

## REVIEW

# Animal models in the study of Alzheimer's disease and Parkinson's disease: A historical perspective

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## Abstract

Alzheimer's disease and Parkinson's disease are two of the most prevalent and disabling neurodegenerative diseases globally. Both are proteinopathic conditions and while occasionally inherited, are largely sporadic in nature. Although the advances in our understanding of the two have been significant, they are far from complete and neither diagnosis nor the current practices in treatment and rehabilitation is adequately helpful. Animal models have historically found application as testing beds for novel therapeutics and continue to be valuable aids in pharmacological research. This review chronicles the development of those models in the context of Alzheimer's and Parkinson's disease, and highlights the shifting paradigms in studying two human-specific conditions in non-human organisms.

## KEYWORDS

Alzheimer's disease, animal models, neurodegeneration, Parkinson's disease

## 1 | INTRODUCTION

Alzheimer's disease (AD) and Parkinson's disease (PD), first described in 1906 and 1817, respectively, are the two most prevalent neurodegenerative diseases in the world, with a rising trend in the number of people that are affected and ultimately die because of them. Alzheimer's disease remained among the top ten non-communicable diseases affecting persons over the age of 50 while Parkinson's disease climbed the ranks, with a 6% greater rate of incidence in 2019 than in 1990.<sup>1</sup> The etiologies of these disorders are still largely unknown and diagnosis of PD and, until recently, AD is entirely clinical. Diagnostic criteria for the two, as well as other neurological disorders, are regularly updated to better guide the delineation of idiopathic conditions from those that present with similar clinical symptoms but have distinct pathogeneses. Even with advances in testing that now enable the detection of amyloid- $\beta$  in suspected AD<sup>2</sup> and dopaminergic deficit in suspected PD,<sup>3</sup> definitive diagnoses for both require postmortem evaluation of brain tissue.

As biological samples, postmortem tissues are inadequate sources of information for the pre-clinical and prodromal stages of a disease.<sup>4,5</sup> Sample collection in such cases also becomes ethically and practically challenging. However, the growing burden of neurodegenerative disease demonstrates the need for a system which can model the intricacies of complex diseases like AD and PD, and help in the identification of biomarkers, risk factors and drug targets to improve diagnosis and treatment. Animal models have long been the answer to the problems of replicability and reliability inherent in samples collected directly from the patient. In addition, they provide a more holistic view of multifactorial diseases that even the current technology in human cellular models of neurodegeneration<sup>6</sup> fails to match. The discovery of genetic mutations and pathological proteins such as amyloid- $\beta$ , Tau, and  $\alpha$ -synuclein ( $\alpha$ S) that define AD and PD as proteinopathies has also driven the engineering of more robust animal models of these diseases, although no animal model has completely phenocopied either of the two. Most of them exhibit key parts of the neurodegenerative cascade, some to a greater extent than others, but whether the entire pathophysiology has or

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could be modelled in non-human subjects remains unclear to this day. Even so, animal models have undeniably granted us important insights into brain function, and continue to serve as platforms for the testing of drugs and novel therapeutics.

Mounting evidence suggests a clinical and pathological overlap between AD and PD<sup>7-9</sup> (Figure 1) and transgenic models of synucleinopathy that simultaneously reflect AD-reminiscent cognitive impairment<sup>10,11</sup> have even prompted genome-wide association studies (GWAS) into establishing a possible genetic link between the two diseases.<sup>12,13</sup> However, being ultimately unique to human beings, modelling AD and PD in lesser animals is a steep learning curve that is the subject of this review. Through the discussion that follows, we aim to highlight not only how animal models have been instrumental in the explication of disease pathology but also how modelling practices have evolved over the years.

## 2 | ANIMAL MODELS OF ALZHEIMER'S DISEASE

AD most commonly affects people above 60 years of age in what is called late-onset (LOAD) or sporadic AD. Early-onset (EOAD), familial AD is a much rarer occurrence although most of the animal models in AD research carry genetic mutations identified in EOAD patients. Over the last few years, however, the validity of data from these transgenic models with respect to the more prevalent sporadic disease has been increasingly questioned, and rodent models that

bypass the *APP* gene mutation to develop age-dependent behavioral changes are currently being investigated.<sup>14</sup>

### 2.1 | APP models

The *APP* gene encodes the amyloid precursor protein (APP) from which amyloid- $\beta$  (A $\beta$ ), the primary component of senile plaques, is derived. The amyloid cascade hypothesis that places A $\beta$  at the center of AD pathology and upon which much of the present work on AD and animal models of AD rests, was established through a series of findings between 1984 and 1987.<sup>15-19</sup> At that time, genetic engineering techniques were still relatively novel and animal models of AD were scarce to none because no proof of this disease could be found in species other than humans. Subsequently, neuritic plaques and cerebrovascular amyloid deposits found in non-human aged mammals began to be reported,<sup>20</sup> but in 1989 Rapoport theorized that AD was phylogenetically novel and specific to human beings.<sup>21</sup> Indeed, there exists a body of evidence separating amyloid and tauopathies found in primates from the neurodegenerative manifestation of classical AD,<sup>22</sup> and primate participation in AD research has received insufficient attention. The 1990s welcomed a surge in scientific intrigue about this disease and three pathogenic mutations on the *APP* gene were reported within the same year.<sup>23-25</sup> Then, in 1995, the first mouse model carrying the third v717F mutation and recapitulating many of the pathological hallmarks, including thioflavin-S-positive A $\beta$  deposits, neuritic plaques, astrogliosis, microgliosis and

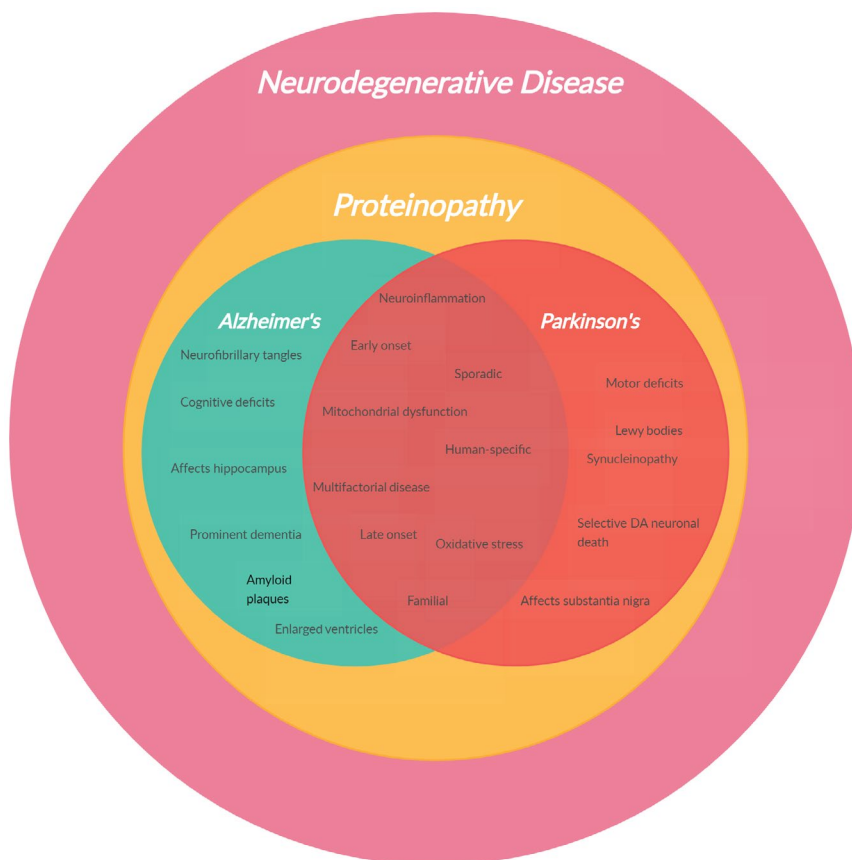


FIGURE 1 Clinical and pathological overlap between AD and PD

even synaptic loss, was developed,<sup>26</sup> blazing a trail for transgenic mice in the study of neurodegenerative diseases. Other mouse models of mutant APP quickly followed the first (called PD-APP mice after the PDGF promoter used to express the transgene), utilized different promoters<sup>27</sup> and even carried multiple mutations<sup>28</sup> to display elevated levels of A $\beta$ , amyloid plaques, neuritic dystrophy, and gliosis. Behavioral deficits and other neuropathological and electrophysiological aspects related to AD were also commonly observed in these models.<sup>29</sup> Despite such similarities, plaque deposition in these models varied both temporally and spatially. The TgCRND8 mice which expressed multiple APP mutations, for example, showed amyloid deposition in the parenchyma by 3 months of age.<sup>28</sup> PD-APP mice and Tg2576 mice, both carrying single mutations, differed in the composition of their plaques in that Tg2576 plaques were larger and denser and had fewer diffuse deposits than PD-APP mice.<sup>29</sup> Furthermore, A $\beta$ 40 and A $\beta$ 42 (truncations of the A $\beta$  peptide) were more or less equally elevated in Tg2576 models as opposed to a disproportionate elevation of A $\beta$ 42 in the PD-APP model.<sup>29</sup>

## 2.2 | PSEN models

Linkage analysis and cloning studies gained momentum in the 1990s and led to the identification of a second novel gene in 1995, bearing missense mutations found in EOAD patients.<sup>30</sup> That same year, the third EOAD gene was found<sup>31</sup> and shown to be homologous to the second gene. Mutations on these genes, since named *PSEN1* and *PSEN2* respectively, were subsequently reported and more than 380 combined *PSEN* mutations are known today, making *PSEN* variants the leading cause of familial AD, with *APP* variants coming up second.<sup>32</sup> *PSEN* directs the expression of presenilins which comprise the catalytic subunit of the  $\gamma$ -secretase enzyme complex that catalyzes intramembranous APP proteolysis, the site of which differs for EOAD-associated presenilin variants, giving rise to the longer and more amyloidogenic A $\beta$ 42 peptide species.<sup>33</sup> Mutant *PSEN1* transgenic lines were developed a year later in 1996, using many of the same promoters that were used in *APP* mice.<sup>34,35</sup> These mice produced elevated levels of A $\beta$ 42 with little to no change in A $\beta$ 40 levels, although single mutant lines were found without plaque formation.<sup>36</sup> Crossed with *APP* lines, however, plaque deposition was expedited and more extensive<sup>37,38</sup> with significant neuronal loss in the hippocampus.<sup>39</sup>

By the 1990s, invertebrates were already being investigated as potential models of AD, with the introduction of nematodes (*Caenorhabditis elegans*) expressing the A $\beta$ 42 peptide in 1994.<sup>40</sup> This, of course, was 4 years before the completion of its genome sequencing led to the discovery of the *C. elegans* APP homolog, *apl-1*, which is expressed in multiple tissue types and is now known to be essential for viability of the organism.<sup>41</sup> Loss of *apl-1*, as well as its overexpression, produces adverse developmental effects, including larval lethality, which may be rescued by the neuronal expression of this protein's extracellular domain.<sup>41</sup> A reduction in the activity of *sel-12*, a presenilin homolog discovered in 1995 as a suppressor of a *lin-12*

gain-of-function mutation,<sup>42</sup> has also been reported to partially rescue *apl-1* lethality, generally mimicking the regulatory relationship between human *PSEN* and *APP*.<sup>41</sup> More recently, the effects of age-altered metal homeostasis on the deposition of A $\beta$ , as well as other late-onset symptoms like paralysis, have been studied in *C. elegans* models of AD.<sup>43</sup> Directed expression of the human A $\beta$  peptide in *Drosophila*, despite the presence of the *APP*-homolog *App1*, has been used to study A $\beta$  toxicity *in vivo*, where it causes locomotive and cognitive defects in the flies.<sup>44</sup>

## 2.3 | MAPT models

Reports of A $\beta$ -dependent synaptic function impairment, synaptic loss, and dystrophic neurites in mouse *APP* and *APP/PSEN1* models notwithstanding, the lack of neuronal loss and neurodegeneration seen in human AD could not be adequately explained in these animals. In the mid-1980s, even before amyloid cascade was the leading hypothesis in the modelling of AD, families diagnosed with heritable fronto-temporal dementia (FTD; earlier more popular as Pick's disease<sup>45</sup>) had revealed evidence of Tau inclusions containing hyperphosphorylated Tau.<sup>46</sup> In 1997, this pathological feature was termed 'tauopathy'<sup>47</sup> and the following year mutations in the microtubule-associated protein tau (*MAPT*) gene were reported.<sup>48-50</sup> These mutations in FTD patients seemed to manifest clinically in a variety of ways that included changes in behavior and impairment of speech, memory and motor functions, and pathologically as the neurodegeneration of multiple neuronal systems.<sup>51</sup> Six isoforms of Tau are known to be formed through alternate splicing<sup>52</sup>—three containing 3 microtubule-binding repeats (3R Tau) and three containing 4 of those repeats (4R Tau). The ratio between these isoforms is essential to prevent neurodegeneration and dementia in adult humans. Tauopathy-related *MAPT* mutations alter the splicing of exon 10, causing an imbalance in this ratio with the overproduction of 4R Tau.<sup>49</sup> Functionally, Tau was characterized in 1977 as a contributor to axonal stability where only the phosphorylated protein could bind the axonal microtubules<sup>53</sup> and in 1986, hyperphosphorylated Tau was found to be abundantly present in the neurofibrillary tangles (NFTs) that had been established as a pathological hallmark of AD.<sup>54</sup> However, no causal relationship between the state of its phosphorylation and its aggregation in AD patients has been sufficiently described to date. The first transgenic mice to model a tauopathy expressed 4R, the largest tau isoform and the most natural substrate for hyperphosphorylation but showed little to no NFT formation.<sup>55</sup> Contrastingly, mice expressing the mutated P301L protein developed behavioral and motor deficits in addition to the age- and dose-dependent formation of NFTs across multiple regions of the brain and spinal cord.<sup>56</sup> It is important to note, however, that while Tau clearly participates in the pathogenesis of AD, Tau mutations that could explain its aberrant behavior were never explicitly associated with this disease. Nevertheless, the FTD mutation, P301L, was extensively used to study *MAPT* pathology and its role in neurodegeneration. Many important discoveries were made in this process—the

onset of memory loss in mice models before the development of significant NFT pathology and the success of transgene suppression in halting neuronal loss but not the formation of NFTs suggested that not NFTs but another toxic MAPT intermediate could be responsible for the neurodegeneration and memory deficit seen in AD.<sup>57</sup> In general, NFT pathology is seen to progress hierarchically, spreading gradually from the transentorhinal cortex to the hippocampus and then to other cortical regions in a cell-to-cell transfer that is reminiscent of AD.<sup>58–60</sup> Compared with A $\beta$  deposition, Tau pathology is believed to occur at a later stage but correlates better with cognitive decline in AD patients.<sup>61,62</sup> In *Drosophila*, overexpression of mutant or even wild-type human Tau causes age-dependent neurodegeneration and early death. Several determinants of Tau toxicity like kinases, phosphatases, apoptotic regulators, and cytoskeleton proteins have been identified *in vivo* using large-scale screens based on such model neurodegenerative phenotypes.<sup>63</sup> Although there exist certain structural differences between human Tau and fly Tau, many phosphorylation sites that are present in MAPT are conserved throughout the Tau family.

## 2.4 | Neuroinflammation in AD

For a long time, neuroinflammation as seen in *APP/A $\beta$*  or Tau models was thought to be a secondary effect of plaque and NFT accumulation, although neither pathology, either independently or in complex, could adequately explain the progression of AD in humans.<sup>64</sup> Eventually, growing evidence of inflammatory responses in patient brains, postmortem tissues, and even preclinical models of AD<sup>65,66</sup> have suggested that neuroinflammation plays a more central role in AD pathogenesis. Microglial activation and cytokine release is thought to be responsible for neuroinflammation, and therefore it cannot be assumed that this response is exclusive to AD; in fact, numerous studies have revealed an increase in inflammation markers in the brains of those diagnosed with PD,<sup>67</sup> Huntington's disease,<sup>68</sup> traumatic brain injuries,<sup>69</sup> and amyotrophic lateral sclerosis.<sup>70</sup> However, further research into this phenotype has shown that not only is this inflammation associated with neuronal loss but it also exacerbates both amyloid and NFT pathologies and could potentially be what links the early signs of A $\beta$  accumulation and the late-stage development of Tau tangles.<sup>71</sup> It has been hypothesized that microglial activation is, in reality, driven by the building levels of insoluble A $\beta$ .<sup>72</sup> In the early 2000s, the induction of gliosis resulted in at least partial clearance of A $\beta$  deposits and rescue of some of the symptoms in model systems<sup>73</sup> but prolonged activation was shown to reverse those effects with a gradual decline in microglial capacity to bind and process A $\beta$ <sup>74</sup> but not its capacity to produce cytokines.<sup>75</sup> These pro-inflammatory cytokines, along with other neurotoxins of microglial origin, are thought to contribute to neuroinflammation and eventually neurodegeneration.

Whatever the transgene or peptide, the establishment of disease or disease phenotypes in animal models is fundamentally dependent on their overexpression. This in itself contradicts the development

of AD in humans which, so far, has not been linked to increases in genetic expression. How far this difference affects what we know about the disease is still a matter of debate.

## 3 | ANIMAL MODELS OF PARKINSON'S DISEASE

PD manifests pathologically as the progressive loss of nigrostriatal dopaminergic neurons and formation of  $\alpha$ S-rich aggregates called Lewy bodies and Lewy neurites. Like with AD, a very low percentage (15%) of patients globally report a family history of PD-related symptoms, while the rest are classified as sporadic. However, no single gene mutation has been shown to have complete penetrance in PD, and there's a real possibility that multiple genetic factors synergistically increase the risk of both familial and sporadic PD.<sup>76</sup> The physiological manifestations are heterogenous but clinically apparent as motor—including bradykinesia, akinesia, tremors and postural difficulties—and non-motor symptoms like disturbed sleep, anxiety, depression, and cognitive deficits, which often occur prior to the motor symptoms.<sup>76</sup>

### 3.1 | Neurotoxin models

#### 3.1.1 | Reserpine

PD was first described in 1817, almost a century before AD, and until the implication of the *SNCA* gene in the late-90s, the risk factors for this disease were believed to be mostly, if not entirely, environmental. The discovery of reserpine, a plant extract traditionally used in the treatment of insanity, associated fever, and snakebites,<sup>77</sup> in the 1950s paved the way for the development of the earliest rodent models of PD. In 'reserpinized' animals, transmitter depletion, chiefly that of 5-hydroxytryptamine among other catechol amines,<sup>78</sup> occurs in the adrenergic system, knocking them into a 'tranquilized state' characterized by the slowing of movement and other parkinsonian symptoms. The rescue of these symptoms, for the first time in 1957, by the administration of 3,4-dihydroxyphenylalanine (commercially, L-DOPA), a natural precursor of the transmitter amines that could cross the blood-brain-barrier (BBB), was a breakthrough in pharmacological research into PD.<sup>79</sup> Soon after, this effect was replicated in human subjects<sup>80</sup> and established reserpine rats as robust screens for the symptomatic efficacy of novel drugs. Despite this, arguments built against the suitability of reserpine models in the study of PD, a disorder then thought to be orchestrated largely and selectively by the loss of dopamine which was only one among the class of neurotransmitters that were blocked by reserpine. Subsequently noradrenergic and serotonergic systems also began to be implicated in PD pathogenesis<sup>81</sup>; however, since it was later elucidated that reserpine causes over 95% loss of striatal dopamine within hours of injection, much of the research focus remained on dopaminergic degeneration, with reserpine rats the subject of choice. Besides

neurochemical deficits, the compound also affected basal ganglia structures<sup>82,83</sup> and induced akinesia and hind limb rigidity, but these effects were unfortunately transient, and not much can be said beyond the pre-clinical validity of these models.<sup>84</sup>

### 3.1.2 | 6-OHDA

The hydroxylated dopamine analogue 6-OHDA was first identified as a toxin capable of inducing the degeneration of nigrostriatal dopaminergic neurons in 1968<sup>85</sup> and although it is now widely used in the study of Parkinsonian disorders, it is unable to cross the BBB and requires to be administered via direct injection into the brain. The site of injection varies, however, and is usually determined by the requirements of the study. Usually, to avoid inadvertent injury to the substantia nigra pars compacta (SNpc), where A9 dopaminergic neurons are found in large numbers, the toxin is injected into the median forebrain bundle (MFB) or the terminal striatum. For purposes of nigral drug target analysis, a single in-dwelling cannula for both toxin and drug administration is often more practical.<sup>86,87</sup> Although its specifics remain poorly understood, the general mechanism of 6-OHDA action involves the inhibition of the mitochondrial respiratory complex and the generation of reactive oxygen species (ROS) which create oxidative stress.<sup>88</sup> These events, catalyzed by iron and antagonized by iron chelators and antioxidants, closely mimic those of human PD<sup>89</sup> and lend considerable construct validity to 6-OHDA models. Injected into the SNpc, 6-OHDA produces rapid, but dose-dependent neurodegeneration, marked by full or partial lesions<sup>84</sup> and accompanied by microglial activation,<sup>90</sup> and drug-induced rotational behavior.<sup>91</sup> However, this pathology is still incomplete with respect to PD in that it does not noticeably affect other regions of the brain besides the basal ganglia. No proteinaceous aggregates resembling Lewy bodies can be detected in 6-OHDA models either, and the neurodegeneration is much more rapid than it would be in PD patients. Nevertheless, the 6-OHDA continues to facilitate pre-clinical drug discovery and validate their symptomatic efficacy in PD.

### 3.1.3 | MPTP

In 1982, 'synthetic heroin' was found to cause detectable signs of advanced PD almost overnight in San Francisco patients.<sup>92</sup> Subsequently identified as 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP), this compound is not toxic by itself but is able to cross the BBB and is rapidly converted to 1-methyl-4-phenylpyridinium (MPP+)<sup>93</sup> in the brain via a reaction catalyzed by monoamine oxidase B (MAO-B), an enzyme that has been shown to inhibit 6-OHDA pathology.<sup>88</sup> MPP+ is selectively taken up by dopaminergic neurons in the substantia nigra<sup>94,95</sup> and, in toxic concentrations, inhibits the mitochondrial complex I<sup>96</sup> and results in the production of ROS. Interestingly, MPTP-induced parkinsonism had first been observed in humans and is perhaps why—coupled with the fact that rats were found to be resistant to MPTP<sup>97</sup>—closely-related primate monkeys

arose as the earliest non-human models of this disease.<sup>98-100</sup> In primates, even upon moderate exposure, MPTP damages vesicular monoamine transporter type-2 (VMAT-2), which normally serves to store dopamine.<sup>101</sup> Cytosolic dopamine levels are thereby increased which, in turn, generate ROS and could be implicated in the retrograde degeneration of dopaminergic neurons.<sup>101</sup> A similar mechanism has, in fact, already been identified in humans,<sup>102</sup> and even though monkeys recapitulate almost the entire spectrum of motor symptoms seen in humans, respond to levodopa, and present with significant dopaminergic neurodegeneration in the SNpc,<sup>103</sup> not many research facilities are normally equipped to conduct studies on primates, and thus the mouse MPTP model has become a more affordable and accessible option. MPTP mice can be both acute or subacute models depending on the dose and frequency of injection and display acute or subacute loss of dopaminergic neurons in the SNpc. Subacute MPTP models even carry  $\alpha$ S inclusions, which acute models do not,<sup>104</sup> but unlike the former, acute models develop certain motor deficits; however, those are transient and have limited applicability in behavioral studies.<sup>105</sup>

## 3.2 | Genetic models

Traditionally, PD has been characterized more in clinical terms than pathological. Since the first implication of a genetic culprit in the etiology of this disease, several PD risk loci have been identified and some pathological features common to both idiopathic and genetic PD (mainly Lewy inclusions and dystrophic neurites) are now considered hallmarks. To date, 23 genes—called PARK genes and numbered in the order of their identification—have been linked to PD; however, their penetrance and actual relevance in the context of both clinical and pathological disease are still burning questions. In truth, only a few of the PARK genes have reasonably confirmed inheritance patterns and pathogenic roles, while the involvement of the rest is largely unclear. Moreover, two or more of these genes have been mapped to the same molecular pathway, leading to the likelihood of oligogenic involvement in determining PD risk.<sup>106</sup> We will discuss only the most popular genetic models in this review.

### 3.2.1 | SNCA (PARK1-4) models

$\alpha$ S was originally isolated in 1993 from plaques found in AD brains and called NACP (non-amyloid component of plaque).<sup>107</sup> Its gene, SNCA, was later mapped to chromosome 4q21<sup>108</sup> but it was not until 1996 that SNCA was first found to cosegregate with familial, autosomal dominant PD.<sup>109</sup> The following year the first SNCA mutation, A53T, was reported<sup>110</sup> and  $\alpha$ S was also independently identified as the principal component of Lewy bodies.<sup>111</sup> Other missense mutations were subsequently reported and today eight pathogenic  $\alpha$ S mutations are known. Functionally,  $\alpha$ S, a small 140aa protein, has been characterized as playing important roles in vesicle trafficking, docking, priming and fusion, neurotransmitter release and axonal



transport, although the exact nature of these roles requires further elucidation.<sup>112</sup> The first transgenic mice to model  $\alpha$ S-mediated neurodegeneration expressed the wild-type human protein and progressively developed both  $\alpha$ S- and ubiquitin-immunoreactive inclusions in multiple regions of the brain including the substantia nigra.<sup>113</sup> These changes were accompanied by some motor deficits resembling those of PD, but the inclusions observed were not of the fibrillar structure that is typical of Lewy inclusions and no significant loss of dopaminergic neurons was reported either.<sup>113</sup> Likewise, models carrying single autosomal dominant mutations like A53T, A30P and E46K also fail to recapitulate dopaminergic neurodegeneration, although they do form  $\alpha$ S aggregates.<sup>114</sup> However, between 2000 and 2011, varying patterns and severity of  $\alpha$ S and Lewy body pathology were observed in different models of mutated or wild-type SNCA. Expression of truncated protein and the overexpression of wild-type  $\alpha$ S both resulted in some degree of nigro-striatal dopaminergic neuron loss,<sup>115,116</sup> while in knock-in/knock-out models, only double knock-out mice displayed dopaminergic degeneration. Replacement of endogenous  $\alpha$ S with wild-type or mutated human  $\alpha$ S produced some striatal pathology, as made evident by increased levels of striatal catecholamines and metabolites, whereas doubly mutant transgenes displayed additional neurite dystrophy and motor deficits.<sup>117</sup> Then in 2012, a new mouse model that displayed progressive formation of fibrillar  $\alpha$ S inclusions with striking resemblance to Lewy bodies, nigral neurodegeneration, decrease in striatal dopamine, neuroinflammation as well as motor deficits entered the scene, carrying pre-formed fibrils (PFF) that were produced *in vitro* and then injected directly into their brains.<sup>118</sup> Since then, a large number of PFF models have been generated using both rodents and non-human primates, with varying sites (striatum, substantia nigra, and cortex), strains and doses of injection.<sup>119</sup> Evidence of retrograde transport templating endogenous  $\alpha$ S has been observed in these models.<sup>118</sup>

The presence of an endogenous SNCA gives mice the edge over other model candidates like *C. elegans* and *Drosophila melanogaster*, which require an artificial introduction of the gene. Human SNCA may be overexpressed in *Drosophila* to mimic to some degree human PD pathophysiology, including the development of proteinase-K-resistant and ubiquitin-positive  $\alpha$ -synuclein aggregates. Most synucleinopathy symptoms are, in fact, more intense and develop quicker in flies than in other animals and all SNCA mutations currently known to us are reported to have been modelled in *Drosophila*.<sup>120</sup>

### 3.2.2 | LRRK2 (PARK8) models

The PARK8 locus was first linked to autosomal dominant PD in 2002 but characterized with low penetrance.<sup>121</sup> The gene was later identified as *LRRK2* and a series of mutations in multiple domains of the protein were reported in 2004.<sup>122,123</sup> These included R1441C, Y1699C, and I2020T, which are among the seven pathogenic *LRRK2* mutations known today.<sup>124</sup> *LRRK2* function is not yet well understood, although putative roles in protein translation, axonal growth

and brain aging have been associated with this protein, along with putative kinase activity based on its domain structure and sequence homology with existing kinases.<sup>125</sup> The G2019S mutation was reported a few years later and estimated to be the most common PD-linked mutation,<sup>126</sup> followed by R1441C/G/H. Like SNCA, rodents carry an endogenous *LRRK2* homolog which encodes a protein similar in structure and function to human *LRRK2*, and also have conserved dopaminergic neuroanatomical pathways. This has set the stage for a multitude of knock in/knock out models, R1441C knock in mice being some of the earliest animals to ever model the *LRRK2* pathology.<sup>127</sup> However, no signs of  $\alpha$ S aggregation were detected and the nigrostriatal tract also remained largely unaffected.<sup>127</sup> Contrastingly, a BAC-transgenic model carrying the R1441G mutation showed neuritic inclusions, but no neurodegeneration.<sup>128</sup> Similar Tau pathology was observed in other BAC models expressing G2019S and wild-type *LRRK2* but without  $\alpha$ S inclusions or overt neurodegeneration.<sup>129</sup> Adenovector-based delivery of mutant and wild-type *LRRK2* also resulted in limited neurofilamental developments and little to no synucleinopathy. Thereafter, in a multi-hit approach, viral vectors delivered human wild-type  $\alpha$ S to the substantia nigra of adult *LRRK2* rats, inducing a significant dopaminergic neuron loss that is further increased in BAC G2019S *LRRK2* rodents.<sup>129</sup> A53T-mutant  $\alpha$ S also induced a massive neurodegeneration of the substantia nigra but the copresence of the G2019S mutation seemed to have no synergistic effect on the pathophysiology.

Instead, G2019S knock-in mice exhibited nearly a twofold increase in the severity of nigral synucleinopathy.<sup>129</sup>

### 3.2.3 | Parkin and PINK1 (PARK2, PARK6) models

In 1998, Kitada and colleagues became the first to identify mutations in *Parkin* and further characterize them as causes of an autosomal recessive form of juvenile parkinsonism (AR-JP).<sup>130</sup> The *Parkin* protein is analogous in function to E3 ubiquitin-protein ligases. The amino-terminal domain of *Parkin* is required for substrate recognition, while the RING-finger domain interacts with its corresponding E2 ubiquitin-conjugating enzyme, UbcH7. Numerous studies in *Drosophila*, mice, and human cells suggest that *Parkin*, together with another pathologically significant protein, *PINK1*, is part of a common pathway that protects against the effects of damaged mitochondria.<sup>131</sup> The phosphate and tensin homolog-induced putative kinase 1 gene (*Pink1*) is located on the short arm of chromosome 1. Valente et al. first identified two *Pink1* homozygous mutations in 2004 in Sicilian subjects, which were subsequently associated with the autosomal recessive form of PD (AR-PD).<sup>132</sup> More than 10 different mutations in this gene have since been reported to cause PD. Other than missense mutations, combinations of homozygous and heterozygous loss-of-function mutations commonly facilitate the onset of AR-PD. Knocking out *Pink1* has been the most common transgenic approach to engineering models of this gene. In the year 2006, *Drosophila* *PINK1*<sup>-/-</sup> models were used to establish that *Pink1* knockouts have degenerated flight muscles in addition

to mitochondrial damage and result in apoptosis, muscle degeneration, and sterility, specially in males.<sup>133</sup> In 2009, *C. elegans* models were explored to establish the antagonistic role of LRRK2, and PINK1; axon swelling and neurodegeneration were observed, which were rescued by the expression of either WT-LRRK2 or WT-PINK1, suggesting that PINK1 acts downstream of LRRK2.<sup>134</sup> In 2014, a study was funded by The Michael J. Fox Foundation for Parkinson's Research (MJFF) to generate novel rat models with targeted disruption of Pink1, which manifests mainly as DA neuronal loss in the substantia nigra, motor defects, proteinase K-resistant LB formation, and mitochondrial damage.<sup>135</sup>

## 4 | iPSC MODELS AND FUTURE DIRECTIONS

The discovery and use of induced pluripotent stem cells (iPSCs) can be traced back to the early 2000s. Their greatest impact to date has been on pharmacological research, disease modelling, and drug screening. It is true that iPSCs present attractive advantages over animal models in terms of their ability to provide a complete or nearly-complete replica of endogenous cellular systems, but given the high skill requirements, poor reproducibility, and large costs, it is still too soon to say whether they could replace animal models altogether. iPSCs have provided unique insights into the biochemical functions of pathogenic proteins in AD and PD. SNCA iPSC models have recapitulated  $\alpha$ S inclusion pathology in dopaminergic neurons. iPSC models of LRRK2 have suggested that the protein plays a role in  $\alpha$ S upregulation, regulates the expression of oxidative stress-response genes, and contributes to mitochondrial dysfunction during the progression of PD.<sup>136</sup> It is also through iPSCs that the role of Parkin and PINK1 in mitochondrial regulation, and dopamine uptake, as well as release, were elucidated.<sup>136</sup> Brain organoids were developed from human midbrain tissues in 2017 but neuroblastoma cell lines and catecholaminergic cell lines like PC-12 are more popularly used to model PD.<sup>137</sup> More recently, iPSCs derived from postmortem tissues are being used to directly model a diseased cell, and libraries of such iPSC models are being developed as preclinical testing resources.<sup>138</sup> iPSCs that model the effects of mutant APOE4 have been used to study its importance as a risk factor for AD. These cells display increased levels of A $\beta$  and Tau, and induce GABA transmission.<sup>139</sup> iPSC technology has also made possible the development of neuronal precursors and, subsequently, astrocytes which can be used to study A $\beta$  homeostasis, *PSEN* mutations, and cytokine release, among others.<sup>136</sup> iPSC products in combination with CRISPR-Cas9 gene editing have started to find some applicability as therapeutic agents in the treatment of diseases. However, certain inherent risks and limitations, including the risk of tumorigenicity and the tendency to accumulate karyotypic aberrations over time, makes their transplantation into living humans a risky venture.<sup>140</sup> Nevertheless, iPSCs are more than a promising aid in the study of disease pathologies and if their challenges are appropriately overcome, may well be the key to understanding, preventing and curing many complex diseases.

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## CONFLICT OF INTEREST

The authors have no conflicts of interest to declare.

## AUTHOR CONTRIBUTIONS

RB wrote the paper, AR, SN, SMI, contributed information, MT compiled and edited the review.

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