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## Cognitive-behavioural treatment for subacute and chronic neck pain (Review)

Monticone M, Cedraschi C, Ambrosini E, Rocca B, Fiorentini R, Restelli M, Gianola S, Ferrante S, Zanolli GA, Moja L

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## TABLE OF CONTENTS

ABSTRACT .....	1
PLAIN LANGUAGE SUMMARY .....	2
SUMMARY OF FINDINGS .....	4
BACKGROUND .....	7
OBJECTIVES .....	8
METHODS .....	8
RESULTS .....	11
Figure 1. ....	12
Figure 2. ....	14
Figure 3. ....	15
Figure 4. ....	16
Figure 5. ....	16
Figure 6. ....	17
Figure 7. ....	17
Figure 8. ....	17
Figure 9. ....	18
Figure 10. ....	18
Figure 11. ....	19
Figure 12. ....	19
DISCUSSION .....	19
AUTHORS' CONCLUSIONS .....	21
ACKNOWLEDGEMENTS .....	21
REFERENCES .....	22
CHARACTERISTICS OF STUDIES .....	27
DATA AND ANALYSES .....	47
Analysis 1.1. Comparison 1: CBT versus other types of treatment (subacute NP), Outcome 1: Pain (short-term follow-up) .....	48
Analysis 1.2. Comparison 1: CBT versus other types of treatment (subacute NP), Outcome 2: Disability (short-term follow-up) ..	48
Analysis 2.1. Comparison 2: CBT versus no treatment (chronic NP), Outcome 1: Pain (short-term follow-up) .....	49
Analysis 2.2. Comparison 2: CBT versus no treatment (chronic NP), Outcome 2: Disability (short-term follow-up) .....	49
Analysis 2.3. Comparison 2: CBT versus no treatment (chronic NP), Outcome 3: Kinesiophobia (short-term follow-up) .....	49
Analysis 2.4. Comparison 2: CBT versus no treatment (chronic NP), Outcome 4: Distress (short-term follow-up) .....	49
Analysis 2.5. Comparison 2: CBT versus no treatment (chronic NP), Outcome 5: Quality of life (short-term follow-up) .....	50
Analysis 3.1. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 1: Pain (short-term follow-up) .....	51
Analysis 3.2. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 2: Pain (intermediate-term follow-up)	51
Analysis 3.3. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 3: Disability (short-term follow-up) ...	51
Analysis 3.4. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 4: Disability (intermediate-term follow-up) .....	52
Analysis 3.5. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 5: Kinesiophobia (intermediate-term follow-up) .....	52
Analysis 3.6. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 6: Depression (short-term follow-up) .	52
Analysis 3.7. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 7: Depression (intermediate-term follow-up) .....	53
Analysis 3.8. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 8: Coping (short-term follow-up) .....	53
Analysis 3.9. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 9: Coping (intermediate-term follow-up) .....	53
Analysis 4.1. Comparison 4: CBT in addition to another intervention versus the other intervention alone (chronic NP), Outcome 1: Pain (short-term follow-up) .....	54
Analysis 4.2. Comparison 4: CBT in addition to another intervention versus the other intervention alone (chronic NP), Outcome 2: Disability (short-term follow-up) .....	54
ADDITIONAL TABLES .....	54
APPENDICES .....	55
WHAT'S NEW .....	70

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HISTORY .....	70
CONTRIBUTIONS OF AUTHORS .....	70
DECLARATIONS OF INTEREST .....	70
SOURCES OF SUPPORT .....	70
DIFFERENCES BETWEEN PROTOCOL AND REVIEW .....	70
INDEX TERMS .....	71

[Intervention Review]

# Cognitive-behavioural treatment for subacute and chronic neck pain

Marco Monticone<sup>1</sup>, Christine Cedraschi<sup>2</sup>, Emilia Ambrosini<sup>3,4</sup>, Barbara Rocca<sup>3</sup>, Roberta Fiorentini<sup>3</sup>, Maddalena Restelli<sup>3</sup>, Silvia Gianola<sup>5</sup>, Simona Ferrante<sup>6</sup>, Gustavo A Zanolini<sup>7</sup>, Lorenzo Moja<sup>5,8</sup>

<sup>1</sup>Department of Medical Sciences and Public Health, University of Cagliari, Cagliari, Italy. <sup>2</sup>Multidisciplinary Pain Centre, Division of Clinical Pharmacology and Toxicology & Division of General Medical Rehabilitation, Geneva University Hospitals, Geneva, Switzerland.

<sup>3</sup>Physical Medicine and Rehabilitation Unit, Scientific Institute of Lissone (Milan), Institute of Care and Research, Salvatore Maugeri Foundation, IRCCS, Milan, Italy. <sup>4</sup>Neuroengineering and Medical Robotics Laboratory, Department of Electronics, Information and Bioengineering, Politecnico di Milano, Milan, Italy. <sup>5</sup>Unit of Clinical Epidemiology, IRCCS Galeazzi Orthopaedic Institute, Milan, Italy.

<sup>6</sup>Neuroengineering and Medical Robotics Laboratory, Department of Electronics, Information and Bioengineering, Politecnico di Milano, Milan, Italy. <sup>7</sup>Casa di Cura SM Maddalena - University of Ferrara, Occhiobello (RO) - Ferrara, Italy. <sup>8</sup>Department of Biomedical Sciences for Health, University of Milan, Milan, Italy

**Contact:** Marco Monticone, [mmonticone@gmail.com](mailto:mmonticone@gmail.com).

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## Editorial note: Expression of concern

Professor Marco Monticone has acted as the first author of this Cochrane review. Readers should be informed that multiple randomized controlled trials authored by Professor Monticone have been scrutinized because of potential research integrity issues, including irregularities in the data (doi:10.1097/j.pain.0000000000002659). One of the trials suspected of research integrity issues is included in this Cochrane review (doi:10.1007/s00586-012-2287-y). The Cochrane editorial team has concerns about the trustworthiness of the trial data and is applying Cochrane's policy on managing potentially problematic studies (<https://www.cochranelibrary.com/cdsr/editorial-policies#problematic-studies>). No major differences to the conclusions of this review were found after performing a sensitivity analysis on the main outcomes, whether the potentially problematic trial was included or excluded. Cochrane will take further action as needed on this review once additional investigations into the potentially problematic trial are concluded.

In the meantime, a new version of this review topic is underway with a new author team. The new review will supersede this review.

## ABSTRACT

### Background

Although research on non-surgical treatments for neck pain (NP) is progressing, there remains uncertainty about the efficacy of cognitive-behavioural therapy (CBT) for this population. Addressing cognitive and behavioural factors might reduce the clinical burden and the costs of NP in society.

### Objectives

To assess the effects of CBT among individuals with subacute and chronic NP. Specifically, the following comparisons were investigated: (1) cognitive-behavioural therapy versus placebo, no treatment, or waiting list controls; (2) cognitive-behavioural therapy versus other types of interventions; (3) cognitive-behavioural therapy in addition to another intervention (e.g. physiotherapy) versus the other intervention alone.

## Search methods

We searched CENTRAL, MEDLINE, EMBASE, CINAHL, PsycINFO, SCOPUS, Web of Science, and PubMed, as well as ClinicalTrials.gov and the World Health Organization International Clinical Trials Registry Platform up to November 2014. Reference lists and citations of identified trials and relevant systematic reviews were screened.

## Selection criteria

We included randomised controlled trials that assessed the use of CBT in adults with subacute and chronic NP.

## Data collection and analysis

Two review authors independently assessed the risk of bias in each study and extracted the data. If sufficient homogeneity existed among studies in the pre-defined comparisons, a meta-analysis was performed. We determined the quality of the evidence for each comparison with the GRADE approach.

## Main results

We included 10 randomised trials (836 participants) in this review. Four trials (40%) had low risk of bias, the remaining 60% of trials had a high risk of bias.

The quality of the evidence for the effects of CBT on patients with chronic NP was from very low to moderate. There was low quality evidence that CBT was better than no treatment for improving pain (standard mean difference (SMD) -0.58, 95% confidence interval (CI) -1.01 to -0.16), disability (SMD -0.61, 95% CI -1.21 to -0.01), and quality of life (SMD -0.93, 95% CI -1.54 to -0.31) at short-term follow-up, while there was from very low to low quality evidence of no effect on various psychological indicators at short-term follow-up. Both at short- and intermediate-term follow-up, CBT did not affect pain (SMD -0.06, 95% CI -0.33 to 0.21, low quality, at short-term follow-up; MD -0.89, 95% CI -2.73 to 0.94, low quality, at intermediate-term follow-up) or disability (SMD -0.10, 95% CI -0.40 to 0.20, moderate quality, at short-term follow-up; SMD -0.24, 95% CI -0.54 to 0.07, moderate quality, at intermediate-term follow-up) compared to other types of interventions. There was moderate quality evidence that CBT was better than other interventions for improving kinesiophobia at intermediate-term follow-up (SMD -0.39, 95% CI -0.69 to -0.08,  $I^2 = 0\%$ ). Finally, there was very low quality evidence that CBT in addition to another intervention did not differ from the other intervention alone in terms of effect on pain (SMD -0.36, 95% CI -0.73 to 0.02) and disability (SMD -0.10, 95% CI -0.56 to 0.36) at short-term follow-up.

For patients with subacute NP, there was low quality evidence that CBT was better than other interventions at reducing pain at short-term follow-up (SMD -0.24, 95% CI -0.48 to 0.00), while no difference was found in terms of effect on disability (SMD -0.12, 95% CI -0.36 to 0.12) and kinesiophobia.

None of the included studies reported on adverse effects.

## Authors' conclusions

With regard to chronic neck pain, CBT was found to be statistically significantly more effective for short-term pain reduction only when compared to no treatment, but these effects could not be considered clinically meaningful. When comparing both CBT to other types of interventions and CBT in addition to another intervention to the other intervention alone, no differences were found. For patients with subacute NP, CBT was significantly better than other types of interventions at reducing pain at short-term follow-up, while no difference was found for disability and kinesiophobia. Further research is recommended to investigate the long-term benefits and risks of CBT including for the different subgroups of subjects with NP.

## PLAIN LANGUAGE SUMMARY

### Cognitive-behavioural treatment for neck pain

#### Background

Neck pain (NP) is defined as pain, muscle tension, or stiffness localized in the neck and may originate from many structures, including the spine or soft tissues. Risk factors include age, gender, a history of pain, poor posture, repetitive strain, and social and psychological factors.

NP is experienced by people of all ages and both genders and is an important cause of medical expenses, work absenteeism, and disability. Current management of NP includes a range of different treatments such as reassurance, education, promotion of a timely return to normal activities, appropriate use of painkillers, and exercises.

There remains uncertainty about the efficacy of cognitive-behavioural therapy (CBT) for these patients. CBT is a psychological technique that encompasses a wide set of interventions conducted by health professionals. It includes cognitive and behavioural modifications of specific activities to reduce the impact of pain as well as physical and psychosocial disability and to overcome dangerous barriers to physical and psychosocial recovery.

#### Review Question

#### Cognitive-behavioural treatment for subacute and chronic neck pain (Review)

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We therefore reviewed the evidence about the effect of CBT on pain, disability, psychological factors, and quality of life among patients with subacute and chronic NP. Specifically, we compared CBT versus no treatment, CBT versus other types of interventions, and CBT in addition to another intervention (e.g. physiotherapy) versus the other intervention alone.

### Study Characteristics

We examined the research published up to November 2014. We included 10 randomised trials (836 participants). Two studies included subjects with subacute NP (337 participants), while the other eight studies included participants with chronic NP (499 participants). CBT was compared to no treatment (225 participants) or to other types of treatments (506 participants), or combined with another intervention (e.g. physiotherapy) and compared to the other intervention alone (200 participants). The interventions were carried out at primary and secondary health care centres.

### Key Results

With regard to chronic NP, CBT was statistically significantly better than no treatment at improving pain, disability, and quality of life, but these effects could not be considered clinically meaningful. No differences between CBT and other types of interventions (e.g. medication, education, physiotherapy, manual therapy, and exercises) were found in terms of pain and disability; there was moderate quality evidence that CBT was better than other interventions in improving fear of movement. Also, there was very low quality evidence that CBT added to another intervention was no better at improving pain and disability than the other intervention alone.

For subacute NP, there was low quality evidence that CBT was statistically significantly better than other types of interventions (e.g. manual therapy or education) for improving pain, but this effect was not clinically relevant. No difference was found in terms of disability and fear of movement.

None of the included studies reported on whether any adverse effects related to cognitive-behavioural therapy were observed.

### Quality of the Evidence

The quality of evidence in this review ranged between “very low” and “moderate”. Therefore, the review results should be interpreted with caution. More high quality randomised trials are needed to address short and long term benefits of cognitive-behavioural therapy in subacute and chronic neck pain, and its effectiveness compared with other treatments, and to better understand which patients may benefit most from this type of intervention.

## SUMMARY OF FINDINGS

### Summary of findings 1. Cognitive-behavioural treatment compared to other types of treatment for chronic neck pain at intermediate follow-up

#### Cognitive-behavioural treatment compared to other types of treatment for chronic neck pain at intermediate follow-up

**Patient or population:** chronic neck pain  
**Settings:** primary and secondary health care centres  
**Intervention:** cognitive-behavioural treatment  
**Comparison:** other types of treatment

Outcomes	Illustrative comparative risks (95% CI)		No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk			
	Other types of treatment	Cognitive-behavioural treatment			
<b>Pain</b> Numerical Rating Scale, from 0 (no pain) to 10 (maximum pain)	The mean pain ranged across control groups from <b>4.3-7.0 points</b> .	The mean pain in the CBT group was <b>0.89 lower</b> (2.73 lower to 0.94 higher).	168 (2 studies)	⊕⊕⊕⊖ <b>low</b> <sup>1,2</sup>	No effect was found.
<b>Disability</b> Neck Disability Index, from 0 (no disability) to 100 (maximal disability)	*The intermediate follow-up for the most representative study (Vonk 2009) was 26.5 (SD 13.9).	The estimated mean disability in the CBT group was <b>3.35 lower</b> (7.53 lower to 0.98 higher).	168 (2 studies)	⊕⊕⊕⊖ <b>moderate</b> <sup>1</sup>	No effect was found.
<b>Kinesiophobia</b> Tampa Scale for Kinesiophobia, from 17 (no fear) to 68 (maximal fear)	*The intermediate follow-up for the most representative study (Vonk 2009) was 34.3 (SD 8.3).	The estimated mean kinesiophobia in the CBT group was <b>3.26 lower</b> (5.76 to 0.67 lower).	168 (2 studies)	⊕⊕⊕⊖ <b>moderate</b> <sup>1</sup>	The effect was not clinically relevant. A 25% relative improvement is considered as a clinically important treatment effect for all secondary outcomes.

\*Of the included trials for this outcome, we chose the study that is a combination of the most representative study population and has the largest weighting in the overall result in Revman (Vonk 2009). The reported data represent the intermediate follow-up mean in the control group of this study.

**CI:** Confidence interval; CBT: cognitive-behavioural therapy.

GRADE Working Group grades of evidence

**High quality:** Further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** We are very uncertain about the estimate.

<sup>1</sup> Serious imprecision (i.e. total number of participants < 200 for each outcome; an optimal information size of 300 was computed considering a  $\alpha$  of 0.05, a  $\beta$  of 0.2, and an effect size of 0.3 standard deviations).

<sup>2</sup> Unexplained heterogeneity ( $I^2 = 72\%$ )

## Summary of findings 2. Cognitive-behavioural treatment compared to other types of treatment for subacute neck pain at short-term follow-up

### Cognitive-behavioural treatment compared to other types of treatment for subacute neck pain at short-term follow-up

**Patient or population:** subacute neck pain

**Settings:** primary and secondary health care centres

**Intervention:** cognitive-behavioural treatment

**Comparison:** other types of treatment

Outcomes	Illustrative comparative risks (95% CI)		No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk			
	Other types of treatment	Cognitive-behavioural treatment			
<b>Pain</b> Numerical Rating Scale, from 0 (no pain) to 10 (maximum pain)	*The short-term follow-up for the most representative study (Pool 2010) was 2.15 (SD 2.57).	The estimated mean pain in the CBT group was <b>0.62 lower</b> (1.23 lower to 0.00).	265 (2 study)	⊕⊕⊕⊕ <b>low</b> <sup>1,2</sup>	The effect was not clinically relevant. A clinically important treatment effect on 0-10 pain scale is about 2.5 points.
<b>Disability</b> Neck Disability Index,  from 0 (no disability) to 50 (maximal disability)	*The short-term follow-up for the most representative study (Pool 2010) was 6.28 (SD 5.79).	The estimated mean disability in the CBT group was <b>0.69 lower</b> (2.08 lower to 0.69 higher).	265 (2 study)	⊕⊕⊕⊕ <b>low</b> <sup>1,2</sup>	No effect was found.
<b>Kinesiophobia</b>  various scales	*The short-term follow-up for the most representative study (Pool 2010) was not reported. The other study (Robinson 2013)	No difference was found individually by the two studies. A meta-analysis was not conducted since	265 (2 study)	⊕⊕⊕⊕ <b>low</b> <sup>1,2</sup>	No effect was found.



reported a short-term follow-up of 105.7 (139.2). Outcome measure: Fear of Specific Neck Movements (PFactS-C), from 0 (no fear) to 720 (maximal fear). one study ([Pool 2010](#)) did not report individual data.

\*Of the included trials for this outcome, we chose the study with low risk of bias ([Pool 2010](#)). The reported data represent the intermediate follow-up mean in the control group of this study.

**CI:** Confidence interval; CBT: cognitive-behavioural therapy.

GRADE Working Group grades of evidence

**High quality:** Further research is very unlikely to change our confidence in the estimate of effect.

**Moderate quality:** Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low quality:** Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.

**Very low quality:** We are very uncertain about the estimate.

<sup>1</sup>Serious imprecision (i.e. total number of participants < 300 for each outcome; an optimal information size of 300 was computed considering a  $\alpha$  of 0.05, a  $\beta$  of 0.2, and an effect size of 0.3 standard deviations).

<sup>2</sup> Serious limitation in the design and implementation since the estimates of the treatment effects were derived from two studies, one with high ([Robinson 2013](#)) and one with low risk of bias ([Pool 2010](#)). The study of [Robinson 2013](#) was considered as high risk of bias since it satisfied less than six criteria, as outlined in the [Methods](#) section.

## BACKGROUND

Neck pain (NP) is frequently experienced by people of all ages and both genders (Hogg-Johnson 2008). One-year prevalence ranges from 12.1% to 71.5% in the general population, and from 27.1% to 47.8% among the employed (Hogg-Johnson 2008). One-year prevalence of chronic NP, ranges from 1.7% to 11.5% in the general population; chronic NP is responsible for most of the social and economic costs of this condition (Cotè 2008).

Although research on non-surgical treatments for NP is progressing (e.g. reassurance, education, promotion of a timely return to normal activities, appropriate use of painkillers, and supervised exercises (Hoving 2001, Binder 2006; Hurwitz 2008)), there remains uncertainty about the efficacy of cognitive-behavioural treatment (CBT) for this population. Addressing cognitive and behavioural factors might reduce the clinical burden and the costs of NP in society.

### Description of the condition

NP is defined as pain, muscle tension, or stiffness localized below the superior nuchal line and above the scapula line from the back, and below the superior nuchal line and the external occipital protuberance line and above the superior border of the clavicle and the suprasternal notch from the side (Guzman 2008).

NP may originate from many structures in the cervical region, including the spine or soft tissues, and its aetiology is multifactorial (Binder 2007; Croft 2001). Factors that contribute to its development include age, gender, a history of NP, the occurrence of other musculoskeletal problems (e.g. low back pain), poor posture, repetitive strain, poor self-rated health, and social and psychological factors (Binder 2007; Croft 2001). Also, prognosis appears to be influenced by several factors such as age, prior NP episodes, and poor psychological health (Carroll 2008).

Research conducted over the past decade links persistent NP to psychological factors, including cognitive distress, anxiety, and depressed mood (Linton 2000b). These psychological factors may play a role in the chronicity of symptoms and may contribute to a downward spiral of increasing avoidance, disability, and pain (Ariens 2001; Foster 2003).

### Description of the intervention

CBT is a psychological management strategy that may be helpful for subacute and chronic NP by treating the associated psychological and behavioural factors as described above, and can be used alone or in conjunction with other therapeutic modalities such as exercise or physical modalities). Cognitive-behavioural treatment may be delivered by a variety of health professionals such as psychologists, medical doctors, physiotherapists, occupational therapists, teams devoted to the management of chronic pain, and rehabilitative teams. It encompasses a wide set of interventions that include cognitive reconditioning (e.g. cognitive restructuring, imagery, attention diversion, relaxation techniques) and behavioural modifications of specific activities (e.g. operant treatment, pacing, graded exposure approaches) to modify and/or reduce the impact of pain and physical and psychosocial disability and to overcome barriers to physical and psychosocial recovery (Turk 1984; Vlaeyen 2000; Pincus 2002; Butler 2006; Morley 2011). A main assumption of these interventions is that pain and pain disability are influenced not only by somatic pathology, but also by psychological and social

factors (e.g. patients' attitudes and beliefs, psychological distress, illness behaviours). Consequently, the treatment of persistent pain is primarily focused not on removing an underlying organic pathology, but on the reduction of disability through modification of environmental contingencies and cognitive processes (Main 2008).

Little evidence is available to establish whether different CBT methods differentially affect subgroups of patients with specific attributes, but it has been suggested that treatment efficacy may be improved by matching treatments to patient characteristics (Vlaeyen 2005).

### How the intervention might work

Under the supervision of psychologists or health professionals specifically trained in CBT, the intervention works by means of modifying maladaptive and dysfunctional thoughts (e.g. catastrophising, kinesiophobia) and improving mood (e.g. anxiety and depression), leading to gradual changes in cognition and illness behaviour. Patients are progressively educated to view their pain and the related disability as something that can be self-managed rather than as a serious disease that requires ongoing intervention. Processing of internal and external stimuli is central to cognitive-behavioural approaches, in order to change behaviours through a direct influence on cognitions as well as emotional and psychological responses (Vlaeyen 2005).

Cognitive relearning is based on accepting pain, developing awareness of the problem, and seeking a means of coping with frightening thoughts and mood alterations. Participants are assisted in transferring attention from incorrect and erratic thoughts and fears to adaptive thought patterns, increasing the level of activity by means of pacing, and graded exposure to situations they had previously avoided. Acquisition or re-acquisition of coping strategies is strongly encouraged and promoted through communication between the health professional and the patient, and the definition of realistic and meaningful goals is provided (Turk 1984; Vlaeyen 2000; Pincus 2002; Butler 2006; Morley 2011). As functional outcomes may rely in part on patient self-management and active participation in the recovery process, the identification of cognitive and behavioural factors amenable to change and of treatment strategies favouring these changes is of considerable interest (Pincus 2006; Hazard 2012).

### Why it is important to do this review

CBT is commonly used in the management of persistent low-back pain to reduce disability through modification of cognitive processes and maladaptive pain behaviours (Henschke 2010). However, it is still debated whether treating cognitive and behavioural factors in patients with subacute and chronic NP can actually lead to clinically meaningful changes in disability, dysfunctional thoughts, pain and quality of life.

This systematic review is particularly topical, as growing attention is devoted to cognitive-behavioural interventions for spinal disorders, including subacute and chronic NP. The main aim of conservative interventions for subacute and chronic NP should not only be targeted at treating "pain" or "physical dysfunction" but should also attempt to modify maladaptive cognitions and illness behaviours, which are significant barriers to recovery.

## OBJECTIVES

The objective of this systematic review was to determine whether cognitive-behavioural therapy is more effective than other treatments for subacute and chronic neck pain. The following comparisons were investigated:

1. Cognitive-behavioural therapy versus placebo, no treatment, or waiting list controls.
2. Cognitive-behavioural therapy versus other types of interventions.
3. Cognitive-behavioural therapy in addition to another intervention (e.g. physiotherapy) versus the other intervention alone.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

Only randomised controlled trials (RCTs) were included.

#### Types of participants

RCTs were included if they examined adult participants (male and female) with a clinical diagnosis of subacute NP (i.e. a documented history of pain lasting for at least one month and not longer than three months) or chronic NP (i.e. a documented history of pain lasting for at least three months), irrespective of the presence of radiculopathy or whiplash injury.

When an RCT recruited participants suffering from pain in different body regions, it was automatically excluded if results for neck pain were not presented separately.

When an RCT recruited participants with both subacute and chronic NP, it was considered eligible only if data for participants with subacute and chronic NP were presented separately.

#### Types of interventions

RCTs were included if they analysed one or more types of CBT. CBT encompasses a wide set of interventions, including cognitive reconditioning and behavioural modifications of specific activities with the aim of modifying or reducing the impact of pain and physical and psychosocial disability (Turk 1984; Vlaeyen 2000; Pincus 2002; Butler 2006; Morley 2011). Only trials that specified the use of treatment based on cognitive-behavioural principles were considered eligible. Simple psychologically-oriented pain management strategies were not considered true cognitive-behavioural treatments.

We expected high variability in the type of CBT provided (i.e., cognitive, respondent or operant treatments and varying modalities of administration), and we anticipated uncertainty about what was actually done as practical intervention. Doubts about the types and treatment characteristics of CBT were resolved through discussion, by contacting the authors of the study for additional information, or by finding a process paper associated with the study that provided further information.

#### Types of outcome measures

To be considered eligible for inclusion in this review, trials must have reported on at least one of the outcomes described in the

following sections. Outcomes measured closest to four weeks were considered short-term follow-up, outcomes measured closest to 6 months were considered intermediate-term follow-up, and outcomes measured closest to one year were considered long-term follow-up.

#### Primary outcomes

The primary outcome chosen for this review was pain, expressed by means of a visual analogue scale (VAS) or a numerical rating scale (NRS; Huskinson 1974).

We reasoned that pain was a participant-centred outcome that had better responsiveness, particularly in subacute participants, compared with disability. Furthermore, we expected trials in this field to have limited length of follow-up, prohibiting assessment of disability improvement.

#### Secondary outcomes

We also included the following secondary outcomes.

- Disability (e.g. 10-item Neck Disability Index (NDI; Vernon 1991); 20-Item Neck Pain and Disability Scale (NPDS; Wheeler 1999)).
- Psychological indicators, such as fear of pain, kinesiophobia, catastrophising, coping strategies, anxiety, depression (e.g. Tampa Scale for Kinesiophobia (Kori 1990); Pain Catastrophising Scale (Sullivan 1995)).
- Global improvement or perceived recovery (overall improvement, proportion of participants recovered, subjective improvement of symptoms).
- Quality of life (e.g. Short-Form Health Survey Questionnaire (SF-36; Ware 1992)).
- Return to work/absenteeism (e.g. estimated by and the proportion of participants returned to work, the number of days of sick leave).
- Satisfaction with treatment (e.g. Global Perceived Effect (GPE)).
- Adverse events.
- Reduction in frequency or number of medications used.

#### Search methods for identification of studies

We used the search strategy recommended by the Cochrane Back Review Group (Furlan 2009). Study design filters for identifying RCTs were combined with search terms for "neck pain" and "CBT". No language or date restrictions were applied to the searches.

#### Electronic searches

We searched the following databases from inception to November 20 and 21, 2014:

- Cochrane Central Register of Controlled Trials (CENTRAL, which includes the Back Review Group Trials Register; *The Cochrane Library*, Issue 10, October 2014)
- MEDLINE (OvidSP, 1946 to November Week 2 2014) and MEDLINE In-Process & Other Non-Indexed Citations (OvidSP, November 19, 2014)
- EMBASE (OvidSP, 1980 to 2014 Week 46)
- CINAHL (EBSCO, 1981 to November 2014)
- PsycINFO (OvidSP, 2002 to November Week 3 2014)
- SCOPUS (Elsevier)
- Web of Science (Thomas Reuters)

- [PubMed](#)
- [ClinicalTrials.gov](#)
- World Health Organization International Clinical Trials Registry Platform ([WHO ICTRP](#))

Searches were previously run in July 2013. For the November 2014 search, the clinical trials registries were added and PubMed was searched to identify studies not in MEDLINE using the strategy recommended by [Duffy 2014](#). The search strategies are reported in [Appendix 1](#).

### Searching other resources

We screened the reference lists of all included studies and systematic reviews pertinent to this topic. We did not contact experts to inquire about other potentially relevant studies.

## Data collection and analysis

### Selection of studies

Five teams of two authors each (MM and CC; EA and LM; BR and RF; MR and SG; SF and GZ) independently screened the citations identified in the literature search for inclusion on the basis of title and abstract, discarding any that did not meet the inclusion criteria. We retrieved all potentially relevant articles for an assessment of the full text. The two members of each team screened articles independently and consensus meetings were held to resolve disagreements concerning the inclusion of RCTs. If disagreements persisted, another review author (LM) was consulted. We documented excluded studies in the 'Characteristics of excluded studies' table and provided a reason for exclusion for each. Review authors who were authors of trials being considered were excluded from eligibility decisions about their own studies.

### Data extraction and management

Review authors used a customised data extraction form, which were piloted before use. Two authors (RF and MR) independently documented the following information.

- Methods: study design, randomisation and allocation procedures.
- Participants: patient population, source, and setting; inclusion criteria; number of participants; age; gender; duration of NP; type, symptoms, and characteristics of pain; baseline functional status or level of impairment; method of diagnosis of NP.
- Interventions: description of interventions given to each treatment group, including duration, type, frequency and co-interventions. If reported, we documented the background of the person providing the intervention (e.g. psychologist, medical doctor, occupational therapist, physiotherapist, physiotherapy/occupational therapy assistant, family). If more than two intervention groups were included in the study, we noted the method of including these groups in any subsequent analysis.
- Outcomes: primary and secondary outcomes relevant to this review in the following domains:
  - Pain intensity.
  - NP-specific functional status.
  - Psychological indicators (e.g. catastrophising, fear of pain/movement, mood disorders).
  - Global improvement.

- Quality of life.
- Return to work or resumption of previous level of participation.
- Satisfaction with treatment.
- Adverse events.
- Reduction in frequency or number of medications used.

The two review authors resolved any data extraction discrepancies through discussion. When disagreement persisted, a third review author (EA) resolved the disagreement.

Measures of effect and estimates of variability were extracted in the form of follow-up (post-intervention) measurements or change scores from baseline in all intervention and control groups. Where possible, follow-up measures were entered into the meta-analyses.

The clinical relevance of each included trial was assessed by two review authors (MM and SG). A list of five questions has been recommended to facilitate decisions about the applicability of results to other populations ([Furlan 2009](#); [Malmivaara 2006](#); [Appendix 2](#)). A clinically important treatment effect (i.e. the smallest change in score of the construct that participants perceive as important) for our primary outcome (pain) was achieved if improvement of at least 2.5 points was seen on a 0 to 10 VAS/NRS scale. A 25% relative improvement was taken as a clinically important treatment effect for all secondary outcomes ([Cleland 2008](#); [Young 2009](#); [Young 2010](#)). We collected data on adverse events, including types, rates, severity and duration of harmful events.

### Assessment of risk of bias in included studies

Two review authors (EA and MM) independently assessed the risk of bias of each included RCT using the 12 criteria recommended by the Cochrane Back Review Group ([Furlan 2009](#)). These are an expansion of the criteria described in the Cochrane Handbook for Systematic Reviews of Interventions ([Higgins 2011](#)).

For each criterion, we assessed each study as being at "low risk", "high risk", or "unclear risk" of bias, and reported the ratings in the 'Risk of bias' table. We defined studies as having a low risk of bias if they met six or more criteria in the absence of other obvious serious methodological weakness, whereas we considered studies satisfying fewer than six criteria or with serious weakness as having a high risk of bias. We considered serious methodological weakness based on recommendations made in the Cochrane Handbook ([Higgins 2011](#)): (1) a dropout rate greater than 50% at the follow-up measurement point of interest; (2) clinically relevant baseline differences for one or more primary outcomes, indicating unsuccessful randomisation; or (3) unacceptable adherence to the CBT program (defined as < 50% adherence in supervised programs). Risk of bias was not used to select trials for inclusion. The criteria and the instructions for performing these assessments are provided in [Appendix 3](#).

The review authors could not be blinded to study authors, institution, and journal because the review authors who performed the risk of bias assessments were familiar with the literature. Review authors who were authors on included studies were excluded from risk of bias decisions about their own studies.

We produced a 'Risk of bias' table, graph and summary figure to illustrate potential biases within each of the included studies.

## Measures of treatment effect

We considered separately the effects of CBT for populations with subacute and chronic NP.

We analysed the data using Review Manager 5 (Revman). We assessed the treatment effects for dichotomized outcomes using the risk ratio (RR), and for continuous outcomes we used the mean difference (MD) or the standardised mean difference (SMD) when the outcome was measured using different instruments, along with 95% confidence intervals. For dichotomous outcomes, an RR below 1 indicated that CBT resulted in greater improvement than the comparison therapy. For continuous outcomes, a negative effect size indicated that CBT was more beneficial than the comparison therapy, meaning that participants had better pain relief and showed better improvement in functional status.

## Unit of analysis issues

We anticipated that most trials randomised at the participant level. However, when we identified a cluster RCT, we included it, and when possible, we extracted effect measures and standard errors from an analysis that took clustering into account. When this was not possible, we extracted the number of clusters and estimated the intracluster correlation coefficient to inform a reliable analysis. When this was not possible, we disregarded the clustering if it made a modest contribution to the combined analysis and investigated the effect of this in a sensitivity analysis.

## Dealing with missing data

For included studies, we extracted levels of and reasons for attrition. Missing data were treated according to whether data were 'missing at random' or 'not missing at random'. In relation to the former, we analysed available data and ignored missing data. For studies that reported a mean difference but no standard deviation (SD) or other statistic that could be used to compute the SD via appropriate methods, as outlined in Higgins 2011, we used imputation (Furlan 2009). For each outcome, we imputed missing SDs as the pooled SD from all other trials in the same meta-analysis by treatment group. This is considered to be a safe method of analysis, provided that most studies in a meta-analysis do not have missing SDs. When the proportion of trials missing parameter variability data for a particular outcome was high (> 20%), or when data were not missed at random, imputation methods were not appropriate, and we conducted analyses using only available data (i.e. we did not impute missing data), and implications were discussed in the text.

## Assessment of heterogeneity

Between-trial statistical heterogeneity was assessed using the  $I^2$  statistic and the  $\chi^2$  test. For the meta-analyses, we used a fixed-effect model if trials were sufficiently homogeneous (i.e.  $I^2 < 25%$ ) and a random-effects model if trials presented moderate levels of heterogeneity (i.e.  $I^2 > 25%$  but  $< 75%$ ). If considerable between-group statistical heterogeneity was detected (i.e.  $I^2 > 75%$ ), we did not perform a meta-analysis.

## Assessment of reporting biases

We used funnel plots to explore the likelihood of reporting biases when at least 10 studies were included in a meta-analysis and studies were not of similar size. First, we assessed funnel plot asymmetry visually, integrating visual inspection with the use of

formal tests for funnel plot asymmetry. For continuous outcomes, we used the test proposed by Egger 1997, and for dichotomous outcomes, we used the test proposed by Harbord 2006. When asymmetry was detected in any of these tests or was suggested by visual assessment, we discussed possible explanations (such as publication bias, poor methodological quality, true heterogeneity, artefact, or chance) on the basis of available information (Higgins 2011) and performed sensitivity analyses to consider implications of the review findings. Funnel plots were interpreted cautiously as they could be misleading. We also checked for inconsistencies between the information presented in clinical trial registries and that provided in published reports of trials. Review authors who were authors of trials were excluded from decisions about their own studies.

## Data synthesis

The results from individual trials were combined when possible through a meta-analysis. The main analysis was performed irrespective of the presence/absence of participants with cervical radiculopathy or whiplash injury. This pooling of the data was dependent on the level of heterogeneity of retrieved studies.

Regardless of whether available homogeneous data were sufficient to allow review authors to quantitatively summarise the data, we assessed the overall quality of the evidence for each outcome. To accomplish this, we used the GRADE approach, as recommended in the Cochrane Handbook (Higgins 2011) and adapted in the updated Cochrane Back Review Group method guidelines (Furlan 2009). The quality of the evidence on a specific outcome was based on the performance of studies against five factors: study design and limitations, consistency of results, directness (generalisability), precision (sufficient data) and reporting of results across all studies that measured that particular outcome. The quality starts at *high* when high-quality RCTs provide results for the outcome and is reduced by one level for each of the factors not met.

We prepared the 'Summary of findings' tables following the published guidelines from the Cochrane Collaboration (Higgins 2011). Only outcomes measuring pain, disability, and fear avoidance (i.e. kinesiophobia) were included in the 'Summary of findings' tables. Two separate tables were included, each of them reporting the most important comparison for the two categories of subjects (subacute and chronic NP). For each category, the most important comparison was selected based on the number of studies included in the meta-analysis and on the time point of the follow-up (the longer the follow-up, the more preferred the comparison). We used GRADEpro (GRADEpro) to prepare the GRADE tables and the 'Summary of findings' tables.

**High-quality evidence:** Consistent findings have been noted among at least 75% of RCTs with no limitations on study design; with consistent, direct and precise data; and with no known or suspected publication biases. Further research is unlikely to change the estimate or our confidence in the results.

**Moderate-quality evidence:** One of the domains is not met. Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.

**Low-quality evidence:** Two of the domains are not met. Further research is very likely to have an important impact on our

confidence in the estimate of effect and is likely to change the estimate.

**Very low-quality evidence:** Three of the domains are not met. We are very uncertain about the results.

**No evidence:** No RCTs are identified that addressed this outcome.

#### **Subgroup analysis and investigation of heterogeneity**

Subgroup analyses were planned but not conducted due to insufficient numbers of studies in each pairwise comparison. For a description of the original plans for subgroup analyses please refer to the 'Difference between protocol and review' paragraph.

#### **Sensitivity analysis**

Studies with substantial missing data (> 20% of treated participants excluded from the final analysis) were excluded in a sensitivity analysis to allow investigation of any bias they could confer on the results.

## **RESULTS**

### **Description of studies**

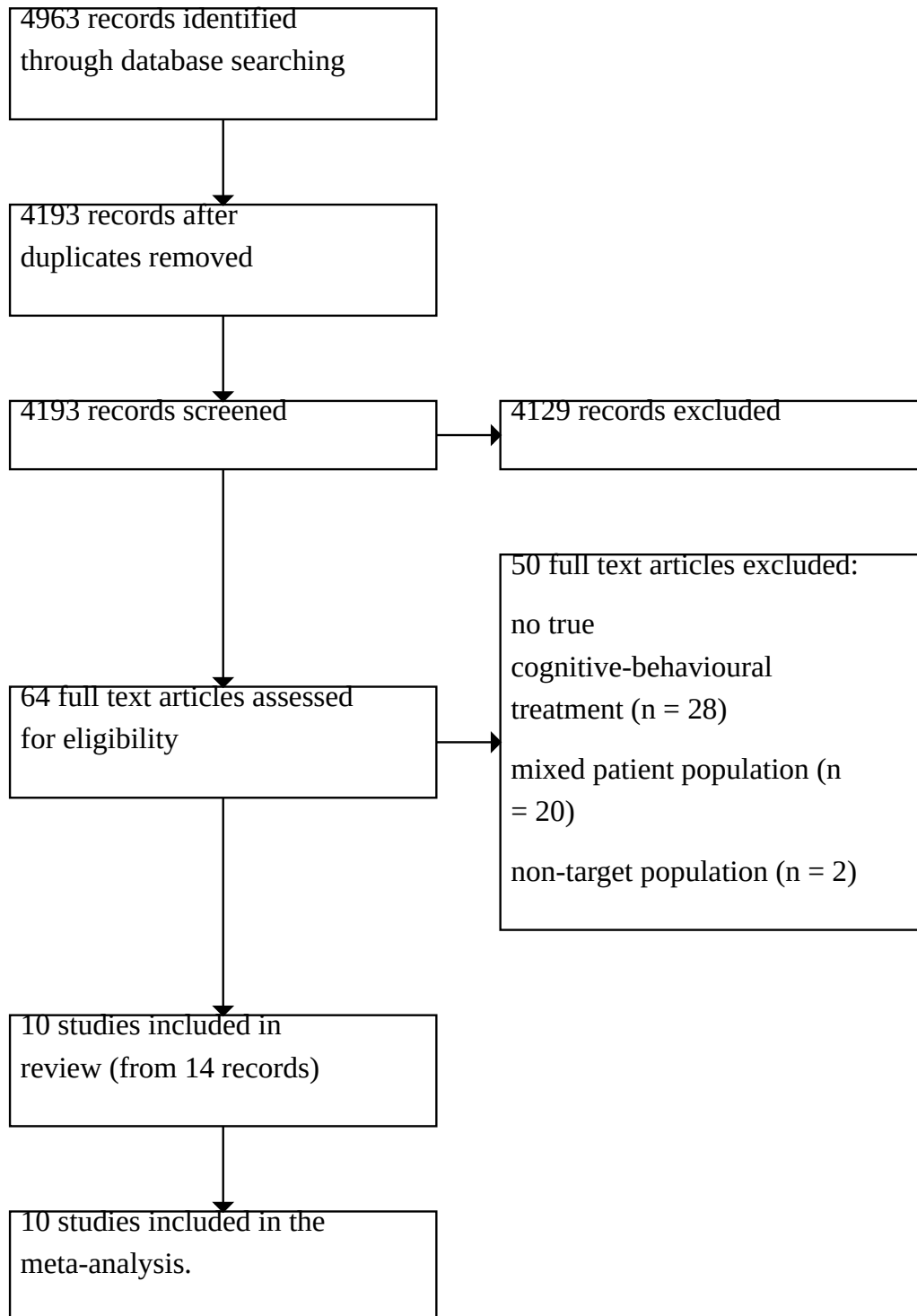
See: [Characteristics of included studies](#); [Characteristics of excluded studies](#)

### **Results of the search**

The search strategy identified 780 references from CENTRAL, 666 from MEDLINE, 2530 from EMBASE, 310 from CINAHL, 384 from SCOPUS, 203 from Web of Knowledge, and 90 from PsycINFO. 770 of these publications were duplications, resulting in a total of 4193 unique titles. After screening the titles and abstracts, full text copies of 64 trials and 17 reviews were retrieved. The reference lists of the reviews were checked but did not result in the identification of any further relevant studies. After reviewing the full text of the 64 selected trials, we agreed on the inclusion of 10 RCTs ([Dunne 2012](#); [Gustavsson 2006](#); [Monticone 2012](#); [Pato 2010](#); [Pool 2010](#); [Robinson 2013](#); [Soderlund 2001](#); [Taimela 2000](#); [Vonk 2009](#); [Wicksell 2008](#)). There was no need to contact the authors to resolve doubts about the types and treatment characteristics of CBT. All of the included studies were considered eligible for inclusion in the meta-analysis.

[Figure 1](#) shows the flow diagram of the review.

**Figure 1. Flow diagram**



## Included studies

Two studies (Pool 2010; Robinson 2013) included subjects with subacute NP, while the other eight studies included participants with chronic NP. Four studies (Dunne 2012; Robinson 2013; Taimela 2000; Wicksell 2008) compared some type of cognitive behavioural treatment to no treatment (225 recruited subjects in total). Specifically, Dunne et al (Dunne 2012) included 10 weekly 1-hour sessions of individually trauma-focused cognitive behavioural therapy based on the Australian Guidelines for the Treatment of Acute Stress Disorder and Posttraumatic Stress Disorder (cognitive strategies, coping self-talk, cognitive restructuring, psychoeducation, anxiety management strategies, and relapse prevention strategies); Robinson et al (Robinson 2013) provided an educational booklet (information on anatomical and neurological aspects of whiplash injury and pain) plus three biweekly skill training sessions (including strategies to cope with anxiety and stress, pain management, and relaxation), pacing, and graded exposure therapy sessions (imaginal and in vivo desensitization) in a one-on-one format; Taimela et al (Taimela 2000) planned 24 treatments, two sessions per week, 45 minutes each, during 12 weeks and included cervicothoracic stabilization, relaxation training, behavioural support, eye fixation exercises and seated wobble-board training; Wicksell et al (Wicksell 2008) planned 10 individual sessions (60 minutes each) over a period of 8 weeks, with the aim of increasing psychological flexibility by means of pain education, values assessment, shifting perspective, exposure, acceptance, and defusion. Five other studies (Gustavsson 2006; Pool 2010; Robinson 2013; Taimela 2000; Vonk 2009) compared some type of cognitive behavioural treatment to other kinds of treatment (506 recruited subjects in total): Gustavsson et al (Gustavsson 2006) planned seven 1.5-hour sessions, over a period of 7 weeks, addressing applied relaxation training, coping strategies, body awareness exercises and theoretical information about anatomy, aetiology, and physiology of pain and stress, and pain and stress management; Pool et al (Pool 2010) provided a maximum of 18 sessions (30 minutes each) of a behavioural graded activity program, the core elements of which were decrease in pain behaviour and increase in “healthy” behaviour, improvement of function, and no focus on pain reduction, where the patient is responsible for the treatment and has an active role; Vonk et al (Vonk 2009) planned up to 18 treatments (30 minutes each) of behaviour graded activity, including pain and pain-related beliefs management, pacing and graded exposure to exercises. The last three studies (Monticone 2012; Pato 2010; Soderlund 2001) compared some type of cognitive behavioural treatment in addition to another treatment to that treatment alone (200 recruited subjects in total): Monticone et al (Monticone 2012) planned 10 sessions consisting of exercises and CBT based on correct re-learning, cognitive reconditioning,

and physical and psychosocial recovery to modify mistaken fears, catastrophising beliefs, and inappropriate thinking; Pato et al (Pato 2010) planned twice weekly sessions for 8 weeks and focused on pain aspects, teaching control of pain, stress reduction, and chronic pain management techniques such as imagery, cognitive therapy for stressful situations, progressive muscle relaxation training, and application of guided mastery for stress/pain management; Soderlund et al (Soderlund 2001) planned 12 individual sessions including learning of basic physical and psychological skills (cognitive awareness, coping strategies management, and relaxation training), application and generalization of these basic skills in everyday activities (behaviour re-learning), and a phase for maintenance of these skills. Two studies (Robinson 2013; Taimela 2000) were included in two different comparisons since they randomised the participants into three groups: an experimental group receiving cognitive behavioural treatment; a no-treated group receiving only an information booklet; and a control group receiving some other kind of intervention.

There were four studies (Dunne 2012; Pato 2010; Robinson 2013; Wicksell 2008) that specifically involved a clinical psychologist in the CBT, and four studies (Monticone 2012; Pool 2010; Taimela 2000; Vonk 2009) that involved other healthcare professionals (physiotherapists) specifically trained in CBT. In the two remaining studies (Gustavsson 2006; Soderlund 2001), the level of expertise of the healthcare professionals delivering CBT was not adequately described.

## Risk of bias in included studies

The final results of the risk of bias assessment are shown in Figure 2. Four studies (40%) had a low risk of bias, meeting six or more of the criteria (Gustavsson 2006; Monticone 2012; Pool 2010; Vonk 2009). All studies were described as randomised, but only three studies (30%) used a clearly described and adequate randomisation procedure in combination with adequate concealment of treatment allocation. Eight studies (80%) had similar timing of outcome measurements between groups and seven studies (70%) were free of selective reporting. Seven studies (70%) had an acceptable drop-out rate, four studies (40%) reported acceptable compliance, and in only two studies (20%) were co-interventions avoided or similar between groups. In most of the studies (90%), groups were similar at baseline, and in six studies (60%) an intention-to-treat analysis was performed. In all of the studies, the blinding of participants, and consequently of outcome assessors (as the outcome measures included in the meta-analysis were patient-reported), was inadequate. Finally, none of the studies reported adequate blinding of care providers.



**Figure 2. Risk of bias summary: review authors' judgements about each risk of bias item for each included study.**

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants	Blinding of personnel/ care providers (performance bias)	Blinding of outcome assessment (detection bias): self-reported measures	Incomplete outcome data (attrition bias): All outcomes	Selective reporting (reporting bias)	Group similarity at baseline (selection bias)	Cointerventions (performance bias)	Compliance (performance bias)	Intention-to-treat-analysis	Timing of outcome assessments (detection bias)
Dunne 2012	?	?	-	?	-	+	+	+	?	+	+	-
Gustavsson 2006	+	+	-	?	?	+	+	-	?	+	-	+
Monticone 2012	+	-	-	?	-	+	+	+	+	+	+	+
Pato 2010	?	?	-	?	-	+	-	+	?	?	-	+
Pool 2010	+	+	-	?	-	+	-	+	?	?	+	+
Robinson 2013	+	?	-	?	?	+	+	+	-	?	-	+
Soderlund 2001	?	?	-	?	-	+	+	+	?	+	-	+
Taimela 2000	?	?	-	-	-	+	-	+	?	?	+	+
Vonk 2009	+	+	-	-	-	-	+	+	+	?	+	+
Wicksell 2008	?	+	-	?	-	+	+	+	-	?	+	-

At short-term follow-up, only one study lost more than 20% of patients (Vonk 2009), while at intermediate-term follow-up there were two studies (Gustavsson 2006; Vonk 2009), who lost more than 20% of participants. However, since the percentages of drop-outs in the two groups were similar, we assumed these data were "missing at random", and thus we analysed available data and ignored missing data.

Four studies performed per-protocol analyses (Gustavsson 2006; Pato 2010; Robinson 2013; Soderlund 2001), while the other six performed intention-to-treat analyses. However, among the studies that performed intention-to-treat analyses, only two (Dunne 2012; Wicksell 2008) described the method used to deal with missing data.

**Clinical relevance**

The clinical relevance scores for each trial are presented in Table 1. All of the 10 RCTs were found to have moderate to high clinical relevance (a score of three out of five or greater). The majority of studies could be easily assessed in terms of applicability to other populations because they provided sufficient descriptions of the included patients (100%), provided sufficient descriptions of the interventions applied (90%), measured appropriate outcome measures (100%), and treatment benefits outweighed the potential harms (100%). In no studies did the size of the effect reach a clinically important difference. These findings indicate that, while most studies were found to have moderate to high clinical relevance scores, the overall relevance was limited by the small size of the effect.

**Effects of interventions**

See: [Summary of findings 1 Cognitive-behavioural treatment compared to other types of treatment for chronic neck pain](#)

at intermediate follow-up; [Summary of findings 2 Cognitive-behavioural treatment compared to other types of treatment for subacute neck pain at short-term follow-up](#)

See: [Summary of findings 1](#); [Summary of findings 2](#).

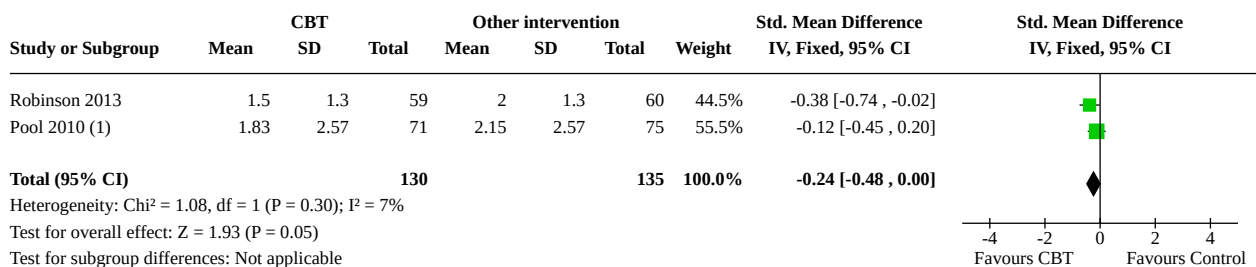
Given that each pairwise comparison included a limited number of RCTs, we decided to not formally assess publication bias, and we did not perform a subgroup analysis or a sensitivity analysis.

**1. Effects of CBT in patients with subacute NP**

Two studies, one with high risk of bias (Robinson 2013) and one with low risk of bias (Pool 2010), evaluated the effects of CBT on patients with subacute NP. In one study, 191 patients were randomly assigned to 3 treatment groups: one performing a type of CBT (n = 70), one receiving only an information booklet (no treatment; n = 57), and one being involved in a didactic discussion (other type of intervention; n = 64; Robinson 2013). The short-term effects (6 weeks) of the three interventions were compared. In the other study, the effects of CBT both at short- (13 weeks) and long-term follow-up (52 weeks) were compared to those of manual therapy; 146 patients with subacute NP were included (Pool 2010).

The results of these two studies were combined in a meta-analysis comparing the effects of CBT versus other interventions at short-term follow-up (see [Summary of findings 2](#)). This meta-analysis included a total of 265 subacute NP patients and showed that there was low quality evidence that CBT is better than other interventions for improving pain (SMD -0.24, 95% CI -0.48 to 0.00, I<sup>2</sup> = 7%, p-value = 0.05); see [Analysis 1.1; Figure 3](#)), while no difference was found in terms of disability (SMD -0.12, 95% CI -0.36 to 0.12, I<sup>2</sup> = 0%, p-value = 0.31; see [Analysis 1.2; Figure 4](#)).

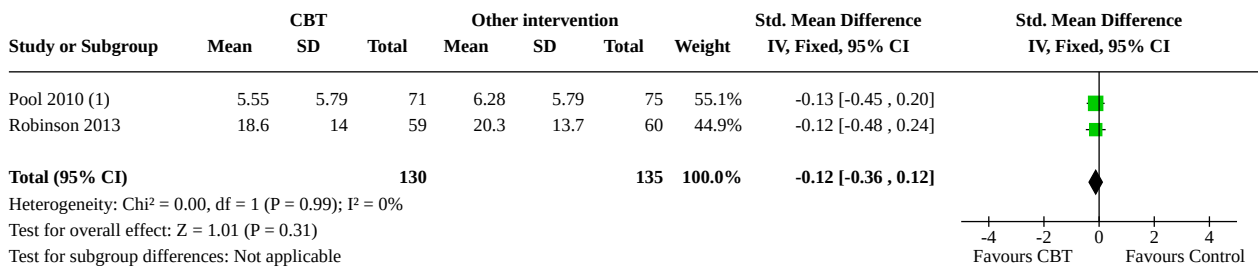
**Figure 3. Forest plot of comparison: 1 CBT versus other types of treatment (subacute NP), outcome: 1.1 Pain (short-term follow-up).**



**Footnotes**

(1) Standard deviations was derived from 95% confidence intervals that relate to the differences between means in the two groups.

**Figure 4. Forest plot of comparison: 1 CBT versus other types of treatment (subacute NP), outcome: 1.2 Disability (short-term follow-up).**



**Footnotes**

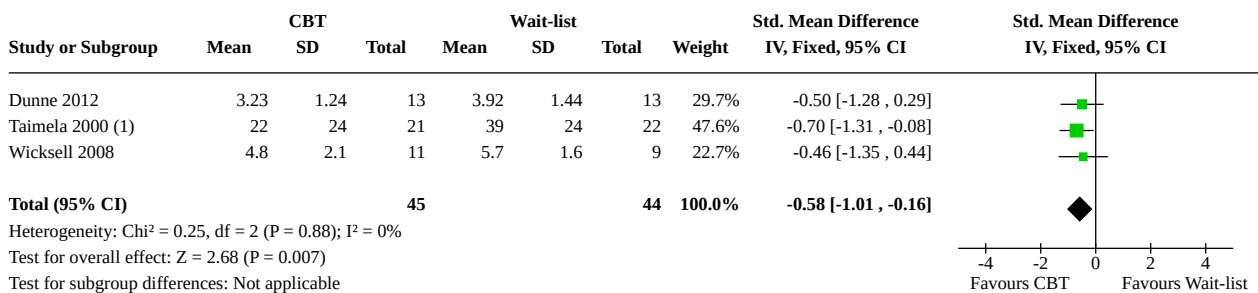
(1) Standard deviations was derived from 95% confidence intervals that relate to the differences between means in the two groups.

Furthermore, Robinson et al. (Robinson 2013) observed that the CBT group outperformed the no treatment group in terms of pain and disability (mean pain severity: possible range 0 to 6, MD -0.80, 95% CI -1.27 to -0.33); Neck Disability Index: possible range 0 to 100, MD -5.80, 95% CI -10.52 to -1.08). Finally, Pool et al. (Pool 2010) showed that at long-term follow-up, CBT was better than manual therapy at improving pain and disability (Numerical Rating Scale: possible range 0 to 10, MD 0.99, 95% CI 0.15 to 1.83; Neck Disability Index: possible range 0 to 50, MD 2.42, 95% CI 0.52 to 4.32). For other outcomes (such as Tampa Scale of Kinesiophobia or the Pain Coping and Cognition List), there was no significant difference between groups.

**2. Cognitive Behavioural Treatment versus no treatment in patients with chronic NP**

For this comparison, only post-treatment (short-term) data were available because after the treatment period, most studies allowed the waiting list controls to receive the interventions or did not perform the follow-up assessment. A total of 89 chronic patients were included in three studies with high risk of bias comparing cognitive behavioural treatment to no treatment (Dunne 2012; Taimela 2000; Wicksell 2008). There is low quality evidence that CBT is better than no treatment at improving pain in the short term (SMD -0.58, 95% CI -1.01 to -0.16, I<sup>2</sup> = 0%, p-value = 0.007; see Analysis 2.1; Figure 5). The outcome was downgraded from high to low quality due to serious imprecision (total number of participants < 300) and serious limitation in the design and implementation (> 25% of studies with high risk of bias).

**Figure 5. Forest plot of comparison: 2 CBT versus no treatment (chronic NP), outcome: 2.1 Pain (short-term follow-up).**



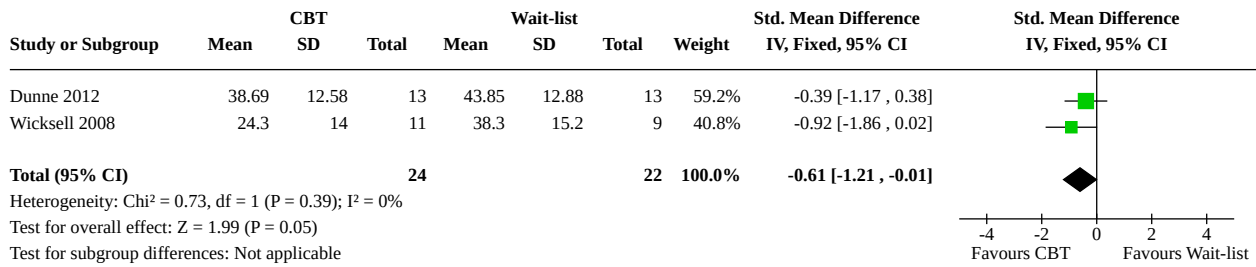
**Footnotes**

(1) The values of SD of the two groups have been derived from the SD of all groups combined at 12-month follow-up.

Two of these RCTs (N = 46) also measured disability and psychological indicators, such as kinesiophobia, distress, and quality of life. There is low quality evidence that CBT had a significant positive benefit for disability (SMD -0.61, 95% CI -1.21 to -0.01, I<sup>2</sup> = 0%, p-value = 0.05; see Analysis 2.2; Figure 6), and quality of life (SMD -0.93, 95% CI -1.54 to -0.31, I<sup>2</sup> = 0%, p-value = 0.003; see Analysis 2.5). Finally, CBT compared to no treatment had no effect on kinesiophobia (measured on the Tampa Scale for

Kinesiophobia: possible range 17 to 68, random-effects, MD -6.69, 95% CI -13.91 to 0.53, I<sup>2</sup> = 72%, p-value = 0.07; see Analysis 2.3; very low quality), and distress (SMD -0.41, 95% CI -0.99 to 0.18, I<sup>2</sup> = 0%, p-value = 0.17; see Analysis 2.4; low quality). The same reasons for downgrading the evidence on pain to low quality were applied for the other outcomes. Kinesiophobia was additionally downgraded to very low quality due to unexplained heterogeneity (I<sup>2</sup> > 25%).

**Figure 6. Forest plot of comparison: 2 CBT versus no treatment (chronic NP), outcome: 2.2 Disability (short-term follow-up).**

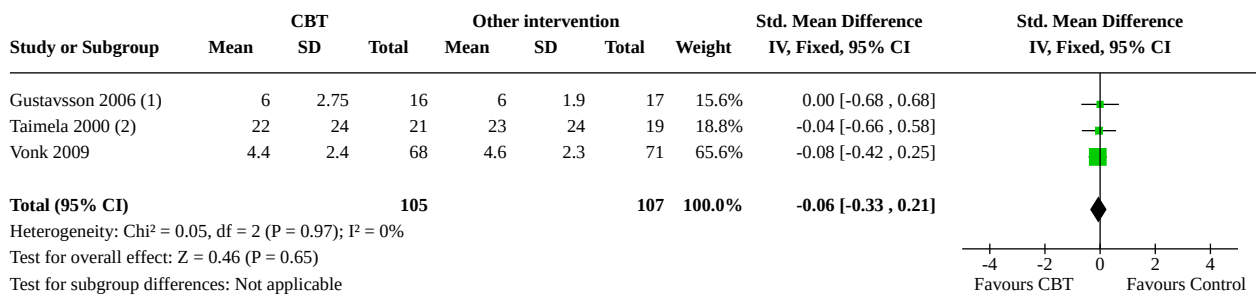


**3. Cognitive Behavioural treatments versus other treatments in patients with chronic NP**

A total of 212 chronic NP patients were included in three studies that compared cognitive behavioural treatment with other types of interventions. Two RCTs (Gustavsson 2006; Vonk 2009) had a low risk of bias, and one (Taimela 2000) had a high risk of bias. In terms of pain at short-term follow-up, there is low quality evidence that CBT does not differ in effectiveness from other types of interventions (SMD -0.06, 95% CI -0.33 to 0.21,  $I^2 = 0\%$ , p-value

= 0.65; see Analysis 3.1; Figure 7). The outcome was downgraded from high to low quality due to serious imprecision (total number of participants < 300) and serious limitation in the design and implementation (> 25% of studies with high risk of bias). Two out of three studies (N = 168) confirm this result at intermediate-term (5-6 months) follow-up (measured on the Numerical Rating Scale: possible range from 0 to 10, random-effects, MD -0.89, 95% CI -2.73 to 0.94,  $I^2 = 72\%$ , p-value = 0.34; see Analysis 3.2; Figure 8). In this case, the outcome was downgraded to low quality due to serious imprecision and unexplained heterogeneity ( $I^2 > 25\%$ ).

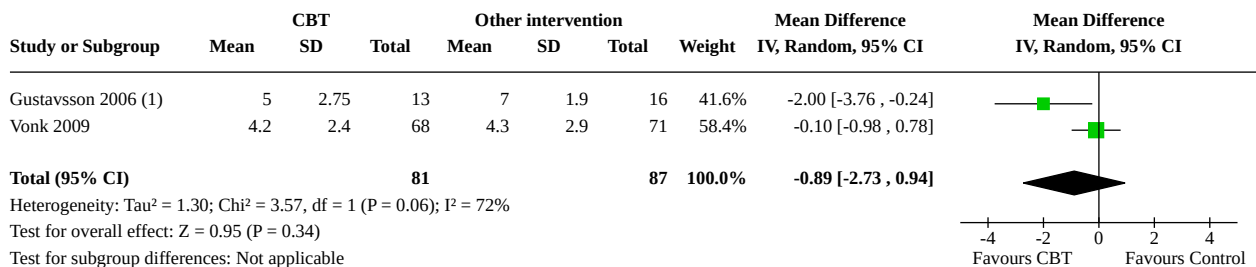
**Figure 7. Forest plot of comparison: 3 CBT versus other types of treatment (chronic NP), outcome: 3.1 Pain (short-term follow-up).**



**Footnotes**

- (1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.
- (2) The values of SD of the two groups have been derived from the SD of all groups combined at 12-month follow-up.

**Figure 8. Forest plot of comparison: 3 CBT versus other types of treatment (chronic NP), outcome: 3.2 Pain (intermediate-term follow-up).**



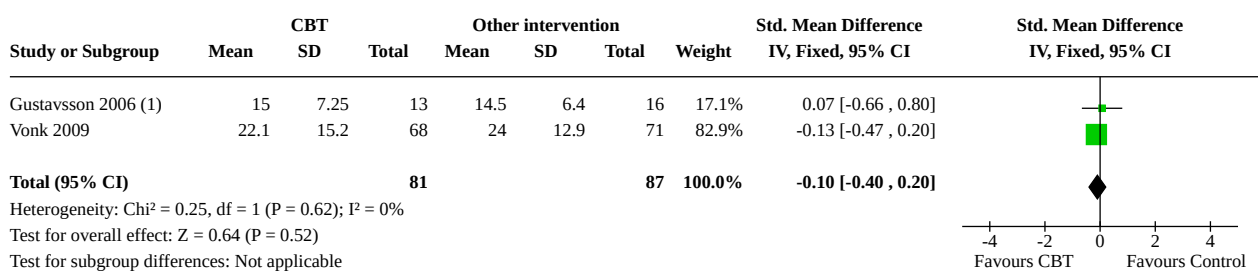
**Footnotes**

- (1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

Two studies (N = 168) also evaluated the effect of CBT on secondary outcome measures. Concerning disability, there is moderate quality evidence of no difference between the effectiveness of CBT and other interventions both at short-term (SMD -0.10, 95% CI -0.40 to 0.20,  $I^2 = 0\%$ , p-value = 0.52; see [Analysis 3.3](#); [Figure 9](#)) and intermediate-term follow-up (SMD -0.24, 95% CI -0.54 to 0.07,  $I^2 = 0\%$ , p-value = 0.13; see [Analysis 3.4](#); [Figure 10](#)). There is moderate quality evidence that CBT is better than other interventions at decreasing kinesiophobia at intermediate-term follow-up (SMD -0.39, 95% CI -0.69 to -0.08,  $I^2 = 0\%$ , p-value = 0.01; see [Analysis 3.5](#)). Also, there is moderate quality evidence that CBT is better than other interventions at improving depression (SMD -0.43, 95% CI -0.74 to -0.12,  $I^2 = 0\%$ , p-value = 0.006; see [Analysis 3.6](#)), while

there is low quality evidence that CBT is no more effective than other interventions to improve coping ability at short-term follow-up (random-effects, SMD -0.28, 95% CI -0.72 to 0.16,  $I^2 = 33\%$ , p-value = 0.21; see [Analysis 3.8](#)). At intermediate-term follow-up, no benefit of CBT was found for decreasing depression (SMD -0.29, 95% CI -0.60 to 0.01,  $I^2 = 0\%$ , p-value = 0.06; see [Analysis 3.7](#); moderate quality) or improving coping (random-effects, SMD -0.07, 95% CI -0.84 to 0.71,  $I^2 = 73\%$ , p-value = 0.87; see [Analysis 3.9](#); low quality). For all secondary outcomes but coping, the quality of the evidence was downgraded from high to moderate due to serious imprecision. Coping was additionally downgraded to low quality due to unexplained heterogeneity ( $I^2 > 25\%$ ).

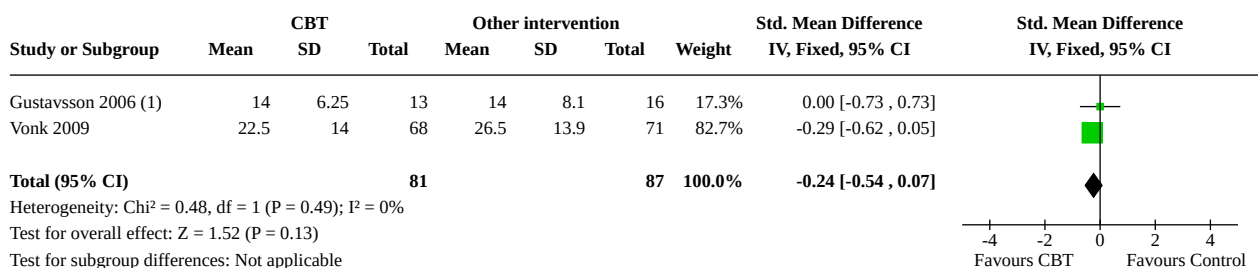
**Figure 9. Forest plot of comparison: 3 CBT versus other types of treatment (chronic NP), outcome: 3.3 Disability (short-term follow-up).**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

**Figure 10. Forest plot of comparison: 3 CBT versus other types of treatment (chronic NP), outcome: 3.4 Disability (intermediate-term follow-up).**



**Footnotes**

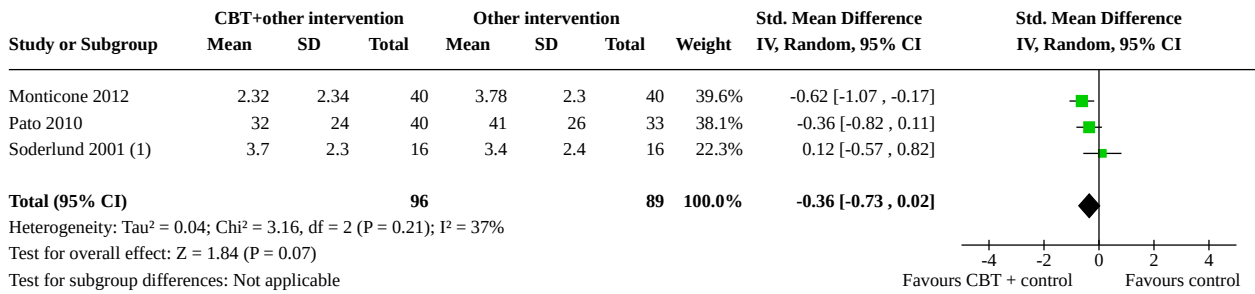
(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

**4. Behavioural treatments in addition to another treatment versus the other treatment alone in patients with chronic NP**

For this comparison, only post-treatment (short-term) data were available for meta-analysis, since intermediate- and long-term follow-up data were each available from only one RCT. A total of 185 chronic NP patients were included in three studies of cognitive behavioural treatment in addition to other type of interventions compared to the other intervention alone. One RCT ([Monticone 2012](#)) had a low risk of bias and two RCTs ([Pato 2010](#); [Soderlund 2001](#)) had a high risk of bias. At short-term follow-up, there is very

low quality evidence that CBT in addition to another intervention compared to the other intervention alone has no benefit for decreasing pain (random-effects, SMD -0.36, 95% CI -0.73 to 0.02,  $I^2 = 37\%$ , p-value = 0.07; see [Analysis 4.1](#); [Figure 11](#)) and disability (random-effects, SMD -0.10, 95% CI -0.56 to 0.36,  $I^2 = 57\%$ , p-value = 0.68; see [Analysis 4.2](#); [Figure 12](#)). Both outcomes were downgraded to very low quality due to serious imprecision (total number of participants < 300), serious limitation in the design and implementation (> 25% of studies with high risk of bias), and unexplained heterogeneity ( $I^2 > 25\%$ ).

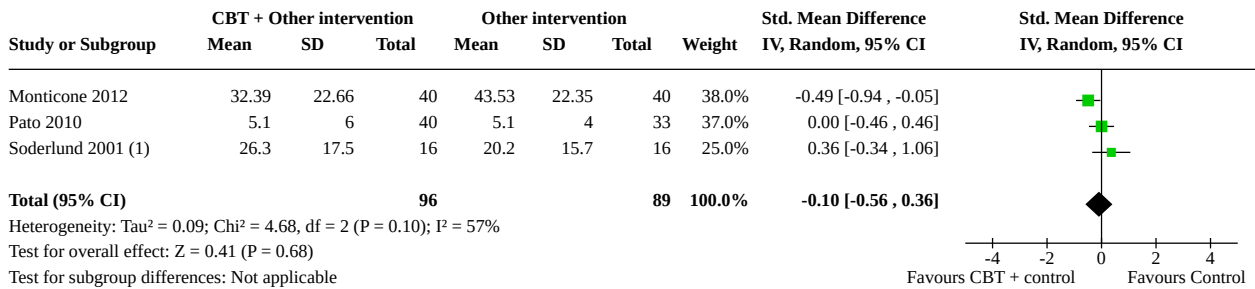
**Figure 11. Forest plot of comparison: 4 CBT in addition to another intervention versus the other intervention alone (chronic NP), outcome: 4.1 Pain (short-term follow-up).**



**Footnotes**

(1) Scores at 3-month follow-up have been reported.

**Figure 12. Forest plot of comparison: 4 CBT in addition to another intervention versus the other intervention alone (chronic NP), outcome: 4.2 Disability (short-term follow-up).**



**Footnotes**

(1) Scores at 3-month follow-up have been reported.

**DISCUSSION**

**Summary of main results**

Ten RCTs were included in this systematic review. A complete risk of bias assessment was performed, and the GRADE approach was used to judge the quality of the evidence.

Overall, most of the trials were not adequately sized, did not provide intermediate- and long-term outcomes, and suffered from poor reporting of a number of methodological quality items. None of the comparisons made in this systematic review provided high quality evidence, either for or against CBT; there was very low to moderate quality evidence to support the results. Also, we were not able to estimate the probability of publication bias due to the small number of trials.

Only two studies evaluating the effects of CBT on patients with subacute NP were found (see [Summary of findings 2](#)). These studies showed that CBT was significantly better than other types of interventions (e.g. manual therapy or education) for short-term pain relief, but this effect could not be considered clinically relevant. In terms of reducing disability and fear of movement, no benefit of CBT was found at short-term. One of the two studies ([Pool 2010](#)) also evaluated the long-term effect of CBT compared to manual therapy and observed a significant positive effect of

CBT in terms of pain and disability, and no difference regarding kinesiophobia. Although this study recruited 146 patients, further investigation is needed to confirm these results.

With regard to patients with chronic NP, CBT was found to be statistically significantly more effective than no treatment for short-term pain relief, decreasing disability, and improving quality of life, but these effects could not be considered clinically meaningful. No differences were found regarding psychological indicators (e.g. kinesiophobia and distress). There was no difference between CBT and other types of interventions for relieving pain or decreasing disability at short- and intermediate-term follow-up; however, at intermediate-term follow-up, CBT was better than other interventions at improving kinesiophobia, and at short-term follow-up it was better at improving depression. When comparing CBT plus another intervention to the other intervention alone, no differences were found for the effectiveness on short-term pain relief or disability, and no data regarding psychological indicators could be pooled (see [Summary of findings 1](#)).

**Overall completeness and applicability of evidence**

Our results suggest that it is not possible to modify pain intensity and disability associated with subacute and chronic NP to a clinically meaningful level in the short-term using CBT. In the intermediate- and long-term, CBT had no effect at all on chronic NP,

while a significant but not clinically meaningful improvement was found when comparing CBT with manual therapy for subacute NP. However this latter result needs to be considered with caution since it was derived from a single study (Pool 2010).

A large number and variety of cognitive-behavioural outcomes were measured by the included trials in this review, showing the diversity of cognitive-behavioural constructs. Apart from pain intensity and disability, psychological indicators (i.e. kinesiophobia, coping, and distress), mood symptoms (i.e. depression) and quality of life were the only other outcomes that could be pooled in meta-analyses. Among them, the only effect that was seen was a decrease in kinesiophobia among patients with chronic NP who were treated with CBT compared to those treated with another intervention, at intermediate-term follow-up. Therefore, there is still uncertainty concerning the effectiveness of CBT on kinesiophobia, coping, and distress.

Other psychological variables (e.g. anxiety and catastrophising) were measured, but only in individual studies, hampering comparisons between studies or data pooling. Even on an individual basis, no clear trends could be highlighted. No difference was found in anxiety reduction between CBT and no treatment for subacute (Robinson 2013) and chronic NP (Wicksell 2008) at short-term follow-up; however, a small significant benefit of CBT was found for this outcome compared to usual care for chronic NP at intermediate-term follow-up (Gustavsson 2006). Catastrophising was measured in only one study, which was reduced significantly more in the CBT group compared to the group assigned to conventional exercise at the end of the intervention; this effect was lost in the long term (Vonk 2009). Despite the limited evidence found in our review, musculoskeletal literature increasingly suggests that catastrophising be addressed when planning CBT interventions (Pincus 2002; Morley 2011; Monticone 2013; Monticone 2014; Vlaeyen 1995; Vlaeyen 2000). Indeed, catastrophising is considered a precursor of kinesiophobia and, as catastrophisers are expected to present increased levels of fear of movement, targeted interventions are recommended in order to achieve strong treatment effects.

Most of the studies included in this review did not involve a clinical psychologist and the experimental training was delivered by health professionals specifically trained in CBT. However, we believe that it is important to involve a clinical psychologist when planning future studies in order to enhance the quality of the intervention.

We defined a clinically significant effect size for the primary outcome (pain) as an improvement of at least 2.5 points on a 0 to 10 VAS/NRS scale; regarding secondary outcomes a 25% relative improvement was considered as a clinically important treatment effect. When considering both primary and secondary outcomes, none of the included studies achieved a clinically significant size of the effect. While these outcomes are recommended for use in most NP trials, further research is needed to expand the definition of a clinically significant effect size, especially when using common outcome measures.

Considering the large number of people suffering from neck pain, it is important to evaluate not only the effectiveness of CBT compared to usual care but also its cost-effectiveness. Among the included studies, only one (Pool 2010) assessed the cost-effectiveness of CBT on subacute neck pain. The authors concluded that substantial investments are needed to reach a 0.95 probability that CBT is cost-

effective in comparison with manual therapy for pain and disability. Further investigations, also on chronic patients, are needed to provide a final conclusion about the cost-effectiveness of CBT.

### Quality of the evidence

The overall quality of the evidence of the effects of CBT on patients with chronic NP was from very low to moderate. For each outcome, there were fewer than five studies included in the meta-analysis, and in the majority of the cases there were only two. Most studies also had small sample sizes. Concerning patients with subacute NP, the quality of the evidence was low and two studies, one with high and one with low risk of bias, were included in the meta-analysis.

In order to be consistent in applying the GRADE approach to the meta-analyses, we decided to use cut-offs defined in a previous Cochrane review investigating the effect of CBT on chronic low-back pain (Henschke 2010). This means that when coming to a decision about the extent of limitations in the design and implementation, the quality of the evidence was downgraded if more than 25% of the pooled data came from studies with a high risk of bias. Concerning the imprecision of the results, we lowered our rating of the quality of the evidence if the pooled sample size was less than the optimal information size. A value of 300 was computed considering  $\alpha$  of 0.05,  $\beta$  of 0.2, and an effect size of 0.3 standard deviations. None of the comparisons satisfied this second cut-off, and thus the evidence was always downgraded at least to moderate quality. Few studies reported a correct a priori calculation of the sample size, thus confirming the low rating of precision of our results. The third reason for downgrading the quality of the evidence was the presence of unexplained heterogeneity. The same cut-off defined for selecting a random-effects model was used ( $I^2 > 25\%$ ).

The risk of bias of the trials included in this review was high in most cases. Considering the nature of CBT, blinding of patients and care providers was not possible, reducing the quality of evidence. Many of the other criteria used to assess risk of bias were poorly reported, especially details about the randomisation procedure and concealment, compliance, and tracking of co-interventions.

The limitations found in the design and reporting of the included RCTs contributed to the overall judgment of the quality of evidence, using the GRADE approach, and served to downgrade the quality for most of the comparisons.

### Potential biases in the review process

An extensive search of the most important electronic databases has been performed, and thus there is a high likelihood that all relevant studies were identified. Appropriate imputation methods were adopted when variability data were not available. The limitations of this review are mainly related to the paucity of relevant studies and to their limitations in design and reporting, being therefore outside the control of the review authors.

### Adverse effects

None of the included RCTs reported on whether any adverse effects related to the intervention were observed. This made it difficult to determine whether the benefits gained from behavioural treatment are worth the potential harms. From the results of the meta-analyses, it can be seen that CBT for NP generally results in small effect sizes, if any.

## AUTHORS' CONCLUSIONS

### Implications for practice

CBT was shown to induce statistically significant changes in terms of pain relief and disability in subject with chronic NP only when compared to no treatment. On subacute NP, a statistically significant effect was found on pain relief but not on disability when comparing CBT to other types of interventions. However, none of these treatment effects could be considered clinically meaningful and there was no evidence on maintenance of the effects beyond the short term in either category of patients. Due to the low quality of the evidence, a conclusion about the usefulness of CBT for patients with NP cannot be derived from this review.

### Implications for research

More research is recommended in order to i) investigate the long-term benefits and risks of CBT including the different subgroups of NP subjects (for example, whiplash injuries); ii) identify which psychological factors have the strongest influence on a patient's experience of NP and which of these factors can be utilised as

appropriate outcome measures; iii) to promote the involvement of clinical psychologists and health professionals specifically trained in CBT in order to standardize CBT intervention; iv) to promote more specifically targeted interventions which might have the potential to achieve stronger treatment effects. Future studies should include a larger sample size, guarantee the blinding of the outcome assessors, specify the method used for randomisation and allocation concealment, extensively describe the experimental intervention, assure no or similar co-interventions between groups, and describe possible adverse effects. We suggest the exploration of benefits both in terms of pain and disability for longer follow-up periods (at least one year). Finally, a cost-effectiveness analysis of CBT should be included in future RCTs.

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\* Indicates the major publication for the study

## CHARACTERISTICS OF STUDIES

### Characteristics of included studies [ordered by study ID]

**Dunne 2012**

<i>Study characteristics</i>	
Methods	RCT; "randomly assigned"
Participants	26 patients, aged 20 to 49 years with chronic WAD grade II or III meeting the diagnostic criteria for current MVC-related PTSD. Participants were excluded if they had (1) cervical spine fractures, (2) serious head injury or burns, (3) previous history of NP or headaches requiring treatment, (4) insufficient comprehension of English to complete measures, or (5) if they were receiving current treatment for a major psychiatric disorder (i.e., psychological or pharmacological treatment). 3 patients were lost at post-assessment but all subjects were included in the analysis (intention-to-treat). The control group was not involve in the follow-up assessment.
Interventions	CBT treatment (I): 10 weekly 1-hour sessions of individually trauma-focused CBT based on the Australian Guidelines for the treatment of PTSD (cognitive strategies, coping self-talk, cognitive restructuring, psychoeducation, anxiety management strategies and relapse prevention strategies; (n = 13)  Reference treatment (R): waiting list control (n = 13)
Outcomes	No significant changes were noted for pain intensity over time or between groups at post-assessment.  Concerning disability (NDI), greater improvements were found for (I) compared to (R) at post-assessment evaluation; at 6 month follow-up, treatment effects were maintained for CBT group. Greater reductions were found from pre-to post assessment for (I) compared with (R) in several subscales of SF-36, treatment effects were maintained at follow-up for physical role, bodily pain, general health, social functioning, and mental health subscales.

**Dunne 2012** (Continued)

Regarding self-report mental health measures, improvements were noted in both groups over time; at post assessment there were greater reductions for (I) compared to (R); at 6 month follow-up, treatment effects were maintained.

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Participants were randomly assigned to 1 of 2 conditions." Comment: Method of sequence generation is not described.
Allocation concealment (selection bias)	Unclear risk	Not described.
Blinding of participants	High risk	No mention about blinding but blinding of participants is not feasible due to the nature of the intervention.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	3 subjects were lost at post-assessment (12%); 1 further participant was lost at follow-up (15%).
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	Quote: "Baseline comparisons showed no significant differences between the groups on any variable that may confound the results including sociodemographic variables, medical history, MVC details, average pain intensity, medication use, and self-report measures."
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Low risk	Quote: "At post-assessment, 85% of participants in the treatment condition (11 of 13 participants) had completed all 10 sessions."
Intention-to-treat-analysis	Low risk	Quote: "Treatment effects were assessed using the intent-to treat sample... Missing data were replaced with the value for that variable at the preceding assessment and as there was minimal missing data findings closely mirrored those for treatment completers."
Timing of outcome assessments (detection bias)	High risk	Quote: "All participants completed a post-assessment at 10 to 12 weeks after the first assessment session and individuals in the treatment group also completed a 6-month follow-up assessment using the same procedure and measures as the initial assessment."  Comment: One of the two groups did not perform follow-up assessment.

**Gustavsson 2006**
**Study characteristics**

Methods	Randomised controlled pilot study. "Sealed envelopes were prepared by the second author prior to the enrolment of patients to the study. Group allocation was carried out with the help of permuted blocks of 2, 4 and 8 individuals sequentially located at random."
Participants	<p>37 patients, mean age (range) in (I): 43 (36-54); (R): 36 (24.5-48.5), with musculoskeletal NP of long-lasting duration (i.e. more than 3 months).</p> <p>The patients were excluded if they had neurological symptoms or cervical facet joint pathology, insufficient knowledge of Swedish, a learning disability, medical history of psychotic disorders, were under treatment for a malignant disease, were pregnant or had previously received the relaxation treatment program designed for the intervention group. 4 patients withdrew from the study before the 7-week follow-up assessment and were excluded from the analysis. A further 4 participants were lost at the 20-week follow-up assessment.</p>
Interventions	<p>CBT treatment (I): The program contained 7 1.5-hour sessions, over a period of 7 weeks. The sessions consisted of applied relaxation training, 4 body awareness exercises and theoretical information about anatomy, aetiology, physiology of pain and stress, and pain and stress management. The relaxation training was largely derived from the method of applied relaxation. The rationale was that the patient was taught an active coping skill to prevent or control pain (n = 18).</p> <p>Reference treatment (R): the 7 training sessions did not follow a standardized treatment procedure. The type of treatment, frequency of visits and duration of contact were left to the discretion of the physiotherapists and their patients. The relaxation training was not applied (n = 19).</p>
Outcomes	The (I) group compared to the (R) group reported better ability to control pain (CSQ - ability to control pain, P =value 0.003) and better ability to decrease pain (CSQ - ability to reduce pain, P value 0.003) by use of coping strategies at 20-week follow-up. The (I) group reported a lower work-related fear of future neck injury than the (R) group (FABQ, P value 0.009) at 20-week follow-up. The (I) group reported a lower HADS - Anxiety sum score than the (R) group both at the 7-week follow-up (P value 0.023) and 20-week follow-up (P value 0.001). There were no statistically significant differences between groups regarding healthcare utilization, pain and analgesics, disability, pattern of coping strategies, fear and avoidance (TSK) or single questions regarding sleep.
Notes	

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "the participants were assigned randomly to either the intervention program AR or to TAU. A physiotherapy-assistant who was not involved in the AR or the TAU administered the questionnaires and the opening of envelopes containing group allocation. [...] Group allocation was carried out with the help of permuted blocks of 2, 4 and 8 individuals sequentially located at random."
Allocation concealment (selection bias)	Low risk	Quote: "These sealed envelopes were prepared by the second author prior to the enrolment of patients to the study."
Blinding of participants	High risk	No mention about blinding but blinding of participants is not feasible due to the nature of the intervention.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.



**Gustavsson 2006** (Continued)

Blinding of outcome assessment (detection bias) self-reported measures	Unclear risk	Not described.
Incomplete outcome data (attrition bias) All outcomes	Low risk	The percentages of withdrawals were about 11% and 22% at 7-week and 20-week follow-ups, respectively.
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	High risk	Quote: "The AR group was older, had a longer duration of neck pain and a higher average number of days of sick-leave as well as a larger number of healthcare visits, during the 3 months preceding the study than the TAU group. The AR group also had a higher consumption of pain-reducing medication both with regard to neck pain as well as to pain from other parts of the body. At baseline, the TAU group reported a better ability to control pain (CSQ) compared with the AR group."
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Low risk	Quote: "The type of treatment, frequency of visits and duration of contact were left to the discretion of the physiotherapists and their patients." [...] "Attendance at group-sessions among AR participants was high. Nine [out of 18] participants attended all 7 sessions. None of the participants attended less than 5 sessions (70% of sessions). The TAU group received an average of 11 treatment sessions (ranging from 2 to 32). Six [out of 19] participants in the TAU group had completed their treatment at 7-week follow-up and 6 were still in treatment at the 20-week follow-up."  Comment: The frequency of visits are well described.
Intention-to-treat-analysis	High risk	Quote: "Data were analysed for all participants who completed treatment (on treatment analysis)."  Comment: Intention-to-treat analysis was not performed.
Timing of outcome assessments (detection bias)	Low risk	Quote: "Follow-up was conducted by means of the self-assessment questionnaire after the 7-week intervention program or, for the TAU group, 7 weeks after inclusion, and at 20 weeks after inclusion/treatment onset."

**Monticone 2012**
**Study characteristics**

Methods	Randomised, parallel-group controlled trial; randomly assigned using a computerised procedure
Participants	80 patients, mean age $\pm$ SD in (I): 54.97 $\pm$ 13.83 years; (R): 44.20 $\pm$ 11.44 years; with diagnosis of chronic non-specific NP. The exclusion criteria were cognitive impairment, all causes of specific NP, previous participated in a cognitive-behavioural intervention for low back pain. 5 patients in (R) dropped out (4 at post-treatment assessment - T2; 1 at 12-month follow-up - T3) because of economic difficulties (2), personal problems (2) or logistic problems (1); patients who did not complete the assessment were not included in the analysis.
Interventions	CBT treatment (I): number of sessions (median and range) 10 (6-12). Each session consisted of the same programme delivered to the control group (R) plus cognitive-behavioural therapy based on correct re-

**Monticone 2012** (Continued)

learning and cognitive reconditioning, physical and psychosocial recovery to modify mistaken fears, catastrophising beliefs and inappropriate thinking (n = 40).

Reference treatment (R): number of sessions (median and range) 10 (5-11). Each session consisted of multimodal approach, including passive and active mobilisation of the neck, exercises aimed at improving postural control, strengthening muscles and stretching (n = 40).

**Outcomes** No significant differences between groups were observed concerning disability (NPDS), pain (NRS) and quality of life (SF-36) at T2. In both groups there were improvements in all outcomes over time; disability remained stable until T3 in (I) while slightly worsened in (R); pain at T3 slightly worsened in both groups, SF-36 domains showed a linear increase in (I) between T1 and T3 compared to (R), these changes were not clinically significant.

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Randomisation was performed centrally using a computerised procedure".
Allocation concealment (selection bias)	High risk	Quote: "the randomisation list was managed by the principal investigator who informed the physiotherapist involved about the treatment assignment."
Blinding of participants	High risk	Quote: "The patients were partially blinded as they were unaware of the hypothesised differences between the groups, but they were aware of what treatment they were participating in."  Comment: Patients were aware of their treatment allocation, thus they could not be considered blinded.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "Five patients in the PT group dropped out (four at T2 and one at T3) because of economic difficulties (2), personal problems (2) or logistic problems (1), leaving a total of 75 completers (94 %)."
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	Quote: "We did not find any differences between groups, except for age and marital status. Hence, these variables were used as covariates in the subsequent analyses."
Cointerventions (performance bias)	Low risk	Quote: "The patients were asked to avoid any additional treatments (e.g. pain killers, NSAIDs, physical modalities, etc.) and their family doctors were asked to avoid referrals for other treatments while the participants were undergoing the rehabilitation programmes and during the follow-up period."
Compliance (performance bias)	Low risk	Quote: "The patients included underwent the following number of sessions (median and range): ten (6-12) for the PTcb group and ten (5-11) for the PT group".

**Monticone 2012** (Continued)

Intention-to-treat-analysis	Low risk	Intention-to-treat analysis was performed as specified in Figure 1.
Timing of outcome assessments (detection bias)	Low risk	Quote: "The questionnaires were completed before treatment (T1), at the end of treatment (T2) and 12 months later (T3)."

**Pato 2010**
**Study characteristics**

Methods	RCT, 'randomly allocated'
Participants	87 patients, mean age $\pm$ SD in (I): 41.6 $\pm$ 11.95 years (R): 39.1 $\pm$ 12.35 years; with diagnosis of WAD injury grade I or II (QTF Classification) and persistent NP or headache 6 to 12 months after accident. The exclusion criteria were: injuries to other areas of the body during the accident, actual head injury, previous brain injury, previous neurologic deficits, previous whiplash injury, pre-existing NP, or previous neck surgery.
Interventions	<p>CBT treatment (I): all patients received twice weekly for 8 weeks (16 sessions) CBT (n = 40) and were randomly assigned to one of following additional treatments: infiltration (n = 16), physiotherapy (n = 14), medication (n = 14). CBT focused on pain aspects, teaching control of pain, stress reduction, and chronic pain management techniques. Specific skills taught during the sessions were imagery, cognitive therapy for stressful situations, progressive muscle relaxation training, and application of guided mastery for stress/pain management. In the infiltration group, tender points were found by palpation or movement and each point was infiltrated with an IM injection of bupivacaine 0.25%. In the physiotherapy group, patients received massage, learned relaxation techniques of myogelotic muscles, and were instructed in a detailed program of isometric and low intensity active isotonic training of their neck muscles, which they had to regularly practice at home. In the medication group, patients received 200 mg flurbiprofen.</p> <p>Reference treatment (R): patients were randomly assigned to: infiltration group (n = 14), physiotherapy group (n = 15), medication group (n = 14), without CBT (n = 43).</p> <p>14 patients dropped out during follow-up and were not included in the analysis.</p>
Outcomes	<p>Primary outcome measures were: subjective outcome rating (free of symptoms, improved, unchanged, worse), pain rating (McGill pain questionnaire, VAS), and working capacity. A significantly higher rate of recovery (free of symptoms; 23% vs 9%) and improvement (53% vs 42%) was achieved by the (I) group when compared to (R) group (P value 0.024). There was a gender difference (P value 0.01) in the (I) group, where CBT was effective only in women (P value 0.004 for women, P value 0.69 for men). Among the 26 (36%) patients without any treatment efficacy after 2 months, 4 improved during the following 6 months without further treatment: 3 women in the (R) medication group and 1 man in the (R) infiltration group. A gender-dependent efficacy was detected in several ways: depending on gender but not on treatment modality, 33 women (73%) and only 14 men (50%) improved after treatment (P value 0.047). The gender-dependent difference persisted over the following 6 months without therapy; however, it was no longer significant. After 2 months of treatment, pain intensity was improved with all treatments (VAS: P value 0.01, P value 0.003, and P value 0.000, and McGill total: P value 0.004, P value 0.122, and P value 0.014 for infiltration, medication, and physiotherapy respectively with and without CBT). Working ability improved overall (P value 0.023), in the infiltration group (P value 0.016), and in the physiotherapy group (P value 0.035), but not in the medication group. CBT had a favourable influence overall (P value 0.003).</p> <p>Secondary outcome measures were: Health Assessment Questionnaire, Well-Being Scale, and cognitive ability (Cognitive Failures Questionnaire). Comparing results of the Well-Being Scale, only a short-term effect was found, with a difference between patients with and without CBT after 8 weeks (P value 0.036) but no longer after 6 months. There were no differences in the Cognitive Failures Questionnaire and the Health Assessment Questionnaire between the different treatment groups, with or without CBT, at any time.</p>

**Pato 2010** (Continued)

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	Quote: "Patients were first randomised to 1 of 3 treatment groups: local anaesthetic infiltration, physiotherapy, or medication, and stratified according to gender, age, and education (restricted randomization)."  Comment: It is not specified how the randomised sequence was generated.
Allocation concealment (selection bias)	Unclear risk	Not described.
Blinding of participants	High risk	No mention about blinding but blinding of participants is not feasible due to the nature of intervention.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	About 20% of participants was lost at follow-up.
Selective reporting (reporting bias)	High risk	Outcome measures evaluated at T3 are not reported.
Group similarity at baseline (selection bias)	Low risk	Groups were similar at baseline.
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Unclear risk	Not described.
Intention-to-treat-analysis	High risk	Only the patients who performed the follow-up assessments were involved in the analysis.
Timing of outcome assessments (detection bias)	Low risk	Quote: "Evaluations were performed immediately after the 8-week study treatment period (T2), then, at 3 (T3) and 6 months (T4) later."

**Pool 2010**
**Study characteristics**

Methods	RCT, computer-generated random list
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**Pool 2010** (Continued)

Participants	<p>146 patients; mean age <math>\pm</math> SD in (I): <math>44.5 \pm 12.0</math> years; (R): <math>45.6 \pm 11.1</math> years; with diagnosis of subacute non-specific NP. The exclusion criterion was specific NP; patients with WAD were included unless they had an unsettled insurance claim during the intake period.</p> <p>At 13 weeks 3 patients of (I) and 5 patients of (R) dropped out, at 52 weeks a further 2 patients of (I) and 1 patient of (R) dropped out. Analysis was performed according to the intention-to-treat principle.</p>
Interventions	<p>CBT treatment (I): maximum 18 sessions (30 minutes each) of behavioural graded activity program. The core elements of the program are: (1) decrease in pain behaviour and increase in “healthy” behaviour, (2) improvement of function and no focus on pain reduction, (3) the patient is responsible for the treatment and has an active role (n = 71).</p> <p>Reference treatment (R): maximum 6 sessions (from 30 to 45 minutes each) of manual therapy treatment that consisted of a combination of manipulative therapy, specific mobilization techniques, exercises and advice (n = 75).</p>
Outcomes	<p>At 52 weeks, the (I) group scored slightly better in GPE, NRS and NDI measurements: the GPE expressed as an odds ratio was 0.76 (0.21–2.68), the NRS expressed as a regression coefficient or mean difference was 0.99 (0.15–1.83) points, and the NDI expressed as a mean difference was 2.42 (0.52–4.32) points. The only statistically significant overall effect was found on the NDI in favour of the (I) group. This effect was present at all follow-up points.</p> <p>Secondary outcome measures were: the Pain Coping and Cognition List, the 4 DSQ, the TSK, the SF-36 and the Graded Chronic Pain Scale. There was no statistically significant overall difference in effect between the two interventions. Only somatisation, a domain within the 4 DSQ, showed a significant difference in favour of the (I) group at 52 weeks.</p>

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: “After the baseline measurement the patients were randomly assigned either to the BGA program or to MT. The treatment allocation was concealed, through the use of numbered, opaque, and sealed envelopes, based on a computer-generated list, and prepared by an independent person before the start of the inclusion period.”
Allocation concealment (selection bias)	Low risk	Quote: “The treatment allocation was concealed, through the use of numbered, opaque, and sealed envelopes.”
Blinding of participants	High risk	Quote: “The patients were aware of the treatment they received.”
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	The percentages of withdrawals were about 4%, 5%, 14%, and 8% at 6, 13, 26, and 52 weeks after randomisation, respectively.
Selective reporting (reporting bias)	High risk	Some of the outcome measures described in the Methods were not reported in the Results section.

**Pool 2010** (Continued)

Group similarity at baseline (selection bias)	Low risk	Quote: "The baseline characteristics of the patients in the two groups were very similar."
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Unclear risk	Not described.
Intention-to-treat-analysis	Low risk	Quote: "The statistical analyses were performed according to the intention-to-treat principle."
Timing of outcome assessments (detection bias)	Low risk	Quote: "the patients completed questionnaires at 6, 13, 26, and 52 weeks after randomization."

**Robinson 2013**
**Study characteristics**

Methods	RCT, 'randomly assigned; for allocation a computer-generated list of random numbers was used'
Participants	191 patients, mean age $\pm$ SD in (I): 36.4 $\pm$ 12.2 years; (R1): 35.1 $\pm$ 12.0 years; (R2): 40.4 $\pm$ 12.4 years; with diagnosis of WAD grades I-II attributed to an MVC approximately 2 months earlier. The inclusion criteria were: (1) fulfilled the QTF classification of WAD grades I or II, (2) no related hospitalisation after the MVC, (3) no indication of loss of consciousness after the MVC, (4) symptoms associated with injuries to areas other than the neck were either absent or relatively minor, (5) no current substance abuse, and (6) significant fear of neck-specific movements (defined as fear ratings of at least 4 of 10 on 3 or more of the PFAcTS-C). 18 patients (11 in (I) group; 3 in (R1) group; and 4 in (R2) group) dropped out and were not involved in the analysis.
Interventions	<p>CBT treatment (I): educational booklet plus 3 biweekly skill training and exposure therapy (imaginal and in vivo desensitization) sessions in one-on-one format (n = 70)</p> <p>Reference treatment (R1): education provided with a booklet containing basic information about MVCs, whiplash injuries and associated pain problems (n = 57).</p> <p>Reference treatment (R2): educational booklet plus 3 biweekly educational presentations in one-on-one format (2 hours sessions). Sessions included a physician, a psychologist and a physical therapist who reviewed and expanded on information contained in the booklet. The physician focused on anatomical and neurological aspects of whiplash injury and pain, and medications. The psychologist focused on stress and pain recovery after MVCs, relaxation, strategies for coping with stress and anxiety. The physical therapist addressed pain and body mechanics, sleep hygiene, activities regulation and pacing, gradual exposure activities and flare up management (n = 64).</p>
Outcomes	<p>Differences in treatment completion rates among groups, caused by participants dropped out in (I) group (n = 11) compared to the (R1) group (n = 3) and (R2) group (n = 4), were not statistically significant (P value 0.076).</p> <p>Concerning the primary outcome, the (I) group fared significantly better on the NDI (0-100) at post-treatment (10 days after the third session) compared to the (R1) group (mean value of 18.9 vs 24.4; P value 0.019). Regarding the PFAcTS-C (0-720), the (I) group evidenced significantly lower fear levels compared to the (R1) group (mean value of 77.0 vs 158.1; P value &lt; 0.001). Lower pain severity (Multidimensional Pain Inventory, 0-6) was reported by the (I) group, compared to both the (R1) group (mean value of 1.5 vs 2.3, P value &lt;0.001) and the (R2) group (mean value of 1.5 vs 2.0, P value 0.039). Post hoc tests on the Chronic Pain Self-Efficacy Scale revealed that the (I) group demonstrated greater self-efficacy at post-treatment when compared to the (R1) group only (mean value of 261.9 vs 240.0; P value</p>

**Robinson 2013** (Continued)

0.024). In terms of PTSD symptoms at post-treatment, post hoc tests only indicated significantly lower scores for the (I) group compared to the (R1) group.

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "Specifically, when a block of 6 participants was found to be eligible, a computer-generated list of random numbers was used for allocation of the participants to 1 of the 3 treatment groups. This process was repeated for each newly eligible block of 6 participants. However, the enrolment rates varied somewhat, leading to unequal numbers within groups."
Allocation concealment (selection bias)	Unclear risk	Not described.
Blinding of participants	High risk	No mention about blinding but blinding of participants is not feasible due to the nature of intervention.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	Unclear risk	Not described.
Incomplete outcome data (attrition bias) All outcomes	Low risk	About 9% of the participants dropped out of the study before post-assessment.
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	A small and marginally significant effect was observed for differences in age among the groups. Otherwise, groups were equivalent on all the baseline variables.
Cointerventions (performance bias)	High risk	Quote: "Participants in the IB group continued their present care..."  Comment: Participants within the IB group could be involved in additional treatments and no guarantees about the similarity between co-interventions was provided.
Compliance (performance bias)	Unclear risk	Not described.
Intention-to-treat-analysis	High risk	Only the participants who completed the treatment were involved in the analysis.
Timing of outcome assessments (detection bias)	Low risk	Quote: "All participants were provided a comprehensive, 3-hour initial evaluation consisting of physical and psychological assessments, including all measures described earlier."

**Soderlund 2001**
**Study characteristics**

Methods	RCT; 'a balanced randomised block procedure'
Participants	33 patients, mean age in (I): 37.7 years; (R): 43.5 years, with diagnosis of WAD grade I-III according to The QTF Classification. The exclusion criteria were: a history of neck injury before the actual whiplash injury, age < 18 and > 60 years, difficulty with understanding written Swedish.
Interventions	<p>CBT treatment (I): patients underwent 12 individual sessions (median = 11) which included learning of basic physical and psychological skills, application and generalization of these basic skills in every-day activities, and a phase for maintenance of these skills. A functional behaviour analysis approach was used to highlight the problem behaviours and to establish treatment goals. The general treatment goals were to change the problem behaviours and recognise the factors that perpetuate muscular dysfunction. All skills training would be done at home. The basic skills phase included coping strategies, relaxation training and reeducation of a balanced cervicothoracic posture based on cervicothoracic muscular stabilisation techniques suggested by Sweeney. Further, exercises aimed at increasing neck range of motion, coordination, and endurance of neck muscles as well as reeducation of normal humeroscapular rhythm was included (n = 16).</p> <p>Reference treatment (R): patients underwent 12 individual sessions (median = 6) of exercises designed to enhance muscular stabilisation of neck and shoulder mobility with stretching and coordination of head movements, and exercises to maintain body posture and arm muscle strength. Patients were given oral or written information and were expected to practice exercises at home. The treatment could also include pain-relieving methods like relaxation, TENS, acupuncture and heat (n = 17).</p>
Outcomes	<p>PDI, pain intensity (NRS) and cervical rotation (ROM) were used for comparing treatment groups; the SES and the CSQ were used to compare patients with high and low self-efficacy.</p> <p>Results showed no significant differences between (I) and (R) groups over time in disability, pain intensity, or in any of the physical measures. Self-experienced benefits of the treatment reported with global questions at post-treatment and follow-up were analysed. The results showed that the (I) group perceived themselves as having significantly less pain than the (R) group at post-treatment (Chi<sup>2</sup> 6.5, df 2, P value &lt; 0.05) . At the 3-month follow-up, patients' perceived ability to perform daily activities differed significantly between groups (Chi<sup>2</sup> 10.27, df 3, P value &lt; 0.05) in favour of the (I) group. Patients were asked if they applied what they had learned in order to manage or prevent NP; the results showed significantly better long-term compliance for the experimental group (Chi<sup>2</sup> 6.4, df 2, P value &lt; 0.05).</p> <p>There were significant positive effects for the merged (I) and (R) group over time regarding disability (PDI; F(2,58) 6.41, P value &lt; 0.01), pain intensity (NRS; F(2,60) 4.35, P value &lt; 0.05), and two physical measures, that is, head posture (F(2,60) 7.77, P value &lt; 0.001) and neck range of motion in flexion/extension (Wilk's Lambda(4,26) 0.61, P value &lt; 0.01).</p>

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	<p>Quote: "After informed consent 33 patients were assigned in two different intervention groups, that is, an experimental and a comparison group, by a balanced randomised block procedure."</p> <p>Comment: It is not described how the random sequence was generated.</p>
Allocation concealment (selection bias)	Unclear risk	<p>Quote: "After informed consent 33 patients were assigned in two different intervention groups, that is, an experimental and a comparison group, by a balanced randomised block procedure."</p> <p>Comment: It is not described who the allocation was performed.</p>



**Soderlund 2001** (Continued)

Blinding of participants	High risk	Quote: "Patients were asked what kind of treatment they had had and if they understood the purpose and principles of the treatment."  Comment: Patients could not be blinded.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Quote: "The self-report measures below were mailed to all subjects and collected by the experimenter".  Comment: It is not clear if the experimenter was blinded. Anyway all subjects were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Only one participant did not complete the treatment.
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	Quote: "There were no differences between groups in the demographic data. To cover motivational aspects five questions were used in a self-report form. There were no differences in these treatment expectations."  Comment: The characteristics of the groups were similar at baseline.
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Low risk	Quote: "One patient from the comparison group did not comply with the treatment and was therefore excluded in group comparisons."
Intention-to-treat-analysis	High risk	Quote: "One patient from the comparison group did not comply with the treatment and was therefore excluded in group comparisons."  Comment: Authors did not perform intention to treat analysis because one patient was excluded.
Timing of outcome assessments (detection bias)	Low risk	Quote: "Physical measures, measures of disability, pain intensity, coping, and individual characteristics (i.e., self efficacy) were collected pre- and posttreatment and at the three-month follow-up."

**Taimela 2000**

**Study characteristics**

Methods	RCT, "randomly assigned into one of the three interventions, the randomization was performed in blocks of three stratified by sex, age, and severity of the disorder based on pain drawing"
Participants	76 patients; mean age $\pm$ SD in (I): women 44.0 $\pm$ 8.4 years, men 38.8 $\pm$ 7.6 years; (R1): women 44.8 $\pm$ 9.0 years, men 36.0 $\pm$ 8.0 years; (R2): women 47.1 $\pm$ 16.8, men 43.2 $\pm$ 11.0. The inclusion criterion was non specific recurrent or chronic NP (longer than 3 months). The exclusion criteria were: neural tissue involvement, severe disorders of the cervical spine, other severe diseases preventing physical loading, a recent major operation, acute infection, and refusal to cooperate. 11 patients dropped out at 3 months

**Taimela 2000** (Continued)

and a further 3 patients dropped out at 12 months. Analysis was performed according to the intention-to-treat principle.

Interventions	<p>CBT treatment (I): 24 treatments, two sessions per week, 45 minutes each, during 12 weeks. The treatment contained (1) cervicothoracic stabilization, (2) relaxation training, (3) behavioural support, (4) eye fixation exercises, and (5) seated wobble-board training (n = 26).</p> <p>Reference treatment (R1): patients attended a lecture about NP and its consequences, received written information about neck exercises plus practical training (in smaller groups twice with 1 week interval) for home exercises (n = 25).</p> <p>Reference treatment (R2): patients attended one lecture about NP and its consequences, received written information about neck exercises to be applied at home and at the workplace.(n = 25).</p>	
Outcomes	<p>3 month after treatment self-experienced total benefit (from 1, very much harm, to 5, very much benefit) was highest in the (I) group (mean score of 4.6) compared to (R1) group (mean score of 3.8) and (R2) group (mean score of 3.3); a similar difference was noted at 12 months. Differences between the groups in favour of the (I) group were recorded in reduction in neck symptoms and improvement in general health at 3 months, and the differences were still visible at 12 months. An improvement in self-reported working ability in favour of the (I) group was seen at 3 months and this difference remained at the 12-month follow-up. VAS pain intensity score after the intervention at 3 months were significantly lower in the (I) and (R1) groups compared to the (R2) group. No statistically significant differences between the groups were noted at 12 months. No statistically discernible differences were noted among the groups in the reduction of physical impairment or FABQ score.</p> <p>There were no statistically significant differences in Cervical Mobility and Pressure Pain Threshold except for Pressure Pain Threshold in the trapezius and elevator scapula muscle areas which increased in the (R1) group at 3 months, but no statistically discernible group differences were seen at 12 months.</p>	
Notes		
<b>Risk of bias</b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Random sequence generation (selection bias)	Unclear risk	<p>Quote: "After the baseline measurements, the subject was randomly assigned into one of the three interventions. The randomization was performed in blocks of three stratified by sex, age, and severity of the disorder based on pain drawing."</p> <p>Comment: It is not described how the random sequence was generated.</p>
Allocation concealment (selection bias)	Unclear risk	<p>Quote: "After the baseline measurements, the subject was randomly assigned into one of the three interventions. The randomization was performed in blocks of three stratified by sex, age, and severity of the disorder based on pain drawing."</p> <p>Comment: It is not described who and how the allocation was performed.</p>
Blinding of participants	High risk	<p>Quote: "The study was a randomized, single-blind trial of three interventions. Measurements were obtained before the randomization, after the intervention period of 3 months, and at 12 months. Researchers performing measurements and interviews were kept blinded to the interventions."</p> <p>Comment: If it is single blind and researchers were blinded, the participants were not blinded.</p>
Blinding of personnel/ care providers (performance bias)	High risk	<p>Quote: "The study was a randomized, single-blind trial of three interventions. Measurements were obtained before the randomization, after the intervention period of 3 months, and at 12 months. Researchers performing measurements and interviews were kept blinded to the interventions."</p>

**Taimela 2000** (Continued)

		Comment: If it is single blind and researchers were blinded, the care providers were not blinded.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	Quote: "The withdrawal rate was 14% (11 cases) at 3 months and 18% (14 cases) at 12 months."
Selective reporting (reporting bias)	High risk	Some of the outcome measures described in the Methods were not reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	Quote: "No statistically discernible differences were recorded in pain location, pain frequency, or use of medication between the treatment groups."
Cointerventions (performance bias)	Unclear risk	Not described.
Compliance (performance bias)	Unclear risk	Not described.
Intention-to-treat-analysis	Low risk	Quote: "The x2 test with cross-tabulation tables was used in the intention-to-treat analyses."
Timing of outcome assessments (detection bias)	Low risk	Quote: "After signing a written informed consent, all patients answered the same questionnaire and underwent the same measurement protocol three times: before and after the intervention and at 12 months (Figure 1)."

**Vonk 2009**
**Study characteristics**

Methods	RCT. 'computer-generated randomization scheme'
Participants	139 patients, mean age $\pm$ SD in (I): 45.7 $\pm$ 12.1 years; (R): 45.7 $\pm$ 12.7 years; with diagnosis of non-specific NP. The exclusion criteria were: diagnosis of a specific disorder, physical/manual therapy treatment during the previous six months, chronic disease or undergoing surgery in the near future. 12 and 18 patients in the (R) and (I) groups, respectively, dropped out at 9 weeks. The number of drop-outs increased to 24 and 23 in the (R) and (I) groups, respectively, at 52 weeks. The analyses were carried out according to the intention-to-treat principle.
Interventions	<p>CBT treatment (I): behaviour graded activity program. The duration of the treatment was about 30 minutes and patients could received up to 18 treatments. The treatment was according to a biopsychosocial model. During the treatment, patients discussed their beliefs about pain following the pain model and defined personal aims and baseline levels of activities in order to systematically increase them throughout graded exercises. Patients learned to manage their pain and relapses period (n = 68).</p> <p>Reference treatment (R): conventional exercise composed of exercises and physiotherapy techniques (i.e. massage, mobilization, traction). The duration of the treatment was about 30 minutes and patients could received up to 18 treatments (n = 71).</p>
Outcomes	The primary outcome was GPE: GPE for recovery of complaints and GPE for recovery of functioning in daily activities. At 4 weeks, the (R) group showed significantly more recovery of complaints compared to the (I) group (odds ratio 0.25, 95% CI 0.06 to 0.99). At 9 weeks, recovery of complaints was similar for both groups. Thereafter, recovery stabilized in the (R) group, whereas in the (I) group it increased until

**Vonk 2009** (Continued)

follow-up at 26 weeks. The pattern of recovery in daily functioning was similar in both groups. No significant differences between treatments were found.

Secondary outcomes were physical and psychological measurements. For the physical outcomes, no significant differences were found between the two groups at any time point of measurement. However, for the severity of the main complaint, pain severity, and impediment, both treatments showed a clinically significant improvement (> 2 points), which was maintained until 52 weeks follow-up and was even enhanced for impediment. For the psychosocial outcomes the (I) group showed significantly higher improvements compared to (R) only for catastrophising and pain self-efficacy at the end of the treatment period (9 weeks), and for pain self-efficacy at 26 weeks of follow-up. All other secondary measures were not significantly different.

## Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Low risk	Quote: "An independent examiner using a computer-generated randomisation schema performs randomisation."
Allocation concealment (selection bias)	Low risk	Quote: "An independent examiner using a computer-generated randomisation schema performs randomisation."  Comment: Randomisation was performed by an independent examiner.
Blinding of participants	High risk	Although authors wrote that the "patients were blinded for treatment allocation", due to the nature of the intervention patients could not be considered as blinded.
Blinding of personnel/ care providers (performance bias)	High risk	Quote in Vonk 2004: "The physiotherapists are not blinded for allocation, but the physiotherapists from each treatment group are kept strictly separate and are not involved in the outcome measurement"; Quote in Vonk 2009: "Physiotherapists were not blinded but were not involved in the outcome measurement."
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected and participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	High risk	21% dropouts at 9 weeks; 31% dropouts at 52 weeks.
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	Quote: "Baseline demographics and patient characteristics were well balanced between the two groups."
Cointerventions (performance bias)	Low risk	Quote: "We also examined medicine use, number of side effects (e.g. headache, dizziness, etc.) and additional treatments used, all as reported by the patients...No differences between treatments were found."  Comment: Co-interventions were similar between groups.
Compliance (performance bias)	Unclear risk	Quote: "The therapist decided the number of treatments but the patient also had the option to stop treatment. The mean number of treatments received was 6.6 (3.0) in BGA and 11.2 (4.1) in CE."

**Vonk 2009** (Continued)

Comment: Not sufficient information to judge.

Intention-to-treat-analysis	Low risk	Quote: "All analyses were carried out according to the intention-to-treat principle."
Timing of outcome assessments (detection bias)	Low risk	Quote: "Outcome of intervention will be assessed at 4 and 9 weeks after randomisation; however, if the treatment is not finished at 9 weeks, the patients will receive an additional questionnaire...after finishing the treatment. Follow-up assessments are planned at 26 and 52 weeks after randomization."

**Wicksell 2008**
**Study characteristics**

Methods	RCT, randomly assigned with "sealed envelope containing a code for intervention or control"
Participants	21 patients, one participant in the (R) group withdrew from the study and was excluded from the treatment evaluations. Participants mean age $\pm$ SD in (I): $48.2 \pm 7.8$ years; (R): $55.1 \pm 11.2$ years. People older than 20 years who reported being diagnosed with WAD and with pain duration of more than 3 months were recruited. Exclusion criteria were: pain correlated with an identified pathological process other than whiplash, coexisting psychiatric or psychosocial issues that were considered more relevant, having a reduced proficiency in speaking Swedish, suffering from major cognitive dysfunctions, and currently participating in another rehabilitation program based on CBT.
Interventions	<p>CBT treatment (I): 10 individual sessions (60 minutes each) over a period of 8 weeks; eight sessions were conducted by psychologists and two by a physician specializing in pain. The objective of CBT treatment was to improve the patients' functioning by increasing psychological flexibility and through the following steps: pain education, values assessment, shifting perspective, exposure, acceptance and defusion (n = 11).</p> <p>Reference treatment (R): waiting list controls (n = 10).</p>
Outcomes	<p>Primary outcome measures were: PDI and SWLS; there was a significant difference in PDI between the conditions in favour of the (I) group (F(1,16) 12.6, P value 0.003). The subsequent analysis of the (I) group showed an improvement over time. For SWLS, the (I) group improved significantly more than the (R) group (F(1,16) 10.1, P value 0.006). The separate analysis of the treatment group, including the 7 months follow-up assessment, showed a statistically significant improvement (F(3,30) 11.1, P value &lt; 0.001).</p> <p>Secondary outcome variables were the TSK, the IES, and the HADS. In addition, pain intensity and interference were also assessed. For TSK, the comparison between the conditions revealed a significant difference in favour of the (I) group. The separate analysis of the treatment group did not reach significance. For IES, the (I) group improved more than the (R) group, but differences between the groups just failed to reach significance. The analysis of the treatment group, however, illustrated a significant improvement over time. Although an improvement could be seen for the (I) group on HADS - Anxiety, neither the difference between the groups, nor the analysis of the treatment group over time reached significance. For HADS - Depression, a significant difference between groups, as well as significant improvements within the (I) group across time were seen. No change in pain intensity was obtained in any of the groups. With regard to pain interference, the (I) group performed better, although the difference seen between groups was just above significance. The analysis of treatment effects over time reached significance. Results on the PIPS Avoidance subscale showed a statistically significant difference between groups in favour of the (I) group (F(1,16) 24.6, P value &lt; 0.001). Similarly, a significant improvement was seen in the repeated measures analysis for the treatment group, F(3,30) 27.5, P value &lt; .001). In addition, post hoc analyses illustrated that the effects seen in (I) were explained by the differences between pre- and post treatment assessments (t(10) 8.82, P value &lt; 0.01). The PIPS Fusion subscale showed a difference between the groups (F(1,16) 8.2, P value 0.011). Further analyses demonstrated significant improvements over time for (I) (F(3,30) 7.3, P value 0.007).</p>

**Wicksell 2008** (Continued)

Notes

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Random sequence generation (selection bias)	Unclear risk	<p>Quote: "A simple randomization technique was used with the 22 participants as a single block. After inclusion, a sealed envelope (prepared by a secretary who was unaware of the objective of the study) containing a code for "intervention" or "control" was opened, assigning the participant to either the treatment or the wait-list condition."</p> <p>Comment: it is not described how the random sequence was generated.</p>
Allocation concealment (selection bias)	Low risk	<p>Quote: "A simple randomization technique was used with the 22 participants as a single block. After inclusion, a sealed envelope (prepared by a secretary who was unaware of the objective of the study) containing a code for "intervention" or "control" was opened, assigning the participant to either the treatment."</p>
Blinding of participants	High risk	No mention about blinding but blinding of participants is not feasible due to the nature of intervention.
Blinding of personnel/ care providers (performance bias)	Unclear risk	Not described.
Blinding of outcome assessment (detection bias) self-reported measures	High risk	Self-reported outcome measures were collected with the knowledge of the intervention received since participants were not blinded.
Incomplete outcome data (attrition bias) All outcomes	Low risk	<p>Quote: "During the course of treatment, one participant in the control group dropped out of the study."</p> <p>Comment: Drop-out rate of 5% and 10% after the treatment phase (2 months) and at 4-month follow-up respectively.</p>
Selective reporting (reporting bias)	Low risk	All of the outcome measures described in the Methods section are reported in the Results section.
Group similarity at baseline (selection bias)	Low risk	<p>Quote: "Also, the treatment and control groups were comparable on all outcome and process measures at pretreatment assessments."</p> <p>Comment: No differences between the two groups were observed at baseline (Table 1).</p>
Cointerventions (performance bias)	High risk	<p>Quote: "An add-on design was adopted, meaning that all participants received TAU (e.g. medication, acupuncture, physiotherapy, naprapathy, osteopathy) during the course of the study."</p> <p>Comment: The type of co-intervention was not controlled by the study design.</p>
Compliance (performance bias)	Unclear risk	Not described.
Intention-to-treat-analysis	Low risk	Quote: "Intent-to-treat analysis (i.e. including the participant who dropped out from the control group) did not reveal any difference in results."

**Wicksell 2008** (Continued)

Timing of outcome assessments (detection bias)      High risk

Quote: "After randomization, all participants completed questionnaires and daily ratings 1 week before treatment, immediately after the treatment phase (2 months after pretreatment assessments), and 4 months after the end of the treatment phase. In addition, the treatment group was assessed 7 months after the end of treatment."

Comment: One of the two groups did not perform follow-up assessment at 7 months.

RCT: randomised controlled trial  
 WAD: whiplash associated disorders  
 PTSD: post traumatic stress disorder  
 NP: neck pain  
 CBT: cognitive-behavioural therapy  
 I: intervention treatment  
 R: reference treatment  
 NDI: Neck Disability Index  
 MVC: motor vehicle collision  
 CSQ: Coping Strategies Questionnaire  
 FABQ: Fear-Avoidance Beliefs Questionnaire  
 HADS: Hospital Anxiety and Depression Scale  
 SF-36: Short-Form Health Survey Questionnaire  
 TSK: Tampa Scale for Kinesiophobia  
 AR: Applied Relaxation  
 TAU: Treatment As Usual  
 SD: standard deviation  
 T2: post-treatment  
 T3: first follow-up  
 PT: physiotherapy alone  
 NSAID: nonsteroidal anti-inflammatory drugs  
 PTcb: physiotherapy plus cognitive-behavioural  
 T1: before treatment  
 QTF: Quebec Task Force  
 IM: intramuscular  
 T4: second follow-up  
 NPDS: Neck Pain and Disability Scale  
 NRS: numerical rating scale  
 VAS: visual analogue scale  
 GPE: Global Perceived Effect  
 4 DSQ: 4 Dimensions of Psychological Symptomatology Questionnaire  
 BGA: behavioural graded activity program  
 MT: manual therapy  
 WAD: whiplash-associated disorders  
 PFactS-C: Pictorial Fear of Activities Scale - Cervical  
 IB: Informational Booklet  
 TENS: transcutaneous electric nerve stimulation  
 PDI: Pain Disability Index  
 ROM: range of motion  
 SES: Self-Efficacy Scale  
 CE: conventional exercise  
 SWLS: Satisfaction with Life Scale  
 IES: Impact of Event Scale  
 PIPS: Psychological Inflexibility in Pain Scale

**Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
Andersen 2008	The procedure employed was not considered a true cognitive-behavioural treatment as a general health counselling was delivered.

Study	Reason for exclusion
Andersen 2012	The procedure employed was not considered a true cognitive-behavioural treatment as a general health counselling (including information on diet, smoking, alcohol, physical exercise, workplace ergonomics, and indoor climate) was delivered.
Aslan Telci 2012	The procedure employed was not considered a true cognitive-behavioural treatment because subjects received an active home-based treatment including exercises and advice.
Bablis 2008	The procedure employed was not considered a true cognitive-behavioural treatment as subjects were engaged in a neuro-emotional technique for the treatment of trigger point sensitivity.
Bergstrom 2012	Mixed patient population with non-specific spinal pain. Unable to distinguish results for neck pain patients separately.
Bernaards 2008	The procedure employed was not considered a true cognitive-behavioural treatment as a group-based interactive work style intervention aimed at improving work style behaviours was employed.
Bissett 1985	The procedure employed was not considered a true cognitive-behavioural treatment as the subjects received electromyography biofeedback mediated muscle relaxation.
Bronfort 2012	The procedure employed was not considered a true cognitive-behavioural treatment as complementary and alternative medicine were delivered.
Bunketorp 2006	The procedure employed was not considered a true cognitive-behavioural treatment as the subjects received general health counselling.
Busch 2011	Mixed patient population with non-specific spinal pain. Unable to distinguish results for neck pain patients separately.
Cramer 2012	The procedure employed was not considered a true cognitive-behavioural treatment as Yoga techniques were used.
Cramer 2013	The procedure employed was not considered a true cognitive-behavioural treatment as Yoga techniques were used.
Cramer 2013a	The procedure employed was not considered a true cognitive-behavioural treatment as Yoga techniques were used.
Dehner 2009	The procedure was not considered a true cognitive-behavioural treatment as physical techniques including application of moist heat, classic massage, electrotherapy, soft-tissue treatment, trigger point treatment, joint mobilisation were used.
Ehrenborg 2010	Mixed patient population with chronic neck and shoulder pain after whiplash. Unable to distinguish results for neck pain patients separately.
Gale 2002	Mixed patient population with chronic head and neck pain. Unable to distinguish results for neck pain patients separately.
Gustavsson 2010	The procedure was not considered a true cognitive-behavioural treatment because the subjects were engaged in a stress self-management group intervention.
Gustavsson 2011	The procedure was not considered a true cognitive-behavioural treatment because the subjects were engaged in a stress self-management group intervention.
Haugli 2003	Mixed patients population with localized and generalized chronic musculoskeletal pain. Unable to distinguish results for neck pain patients separately.



Study	Reason for exclusion
Jensen 1995	Mixed population. Unable to distinguish results for chronic neck pain patients separately.
Jensen 1997	Mixed patient population with chronic neck and low-back pain. Unable to distinguish results for neck pain patients separately.
Jensen 1998	Mixed patient population with chronic neck and low-back pain. Unable to distinguish results for neck pain patients separately.
Jensen 2005	Mixed patient population with chronic neck and low-back pain. Unable to distinguish results for neck pain patients separately.
Jorgensen 2011	Mixed patient population with neck, shoulder, and low-back pain. Unable to distinguish results for neck pain patients separately.
Klaber 2005	Mixed patient population with sub-acute and chronic neck pain. Unable to distinguish results for neck pain patients separately.
Klaber 2006	Mixed patient population with sub-acute and chronic neck pain and back pain. Unable to distinguish results for neck pain patients separately.
Lindell 2008	Mixed patient population with sub-acute and chronic neck and back pain. Unable to distinguish results for neck pain patients separately.
Linton 2000	Mixed patient population with acute and subacute spinal pain. Unable to distinguish results for neck pain patients separately.
Linton 2001	The study included patients with acute or subacute spinal pain who perceived that they were at risk for developing a chronic problem.
Manca 2007	Mixed patient population with neck and low-back pain. Unable to distinguish results for neck pain patients separately.
Marhold 2001	Mixed patient population with neck and low-back pain. Unable to distinguish results for neck pain patients separately.
McLean 2013	Mixed patient population with sub-acute and chronic neck pain. Unable to distinguish results for neck pain patients separately.
Michalsen 2012	The procedure employed was not considered a true cognitive-behavioural treatment as Yoga techniques were used.
Mongini 2012	The procedure employed was not considered a true cognitive-behavioural treatment as a simple educational intervention was delivered.
Persson 2001	The procedure employed was not considered a true cognitive-behavioural treatment as physical interventions including physiotherapy and collars were used.
Salo 2012	The procedure employed was not considered a true cognitive-behavioural treatment as physical and educational programs were delivered.
Scholten-Peeters 2006	The procedure employed was not considered a true cognitive-behavioural treatment because education and advice were delivered.
Skillgate 2007	The procedure employed was not considered a true cognitive-behavioural treatment as advice on how to cope with pain were delivered.

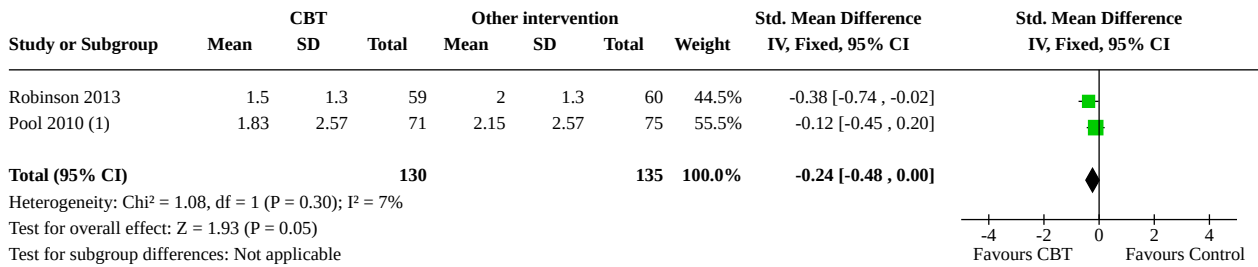
Study	Reason for exclusion
<a href="#">Skillgate 2010</a>	The procedure employed was not considered a true cognitive-behavioural treatment because the subjects were engaged in naprapathic manual therapy.
<a href="#">Soderlund 2000</a>	Mixed patient population with acute whiplash-associated disorders. Unable to distinguish results for neck pain patients separately.
<a href="#">Song 2012</a>	The procedure employed was not considered a true cognitive-behavioural treatment because active exercises including stability, strengthening, and proprioceptive training along with an educational program were delivered.
<a href="#">Stewart 2007</a>	The procedure employed was not considered a true cognitive-behavioural treatment as subjects received standardised education, reassurance, and encouragement to resume light activity.
<a href="#">Storro 2004</a>	Mixed patient population with neck and shoulder pain. Unable to distinguish results for neck pain patients separately.
<a href="#">Tan 2009</a>	Mixed patient population with chronic pain. Unable to distinguish results for neck pain patients separately.
<a href="#">Viljanen 2003</a>	The procedure employed was not considered a true cognitive-behavioural treatment as only relaxation techniques were used.
<a href="#">Yin 2006</a>	Patients were not affected by chronic or sub-acute neck pain.
<a href="#">Ylinen 2003</a>	The procedure employed was not considered a true cognitive-behavioural treatment as strength and endurance training were delivered.
<a href="#">Yogitha 2010</a>	The procedure employed was not considered a true cognitive-behavioural treatment because a Yoga-like technique called mind sound resonance was delivered.
<a href="#">Yogitha 2012</a>	The procedure employed was not considered a true cognitive-behavioural treatment as Yoga techniques were used.
<a href="#">Zebis 2011</a>	The procedure employed was not considered a true cognitive-behavioural treatment as subjects were engaged in cervical strength training at high-intensity.

## DATA AND ANALYSES

### Comparison 1. CBT versus other types of treatment (subacute NP)

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
<a href="#">1.1 Pain (short-term follow-up)</a>	2	265	Std. Mean Difference (IV, Fixed, 95% CI)	-0.24 [-0.48, 0.00]
<a href="#">1.2 Disability (short-term follow-up)</a>	2	265	Std. Mean Difference (IV, Fixed, 95% CI)	-0.12 [-0.36, 0.12]

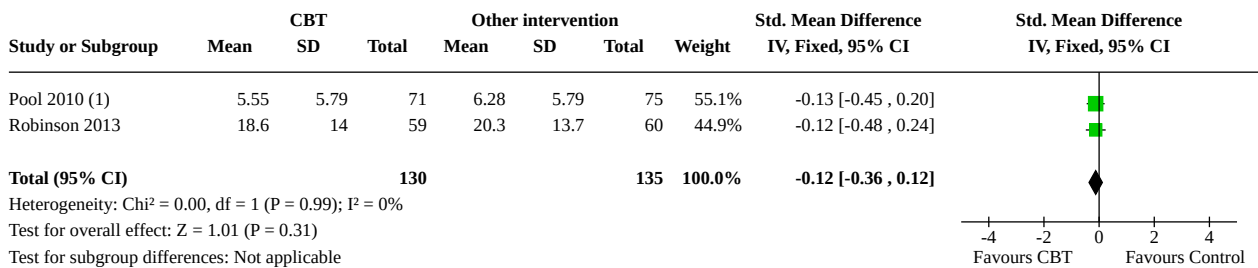
**Analysis 1.1. Comparison 1: CBT versus other types of treatment (subacute NP), Outcome 1: Pain (short-term follow-up)**



**Footnotes**

(1) Standard deviations was derived from 95% confidence intervals that relate to the differences between means in the two groups.

**Analysis 1.2. Comparison 1: CBT versus other types of treatment (subacute NP), Outcome 2: Disability (short-term follow-up)**



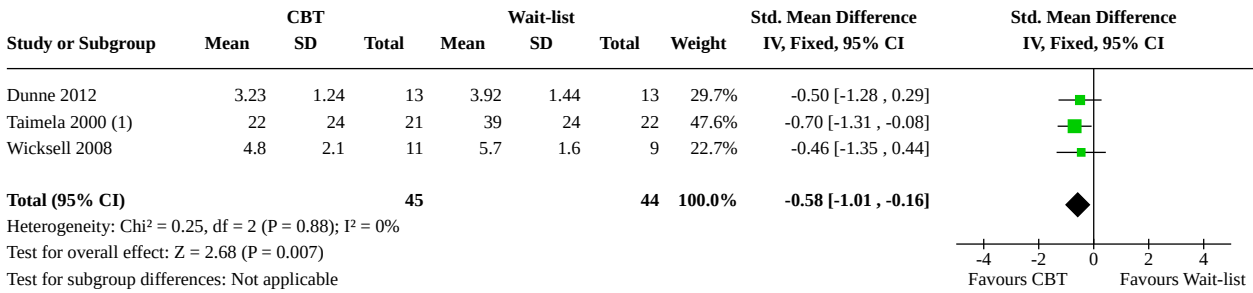
**Footnotes**

(1) Standard deviations was derived from 95% confidence intervals that relate to the differences between means in the two groups.

**Comparison 2. CBT versus no treatment (chronic NP)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
2.1 Pain (short-term follow-up)	3	89	Std. Mean Difference (IV, Fixed, 95% CI)	-0.58 [-1.01, -0.16]
2.2 Disability (short-term follow-up)	2	46	Std. Mean Difference (IV, Fixed, 95% CI)	-0.61 [-1.21, -0.01]
2.3 Kinesiophobia (short-term follow-up)	2	46	Mean Difference (IV, Random, 95% CI)	-6.69 [-13.91, 0.53]
2.4 Distress (short-term follow-up)	2	46	Std. Mean Difference (IV, Fixed, 95% CI)	-0.41 [-0.99, 0.18]
2.5 Quality of life (short-term follow-up)	2	46	Std. Mean Difference (IV, Fixed, 95% CI)	-0.93 [-1.54, -0.31]

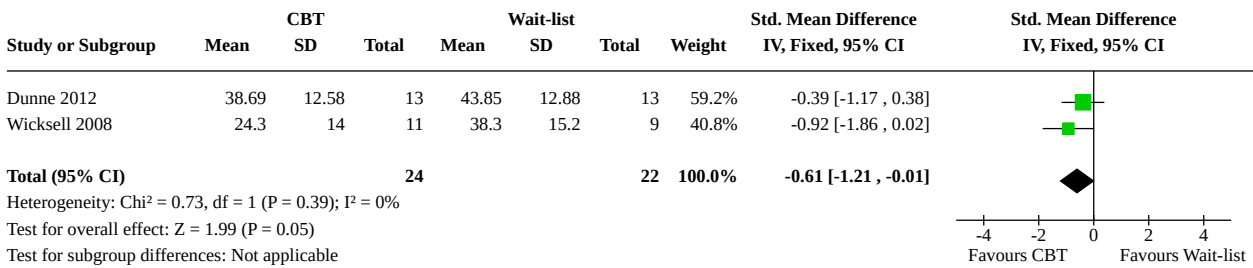
**Analysis 2.1. Comparison 2: CBT versus no treatment (chronic NP), Outcome 1: Pain (short-term follow-up)**



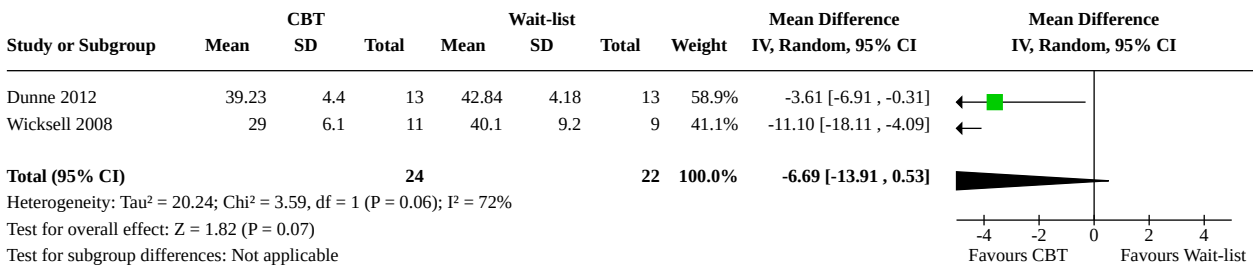
**Footnotes**

(1) The values of SD of the two groups have been derived from the SD of all groups combined at 12-month follow-up.

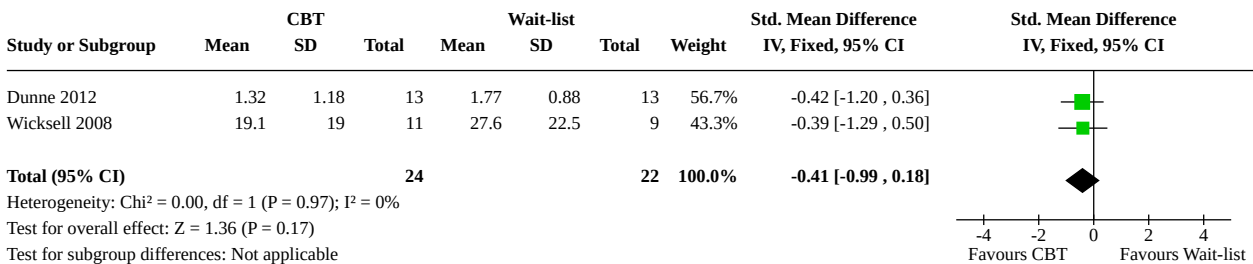
**Analysis 2.2. Comparison 2: CBT versus no treatment (chronic NP), Outcome 2: Disability (short-term follow-up)**






**Analysis 2.3. Comparison 2: CBT versus no treatment (chronic NP), Outcome 3: Kinesiophobia (short-term follow-up)**



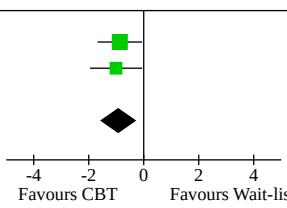
**Analysis 2.4. Comparison 2: CBT versus no treatment (chronic NP), Outcome 4: Distress (short-term follow-up)**



**Analysis 2.5. Comparison 2: CBT versus no treatment (chronic NP), Outcome 5: Quality of life (short-term follow-up)**

Study or Subgroup	CBT			Wait-list			Weight	Std. Mean Difference IV, Fixed, 95% CI	Std. Mean Difference IV, Fixed, 95% CI
	Mean	SD	Total	Mean	SD	Total			
Dunne 2012 (1)	-60	22.31	13	-40.46	21.15	13	57.7%	-0.87 [-1.68, -0.06]	
Wicksell 2008	-23.7	6.2	11	-17.9	4.5	9	42.3%	-1.01 [-1.96, -0.06]	
<b>Total (95% CI)</b>			<b>24</b>			<b>22</b>	<b>100.0%</b>	<b>-0.93 [-1.54, -0.31]</b>	

Heterogeneity: Chi<sup>2</sup> = 0.05, df = 1 (P = 0.83); I<sup>2</sup> = 0%  
 Test for overall effect: Z = 2.96 (P = 0.003)  
 Test for subgroup differences: Not applicable



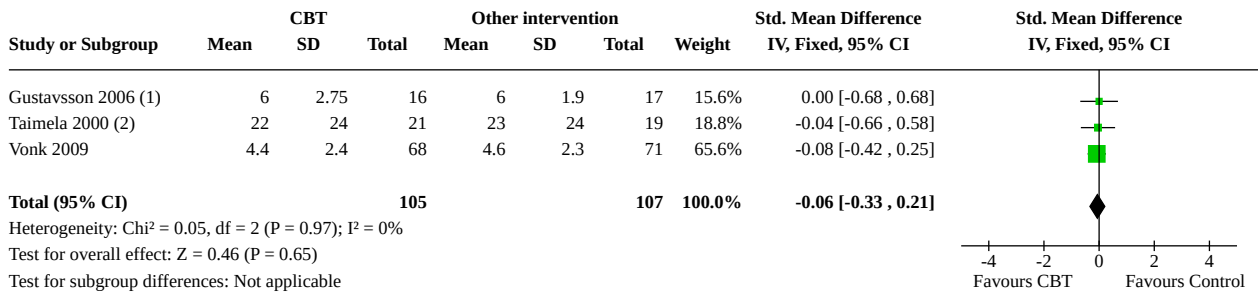
**Footnotes**

(1) The General Health sub-scale of SF-36 has been reported.

**Comparison 3. CBT versus other types of treatment (chronic NP)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
3.1 Pain (short-term follow-up)	3	212	Std. Mean Difference (IV, Fixed, 95% CI)	-0.06 [-0.33, 0.21]
3.2 Pain (intermediate-term follow-up)	2	168	Mean Difference (IV, Random, 95% CI)	-0.89 [-2.73, 0.94]
3.3 Disability (short-term follow-up)	2	168	Std. Mean Difference (IV, Fixed, 95% CI)	-0.10 [-0.40, 0.20]
3.4 Disability (intermediate-term follow-up)	2	168	Std. Mean Difference (IV, Fixed, 95% CI)	-0.24 [-0.54, 0.07]
3.5 Kinesiophobia (intermediate-term follow-up)	2	168	Std. Mean Difference (IV, Fixed, 95% CI)	-0.39 [-0.69, -0.08]
3.6 Depression (short-term follow-up)	2	168	Std. Mean Difference (IV, Fixed, 95% CI)	-0.43 [-0.74, -0.12]
3.7 Depression (intermediate-term follow-up)	2	168	Std. Mean Difference (IV, Fixed, 95% CI)	-0.29 [-0.60, 0.01]
3.8 Coping (short-term follow-up)	2	168	Std. Mean Difference (IV, Random, 95% CI)	-0.28 [-0.72, 0.16]
3.9 Coping (intermediate-term follow-up)	2	168	Std. Mean Difference (IV, Random, 95% CI)	-0.07 [-0.84, 0.71]

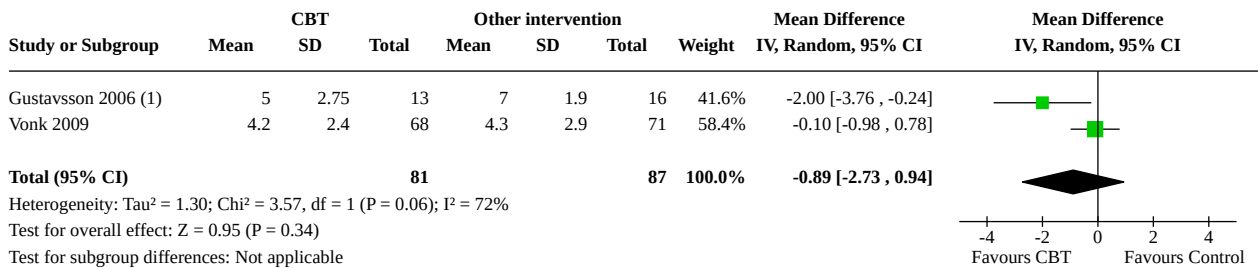
**Analysis 3.1. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 1: Pain (short-term follow-up)**



**Footnotes**

- (1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.
- (2) The values of SD of the two groups have been derived from the SD of all groups combined at 12-month follow-up.

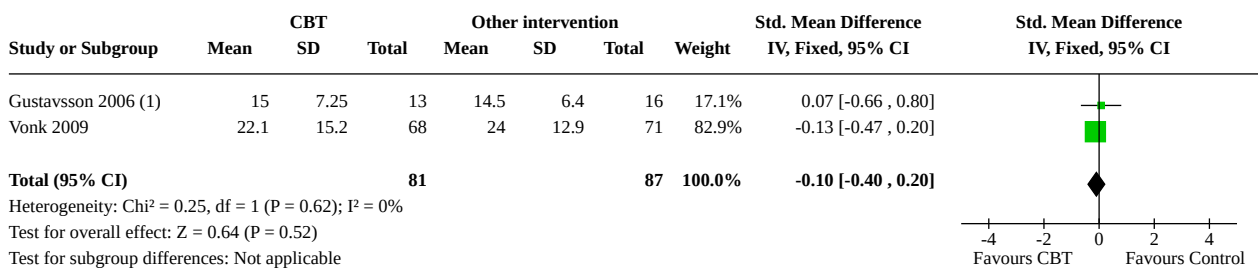
**Analysis 3.2. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 2: Pain (intermediate-term follow-up)**



**Footnotes**

- (1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

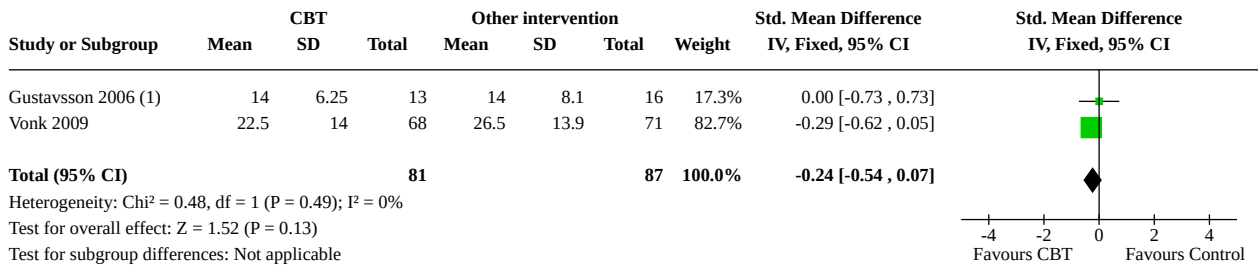
**Analysis 3.3. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 3: Disability (short-term follow-up)**



**Footnotes**

- (1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

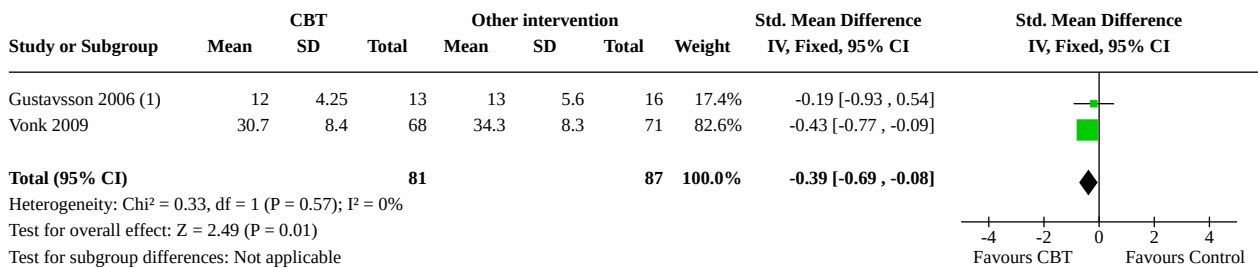
**Analysis 3.4. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 4: Disability (intermediate-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

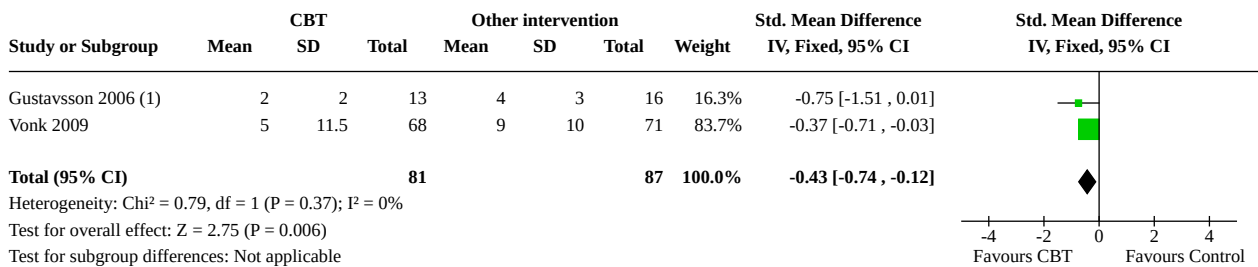
**Analysis 3.5. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 5: Kinesiophobia (intermediate-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

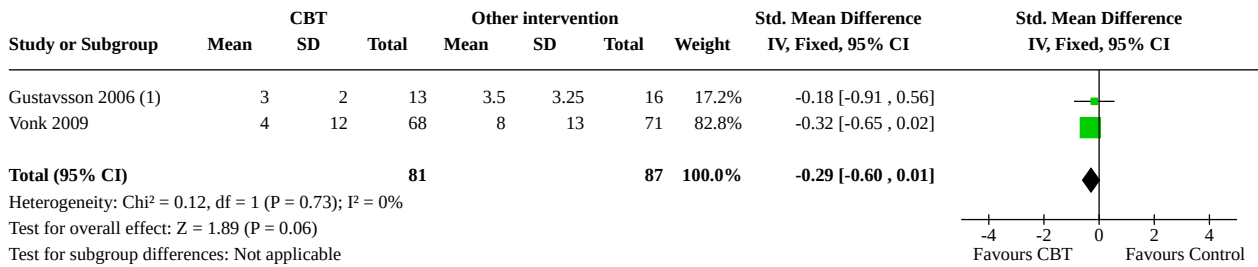
**Analysis 3.6. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 6: Depression (short-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

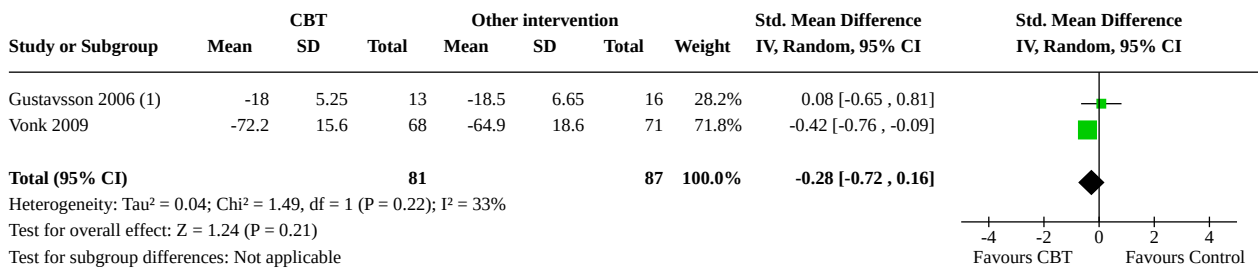
**Analysis 3.7. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 7: Depression (intermediate-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

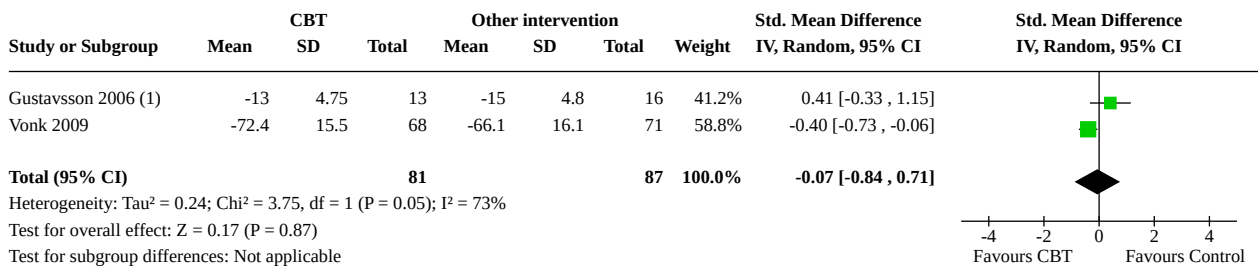
**Analysis 3.8. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 8: Coping (short-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

**Analysis 3.9. Comparison 3: CBT versus other types of treatment (chronic NP), Outcome 9: Coping (intermediate-term follow-up)**



**Footnotes**

(1) Median value as been considered as mean value; SD has been estimated as half of the interquartile range.

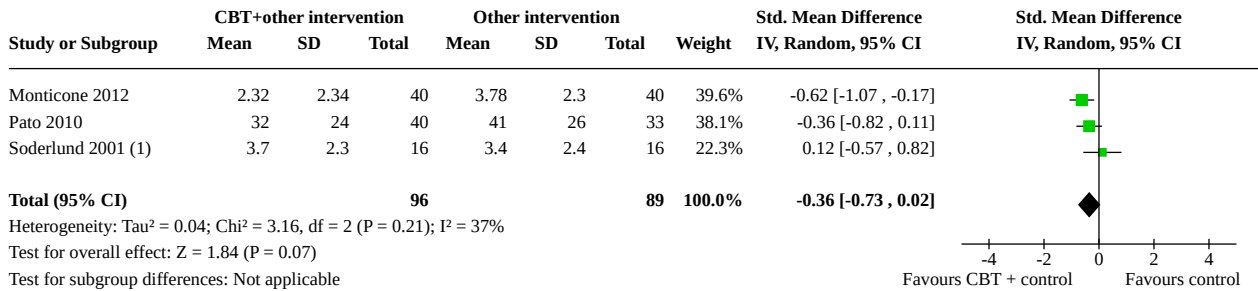
**Comparison 4. CBT in addition to another intervention versus the other intervention alone (chronic NP)**

Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
4.1 Pain (short-term follow-up)	3	185	Std. Mean Difference (IV, Random, 95% CI)	-0.36 [-0.73, 0.02]



Outcome or subgroup title	No. of studies	No. of participants	Statistical method	Effect size
4.2 Disability (short-term follow-up)	3	185	Std. Mean Difference (IV, Random, 95% CI)	-0.10 [-0.56, 0.36]

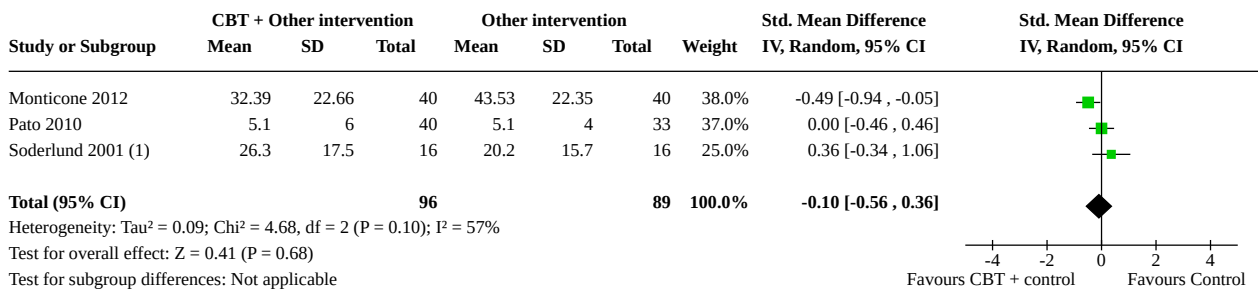
**Analysis 4.1. Comparison 4: CBT in addition to another intervention versus the other intervention alone (chronic NP), Outcome 1: Pain (short-term follow-up)**



**Footnotes**

(1) Scores at 3-month follow-up have been reported.

**Analysis 4.2. Comparison 4: CBT in addition to another intervention versus the other intervention alone (chronic NP), Outcome 2: Disability (short-term follow-up)**



**Footnotes**

(1) Scores at 3-month follow-up have been reported.

**ADDITIONAL TABLES**

**Table 1. Table 1. Results of clinical relevance assessment**

Study	Patients	Interventions	Relevant outcomes	Size of effect	Benefit/Harms
Dunne 2012	Y	Y	Y	N	Y
Gustavsson 2006	Y	Y	Y	N	Y
Monticone 2012	Y	Y	Y	N	Y

**Table 1. Table 1. Results of clinical relevance assessment** *(Continued)*

Pato 2010	Y	Y	Y	N	Y
Pool 2010	Y	Y	Y	N	Y
Robinson 2013	Y	Y	Y	N	Y
Soderlund 2001	Y	Y	Y	N	Y
Taimela 2000	Y	Y	Y	N	Y
Vonk 2009	Y	Y	Y	N	Y
Wicksell 2008	Y	N	Y	N	Y

## APPENDICES

### Appendix 1. Search strategies

#### CENTRAL

Last searched November 20, 2014

#1 MeSH descriptor: [Neck Pain] explode all trees

#2 neck pain:ti,ab,kw (Word variations have been searched)

#3 MeSH descriptor: [Brachial Plexus Neuritis] explode all trees

#4 cervico brachial neuralgia:ti,ab,kw (Word variations have been searched)

#5 MeSH descriptor: [Headache] this term only

#6 Headache:ti,ab,kw (Word variations have been searched)

#7 Cervicogenic headache:ti,ab,kw (Word variations have been searched)

#8 Neckache:ti,ab,kw (Word variations have been searched)

#9 Cervicalgia:ti,ab,kw (Word variations have been searched)

#10 MeSH descriptor: [Spondylosis] explode all trees

#11 MeSH descriptor: [Spondylolisthesis] explode all trees

#12 spondylosis or spondylolysis or spondylolisthesis:ti,ab,kw (Word variations have been searched)

#13 MeSH descriptor: [Spinal Osteophytosis] explode all trees

#14 MeSH descriptor: [Intervertebral Disc Degeneration] explode all trees

#15 MeSH descriptor: [Intervertebral Disc Displacement] explode all trees

#16 MeSH descriptor: [Ossification of Posterior Longitudinal Ligament] explode all trees

#17 MeSH descriptor: [Whiplash Injuries] explode all trees

#18 whiplash:ti,ab,kw (Word variations have been searched)

#19 Cervical Pain:ti,ab,kw (Word variations have been searched)

#20 Cervicodynia:ti,ab,kw (Word variations have been searched)

**Cognitive-behavioural treatment for subacute and chronic neck pain (Review)**

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- #21 Brachialgia:ti,ab,kw (Word variations have been searched)
- #22 brachial plexus neuritis:ti,ab,kw (Word variations have been searched)
- #23 rachialgia:ti,ab,kw (Word variations have been searched)
- #24 MeSH descriptor: [Radiculopathy] explode all trees
- #25 MeSH descriptor: [Polyradiculopathy] explode all trees
- #26 MeSH descriptor: [Neck Injuries] explode all trees
- #27 neck injur\*:ti,ab,kw (Word variations have been searched)
- #28 MeSH descriptor: [Torticollis] explode all trees
- #29 MeSH descriptor: [Cervical Rib Syndrome] explode all trees
- #30 #1 or #2 or #3 or #4 or #5 or #6 or #7 or #8 or #9 or #10 or #11 or #12 or #13 or #14 or #15 or #16 or #17 or #18 or #19 or #20 or #21 or #22 or #23 or #24 or #25 or #26 or #27 or #28 or #29
- #31 MeSH descriptor: [Behavior Therapy] explode all trees
- #32 behavior therapy:ti,ab,kw (Word variations have been searched)
- #33 cognitive behav\*:ti,ab,kw (Word variations have been searched)
- #34 MeSH descriptor: [Conditioning, Operant] explode all trees
- #35 operant conditioning:ti,ab,kw (Word variations have been searched)
- #36 respondent treatment:ti,ab,kw (Word variations have been searched)
- #37 behavioral therapy:ti,ab,kw (Word variations have been searched)
- #38 cognitive therapy:ti,ab,kw (Word variations have been searched)
- #39 cognitive treatment:ti,ab,kw (Word variations have been searched)
- #40 behavior treatment:ti,ab,kw (Word variations have been searched)
- #41 relaxation:ti,ab,kw (Word variations have been searched)
- #42 graded activity:ti,ab,kw (Word variations have been searched)
- #43 MeSH descriptor: [Reinforcement (Psychology)] explode all trees
- #44 MeSH descriptor: [Psychotherapy, Rational-Emotive] explode all trees
- #45 MeSH descriptor: [Reality Therapy] explode all trees
- #46 CBASP:ti,ab,kw (Word variations have been searched)
- #47 mindfulness:ti,ab,kw (Word variations have been searched)
- #48 functional analytic psychotherapy:ti,ab,kw (Word variations have been searched)
- #49 counseling:ti,ab,kw (Word variations have been searched)
- #50 biofeedback:ti,ab,kw (Word variations have been searched)
- #51 metacognitive therapy:ti,ab,kw (Word variations have been searched)
- #52 #31 or #32 or #33 or #34 or #35 or #36 or #37 or #38 or #39 or #40 or #41 or #42 or #43 or #44 or #45 or #46 or #47 or #48 or #49 or #50 or #51
- #53 #30 and #52 in Trials
- #54 #53 Publication Year from 2013 to 2014, in Trials

**MEDLINE and MEDLINE In-Process & Other Non-Indexed Citations**

Last searched November 20, 2014

1. randomized controlled trial.pt.
2. controlled clinical trial.pt.
3. randomized.ab.
4. placebo.ab,ti.
5. drug therapy.fs.
6. randomly.ab,ti.
7. trial.ab,ti.
8. groups.ab,ti.
9. or/1-8
- 10.(animals not (humans and animals)).sh.
- 11.9 not 10
- 12.Neck Pain/
- 13.neck pain.ti,ab.
- 14.Brachial Plexus Neuritis/
- 15.cervico brachial neuralgia.mp.
- 16.Headache/
- 17.headache.ti,ab.
- 18.Cervicogenic headache.mp.
- 19.neckache.ti,ab.
- 20.cervicalgia.ti,ab.
- 21.Spondylosis/ or Spondylolysis/ or Spondylolisthesis/
- 22.(spondylosis or spondylolysis).mp. or spondylolisthesis.ti,ab. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]
- 23.spinal osteophytosis/
- 24.exp Intervertebral Disc Degeneration/
- 25.exp Intervertebral Disc Displacement/
- 26."Ossification of Posterior Longitudinal Ligament"/
- 27.Whiplash Injuries/
- 28.whiplash.ti,ab.
- 29.cervical pain.ti,ab.
- 30.cervicodynia.ti,ab.
- 31.brachial plexus neuritis.mp.
- 32.rachialgia.ti,ab.
- 33.radiculopathy/
- 34.Polyradiculopathy/
- 35.Neck Injuries/
- 36.neck injur\*.mp.
- 37.Torticollis/
- 38.cervicobrachial neuralgia.ti,ab.
- 39.Cervical Rib Syndrome/
- 40.or/12-39
- 41.Behavior Therapy/
- 42.Cognitive Therapy/
- 43.Relaxation Therapy/
- 44.behavior therapy.ti,ab.
- 45.Conditioning, Operant/
- 46.operant conditioning.ti,ab.
- 47.respondent treatment.ti,ab.
- 48.behavioral therapy.ti,ab.

49.behavioural therapy.ti,ab.  
50.cognitive therapy.ti,ab.  
51.cognitive treatment.ti,ab.  
52.behavior treatment.ti,ab.  
53.relaxation.ti,ab. or Relaxation/  
54.graded activity.ti,ab.  
55."Reinforcement (Psychology)"/  
56.Psychotherapy, Rational-Emotive/  
57.Reality Therapy/  
58.CBASP.mp.  
59.mindfulness.mp.  
60.functional analytic psychotherapy.mp.  
61.counseling.mp.  
62.biofeedback.mp.  
63.metacognitive therapy.mp.  
64.cognitive behav\*.mp.  
65.or/41-64  
66.11 and 40 and 65  
67.limit 66 to yr=2013-2014  
68.limit 66 to ed=20130717-20141120  
69.67 or 68

Neck terms used in the July 2013 strategy. For the November 20th search (above), the MeSH terms Cervicalgia, Cervical pain, Cervicodynia, and Neckache (lines 21, 31, 33 and 19) were removed as they map to the term Neck pain (line 12). Line 35 Brachialgia was also removed as it is not listed as a term in the MeSH database.

12 Neck Pain/  
13 neck pain.ti,ab.  
14 Brachial Plexus Neuritis/  
15 cervico brachial neuralgia.mp.  
16 Headache/  
17 headache.ti,ab.  
18 Cervicogenic headache.mp.  
19 Neckache/  
20 neckache.ti,ab.  
21 Cervicalgia/  
22 cervicalgia.ti,ab.  
23 Spondylosis/ or Spondylolysis/ or Spondylolisthesis/  
24 (spondylosis or spondylolysis).mp. or spondylolisthesis.ti,ab.  
25 spinal osteophytosis/  
26 exp Intervertebral Disc Degeneration/  
27 exp Intervertebral Disc Displacement/  
28 "Ossification of Posterior Longitudinal Ligament"/  
29 Whiplash Injuries/

30 whiplash.ti,ab.  
31 Cervical Pain/  
32 cervical pain.ti,ab.  
33 Cervicodynia/  
34 cervicodynia.ti,ab.  
35 Brachialgia/  
36 brachial plexus neuritis.mp.  
37 rachialgia.ti,ab.  
38 radiculopathy/  
39 Polyradiculopathy/  
40 Neck Injuries/  
41 neck injur\*.mp.  
42 Torticollis/  
43 cervicobrachial neuralgia.ti,ab.  
44 Cervical Rib Syndrome/  
45 or/12-44

**EMBASE**

Last searched November 20, 2014

1 neck pain/  
2 neck pain.ti,ab.  
3 cervicobrachial neuralgia/  
4 cervico brachial neuralgia.ti,ab.  
5 cervicobrachial neuralgia.ti,ab.  
6 headache/  
7 headache.ti,ab.  
8 Cervicogenic headache.mp. or exp secondary headache/  
9 Neckache.mp.  
10 Cervicalgia.mp.  
11 Spondylosis/ or Spondylolysis/ or Spondylolisthesis/  
12 (spondylosis or spondylolysis).mp. or spondylolisthesis.ti,ab. [mp=title, abstract, subject headings, heading word, drug trade name, original title, device manufacturer, drug manufacturer, device trade name, keyword]  
13 spinal osteophytosis.mp.  
14 intervertebral disk degeneration/  
15 intervertebral disk hernia/  
16 ligament calcinosis/

- 17 whiplash injury/
- 18 whiplash.ti,ab.
- 19 Cervical Pain.mp.
- 20 Cervicodynia.mp.
- 21 brachialgia/
- 22 brachial plexus neuritis.mp. or exp brachial plexus neuropathy/
- 23 rachialgia.ti,ab.
- 24 exp radiculopathy/
- 25 neck injury/
- 26 Neck Injur\*.mp.
- 27 torticollis/
- 28 or/1-27
- 29 behavior therapy/
- 30 cognitive therapy/
- 31 cognitive behav\*.mp.
- 32 relaxation training/
- 33 behavior therapy.ti,ab.
- 34 instrumental conditioning/
- 35 operant conditioning.ti,ab.
- 36 respondent treatment.ti,ab.
- 37 behavioral therapy.ti,ab.
- 38 behavioural therapy.ti,ab.
- 39 cognitive therapy.ti,ab.
- 40 cognitive treatment.ti,ab.
- 41 behavior treatment.ti,ab.
- 42 relaxation.ti,ab.
- 43 graded activity.ti,ab.
- 44 reinforcement/
- 45 psychotherapy/
- 46 reality therapy/
- 47 CBASP.mp.
- 48 mindfulness.mp.
- 49 counseling/
- 50 counseling.ti,ab.
- 51 biofeedback.mp.

52 metacognitive therapy.mp.

53 or/29-52

54 28 and 53

55 Clinical Article/

56 exp Clinical Study/

57 Clinical Trial/

58 Controlled Study/

59 Randomized Controlled Trial/

60 Major Clinical Study/

61 Double Blind Procedure/

62 Multicenter Study/

63 Single Blind Procedure/

64 Phase 3 Clinical Trial/

65 Phase 4 Clinical Trial/

66 crossover procedure/

67 placebo/

68 or/55-67

69 allocat\$.mp.

70 assign\$.mp.

71 blind\$.mp.

72 (clinic\$ adj25 (study or trial)).mp.

73 compar\$.mp.

74 control\$.mp.

75 cross?over.mp.

76 factorial\$.mp.

77 follow?up.mp.

78 placebo\$.mp.

79 prospectiv\$.mp.

80 random\$.mp.

81 ((singl\$ or doubl\$ or trebl\$ or tripl\$) adj25 (blind\$ or mask\$)).mp.

82 trial.mp.

83 (versus or vs).mp.

84 or/69-83

85 68 or 84

86 exp animals/ or exp invertebrate/ or animal experiment/ or animal model/ or animal tissue/ or animal cell/ or nonhuman/



87 human/ or normal human/ or human cell/

88 86 and 87

89 86 not 88

90 85 not 89

91 54 and 90

92 limit 91 to yr=2013-2014

93 limit 91 to em=201329-201446

94 92 or 93

Previous RCT filter used in Jul 2013. For the search in November 2014 (above), lines 55 to 59 were removed and line 90 (line 85 above) was changed from "and" to "or".

55 random\$.tw.

56 placebo\$.mp.

57 double-blind.tw.

58 or/55-57

59 54 and 58

60 Clinical Article/

61 exp Clinical Study/

62 Clinical Trial/

63 Controlled Study/

64 Randomized Controlled Trial/

65 Major Clinical Study/

66 Double Blind Procedure/

67 Multicenter Study/

68 Single Blind Procedure/

69 Phase 3 Clinical Trial/

70 Phase 4 Clinical Trial/

71 crossover procedure/

72 placebo/

73 or/60-72

74 allocat\$.mp.

75 assign\$.mp.

76 blind\$.mp.

77 (clinic\$ adj25 (study or trial)).mp.

78 compar\$.mp.

79 control\$.mp.

80 cross?over.mp.

81 factorial\$.mp.

82 follow?up.mp.

83 placebo\$.mp.

84 prospectiv\$.mp.

85 random\$.mp.

86 ((singl\$ or doubl\$ or trebl\$ or tripl\$) adj25 (blind\$ or mask\$)).mp.

87 trial.mp.

88 (versus or vs).mp.

89 or/74-88

90 73 and 89

91 exp animals/ or exp invertebrate/ or animal experiment/ or animal model/ or animal tissue/ or animal cell/ or nonhuman/

92 human/ or normal human/ or human cell/

93 91 and 92

94 91 not 93

95 90 not 94

96 54 and 95

#### **CINAHL**

Last searched November 20, 2014

S79 S77 OR S78 32

S78 S76 AND EM 20130717-20141120

S77 S76 Limiters - Published Date: 20130701-20141131

S76 S28 AND S51 AND S75

S75 S52 OR S53 OR S54 OR S55 OR S56 OR S57 OR S58 OR S59 OR S60 OR S61 OR S62 OR S63 OR S64 OR S65 OR S66 OR S67 OR S68 OR S69 OR S70 OR S71 OR S72 OR S73 OR S74

S74 (MH "Biofeedback")

S73 (MH "Counseling") OR "counseling"

S72 (MH "Counseling") OR "counseling"

S71 "mindfulness"

S70 "CBASP"

S69 (MH "Reality Therapy")

S68 (MH "Reality Therapy")

S67 (MH "Reinforcement (Psychology)")

S66 "graded activity"

S65 "behavior treatment"

S64 "cognitive treatment"  
S63 "cognitive therapy"  
S62 "behavioural therapy"  
S61 "behavioral therapy"  
S60 "respondent treatment"  
S59 "operant conditioning"  
S58 (MH "Conditioning (Psychology)")  
S57 "behavior therapy"  
S56 "cognitive behav\*"  
S55 (MH "Relaxation") OR "relaxation"  
S54 (MH "Simple Relaxation Therapy (Iowa NIC)")  
S53 (MH "Cognitive Therapy") OR (MH "Cognitive Therapy (Iowa NIC) (Non-Cinahl)")  
S52 (MH "Behavior Therapy")  
S51 S29 OR S30 OR S31 OR S32 OR S33 OR S34 OR S35 OR S36 OR S37 OR S38 OR S39 OR S40 OR S41 OR S42 OR S43 OR S44 OR S45 OR S46 OR S47 OR S48 OR S49 OR S50  
S50 "Cervical Rib Syndrome" OR (MH "Cervical Vertebrae")  
S49 (MH "Torticollis")  
S48 "neck injur\*"  
S47 (MH "Neck Injuries")  
S46 (MH "Radiculopathy") OR (MH "Polyradiculopathy")  
S45 "rachialgia"  
S44 "Brachialgia"  
S43 "cervicodynia"  
S42 "Cervical Pain"  
S41 "whiplash"  
S40 (MH "Whiplash Injuries")  
S39 "Ossification of Posterior Longitudinal Ligament"  
S38 (MH "Intervertebral Disk") OR (MH "Intervertebral Disk Displacement")  
S37 (MH "Spinal Osteophytosis")  
S36 (MH "Spondylosis+")  
S35 "cervicalgia"  
S34 "neckache"  
S33 (MH "Headache") OR "Headache"  
S32 "cervico-brachial neuralgia"  
S31 (MH "Brachial Plexus Neuritis")

S30 "neck pain"  
S29 (MH "Neck Pain")  
S28 S26 NOT S27  
S27 (MH "Animals")  
S26 S7 or S12 or S19 or S25  
S25 S20 or S21 or S22 or S23 or S24  
S24 volunteer\*  
S23 prospectiv\*  
S22 control\*  
S21 followup stud\*  
S20 follow-up stud\*  
S19 S13 or S14 or S15 or S16 or S17 or S18  
S18 (MH "Prospective Studies+")  
S17 (MH "Evaluation Research+")  
S16 (MH "Comparative Studies")  
S15 latin square  
S14 (MH "Study Design+")  
S13 (MH "Random Sample")  
S12 S8 or S9 or S10 or S11  
S11 random\*  
S10 placebo\*  
S9 (MH "Placebos")  
S8 (MH "Placebo Effect")  
S7 S1 or S2 or S3 or S4 or S5 or S6  
S6 triple-blind  
S5 single-blind  
S4 double-blind  
S3 clinical W3 trial  
S2 "randomi?ed controlled trial\*"  
S1 (MH "Clinical Trials+")

**PsycINFO**

Last searched November 20, 2014

1. clinical trials/
2. controlled trial.mp.
3. RCT.mp.
4. (Random\$ adj3 trial).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]

5. (clin\$ adj3 trial).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
6. (sing\$ adj2 blind\$).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
7. (doub\$ adj2 blind\$).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
8. placebo.mp. or exp Placebo/
9. latin square.mp.
- 10.(random\$ adj2 assign\$).mp.
- 11.prospective studies/
- 12.(prospective adj stud\$).mp.
- 13.(comparative adj stud\$).mp.
- 14.treatment effectiveness evaluation/
- 15.(evaluation adj stud\$).mp.
- 16.exp Posttreatment Followup/
- 17.follow?up stud\$.mp.
- 18.or/1-17
- 19.neck pain.mp.
- 20.Cervico Brachial Neuralgia.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 21.Headache.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 22.headache/ (3328)
- 23.Cervicogenic headache.mp.
- 24.Neckache.mp.
- 25.Cervicalgia.mp.
- 26.Spondylosis.mp.
- 27.Spondylolysis.mp.
- 28.Spondylolisthesis.mp.
- 29.spinal osteophytosis.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 30.((disc or disk) adj3 (degenerat\$ or displace\$)).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 31.ossification of posterior longitudinal ligament.mp.
- 32.whiplash/
- 33.whiplash.mp.
- 34.Cervical Pain.mp.
- 35.Cervicodynia.mp.
- 36.Brachialgia.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 37.brachial plexus neuritis.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 38.radiculopathy.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 39.polyradiculopathy.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 40.neck injur\$.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 41.torticollis.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 42.Cervical Rib Syndrome.mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 43.or/19-42
- 44.exp behavior therapy/
- 45.cognitive therapy/
- 46.cognitive behav\$.mp.
- 47.exp relaxation therapy/
- 48.(behavio?r adj2 (therapy or treatment)).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 49.(cognitive adj2 (therapy or treatment)).mp. [mp=title, abstract, heading word, table of contents, key concepts, original title, tests & measures]
- 50.relaxation/
- 51.relaxation.mp.
- 52.graded activity.mp.
- 53.exp reinforcement/
- 54.rational emotive behavior therapy/

55.reality therapy/  
 56.CBASP.mp.  
 57.mindfulness/  
 58.exp Analytical Psychotherapy/ or exp "Acceptance and Commitment Therapy"/  
 59.exp Counseling/ or counseling.mp.  
 60.exp Biofeedback/ or biofeedback.mp.  
 61.metacognitive therapy.mp.  
 62.or/44-61  
 63.18 and 43 and 62  
 64.limit 63 to yr=2013-2014

### Scopus

Last searched November 20, 2014

(( TITLE-ABS-KEY ( random\* ) AND SUBJAREA ( mult OR medi OR nurs OR vete OR dent OR heal ) ) OR ( TITLE-ABS-KEY ( placebo\* ) AND SUBJAREA ( mult OR medi OR nurs OR vete OR dent OR heal ) ) OR ( TITLE-ABS-KEY ( group\* ) AND SUBJAREA ( mult OR medi OR nurs OR vete OR dent OR heal ) ) OR ( TITLE-ABS-KEY ( trial\* ) AND SUBJAREA ( mult OR medi OR nurs OR vete OR dent OR heal ) )) AND (( TITLE-ABS-KEY ( neck pain ) AND SUBJAREA ( mult OR medi OR nurs OR vete OR dent OR heal ) ) OR ( TITLE-ABS-KEY-AUTH ( whiplash ) ) OR ( TITLE-ABS-KEY-AUTH ( neck injur\* ) ) OR ( TITLE-ABS-KEY-AUTH ( neck injur\* ) )) AND (( TITLE-ABS-KEY-AUTH ( cognitive behav\* ) ) OR ( TITLE-ABS-KEY-AUTH ( counseling ) ) OR ( TITLE-ABS-KEY-AUTH ( behav\* therapy ) ) OR ( TITLE-ABS-KEY-AUTH ( behavior therapy ) ) OR ( TITLE-ABS-KEY-AUTH ( cognitive therapy ) )) AND ( LIMIT-TO ( PUBYEAR , 2014 ) OR LIMIT-TO ( PUBYEAR, 2013 ) )

### Web of Science

Last searched November 20, 2014

# 5 #3 AND #2 AND #1

Refined by: PUBLICATION YEARS: ( 2013 OR 2014 )

Indexes=SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH Timespan=All years

# 4 #3 AND #2 AND #1

Indexes=SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH Timespan=All years

# 3 TOPIC: (cognitive behav\*) OR TOPIC: (counseling) OR TOPIC: (behav\* therapy) OR TOPIC: (cognitive therapy)

Indexes=SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH Timespan=All years

# 2 TOPIC: (neck pain) OR TOPIC: (whiplash) OR TOPIC: (neck injur\*) OR TOPIC: (cervicogenic headache) OR TOPIC: (spondylosis)

Indexes=SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH Timespan=All years

# 1 TOPIC: (clinical trial\*) OR TOPIC: (research design) OR TOPIC: (comparative stud\*) OR TOPIC: (evaluation stud\*) OR TOPIC: (controlled trial\*) OR TOPIC: (follow-up stud\*) OR TOPIC: (prospective stud\*) OR TOPIC: (random\*) OR TOPIC: (placebo\*) OR TOPIC: ((single blind\*)) OR TOPIC: ((double blind\*))

Indexes=SCI-EXPANDED, SSCI, A&HCI, CPCI-S, CPCI-SSH Timespan=All years

### PubMed

Searched November 21, 2014

((neck pain[Title/Abstract] OR whiplash[Title/Abstract] OR neck injur\*[Title/Abstract] OR cervical radiculopathy[Title/Abstract])) AND (cognitive therapy[Title/Abstract] OR behavior\* therapy[Title/Abstract] OR behaviour\* therapy[Title/Abstract] OR relaxation[Title/Abstract] OR graded activity[Title/Abstract])) AND ((pubstatusaheadofprint OR publisher[sb] or pubmednotmedline[sb]))

### ClinicalTrials.gov

Searched November 21, 2014

Search terms field: (neck pain AND (cognitive OR relaxation OR ``graded activity``))

**WHO ICTRP**

Searched November 21, 2014

Basic search: Cognitive AND neck pain

**Appendix 2. Questions for clinical relevance**

1. Are the participants described in detail so that you can decide whether they are comparable with those that you see in your practice?
2. Are the interventions and treatment settings described well enough so that you can provide the same for your patients?
3. Were all clinically relevant outcomes measured and reported?
4. Is the size of the effect clinically important?
5. Are the likely treatment benefits worth the potential harms?

**Appendix 3. Criteria for assessing risk of bias for internal validity****Random sequence generation (selection bias)*****Selection bias (biased allocation to interventions) due to inadequate generation of a randomised sequence***

Risk of selection bias is low if the investigators describe a random component in the sequence generation process, such as referring to a random number table, using a computer random number generator, coin tossing, shuffling cards or envelopes, throwing dice, drawing lots, minimising (minimisation may be implemented without a random element, and this is considered equivalent to being random).

Risk of selection bias is high if the investigators describe a non-random component in the sequence generation process, such as sequence generated by odd or even date of birth, date (or day) of admission, hospital or clinic record number; or allocation by judgement of the clinician, preference of the participant, results of a laboratory test or a series of tests or availability of the intervention.

**Allocation concealment (selection bias)*****Selection bias (biased allocation to interventions) due to inadequate concealment of allocations before assignment***

Risk of selection bias is low if participants and investigators enrolling participants could not foresee assignment because one of the following, or an equivalent method, was used to conceal allocation: central allocation (including telephone, web-based and pharmacy-controlled randomisation); sequentially numbered drug containers of identical appearance or sequentially numbered, opaque, sealed envelopes.

Risk of bias is high if participants or investigators enrolling participants could possibly foresee assignments and thus introduce selection bias, such as allocation based on using an open random allocation schedule (e.g. a list of random numbers); assignment envelopes used without appropriate safeguards (e.g. if envelopes were unsealed or non-opaque or not sequentially numbered); alternation or rotation; date of birth; case record number or other explicitly unconcealed procedures.

**Blinding of participants*****Performance bias due to knowledge of the allocated interventions by participants during the study***

Risk of performance bias is low if blinding of participants was ensured and it was unlikely that the blinding could have been broken; or if no blinding or incomplete blinding was provided, but the review authors judge that the outcome is not likely to be influenced by lack of blinding.

**Blinding of personnel/care providers (performance bias)*****Performance bias due to knowledge of the allocated interventions by personnel/care providers during the study***

Risk of performance bias is low if blinding of personnel was ensured and it was unlikely that the blinding could have been broken; or if no blinding or incomplete blinding was provided, but the review authors judge that the outcome is not likely to be influenced by lack of blinding.

**Blinding of outcome assessor (detection bias)*****Detection bias due to knowledge of the allocated interventions by outcome assessors***

Risk of detection bias is low if blinding of the outcome assessment was ensured and it was unlikely that the blinding could have been broken; or if no blinding or incomplete blinding was provided, but the review authors judge that the outcome is not likely to be influenced by lack of blinding, or:

- for participant-reported outcomes in which the participant was the outcome assessor (e.g. pain, disability): risk of bias for outcome assessors is low if risk of bias for participant blinding is low (Boutron 2005);
- for outcome criteria that are clinical or therapeutic events that will be determined by the interaction between participants and care providers (e.g. cointerventions, length of hospitalisation, treatment failure), in which the care provider is the outcome assessor: risk of bias for outcome assessors is low if risk of bias for care providers is low (Boutron 2005); and
- for outcome criteria that are assessed from data from medical forms: risk of bias is low if the treatment or adverse effects of the treatment could not be noticed in the extracted data (Boutron 2005).

### **Incomplete outcome data (attrition bias)**

#### ***Attrition bias due to amount, nature, or handling of incomplete outcome data***

Risk of attrition bias is low if no outcome data are missing; reasons for missing outcome data were unlikely to be related to the true outcome (for survival data, censoring unlikely to introduce bias); missing outcome data were balanced in numbers, with similar reasons for missing data across groups; for dichotomous outcome data, the proportion of missing outcomes compared with the observed event risk was not enough to have a clinically relevant impact on the intervention effect estimate; for continuous outcome data, the plausible effect size (difference in means or standardised difference in means) among missing outcomes was not enough to have a clinically relevant impact on observed effect size, or missing data were imputed using appropriate methods (if dropouts are very large, imputation using even "acceptable" methods may still suggest a high risk of bias) (van Tulder 2003). The percentage of withdrawals and dropouts should not exceed 20% for short-term follow-up and 30% for long-term follow-up and should not lead to substantial bias (these percentages are commonly used but arbitrary and are not supported by literature; van Tulder 2003).

### **Selective Reporting (reporting bias)**

#### ***Reporting bias due to selective outcome reporting***

Risk of reporting bias is low if the study protocol is available and all of the study's prespecified (primary and secondary) outcomes that are of interest in the review have been reported in the prespecified way, or if the study protocol is not available, but it is clear that the published reports include all expected outcomes, including those that were prespecified (convincing text of this nature may be uncommon).

Risk of reporting bias is high if not all of the study's prespecified primary outcomes have been reported; one or more primary outcomes are reported using measurements, analysis methods or subsets of the data (e.g. subscales) that were not prespecified; one or more reported primary outcomes were not prespecified (unless clear justification for their reporting is provided, such as an unexpected adverse effect); one or more outcomes of interest in the review are reported incompletely so that they cannot be entered into a meta-analysis; or the study report fails to include results for a key outcome that would be expected to have been reported for such a study.

### **Group similarity at baseline (selection bias)**

#### ***Bias due to dissimilarity at baseline for the most important prognostic indicators***

Risk of bias is low if groups are similar at baseline for demographic factors, value of main outcome measure(s), and important prognostic factors (examples in the field of back and neck pain include duration and severity of complaints, vocational status, and percentage of participants with neurological symptoms; van Tulder 2003).

### **Cointerventions (performance bias)**

#### ***Bias because cointerventions were different across groups***

Risk of bias is low if no cointerventions were provided, or if cointerventions were similar between intervention and control groups (van Tulder 2003).

### **Compliance (performance bias)**

#### ***Bias due to inappropriate compliance with interventions across groups***

Risk of bias is low if compliance with the interventions was acceptable on the basis of reported intensity/dosage, duration, number, and frequency for both index and control intervention(s). For single-session interventions (e.g. surgery), this item is irrelevant (van Tulder 2003).

### **Intention-to-treat-analysis**

Risk of bias is low if all randomly assigned participants were reported/analysed in the group to which they were allocated by randomisation.

### **Timing of outcome assessments (detection bias)**

#### ***Bias because important outcomes were not measured at the same time across groups***

Risk of bias is low if all important outcome assessments for all intervention groups were measured at the same time (van Tulder 2003).



## Other bias

### *Bias due to problems not covered elsewhere*

Risk of bias is low if the study appears to be free of other sources of bias not addressed elsewhere (e.g. study funding).

## WHAT'S NEW

Date	Event	Description
2 May 2024	Amended	Amended to add editorial note.

## HISTORY

Protocol first published: Issue 8, 2013

Review first published: Issue 5, 2015

Date	Event	Description
4 May 2016	Amended	Updated author affiliation.

## CONTRIBUTIONS OF AUTHORS

All authors contributed to draft, review, and edit the protocol and review.

All authors contributed to study selection.

Emilia Ambrosini, Roberta Fiorentini and Maddalena Restelli contributed to data extraction.

Marco Monticone and Emilia Ambrosini contributed to risk of bias assessment.

Marco Monticone and Silvia Gianola contributed to clinical relevance assessment.

Emilia Ambrosini and Lorenzo Moja contributed to data analysis.

All authors approved the final version of the protocol and review.

## DECLARATIONS OF INTEREST

None.

## SOURCES OF SUPPORT

### Internal sources

- Editorial and administrative support of the Cochrane Back Review Group, Canada

### External sources

- No sources of support provided

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

The objectives of the review were expanded to include the three main comparisons that were investigated (CBT versus no treatment; CBT versus other treatment; CBT in addition to treatment).

Since publication of the protocol, we refined the types of intervention included in the review. Simple psychologically-oriented pain management strategies were not considered true cognitive-behavioural treatment. Therefore, studies involving these types of strategies were not considered eligible.

The investigation of clinical heterogeneity among studies was planned in the protocol, but was not carried out in the review due to the scarcity of studies, which did not allow for the performance of subgroup analyses.

### **Planned subgroup analyses and investigation of heterogeneity, as stated in the protocol**

Subgroup analyses would have explored heterogeneity due to study-level variables, such as population source and characteristics, and group-level variables such as CBT characteristics and type.

We would have assessed treatment effect differences according to gender and the particular type of CBT provided (individually designed or group-based designed program); delivery type (in-hospital treatment, home treatment, group supervision, individual supervision, psychologist based, rehabilitative teams based); dose/intensity; inclusion of additional interventions; time of outcome assessment (short-term vs end of follow-up) and specific types of CBT (e.g. cognitive restructuring, imagery, attention diversion, relaxation techniques, operant treatment, pacing, graded exposure). Finally, in a subgroup analysis, we would have explored the possible interaction between treatment effect and the presence/absence of cervical radiculopathy or whiplash injury. Studies (or subgroups of participants within studies when data were stratified separately for participants with and without radiculopathy or whiplash injury) would have been divided into subgroups (e.g. with and without radiculopathy) and the effects of the covariates analysed. Studies mixing participants with and without the strata of interest would have been excluded.

Subgroup analyses would have been carried out when ten or more studies were retrieved in the data collection process, as it is unlikely that the investigation of heterogeneity would produce useful findings unless a substantial number of studies were identified ([Higgins 2011](#)). However, given that we expected to retrieve only a small number of studies, and given the potential value of identifying factors that differentiate between effective and ineffective CBT in terms of improvement in participant outcomes, we planned to try to offer at least a tentative view, with appropriate caveats, of the two characteristics that were most likely to affect success. These characteristics are “type of CBT” and “presence/absence of radiculopathy”, which were selected by the review authors through a consensus approach, with agreement on the two factors judged most important and feasible to extract from published reports.

## **INDEX TERMS**

### **Medical Subject Headings (MeSH)**

Acute Pain [psychology] [\*therapy]; Chronic Pain [psychology] [\*therapy]; Cognitive Behavioral Therapy [\*methods]; Neck Pain [psychology] [\*therapy]; Pain Management [\*methods]; Randomized Controlled Trials as Topic; Selection Bias

### **MeSH check words**

Humans