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## $\alpha 7$ Nicotinic Acetylcholine Receptors in the Hippocampal Circuit: Taming Complexity

Ayland C. Letsinger<sup>1</sup>, Zhenglin Gu<sup>1</sup>, Jerrel L. Yakel<sup>1,\*</sup>

<sup>1</sup>Neurobiology Laboratory, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, Research Triangle Park, Mail Drop F2-08, P.O. Box 12233, Durham, NC, 27709, USA

### Abstract

Cholinergic innervation of the hippocampus uses the neurotransmitter acetylcholine (ACh) to coordinate neuronal circuit activity while simultaneously influencing the function of non-neuronal cell types. The  $\alpha 7$  nicotinic ACh receptor (nAChR) subtype is highly expressed throughout the hippocampus, has the highest calcium permeability compared to the other subtypes of nAChRs, and is of high therapeutic interest due to its association with a variety of neurological disorders and neurodegenerative diseases. In this review, we synthesize research describing  $\alpha 7$  nAChR properties, function, and relationship to cognitive dysfunction within the hippocampal circuit and highlight approaches to help improve therapeutic development.

### Keywords

Cognitive Dysfunction; Inflammation; Neurodegeneration; Schizophrenia; Alzheimer's Disease; Pharmacology

### $\alpha 7$ nAChRs: A Long Way in 100 Years?

More than a century has passed since John Newport Langley's studies of the first identified 'receptive substance', later named nicotinic acetylcholine receptors (nAChRs). Since then, advances in technology have allowed for investigations of the nAChR family in specific cell populations, circuits, and living animals to better understand the connection between the structure, function, and behavior. The  $\alpha 7$  nAChR subtype has emerged as a therapeutic target due to its association with a variety of neurological disorders and neurodegenerative diseases, especially those associated with hippocampal-dependent processes. The  $\alpha 7$  nAChRs are expressed in all layers of the hippocampus, in which they impact declarative memory by modulating local excitation, neurotransmitter release, signal transduction,

\*Corresponding Author, yakel@niehs.nih.gov.

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Declaration of Interests

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synaptic plasticity, and neurogenesis. However, due to the widespread expression of  $\alpha 7$  nAChRs in many neuronal and non-neuronal cell populations, co-activation with other receptors, timing dependency, and desensitization sensitivity, it has been difficult to augment  $\alpha 7$  nAChR function for clinical use while avoiding off-target effects. Here, we synthesize recent literature describing  $\alpha 7$  nAChR properties, function, and relationship to cognitive dysfunction within the hippocampal circuit and highlight scientific approaches that have the potential to improve the development and efficacy of  $\alpha 7$  nAChR therapeutics.

## Molecular Makeup of $\alpha 7$ nAChRs

The nAChRs are rapidly activating, excitatory, cationic, ligand-gated ion channels in the cys-loop receptor family. These receptors are activated by the endogenous ligand ACh and various exogenous ligands (e.g., nicotine) (Fig 1). Of the twelve currently known neuronal nAChR subunits ( $\alpha 2$ - $\alpha 10$  and  $\beta 2$ - $\beta 4$ ), nine are expressed in the hippocampus ( $\alpha 2$ - $\alpha 7$  and  $\beta 2$ - $\beta 4$ ), and have been shown to form either hetero- or homopentameric nAChRs [1]. The five subunits that co-assemble into a functional receptor determine its physiological and pharmacological characteristics (for review of binding regions: [2–4]).

The homopentameric  $\alpha 7$  nAChR subtype has the highest calcium permeability of all nAChR subtypes, with a relative calcium to sodium permeability at least as high as NMDA receptors [5].  $\alpha 7$  nAChRs have also been found to have metabotropic properties, even in the desensitized state, through G protein coupling [6]. Thus, the  $\alpha 7$  nAChR participates in a variety of physiological processes including neuronal excitation, neurotransmitter release, signal transduction, synaptic plasticity, and neurogenesis [7–14]. In the hippocampus,  $\alpha 7$  nAChRs are one of the most abundant subtypes and are expressed in the majority of neuronal populations [15], as well as non-neuronal cells including astrocytes [16], microglia [17], macrophages [18], as well as vascular endothelial cells and smooth muscle cells [19]

While homopentameric  $\alpha 7$  nAChRs make up the bulk of  $\alpha 7$  nAChR-related research,  $\alpha 7$  and  $\beta 2$  nAChR subunits have been found to form functionally distinct  $\alpha 7\beta 2$  nAChRs, at lesser density, in the brain of rodents and humans [20]. The  $\alpha 7\beta 2$  nAChRs expressed in basal forebrain cholinergic neurons are more sensitive to pathologically-relevant concentrations of **amyloid  $\beta$**  (A $\beta$ ; see Glossary), which may have relevance for the cognitive deficits associated with Alzheimer's disease [21] (this is discussed in greater detail in later sections).

## $\alpha 7$ nAChR Functions in the Hippocampal Circuit are Location, Time, and Context Dependent

$\alpha 7$  nAChR activation has complex effects on the hippocampal network through multiple mechanisms. For example,  $\alpha 7$  nAChR activation can directly depolarize neurons - especially interneurons due to the high somatic expression of the receptor [22].  $\alpha 7$  nAChR activation can also regulate both glutamatergic and GABAergic transmission through both pre- and postsynaptic mechanisms [23]. The modulation of plasticity associated with glutamatergic transmission depends on the timing of  $\alpha 7$  nAChR activation relative to the glutamatergic transmission [24,25]. Additionally,  $\alpha 7$  nAChRs are likely coactivated with other cholinergic

receptor subtypes (both nicotinic and muscarinic) as  $\alpha 7$  nAChRs have relatively low affinity to ACh ( $EC_{50} \sim 180 \mu M$ ) [26]. In this section, we emphasize the many known functions of  $\alpha 7$  nAChRs that depend on location, timing, and context.

## Location

**$\alpha$ -bungarotoxin** binding assays yield abundant  $\alpha 7$  nAChR protein expression in hippocampal interneuron populations [27,28]. Oriens lacunosum-moleculare (OLM) interneurons located in the CA1 stratum oriens express both mAChRs and nAChRs and play an important role in mediating hippocampus-dependent memory formation [29–31]. OLM interneurons are a subset of CA1 somatostatin-positive interneurons that primarily target the distal dendrites of CA1 pyramidal neurons in the stratum lacunosum-moleculare (SLM) where CA1 pyramidal neurons also receive excitatory inputs from the entorhinal cortex (EC). OLM interneurons usually have larger  $\alpha 7$  nAChR currents than other somatostatin interneurons and pyramidal neurons [32].  $\alpha 7$  nAChR activation on OLM interneurons inhibits EC to CA1 pyramidal neuron inputs through direct inhibition, but enhances **Schaffer collateral** (SC) inputs through indirect disinhibition [33]. This may be one of the mechanisms involved in the regulation of **theta oscillations** by  $\alpha 7$  nAChRs [32,34–37]. However, activating  $\alpha 7$  nAChRs on hippocampal CA1 stratum radiatum interneurons can have either inhibitory or disinhibitory effects on CA1 pyramidal neurons [38]. In the dentate gyrus (DG),  $\alpha 7$  nAChR responses can be recorded from various neurons, including molecular layer interneurons and hilar interneurons [39,40].

$\alpha 7$  nAChR protein expression is low in the soma of primary excitatory cells [23,31], but is expressed at excitatory synapses, including pre- and postsynaptic sites [23]. While there are no obvious direct depolarizing currents generated in the soma,  $\alpha 7$  nAChR activation can still exert important functions in regulating synaptic activities [23,41].  $\alpha 7$  nAChRs are also expressed in the pre- and postsynaptic sites of GABAergic inhibitory interneurons where they control inhibitory transmission [42]. Therefore, in addition to directly depolarizing interneurons, another important function of  $\alpha 7$  nAChRs is to regulate synaptic transmission and plasticity.  $\alpha 7$  nAChR activation generally increases presynaptic release of both glutamate and GABA [43] and promotes **long-term potentiation** (LTP) induction [44]. However, because  $\alpha 7$  nAChRs are expressed in both primary excitatory cells and interneurons, the outcome in primary excitatory cells is determined by the location and timing of  $\alpha 7$  nAChR activation. For example,  $\alpha 7$  nAChR activation in CA1 interneurons can diminish the induction of short-term potentiation in nearby connected pyramidal neurons, while activation of CA1 dendritic  $\alpha 7$  nAChRs in pyramidal neurons can boost **short-term potentiation** (STP) or LTP [41].

## Timing

The outcome of  $\alpha 7$  nAChR regulation of hippocampal excitatory synaptic transmission also depends on the timing of  $\alpha 7$  nAChR activation relative to the activation of excitatory transmission. Exogenously applied ACh can convert STP to LTP when applied 1–5 s before electric stimulation of SCs, but convert STP to **short-term depression** (STD) when applied within 1 s before SC stimulation, primarily through  $\alpha 7$  nAChR-dependent mechanisms [25]. The effects appear to depend on the depolarizing effect of ACh application on the pyramidal

neurons under recording [25]. A previous study from our group demonstrated higher precision in the regulation of synaptic plasticity by concurrent single pulses of endogenous ACh release [24]. When the cholinergic input was activated 100 ms prior to SC stimulation, it resulted in  $\alpha 7$  nAChR-dependent LTP. However, when the cholinergic input was activated 10 ms prior to SC stimulation, an  $\alpha 7$  nAChR-dependent STD was induced instead. This millisecond precision is comparable to spike timing-dependent plasticity [45], revealing a fast and precise mechanism for neuromodulators to regulate glutamatergic transmission. In the case of cholinergic inputs, the depolarizing effect of cholinergic activation seems less relevant since most of the time there is no detectable postsynaptic currents on pyramidal neurons after single pulse cholinergic activation. Instead,  $\alpha 7$  nAChR activation may increase calcium levels in distal synapses, thereby enhancing presynaptic neurotransmitter release. Such repeated activation may gradually strengthen the correlation of pre- and postsynaptic events and lead to Hebbian plasticity. Indeed, both pre- and postsynaptic  $\alpha 7$  nAChRs may be involved in LTP and presynaptic  $\alpha 7$  nAChRs may induce STD [46]. These results suggest that timing of cholinergic inputs will modulate glutamatergic transmission – such that the same cholinergic input will not influence the plasticity of inactive glutamatergic synapses, while having different effects on those activated 10 ms or 100 ms afterwards. It is worth noting that it takes 5 to 10 times of such repeated concurrent activation of cholinergic inputs and SC activation to induce synaptic plasticity, a requirement which might mimic a gradual and repeated associative learning process and that could help avoid modulating random synaptic activities.

## Context

As  $\alpha 7$  nAChRs have relatively low affinity for the endogenous ligand ACh,  $\alpha 7$  nAChR activation is likely accompanied by co-activation with mAChRs and non- $\alpha 7$  nAChRs. mAChR-mediated responses may vary depending on the pattern and intensity of cholinergic activation, but strong cholinergic activation can depolarize both interneurons and pyramidal neurons through mAChRs [47,48]. Although exogenously applied ACh induces depolarizing currents in interneurons primarily through  $\alpha 7$  nAChRs, optogenetically activated endogenous ACh release induces depolarizing currents primarily through  $\alpha 4\beta 2$  receptors in CA1 stratum oriens (SO) and SLM interneurons, which can be slightly enhanced by muscarinic receptor inhibition [49]. Hippocampal local infusion of antagonists of either mAChR or  $\alpha 7$  nAChRs partially reduces theta oscillation intensity in freely moving mice alongside reductions in spatial working memory, but the combined application of mAChR and  $\alpha 7$  nAChR antagonists does not further reduce peak theta power [32]. mAChR or  $\alpha 7$  nAChR antagonists can also block cholinergic-pairing induced theta oscillations in slice cultures [32,50]. Moreover, SC stimulation, when paired with low concentration of ACh, can induce transient theta oscillations, while high concentrations of ACh pairing (or low concentration of ACh plus an  $\alpha 7$  nAChR agonist) modified the network to allow theta generation by SC stimulation alone long after the pairing [32]. These studies suggest that these two receptor subtypes work together in regulating plasticity, theta oscillations strength, and cognitive function. Thus, the pairing of mAChRs and  $\alpha 7$  nAChRs may resemble, in a way, the well-established effects of paired AMPA/NMDA glutamate receptors on synaptic transmission: mAChR activation transiently excites the target cells, while nAChR activation can induce synaptic plasticity due to its high calcium permeability.

A single incoming cholinergic fiber can innervate the various layers of the SO, SR, SLM, and the ML of the DG, thus innervating a large cross section of the hippocampal neuronal population at the same time (Fig 2, Key Figure) [24], providing a potential mechanism for cholinergic inputs to coordinate hippocampal network activity. For example, while cholinergic inputs can enhance hippocampal excitatory synaptic transmission through  $\alpha 7$  nAChR activation on both pre- and postsynaptic sites, activation of  $\alpha 7$  nAChRs will also directly depolarize interneurons that will in turn upregulate inhibitory tone in the network. In other words, while the correctly timed excitatory synaptic events are enhanced, other events outside the time range are depressed. This may provide a mechanism for enhancing signal to noise ratio. In the DG,  $\alpha 7$  nAChR-mediated currents are mostly observed in interneurons (with very large currents) but not granule cells (which usually exhibit very small to non-detectable currents), suggesting that  $\alpha 7$  nAChR activation in DG mainly produce indirect inhibitory effects on granule cells [39,40]. In addition, hilar inhibitory neurons can also be excited by cholinergic inputs indirectly through hilar astrocytes, also via  $\alpha 7$  nAChR activation. As a result,  $\alpha 7$  nAChR activation on hilar astrocytes can result in slow and lasting inhibition of DG granule cells [51]. However,  $\alpha 7$  nAChR activation can enhance granule cell output to CA3 by upregulating synaptic glutamate release at mossy fiber terminals through a presynaptic mechanism [52]. This allows strong and sparse mossy fiber output to CA3 and may thus support a pattern separation function (for a review on the DG's role in pattern separation [53]). The mossy fiber to CA3 pathway may represent an important cholinergic target in the hippocampus as there is a noticeable pattern of concentrated cholinergic terminal distribution along the mossy fiber pathway in the hippocampal CA3 region [24]. For the most part, the cholinergic terminals are diffusely distributed in hippocampal subregions without a clear pattern. However,  $\alpha$ -BTX staining is relatively higher in the CA2 of multiple mouse strains including C57BL/6, C3H/He, and ST/b [27,28]. The CA2 region has been associated with social memory and social behavior including aggression [54].  $\alpha 7$  nAChRs are also associated with aggression [55,56] and schizophrenia [57], although it is currently unclear whether  $\alpha 7$  nAChR levels in the CA2 are causally linked to regulation of aggression and social behaviors.

## Manifestation of $\alpha 7$ nAChR-Related Cognitive Dysfunction – What is to blame?

Alterations in cholinergic tone and altered receptor function are associated with many neurological disorders and neurodegenerative diseases including dementia/Alzheimer's disease, schizophrenia, Parkinson's disease, addiction, epilepsy, attention deficit hyperactivity disorder (ADHD), HIV, Rett Syndrome, autism spectrum disorder, aggression, and inflammation [55,57–66]. In this section, we focus on cognitive dysfunctions related specifically to the hippocampus and  $\alpha 7$  nAChRs.

### Cholinergic Depletion

While nicotine (via tobacco) has been used as a cognitive modulator for millennia [67], specific cognitive roles of the cholinergic system started to be substantiated during the mid-twentieth century when **anticholinergics** such as atropine and scopolamine were documented to impair memory. These findings helped consolidate the cholinergic hypothesis

of geriatric memory dysfunction [68]. The hypothesis was based, in part, on the consistent observation of reduced **choline acetyltransferase** (ChAT) in post-mortem tissue from elderly people with dementia. However, as ChAT is not a rate-limiting step for the synthesis of ACh, firmer support for the hypothesis was provided only later, with the observation of significant degeneration of cholinergic neuron projections (up to 75%) from the nucleus basalis of Meynert to the cortex, as well as of cholinergic projections from the diagonal band of Broca and medial septum to the hippocampus [69]. The root cause of the cholinergic degeneration is still not certain but has many proposed theories, such as A $\beta$  buildup or impaired neural growth factor signaling (reviewed in [70,71]). Regardless of the cause, cholinergic depletion likely alters normal  $\alpha 7$  nAChR activation states causing disrupted cognition [72].

### Development

Although age is a principal risk factor for reduced ACh production [73], hippocampal  $\alpha 7$  nAChR expression is not consistently reduced with age like it is in people with Alzheimer's disease [74,75]. However, the  $\alpha 7$  nAChR is one of the first receptors to be expressed in the developing brain, including on adult born granule cells within the DG [76]. Genetic knockouts of *Chrna7* (the gene that encodes the  $\alpha 7$  nAChR) in rodents reveal a direct role in functional neurogenesis and neuron development likely through modulating GABA activity [14,77]. The importance of  $\alpha 7$  nAChRs in developing neurons is also highlighted by the cognitive impairments in offspring of choline-deficient mothers [78] which will be discussed in more detail below.

### Genetic Determinates

Variations within *CHRNA7* have been linked to cognitive dysfunctions, but have low population frequencies with high phenotypic variation [79,80]. The most firmly associated genetic determinates are the linkages between schizophrenia and the abnormally high number of polymorphisms within the promoter region of *CHRNA7*, which helps explain the small, but often observed, reduction in  $\alpha 7$  nAChRs expression associated with this mental disorder [81,82]. Additional associations with Alzheimer's disease, bipolar disorder, ADHD, and epilepsy have been found with various microdeletions of *CHRNA7* and a gene duplication found only in humans, *CHRFAM7A* [83]. These genetic patterns are indicative of an evolutionary beneficial, but not obligatory, role for *CHRNA7*. Supporting this, *CHRNA7* mouse knockout models are viable and exhibit only partial, strain dependent deficits across many molecular and behavioral phenotypes [14,84,85] (potentially due to **compensatory mechanisms** during development [86]). As with any polygenic disease, **epistasis** and other genetic factors with small effect sizes likely contribute without robust detection via **GWAS**. For example, a genetic basis for  $\alpha 7$  nAChR-related dysfunction extends beyond *CHRNA7* as the receptor requires proper functioning and expression of various chaperone proteins such as RIC3, NACHO, and LY6 prototoxins to help with conformation and shuttling to the membrane [87,88].

## Amyloid $\beta$

The nAChRs have a high affinity for A $\beta$  [89], further implicating cholinergic dysfunction as a potential contributor to Alzheimer's disease. Evidence from heterologous expression systems suggests that low levels of A $\beta$  (picomolar to low nanomolar range) can induce  $\alpha$ 7 nAChR channel activity, while higher levels (nanomolar to low micromolar range) decreases  $\alpha$ 7 nAChR channel activation duration by ACh [90] which can lead to dysfunctional circuit activity [91]. Among the various subtypes of nAChRs,  $\alpha$ 7 $\beta$ 2 receptors have been found to have the highest affinity for A $\beta$ , and they can be inhibited by as little as one nanomolar of A $\beta$  [20]. Additionally, the **apolipoprotein E4 (APOE4)** allele, which has the strongest genetic association with Alzheimer's disease (heterozygotes have 2–4 fold increased disease risk and homozygotes have 8–12 fold increased risk) [92], has been found to increase the number of A $\beta$ /nAChR complexes [93]. The binding between nAChRs and A $\beta$  may not always be maladaptive. For example, studies in mice showed that 12 month old *Chrna7* knockout mice exhibit Alzheimer's disease-like pathology [94]. This may arise from disrupting normal, picomolar levels of A $\beta$ 's ability to potentiate  $\alpha$ 7 nAChR presynaptic neurotransmitter release probability [95,96]. The hormetic relationship of A $\beta$  and nAChR function is an area deserving greater attention, especially in the preclinical stages of Alzheimer's disease.

## Nicotine

High levels of nicotine delivered to the brain via inhalation activates neural nAChRs within seconds, followed by desensitization, as nicotine is not metabolized as quickly as endogenous ligands [97]. Chronic nicotine exposure leads to increased expression of multiple nAChRs and nAChR-related genes. However, the expression level of CHRNA7 is inconsistent across multiple studies [97], potentially due to  $\alpha$ 7 nAChRs relatively low binding affinity to nicotine (~90  $\mu$ M). Exposure to nicotine across the lifespan lead to multiple changes in neuronal morphology and cognition depending on the specific exposure method and length [98,99]. Rates of smoking are higher among individuals with schizophrenia, for reasons that are not fully clear and are a matter of ongoing debate. While smoking has been claimed to improve negative symptoms of schizophrenia, evidence also indicates that the effect might be a result of mitigating withdrawal symptoms [100]. In any case, from a broader perspective, the negative outcomes associated with nicotine use cannot be understated. Despite nicotine's acute ability to enhance some domains of  $\alpha$ 7 nAChR-mediated cognitive function [101], ineffective nicotine metabolism, cardiovascular disease, cancer and addiction risk outweigh the transient cognitive-enhancing aspects of its use.

## Alcohol

In individuals with alcohol use disorder, excessive alcohol use has been found to correlate with reduced ChAT activity in the hippocampus [102]. Perhaps relatedly, high alcohol use (>14 drink units/week) is associated with elevated risk of dementia [103]. To our knowledge, the effect of alcohol on  $\alpha$ 7 nAChR function in humans has yet to be studied. Most work has focused on nAChRs as a modulator of mesolimbic dopamine signaling in alcohol use behaviors, which is beyond the scope of this review [105]. However, there is

evidence in rodents that ethanol exposure impairs  $\alpha 7$  nAChR function [104]. Ethanol can also enhance the sensitivity of the  $\alpha 7$  nAChR to nicotine [105]. These studies underscore the need to consider interactions of various substances when studying therapeutics for at-risk populations.

### Inflammation

As many glial cells express  $\alpha 7$  nAChRs, **cholinesterase inhibitors** currently prescribed for dementia could potentially be operating at the inflammatory level. This is supported by data revealing anti-inflammatory effects of the cholinergic system, including specifically  $\alpha 7$  nAChRs [106,107]. For example, vagal activation of  $\alpha 7$  nAChRs expressed on macrophages prevents the synthesis of tumor necrosis factor and interleukins 1 and 6 [108]. Further influencing inflammation and recovery, ACh is essential for the vasodilation of blood vessels by relaxing smooth muscles which express a range of nAChRs including the  $\alpha 7$  subtype [19]. Thus, modulating non-neuronal cell function by the cholinergic system via **volume transmission** may play a critical role in maintaining hippocampal fitness via optimizing inflammatory responses [109].

### Taming the Complexity

The most widely prescribed cholinergic drugs are cholinesterase inhibitors (e.g., donepezil, galantamine, and rivastigmine) used to maintain ACh levels in patients with reduced cholinergic tone. However, many patients discontinue usage, due to limited cognitive benefits and off target side effects such as gastrointestinal issues [110]. While nAChR agonists, and even some antagonists, recover cognition in animal models and small clinical trials, none have accomplished efficacy through phase 3 clinical trials for any major cognitive dysfunction outside of tobacco use disorder (for review: [57,111,112]). The typically limited efficacy of these compounds and the adverse off-target effects underscore the need to better understand and consider the location, timing, and context-dependence in the functions of  $\alpha 7$  nAChRs.

Non-specific binding of  $\alpha 7$  nAChR ligands with other nAChRs and receptors such as glycine and serotonin (5-HT<sub>3</sub>) receptors provide additional complexities. However, some of these interactions may be harnessed to improve drug effectiveness. For example, the 5-HT<sub>3</sub> receptor antagonist tropisetron is commonly used as an antiemetic through 5-HT<sub>3</sub> receptor antagonism. Tropisetron also works as a partial agonist for  $\alpha 7$  and  $\alpha 7\beta 2$  nAChRs and has been shown to improve cognition in aged Fischer rats and rhesus monkeys [113], as well as schizophrenia symptoms in non-smokers [114]. Still, the presence of nAChRs in nearly every tissue type in the body makes off target effects difficult to overcome. For this reason, basic understanding of the receptor's unique conformation and accessory proteins across various tissues is crucial for designing cholinergic drugs with improved specificity (reviewed in [115]). The recent ability to express functional  $\alpha 7$  nAChRs in normally nAChR null cell lines [21] provides a way to study these interactions.

An approach to overcome specificity issues, which has been receiving growing attention, is to enhance endogenous ACh action via **allosteric modulators**. There are many types of allosteric modulators that vary in their ability to alter the target receptor's activation,



affinity to other ligands, and the efficacy of other ligands when binding to the receptor. Type 1 nAChR PAMs for instance alter the amplitude of currents, and Type 2 PAMs alter the temporal kinetics of the current. Thus far, only two  $\alpha 7$  nAChR-specific Type 1 PAMs (to our knowledge) have made it to clinical trials, AVL-3288<sup>i</sup> and JNJ-39393406<sup>ii</sup>, both intended to treat symptoms of schizophrenia. Despite benefits in preclinical and Phase 1a trials, AVL-3288 failed to demonstrate effectiveness in Phase 1b trials while JNJ-39393406 was abandoned in Phase 2 trials due to lack of efficacy. Despite these challenges, PAMs remain a promising avenue for future research as recent high resolution structures of the  $\alpha 7$  nAChR in various conformational states [116] can be combined with advances in protein structure folding and binding [117] to discover novel PAMs. During this process, it will be critical to understand the role of  $\alpha 7$  nAChRs dysfunction in each specific condition. For example, the ability of silent allosteric modulators to leverage the metabotropic properties of  $\alpha 7$  nAChRs via stimulating Jak2/STAT3 and PI3K pathways with little to no ionotropic activity could be useful for specifically reducing inflammatory states [118]. As new drugs emerge, the ability to test their effects using innovative *in vivo* techniques (e.g., fiber photometry and miniature microscopes) and translationally-relevant behavioral tasks (e.g., touchscreen cognitive testing [119] and improved recognition assays [120]) could accelerate the preclinical development process [121,122] (Box 1).

Non-pharmacological approaches, such as diet, exercise, and sleep, may also provide synergistic therapeutic support for  $\alpha 7$  nAChR-related dysfunction. For example, choline is an essential nutrient that is required for ACh synthesis and can function as an  $\alpha 7$  nAChR agonist. In the United States, according to a 2016 assessment, only approximately 10% of the population achieved adequate intake of choline [123]. Insufficient choline intake during pregnancy can have particularly severe adverse effects, as a deficiency can disrupt offspring's fetal neural development [78]. Exercise offers a potential therapeutic benefit as physical activity is associated with increased hippocampal size and improved memory [124–127]. While causal links remain to be tested, and mechanistic understanding of these associations represents an important gap in knowledge [128], findings from rodent models indicate that physical activity can increase hippocampal ACh release and expression of  $\alpha 7$  nAChRs [129,130]. Lastly, animal model studies have shown that sleep loss can impair ACh-mediated hippocampal function and hippocampal-dependent memory consolidation [131].

## Concluding Remarks and Future Perspectives

$\alpha 7$  nAChRs influence a wide variety of physiological processes in the hippocampal circuit, via both ionotropic and metabotropic signaling pathways. Relatedly, hippocampal  $\alpha 7$  nAChRs are implicated in multiple neurological disorders and neurodegenerative diseases. The specific role of  $\alpha 7$  nAChRs depends on cell type and expression location, timing of activation relative to other excitatory/inhibitory signals, and the context in which ligands are bound. As such,  $\alpha 7$  nAChR-related cognitive dysfunction is complex, and addressing

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<sup>i</sup>Resources

<https://clinicaltrials.gov/ct2/show/NCT02978599>

<sup>ii</sup> <https://clinicaltrials.gov/ct2/show/NCT02677207>

these impairments will likely require a comprehensive therapeutic approach consisting of early detection of symptoms, effective biomarkers, lifestyle adjustments (e.g. adequate nutrition, avoiding chronic substance use, limiting neuroinflammatory states), consideration of genetic background, and application of highly specific pharmaceutical neuromodulators (e.g., PAMs). Improving the effectiveness of current therapeutics will also require addressing fundamental questions regarding  $\alpha 7$  nAChRs' function in the hippocampal circuit (see Outstanding Questions). To best achieve these goals, focused collaborations between scientists, engineers, clinicians, and pharmaceutical companies will be necessary.

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## Glossary

### **$\alpha$ -Bungarotoxin ( $\alpha$ -BTX):**

a molecule derived from the Taiwanese banded krait snake which can antagonistically and irreversibly bind to the  $\alpha 7$  nicotinic acetylcholine receptor. Because of the lack of quality antibodies for  $\alpha 7$  nAChRs,  $\alpha$ -BTX has been widely used to study the  $\alpha 7$  nAChR at the protein level

### **allosteric modulators:**

substances that can bind to a non-orthosteric site of a receptor altering that receptor's typical response to other ligands

### **amyloid $\beta$ ( $A\beta$ ):**

peptides implicated in Alzheimer's disease that are derived from the amyloid precursor protein

### **anticholinergics:**

any drug that blocks the action of acetylcholine

### **apolipoprotein:**

a class of proteins responsible for the binding and transport of lipids in the blood, lymph, and cerebrospinal fluid as well as functioning as enzyme cofactors involved in metabolism of lipoproteins

### **choline acetyltransferase (ChAT):**

an enzyme that synthesizes acetylcholine

### **cholinesterase inhibitors:**

a class of drugs that inhibit the enzyme acetylcholinesterase and therefore prevent the breakdown of acetylcholine. Also referred to as anticholinesterases

### **CHRNA7:**

the gene encoding the  $\alpha 7$  nicotinic acetylcholine receptor subunit

**compensatory mechanisms:**

alternative actions taken by the body to maintain physiological function despite an alteration in natural function

**epistasis:**

a phenomenon in which the effect of one gene depends on the effects of one or more other genes

**genome wide association study (GWAS):**

a study in which many genetic variants, called single nucleotide polymorphisms, are statistically tested for associations with a specific phenotype

**long term potentiation (LTP):**

an increase in synaptic strength between two neurons due to repeated stimulations that has a time course lasting from minutes to hours

**Schaffer collateral (SC):**

axon collaterals given off by CA3 pyramidal cells that project to the CA1

**short term depression (STD):**

a decrease in synaptic strength between two neurons due to repeated stimulations that has a time course lasting from milliseconds to minutes

**short term potentiation (STP):**

an increase in synaptic strength between two neurons due to repeated stimulations that has a time course lasting from milliseconds to minutes

**theta oscillations:**

electrical rhythms with a frequency of 6–10 Hz hypothesized to represent coordination of neurons within a circuit

**volume transmission:**

a non-specific diffusion of neurotransmitters into the extracellular space with a longer time course of action than synaptic transmission

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### Outstanding Questions

1. Are there ligands that bind to  $\alpha 7$  nAChRs in specific cell types?
2. Are there  $\alpha 7$  nAChR PAMs that can alter firing threshold and/or amplitudes while maintaining temporal activation, desensitization, and/or expression of CHRNA7?
3. How do lifestyle and environmental factors such as physical activity, diet, sleep, or toxicant exposure modulate  $\alpha 7$  nAChR function?
4. In what context are  $\alpha 7$  nAChRs activated in relationship to excitatory and inhibitory signaling in various cell types?
5. How are hippocampal subregions' activity co-coordinated via  $\alpha 7$  nAChRs?
6. How does A $\beta$  interact with  $\alpha 7$  nAChRs *in vivo*?
7. What are the fundamental differences in  $\alpha 7$  nAChR function between rodent models and humans?

### Highlights

- $\alpha 7$  nAChR expression is abundant in the hippocampus and located pre- and postsynaptically in most neuronal types as well as in non-neuronal cells such as astrocytes, microglia, macrophages, and endothelial cells.
- $\alpha 7$  nAChRs have low affinity for acetylcholine ( $EC_{50} \sim 180 \mu M$ ), indicating they are likely coactivated with other cholinergic receptor subtypes.
- Timing of  $\alpha 7$  nAChR activation related to excitatory inputs alters the effect on postsynaptic plasticity, influencing theta oscillations.
- A single basal forebrain cholinergic neuron can innervate cells in all layers of the hippocampus, possibly coordinating hippocampal activities.
- Therapies for  $\alpha 7$  nAChR-related dysfunction should preserve the function of cholinergic projection neurons and/or utilize modulators that enhance function while preserving response kinetics to maintain the circuit's temporal fidelity.

**Text Box 1.****Investigating  $\alpha 7$  nAChR Function *in Vivo***

The study of  $\alpha 7$  nAChRs *in vivo* can help improve the translational relevance of the findings, given the substantial differences between circuit properties *in vitro* and *in vivo*. Below, we describe various techniques that can be utilized for studying circuit function *in vivo* and highlight some of the recent studies that employed these techniques in the context of the cholinergic system.

**Fiber Photometry –**

An optic fiber is implanted into a specific brain region to capture fluorescent emissions from virally-expressed biosensors when bound to specific substrates such as calcium [132], acetylcholine [129], or nicotine [133] on a sub-second time scale in a freely moving animal.

**Miniature Microscope –**

A technique similar to fiber photometry except that a cylindrical lens is implanted into a specific brain region, and the lense is reversibly attached to a miniature microscope allowing the imaging of fluorescence from individual cells instead of bulk fluorescence [133].

**Optogenetics/Electrophysiology –**

Specific wavelengths of light are exposed to virally-expressed potassium channels combined with light sensitive rhodopsins that in turn activate/inactivate their host cell on a millisecond timescale. As the optic fiber is small, the implanted apparatus can be combined with an array of electrodes [134].

**Designer Receptors Exclusively Activated by Designer Drugs (DREADDs) –**

Modified muscarinic G-protein coupled receptors are virally-expressed in specific brain regions/cells and can activate/inactivate their host cell when bound to biologically inert, exogenous ligands (e.g., clozapine-N-oxide). DREADDs can be activated through delivery of the ligand via intraperitoneal injection for acute exposure or drinking water for chronic exposure [135].

**Positron Emission Tomography (PET) –**

Ligands labeled with radioactive isotopes are infused systemically and imaged in real time to visualize the location and degree of binding to specific endogenous receptors. High affinity tracers for  $\alpha 7$  nAChRs, such as  $^{18}\text{F}$ -ASEM, can be imaged with minimal displacement of endogenous ligands preventing disruption of normal function [72].

**Nanosensors –**

By combining acetylcholine-catalyzing enzymes and pH-sensitive gadolinium contrast agents on the surface of nanoparticles, low micromolar levels of acetylcholine can be detected real time via MRI. However, in most current approaches, the nanoparticles cannot cross the blood-brain barrier, which limits the use in humans [136].

**Touchscreen Operant Chamber –**

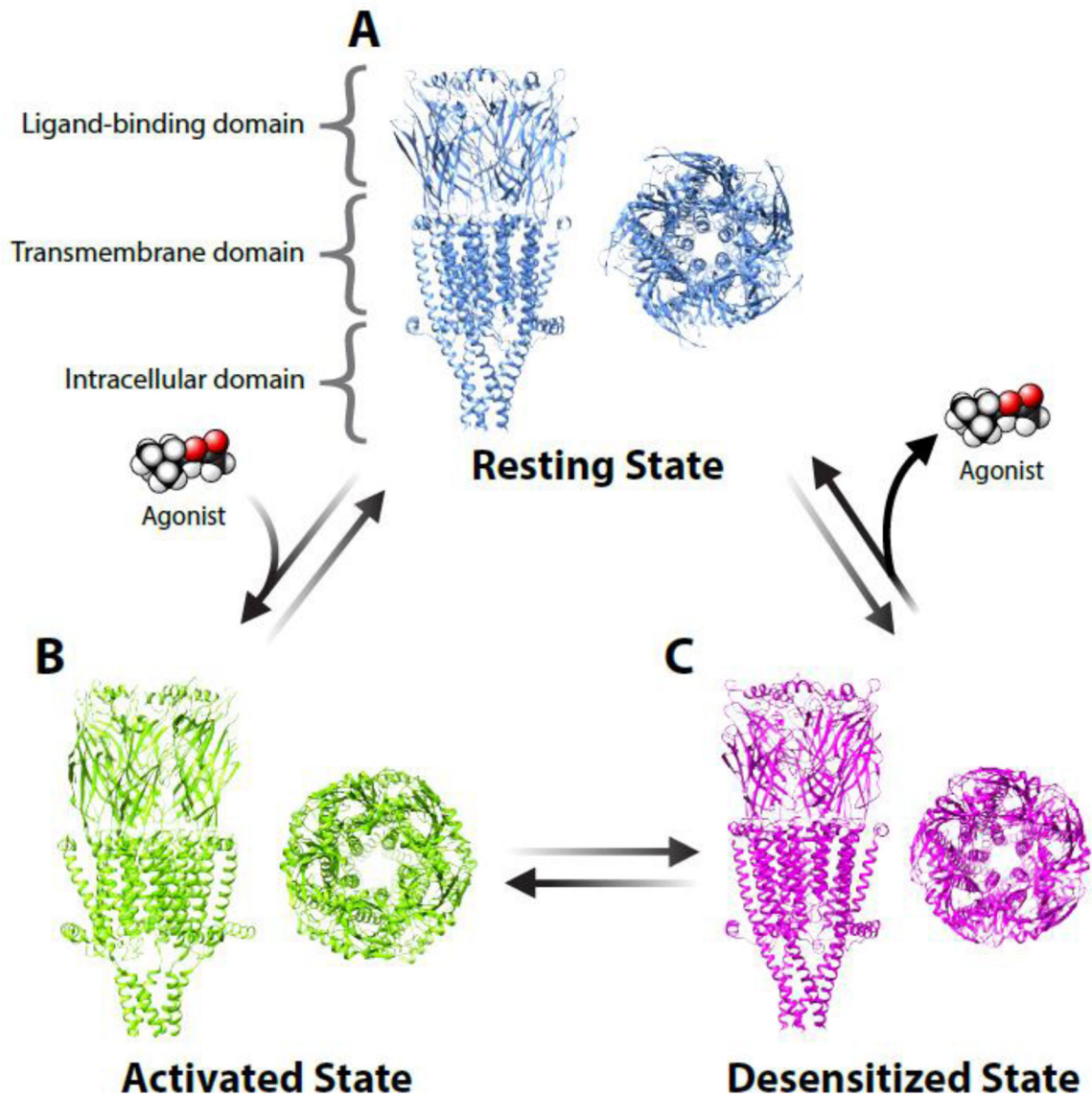
In order to improve translation from rodent behavior models to humans, a touchscreen task was created to mimic the Cambridge Neuropsychological Test Automated Battery. Both tests require subjects to touch symbols on a screen in specific patterns and receive immediate feedback of performance. Multiple tests are available to test specific domains of memory and behavior [122].

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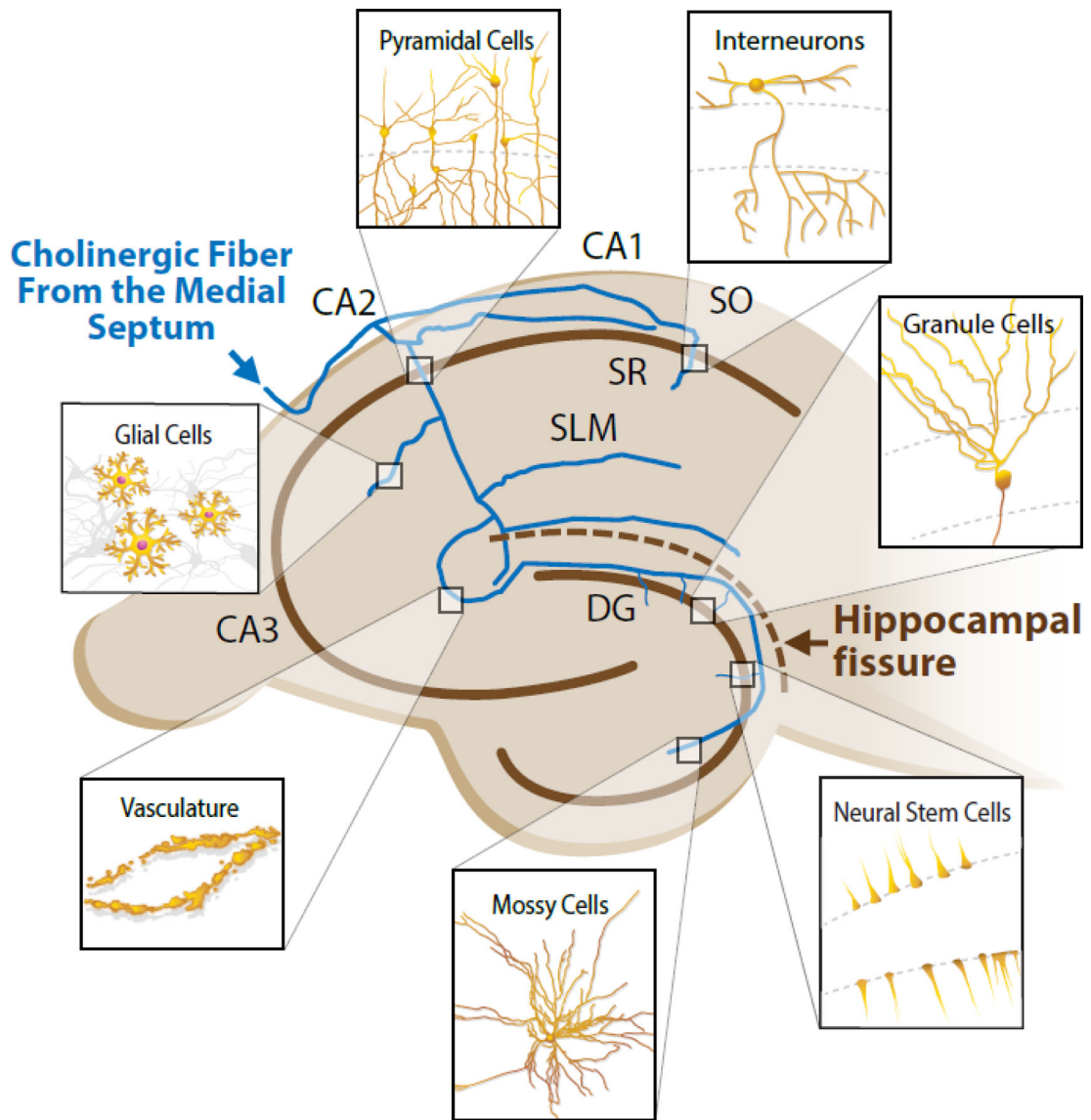
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**Figure 1. Homomeric  $\alpha 7$  nAChRs in various conformational states.**

The structural images are based on data from functional electron microscopy studies [116] and visualized via Chimera software with A) the antagonist  $\alpha$ -bungarotoxin bound in the 'resting state', B) ACh and the positive allosteric modulator PNU-120596 bound in the 'activated state', and C) long-term exposure to ACh in the 'desensitized state'. In the activated state, the central pore allows cations (mostly sodium and calcium ions), to pass through the receptor into the cell. The long-term exposure to the agonist ACh leads to desensitization (after activation) and the closing of the pore. All ligand binding occurs within the ligand-binding domain located extracellular to the transmembrane domain. Data can be explored here: <https://www.rcsb.org/structure/7KOO>.



**Figure 2, Key Figure. Innervation of the Hippocampus via a Single Cholinergic Projection Neuron from the Medial Septum.**

The blue lines represent the axons of a single hypothetical cholinergic projection neuron from the medial septum with bifurcations innervating various regions of the hippocampus. Each magnifying box represents one of many possible locations of various cell types that can be modified by either synaptic or volume transmission related to the cholinergic innervation. The upper left brown line indicates the pyramidal layer (somas of the pyramidal cells) while the lower right brown line represents the molecular layer (somas of the granule cells). CA1, 2, and 3 – Cornu Amonis 1, 2, and 3, respectively. DG – dentate gyrus. SO – strata oriens. SR – strata radiatum. SLM – strata lacunosum-moleculare.