## DRUG DISPOSITION AND PHARMACOKINETICS

Key Words: lithium, bipolar disorder, blood mononuclear cell, biomarkers

# Protein Biomarkers in Monocytes and CD4<sup>+</sup> Lymphocytes for Predicting Lithium Treatment Response of Bipolar Disorder: a Feasibility Study with Tyramine-Based Signal-Amplified Flow Cytometry

By Keming Ĝao,\* Marzieh Ayati,\* Mehmet Koyuturk, Joseph R. Calabrese, Stephen J. Ganocy, Nicholas M. Kaye, Hillard M. Lazarus, Eric Christian, David Kaplan

ABSTRACT ~ Purpose: To determine if enhanced flow cytometry (CellPrint<sup>TM</sup>) can identify intracellular proteins of lithium responsiveness in monocytes and CD4<sup>+</sup> lymphocytes from patients with bipolar disorder. **Methods:** Eligible bipolar I or II patients were openly treated with lithium for 16-weeks. Baseline levels of Bcl2, BDNF, calmodulin, Fyn, phospho-Fyn/phospho-Yes, GSK3β, phospho-GSK3αβ, HMGB1, iNOS, IRS2, mTor, NLPR3, PGM1, PKA C-α, PPAR-γ, phospho-RelA, and TPH1 in monocytes and CD4<sup>+</sup> lymphocytes of lithium responders and non-responders were measured with CellPrint<sup>TM</sup>. Their utility of discriminating responders from non-responders was explored. Protein-protein network and pathway enrichment analyses were conducted. **Results:** Of the 24 intent-to-treat patients, 12 patients completed the 16-week study. Eleven of 13 responders and 8 of 11 non-responders were lower than non-responders in both cell

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types, but only the level of GSK3 $\beta$  in monocytes was significantly different (p = 0.034). The combination of GSK3 $\beta$  and phospho-GSK3 $\alpha\beta$  levels in monocytes correctly classified 11/11 responders and 5/8 non-responders. Combination of GSK3 $\beta$ , phospho-RelA, TPH1 and PGM1 correctly classified 10/11 responders and 6/7 non-responders, both with a likelihood of  $\geq$  85%. Prolactin, leptin, BDNF, neurotrophin, and epidermal growth factor/epidermal growth factor receptor signaling pathways are involved in the lithium treatment response. GSK3 $\beta$  and RelA genes are involved in 4 of 5 these pathways. **Conclusion:** CellPrint<sup>TM</sup> flow cytometry was able to detect differences in multiple proteins in monocytes and CD4<sup>+</sup> lymphocytes between lithium responders and non-responders. A large study is warranted to confirm or refute these findings. Psychopharmacology Bulletin. 2022;52(1):8–35.

#### INTRODUCTION

Lithium is still a gold standard, first-line medication for bipolar disorder (BD).<sup>1</sup> In the acute treatment of BD, about 1/3 to 2/3 of patients may respond ( $\geq$ 50% improvement from baseline) to lithium.<sup>2-4</sup> For long-term treatment, about 1/3 of patients were reported as "excellent lithium responders".<sup>5-9</sup> Compared to other mood stabilizers, lithium is very inexpensive. Compared to most antipsychotic mood stabilizer, lithium has lower risk for somnolence/sedation and metabolic burden.<sup>10,11,12</sup> Lithium also has neuroprotective effects and anti-suicidal properties which other mood stabilizers do not have.<sup>13,14</sup> However, the unique effects and low cost of lithium have not prevented its use from declining.<sup>15</sup> The declined use of lithium is multifactorial. Lithium has very low market value compared to highly marketed antipsychotics and anticonvulsants. Requirement of frequent monitoring of its potential side effects on thyroid and renal functions also deters its use. There is also no reliable predictor of lithium treatment response although some demographics and clinical correlates were associated with lithium treatment response.<sup>2,16,17</sup> The current "trial and error" approach for prescribing psychotropics further limits its use. Therefore, finding an objective biomarker(s) for lithium response will not only reduce unnecessary lithium exposure to and inconvenient monitoring for non-responders, but also potentially increase its use in responders.

The results of studies with human induced pluripotent stem cells (iPSC) suggested that lithium responders and non-responders have different identifiable molecular and electrophysiological biomarkers.<sup>18–19</sup> However, previous efforts to discover reliable biomarker(s) at genomic, transcriptomic, and proteomic levels for predicting lithium response have not yet yielded a robust test for clinical use.<sup>16,20,21</sup> The unsuccessful attempts are likely to be multifactorial: 1) A very small risk of each gene SNP (single nucleotide polymorphism) attributes to a complex

disease like BD;<sup>21</sup> 2) Nucleic acid level is a poor predictor of protein level;<sup>22–25</sup> 3) RNA analysis and even certain protein analyses cannot assess the post-translational modifications key to protein activity, such as phosphorylation or methylation;<sup>26–28</sup> and 4) Many techniques, such as plasma studies, Western blot, microarray, and quantitative PCR, typically evaluate the averaged productive capabilities of heterogeneous cells with diverse expression profiles, which undermines the precision and predictive capability of the measurements.<sup>29,30</sup> Together, these deficits suggest that there may be limitations to commonly used methodologies in searching for biomarkers of lithium response.

Flow cytometry circumvents many of the issues described above and is a well-established and a user-friendly method for single cell protein analysis. It is used to simultaneously measure multiple analyte expression patterns, including protein expression levels and protein post-translational modifications, in individual cells.<sup>31</sup> Flow cytometry is a powerful tool for clinical and research and is used in hematology, immunology, virology, bacteriology, molecular biology, oncology, and infectious disease<sup>32–35</sup> as well as other complex diseases.<sup>36,37</sup> In psychiatry, BD is a complex disease and molecular studies assessing intracellular protein expression levels in individual blood cells may be successful in finding lithium response biomarkers.<sup>38–40</sup>

However, traditional flow cytometry can suffer from poor signal to noise when analyzing intracellular or rare events.<sup>41</sup> Tyramine-based signal-amplified flow cytometry increases intracellular detection sensitivity for 10 fold.<sup>42,43</sup> CellPrint Biotechnology, LLC (the CellPrint) has developed a tyramide-based catalytic deposition labeling procedure for flow cytometry called *CellPrint*<sup>™</sup>. *CellPrint flow cytometry* improves signal to noise ratios by 10-100-fold and dynamic range by 20-fold for intracellular and surface protein detection compared to standard flow cytometric staining methods while retaining the ability to assess cellular subtypes.<sup>42–47</sup> *CellPrint flow cytometry* is able to detect expression levels of low abundance molecules as well as phosphorylated proteins and has enabled quantification of a wide variety of analytes from numerous cell types (see Supplemental Materials). The platform has been used to evaluate numerous diseases for drug and biomarker development, clinical diagnostics, and research purposes. Importantly, CellPrint flow *cytometry* is capable of measuring subtly different expression patterns of intracellular analytes between individuals. The aim of this feasibility study was to use the *CellPrint flow cytometry* to measure the levels of multiple intracellular proteins in CD4<sup>+</sup> lymphocytes and monocytes between lithium responders and lithium non-responders and to explore potential biomarker(s) for predicting lithium treatment response.

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#### **METHODS**

#### Study Design

This study was an open-label, 16-week study of lithium monotherapy in the treatment of bipolar I or II disorder with a screening period for up to 4-weeks (NCT02909504). The protocol was approved by the Institutional Review Board for Human Investigation of the University Hospitals Cleveland Medical Center. Eligible patients received lithium treatment for up to 16 weeks and were seen at weeks 0 (baseline), 1, 2, 4, 6, 8, 12, and 16 to complete assessments including efficacy and safety measures. Any psychotropic medications (with the exception of allowed rescue medications) were tapered off by week 4. The participants were discontinued from the trial if their mood worsened to a significant degree as judged by a research psychiatrist and/or could not discontinue the unpermitted concomitant medication(s). All patients who discontinued the study due to any reason received 3 monthly routine clinical care gratis visits over a 3-month period at no cost. Blood samples were collected from all participants for routine laboratory and flow cytometric analyses. The inclusion and exclusion criteria of participants, study procedures including diagnosis, and efficacy and safety assessments are included in Supplemental Materials.

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# **Blood Sample**

De-identified blood samples were collected at screening/baseline and the end of study and transferred to the CellPrint within 3 hours of the blood draw for flow cytometry and to the hospital laboratory for electrolytes, hepatic function, kidney function, thyroid stimulating hormone, and complete blood count. Peripheral monocytes and CD4<sup>+</sup> T lymphocytes were isolated by ficoll/hypaque discontinuous gradient centrifugation and cryopreserved for subsequent batch analysis.

#### Antibodies and Cytometric Analyses

After sample accrual was completed for all participants, the frozen samples were thawed for cell-specific molecular expression analysis with the *CellPrint flow cytometry*, but the key to each sample was remained in the clinical site before the completion of cytometric analysis. CD4<sup>+</sup> T cells and monocytes were labeled using standard staining procedures recommended by the antibody manufacturer (www.biolegend.com) and were not amplified by the *CellPrint*. *CellPrint* staining was only applied to the intracellular analytes. The fundamental procedures of *CellPrint* staining have been outlined previously.<sup>42–47</sup>After *CellPrint* staining, the

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median fluorescence intensities (MFI) were recorded for each of the analytes assayed on a BD Accuri C6 flow cytometer. A fluorescence minus one (FMO) control was included to account for background noise levels and to identify positive signal. Cytometric analyses were accomplished by the technologists at the CellPrint who were blind to the clinical status of the patients.

Antibodies to 17 analytes were obtained from commercial sources. The purchased antibodies were evaluated by the CellPrint team with its proprietary quality control methods. Only antibodies that passed the criteria of the CellPrint were included in the study. The analysis interrogated a spectrum of pathways/functions (Supplemental Table 1). The analytes are involved in apoptosis (Bcl2), calcium transport (Calmodulin), cell signaling [(GSK3 $\beta$ , phospho-GSK3 $\alpha$ (Tyr279)  $\beta$ (Tyr216), iNOS, mTor)], metabolic enzymes (PGM1, TPH1), inflammation (HMGB1, NLPR3), kinase (phospho-Fyn (Y530)/Yes(Y537), PKA C- $\alpha$ ), neurotrophic factors (BDNF), receptors (IRS2, PPAR- $\gamma$ ), transcriptional factors [(NFkB phospho-p65(Ser536), phospho-RelA].

Upon completing the flow cytometry analyses by the CellPrint, the MFI data were sent to the data management statistical analysis unit of the Mood Disorders Program. The key to each subject (responders or non-responder) was provided to the statistic team and used to assess any differences in responders and non-responders.

# Raw Data Normalization

The raw data generated by the flow cytometer are the MFI for each analyte stained with the *CellPrint*. In the present study, the MFI of each analyte of responders and non-responders was "normalized" with fold change/difference (FC). The FC of each analyte was calculated with a

formula of 
$$\log_2 \left| - \right|$$

$$\left(\frac{\text{the average of MFI of Non-Responders}}{\text{the average of MFI of Responders}}\right).$$
 Therefore, a

positive value of the  $log_2(FC)$  is indicative of a higher expression level in non-responders than in responders, and a negative value of  $log_2(FC)$  is indicative of a lower expression level in non-responders than in responders.

#### Statistical Analysis

The categorical data were analyzed with Chi-square or Fisher Exact tests, and continuous variables were analyzed with T-test. Demographics and historical correlates of lithium responders and non-responders were analyzed according to the nature of a variable. A  $\geq$  50% reduction in Montgomery Asberg Rating Scale (MADRS and/or Young Mania Rating Scale (YMRS) from baseline to the end of week 16 was used

to define a responder. The last observation carried forward strategy was used for patients who did not complete the study. The cytometric MFI data for the analytes in both monocyte and CD4<sup>+</sup> lymphocyte cell types between responders and non-responders at baseline were analyzed with unpaired t-test. The MFI data of individual analytes were used to compute their statistical association and interactions with the lithium response outcome. The log<sub>2</sub>(FC) data were used along with MFI data of the analytes to study the relationships between analytes and lithium response.

For prediction analysis, we used the FC of the top 6 analytes to estimate the probability for being a responder. The probability was estimated with logistic regression of  $\log_b P/1-P = \beta_0 + \beta_{1\times 1} + \beta_{2\times 2} \dots$ . The beta is an estimated coefficient in the regression. The x is the FC of an analyte.

#### Protein-to-protein Interaction and Pathway Analysis

In order to provide the system-level interpretation of the analytes and lithium response outcome, the FCs of all proteins in monocytes and CD4<sup>+</sup> lymphocytes were used for the protein-protein interaction (PPI) network analysis. Network-based analysis of diverse phenotypes demonstrate that the proteins that are implicated in similar phenotypes are clustered together in cellular networks.<sup>48</sup> In order to identify the highly connected modules (functional module) that are centered around the studied proteins, we used network propagation algorithms<sup>49</sup> with the studied proteins as the seeds. For every pair of proteins in the study, the shortest path was computed. Networks were generated with random walk with restart at a seed. The BioGRID database, in which there are 8839 proteins and 67056 interactions,<sup>50</sup> was used to generate PPI network and sub-networks.

After the modules were identified, we performed pathway enrichment analysis on the induced PPI network and subnetworks. For this purpose, we used a hypergeometric model to assess the significance of the pathways in the Wiki Pathways dataset. Pathway enrichment analysis was conducted based on the Software of Emich.<sup>51</sup>

#### Sample Size Calculation

The sample size calculation was not attempted because this was the first time of using this technology in this population. The initial plan was to enroll 50 patients, but only about half of the original targets were enrolled and completed the study. The study had to stop because of insufficient funding and the nature of a feasibility study. 13

#### RESULTS

# Demographics and Historical Correlates

Thirty-one patients consented to the study, twenty-five received lithium, and twenty-four returned for at least one post-baseline visit (intent to treat). Twelve patients presented with an index episode of depression (MADRS  $\geq$  12, YMRS < 12), 12 patients presented with mixed symptoms (MADRS  $\geq$  12, YMRS  $\geq$  12), and none met the criteria for "pure" mania/hypomania (MADRS < 12, YMRS  $\geq$  12). Forty-two percent were male, and 71% were diagnosed with bipolar I disorder (Table 1). Sixteen patients made it to the 8-week time in the study and 12 patients completed the whole 16-week study.

#### Primary Outcome

Among the 24 patients who received lithium treatment, 13 were classified as treatment responders, and 11 were treatment non-responders. Demographics and clinical correlates were comparable between the 2 groups (Table 1). The lithium levels were  $0.68 \pm 0.19 \text{ mEq/L}$  in responders, and  $0.81 \pm 0.26 \text{ mEq/L}$  in non-responders. There was no significant difference in lithium levels between two groups (p = 0.20).

#### Changes in Symptom Severity from Baseline to End of the Study

At baseline, with the exception of CGI-S, there were no significant differences between responders and non-responders in rating scale scores (Table 2). Among the secondary outcome measures (Table 2), MADRS total scores in the responder group from baseline to the end of 16 weeks were significantly reduced compared to change in the scores of the non-responder group. The change in YMRS scores from baseline to week 8 and week 16 in the responder group was significantly lower compared to that in the non-responder group. The other secondary outcome measures were not significantly different between the two groups.

#### MFI of Analytes

The blood samples of 11 responders and 8 non-responders were available for the analysis. In monocytes, the mean level of GSK3 $\beta$ was significantly higher in lithium non-responders than in responders (p = 0.034), and phosphorylated GSK3 $\alpha\beta$  was higher, but not significant in non-responders (p = 0.093) (Table 3). In CD4<sup>+</sup> lymphocytes, the mean level phosphorylated GSK3 $\alpha\beta$  was also higher, but not significant in non-responders than in responders (p = 0.086) (Table 3).

#### TABLE 1

# Demographics and Historical Correlates of the Responders and Non-Responders

			LITH	IUM
	<u>LITHIUM R</u>	ESPONDER	NON-RES	SPONDER
	<u>(N</u> =	- 13)	<u>(N</u> =	<u>= 11)</u>
	MEAN	<u>SD</u>	MEAN	<u>SD</u>
Age	35.35	12.57	40.21	12.82
	N	%	N	%
Gender (male)	6	46.2	4	36.3
Race (white)	9	69.2	7	63.6
Marital Status (married)	3	23.1	1	9.1
Education level ( $\geq$ 4 year college)	4	30.8	6	55.4
Employment Status (employed)	7	53.9	5	45.5
Bipolar Disorder Subtype I	10	76.9	7	63.6
History of Rapid Cycling (yes)	6	46.2	1	9.1
History of abuse				
Verbal	9	69.2	8	72.7
Physical	5	38.5	8	72.7
Sexual	7	53.8	4	36.4
Past history of suicide attempt(s) (yes)	1	7.7	1	9.1
Current Comorbidity				
Generalized anxiety disorder	9	69.2	6	54.5
Social phobia	7	53.8	5	45.4
Panic disorder	3	23.1	1	9.1
Current DSM-5 alcohol use disorder	2	15.4	2	18.2
Current DSM-5 drug use disorder	4	30.8	6	54.4
Lifetime * Alcohol Use Disorder	7	53.8	6	54.4
Lifetime * Cannabis Use Disorder	5	38.5	4	36.4
Lifetime * Other Drug Use Disorder	3	23.1	3	27.2
Medications for the Current Episode				
Anticonvulsants	2	15.4	0	0
Antipsychotics	2	15.4	3	27.2
Antidepressants	2	15.4	5	45.5
Anxiolytics/hypnotics	1	7.7	4	36.4
Lithium	2	15.4	3	27.2
Number of Previous Medication Trials				
0	1	7.7	0	0
1	4	30.8	2	18.2
2	3	23.1	3	27.2
3	1	7.7	1	9.1
>4	4	30.8	5	45.5

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# FC of Analytes

In monocytes, the FC of BDNF, PGM1 or TPH1 levels between non-responders and responder was negative. The FCs for TPH1 and PGM1 were > -0.1 (Figure 1). The FC of IRS2, PPAR- $\gamma$ , Bcl2, mTor, PKA C- $\alpha$ , GSK3 $\beta$ , phospho-GSK3 $\alpha\beta$ , phospho-RelA, or calmodulin

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CHANGES IN DEPRESSION, ANXIETY AND MANIC SYMPTOM SEVERITY FROM BASELINE TO WEEK 8 AND WEEK 16 IN LITHIUM RESPONDERS AND LITHIUM NON-RESPONDERS

											<b>DIFFAT</b> 2	DIFF AT
		1	LITHIUM RESPONDEF	35			E	HIUM NON-RESPOND	<u>JERS</u>		WEEK 8: V	VEEK 16:
			CHANGE FROM		CHANGE FROM			CHANGE FROM		CHANGE FROM	RES VS.	RES VS.
	BASELINE	WEEK 8	BASELINE	WEEK 16	BASELINE	BASELINE	WEEK 8	BASELINE	WEEK16	BASELINE	NON-RES N	ION-RES
ASSESSMEN	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	$M \pm SD$	P VALUE	<b>VALUE</b>
Blinded as	ssessments											
MADRS	$21.69 \pm 6.33$	$8.55 \pm 7.92$	$-13.64 \pm 11.39$	$5.25 \pm 2.49$	$-17.63 \pm 8.68$	$26.00 \pm 6.32$ 1	$6.40 \pm 12.26$	$-8.00 \pm 12.79$	$19.25 \pm 5.85$	$-4.75 \pm 10.78$	0.3909	0.0485
YMRS	$12.08 \pm 6.03$	$3.36 \pm 2.34$	$-8.00 \pm 6.25$	$2.88 \pm 1.89$	$-8.63 \pm 5.66$	$12.45 \pm 7.01$ 1	$4.00 \pm 4.06$	$2.00 \pm 4.85$	$10.75 \pm 1.71$	$0.00 \pm 3.74$	0.0070	0.0211
HAMA	$16.62 \pm 5.72$	$8.09 \pm 5.43$	$-8.09 \pm 0.75$	$7.25 \pm 6.61$	$-8.63 \pm 8.50$	$20.18 \pm 7.03$ 1	$6.60 \pm 8.08$	$-2.80 \pm 11.15$	$18.40 \pm 5.41$	$-1.00 \pm 11.27$	0.2467	0.1911
Non-blinde	d assessment											
CGI-S	$*3.15 \pm 0.80$	$1.42\pm0.51$	$-1.75 \pm 0.75$	$1.50\pm0.53$	$-1.63 \pm 0.92$	$*4.09 \pm 0.54$	$2.40 \pm 1.34$	$-1.40 \pm 1.67$	$2.50 \pm 1.00$	$-1.50 \pm 1.00$	0.5512	0.8328
Self-report	ed assessment											
QIDS-	$12.67 \pm 4.66$	$5.00 \pm 3.19$	$-8.00 \pm 4.03$	$3.14\pm2.61$	$-9.00 \pm 2.71$	$15.27 \pm 2.61$	$6.40 \pm 4.04$	$-9.40 \pm 3.72$	$9.25 \pm 3.69$	$-7.00 \pm 2.58$	0.5202	0.2620
16-SF												
Note: *Sig <sup>1</sup> Abbreviati	ificantly differed	nt between res nical Global I	sponders and non mnression-Severi	-responders (p	= 0.0033). rence: HAMA_F	Tamilton Anxie	tv Ratino Scal	• M. mean: MA	ORS. Monteom	erv-Ashero Der	ression R	tino

Scale; Non-Res, non-responders; QIDS-16-SF, the 16 item Quick Inventory of Depressive Symptomatology Self Report; Res, responders; SD, standard deviation; YMRS, Young Mania Rating Scale.

		2				
	NON-RESPONDERS	CD4±LYMPHOCYTES RESPONDERS	RESPONDERS VS.	NON-RESPONDERS	MONOCYTES RESPONDERS	RESPONDERS VS.
ANALYTES	(N = 8)	(N = 11)	NON-RESPONDERS	$\frac{(N=8)}{MEAN} + CD$	(N = 11) MEAN + SD	NON-RESPONDERS
Brl-2	21950 + 5229	22105 + 2790	0 940	$\frac{1}{10000000000000000000000000000000000$	18817 + 5556	0 467
BDNF	$21254 \pm 5124$	$22346 \pm 2559$	0.592	$37167 \pm 8915$	$38955 \pm 5577$	0.627
Calmodulin	$18497 \pm 7132$	$17243 \pm 6350$	0.698	$27940 \pm 14323$	$26059 \pm 14304$	0.781
Fyn	$18639 \pm 5032$	$18717 \pm 30740$	0.970	$31566 \pm 8759$	$30122 \pm 7244$	0.709
phospho-Fyn/Yes	$9175 \pm 5862$	$8706 \pm 5182$	0.859	$20637 \pm 9078$	$19453 \pm 11467$	0.805
GSK3B	$9418 \pm 5293$	$6338 \pm 3417$	0.141	$11633 \pm 5317$	$7078 \pm 3312$	0.034
phospho-GSK3αβ	$1162 \pm 490$	$806 \pm 210$	0.086	$1738 \pm 716$	$1255 \pm 468$	0.093
ĤMĜB1	$15804 \pm 5358$	$15615 \pm 2407$	0.928	$23985 \pm 7443$	$23131 \pm 5987$	0.793
iNOS	$16244 \pm 5569$	$15950 \pm 3233$	0.896	$20612 \pm 8332$	$20694 \pm 7223$	0.982
IRS2	$15832 \pm 6377$	$13208 \pm 5458$	0.363	$27717 \pm 11305$	$24017 \pm 11502$	0.495
mTor	$13474 \pm 5121$	$12276 \pm 4332$	0.600	$13544 \pm 6156$	$12049 \pm 6056$	0.607
NLRP3	$21664 \pm 5375$	$21672 \pm 2320^{*}$	0.997	$37776 \pm 8503$	$35738 \pm 7961$	0.604
NFkB p-P65	$9110 \pm 7785$	$6549 \pm 4769$	0.428	$4749 \pm 2954$	$2855 \pm 1809$	0.137
PGM1 <sup>*</sup>	$15517 \pm 5018$	$16522 \pm 3614$	0.638	$21509 \pm 7459$	$24531 \pm 7620$	0.401
PKA $C-\alpha$	$11286 \pm 5725$	$9204 \pm 3457$	0.380	$17893 \pm 11267$	$14138 \pm 7903$	0.340
$PPAR-\gamma$	$13718 \pm 4335$	$12304 \pm 3013$	0.443	$24844 \pm 8176$	$22921 \pm 6906$	0.598
TPH1	$8360 \pm 5830$	$8256 \pm 4142$	0.968	$17198 \pm 8267^{**}$	$18793 \pm 6841$	0.678
Note: *10 samples were a	vailable; **Seven samples we	re available.	- - - (			
Abbreviations: Bcl-2, B- Phospho-GSK-3αβ, phos	cell lymphoma 2; BUNF, br sphorvlated glycogen syntha	aın-derived neurotrophic fac se kinase 3 alpha(Tyr279)be	tor; Calmodulin, calcium ta(Tyr216); HMGB1, hig	modulated protein; تكلاكاك h mobility group box 1 prote	, glycogen synthase kınase 3 sin; iNOS, inducible isoforn	beta (Ser9); n nitric oxide
synthase; IRS2, insulin red	ceptor substrate 2; mTor, the	e mammalian target of rapan	nycin; NFkB p-P65, phos	phorylated nuclear factor NI	<sup>7</sup> -kappa-B p65(Ser536) sub-	unit Mri
(pnospho-relia); INLIGE phosphoglucomutase 1; P	, NACH I, LAK and FILD G KA C- $\alpha$ , protein kinase A c	domains-containing protein atalytic subunit alpha; PPAI	з; глоѕрпо-гул теѕ, рпо 8-ү, peroxisome proliferat	spnorylated - Fyn ( ) or-activated receptor gamm:	spnoryiated restrict sphoryiated is, TPH1, tryptophan hydrox	чиц, cylase 1.
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TABLE 3

levels between the groups were  $\geq 0.1$ . The FC between non-responders and responders was 0.72 for GSK3 $\beta$ , 0.47 for phospho-GSK3 $\alpha\beta$ , and 0.73 for phospho-RelA, respectively.

In CD4<sup>+</sup> lymphocytes, the FC of BDNF or PGM1 level between non-responders and responder was also negative (Figure 1). However, the differences were less than -0.1 and insignificant. The FCs of other analytes were positive. Only IRS2, PPAR- $\gamma$ , mTor, PKA C- $\alpha$ , GSK3 $\beta$ , phospho-GSK3 $\alpha\beta$ , phospho-RelA, and calmodulin had FC  $\geq 0.1$ . The FCs of phospho-GSK3 $\alpha\beta$  and GSK3 $\beta$  between two groups were 0.57 and 0.53, respectively.

## Classification of Responders and Non-Responders according to MFI

Classification of responders and non-responders according to the absolute value of the MFI was explored with single or paired analytes.

#### FIGURE 1



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Fold change (FC) of 17 Analytes at Baseline between Lithium Responders and Non-Responders in  $CD4^+$  Lymphocytes (blue bars) and Monocytes (brown bars). FC = log2 (median fluorescent intensity of non-responders/median fluorescent intensity of responders)



**Note:** Positive value is indicative of a higher level of protein expression in lithium non-responders than in lithium responders. Negative value is indicative of a lower level of protein expression in lithium non-responders than in lithium responders.

Abbreviations: Bcl-2, B-cell lymphoma 2; BDNF, brain-derived neurotrophic factor; Calmodulin, calcium-modulated protein; GSK-3 $\beta$ , glycogen synthase kinase 3 beta (Ser9); HMGB1, high mobility group box 1 protein; iNOS, inducible isoform nitric oxide synthase; IRS2, insulin receptor substrate 2; mTor, the mammalian target of rapamycin; Phospho-RelA, phosphorylated nuclear factor NF-kappa-B p65(Ser536) subunit; NLRP3, NACHT, LRR and PYD domains-containing protein 3; Phospho-Fyn/ Yes, phosphorylated-Fyn (Y530)/phosphorylated Yes(Y537); PGM1, phosphoglucomutase 1; Phospho-GSK3 $\alpha\beta$ , phosphorylated glycogen synthase kinase 3 alpha(Tyr279)beta(Tyr216); PKA C- $\alpha$ , protein kinase A catalytic subunit alpha; PPAR- $\gamma$ , peroxisome proliferator-activated receptor gamma; TPH1, tryptophan hydroxylase 1.

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Figure 2 illustrates use of the expression levels of GSK3 $\beta$  and phospho-GSK3 $\alpha\beta$  in monocytes to classify lithium responders and nonresponders. If "arbitrarily" using GSK3 $\beta$  expression level at 1 × 10<sup>4</sup> alone as a cut-off, 9/11 (82%) correctly classified as responders and 5/8 (63%) correctly classified as non-responders. If using the levels of phospho-GSK3 $\alpha\beta$  at  $\leq$  1500 alone, 9/11 (82%) correctly classified as responders and 4/8 (50%) correctly classified as non-responders. If using the correlation between the levels of GSK3 $\alpha$  and phospho-GSK3 $\alpha\beta$  (yellow dash line), the classification of responders reached 11/11 (100%). In CD4<sup>+</sup> lymphocytes, phospho-GSK3 $\alpha\beta$  level alone could correctly classify 5/8 non-responders and 9/11 responders. If using the correlation between the levels of GSK3 $\beta$  and phospho-GSK3 $\alpha\beta$ , the classification of responders was 10/11.

# Regression Analysis of Probability Estimate of Being a Responder or Non-Responder

In monocytes, the probability of being a responder or non-responder among all studied patients was estimated with logistic regression with GSK3 $\beta$ , phospho-GSK3 $\alpha\beta$ , phospho-RelA, PGM1, TPH1, and BDNF (Table 4). As shown in Table 4, the probability of being a

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#### FIGURE 2

 $\label{eq:classification of Lithium Responders and Non-responders According to Cut-off Levels of GSK3\beta and Phospho-GSK3\alpha\beta in Monocytes. Y-axis is the Median Fluorescent Intensities of Phosphorylated GSK3\alpha\beta, and X-axis is the Median Fluorescent Intensities of GSK3\beta$ 



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TABLE 4

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The Rates of  $\geqslant$  60% or  $\geqslant$  80% Probability as a Responder among the Responders and Non-responders with Different Analytes in Monocytes and CD4<sup>+</sup> Lymphocytes in the Logistic Regression Models

	≥ 60% PROE	ABILITY	<b>/ AS BEIN</b>	IG PREDICTED	A RESPI	ONDER	≥ 80% PR0I	<b>3ABILIT</b>	Y AS BEI	NG PREDICTED	A RESP	ONDER
	TRUE RE	SPONDE	RS	<b>TRUE NON-F</b>	RESPON	DERS	TRUE RE	SPONDE	RS	TRUE NON	-RESPON	DERS
	PREDICTED	TOTAL		<b>PREDICATED</b>	TOTAL		PREDICTED	TOTAL		PREDICTED	TOTAL	
ANALYTES IN THE MODEL	AS RES. N	Z	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	AS RES. N	Z	<u>%</u>	AS RES. N	Z	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	AS RES. N	Z	<u>%</u>
Monocytes												
GSK3β, phospho-GSK3αβ	6	11	81.8	2	8	25.0	4	11	36.4	1	8	12.5
GSK3B, PGM1	10	11	90.9	2	8	25.0	7	11	66.6	0	8	0.0
Phospho-RelA, PGM1	9	11	54.5	2	8	25.0	3	11	27.3	2	8	25.0
GSK3β, phospho-GSK3αβ, phospho-RelA	6	11	81.8	2	8	25.0	4	11	36.4	1	8	12.5
GSK3β, phospho-GSK3αβ, phospho-RelA, PGM1	10	11	90.9	2	8	25.0	7	11	66.6	0	8	0.0
GSK38, phospho-RelA, PGM1, TPH1	10	11	90.9	1	7	14.3	10	11	90.9	0	7	0.0
GSK3β, phospho-RelA, PGM1, BDNF	6	11	81.8	2	8	25.0	7	11	66.6	0	8	0.0
Lymphocytes												
ĠSK3β, phospho-GSK3αβ	8	11	72.3	4	8	50.0	3	11	27.3	1	8	12.5
GSK3B, PGM1	10	11	90.9	2	8	25.0	9	11	54.5	1	8	12.5
GSK3B, phospho-RelA, PGM1, TPH1	10	11	90.9	1	7	14.3	6	11	81.8	1	7	14.3
GSK3ß, phospho-RelA, PGM1, BDNF	6	11	81.8	2	8	25.0	7	11	66.6	1	8	12.5
Abbreviations: BDNF, brain-derived neurotrophic factor; GSK-3β	, glycogen syn	thase k	inase 3 b	eta (Ser9); N,	numbeı	r; Phospl	ho-GSK-3αβ	, phosp.	horylate	d glycogen sy:	nthase	

kinase 3 alpha(Tyr279)beta(Tyr216); Phospho-RelA, phosphorylated nuclear factor NFkB p65(Ser536) subunit; PGM1, phosphoglucomutase 1; Res, responder; TPH1, tryptophan hydroxylase 1.

responder varied depending on what protein and how many proteins were in the model. With GSK3 $\beta$  and phospho-GSK3 $\alpha\beta$  in the model, 9/11 responders had  $\geq 60\%$  probability of being correctly classified as a responder whereas 2/8 non-responders had  $\geq 60\%$  probability of being incorrectly classified as a responder. The difference in probability of being responders was significantly different (p = 0.020). However, the difference in the  $\geq 80\%$  probability of being a responder between true responders and non-responders was not significantly different.

In contrast, when GSK3 $\beta$ , phospho-RelA, TPH1, and PGM1 were in the model, 10/11 of responders had  $\geq 60\%$  probability of being correctly classified as a responder compared to 1/7 non-responders of being incorrectly classified as a responder (Table 4). The difference between two groups was significantly different (p = 0.002). Similarly, 10/11 of responders had  $\geq 80\%$  probability of being correctly classified as a responder, however, none of the non-responders had  $\geq 80\%$  probability of being incorrectly classified as a responder. The difference was significantly different (p = 0.0001).

In CD4<sup>+</sup> lymphocytes (Table 4), the probability of being a responder also varied depending on what protein and how many proteins were in the model. When GSK3 $\beta$ , phospho-RelA, TPH1, and PGM1 in the model, 10/11 of true responders had  $\geq 60\%$  probability of being correctly classified as a responder compared to 1/7 non-responders being incorrectly classified as a responder (Table 4). The difference between two groups was significantly different (p = 0.002). Similarly, 9/11 of true responders and 1/7 of non-responders had  $\geq 80\%$  probability of being predicted as a responder. The difference was also significantly different (p = 0.009).

#### Protein-Protein Interaction Network and Pathway Analysis

The PPI network of 14 proteins related to the 17 analytes in monocytes was generated (Figure 3). These 14 proteins are in a network of a total 71 proteins. In monocytes, with the exception of TPH1, PGM1, and BDNF, the other 11 proteins had a higher level of expression in lithium non-responders than responders (Figure 3). In lymphocytes, about a half of studied proteins had a higher level of expression in lithium nonresponders than responders (data not shown). There were significant overlaps of the expression levels of those proteins in both cell types.

Using the PPI network generated with 14 proteins in the study, we found that genes coding for the proteins in the network are involved in prolactin signaling pathway, leptin signaling pathway, BDNF signaling pathway, neurotrophin signaling pathway, and EGF (epidermal growth

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#### FIGURE 3

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Results of Protein-protein Interaction Network of 14 Proteins in Monocytes

**Note:** The color of the nodes represents the magnitude of differences in protein expression levels between lithium non-responders and responders at the baseline as measured with the

 $\log_2\left(\frac{\text{the average of MFI of Non-Responders}}{\text{the average of MFI of Responders}}\right)$ . The white nodes are proteins that were not measured in

the study but they are on the shortest paths between pairs of proteins that are included in the study. **Abbreviation:** BCL2, B-cell lymphoma 2; BDNF, brain-derived neurotrophic factor; CALM1, calciummodulated protein; GSK3B, glycogen synthase kinase 3 beta; HMGB1, high mobility group box 1 protein; IRS2, insulin receptor substrate; MTOR, mammalian target of rapamycin; NLPR3, NACHT, LRR and PYD domains-containing protein 3; NOS1, inducible isoform nitric oxide synthase; PGM1, phosphoglucomutase 1; PPARG, peroxisome proliferator-activated receptor gamma; PRKCA, protein kinase A catalytic subunit alpha; RELA, nuclear factor NFkB p65 subunit; TPH1, tryptophan hydroxylase 1.

factor)/EGFR(epidermal growth factor receptor) signaling pathway (Table 5). Both GSK3 $\beta$  and RelA genes are involved in prolactin signaling pathway, leptin signaling pathway, BDNF signaling pathway, and neurotrophin signaling pathway.

#### DISCUSSION

This feasibility study suggests that tyramine-based signal-amplified flow cytometry like the *CellPrint* may be capable of detecting intracellular

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#### TABLE 5

#### Results of Genes Coding 14 Proteins in the Study Based on Pathway Enrichment Analysis on the Protein-Protein Networks of the 14 Proteins

PATHWAY	GENES	P-VALUE
Prolactin Signaling	FYN; GSK3β; MTOR; RELA; IRS2; ITGB1;	E-26
Pathway	IRS1; SHC1; STAT3; IRS2; PTPN11;	
	PIK3R1; YWHAZ; VAV1; ERBB2; GRB2;	
	PTPN6; RAF1	
Leptin signaling	FYN; GSK3β; RELA; MTOR; IRS1; SHC1;	E-21
pathway	STAT3; PTPN11; PIK3R1; ESR1; SP1;	
	ERBB2; GRB2; RAF1	
Brain-Derived	GSK3β; IRS2; MTOR; RELA; FYN; BDNF;	E-25
Neurotrophic	NTRK2; NCF1; IRS1; SHC1; PRKCD;	
Factor (BDNF)	STAT3; PTPN11; PIK3R1; SYN1;	
signaling pathway	CTNNB1; GRB2; MAPT; RAF1	
Neurotrophin	CALM1; IRS2; BCL2; BDNF; GSK3β; RELA;	E-28
signaling pathway	YWHAE; NTRK2; IRS1; SHC1; PRKCD;	
0 01 1	PTPN11; PIK3R1; YWHAZ; YWHAQ;	
	ABL1; GRB2; RAF1; TP53; YWHAH	
EGF/EGFR	<b>PRKC</b> α; <b>MTOR</b> ; SHC1; NCOA3; PRKCD;	E-20
Signaling	STAT3; PTPN11; IQGAP1; PIK3R1; VAV1;	
Pathway	EGFR; PLD2; SP1; ERBB2; ABL1; GRB2;	
	RAF1	

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Note: The bold genes are implicated in the current study.

Abbreviations: BCL2, B-cell lymphoma 2; BDNF, brain-derived neurotrophic factor; CALM1, calciummodulated protein; GSK3 $\beta$ , glycogen synthase kinase 3 beta; IRS2, insulin receptor substrate; MTOR, mammalian target of rapamycin; RELA, nuclear factor NF-kappa-B p65 subunit; PRKC $\alpha$ , protein kinase A catalytic subunit.

proteins for predicting lithium response in BD. It is sensitive enough to detect significant differences in some intracellular proteins from specific peripheral blood cell subtypes between lithium responders and nonresponders. Some differences between responders and non-responders may be used alone or combinations to classify lithium treatment response (Figure 2). Definitely, these findings need to be confirmed with large sample studies. The differences in GSK3 $\beta$ , phospho-GSK3 $\alpha\beta$ , and phospho-RelA were the largest among the analytes, suggesting that these 3 proteins should be considered for future studies. However, the logistic regression results suggest that combination of proteins even without significant difference between responders and non-responders may increase sensitivity and specificity for prediction of lithium response. The PPI network and pathway enrichment analysis suggest that lithium affects multiple pathways through GSK3 $\beta$  and RelA.

The FC results suggest that lithium responders and non-responders have differences in expression levels of several proteins (Figure 1 and Table 3). A larger FC of some proteins in monocytes compared

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to lymphocytes or vice versa suggests that different cell types may provide different information on the same protein between the groups and underscored the importance of analyzing specific cell subtypes. Although these peripheral changes may not reflect the changes of neurons in the brain, the connection between central nervous system and immune system suggest that changes in peripheral blood mononuclear cells may be used as surrogates for biomarker studies of lithium treatment response.<sup>52–59</sup> More studies are needed to assess the utility of protein levels in lymphocytes and/or monocyte to predict lithium treatment response. Until then, it seems reasonable to include these two cell types in future studies with flow cytometry.

Lithium can cause changes in many proteins in different tissues<sup>18,60</sup> The results of this study suggest that flow cytometric analysis with a small number of analytes may detect protein signal separation in blood mononuclear cells between the responders and non-responders. Our selection of analytes was based on previous studies of BD covering a spectrum of pathways/functions including apoptosis, calcium transport, cell signaling, metabolic enzymes, kinase, neurotrophic factors, receptors, and transcriptional factors. GSK, the most recognized factor of lithium response<sup>20,60</sup> did show differential effects between lithium responders and non-responders although the levels of other proteins between the two groups were not significantly different (Figure 1 and Table 3). This finding is somewhat consistent with previous studies.<sup>6,11</sup> However, some proteins such as BDNF, PKA, and Bcl-2<sup>6,11,60</sup> have been recognized as important factors of the etiology of bipolar disorder and lithium treatment response, yet they did not show a differential effect between lithium responders and non-responders. The reason for these inconsistent findings with previous studies may be due to the statistical power of this pilot study or the sensitivity of our cytometric analysis. Alternatively, the relationship between lithium response and expression level of these analytes may be important in cell types not analyzed in this study, but not in peripheral blood monocytes and lymphocytes. Also, it may be that the expression levels of these analytes is not the primary determinant of the activity of these analytes for lithium treatment response.

A large difference between responders and non-responder in the expression level of phospho-RelA, a subunit of NFkB, is somewhat unexpected. This is the first study of patients with BD showing a large difference in phospho-RelA levels at baseline between lithium responders and non-responders. As a transcription factor, the NFkB system plays a key role in regulating immune development, immune response, inflammation, cell cycles, proliferation, cancer, and cell death.<sup>61,62</sup> Increased levels of NFkB in the brain<sup>63,64</sup> and peripheral blood cells

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of patients with BD have been reported.<sup>54</sup> Serum/plasma studies have also shown that cytokines are involved in BD and lithium treatment response.<sup>29</sup> Lithium can down-regulate NFkB through inhibition of GSK3 $\beta$ .<sup>65–69</sup> Some studies have shown that lithium has an antiinflammatory effect that is believed to be the mechanism of the efficacy of lithium.<sup>70</sup> Therefore, it may not be surprising to find that phospho-RelA, a downstream target of GSK3 $\beta$  and an upstream regulating factor of gene expression of proteins related to anti-inflammation and pro-inflammation, had a differential effect on lithium responsiveness.

The results of PPI network suggest that there are other proteins potentially involved in the lithium treatment response that were not included in the current analysis. The role of those proteins in predicting lithium response needs further exploration. The results of pathway analysis suggest that many genes coding proteins in different pathways are involved in lithium response. BDNF and neurotrophin signaling pathways are well known for their involvement in lithium response and pathophysiology of BD.<sup>60</sup> The other pathways are less well known for their roles in BD and lithium treatment response. Genome wide association studies have not yielded robust results of the genetic basis for lithium response<sup>16,20,21</sup> According to an ingenuity pathway analysis generated with SNPs from GWAS and genetic association studies, genes coding GSK3 $\beta$  were associated with all top 10 diseases and phenotypes related to a glutamatergic network mediating lithium response.<sup>71</sup> Moreover, GSK3β directly interacts at least 265 proteins.<sup>72</sup> Therefore, a technology measuring multiple upstream and downstream target proteins of GSK3 $\beta$  by using enhanced flow cytometry like the present study may find biomarker(s) and locate genes in predicting lithium treatment response.

Regardless of what proteins and/or genes might be useful to predict lithium treatment response, this feasibility study suggests that tyraminebased, signal-amplified flow cytometry like the *CellPrint* may help elucidate lithium response biomarkers consisting of multiple intracellular proteins better than a "trial-and-error" approach used in current clinical practice. In previous clinical trials, the clinically observed responders from lithium ranged from 32% to 63%.<sup>2–4</sup> The logistic regression results from the current study (Table 4) suggest a panel of proteins can predict acute lithium treatment response with a probability of higher than that of clinical observation. Larger studies are warranted to replicate these findings. More importantly, like any other potential biomarker(s) for diagnosis and treatment of BD, the field needs to define what sensitivity and specificity are acceptable for a biomarker(s) being used for clinical practice.<sup>73</sup>

Flow cytometry has been used in previous studies of lithium response,<sup>54,74-78</sup> but this is the first study using tyramine-based,

signal-amplified flow cytometry to measure multiple intracellular proteins in both quesicient CD4<sup>+</sup> lymphocytes and monocytes of patients with BD. Although our results are promising, there are several caveats that would improve follow-on studies. This study is limited because of a small sample size and low completion rate. Some markers might show significant expression differences between lithium responders and non-responders with a large sample size. Moreover, the duration of this study is relatively short compared to long-term maintenance studies although it is longer than most acute treatment studies of 6-8 weeks for bipolar depression and 3-4 weeks for bipolar mania.<sup>79</sup> It is unclear if these short-term responders will be long-term responders. A large longitudinal study found that about 26% of bipolar I patients stabilized with lithium in the acute treatment discontinued the study due to mood relapse or intolerable side effect during 2 year follow-up study period,<sup>2</sup> suggesting that a large number of patients who respond to acute lithium treatment are likely to remain stable for a long period. In addition, any treatment goal is to reach remission, not response. Due to the small sample size, we had to use "response" as a primary outcome to give us relatively "balanced" groups. Future studies using response and remission rates are necessary to divide groups for cytometric analyses. As the study was conducted in an outpatient setting and no "pure" manic patient was included, this selection bias might also confound the results.

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#### CONCLUSION

The results from this feasibility study suggest that tyramine-based, signal-amplified flow cytometry like the *CellPrint* to measure multiple proteins in the peripheral blood cells of bipolar patients may identify biomarker(s) for predicting lithium response. Large sample size studies are warranted to support or refute our findings.

#### ACKNOWLEDGMENT

This study was supported by an independent investigator grant from the Brain and Behavior Research Foundation.

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## SUPPLEMENTAL MATERIALS

# **CELLPRINT FLOW CYTOMETRY**

The flow cytometry developed by CellPrint Biotechnology, LLC uses a catalytic deposition labeling procedure called *CellPrint*<sup>TM</sup>. The *CellPrint flow cytometry* improves signal to noise ratios by 10-100-fold and dynamic range by 20-fold for intracellular and surface protein detection compared to standard flow cytometric staining methods while retaining the ability to assess cellular subtypes.<sup>1–5</sup> The *CellPrint flow cytometry* is able to detect expression levels of low abundance molecules as well as phosphorylated proteins and has enabled quantification of a wide variety of analytes from numerous cell types including platelets,<sup>6,7</sup> lymphocytes,<sup>4,8</sup> stem cells,<sup>9,10</sup> leukemic cells,<sup>11–14</sup> and cultured peripheral blood mononuclear cells.<sup>3</sup>

#### PARTICIPANTS

**30** *Gao, et al.*  For inclusion in this study, subjects must have met all of the following criteria: 1) Male or female who was 18-70 years old and able to provide informed consent before beginning any study-specific procedures; 2) Met current DSM-5 criteria for bipolar I or II disorder as assessed by the MINI and structured research diagnostic interview by a research psychiatrist; 3) At any symptomatic phase of bipolar I or II disorder including depressive, manic or hypomanic with Global Clinical Impression-Severity for Bipolar Disorder (CGI-S-BD)  $\geq$ 3; 4) Willing to take lithium and have blood drawn; 5) If a sexually active female was of childbearing potential, she must use a reliable method of contraception and had a negative urine pregnancy test before starting lithium.

Any of the following was regarded as a criterion for exclusion from the study: 1) Unwilling to comply with study requirements or have blood drawn; 2) Renal impairment (serum creatinine >1.5 mg/dL); 3) Thyroid stimulating hormone (TSH) over >20% above the upper normal limit (participants maintained on thyroid medication must be euthyroid for at least 3 months before Visit 1; 4) Had other contraindication to lithium and had previous intolerable side effect from lithium; 5) Patients who required inpatient care for psychiatric problems including requiring immediate acute detoxification for alcohol and drug related issues; 6) Pregnancy as determined by serum pregnancy test or breastfeeding; 7) History of nonresponse to lithium at doses  $\geq$  900 mg/d for  $\geq$ 8 weeks; 8) Patients with chronic medical conditions such as diabetes, cardiovascular disease, immune diseases, infectious diseases and neurological disorders; 9) Active suicidal ideation with a plan or intent, or a

suicide attempt within past 6 months or more than 2 suicide attempts within the past 2 years; 10) Currently on lithium.

# STUDY PROCEDURES

#### Screening Phase

After obtaining the informed consent, all potential research participants were assessed with a systemic research diagnostic interview by a research psychiatrist<sup>15</sup> and a structured interview with MINI for DSM-5 (Mini International Neuropsychiatric Interview for DSM-5).<sup>16</sup> CGI-S-BD,<sup>17</sup> QIDS-16 SR (the 16 item Quick Inventory of Depressive Symptomatology – Self-Report),<sup>18</sup> Cumulative Illness Rating Scale,<sup>19</sup> vital signs, physical examination and medical history were performed, and blood and urine samples were collected. The screening phase is up to 4 weeks in duration. For those who met the diagnostic inclusion criteria and did not have any exclusion criterion, they were enrolled into the study for baseline and follow-us visits.

#### Treatment Phase

Eligible patients received lithium 300 mg per day for 3 nights and then increased to 600 mg/d as tolerated. After at least 5 days of treatment with lithium 600 mg/d, a lithium level was done. If necessary, titration in 300 mg increments every 7days as tolerated took place to achieve blood lithium levels 0.6–1.2 mEq/L. Any psychotropic medications (with the exception of allowed rescue medications) were tapered off by week 4. The study psychiatrist worked with each individual participant concerning how quickly and to what schedule the prohibited medication(s) was weaned off. Participants were closely monitored, and came in for unscheduled visits if necessary (typically 1 visit per week, though visits could be as frequent as required), and were encouraged to contact the clinic if they had questions or concerns. The participant was discontinued from the trial if their mood worsened to a significant degree judged by a research psychiatrist and/or they could not discontinue unpermitted concomitant medication(s).

# PERMITTED CONCOMITANT MEDICATIONS

A benzodiazepine and/or a hypnotic medication was allowed for anxiety or insomnia throughout the study. No other mood stabilizer(s), anticonvulsant, antidepressant, or antipsychotic was allowed during the study after week 4.

	SUPPLEMENTAL TABL	LE 1	
	Functions of 1	7 Analytes Included in	THE STUDY
	ANALYTES	FULL NAME	FUNCTION(S)
	Bcl-2	B-cell lymphoma 2	B-cell lymphoma 2 type proteins are key regulators of the intrinsic or mitochondrial pathway for cell apoptosis and proliferation.
	BDNF	brain-derived neurotrophic factor	Brain-derived neurotrophic factor plays an important role in neuronal survival and growth and neuronal plasticity, which are essential for learning and memory. Decreased levels of BDNF are associated with neurodegenerative diseases with neuronal loss.
	Calmodulin	calcium-modulated	Cellular signaling; Calcium transport
	Fyn	protein	Kinase; Associated with T-cell and neuronal signaling in development and normal cell physiology
<b>32</b> Gao, et al.	GSK3β	Glycogen synthase kinase 3 beta (Ser9)	GSK3 is expressed serine/ threonine kinase as GSK-3α and GSK-3β isoforms, both active under basal conditions and inactivated upon phosphorylation by different upstream kinases. GSK-3 is involved in several signaling pathways controlling many different key functions, involved in several cancers, apoptosis neurodegenerative and liver diseases, oxidative stress and autophagic cell death.
	HMGB1	High mobility group box 1 protein	<ul> <li>High mobility group box 1 protein is a DNA binding protein involved in maintenance of nucleosome structure and regulation of gene transcription. Extracellular HMGB1 binds with several different receptors and interactors to mediate the proliferation, differentiation, mobilization, and senescence of hematopoietic stem cells (HSCs). HMGB1 is also involved in the formation of the inflammatory bone marrow (BM) microenvironment by activating proinflammatory signaling pathways.</li> </ul>

(Continued)

#### SUPPLEMENTAL TABLE 1 (Continued)

ANALYTES	FULL NAME	FUNCTION(S)
iNOS	inducible isoform nitric oxide synthase	Cellular signaling; Modulating vascular tone, insulin secretion, airway tone, and peristalsis; involved in angiogenesis and neural development.
IRS2	Insulin receptor substrate 2	A cytoplasmic signaling molecule that mediates effects of insulin, insulin- like growth factor 1, and other cytokines by acting as a molecular adaptor between diverse receptor tyrosine kinases and downstream effectors.
mTor	The mammalian target of rapamycin	Kinase; Regulate cell growth, cell proliferation, cell motility, cell survival, protein synthesis, autophagy, and transcription.
NFKB phospho-P65 (phospho-RelA)	phosphorylated nuclear factor NF-kappa-B p65(Ser536) subunit	An essential transcription factor complex involved in all types of cellular processes including cellular metabolism and chemotaxis; Modulating immune responses; Activation of RelA associated with multiple types of cancer; Phosphorylation and acetylation of RelA are crucial post-translational modifications required for its activation.
NLRP3	NACHT, LRR and PYD domains- containing protein 3	Expressed predominantly in macrophages and as a component of the inflammasome, a component of innate immune system.
phospho- Fyn/Yes	phosphorylated- Fyn (Y530)/ phosphorylated Yes (Y537)	The Src family of tyrosine kinases includes src, fyn, and yes and is involved in many signaling pathways such as T and B cell receptor signaling, integrin- mediated signaling, growth factor and cytokine receptor signaling.
PGM1	Phosphoglucomutase 1	PGM1 is an evolutionarily conserved enzyme that regulates the bi-directional interconversion of glucose 1-phosphate (G-1-P) and glucose 6-phosphate (G-6-P): Involved in glycolysis in one direction and synthesis of glycogen.

#### Functions of 17 Analytes Included in the Study

(Continued)

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#### SUPPLEMENTAL TABLE 1 (Continued)

#### Functions of 17 Analytes Included in the Study

<u>ANALYTES</u> phospho- GSK3αβ	FULL NAME phosphorylated glycogen synthase	$\frac{FUNCTION(S)}{Cellular signaling (see GSK3\beta)}$
	kinase 3 alpha(1yr2/9) beta(Tyr216)	
ΡΚΑ C-α	Protein kinase A catalytic subunit alpha	PKA is also known as cAMP- dependent protein kinase. Its functions include regulation of glycogen, glucose, and lipid metabolism.
PPAR-γ	peroxisome proliferator- activated receptor	Also knowns a glitazone receptor; Regulating fatty acid storage and glucose metabolism
TPH1	Tryptophan hydroxylase 1	The rate-limiting enzyme in the biosynthesis of serotonin.

# ASSESSMENTS

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At baseline, psychiatric assessments were administered. Symptom severity was measured with Montgomery Depression Rating Scale (MADRS) for depression,<sup>20</sup> Young Mania Rating Scale (YMRS) for mania/hypomania,<sup>21</sup> Clinical Global Impression-Efficacy Index (CGI-EI) for overall effectiveness,<sup>22</sup> Hamilton anxiety score (HAM-A) for anxiety,<sup>23</sup> Snaith-Hamilton Pleasure Scale (SHAPS) for anhedonia.<sup>24</sup> The disability was measured with SDS (Sheehan Disability Scale),<sup>25</sup> and quality of life was measured with Quality of Life Enjoyment and Satisfaction Questionnaire - Short Form (Q-LES-Q-SF).<sup>26</sup> Iowa Fatigue Scale (IFS) was used to measure the severity of fatigue.<sup>27</sup> For those who met the criteria for a current substance use disorder (SUD), the Time Line Follow back (TLFB)<sup>28</sup> was used to measure the severity of SUD. Safety was measured with Frequency, Intensity, and Burden of Side Effects Ratings/Global Rating of Side Effects Burden (FIBSER)<sup>29</sup> and Columbia Suicide-Severity Rating Scale (C-SSRS).<sup>30</sup> Vital signs, MADRS, YMRS, HAM-A, QIDS-16-SF, CGI-S-BD, SDS, Q-LES-Q-SF, IFS, FIBSR/GRSEB, and C-SSRS were repeated week 1, 2, 4, 6, 8, 12, and 16. At the end of the study, the CIRS, physical examination and medical history, and laboratory tests were repeated.

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