of ventilator-associated pneumonia, the cumulative incidence, and hazard rate of the first and the second ventilator-associated pneumonia were estimated. In addition, the ventilator-associated pneumonia microbiological ecology and specific resistant pattern in coronavirus disease 2019 exposed and nonexposed patients were compared. Medication data were not collected. A total of 1,879 patients were included in each group. The overall incidence of ventilator-associated pneumonia was higher among coronavirus disease 2019 exposed patients (25.5; 95% CI [23.7–27.45] vs 15.4; 95% CI [13.7–17.3] ventilator-associated pneumonia per 1,000 ventilation days). The cumulative incidence was higher for the first and the second ventilator-associated pneumonia among the coronavirus disease 2019 exposed patients (respective Gray test $p < 0.0001$ and 0.0167). The microbiological ecology and resistance were comparable between groups with a predominance of *Enterobacterales* and nonfermenting Gram-negative bacteria. The documented resistance pattern was similar between groups, except for a lower rate of methicillin-resistant *Staphylococcus aureus* in the coronavirus disease 2019 exposed patient (6% vs 23%; *p* = 0.013).

CONCLUSIONS: There was a higher incidence of ventilator-associated pneumonia occurring among coronavirus disease 2019 patient compared with the general ICU population, with a similar microbiological ecology and resistance pattern.

KEY WORDS: coronavirus disease 2019; incidence; microbial ecology; ventilator-associated pneumonia

IM ith the recent outbreak of the coronavirus disease 2019 (COVID-19) pandemic, the world has faced a massive flow of patients with viral pneumonia. Among hospitalized patients with COVID-19 in France, a proportion of 17% pandemic, the world has faced a massive flow of patients with viral pneumonia. Among hospitalized patients with COVID-19 in than half of these will require mechanical ventilation (1–4). For the patients

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admitted to ICU, the 28-day mortality is around 40%, and the duration of mechanical ventilation is frequently prolonged (5).

During mechanical ventilation, ventilator-associated pneumonia (VAP) is common and is associated with increased duration of mechanical ventilation and length of ICU stay (6), but its association with mortality remains controversial (7, 8); in addition, there is an increased risk of VAP among patients with viral pneumonia (9–11). Several factors influence the occurrence of VAP during acute respiratory distress syndrome (ARDS), such as the dysregulation of lung immune defenses (12), or gastric and oropharyngeal colonization (13). In addition, certain treatments for COVID-19 infection are also known to increase the risk of VAP: for example, corticotherapy is currently one of the main treatments recommended during COVID-19 ARDS, leading to a decrease in mortality (14) and an increase in ventilation-free days (15).

Little is known about the epidemiology of VAP in COVID-19 patients. An European multicenter study including 568 cases of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection reported that the VAP incidence and ventilator-acquired tracheobronchitis were higher compared with the general population and influenza patients undergoing mechanical ventilation and reported that the majority of bacteria responsible for VAP were Gram-negative (*Pseudomonas aeruginosa*, *Enterobacter* species, and *Klebsiella* species) (16); similar results were found in the study reported by Maes et al (17) or Blonz et al (18). These concordant studies indicate that the incidence of VAP among COVID-19 patients is higher than that would be expected from other viral pneumonia. Furthermore, the second episode of VAP was never studied in detail, probably because of the lack of information (small studies), and the information currently available on the pathogens responsible for VAP does not allow us to clearly identify whether there is a difference between the COVID-19 and classically described VAP pathogens, both in terms of species or resistance, that could be of interest to physicians for adaptation of antibiotherapy.

We therefore conducted a study using the REA-REZO surveillance network, which is dedicated to the surveillance of ICU-acquired infections related to invasive devices. We matched COVID-19 patients with non–COVID-19 patients in order to estimate the incidence of VAP, study specifically the first and second episodes of VAP, and determine whether the local ecology among COVID-19 patients was similar to that of the general ICU population.

MATERIAL AND METHODS

Study Setting

We conducted a study nested in a cohort resulting from a prospective continuous multicenter surveillance of ICU-acquired infections and their risk factors since 2004. This cohort collects patient-level data from more than 100F adult ICUs participating in the REA-REZO surveillance network on a voluntary basis. For this study, in addition to the usual data collection, the COVID-19 status was collected.

The detailed protocol for data collection and monitoring are available at: [http://rearezo.chu-lyon.fr/.](http://rearezo.chu-lyon.fr/) All patients received information about the use of their personal data for research purposes and were given the opportunity to refuse it (during or after their intensive care stay, and in case the patient was unable refuse participation, family or close relatives could refuse the use of data on behalf of the patient). According to French law, written informed consent was not required. The study was performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. The database was approved by the "Commission nationale de l'informatique et des libertés" (Number 919149) and by the Institutional Review Board (IRB) (CPP SUD EST—IRB 00009118).

Participants and Variables

The cohort included patients admitted for at least 2 days to an adult ICU. Patients with a diagnosis of COVID-19 (either strongly suspected on the basis of clinical condition and suggestive CT-scan or confirmed by a specific polymerase chain reaction [PCR]) during ICU stay until December 31, 2020, were selected (COVID-19 exposed patients). Patients from units participating in the surveillance network included between January 01, 2016, and December 31, 2019, before the French COVID-19 pandemic, were selected (COVID-19 nonexposed patients). It should be noted that the COVID-19 pandemic in France started at the end of January 2020. Patients undergoing extracorporeal membrane oxygenation (ECMO), with immunodepression according to Acute Physiology and Chronic Health Evaluation II definition (19), with a traumatic or surgical admission category were excluded from both groups, as were those who did not receive mechanical ventilation.

The following data were extracted: demographic characteristics: age, sex, presence of an antimicrobial treatment (excluding prophylaxis) 2 days before or after admission date, Simplified Acute Physiology Score (SAPS) II, type of admission (medical or surgical), patient provenance (from direct admission [community, nursing home] or secondary to hospital admission [acute care, rehabilitation, ICU…]), interval between admission and intubation, total duration of intubation, presence of immunosuppression, length of ICU stay, diagnosis of VAP episode, and ICU mortality. The medication data (including corticosteroid data) were not collected.

Information on VAP included the date of pulmonary infection, the diagnostic method of pneumonia (see below), up to two identified microorganisms per infection episode, and antimicrobial resistance status by key resistance patterns for selected bacteria. Susceptibility testing in all the units was conducted according to the French recommendations (Comité de l'antibiogramme—Société Francaise de Microbiologie) (20) compatible with the European Committee on Antimicrobial Susceptibility Testing recommendations.

Definition of ICU-Acquired Pneumonia

Pneumonia was defined according to the European Centers for Disease Control and Prevention, which includes clinical, radiological, and bacteriological criteria (21). Only the VAP with positive microbiological criteria was included. The bacteriological diagnosis was based on positive quantitative culture from minimally contaminated lower respiratory tract (LRT) specimen such as distal protected aspirate, positive quantitative culture from possibly contaminated LRT specimen such as endotracheal aspiration, or alternative microbiology methods such as positive blood culture not related to another source of infection. A pneumonia is considered ICU acquired if it occurs after more than 48 hours from admission, and VAP is defined by a pneumonia occurring after 48 hours of intubation.

The time from mechanical ventilation to the first VAP was the difference between the date of intubation and the date of the first documented VAP. For the hazard of the second VAP, the beginning time was the date of onset of the first VAP.

To consider VAP as a new episode, the combination of new signs and symptoms and radiographic evidence or other diagnostic testing was required, with a minimum of 2 days of clinical resolution after the first VAP. If the germ species was the same as the one identified in the first episode, a delay of 14 days after the time of resolution of the first VAP was required to consider VAP as a new episode.

COVID-19 Nonexposed Group

The COVID-19 nonexposed group was constructed by exact matching on inclusion center, sex, presence of antimicrobial therapy at admission, patient provenance (community/nursing home vs hospital), time to mechanical ventilation, and by nearest neighbor for SAPS II and age. A 1:1 ratio between COVID-19 exposed and nonexposed patient was applied (22).

Outcome

The primary outcome was to estimate the difference in cumulative incidence of the first and the second VAP between the COVID-19 and nonexposed patients.

The secondary outcomes were the hazard rate of VAP, in order to estimate the period with the highest risk of VAP for the patient, and to describe the bacteriological epidemiology of the COVID-19 VAP considering types of bacteria and resistance patterns compared with the COVID-19 nonexposed patients.

Statistical Methods

Descriptive statistics are expressed by the median and interquartile range (interquartile range) for quantitative variables and by the number of patients and proportion (%) for qualitative variables. For comparison between COVID-19 exposed and nonexposed patients, the McNemar, McNemar-Bowker, or Wilcoxon signed rank tests for the comparison of the matched group, and the chi-square or Fisher test for the comparison of the microbial ecology and resistance patterns. The adequate balancing of matching variables was evaluated using the standardized mean difference, with a threshold at 0.10.

The overall incidence rate was calculated using the number of VAP (all VAP episodes during the ICU stay) and the sum of ventilation exposure days among all patients. In order to estimate the cumulative incidence of the first VAP episode among groups, a model considering extubation and death as competitive risks was fitted using the Aalen-Johansen estimator. Then, specific 7-, 14-, and 28-day cumulative incidence was estimated for each group, and we compared the curves using the Gray test. Using Cox proportional hazards model, subdistribution hazard ratio (sHR) for COVID-19 exposed patients was estimated, both crude and adjusted on the age, sex, SAPS II, presence of antimicrobial therapy at admission, patient provenance, time to mechanical ventilation. We also estimated the hazard rate as a function of time with multidimensional penalized splines and estimated the day of the highest risk of VAP and its corresponding 95% CI using 1,000 bootstrap iteration.

Statistical significance was arbitrarily set at *p* value of less than 0.05. Analyses were performed using R software v3.4.3 (23) using the Survival, Matchit and survPen packages (24).

RESULTS

Population

A total of 3,417 ICU patients with COVID-19 diagnosis in 2020 were identified in 94 centers (**Supplementary Material App A**, <http://links.lww.com/CCM/G813>). Among these, 108 patients who underwent ECMO and 1,339 who did not receive mechanical ventilation were excluded; 1,970 underwent matching. Between 2016 and 2019, there were 235,888 patients included in the cohort. Among these, 165,597 did not meet inclusion criteria; 70,291 patients underwent matching. In total, 1,879 COVID-19 patients were matched to 1,879 nonexposed COVID patients (**Fig. 1**). The COVID-19 diagnosis based on PCR for 1,605 of 1,879 COVID-19 patients (85%) or on clinical signs only for 274 of 1,879 (15%).

The majority of patients were men (72%), had a median age of 68 years, and the median SAPS II was 43. They were most frequently placed under mechanical ventilation during the first 24 hours after admission (65%). Compared with the COVID-19 nonexposed patients, COVID-19 exposed patients had a longer duration of exposure to mechanical ventilation (11 d $[5-20$ d] vs 6 d $[2-12$ d]) and longer length of ICU stay (15 d [8–25 d] vs 9 d [5–18 d]) (**Table 1**), and the crude ICU fatality rate was higher among COVID-19 exposed patients (Table 1); a greater proportion of the COVID-19 exposed patients presented a VAP episode (29% [*n* = 550] vs 13% [*n* = 242]; *p* < *0.001*).

Incidence of VAP

The overall incidence rate of VAP episodes was 25.5 (23.7–27.4) per 1,000 ventilation days in COVID-19 (718 VAP episodes for 28,154 days of mechanical

> ventilation), and 15.4 (13.7–17.3) VAP episodes per 1,000 ventilation days in the COVID-19 nonexposed patients (289 VAP for 18,765 d of mechanical ventilation).

> *First VAP Episode*. Compared with the COVID-19 nonexposed patients, the cumulative incidence for the first episode of VAP was higher in COVID-19 exposed patients at 7 days (14.3% [12.7–15.8%] vs 5.0% [4.0– 6.0%]), at 14 days (24.7% $[22.8-26.6\%]$ vs 10.2%

membrane oxygenation, $VAP =$ ventilator-associated pneumonia.

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Figure 1. Study flow chart. COVID-19 = coronavirus disease 2019, ECMO = extracorporeal

TABLE 1. Patient Characteristics

 $COVID-19 =$ coronavirus disease 2019 , VAP = ventilator-associated pneumonia.

p values for the comparison between groups, except for the matching variables. Standardized mean difference is in italic.

[8.9–11.6%]), and at 28 days (28.6% [26.6–30.6%] vs 12.3% [10.9–13.8%]) (**Fig. 2**) (*p* < *0.001*).

The highest hazard rate for the COVID-19 exposed patients was 56 (50–63) VAP per 1,000 ventilation days and 37 (31–44) for the COVID-19 nonexposed patients (**Fig. 2***B*). The peak occurred at 8.9 days (8.3–9.4 d) for the COVID-19 exposed patients and at 10.0 days [8.8–11.0 d) for COVID-19 nonexposed patients.

The COVID-19 exposed patients had a higher risk of a first VAP episode than the COVID-19 nonexposed patients (sHR 1.70 [1.46–1.97]; *p* < *0.001* and adjusted sHR 1.68 [1.45–1.96]; *p* < *0.001*).

Second VAP Episode. Concerning the second VAP episode, the cumulative incidence COVID-19 exposed patients was closer to that of the COVID-19 nonexposed patients than in the first episode (5.1% [3.3–6.9%] vs 4.1% [1.6–6.6%] at 7 d, 16.0% [12.9–19.1%] vs 8.3% [4.8–11.7%] at 14 d, and 21.1% [17.8–24.5%] vs 14.1% [9.7–18.4%] at 28 d, respectively for the COVID-19 and the COVID-19 nonexposed patients; $p = 0.011$) (**Fig. 4***A*). This difference is related to a peak hazard rate of 23.3 (18.2–29.8) VAP per 1,000 ventilation days at 9.7 days (8.4–10.4 d) after the first VAP (**Fig. 4***B*). The COVID-19 exposed patients had a higher risk of a VAP episode than the COVID-19 nonexposed patients

Figure 2. Cumulative incidence and time-dependent hazard rate of the first ventilator-associated pneumonia (VAP) episode. **A**, Cumulative incidence curve for the first VAP episode among coronavirus disease 2019 (COVID-19) exposed and nonexposed patients and their 95% CIs. **B**, Time-dependent hazard rate for the first VAP episode among COVID-19 exposed and nonexposed patients associated and their 95% CIs.

(sHR 1.58 [1.09–2.31]; *p* = *0.016* and adjusted sHR 1.50 $[1.03-2.19]$; $p = 0.036$).

Microbiology. Distribution of the bacterial species was homogeneous between the COVID-19 and COVID-19 nonexposed patients ($p = 0.780$). Among all VAP episodes, 319 of 1,007 (31%) were plurimicrobial: 223 of 718 (31%) in COVID-19 exposed patients and 96 of 289 (33%) in the COVID-19 nonexposed patients (*p* = *0.760*).

= *0.668*) (**Supplementary Tables** 2, 3, and **4**, [http://](http://links.lww.com/CCM/G814) [links.lww.com/CCM/G814\)](http://links.lww.com/CCM/G814).

DISCUSSION

The results of the present study indicate that, although the incidence of VAP was higher among COVID-19 exposed patients, the occurrence of the peak of risk and the local ecology were comparable to that found in

Distribution of bacterial species was homogeneous between groups for the first episode ($p =$ *0.915*) and the second and more episode (*p* = *0.483*) (**Supplementary Tables 2** and **3**, [http://links.lww.](http://links.lww.com/CCM/G814) [com/CCM/G814\)](http://links.lww.com/CCM/G814). The majority of identified pathogens were Gram-negative bacteria (*n* = 986; 77%), with a large proportion of *Enterobacterales* (*n* = 602; 61%) followed by nonfermenting Gram-negative bacilli (*n* = 348; 35%). Gram-positive pathogens were less frequently isolated; *Staphylococcus aureus* was the most frequent. The frequency of resistance was homogeneous between COVID-19 exposed and nonexposed patients, with the exception of a lower rate of methicillin-resistant *S. aureus* in the COVID-19 exposed patients (*n* = 5; 6%) than in the COVID-19 nonexposed patients (*n* = 9; 23%; *p* = 0.013) (**Table 2**). The distribution of pathogen species between the first and the second VAP was comparable between groups (Cochran-Mantel-Haenszel chi-square test *p*

Figure 3. Cumulative incidence and time-dependent hazard rate of the second ventilatorassociated pneumonia (VAP) episode. **A**, Cumulative incidence curve for the second VAP episode among coronavirus disease 2019 (COVID-19) exposed and nonexposed patients and their 95%

CIs. **B**, Time-dependent hazard rate for the second VAP episode for COVID-19 exposed and

non–COVID-19 exposed patients, both in the first and second episode of VAP.

nonexposed patients and their 95% CIs. TO = time from the first VAP episode.

The incidence of VAP among COVID-19 nonexposed patients in the present study is consistent with that reported in the literature (from 12 to 20 VAP episodes per 1,000 ventilation days [25]). Similarly, the rate herein among COVID-19 patients is also close to that reported elsewhere; Maes et al (17) reported an incidence of 28 VAP episodes per 1,000 ventilation days, and an increased risk of VAP was

also found in the study reported by Rouzé et al (16) (compared with a group without viral infection: sHR at 1.73 [1.38–2.20]). Several reasons might explain this high incidence of VAP among COVID-19 patients. Although the association between corticotherapy and risk of VAP remains debated, many of the other drugs used experimentally also have immunosuppressive properties, such as tocilizumab, anti-interleukin 1 inhibitors (anakinra), or inhibitors of Janus kinases such as baricitinib (26, 27). Furthermore, immunomodulation is also documented during COVID-19 infection: an initial immunostimulation (cytokine storm) followed by a deep immunosuppression occurring around the 11 and 14 days after first symptoms, with a "V curve" reduction of HLA-DR expression, lymphopenia, and monocytopenia (28).

There is also a frequent association between COVID-19 and ARDS, which increases

the risk of VAP (12). COVID-19 is also associated with poor lung perfusion and pulmonary emboli, increasing the risk of pulmonary infarction (29). Another potential determinant for the increase of VAP incidence is the decrease in the level experience/organization related to non-ICU healthcare workers solicited during the pandemic, who are not familiar with infection prevention and control procedures in ICU associated with an increase in workload (30).

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TABLE 2. Bacterial Ecology

COVID-19 = coronavirus disease 2019.

a Nonpathogenic pathogen.

Resistance to amoxicillin-clavulanic acid, third-generation cephalosporins, carbapenems, piperacillin-tazobactam, ceftazidime, and methicillin.

The time-dependent hazard rate of VAP allowed us to estimate the moment of the highest risk of VAP, which was similar in both COVID-19 exposed and nonexposed patients and around the 10th day. For the first VAP episode, the increased risk of VAP was persistent during time. However, we observed a lower impact of COVID-19 on the occurrence of the second episode of VAP; this difference was explained mainly by a peak occurring at the ninth day after the first VAP.

We observed a similar distribution in the infecting organisms among COVID-19 exposed and nonexposed patients, with a predominance of *P. aeruginosa* and *Enterobacterales*. This is consistent with several studies that did not find any difference between COVID-19 and non–COVID-19 patients (17). Furthermore, we did not find any difference in the distribution of microorganisms between the first and the second VAP episode. Regarding the antimicrobial resistance patterns studied, the only difference was the proportion of methicillin-resistant *S. aureus*. This might be because of the decline in the proportion of MRSA in Europe that has been observed recently over several years (31), or because of the increased use of the personal protective equipment during the COVID-19 pandemic. The lack of variation in bacterial epidemiology and resistance pattern should encourage physicians to maintain the usual antibiotic management for these patients.

The present study used an extensive (20-yr-old) surveillance network, familiar with the monitoring of the ICU-acquired infection; it confirms the conclusions of other prospective cohort studies and further explores this risk over time, with a high quality of data collected by intensivists and infection control practitioners and with standardized definition of ICU-acquired infection according to that proposed by the European Centers for Disease Control and Prevention (21). By implementing an early analysis of the collected data, it might have been possible to highlight this association between COVID-19 and VAP and to propose prophylactic interventions in the COVID-19 population, but in the context of the initial stages of the COVID-19 pandemic, data collection was not the priority. We included patients whose COVID-19 diagnosis was solely based on clinical and radiological signs because at the beginning of the pandemic, the SARS-CoV-2 specific PCR was not easily accessible and because of possible false-negative results due to PCR testing (32). However, due to the nature of the surveillance network, we did not document some variables, such as antibiotic treatment during ICU stay, specific COVID-19 treatments (including corticosteroid), and the follow-up was limited to ICU stay. Indeed, the corticosteroid widely used in ICU for the treatment of COVID-19 could be related to the incidence of VAP. As the COVID-19 patients often presented an ARDS, VAP may have been overdiagnosed, but only VAP with microbiological confirmation was included in our study. Furthermore, the patients undergoing ECMO were excluded because of the specific characteristics of this population, with a high deleterious inflammatory response, and immune modulation.

CONCLUSIONS

In conclusion, using prospectively collected data in an ongoing multicenter ICU surveillance network, we found that the risk of VAP was higher for COVID-19 patients, in particular for the first VAP episode. Onset is later than in non–COVID-19 ICU patients. However, there was no specific bacterial or resistance pattern, which suggests that the management of antibiotic treatment should therefore follow the usual recommendations.

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