

Original Article

PSA nadir predicts biochemical recurrence after external beam radiation therapy combined to high dose rate brachytherapy in the treatment of prostate cancer

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Abstract: Introduction: Prostate cancer (PCa) is the second most prevalent neoplasm among men in the world. Its treatment has a wide spectrum of alternatives and variables, ranging from active surveillance through radio and/or brachytherapy, to surgery. Objective: The present work aimed to identify the predictive factors for biochemical recurrence and to evaluate the toxicity of the treatment using the association of external beam radiation therapy (EBRT) with high dose rate brachytherapy (HDR-BT) applied in the treatment of patients with prostate cancer. Methods: Longitudinal retrospective study, using a prospectively collected database between 2005 and 2014 of 186 consecutive patients records with a diagnosis of low, intermediate, or high-risk prostate cancer treated with EBRT combined with HDR-BT, in a single medical institution located in the city of Campinas, SP, Brazil (Radium Institute). PSA increase over 2 ng/ml above the nadir PSA was considered as biochemical recurrence, following the definition of the Phoenix Consensus. Continuous and clinically relevant categorical variables (age, initial PSA, delivered dose in EBRT, number of implants, number of positive cores in transrectal biopsy, use of hormone blockade, Gleason score, TNM staging, post treatment PSA and PSA Nadir) were evaluated with absolute (n) and percentage (%) values using multiple logistic regression and validated our previously described optimal PSA nadir as predictor of biochemical recurrence. Results: Post treatment PSA was the only independent predictor of biochemical recurrence, $P < 0.0001$. The lower the PSA nadir the lower the biochemical recurrence risk ($P = 0.0009$). PSA nadir > 1 was the best cutoff ($P = 0.018$) determinant of biochemical recurrence. The incidence of grade 3 late toxicity to the genitourinary tract was 0.6%, and there were no cases of severe complications to the gastrointestinal tract. Conclusion: External Beam Radiation Therapy conjugated to Brachytherapy in the treatment of Prostate Cancer has demonstrated low biochemical recurrence rates, mainly when PSA nadir < 1 , with low toxicity into both GU and GI tracts.

Keywords: External Radiotherapy, high dose rate brachytherapy, prostate cancer, biochemical relapse, toxicity, efficacy, PSA nadir

Introduction

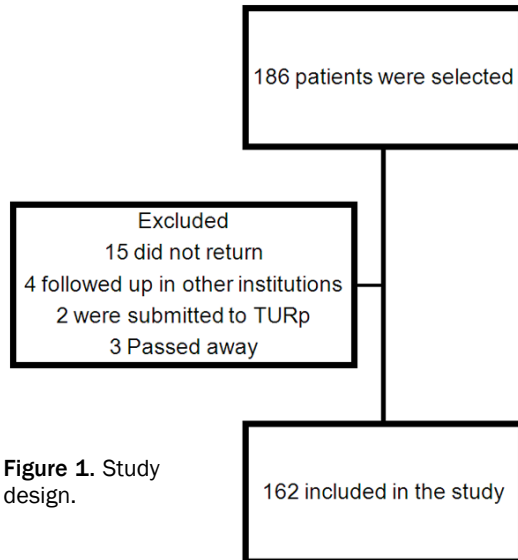
Prostate cancer is the second most common malignant neoplasm among men in Brazil and worldwide [1, 2]. Several factors are associated with the risk of prostate cancer such as age [3], ethnic group [4], heredity [5], environmental and lifestyle factors [6, 7].

The choice of localized cancer treatment may include active surveillance [8, 9], radio and/or brachytherapy [10-14] and surgery [15, 16], considering not only tumor characteristics and staging but also individual patient features,

expectations, and agreement with the treatment risks and benefits. D'Amico et al. proposed a risk stratification of biochemical relapse after the many treatment options, based on PSA, Gleason score and clinical staging (TNM) [17]. This classification system has simplified the way in which doctors predict the response to treatment modalities.

Brachytherapy as monotherapy for a low-risk prostate cancer is regarded as a good choice according to the D'Amico's classification [18]; however, in the intermediate and high-risk cases, isolated brachytherapy presents poor

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results in terms of biochemical control [19], in which the association of brachytherapy and external beam radiation has been considered a viable alternative [20-23].

Objective

To identify predictive factors for biochemical recurrence and evaluate treatment toxicity using the association of external beam radiation therapy (EBRT) with high dose rate brachytherapy (HDR-BT) applied in the treatment of patients with PCa.

Methods

This is a retrospective longitudinal study, with a prospectively collected database of prostate cancer patients from January 2005 to January 2014, ethics committee approval number 374.513. Inclusion criteria consisted of patients diagnosed with prostate cancer, confirmed by transrectal ultrasound-guided biopsy, treated with an association of EBRT and HDR-BT at the Instituto do Radium, Campinas, SP, Brazil.

A total of 186 consecutive patients diagnosed with low, intermediate, and high-risk prostate cancer treated with EBRT, associated with HDR-BT in a single medical institution located in the city of Campinas, SP, Brazil (Instituto do Radium) was selected. Continuous and clinically relevant categorical variables were evaluated with absolute (n) and percentage (%) values using multiple logistic regression.

Twenty-four patients were later submitted to other treatment modalities or lost segment at the institution and were excluded. All patients were informed of all possible treatment methods, their risks and benefits, adverse side effects and complications. The study design is shown in **Figure 1**.

The patients were submitted to physical and digital rectal exams [24] and blood samples for laboratory testing. Distant metastases were excluded by total abdominal computed tomography, simple chest x-ray, and bone scintigraphy (if PSA >20 ng/ml). The Gleason score was used to determine tumor differentiation [25, 26]. The American Joint Committee on Cancer (AJCC) TNM system (2009) was used for clinical staging [27], and the patients were divided into risk groups according to D'Amico's classification [17].

Brachytherapy

Patients were treated in a lithotomy position (dorsal decubitus on leg holders), under spinal anesthesia or general anesthesia. A Foley catheter was used to fill the bladder with distilled water. The transrectal ultrasound probe was inserted, and the prostate, seminal vesicles, urethra, bladder, and rectum were visualized, thus preparing for the introduction of the radioactive implants. The entire prostate volume was targeted for HDR-BT. The maximum dose for the urethra and rectum was defined as being lower than 120% of the surrounding tissues and 7 Gy for the rectum, respectively. The implants (on average 20) were placed under ultrasound guidance. The first 45 patients received 2 fractions of 8 Gy, through HDR 192-Ir, Varian Gammamed brand and Vitesse Varian planning system. The other 117 patients received a single fraction of 10 Gy (**Figures 2 and 3**). High dose rate brachytherapy was performed after external beam radiation therapy, following a gap of 2 to 3 weeks.

External beam radiation therapy (EBRT)

Three-dimensional (3D) or conformational EBRT, with photon energy generated by a linear particle accelerator (Varian®, model 6EX, 120 slides) was used, and CT scan was performed in all patients using the software Eclipse™-Varian, version 11.0. The target area included the prostate, seminal vesicles and, in high-risk

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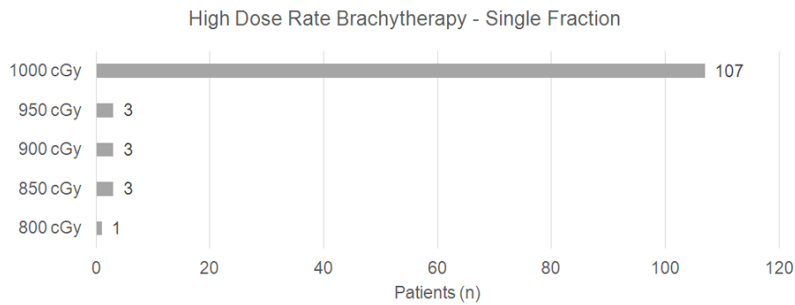


Figure 2. Patients who underwent HDRB (Gy) in a single fraction.

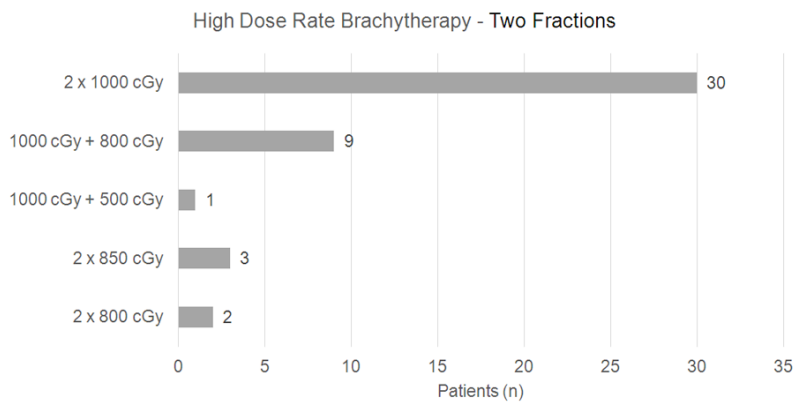


Figure 3. Patients who underwent HDRB (Gy) in two fractions.

cases, the pelvic lymph nodes. The patients were placed in dorsal decubitus position and with pelvic fixation system. The duration of EBRT treatment was 5 to 6 weeks, according to the brachytherapy planning. The applied dose was 50 Gy in fractions of 2 Gy (five times a week). When the brachytherapy planning was of only one insertion, the dose of external radiotherapy was 60 Gy in the prostate, 50 Gy in the seminal vesicles and in the cases of radiotherapy in pelvic lymph nodes, the dose was 50 Gy.

Androgen deprivation treatment (ADT)

Neoadjuvant treatment was performed in 46 (28.4%) subjects and was reserved for patients with prostate volumes greater than 40 g, confirmed by US. Adjuvant treatment was performed in 19 (11.7%) high risk cases. The mean duration of treatment was 6 to 18 months.

Follow-up

Follow-up averaged 57 months (4.2-163). The patients were evaluated every three months in the first 2 years, every six months in the third year, and then annually. Post-treatment PSA

levels were analyzed using the validated Immulite® PSA kit.

Statistical analysis

The sample was evaluated according to the frequency of categorical variables, with absolute (n) and percentage (%) values. Descriptive statistics of continuous variables were also analyzed, with mean values, standard deviation, minimum and maximum values, median and quartiles. Chi-Square or Fisher's Exact Test were used, when necessary, for the comparison of categorical variables: (i) age range, (ii) Gleason score (<7 vs. ≥7), (iii) pre-treatment PSA (<10 vs. ≥10 ng/ml), and (vi) TNM staging, among the groups.

For comparison of continuous variables: (i) age, (ii) PSA pre-treatment, (iii) PSA nadir at 12 months, (iv) number of needles used, (v) radiation dose, (vi) total number of fragments and positive fragments in the biopsy, (vii) percentage of positive fragments in the biopsy, and (viii) follow-up time. The Mann-Whitney test was applied due to the absence of normal distribution of the variables.

Biochemical recurrence after primary RT, with or without short-term hormonal manipulation is considered any PSA increase greater than 2 ng/ml above nadir, following the definition of the Phoenix Consensus [28]. The PSA as a parameter to define "biochemical recurrence" in the absence of clinical or histopathological evidence of recurrence which aims to define the best time for a new intervention to prevent disease progression. We validated our optimal PSA nadir as predictor of biochemical recurrence, previously calculated by receiver operating characteristic [ROC] curve [29].

Statistical Analysis in System (SAS) software, version 9.4 (SAS Institute Inc, 2002-2012, Cary, NC, USA) was used for statistical analysis

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Table 1. Clinical features of patients

Variables	N (%)
<i>Age (years)</i>	
<65	63 (38.9)
≥65	99 (61.1)
<i>Gleason Score</i>	
6	58 (35.8)
7	69 (42.6)
8	19 (11.7)
9	13 (8.0)
10	3 (1.9)
<i>Initial PSA (ng/ml)</i>	
<10	111 (68.5)
10-20	30 (18.5)
>20	21 (13)
<i>T Stage (AJCC)</i>	
T1-T2a	62 (38.3)
T2b-T2c	77 (47.5)
T3a-T3b	23 (14.2)

and the significance level adopted for the statistical tests was 5% ($P < 0.05$).

Results

Measured variables

The mean age found was 66 years (39-86), with an initial PSA of 11.6 ng/ml (1.06-28). Nadir PSA mean was 0.20 ng/ml (0.0-3.51). About 2/3 of patients had stage T2, followed by 23% of T3 cases. About half of the men had an intermediate degree of risk. Only twenty-six patients (16%) were low risk and 84% were intermediate/high risk. One hundred and four patients (64.2%) had a Gleason score ≥ 7 on prostate biopsy (**Table 1**). The mean follow-up time was 57 months (4-163). **Table 2** shows continuous and **Table 3** categorical measured variables.

Biochemical recurrence

We assess that biochemical recurrence occurred in 6 patients (3.7%), mean \pm SD of PSA nadir 1.1 ± 1.3 , compared to 0.2 ± 0.3 in those with oncological control ($P = 0.0009$). Pre-treatment characteristics were comparable between those with oncological control and those presenting biochemical recurrence. The only independent predictor of oncological control was PSA nadir.

Toxicity

Grade 3 gastrointestinal toxicity was not found in any case, and only one patient (0.6%) had genitourinary Grade 3 toxicity (urethral stenosis). **Table 4** shows the comparison between the main clinical and pathological variables and biochemical recurrence. PSA nadir > 1 was validated as the best cutoff ($P = 0.018$) determinant of biochemical recurrence.

Discussion

This retrospective study demonstrated that the association of EBRT with HDR-BT is an effective and safe therapeutic option for localized prostate cancer, with a biochemical recurrence rate of 3.7%. PSA nadir < 1.0 ng/dL was the only categorical variable predictive of biochemical recurrence. In relation to grade 3 late toxicities, only one case (0.6%) was observed in the GU (urethral stenosis) and no case in the GI. PSA nadir has been revealed as an important predictor of oncological control in the context of radiotherapy [29].

The association of EBRT with BT (high/HDR or low/LDR dose rate) was initially proposed to combine the many advantages of each technique. On the one hand, EBRT allows a large range radiation to treat possible tumor invasions in the seminal vesicles and prostatic capsule, while BT offers a dose of intraprostatic radiation, superior to that offered by EBRT and several studies have demonstrated the feasibility of this combination [30-32].

This study is one of the first carried out in Brazil referring specifically to the treatment of localized PCa, using the association of EBRT and HDR-BT as a therapeutic modality. The first one was published in 2006 by Esteves et al. [29], at the Hospital Beneficência Portuguesa-São Paulo (SP), with 46 patients, followed by two other articles published by Pellizzon et al. in 2008 [30] and 2011 [31] at the Hospital AC Camargo-São Paulo (SP).

Several other studies [32-37] have demonstrated the potential benefit of the synergism between EBRT and HDR-BT, in view of tumor control, biochemical recurrence and toxicity (**Table 5**).

In 2012, Hoskin Póse et al. proposed that the combined treatment (EBRT + HDR-BT) resulted

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Table 2. Descriptive analysis of the categorical measured variables

	Frequency	Percentage (%)	Cumulative frequency
Preliminary PSA			
<10 ng/mL	110	68.75	110
10-20 ng/mL	29	18.13	139
>20 ng/mL	21	13.13	160
Gleason			
6	58	35.80	58
7	69	42.59	127
8	19	11.73	146
9	13	8.02	159
10	3	1.85	162
Stage			
Low risk	26	16.05	26
Medium risk	79	48.77	105
High risk	57	35.19	162
T stage			
T1	41	25.31	41
T2	99	61.11	140
T3	22	13.58	162
Neoadjuvant hormone blockade			
No	116	71.60	116
Yes	46	28.40	162
Post treatment hormone blockade			
No	143	88.27	143
Yes	19	11.73	162
Perineural invasion (PNI)			
No	142	87.65	142
Yes	20	12.35	162
PSA nadir			
<1	121	96.03	121
≥1	5	3.97	126
Biochemical Recurrence			
No	156	96.30	156
Yes	6	3.70	162

in significant improvement of biochemical recurrence rates, when compared to isolated EBRT. In their study, a 31% reduction in the risk of recurrence ($P=0.01$) was obtained, alongside a reduction of acute morbidity and similar incidence of late severe toxicity in the genitourinary and gastrointestinal tracts [38]. In 2013, Kotecha et al. published results on recurrence-free survival and morbidity in 229 patients with localized PCa treated with EBRT + HDR-BT. They concluded that this combination provided a high rate of radiation to the prostate and was associated with better tumor control and grade

3 toxicity in the genitourinary tract (GU) remained inferior to 4% [39].

Regarding the prognostic value of nadir PSA, Tsumura et al. (2016) analyzed data from 216 high-risk or locally advanced PCa patients who underwent EBRT associated HDR-BT with long-term androgen deprivation therapy (ADT) for a period of 6 years. A post-radiotherapy nadir PSA value of ≤ 0.02 ng/mL was associated with better long-term biochemical control [40].

Data from 3,424 patients treated with EBRT + HDR-BT between 1997 and 2014 were collected from 16 Asian hospitals (Japan and Singapore), using a standardized database. The risk category was defined as low, intermediate, high and very high risk, according to NCCN criteria (www.nccn.org). The mean dose of HDR-BT was 18 Gy and the EBRT was 39 Gy. Neoadjuvance was given to 27.7% and 49.5% received both. The mean follow-up was 66 months (1-250). Biochemical control at 5 and 10 years was 90.6% and 81.4%, respectively. High risk was detected as a predictor of biochemical recurrence. They concluded that, in cases of very high risk, the time of ADT

should be prolonged, even with HDR-BT; while also being useful to suppress late toxicity [41].

An Australian study published in July 2017 retrospectively evaluated the results (biochemical relapse and incidence of urethral stenosis) in 507 patients with intermediate and high-risk PCa treated with EBRT + HDR-BT in the period of August 2000 to December 2009. All patients received neoadjuvant hormonal blockade (6 months), and only 11 (2.1%) required adjuvant treatment (all high risk). Three doses of HDR-BT were prescribed (the first dose on the day of

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Table 3. Descriptive analysis of continuous variables

	<i>n</i>	Mean	SD	Min	Q ₁	Median	Q ₃	Max
Age	162	66.21	8.51	39.00	60.00	67.50	72.00	86.00
Preliminary PSA	160	11.63	17.19	1.06	4.98	7.40	11.40	28.00
Post treatment PSA	135	0.60	1.15	0.00	0.03	0.10	0.35	8.60
EBRT dose (Gy)	162	56.20	71.47	30.00	48.00	60.00	61.20	67.00
Number of implants	147	20.62	6.41	10.00	15.00	19.00	24.00	48.00
IPSS	121	14.04	8.61	0.00	7.00	13.00	22.00	35.00
Number of cores in biopsy	125	15.67	7.19	6.00	12.00	14.00	16.00	29.00
PSA nadir	126	0.20	0.44	0.00	0.01	0.04	0.21	3.50
Follow-up (months)	147	56.92	31.58	4.17	32.43	53.49	79.70	163.65

SD: Standard Deviation; EBRT: External Beam Radiation Therapy.

implant and the other two on the following day, with a minimum interval of 6 hours with a dose of 6.6 Gy each). The EBRT dose was 46 Gy (divided in 23 sessions). With a mean follow-up of 124 months (10.3 years), the authors concluded that, with the association of EBRT + HDR-BT, the results were better in terms of biochemical recurrence when compared to previous results from EBRT only treatments in the same institution. The biochemical recurrence free rates for intermediate and high-risk cases were 93.3% and 74.2% at 5 years and 86.9% and 56.1% at 10 years, respectively. The rate of urethral stenosis was 28.9% before 2005 and 4.2% after 2005 [42].

In our study, from 2005 to mid-2007, almost all patients received 2 HDR-BT fractions, the majority being two 10 Gy doses. From 2007 until the end of the first half of 2008 there was a diversification of the treatment, sometimes 1 dose of 10 Gy, or 2 doses, ranging from 16 to 20 Gy. From August 2008, all patients underwent a single dose of HDR-BT of 10 Gy. This trend was demonstrated by Falk *et al.* [43] and Hoskin *et al.* [44] and concluded that the single fraction for PCa produces similar results in terms of biochemical control and late toxicity compared to two or three fractions' schemes and is acceptable to "boost" the final EBRT with similar rates.

In the present study the only categorical variable that presented a statistically significant difference was the nadir PSA value <1 ng/ml (P=0.018) and patients who presented nadir PSA 0.2±0.3 (n=120) did not present biochemical recurrence (P=0.009). Post treatment PSA (P<0.0001) showed statistical value in terms of

biochemical recurrence: 1) PSA nadir as continuous variable (P=0.0009) and PSA nadir <1 (P=0.018). No other variable (age, initial PSA, dose employed in EBRT, number of implants, number of positive cores in the biopsy, use of hormone blockade, Gleason score or TNM staging) was determinant for biochemical recurrence.

The incidence of grade 3 late toxicity in the GU was 0.6%, and there were no cases of severe complications in the gastrointestinal tract (GI).

We acknowledge the limitations of a retrospective study, carried out in a single reference center with a restricted number of patients and heterogeneous characteristics. In addition, different HDR-BT schemes (1 or 2 fractions) have been used over the years. However, this is also found in the literature, as seen in a systematic review published by Zaorsky *et al.* [45] in which the authors concluded that the limitations of current EBRT + HDR-BT studies include reports from single institution experiments and unrefined results in terms of patient toxicity or quality of life. Regarding disease control, biochemical relapse, and side effects (toxicity), our study presents results compatible with other series published before.

High-dose brachytherapy associated with image-guided EBRT is an effective and safe method for dose delivery with a similar and safe tumoricidal effect added to the advantage of treatment optimization with fewer sessions and a greater number of patients treated with same resources. In addition, recent radiobiological data on the treatment of prostate cancer suggest that HDBT should produce tumor control

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Table 4. Comparison between the main clinical and pathological variables and biochemical recurrence

Variables	Without biochemical recurrence (n=156)	With biochemical recurrence (n=6)	P-value
Age			
(mean ± SD) (n)	66.4±8.5 (N=156)	61.5±9.3 (N=6)	0.20
(median) (min-max)	68.0 (39.0-86.0)	63.0 (49.0-72.0)	
Initial PSA			
(mean ± SD) (n)	11.5±17.3 (N=154)	14.5±13.6 (N=6)	0.41
(median) (min-max)	7.4 (1.1-189.0)	9.1 (4.9-40.5)	
RT dose (Gy)			
(mean ± SD) (n)	5606.7±722.9 (N=156)	5970±309.3 (N=6)	0.43
(median) (min-max)	6000.0 (3000.0-6700.0)	6040 (5580.0-6400.0)	
Number of implants			
(mean ± SD) (n)	20.8±6.4 (N=141)	16.2±4.3 (N=6)	0.62
(median) (min-max)	20.0 (10.0-48.0)	14.5 (13.0-24.0)	
IPSS			
(mean ± SD) (n)	14.0±8.6 (N=117)	14.0±9.9 (N=4)	0.98
(median) (min-max)	13.0 (0.0-35.0)	13 (3.0-27.0)	
Number of cores			
(mean ± SD) (n)	15.8±7.3 (N=120)	13.6±1.7 (N=5)	0.67
(median) (min-max)	14.0 (6.0-69.0)	14.0 (12.0-16.0)	
PSA nadir			
(mean ± SD) (n)	0.2±0.3 (N=120)	1.1±1.3 (N=6)	0.0009
(median) (min-max)	0.0 (0.0-2.2)	0.6 (0.1-3.5)	
Post PSA			
(mean ± SD) (n)	0.4±0.6 (N=129)	4.4±2.3 (N=6)	<0.0001
(median) (min-max)	0.1 (0.0-2.2)	4.3 (2.3-8.6)	
Follow-up (months)			
(mean ± SD) (n)	56.6±31.9 (N=141)	63.4±22.3 (N=6)	0.49
(median) (min-max)	53.6 (4.2-163.6)	52.4 (44.8-96.8)	
Gleason			
7	67 (42.9%)	2 (33.3%)	0.76
<7	56 (35.9%)	2 (33.3%)	
>7	33 (21.2%)	2 (33.3%)	
Perineural invasion			
No	137 (87.8%)	5 (83.3%)	0.55
Yes	19 (12.2%)	1 (16.7%)	
Neoadjuvant hormone blockade			
No	111 (71.2%)	5 (83.3%)	0.68
Yes	45 (28.8%)	1 (16.7%)	
Post treatment hormone blockade			
No	137 (87.8%)	6 (100%)	1.00
Yes	19 (12.2%)	0 (0.0%)	

SD: Standard deviation; RT: Radiation Therapy; IPSS: International Prostate Symptom Score; PSA: Prostatic Specific Antigen.

and late side effects that are at least as good as those achieved with conventional fractionation, with the additional possibility that acute side effects may be reduced [46].

While we have identified the PSA nadir >1 as the best marker of biochemical recurrence both for monotherapy (29) and for combined radiotherapy in the current study, future stud-

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Table 5. Comparison between literature studies: External beam radiation therapy associated to high dose rate brachytherapy in the treatment of localized Prostate Cancer-low (L), intermediate (I) and high (H) risk

Authors	Study Design	Patients (n)	Total HDR BT dose (Gy)	Gy/fractionation	Total EBRT dose (Gy)	Follow-up (years)	Recurrence free (%)			3rd or 4th degree late toxicity (%)		Erectile preservation (%)
							Low	Intermediate	High	GU	GI	
Borghede (1997)	Prospective	50	10	5	50	1.5	97	97	92	2	0	74
Demanes (2005)	Prospective	209	23	6	36	7.3	90	87	69	7.7	0	67
Kalkner (2007)	Phase I	154	20	10	50	6.1	97	83	83	5	1	NA
Pellizzon (2008)	Phase II	209	20	10	45	5.3	92	90	89	NA	NA	NA
Demanes (2009)	Prospective	211	23	6	36	6.4	92	87	63	0	0	NA
Liu (2016)	Prospective	156	18	9	39	3.1	100	100	96.9	2.6	0	NA
This study	Retrospective	162	8-20	8-10	45-66	4.75	96.5	96.2	96.2	0.6	0	NA
Mean		170	19.6	7.4	41.7	5.1	94.3	90.2	79.4	2.9	0.2	69.5

EBRT: External beam radiation therapy; high dose rate brachytherapy (HDR-BT); BT: Brachytherapy; Gy: Radiation dose Unit (Gray); GU: genitourinary system; GI: gastrointestinal system; NA: not available.

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ies should look for new and more specific markers with potential for higher precision.

Conclusion

External Beam Radiation Therapy conjugated to Brachytherapy in the treatment of Prostate Cancer has demonstrated low biochemical recurrence rates (3.7%), mainly when PSA nadir <1, with low toxicity (0.6%) into both GU and GI tracts.

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Disclosure of conflict of interest

None.

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