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## Commentary Vaccine contamination: Causes and control

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### 1. Background

In August 2021, two Japanese men, aged 38 and 30, died within days after receiving their second dose of the Moderna COVID-19 vaccine. Both had been vaccinated with the same lot of the vaccine [1]. Further investigation revealed that some black substances were spotted in syringes and a vial, with pink substances found in another syringe. A good understanding of vaccine manufacturing, quality control, incident investigation and pharmacovigilance is helpful in determining if vaccine contamination was the cause of death in these cases.

## 2. Vaccine manufacturing and quality control

The manufacture of a vaccine begins from the research process, followed by rigorous clinical testing and finally approval from the relevant authorities for its use with the general public. Vaccine formulations are intended to optimise vaccine stability and efficacy. The final vaccine product may include adjuvants to boost immune response, stabilisers to extend shelf life, and preservatives to eliminate microorganisms for multi-dose vial formulations, and it may be delivered parenterally via multi-dose vials, via single-dose prefilled syringes, or by other methods of administration, e.g., orally or by inhalation.

Good manufacturing practice and quality assurance both play a key role in avoiding contamination and product defects. All operations in the manufacturing process should be performed with stringent quality control, which includes safety, potency, purity, sterility, and other product-specific tests. Manufacturers also provide product documentation such as the recommended storage conditions, dosage, shelf life, expiry date extension, and supporting distribution circumstances.

Vaccines may be produced in stages in different facilities. For example, a vaccine can be produced by the manufacturer in one location, but packaged in another facility elsewhere. It is important that strict quality control is maintained at all sites. Finally, suitable conditions of transport and storage, as specified by the manufacturer, should be maintained at all stages from vaccine production to final administration to the patient.

#### 3. Incident investigation

Following the two post-vaccination deaths in Japan in August, another vaccine centre in Gunma prefecture also reported tiny, black substances in a vial from another vaccine lot. The Japanese authorities conducted an urgent investigation and found foreign material contained in 39 unused vials [2]. The material found was a few millimetres in size, though its elements are yet to be determined.

In the case of foreign materials discovered in several unopened vials, the most probable cause was thought to be related to friction between two pieces of metal in the machinery that put stoppers on the vials, and the material was confirmed to be stainless steel [1].

The vaccine vials were reportedly produced in Spain [3]. Moderna Inc, Takeda Pharmaceutical Co Ltd and the Japanese authorities recalled three vaccine batches, totalling 1.63 million doses, on September 1st, 2021. By then, the vaccines had been distributed to 863 immunisation centres around Japan, with approximately 500,000 people given doses from the three suspended batches [2]. In September 2021, the Ministry of Health of Japan again reported that another 49-year-old man died after receiving a dose of Moderna COVID-19 vaccine that was among batches later recalled from use by its distributor in the country.

In these cases, the official statement from the authority stated that a manufacturing error caused the contamination, with contamination by foreign substances, and also from incorrectly inserted needles – vial coring [1].

#### 4. Coring

When a syringe is pushed into a medicine vial's stopper, fragmentation of the plug may occur. The fragment may be "cored"





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inside the needle bore, and then injected into the body [4]. Coring can occur in up to 40.8 per cent of cases even with a fine needle of gauge size 24 [5].

Unless a patient is allergic to latex, the immediate effects of injecting a piece of rubber into them would not be seen clinically. Still, this may potentially result in embolism and adverse reactions varying from autopsy-detected clinically occult pulmonary granulomas to local tissue infarction, pulmonary infarction and death [6].

Other inert particle contaminants may not represent a substantial concern in healthy tissue. However, they may significantly influence tissue perfusion in individuals with a compromised vital organ microvascular structure. Additionally, if such debris enters the left side of the circulation and obstructs a cerebral vessel, neurologic deficits can occur [7].

#### 5. Biovigilance

Biovigilance is a surveillance system that comprises the detection, gathering, and analysis of information for tracking adverse events (AEs) related to biological products. These products include cells, tissues, organs and vaccines [8]. The World Health Organization (WHO) has published a guideline for national authorities on quality assurance for biological products that lists procedures for approval of manufacturers' biological products and the post-licensing monitoring of products [9].

Prior to the COVID-19 vaccine recall in Japan, the U.S. state of California also paused and then resumed the administration of Moderna COVID-19 vaccine as a result of its biovigilance in January 2021, when less than 0.002% of vaccinees had some form of allergic reaction [10]. Switzerland and Norway also released interim biovigilance news of suspected death in adults over 80 years old. The vaccine's biovigilance events are depicted in Fig. 1 in chronological order.

To detect and assess the vaccination risk-benefit profile, the Centers for Disease Control and Prevention (CDC) and the U.S. Food and Drug Administration (FDA) conduct post-licensure vaccine safety monitoring using the Vaccine Adverse Event Reporting System (VAERS).

#### 6. Vaccine manufacturing and quality control

The WHO guideline stipulates that for vaccine production, a specific master formula for each batch must be recorded and supported by validation of the consistency of the process and the product. This should be done at both extremes of production volumes. The product stability should be similarly validated. Besides these, the manufacturer has to prepare a clear policy for handling the starting material, packaging materials, bulk and finished products, including sampling, quarantine, release and storage [11].

The FDA guidelines for industry development and licensure of COVID-19 vaccines require quality control in the manufacture of each drug substance and product. Facilities for manufacture, toxicity studies, characterization of the immune response in animal models, details of clinical trials including of the trial population, design, efficacy considerations and safety considerations have to be audited before the vaccine can be mass-produced. Measures for post-licensure safety evaluation, post-marketing safety studies and assessment of long-term vaccine effectiveness are also part of the FDA's considerations before the vaccine can be licensed [12].

## 7. Potential solutions

Transitioning from a vial to a pre-filled syringe would prevent incidents of "coring." Every time a needle is pushed into a pharmaceutical vial to extract a vaccine, it introduces another possibility of admixture-related contamination. To eliminate the chance of medication contamination, single-use syringes should be considered [13]. Furthermore, pre-filled syringes ensure that healthcare professionals deliver each dose precisely since it is pre-filled with the right amount.

The vials rely on stoppers and seals which serve to protect the contents and ensure closure integrity. For example, for their COVID-19 vaccine vials, Pfizer-BioNTech and Moderna use synthetic bromobutyl and chlorobutyl rubber stoppers, respectively, along with plastic flip-off caps. In contrast, contamination of the Moderna COVID-19 vaccine at Japan appears to have resulted from incorrectly inserted needles causing bits of the vials' rubber stopper to break off. Thus, a coating barrier layer applied to the rubber stopper that could act as a barrier to the coring or transfer of elastomer components to the vaccine is crucial [14].

#### 8. Concluding remarks

Vaccine contamination is an important concern which may aggravate vaccine hesitancy. It can be minimized or prevented by good manufacturing, transport and storage practices, and other measures such as the utilization of pre-filled syringes. In addition, robust surveillance measures should be put in place, with swift investigation of reported incidents and decisive action taken to reassure the public.



Fig. 1. COVID-19 vaccine biovigilance events in chronological order.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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