

## Chronic lymphocytic leukemia - Section 5

# Mechanisms of resistance to targeted therapies in chronic lymphocytic leukemia

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### Take home messages

- Although durable remissions are observed in many patients treated with these agents, including those with poor risk features, it is becoming apparent that some patients will ultimately relapse.
- Potential acquired resistance mechanisms identified to date include *BTK* (C481) and *PLCG2* mutations in patients undergoing treatment with ibrutinib and a recurrent mutation at codon V101 within the *BCL2* gene in patients treated with venetoclax.
- The observation that some patients with acquired clinical resistance have extremely low allelic frequencies of *BTK*, *PLCG2* or *BCL2* mutations or, in some instances are absent for mutations in these genes, indicates that additional (epi)genetic aberrations must play a role in acquired resistance to novel agents.

### Introduction

The exciting shift in the therapeutic management of chronic lymphocytic leukemia (CLL) can largely be attributed to regulatory approval, both in the US and Europe, of 2 oral kinase inhibitors, ibrutinib and idelalisib, as well as the B-cell lymphoma 2 (*BCL2*) antagonist, venetoclax. However, whilst durable remissions are observed in many patients treated with these agents, including those with poor risk features, it is becoming apparent that some patients will ultimately relapse. Understanding the distinct mechanisms driving resistance has the potential to lead to further targeted therapies to combat resistance, as well as the development of rational combinations to prevent the development of resistance.

### Current State-of-the-art

Targeting the BcR pathway with kinase inhibitors: ibrutinib and idelalisib. Ibrutinib and Idelalisib are both orally bioavailable small molecule inhibitors that were approved for marketing back in 2014. Ibrutinib initially received accelerated US FDA approval for patients with relapsed or refractory CLL, but in 2016 the FDA expanded Ibrutinib's use to include treatment-naïve patients based on data emerging from the RESONATE trials indicating prolonged survival for ibrutinib-treated CLL patients in both

the frontline and relapsed setting when compared with standard therapies.<sup>1-3</sup> Idelalisib, in combination with rituximab, is approved for the treatment, of patients with relapsed/refractory CLL or high-risk CLL with defective *TP53* (the latter only in Europe).<sup>4,5</sup> Although both drugs operate as kinase inhibitors, their specific targets differ. Ibrutinib inactivates the Bruton agammaglobulinemia tyrosine kinase (*BTK*) through the formation of an irreversible covalent bond at the cysteine residue (C481), thereby inhibiting B-cell receptor (BCR) signaling and ultimately blocking the proliferation and survival of malignant B-cells.<sup>6,7</sup> Idelalisib reversibly targets phosphatidylinositol 3-kinase delta (*PI3Kδ*) leading to inhibition of *PI3K* signaling and selectively inducing apoptosis in the CLL cells.<sup>4,5</sup> Despite the success of these targeted agents, a subset of patients eventually develop drug resistance. With its widespread use in clinical practice the mechanisms of resistance to ibrutinib are now well characterized whereas definitive mechanisms driving resistance to idelalisib have yet to be described.

Woyach et al used whole-exome sequencing (WES) to investigate the genetic landscape of CLL patients relapsing on ibrutinib and were the first to discover acquired mutations in the *BTK* gene.<sup>8</sup> Functional analyses demonstrated that the *BTK* gene mutations at C481, the ibrutinib binding site, reduce the binding affinity of ibrutinib for *BTK* thus leading to transient inhibition of *BTK*.<sup>8,9</sup> In addition to *BTK* gene mutations, multiple mutations were detected in phospholipase Cg2 (*PLCG2*), a kinase located immediately downstream of *BTK*.<sup>8</sup> Several *PLCG2* mutations have been reported as gain-of-function, reactivating BCR signaling despite inactive *BTK*.<sup>10</sup> Numerous studies have since confirmed that a substantial percentage of patients treated with ibrutinib acquire mutations in these genes and deep-sequencing of baseline samples have revealed the absence of *BTK* mutations prior to ibrutinib therapy, at least at a currently detectable level and within the specific tissue compartments studied, strongly

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**Table 1**

**Potential Recurrent Resistance Mutations Identified in Sequencing Studies of Progressive CLL Patients Undergoing Either Ibrutinib or Venetoclax Treatment.**

| Study                      | Novel agent | No. of BTK mutations detected | No. of PLCG2 mutations detected | No. of patients with sole BTK <sup>mut</sup> | No. of patients with sole PLCG2 <sup>mut</sup> | No. of patients with BTK <sup>mut</sup> & PLCG2 <sup>mut</sup> | No. of patients negative for BTK <sup>mut</sup> & PLCG2 <sup>mut</sup> | BTK <sup>mut</sup> detected        | PLCG2 <sup>mut</sup> detected   |
|----------------------------|-------------|-------------------------------|---------------------------------|--|--|--|--|------------------------------------|---|
| Burger et al <sup>13</sup> | ibrutinib   | 5                             | 4                               | 1 (20%)                                      | 1 (20%)  | 0  | 3 (60%)  | p.C481S                            | p.S707F<br>p.M1141R<br>p.M1141K<br>p.D663H<br>p.R665W*4<br>p.664S<br>p.S707Y<br>p.L845F*2<br>6ntdel <sup>†</sup> *2 |
| Ahn et al <sup>*12</sup>   | ibrutinib   | 10                            | 10                              | 2 (20%)                                      | 1 (10%)  | 5 (50%)  | 2 (20%)  | p.C481S*8<br>p.C481R               |   |
| Kadri et al <sup>14</sup>  | ibrutinib   | 3                             | 0                               | 1 (33%)                                      | 0  | 0  | 2 (67%)  | p.C481S                            | NA  |
| Woyach et al <sup>11</sup> | ibrutinib   | 46                            | 12                              | 33 (72%)                                     | 3 (6.5%)                                       | 4 (8.5%)   | 6 (13%)  | p.C481F*4<br>34 p.C481R<br>p.C481A | p.R665W*4<br>p.S707F*2/Y<br>p.L845/846del<br>p.L845F*2<br>p.D993Y   |

  

| Study                         | Novel agent | Patients with PD | No. of BTG1 mutations detected | No. of BCL2 mutations detected | BTG1 <sup>mut</sup> detected | BCL2 <sup>mut</sup> detected |
|-------------------------------|-------------|------------------|--------------------------------|--------------------------------|------------------------------|------------------------------|
| Herling et al <sup>*19</sup>  | Venetoclax  | 4                | 1 <sup>#</sup>                 | 1/4 (25%)                      | p.O36H                       | NA                           |
| Blombery et al <sup>*20</sup> | Venetoclax  | 15               | 0                              | 7/15 (47%)                     | NA                           | p.G101V*7                    |

PD: Progressive disease; †ntdel details not specified; NA: not applicable; #An additional mutation in BTG1 was detected in the same study but in a patient that had transformed to Richters.

indicating that these mutations are a predominant mechanism underlying resistance to ibrutinib.<sup>11,\*12,13,14</sup> Studies of longitudinal samples have shed light on the acquisition of such mutations revealing that mutations within the BTK and/or PLCG2 gene are often present several months before an overt clinical relapse is observed.<sup>11,\*12,14</sup> Intriguingly, a subset of patients who progress on ibrutinib carry *BTK* mutations in minor subclones and the precise manner in which *BTK/PLCG2* mutations can drive resistance when present at such low allelic burden is not immediately clear.<sup>11,\*12,13,14</sup> Finally, it is noteworthy that a subset of patients relapse on ibrutinib without acquiring mutations in either of these genes. The molecular alterations driving their resistance remain unknown but indicate that additional (epi)genetic aberrations must play a role in acquired resistance to BTK inhibitors.<sup>13</sup>

Despite significant efficacy, disease progression during idelalisib treatment is observed in CLL patients, and the underlying biological mechanisms for such relapses remains largely unknown. A small-scale WES study revealed that no mutations occurred at the drug-binding site, in the PI3K signaling pathway or in any related signaling pathway, indicating that there is no common mutational mechanism or single recurrent mutation that contributes to resistance.<sup>15</sup> Scheffold et al performed in vivo modeling of resistance to PI3K in a mouse model and reported that all the resistant tumors had significant upregulation of genes involved in the integrin receptor complex.<sup>16</sup> These preliminary studies indicate that resistance to Idelalisib may not be mediated by a recurrent mutation but instead stem from dysregulation of survival signaling; however, further research is required to corroborate these findings.

Venetoclax: the first BH3 mimetic to enter clinical routine. Like the aforementioned novel agents, venetoclax, is a first-in-class, highly selective and orally bioavailable small-molecule inhibitor, however, venetoclax is not a kinase inhibitor and instead targets *BCL2*, an apoptotic protein central to the regulation of programmed cell death. Venetoclax restores apoptosis and produces high overall response rates in heavily pretreated, high-risk CLL patients.<sup>17,18</sup> Remarkably, treatment with venetoclax has yielded complete responses in a substantial proportion of patients, a phenomenon generally not observed with PI3K or BTK inhibitors. Venetoclax initially obtained accelerated approval for treatment of p53-deficient CLL patients who had failed or were unsuitable for treatment with kinase inhibitors, but has recently been granted approval for the treatment of CLL patients following at least one prior therapy irrespective of their *TP53* status.

Two recent studies have shed light on why patients may stop responding to venetoclax. WES in a small cohort of del(17p) patients progressing on venetoclax identified a number of potential resistance-associated alterations, such as mutations in *BTG1* or *BRAF*, homozygous deletion of *CDKN2A/B* and high-level focal amplification of *CD274*, however, causal relationships have yet to be established.<sup>\*19</sup> More recently, a novel recurrent *BCL2* mutation (G101V) was identified in a cohort of CLL patients progressing on venetoclax.<sup>\*20</sup> Functional analysis revealed that G101V impairs binding of venetoclax to *BCL2* preventing the drug from displacing proapoptotic molecules from *BCL2* in cells and hence confers resistance. As evidenced for *BTK* C481 mutations, the G101V *BCL2* mutation was absent at baseline and was acquired several months before clinical disease progression.<sup>11,\*12,14,\*20</sup> Finally, the wide range of subclonality observed for G101V together with the finding that many relapsing patients did not harbor this change indicates that additional acquired changes confer resistance to venetoclax and these alternative mechanisms remain elusive.<sup>\*20</sup>

## Future perspectives

Understanding the nature of disease progression has important implications. As patients are treated for longer with these drugs, it is inevitable that the incidence of relapse increases and hence the challenge of managing resistant disease and determining who is at risk for relapse is of paramount importance. It is vital to identify potential mechanisms of resistance, both for patient stratification and developing strategies to circumvent resistance, either before it develops or as it emerges. Whilst much work remains to be done, resistance mutations may serve as early biomarkers of disease progression prior to overt clinical relapse and hence their detection could facilitate early therapeutic intervention with different combination therapy to avert or reverse the relapse.

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