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## Clonal hematopoiesis is associated with higher risk of stroke

Romit Bhattacharya, MD<sup>1,2,3,†</sup>, Seyedeh M. Zekavat, BS<sup>1,2,4,†</sup>, Jeffrey Haessler, MS<sup>5</sup>, Myriam Fornage, PhD<sup>6,7</sup>, Laura Raffield, PhD<sup>8</sup>, Md Mesbah Uddin, PhD<sup>1,2</sup>, Alexander G. Bick, MD PhD<sup>9</sup>, Abhishek Niroula, PhD<sup>2,10,11</sup>, Bing Yu, PhD<sup>7</sup>, Christopher Gibson, MD<sup>11</sup>, Gabriel Griffin, MD<sup>12,13</sup>, Alanna C. Morrison, PhD<sup>7</sup>, Bruce M. Psaty, MD PhD<sup>14</sup>, William T. Longstreth, MD MPH<sup>15</sup>, Joshua C. Bis, PhD<sup>16</sup>, Stephen S. Rich, PhD<sup>17</sup>, Jerome I. Rotter, MD<sup>18</sup>, Russell P. Tracy, PhD<sup>19</sup>, Adolfo Correa, MD PhD<sup>20</sup>, Sudha Seshadri, MD<sup>21,22,23</sup>, Andrew Johnson, PhD<sup>22,24</sup>, Jason M. Collins MPH<sup>25</sup>, Kathleen M. Hayden, PhD<sup>26</sup>, Tracy E. Madsen, MD PhD<sup>27</sup>, Christie M. Ballantyne, MD<sup>28</sup>, Siddhartha Jaiswal, MD PhD<sup>29</sup>, Benjamin L. Ebert, MD PhD<sup>11,30</sup>, Charles Kooperberg, PhD<sup>5</sup>, JoAnn E. Manson, MD DrPH<sup>31</sup>, Eric A. Whitsel, MD MPH<sup>24,32</sup>, NHLBI Trans-Omics for Precision Medicine Program, Pradeep Natarajan, MD MSc<sup>1,2,3,\*</sup>, Alexander P. Reiner, MD MSc<sup>33,\*</sup>

<sup>1</sup>Cardiovascular Research Center, Massachusetts General Hospital, Boston, MA

<sup>2</sup>Program in Medical and Population Genetics and the Cardiovascular Disease Initiative, Broad Institute of Harvard and MIT, Cambridge, MA

<sup>3</sup>Department of Medicine, Harvard Medical School, Boston, MA

<sup>4</sup>Yale School of Medicine, New Haven, CT

<sup>5</sup>Division of Public Health Sciences, Fred Hutchinson Cancer Research Center, Seattle, WA

<sup>6</sup>Brown Foundation Institute of Molecular Medicine, McGovern Medical School, The University of Texas Health Science Center at Houston, Houston, TX

<sup>7</sup>Human Genetics Center, Department of Epidemiology, Human Genetics, and Environmental Sciences, School of Public Health, The University of Texas Health Science Center at Houston, Houston, Texas, USA

<sup>8</sup>Department of Genetics, University of North Carolina at Chapel Hill, Chapel Hill, NC

<sup>9</sup>Division of Genetic Medicine, Department of Medicine, Vanderbilt University Medical Center, Nashville, TN

<sup>10</sup>Department of Laboratory Medicine, Lund University, Lund, Sweden

<sup>11</sup>Department of Medical Oncology, Dana-Farber Cancer Institute, Boston, MA

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Please address correspondence to: Pradeep Natarajan, MD MSc, 185 Cambridge Street, CPZN 3.184, Boston, MA 02114, pnatarajan@mgh.harvard.edu, 617-726-1843, Alexander P. Reiner, MD MSc, University of Washington, Department of Epidemiology, UW Box # 351619, Seattle, WA 98195, apreiner@uw.edu, 206-667-2710.

<sup>†</sup>These authors contributed equally to this work

<sup>\*</sup>These authors jointly supervised this work

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12. Department of Pathology, Brigham and Women's Hospital, Boston, MA
13. Epigenomics Program, Broad Institute of MIT and Harvard, Cambridge, MA
14. Cardiovascular Health Research Unit, Departments of Medicine, Epidemiology, and Health Services, University of Washington, Seattle, WA.
15. Departments of Neurology and Epidemiology, University of Washington, Seattle, WA
16. Cardiovascular Health Research Unit, Department of Medicine, University of Washington, Seattle, WA
17. Center for Public Health Genomics, University of Virginia, Charlottesville, VA
18. The Institute for Translational Genomics and Population Sciences, Department of Pediatrics, The Lundquist Institute at Harbor-UCLA Medical Center, Torrance, CA USA.
19. Department of Pathology and Laboratory Medicine, University of Vermont College of Medicine, Burlington
20. Jackson Heart Study, University of Mississippi Medical Center, Jackson, MS, USA.
21. Glenn Biggs Institute for Alzheimer's & Neurodegenerative Diseases, University of Texas Health Sciences Center, San Antonio, TX 78229
22. Boston University and the NHLBI's Framingham Heart Study, Boston, MA, 02215, USA.
23. Department of Neurology, Boston University School of Medicine, Boston, MA, 02118, USA.
24. Population Sciences Branch, Division of Intramural Research, National Heart, Lung and Blood Institute, Framingham, MA 01702
25. Department of Epidemiology, University of North Carolina, Gillings School of Global Public Health, Chapel Hill, NC
26. Department of Social Sciences and Health Policy, Wake Forest School of Medicine, Winston-Salem, NC, USA.
27. Departments of Emergency Medicine and Epidemiology, Brown University
28. Department of Medicine, Baylor College of Medicine, Houston, TX
29. Department of Pathology, Stanford University School of Medicine, Stanford, CA
30. Howard Hughes Medical Institute, Boston, MA
31. Department of Medicine, Brigham and Women's Hospital, Harvard Medical School, Boston, MA
32. Department of Medicine, School of Medicine, University of North Carolina, Chapel Hill, NC
33. Department of Epidemiology, University of Washington, Seattle, WA 98109, USA.

## STROKE STRUCTURED ABSTRACT

**Background and Purpose**—Clonal hematopoiesis of indeterminate potential (CHIP) is a novel age-related risk factor for cardiovascular disease-related morbidity and mortality. The association of CHIP with risk of incident ischemic stroke was reported previously in an exploratory analysis

including a small number of incident stroke cases without replication and lack of stroke sub-phenotyping. The purpose of this study was to discover whether CHIP is a risk factor for ischemic or hemorrhagic stroke.

**Methods**—We utilized plasma genome sequence data of blood DNA to identify CHIP in 78,752 individuals from 8 prospective cohorts and biobanks. We then assessed the association of CHIP and commonly mutated individual CHIP driver genes (*DNMT3A*, *TET2*, *ASXL1*) with any stroke, ischemic stroke, and hemorrhagic stroke.

**Results**—CHIP was associated with an increased risk of total stroke (HR= 1.14, 95% CI 1.03–1.27;  $P=0.01$ ) after adjustment for age, sex, and race. We observed associations with CHIP with risk of hemorrhagic stroke (HR= 1.24, 95% CI 1.01–1.51;  $P=0.04$ ) and with small vessel ischemic stroke subtypes. In gene-specific association results, *TET2* showed the strongest association with total stroke and ischemic stroke, whereas *DNMT3A* and *TET2* were each associated with increased risk of hemorrhagic stroke.

**Conclusions**—CHIP is associated with an increased risk of stroke, particularly with hemorrhagic and small vessel ischemic stroke. Future studies clarifying the relationship between CHIP and subtypes of stroke are needed.

## INTRODUCTION

Clonal hematopoiesis of indeterminate potential (CHIP) is a recently recognized age-related condition defined by clonal expansion of hematopoietic stem cells (HSC) from acquired leukemogenic mutations (typically in *DNMT3A*, *TET2*, *ASXL1*, *JAK2*, etc) among asymptomatic adults<sup>1–5</sup>. CHIP can be detected in at least 10–20% of individuals over the age of 70 years using next-generation sequencing of blood DNA. While CHIP is associated with increased risk of hematologic malignancies, CHIP has also been robustly associated with increased coronary heart disease (CHD) risk as well as all-cause and CVD-related mortality<sup>3, 6</sup>. The association of CHIP with CHD is independent of traditional CVD risk factors such as high blood cholesterol, hypertension, smoking, and diabetes<sup>7</sup>. Consistently, atherogenic murine models have shown that hematopoietic stem cell deficiency of CHIP genes promotes atherogenesis<sup>3, 8, 9</sup>. In *in vitro* systems, monocytes carrying CHIP mutation appear to contribute to acceleration of atherosclerosis through pro-inflammatory mechanisms<sup>6</sup> validated through RNA sequencing and biomarker studies in humans<sup>6, 10</sup>. Murine and human germline genetic analyses aligned with these observations<sup>6, 8, 9</sup>.

The association of CHIP with risk of incident ischemic stroke was first reported by Jaiswal et al (2014) in an analysis conducted within two cohorts comprising 3,190 persons with 84/3,071 (2.7%) without CHIP developing incident stroke and 12/122 (9.8%) with CHIP developing incident stroke yielded a 2.6-fold age-independent affect<sup>2</sup>. The ischemic stroke risk appeared to be somewhat greater among persons who had a variant allele fraction of >10%, or at least 10% of circulating blood DNA with a CHIP mutation<sup>11–13</sup>. This initial report was limited by the relatively small number of incident stroke cases and lack of stroke sub-phenotyping. Moreover, whether CHIP is additionally a risk factor for hemorrhagic stroke, another common type of stroke, is unknown.

Here, we utilized genome sequence data to define CHIP in individuals from 8 prospective cohorts or biobanks with stroke follow-up and sub-phenotyping comprising 86,178 individuals (7,426 stroke cases). We assessed the role of CHIP as a risk factor for all stroke, ischemic stroke, and hemorrhagic stroke. We further assessed CHIP-stroke associations according to commonly mutated CHIP genes (i.e., *DNMT3A*, *TET2*, and *ASXL1*).

## MATERIALS AND METHODS

### Data Availability

The data that support the findings of this study are available from the corresponding studies and biobanks upon reasonable request. Genomic TOPMed data are available on dbGAP (ARIC, phs001211.v3.p2; CHS, phs001368; FHS, phs000974; JHS, phs000964; MESA, phs001416; WHI, phs001237). UKBB data are available from [ukbiobank.ac.uk](http://ukbiobank.ac.uk) with an application for qualified researchers. MGBB data are available to qualified researchers by application.

### Study participants

The current analysis includes participants from six cohort studies [the Atherosclerosis Risk in Communities (ARIC) study, the Cardiovascular Health Study (CHS), the Framingham Heart Study (FHS), the Jackson Heart Study (JHS), the Multi-Ethnic Study of Atherosclerosis (MESA), the Women's Health Initiative (WHI)] and two electronic health record-based biobanks [UK Biobank (UKBB) and Mass General Brigham Biobank (MGBB)]. Detailed descriptions of each cohort are provided in the Supplemental Methods. All studies were approved by local Institutional Review Boards and written informed consent was obtained from each participant. This study adheres to the Strengthening the Reporting of Genetic Association Studies (STREGA) extension of the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) checklist for observational studies<sup>14</sup>.

We excluded participants who had a known history of stroke at enrollment, incident stroke prior to blood draw, or insufficient CHIP data quality or missing covariates from each participating study. We excluded individuals with a known history of hematologic malignancy or other non-neoplastic clonal disease. We also excluded one of each pair of first-, second-, or third-degree relatives at random from each study. After exclusions, there were a total of 7,426 incident stroke cases and 78,752 controls for analysis. Based on self-reported ancestry, these include White (n=71,820), Black (n=8,667), and Other Race (n=5,715) (Table 1).

### CHIP Exposure Definition

For CHS, JHS, MESA, FHS, and WHI, CHIP genotypes were previously determined at the Broad Institute (Cambridge, MA) via deep-coverage whole genome sequencing (WGS) of blood DNA using GATK MuTect2<sup>15</sup> and hematopathology manual confirmation through the NHLBI TOPMed project (freeze 6) on the basis of pre-specified driver mutations in 74 genes known to promote clonal expansion of hematopoietic stem cells with variant allele frequency (VAF) of >2% as previously described<sup>3,7</sup>. For ARIC, UKBB and MGBB,

CHIP was determined at the Broad Institute (Cambridge, MA) via whole exome sequencing (WES) using same genotyping algorithm described above as previously described<sup>6, 7, 16</sup>.

CHIP was defined by the presence of pathogenic somatic variants in genes previously implicated in hematologic cancers with a VAF >2% in persons without a known diagnosis of hematologic cancer or other non-neoplastic clonal disease (e.g. myelofibrosis, myelodysplasia). For secondary analyses, VAF >10% was used to define large CHIP and variants grouped by the top genes (i.e., *DNMT3A*, *TET2*, and *ASXL1*) were considered.

### Stroke Outcome Definitions

In the six cohort studies, all stroke cases were adjudicated by trained physician adjudicators during follow-up using surveillance, hospitalization records, death certificates and/or International Classification of Disease (ICD) codes to verify stroke cases and time to event or last follow-up using standard algorithms and criteria. Incident stroke was defined as a focal neurological deficit of presumed vascular etiology with a sudden onset and lasting 24 hours or resulting in death. Ischemic stroke was distinguished from hemorrhagic stroke among confirmed strokes when assessment of computed tomographic or magnetic resonance imaging brain scans indicated no sign of cerebral hemorrhage. Ischemic stroke cases in CHS, MESA, and WHI studies were further divided into cardioembolic stroke (CES), large artery stroke (LAS), and small vessel stroke (SVS) according to the Trial of Org 10172 in Acute Stroke Treatment (TOAST) criteria<sup>17</sup>. Hemorrhagic stroke cases were further divided into intracerebral hemorrhage (ICH) and subarachnoid hemorrhage (SAH). Details are provided in the Supplemental Methods. In UKBB and MGBB a history of prevalent stroke prior to study recruitment was ascertained through a mix of ICD codes and self-report. In both UKBB and MGBB, after study enrollment the identification of stroke cases was based on the 9<sup>th</sup> and 10<sup>th</sup> revision of the International Statistical Classification of Diseases and Related Health Problems (ICD) (Supplemental Table I)<sup>18</sup>. Detailed information on UKBB stroke phenotype curation is available at [https://biobank.ndph.ox.ac.uk/showcase/ukb/docs/alg\\_outcome\\_stroke.pdf](https://biobank.ndph.ox.ac.uk/showcase/ukb/docs/alg_outcome_stroke.pdf).

### Covariates

In the primary analysis, age, sex, and principal components (PCs) 1–10 were used as covariates, and additional covariates were used in sensitivity analyses. Covariates ascertained at the time of blood draw included age, sex, smoking status, prevalent diabetes mellitus, body mass index (BMI), systolic blood pressure (SBP), and self-reported race. Cigarette smoking status was categorized as never, past, and current. Hypertension and diabetes mellitus were either defined by self-reported history of physician diagnosis prior to CHIP determination. BMI (kg/m<sup>2</sup>) was based upon clinic exams of measured height and weight at the baseline study visit. SBP (mmHg) was measured using standard procedures during baseline clinical exams. In UKBB, history of type 2 diabetes mellitus, were identified by a combination of self-report and ICD codes (ICD-9: 250; ICD-10: E10-E14). SBP was adjusted by adding 15 mmHg for antihypertensive medication users as previously done<sup>16, 19</sup>.

## Statistical methods

Cox proportional hazards model were fitted with adjustment for age, sex and the first 10 principal components of genetic ancestry in the primary model. Schoenfeld residual plots were generated to assess the proportional hazards assumption. We did not observe any pattern with time from the graphical inspection, indicating no violation of proportionality. In WHI, the TOPMed sub-cohort was oversampled for cases of venous thromboembolic events (deep vein thrombosis/pulmonary embolism) and stroke; therefore, inverse probability weighting was used to account for sampling bias and Cox regression was performed using robust standard errors. Using summary results from each study, inverse variance-weighted, fix-effects meta-analysis was used to estimate effect sizes for total stroke, ischemic stroke, hemorrhagic stroke and subtypes. Forest plots were used to summarize effect estimates and confidence intervals of individual study along with pooled results. Sensitivity analyses were performed in the WHI cohort which comprised the majority of cases to adjust for additional covariates including age, smoking status, history of diabetes, history of hypertension and the first 10 principal components of genetic ancestry. Moreover, in WHI we tested the association of CHIP with ICH stratified by age  $\leq 80$  years versus  $>80$  years, in a model adjusted for age, race, hypertension, smoking, type 2 diabetes mellitus, systolic blood pressure, and BMI. All statistics were performed using SAS and R version 4.0.2 (<https://www.r-project.org>). Two-sided p value  $<0.05$  was considered statistically significant.

## RESULTS

A total of 86,178 participants with 7,426 (8.6%) incident stroke cases from 8 studies were included in the primary meta-analysis of CHIP and stroke. The mean age of each study ranged from 46.5 to 73.9 (SD between 5.6 and 14.8) years. The overall prevalence of CHIP at baseline was 6.0%. The most common CHIP genes were *DNMT3A*, *TET2*, *ASXL1* and *JAK2* consistent with prior reports. Table 1 compares baseline characteristics for participants with CHIP to those without CHIP. While prevalent stroke cases prior to enrollment were excluded, the total number of incident stroke cases during follow up was 7,426, where WHI contributed 4,607 cases and 5,076 controls; MESA contributed 160 cases and 3,586 controls; JHS contributed 122 cases and 1,642 controls; FHS contributed 156 cases and 838 controls; CHS contributed 576 cases and 1,675 controls; ARIC contributed 995 cases and 9,597 controls; UKBB contributed 680 cases and 44,506 controls; and MGGB contributed 130 cases and 11,832 controls.

### CHIP is associated with stroke independently of traditional risk factors

In the fixed-effect meta-analysis, we observed that any CHIP mutation was associated with an increased risk of total stroke (HR= 1.14, 95% CI 1.03–1.27;  $P=0.01$ ), adjusting for age, race, and sex (Figure 1A). There was no evidence of significant heterogeneity in results across studies. We next performed the CHIP association separately for ischemic and hemorrhagic stroke types (Figure 1B, 1C). Unexpectedly, the risk estimate for CHIP association was numerically greater for hemorrhagic (HR= 1.24, 95% CI 1.01–1.51;  $P=0.04$ ) than ischemic stroke (HR= 1.11, 95% CI 0.98–1.25;  $P=0.10$ ), though tests for heterogeneity in these results were negative ( $p$ -heterogeneity=0.34). In the main analysis, restricting the definition of CHIP to only individuals with a variant allele fraction  $>10\%$  (“large CHIP”) did

not appreciably alter any of the associations with total stroke (HR 1.18, 95% CI 1.05–1.33;  $P < 0.01$ ), ischemic stroke (HR 1.14, 95% CI 0.99–1.30;  $P = 0.07$ ), or hemorrhagic stroke (HR 1.28, 95% CI 1.03–1.61;  $P = 0.03$ ) (Supplemental Figures IA, IB, IC).

We performed sensitivity analyses for any CHIP and “large CHIP” using the WHI cohort, with the largest fraction of cases (i.e., 4,607 of 7,426) to additionally adjust for age, sex, smoking, history of diabetes, history of hypertension and the first 10 principal components of genetic ancestry. In the any CHIP analysis there was no appreciable change in the associations with all stroke (HR 1.23, 95% CI 1.02–1.48;  $P = 0.03$ ), ischemic stroke (HR 1.21, 95% CI 0.98–1.49;  $P = 0.08$ ), or hemorrhagic stroke (HR 1.41, 95% CI 1.09–1.83;  $P < 0.01$ ) with the aforementioned full covariate adjustment, indicating the observed CHIP-stroke associations are independent of traditional stroke risk factors (Table 2).

### Individual CHIP gene analyses suggest TET2 may be selectively associated with ischemic stroke

Using the WHI cohort sample, we further assessed the association of the most common individual CHIP genes with total, ischemic, or hemorrhagic stroke. CHIP driver mutations were identified in *DNMT3A* (535 of 45,091; 1.2%), *TET2* (212 of 45,091; 0.5%), *ASXL1* (64 of 45,091; 0.1%), *JAK2* (39 of 45,091;  $< 0.1\%$ ), and *TP53* (16 of 45,091;  $< 0.1\%$ ). In comparing the gene-specific association results, only *TET2* had a significant association with total stroke (HR 1.85,  $p = 0.004$ ) (Figure 2; Supplemental Table II). When ischemic and hemorrhagic stroke were analyzed separately, *TET2* was associated with increased risk for ischemic stroke (HR 1.93,  $p = 0.006$ ), and the effect sizes for the association of *TET2* (HR = 1.50,  $p = 0.15$ ) and *DNMT3A* (HR 1.44,  $p = 0.03$ ) with hemorrhagic stroke were similar.

### Associations of CHIP according to ischemic and hemorrhagic stroke subtypes

We next assessed the associations of any CHIP with ischemic and hemorrhagic stroke subtypes using information from WHI and MGBB. When ischemic strokes were classified in WHI according to TOAST subtype, CHIP was significantly associated with SVS (HR 1.55,  $p = 0.001$ ) and not with LAS (HR 1.12,  $p = 0.62$ ) or CES (HR 1.05,  $p = 0.68$ ) subtypes (Table 3, Supplemental Figure II). Among hemorrhagic subtypes in WHI, there was a stronger association between CHIP and SAH (HR 1.98,  $p = 0.004$ ) than with ICH (HR 1.31,  $p = 0.063$ ). In WHI, further sensitivity analysis of ICH stratified by age indicated significantly stronger associations among age  $> 80$  years (HR 1.84,  $p = 0.01$ ) compared to age  $\leq 80$  years (HR 1.14,  $P = 0.49$ ), independent of age, race, smoking status, type 2 diabetes mellitus, SBP, and BMI (Supplemental Table III). In the MGBB cohort, there was a higher prevalence of cerebral aneurysms in those with CHIP (19/657 (2.9%)) than those without CHIP (193/11808 (1.6%)) (Chi Sq  $p = 0.001$ ). There was also a higher prevalence of non-traumatic SAH among those with CHIP (15/657 (2.3%)) than those without CHIP (116/11808 (1.0%)) ( $p = 0.003$ ), and a higher incidence of SAH among those with CHIP (5/657 (0.76%)) than those without CHIP (27/11807 (2.3%)) ( $p = 0.02$ ).

## DISCUSSION:

CHIP, a recently identified risk factor for cardiovascular disease, is associated with a 14% increased odds of incident stroke when analyzed across 8 cohorts and meta-analyzed after adjustment for age, sex, and ancestry. This relationship was primarily driven by a 24% increased odds of hemorrhagic stroke, particularly SAH. Unselected subtypes of ischemic stroke were not associated with CHIP, and in further analyses of ischemic stroke subtypes in the WHI cohort, CHIP was more strongly associated with small vessel stroke (SVS) than with large artery stroke (LAS) or cardioembolic stroke (CES) subtypes. To contextualize these findings, some discussion of the vascular mechanisms of stroke is warranted.

Hemorrhagic stroke is classified as either ICH or SAH. The most common cause of ICH is small vessel hypertensive disease which leads to lipohyalinosis followed by the formation of small microaneurysms (Charcot-Bouchard aneurysms) that subsequently rupture<sup>12, 20</sup>. In sensitivity analyses in the WHI, the addition of hypertension as a covariate did not account for the association between CHIP and hemorrhagic stroke, and indeed strengthened the association. An important cause of ICH is cerebral small vessel disease (characterized by subcortical lacunar infarction, white matter lesions, and cerebral microbleeds), which is pathogenetically related to the ischemic stroke subtype SVS. Indeed, CHIP was found to have similar effect sizes for ICH and SVS in the WHI, indicating that CHIP may contribute to ICH by way of SVS<sup>21</sup>. Furthermore, age-stratified analyses in WHI for ICH identified that the association was stronger among older individuals age>80 years, independent of systolic blood pressure and other cardiovascular risk factors (Supplemental Table III). In older age groups, cerebral amyloid angiopathy is an increasingly common cause of ICH<sup>22</sup>. Possible mechanisms linking CHIP to ICH include inflammatory signaling pathways linked to aneurysm formation, accelerated arteriosclerosis contributing to vessel fragility in individuals with preexisting age-related risk factors including cerebral amyloid angiopathy<sup>13, 22–29</sup>.

Non-traumatic SAH is most closely related to saccular intracerebral aneurysm (IA) formation and rupture. The formation of IAs has been linked to inflammatory cytokine activation, recruitment of immune cells, and macrophage activation particularly via matrix metalloproteinases (MMPs)<sup>13, 27</sup>. Neuroinflammation also contributes to brain injury and cerebral vasospasm following IA rupture.<sup>13</sup> Macrophage infiltration has been identified as one of the most prominent features of unstable, rupture-prone IAs after pathological analysis using resected aneurysm ruptured and unruptured aneurysm samples<sup>23</sup>. The association between CHIP and aneurysm formation has not yet been reported to our knowledge, but CHIP is known to be closely tied to dysregulated inflammation, macrophage activation and infiltration, and is thought to exert its adverse cardiovascular effects primarily through IL1B pathway and NLRP3 inflammasome<sup>4, 6, 30, 31</sup>. The pathogenesis of an analogous disease state, abdominal aortic aneurysms (AAA), has been shown to involve mast cells in mice which release the proinflammatory cytokines interleukin-6 (IL-6) and interferon- $\gamma$  (IFN- $\gamma$ ), which may induce aortic SMC apoptosis, matrix-degrading protease expression, and vascular wall remodeling<sup>27</sup>. Similar mast cell activation with upregulation of NF $\kappa$ B and MMPs has been observed in IAs<sup>24</sup>. Exploratory analysis within the MGBB cohort



demonstrated a higher frequency of cerebral aneurysm among those with CHIP. Whether CHIP plays a role in IA formation needs further investigation.

The association of CHIP with incident ischemic stroke was weaker than with hemorrhagic stroke. In exploratory analyses within the WHI cohort (the largest cohort with the most events for the present study), CHIP was found to be associated with 19% increased risk of all ischemic stroke, driven by a 55% increased risk of SVS. Ischemic stroke etiologies are typically divided into embolism from the heart, large extracranial or intracranial embolism or hemodynamic failure, and small vessel occlusion (i.e., lacunar infarcts). These align with the TOAST classifications of CES, LAS, and SVS, respectively. CES is typically related to atrial fibrillation and intracardiac thrombus which has not been shown to be associated with CHIP<sup>17, 32</sup>. On the other hand, the apparent lack of association of CHIP with LAS ischemic subtype was unexpected. The epidemiology and pathophysiology of LAS subtype is most closely related to ischemic heart disease, both of which involve atherosclerosis and thrombosis driven by traditional CVD risk factors and vascular inflammation, a hallmark of CHIP<sup>11</sup>. However, compared to ischemic heart disease and coronary atherosclerosis, there is greater uncertainty in stroke subtype classification and greater mechanistic heterogeneity (e.g., ischemic strokes with extracranial carotid stenosis can be due to hemodynamic failure). Thus, the extent of atherosclerotic burden (e.g., carotid stenosis) and subsequent stroke risk are not as tightly correlated in large vessel cerebrovascular disease<sup>33, 34</sup> compared to coronary atherosclerosis and risk of MI. Finally, as we note above, the apparent association of CHIP with both SVS and ICH is intriguing, given the pathophysiologic relationships between cerebral small vessel disease and hemorrhage. Overall, these data suggest a relationship between CHIP, SVS, and ICH that requires further characterization. Given the age-related prevalence of CHIP, further assessment of the association of CHIP with white matter intensities on brain MRI and dementia or cognitive impairment in older adults may be warranted.

Our study has several limitations. Firstly, the heterogeneity of study protocols, recruitment and adjudication of patients and clinical events is challenging to harmonize. We attempted through collaboration and rigorous attention to outcome definitions to ensure standard treatment of subjects and events but acknowledge some heterogeneity may persist. However, the inclusion of multiple datasets with diverse individuals improves generalizability of the study findings and simultaneously adds to the strength of the study. Secondly, though these data were prospectively ascertained, they are observational data and thus cannot provide strong causal evidence. Additionally, CHIP was ascertained at a single time point. Having CHIP at multiple time points would allow for stronger evidence linking CHIP and risk of stroke. Lastly, our results were unexpected in linking CHIP to both hemorrhagic and ischemic stroke (particularly to small-vessel disease). Mechanistic links have not yet been robustly investigated that explain this finding in full.

## Summary and Conclusions

Our findings identify that CHIP is associated with an increased odds of stroke, and the mechanism of stroke appears to be an important modifier of this relationship. Though CHIP was not found to be associated with ischemic stroke overall, it was found to be associated

with small vessel ischemic stroke, with stronger effects for *TET2* CHIP. The finding that CHIP was consistently and strongly associated with hemorrhagic stroke raises questions that require further investigation regarding the role of CHIP in vascular fragility and the formation of saccular intracranial aneurysms.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Conflicts of Interest / Disclosures

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## Non-standard Abbreviations and Acronyms

<b>ARIC</b>	Atherosclerosis Risk In Communities Study
<b>BMI</b>	Body Mass Index
<b>CAD</b>	Coronary Artery Disease
<b>CES</b>	Cardioembolic Stroke
<b>CHD</b>	Coronary Heart Disease

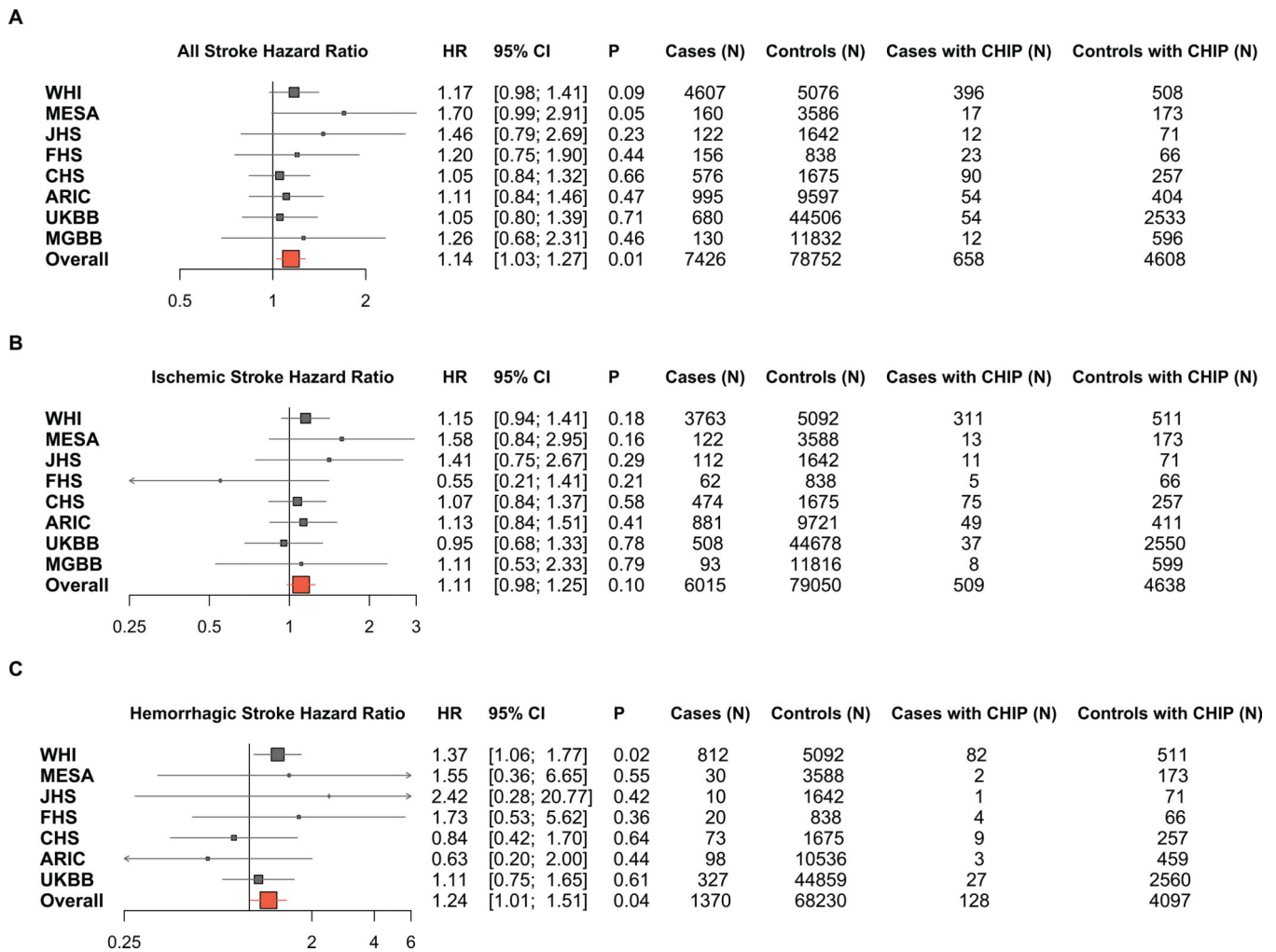
<b>CHIP</b>	Clonal Hematopoiesis of Indeterminate Potential
<b>CHS</b>	Cardiovascular Health Study
<b>CVD</b>	Cardiovascular Disease
<b>DM</b>	Diabetes Mellitus
<b>FHS</b>	Framingham Heart Study
<b>HSC</b>	Hematopoietic Stem Cell
<b>HTN</b>	Hypertension
<b>IA</b>	Intracerebral aneurysm
<b>ICH</b>	Intracerebral hemorrhage
<b>JHS</b>	Jackson Heart Study
<b>LAS</b>	Large artery stroke
<b>MESA</b>	Multi-Ethnic Study of Atherosclerosis
<b>MGBB</b>	Mass General Brigham Biobank
<b>SAH</b>	subarachnoid hemorrhage
<b>SVS</b>	Small vessel stroke
<b>T2DM</b>	Type 2 Diabetes Mellitus
<b>TOAST</b>	Trial of Org 10172 in Acute Stroke Treatment
<b>UKBB</b>	United Kingdom Biobank
<b>WHI</b>	Women's Health Initiative

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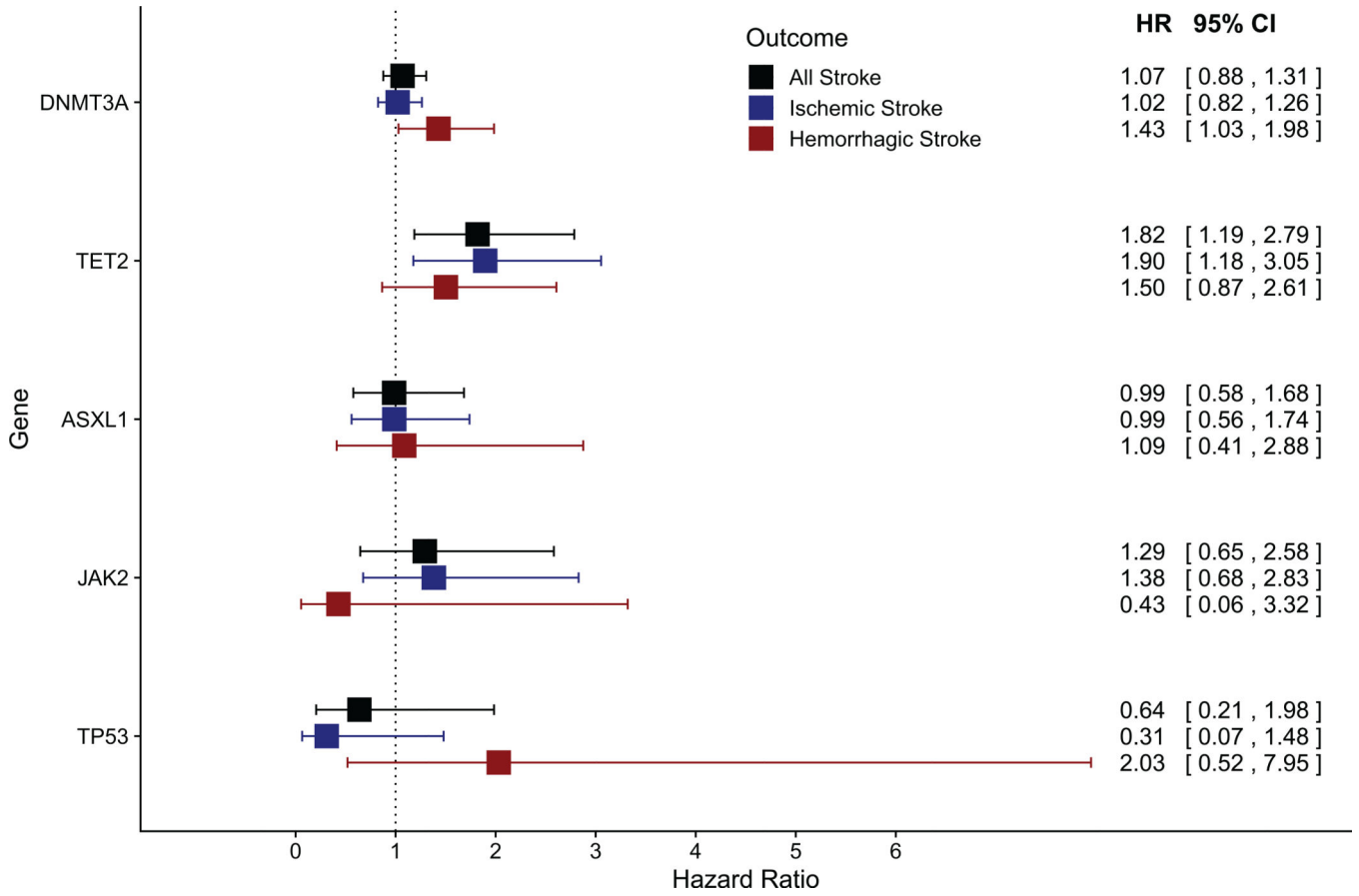
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**Figure 1: Forest plot of meta-analyzed hazard ratio for the association between CHIP and Stroke.**

Cox proportional hazards models were fitted, adjusted for age, sex, and the first 10 principal components of genetic ancestry. Here forest plots are used to show the HR, 95% CI and numerical events for each study. (CHIP, Clonal Hematopoiesis of Indeterminate Potential; HR, hazard ratio; CI, confidence interval; WHI, Women’s Health Initiative; MESA, Multi-Ethnic Study of Atherosclerosis; JHS, Jackson Heart Study; FHS, Framingham Heart Study; CHS, Cardiovascular Health Study; ARIC, Atherosclerosis Risk In Communities study; MGBB, Mass General Brigham Biobank; UKBB, United Kingdom Biobank).



**Figure 2: Forest plot of gene-specific hazard ratios for the association between CHIP and Stroke, amongst the WHI cohort.**

Cox proportional hazards models were fitted, adjusted for age, type 2 diabetes, smoking history, and the first 10 principal components of genetic ancestry. Here forest plots are used to show the HR, 95% CI for each CHIP gene’s association with All Stroke, Ischemic Stroke and Hemorrhagic Stroke. *TET2* is found to have a significant association with both overall stroke and ischemic stroke. (CHIP, Clonal Hematopoiesis of Indeterminate Potential; HR, hazard ratio; CI, confidence interval; WHI, Women’s Health Initiative; MESA, Multi Ethnic Study of Atherosclerosis; JHS, Jackson Heart Study; FHS, Framingham Heart Study; CHS, Cardiovascular Health Study; ARIC, Atherosclerosis Risk In Communities study; MGBB, Mass General Brigham Biobank; UKBB, United Kingdom BioBank).



**Table 1.**

**Baseline Characteristics.**

Baseline characteristics of the study population. For continuous variables mean (SD, standard deviation) are displayed. For categorical variables N (%) are displayed. (WHI, Women's Health Initiative; MESA, Multi-Ethnic Study of Atherosclerosis; JHS, Jackson Heart Study; FHS, Framingham Heart Study; CHS, Cardiovascular Health Study; ARIC, Atherosclerosis Risk In Communities study; MGBB, Mass General Brigham Biobank; UKBB, United Kingdom Biobank; CAD, Coronary Artery Disease; BMI, Body Mass Index; SBP, Systolic Blood Pressure).

	WHI	MESA	JHS	FHS	CHS	ARIC	MGBB	UKBB
<b>N</b>	9683	3963	1764	994	2315	10355	11962	45186
<b>Age</b>	68.9 (6.8)	61.1 (9.8)	56.8 (11.4)	66.4 (12.6)	73.9 (5.6)	57.81 (6.0)	46.5 (14.8)	56.5 (8.0)
<b>Female</b>	9683 (100)	2018 (50.9)	1077 (61.1)	539 (54.2)	1297 (56.0)	5890 (56.9)	6968 (58.3)	24656 (54.6)
<b>Race</b>								
<b>White</b>	7988 (82.5)	1692 (42.7)	0 (0.0)	994 (100)	1889 (81.6)	7552 (72.9)	9595 (80.2)	42110 (93.2)
<b>Black</b>	1195 (12.3)	875 (22.1)	1764 (100)	0 (0.0)	397 (17.2)	2783 (26.9)	717 (6.0)	936 (2.1)
<b>Other</b>	500 (5.2)	1396 (35.2)	0 (0.0)	0 (0.0)	29 (1.3)	0 (0.0)	1650 (13.8)	2140 (4.7)
<b>Hypertension</b>	4446 (45.9)	1531 (41.9)	1047 (60.6)	217 (21.9)	1523 (65.9)	3765 (36.4)	1905 (15.9)	13442 (29.7)
<b>Prior Stroke</b>	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)
<b>Incident Stroke</b>	4607 (47.6)	160 (4.0)	122 (6.9)	156 (15.7)	576 (24.9)	995 (9.6)	130 (1.1)	680 (1.5)
<b>Current Smoker</b>	719 (7.4)	446 (12.2)	231 (13.2)	338 (34.1)	279 (12.1)	2266 (21.9)	290 (2.4)	4050 (9.0)
<b>BMI</b>	28.6 (6.2)	28.1 (5.2)	31.6 (7.1)	25.7 (4.7)	26.5 (4.5)	28.19 (5.6)	28.3 (10.8)	27.4 (4.78)
<b>Follow Up Years</b>	10.8 (6.4)	13.5 (2.5)	12.6 (3.6)	7.6 (3.5)	11.3 (7.0)	20.4 (8.0)	3.0 (2.0)	9.9 (2.7)

**Table 2:** Sensitivity analysis of association between CHIP and Stroke in WHI adjusted for additional covariates.

In the primary analysis age, sex and PC 1–10 were included as covariates. Sensitivity analysis presented here was performed additionally adjusting for diabetes, smoking history and hypertension, which did not explain the association, and demonstrated a stronger association with additional adjustment. (CHIP, Clonal Hematopoiesis of Indeterminate Potential; HR, Hazard Ratio; SE, Standard Error; VAF, Variant Allele; HTN, Hypertension)

Outcome	CHIP	Clone Size	Covariates	HR	beta	SE	P-value
Stroke	VAF	2%	age, sex, PCI-10	1.17	0.16	0.09	0.09
Stroke	VAF	2%	age, sex, PCI-10, Diabetes, smoking, HTN	1.23	0.20	0.09	0.03
Stroke	VAF	10%	age, sex, PCI-10	1.12	0.11	0.10	0.25
Stroke	VAF	10%	age, sex, PCI-10, Diabetes, smoking, HTN	1.15	0.14	0.10	0.15
Ischemic Stroke	VAF	2%	age, sex, PCI-10	1.15	0.14	0.11	0.18
Ischemic Stroke	VAF	2%	age, sex, PCI-10, Diabetes, smoking, HTN	1.21	0.19	0.11	0.08
Ischemic Stroke	VAF	10%	age, sex, PCI-10	1.10	0.09	0.11	0.40
Ischemic Stroke	VAF	10%	age, sex, PCI-10, Diabetes, smoking, HTN	1.13	0.12	0.11	0.26
Hemorrhagic Stroke	VAF	2%	age, sex, PCI-10	1.37	0.31	0.13	0.02
Hemorrhagic Stroke	VAF	2%	age, sex, PCI-10, Diabetes, smoking, HTN	1.41	0.35	0.13	0.01
Hemorrhagic Stroke	VAF	10%	age, sex, PCI-10	1.30	0.26	0.14	0.07
Hemorrhagic Stroke	VAF	10%	age, sex, PCI-10, Diabetes, smoking, HTN	1.32	0.28	0.14	0.05

**Table 3:****Association of CHIP with subtypes of stroke within the WHI.**

The Trial of Org 10172 in Acute Stroke Treatment (TOAST) defined subtypes of ischemic and hemorrhagic stroke, which are used in standard practice. Here, additional analysis was done within the WHI cohort to investigate the relationship between CHIP and stroke subtypes. CHIP was not associated with increased risk of LAS or CES. CHIP was, however, significantly associated with an increased hazard ratio for SVS Ischemic Stroke ( $P=0.001$ ) and SAH Hemorrhagic Stroke ( $P=0.004$ ), as well as a relationship with ICH Hemorrhagic Stroke approaching significance ( $P=0.06$ ). (CHIP, Clonal Hematopoiesis of Indeterminate Potential; WHI, Women's Health Initiative; TOAST, Trial of Org 10172 in Acute Stroke Treatment; LAS, Large Artery Stroke; CES, Cardioembolic Stroke; SVS, Small Vessel Stroke; SAH, Subarachnoid Hemorrhage; ICH, intracerebral hemorrhage; SE, standard error).

Outcome	Total (n)	Event (n)	Hazard Ratio	Beta	SE	P value
All Stroke	9711	4588	1.23	0.21	0.07	0.006
Ischemic Stroke	8875	3752	1.19	0.17	0.08	0.033
Hemorrhagic Stroke	5935	812	1.44	0.37	0.13	0.004
LAS Ischemic Stroke	5396	273	1.12	0.11	0.23	0.618
CES Ischemic Stroke	6282	1159	1.05	0.05	0.12	0.684
SVS Ischemic Stroke	5827	704	1.55	0.44	0.13	0.001
SAH Hemorrhagic Stroke	5302	179	1.98	0.68	0.24	0.004
ICH Hemorrhagic Stroke	5737	614	1.31	0.27	0.15	0.063