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Mechanism of action of mesenchymal stem cells (MSCs): Impact of Delivery Method

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Abstract

Introduction: Mesenchymal stromal cells (MSCs; AKA mesenchymal stem cells) stimulate healing and reduce inflammation. Promising therapeutic responses are seen in many late-phase clinical trials, but others have not satisfied their primary endpoints, making translation of MSCs into clinical practice difficult. These inconsistencies may be related to the route of MSC delivery, lack of product optimization, or varying background therapies received in clinical trials over time.

Areas covered: Here we discuss the different routes of MSC delivery, highlighting the proposed mechanism(s) of therapeutic action as well as potential safety concerns. PubMed search criteria used: MSC plus: local administration; routes of administration; delivery methods; mechanism of action; therapy in different diseases.

Expert Opinion: Direct injection of MSCs using a controlled local delivery approach appears to have benefits in certain disease states, but further studies are required to make definitive conclusions regarding the superiority of one delivery method over another.

Keywords

Local Injection; Mechanism of Action; Mesenchymal Stem Cells; MSCs

Introduction 1.

MSCs are multipotent stromal/stem cells widely distributed in the body. They are a heterogeneous population that was first discovered in the bone marrow (BM-MSCs)¹, but later, they were obtained from various adult tissues, such as adipose tissues (AD-MSCs)², placenta (p-MSCs)³, dental pulp (DP-MSCs)⁴ and umbilical cord (Wharton's Jelly-MSC) or amniotic fluid⁵. They are characterized by their ability to differentiate into three distinct lineages: osteoblasts, chondrocytes, and adipocytes; their expression of a specific set of cluster of differentiation (CD) markers: e.g., CD73, CD105, and CD90; the absence of other

Reviewer Disclosures

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such markers, e.g., CD34, and their ability to adhere to plastic and form colony-forming unit fibroblasts (CFU-Fs) when maintained under standard culture conditions⁶. Attachment to plastic is an important characteristic of MSCs and facilitates their isolation. MSCs are not a homogenous population and the tissue source, such as adipose tissue, bone marrow or umbilical cord, introduces (subtle) differences in gene expression⁷ which may predispose these cells to having tissue specific therapeutic properties⁷. Additional factors that affect therapeutic potency include donor-related variation such as the health status (morbidities), genetics, sex, and age⁸, cell population, and timing of MSC administration. Furthermore, the route of delivery appears to affect therapeutic efficacy, particularly with respect to heart disease⁹. Therefore, as treatment with MSCs becomes more widely studied and potentially clinically available, the route of administration and the mechanism of action must be considered and optimized.

2. Routes of administration

The choice of delivery route appears to be one of the most critical factors influencing the distribution, retention, survival, and efficacy of cell therapy. A gold standard has not been established and further study is needed. For current cell therapies, routes include local administration directly into tissues and organs, and systemic delivery via intra-arterial, intravenous (IV), and intraperitoneal (IP) injection, although there is very limited data regarding this last route. These methods can be roughly classified into two groups: systemic delivery and local delivery (Figure 1, illustrates the systemic and local routes of MSC administration into the heart).

Systemic delivery is a well-documented¹⁰ and minimally invasive approach. Upon intravenous delivery, cells travel through the systemic circulation reaching target sites (e.g., infarcted myocardium, inflamed joint), where they receive local signals from injured, inflamed tissue¹¹, or cancerous tissues. This 'homing capacity', suggests that MSCs could serve as a cellular drug delivery system for multiple applications¹². Luger and colleagues¹³ demonstrated that despite low myocardial engraftment, IV-administered MSCs improved cardiac function in both acute myocardial infarction and ischemic cardiomyopathy, outcomes modulated in part by systemic anti-inflammatory effects. Another advantage of IV administration is that cells are delivered into a nutrient- and oxygen-rich environment and following extravasation, MSCs remain in close proximity to the vasculature¹⁴. Despite the benefits and feasibility of systemic IV delivery, such as donor cell accumulation at the site of damage, there is a potential for cells to be trapped within the liver, lungs, and/or spleen¹⁵. The adherent nature of MSCs favors formation of a cell mass when injected via the tail vein in pre-clinical studies¹⁶, which could explain why cells applied intravenously have a higher risk of capture within capillary-like lung tissue¹⁷. Cells with diameters up to 20–50 µm, remain within the systemic vasculature, where there is a risk producing vascular occlusion¹⁸, particularly considering that systemic administration often requires that the cells be diluted, and multiple cell infusions performed. IV administration of 0.5×10^6 cells/kg body weight was sufficient to cause a myocardial infarction (MI) in mice, even in a previously healthy vasculature¹⁹, an important consideration since patients with end-stage HF may require a higher dose of cells and longer repair time, which may further induce a severe systemic

immune response²⁰. Therefore, IV administration is a better suited approach for early-stage heart injury²⁰.

Intra-arterial (IA) delivery may prove the most efficacious method in some treatment indications. IA delivery of MSCs allows for infusion of cells within the local vascular system of the target organ resulting in more cells reaching the target tissue without the physical risks of direct implantation and the pitfalls of IV administration, in particular the trapping of cells within the lung microvasculature²¹. However, IA delivery of MSCs into the cerebral microvasculature as a treatment for stroke, may prove harmful, since it entails the potential risk of cerebral infarcts, caused by emboli of cells²² (reviewed in²³). Factors such as vascular access, cell size, cell dosage and delivery speed must be considered, especially when delivering cells into coronary or cerebral arteries^{24, 25}. IA cell delivery has also been utilized in other pathologies including, but not limited to, intra-carotid delivery in stroke, intra-renal delivery for renovascular disease, and intra-hepatic delivery for cirrhosis^{26, 27}.

There are beneficial effects for intraperitoneal (IP) injection of MSCs, although the fate, benefits and limitations of this method have not been well investigated^{28, 29}. IP injection produces a slower rate of cell migration from the peritoneal cavity, which could avoid the potentially lethal rapid embolization of the lung vasculature³⁰, allowing for the administration of more cells. IP injected MSC have comparable or even more profound effects in preclinical models of multiple diseases³¹ compared to IV administration. The beneficial effects of MSCs in these and other disease models are linked to their ability to modify both the innate and acquired immune systems. Bazhanov et al. 2016 showed that IP injected hMSCs rapidly formed aggregates with mouse macrophages and B220+lymphocytes and these aggregates attach to the mesentery, omentum, and other sites in the peritoneal cavity. In contrast, only small numbers of cells migrate into the systemic circulation²⁸ from where they can engraft into multiple distal organs.

Local administration of MSCs into target tissues or into the vicinity of the injury site has important advantages, including rapid and localized reaction³². Cells can be administered into a precise, targeted location, increasing the chance of engraftment (associated with tissue regeneration)³³ and prolonging their therapeutic potential (direct paracrine support)³⁴. Local injection to the injury site in conjunction with biomaterials/scaffolds may decrease the risk of lung or cardiac infarction¹⁷. Cells migrating from a scaffold tend to migrate individually, making them less likely to aggregate in the lung¹⁷. However, local administration also involves risks, such as cells inducing apoptosis when administered at high density³⁵. A "washout" effect is highlighted in many local cell delivery routes, in particular, intramyocardial injection^{11, 36}. During invasive surgery, needles or catheters can cause mechanical damage to cardiac tissue, opening blood vessels and leaving needle tracks in the myocardium creating a space through which cells in suspension can travel¹¹. Additional properties for local administration are discussed in detail below.

3. Mechanisms of action after local delivery

Due to the significant clinical relevance of the application of MSCs for treating tissue damage, there is an urgent need to better characterize the mechanism of action of MSCs

after local injection. Several possible mechanisms by which MSCs exert their beneficial effects have been proposed. Despite early evidence of direct differentiation of MSCs and cell replacement, recent studies strongly suggest that their most significant mechanisms of action can be attributed to their ability to, secrete paracrine factors³⁷ including extracellular vesicles (EVs)³⁸ and cytokines³⁹, transfer mitochondria to nearby cells⁴⁰ (Figure 2), migrate⁴¹ and modify the immune response (immunomodulation)⁴².

3.1. Paracrine factors

MSCs release a plethora of biologically active factors (e.g., cytokines, chemokines, hormones, growth factors, and miRNAs), which have profound effects on local cellular dynamics. This multitude of paracrine factors forms part of a complex network that serves to protect injured tissue and encourage endogenous repair/regenerative mechanisms³⁷ and immune-mediated phagocytosis⁴³, which can lead to long-term beneficial effects.

The secretome is the repertoire of factors secreted by MSCs and can impact the activities of other cells in the local microenvironment. Up to 80% of the therapeutic effect of adult MSCs may occur through such paracrine-mediated actions, and proteins secreted by MSCs have been documented to be antimicrobial, antifibrotic, and pro-regenerative, exerting effects on processes such as angiogenesis, proliferation, differentiation, immune modulation, wound healing, bone regeneration, and kidney and cardiac repair⁴⁴. While MSCs from different sources share a substantial degree of similarity, there are variations in marker expression profile and secretomes⁴⁵. *In vitro*, AT-MSCs secrete higher amounts of angiogenic and anti-apoptotic growth factors, such as hepatocyte growth factor (HGF) and VEGF, as well as IL-6, whereas BM-MSCs secrete higher amounts of the cell migration-related chemokine, stromal cell-derived factor (SDF)-1 α ⁴⁶. Wharton's jelly-MSCs secrete higher levels of immune-signaling molecules and neurotrophic factors, such as brain-derived neurotrophic factor (BDNF), compared to BM-MSCs, suggesting a greater beneficial role for Wharton jelly-MSCs in neurodegenerative diseases⁴⁷.

These secreted, biologically active molecules, including nucleic acids, proteins and lipids, are (primarily) transported to their targets within MSC-derived EVs (1000 nm in diameter) and exosomes (EVs 200 nm in diameter), and can retain the biological activity of the parental MSCs, producing similar therapeutic effects across different animals models⁴⁸. The exchange of EVs, macromolecular complexes and exosomes with target cells⁴⁹ releases a wide range of functional proteins, mRNAs, and microRNAs (miRNAs) capable of protecting the target tissue from ischemic injury by promoting neovascularization, cell proliferation and preventing apoptosis⁵⁰. Moreover, EVs can mediate the transfer of mitochondrial and non-mitochondrial cargos, contributing to improved intracellular energetics⁵¹. Ongoing studies are examining the efficacy of MSC-derived exosomes and EVs as novel "cell-free" approaches to recapitulate MSC activity without the need to administer cells, an approach that obviates the unique challenges and considerations associated with cell administration⁵². One of the potential offshoots of research in cell-based therapy is the development of drug-free or surgical-free options recapitulating the secretome; this is under development in many indications, including chronic pain and severe injuries⁵³. Gupta et al. described a cell-free stem cell-derived extract (CCM) formulation containing GFs, CKs, and EVs,

Local, rather than systemic, transplantation of MSCs is associated with greater paracrine potency in the production of trophic factors⁵⁵. These paracrine signals are generally transmitted over only short distances, thereby producing local effects⁵⁶ and the crosstalk between the local microenvironment of injured host tissues and MSCs activates MSC production of cytoprotective paracrine factors. Therefore, the proximity of donor cells to the injury site is essential for paracrine-protective effects⁵⁷. However, MSCs can also elicit responses at a distance using a paracrine mechanism.

In preclinical and clinical trials, local injection of MSCs into the border zone of the heart (between infarcted and viable cardiac tissue) results in a powerful anti-fibrotic effect, reduces tissue injury, and augments viable and perfused tissue^{49, 58}. The improvement in contractile cardiac muscle results predominantly from enhanced endogenous regenerative mechanisms. Since relatively few MSCs engraft at the site of injury relative to the degree of functional recovery, a paracrine mechanism appears to be the primary driver of this therapeutic effect. Additionally, endogenous precursor cells and myocyte mitosis is upregulated following MSC treatment⁵⁹. Cell therapy may activate endogenous cardiac repair mechanisms by inactivation of both the retinoblastoma and CDKN2a pathways⁶⁰.

Mechanistically, MSC-derived EVs are enriched for transcriptionally active-signal transducer and activator of transcription (STAT) 3, which, among other effects, controls, angiogenesis by regulating VEGF expression⁶¹. Moreover, proangiogenic factors from BM-MSC-derived EVs that induce endothelial cell migration through extracellular signalregulated kinase (ERK)/Akt signaling revealed the presence of high levels of extracellular matrix metalloproteinase inducer (EMMPRIN), an important factor for endothelial activation, in these vesicles⁶². Single cell analysis of infarcted hearts⁶³ profiled the expression of twenty-one paracrine factors produced by locally transplanted MSCs, provides in vivo evidence that MSCs exert a paracrine effect on surrounding cardiomyocytes to help improve cardiac function after infarction. Additional favorable outcomes including enhanced engraftment and capillary density and reduced fibrosis were observed in infarcted rats following local injection of cardiac stem cells pre-treated with MSC-derived exosomes⁵⁰. Intramyocardial injection of 1.0×10^8 M-EVs can improve cardiac function in infarcted mice⁵¹. A recent study showed that mitochondria-rich extracellular vesicles (M-EVs) collected from induced pluripotent stem cell-derived cardiomyocyte (iCM)-conditioned medium following intramyocardial injection can restore intracellular bioenergetics and contractile properties⁵¹.

3.2. Cell-to-cell contact

MSCs are distinct from other cell therapies because of their cell-to-cell interactions, therapeutic effects, and a so-called "hit-and-run" mechanism. Cell-to-cell contact or heterocellular coupling⁶⁴, occurs through the formation of gap junctions or tunneling nanotubes with adjacent or nearby cells, respectively. Gap junctions are comprised of six connexin molecules and form a channel between adjacent cells through which small molecules, 1 kDa, can travel. Tunneling nanotubes allow the transfer of larger molecules

and even cell organelles, such as mitochondria between nearby cells⁶⁵ (Figure 3). Both forms of communication require that the MSCs be in close proximity to the target cell and allows for the transfer of small molecules, e.g., microRNA (miRNA), peptides and organelles, such as mitochondria, from MSCs to host cells. Accumulating data implicates mitochondrial donation from MSCs as another critical component of their therapeutic efficacy. The local microenvironment of an injured cell releases physiological cues that trigger transfer of mitochondria⁶⁶. The regulation of mitochondrial transport from MSCs to other cells has been attributed to MSC intrinsic expression of MIRO1, a mitochondrial Rho GTPase1⁶⁷. Moreover, the efficiency of this transfer is enhanced by the formation of tunneling nanotubes (TNTs) via activation of the TNF-a/NF-kB-signaling pathway. iPSC-MSCs have high intrinsic MIRO1 and are highly responsive to the pro-inflammatory cytokine TNF-a, boosting mitochondrial transfer potency compared to BM-MSCs⁶⁸. In an in vivo model of myocardial infarction, mitochondria released from local damaged cells activate anti-apoptotic signals in MSCs⁶⁹. There is bidirectional mitochondrial transfer between MSCs and endogenous cells⁴⁰. Mitochondria from other cells can be engulfed and degraded within MSCs, leading to induction of cytoprotective enzyme HO-1, and stimulation of mitochondrial biogenesis. This activity triggers enhanced mitochondrial donation from MSCs⁶⁹. Additionally, in an ex vivo model of ischemic heart disease, BM-MSCs rescued damaged cardiomyocytes through TNT-mediated mitochondrial transfer⁷⁰. Mitochondrial membrane potential and function were elevated in the cardiomyocytes and apoptosis was reduced⁷⁰.

3.3. Immunomodulation

MSCs possess broad immunomodulation capabilities and are capable of influencing both adaptive and innate immune responses⁷¹. Current evidence suggests that MSCs exert variable immunomodulatory effects on the same types of immune cell depending upon the local microenvironment or disease status⁷². MSCs are extensively described as immune-privileged cells because of their lack of cell-surface histocompatibility complex (HLA) class II molecules and the presence of T-cell costimulatory molecules⁷³. This property allows MSCs to evade immune detection and enables their use as an allogeneic therapy without concurrent immunosuppression^{74, 75}. Additionally, MSCs, via their paracrine effects and release of EVs, interact with and inhibit the local and systemic immune system⁷⁶. Modulation of the immune system occurs even while MSCs are engulfed by antigen-presenting cells (APCs)⁷⁶. The subsequent interaction can result in a chain of anti-inflammatory activities and downstream beneficial therapeutic outcomes. The recognition and removal of MSCs by the host immune system is likely the greatest limitation on the duration and efficacy of many MSC-mediated therapeutic effects⁷⁶.

Following the local administration of MSCs in a murine myocardial infarction model, the expression of TNF-a, IL-1 and IL-6 and the apoptosis of myocardial cells is significantly reduced, leading to significant improvement of cardiac function⁷⁷. In a rat model of MI, MSCs reduced the level of CD68-positive inflammatory cells and monocyte chemotactic protein-1 (MCP-1) in the myocardium, thereby improving cardiac function⁷⁸. TSG-6, a key anti-inflammatory protein secreted by MSCs, has been proposed as a surrogate biomarker predicting the therapeutic efficacy of and anti-inflammatory mediators secreted

by MSCs⁷⁹. Hamidian and co-workers demonstrated that IM delivery increases MSC dwell-time, resulting in sustained modulation of the inflammatory milieu. TSG-6 was released at the site of MSC delivery, while neutrophil infiltration was abrogated, and inflammation reduced at the contralateral site⁸⁰. Nitric oxide (NO) is another factor that inhibits T-cell proliferation and NO produced by MSCs is implicated in contributing to T-cell suppression⁸¹. Downregulating the production of suppressor of cytokine signaling (SOCS) 1 in MSCs increased NO production and enhanced the immunosuppressive capacity of MSCs⁸¹. Chen et al. demonstrated that over-expression of eNOS/NOS3 by MSCs injected into the myocardium of rats with MI, enhances cardiac repair⁸². Additionally, iNOS activity is required for the anti-fibrotic therapeutic properties of MSC⁸³.

Allogeneic MSCs appear safe and effective for the treatment of heart disease⁸⁴, and their potent immunomodulatory properties have led to their widespread testing in immunologic disorders ranging from multiple sclerosis to aging frailty^{85, 86}. However, the severity of the inflammatory environment determines the immunoregulatory effect of MSCs. It appears that the inflammatory microenvironment associated with acute MI inhibits the ability of MSCs to promote repair of the injured myocardium⁸⁷. Severe inflammation causes MSCs to suppress the immune response, whereas weak inflammation leads to enhancement of the immune reaction. MSC1 and MSC2 designate the pro-inflammatory and anti-inflammatory phenotypes of MSCs, respectively⁸⁸. In the absence of pro-inflammatory cytokines, the activation of TLR4 can promote differentiation of MSCs into a MSC1 phenotype. Conversely, differentiation into the MSC2 phenotype can be induced by the delivery of anti-inflammatory signals to MSCs through TLR388. A randomized, double-blind clinical trial evaluating the efficacy of human umbilical cord-derived mesenchymal stem cells (hUC-MSCs) for the treatment of lupus nephritis (LN) was abandoned after hUC-MSCs produced no additional beneficial effects over and above standard immunosuppression⁸⁹. However, this failure appears to be disease-specific, since the hUC-MSC immunosuppressive effect has been clearly demonstrated in other inflammatory immune-mediated diseases⁹⁰.

Zha et al.⁹¹ utilized CRISPR/Cas9 to target the MHC class I molecule, $\beta 2$ microglobulin (B2M), to generate "less immunogenic" iPSC-derived MSC (iPSC-MSC) lines for allogenic transplantation. B2M-knockout (KO) iPSC-MSCs escape immune response-mediated killing by peripheral blood-derived monocytes (PBMCs) more efficiently than control cells. The loss of B2M did not alter the innate immunosuppressive feature of MSCs. Overexpressing IL-10- in MSCs using the dCas9-activation mediator system, suppressed immune cell accumulation and pro-inflammatory response in a diabetes-associated myocardial infarction model⁹².

3.4. Migration (Homing)

"Homing" is the ability of MSCs to respond to the sustained delivery of trophic signals and selectively traffic toward the site of injury. Site-specific homing requires either recruitment of local MSCs or transplantation of exogenous cells in close proximity to the target area. Directed migration follows activation and polarization of MSCs, during which a front pole is formed that guides interstitial locomotion by sensing a chemokine gradient released by injured or inflamed tissue. Migration is terminated after reaching the target site⁹³. Once

MSCs have homed, specific receptors or ligands expressed by the damaged tissues facilitate MSC trafficking adhesion and infiltration. The mechanisms used by MSCs to migrate and home to tissues have not been fully elucidated. It is generally assumed that circulating MSCs initially contact endothelium by tethering and rolling, resulting in a deceleration of the cells, activation of G-protein-coupled receptors, followed by integrin-mediated activation-dependent arrest. The cells must then transmigrate through the endothelium and the underlying basement membrane and through the interstitium to the site of injury. This latter step is guided by chemotactic signals released in response to tissue damage^{15, 41}.

3.5. Improving local administration of MSCs

Multiple factors can contribute to poor retention following local administration, including the hostile environment that MSCs encounter at the disease site, causing cell death and poor engraftment into the tissue⁹⁴. Priming of MSCs in vitro is a simple approach to improving retention and therapeutic efficacy following local administration. For example, hypoxic priming up-regulates expression of prosurvival factors such as Hif-1, which can contribute to MSC adaptation to the typically hypoxic disease site. Consequently, hypoxia-primed MSCs exhibit ~40% less cell death on day 3 after intramyocardial injection compared with non-primed MSCs in a rat model of MI, resulting in improved vascularization in the infarcted myocardium and greater therapeutic efficacy⁹⁵. However, the effect of priming may not be preserved upon cryopreservation/thawing.

Using biomaterials to encapsulate MSCs is another promising strategy to overcome the challenges associated with local administration. Hydrogel is one of the most common biomaterials used to encapsulate MSCs and enhance their survival for several weeks following administration, but the bulk size of hydrogel is only suitable for local not systemic administration. For example, in a separate rat MI model, immunohistology studies showed that MSC survival was sustained for up to 16 days following delivery of HGF-overexpressing MSCs within a synthetic peptide-based hydrogel compared to native MSCs, which did not survive past day 2. This engineered MSC therapy demonstrated superior reduction in scar formation, accelerated angiogenesis and increased ventricular wall thickness compared with native MSCs⁹⁶. Microgels are another bioengineering solution to enhance the residence time and survival of MSCs. However, the microgel may form a physical barrier that masks receptors on MSCs important for homing to disease sites, a problem that may be addressed by using additional homing ligands within the microgel⁹⁷. Another innovative biomaterial is the cardiac patch⁹⁸, which increases cell retention and improves cardiac function. Cardiac patches have also been used for dual stem cell therapy to treat MI⁹⁸.

As described above, CRISPR/Cas9-mediated gene knockdown in MSCs has proved effective in treating diseases such as myocardial infarction⁹⁹. The converse, targeted gene knockin, where a gene is inserted into the genome via homologous recombination, resulting in overexpression of the protein, can also be beneficial. Tilokee et al. demonstrated that paracrine engineering of human cardiac stem cells to overexpress SDF-1a enhances recruitment of endogenous stem cells, promotes myocyte/vessel formation, and salvages reversibly damaged myocardium to enhance cardiac repair in a mouse model of MI¹⁰⁰.

These and other cell pre-conditioning and genetic modifications are promising options for augmenting MSC- and other stem cell-based therapies¹⁰¹ and represent viable approaches for improving treatment for a wide variety of diseases.

3.6. Safety and tumorgenicity

While MSCs exert positive outcomes in numerous diseases, there are a few concerns regarding their tumorigenic potential that must be addressed. The possibility of tumorigenic transformations in MSCs is minimal compared to other stem cell sources such as pluripotent stem cells (iPSCs and embryonic stem cells), and while spontaneous malignant transformations of human MSCs, and the injection of these transformed cells has led to the development of tumors in mice¹⁰². MSCs can also home to tumor sites and contribute to tumor growth and progression because of their immunosuppressive properties. In a preclinical model of breast cancer, MSCs injected directly into a site containing a pre-existing tumor can promote metastasis, possibly through the induction of epithelial-tomesenchymal transition (EMT) of the primary tumor cells¹⁰³. Clinically, there are no reports of tumors in patients originating from administered MSCs, demonstrating that following current Good Manufacturing Practices (GMP) by closely monitoring and minimizing the time in culture needed for *in vitro* expansion and karyotyping cells to detect cytogenic aberrations before the cells are released, is crucial for eliminating any malignant potential of MSCs¹⁰⁴.

The utilization of MSCs as delivery vehicles for different types of anticancer therapy has been an emerging concept pursued by several research groups^{105, 106}. Briefly, the "suicide gene" strategy foresees the insertion of a gene that enables selective targeting of the transfected cells by the subsequent administration of an otherwise nontoxic drug. When this drug is administered after MSCs home to a tumor, the conversion/uptake of the then toxic drug, kills not only MSCs but also the surrounding tumor and stromal cells¹⁰⁷. These suicide genes can encode either an enzyme by Gene-directed enzyme-producing therapy (GDEPT) or the sodium/iodide symporter, NIS. The next challenge is to understand better the interactions between MSCs and cancer cells in order to improve the clinical safety of these MSC-based therapeutic approaches.

4. Efficacy and safety of the local administration of MSCs for specific

diseases

As of 2018, the delivery of MSCs in registered clinical trials was split nearly evenly between systemic and local delivery, with the majority of late-phase clinical trials using local delivery¹⁰⁸, e.g., via intrathecal, intralesional and endocardial routes, for the treatment of back pain, perianal fistulas and chronic heart failure, respectively⁹⁷. The direct *in-situ* administration of MSCs represents a more controlled delivery approach to directly access the local injury, generally resulting in better therapeutic responses⁹. For example, a meta-analysis of preclinical MSC studies in ischemic stroke models showed that administering MSCs to the damaged site is more effective at improving the neurological severity score than intra-arterial or intravascular MSC injections¹⁰⁹, although direct injection, intra-arterial and intra-venous, consistently also demonstrated significant improvement in outcomes¹⁰⁹.

4.1. Cardiovascular efficacy

Local injection of MSCs into the cardiovascular system produces positive outcomes. In general, intracoronary and intramyocardial (epicardial and transendocardial) injections are the two most widely used methods of delivery of cellular therapies in cardiovascular disease¹¹⁰. Intracoronary injections deliver cells into one of the major coronary arteries (left anterior descending, left circumflex, or right coronary arteries). This administration route is less invasive than intramyocardial injection, which typically involves surgical intervention or endocardial access, and some studies have reported intracoronary and intramyocardial injections to be equally effective¹¹⁰. A meta-analysis of both preclinical and clinical studies of MSC therapy in acute myocardial infarction concluded that transendocardial stem cell injection (TESI) exhibits the greatest infarct size reduction and left ventricle ejection fraction (LVEF) increase. In contrast, intracoronary delivery demonstrated no improvement⁹.

In the heart, TESI seems to be the favored method for the local administration of MSCs with a minimally invasive, catheter-based route of delivery, where cells are injected directly into the myocardium through the endocardium⁹. Swine studies using TESI as the delivery route revealed both a reduction in infarct scar and improvement of LVEF^{111, 112}. TESI also improved LVEF in acute myocardial infarction (AMI) clinical trials¹¹³. Nevertheless, there are still clinical challenges associated with local administration that impede therapeutic efficacy, mostly due to insufficient retention and survival of transplanted MSCs at the administration site.

4.2. Neurologic efficacy

MSCs have attracted much attention for their potential to treat neurologic disorders¹¹⁴. In the context of neuronal damage, a local injection of MSCs to the lesion site in a rat stroke model improved coordinated function, inhibited scar tissue formation and cell apoptosis, and stimulated angiogenesis¹¹⁴.

Neurorestorative and neuroprotective effects as a tissue repair property of MSCs, are characterized primarily by two mechanisms of action: (1) neurogenic differentiation and cell replacement, and (2) secretion of neurotrophic factors. MSCs can significantly alleviate ischemic injury, and the rescue arises from the differentiation of transplanted cells into neurons and astrocytes¹¹⁵. In contrast, the paracrine effects of MSCs on nerve regeneration occurs via the secretion of neurotrophic factors¹¹⁶. The inoculation of cortical neurons with (exogenous) MSC-derived exosomes boosts their growth-promoting and target activation effects¹¹⁷, while MSC-conditioned medium enhances Schwann cell viability and proliferation via increases in nerve growth factor (NGF) and brain-derived neurotrophic factor (BDNF) expression¹¹⁶.

In Alzheimer's disease, MSC-derived exosomes play a potential role in promoting neurite outgrowth, suggesting the possibility of their clinical use¹¹⁸. Recent findings indicate that hMSC-derived EVs protect hippocampal neurons via blocking oxidative stress and synapse damage following exposure to amyloid-beta oligomers $(A\beta Os)^{119}$. The neuroprotection mechanism of MSC-derived EVs is related to their cargo. Those carrying antioxidant enzymes, such as catalase¹²⁰, can be neuroprotective. Exosomes derived from hypoxia-

preconditioned MSCs significantly enhance expression of the synaptic proteins Synapsin 1 and PSD95¹²¹, proteins that help to maintain normal synaptic function. Moreover, MSC-derived exosomes can also transfer miRs that promote the recovery of neural function, such as miR-133b, into astrocytes and neurons¹²².

4.3. Orthopedic efficacy

In recent years, local intra-articular injection of MSCs promotes the regeneration and repair of cartilage tissue and alleviates the degeneration caused by osteoarthritis (OA). Zhou et al.¹²³ found that local intra-articular injection of adipose-derived MSCs (AD-MSCs) effectively alleviate OA in rat models by reducing the secretion of pro-inflammatory cytokines through induction of autophagy. Toghraie et al.¹²⁴ reported that a single dose of 1×10^{6} /mL AD-MSCs injected into the joint cavity in a OA rabbit model significantly repaired and improved cartilage tissue 8 weeks post-OA. A phase I/II trial indicated that BM-MSCs injected into the knee of patients with OA was associated with cartilage biomarker expression, reduced synovial inflammation, pain and symptom mitigation, without any serious adverse events¹²⁵. Another proof-of-concept phase I/II clinical trial showed that intra-articular injection of 1.0×10^{8} AD-MSCs into OA knees improved function and reduced pain in the knee joint and reduced cartilage defects by regeneration of hyaline-like articular cartilage without causing adverse events¹²⁶.

The local injection of BM- or AD-MSCs significantly improved bone healing. Despite differences in molecular cues between BM- and AD-MSCs, both cell types induced comparable amounts and properties of bone formation¹²⁷. Bone marrow aspirates directly injected into the fracture site successfully repaired 53 of 60 unconsolidated fractures, and the local injection of osteoblasts also accelerated bone repair in long bone fractures¹²⁸. A single local administration of MSCs in a rat distraction osteogenesis model accelerated early bone consolidation coincident with the serum level SDF-1 and the ratio of circulating MSCs reaching the highest level at the lengthening phase¹²⁹.

MSCs may also stimulate cartilage regeneration by their interaction with synovial macrophages, leading to a reduction in proinflammatory cytokines such as IL1β. Indeed, MSCs administered into an OA knee joint contact synovial macrophages¹³⁰, and were able to induce polarization toward M2 cells, which promote tissue repair¹³¹. In agreement with these findings, Satué et al. also found evidence that intraarticular-injected MSCs decrease the inflammatory response caused by cartilage injury and promote cartilage regeneration¹³².

MSCs can not only differentiate into tendon cells (tenocytes), but also modulate inflammation and tissue healing¹³³. Several clinical trials investigating the use of MSCs for tendon healing are ongoing (NCT03688308, NCT01788683, NCT02484950, NCT03449082, NCT03279796, NCT03752827, NCT03454737)¹³³. Six patients suffering from chronic epicondylitis were treated with local allogenic AD-MSCs injections. After 52 weeks, the visual analogic scale (VAS) and the modified Mayo Clinic Performance Index (mMCPI) were decreased by 52%, and increased by 26.6%, respectively, and on ultrasound examination, a reduction in defect areas was observed¹³⁴. Subjects with rotator cuff tears (RCT) who did not respond to physical therapy for at least 6 weeks were randomly assigned to receive a single local injection of, on average, 11.4×10^6 autologous adipose-derived

regenerative cells UA-ADRCs or corticosteroid injections. ADMSCs were safe and led to improved shoulder function without adverse effects at 12-month follow-up¹³⁵.

4.4. Dermatologic efficacy

In dermatology, topically applied MSCs elicit improved outcomes, wound healing, and skin graft survival due to burns, diabetes and other chronic diseases¹³⁶. Preclinical data demonstrate that local injection of BM-MSCs into an incisional full-thickness wound significantly shortens the healing time while stimulating angiogenesis, re-epithelialization and granulation¹³⁶. Preclinical and early human trials demonstrate accelerated wound healing of diabetic ulcers following BM-MSCs application¹³⁶. A fibrin polymer spray system to apply MSCs improved wound closure rates in a preclinical model as well as in patients with chronic non-healing lower extremity wounds¹³⁷. For local skin wounds, human (h)AD-MSC-exosomes markedly shortened healing time and enhanced reepithelialization¹³⁸. However, hAD-MSC-exosomes alone are inadequate for the treatment of extensive burns and scalds¹³⁹. Combining topical and intravenous injection of hAD-MSCs and hAD-MSC-exosomes offers the additional benefit of promoting wound healing, accelerating re-epithelialization, reducing scar widths, and enhancing angiogenesis and collagen synthesis¹³⁸. Possible mechanisms by which AD-MSCs promote wound healing include reduction of inflammation, induction of angiogenesis, promotion of keratinocyte and fibroblast growth, and reduction of tissue scarring¹⁴⁰. AD-MSCs may reduce inflammation by inducing conversion of M1 macrophages, associated with chronic wounds, into the anti-inflammatory and wound healing M2 phenotype¹⁴¹. Inhibition of extracellular matrix (ECM) degradation by AD-MSCs occurs through increased binding of matrix metalloproteinases (MMPs) and secretion of tissue inhibitors of metalloproteinases (TIMPs)¹⁴².

A single injection of 5×10^6 allogeneic WJ-MSCs into selected alopecia areata (AA) foci, produced an average of 67% hair regrowth at the sites where cell suspension was administered 6 months after treatment in all patients. This therapy was safe and had no side effects¹⁴³. Patients affected by androgenetic alopecia (AGA) have also been treated with human follicular stem cells (HFSCs) obtained by centrifugation of scalp punch biopsies. Twenty-three weeks after the last treatment with HFSCs a 29%±5% increase in mean hair density in the treated area over baseline values, whereas there was only a 1% increase in hair density for the placebo-treated area¹⁴⁴. Other studies have established a role of autologous human hair follicle mesenchymal stem cells (HF-MSCs) for therapeutic hair regrowth. A placebo-controlled, randomized, evaluator-blinded, half-head group study to compare hair regrowth with micrograft-containing HF-MSCs vs. placebo was reported¹⁴⁵. After 58 weeks, 27 patients displayed an increased hair count and density within the targeted area, of 18.0 hairs per 0.65 cm^2 and 23.3 hairs per cm², respectively, compared with baseline, while the control area displayed a mean decrease of 1.1 hairs per 0.65 cm^2 and 0.7 hairs per cm²¹⁴⁵, respectively. Srifa et al.¹⁴⁶ performed site-specific mutagenesis and integration of exogenous DNA in BM-, AT-, and UC-derived hMSCs using an optimized Cas9-AAV6-based genome editing tool platform generating cells that worked as transient therapeutic agents within the wound bed of db/db mice¹⁴⁶.

4.5 Gastroenterology efficacy

MSC treatment has recently been approved by the European Medicines Agency (EMA) for the treatment for perianal fistulizing Crohn's disease. Darvadstrocel, composed of MSCs, is safe and effective for inducing fistula healing when the cells are injected into both internal and external openings, as well as inside the fistula tracks¹⁴⁷.

5. Situations where systemic delivery of MSCs is the best choice

Human diseases and disorders such as frailty and COVID-19, where the most detrimental symptoms are mediated by increased activity of the immune system, are some of the best candidates for IV administration of MSCs. This approach results in numerous MSCs accumulating in the lungs, but also distributed throughout the body and other organs, such as the spleen, within 24–48 hours³⁰.

IV infusion of MSCs for frailty has been tested in Phase I⁸⁵ and Phase II¹⁴⁸ clinical trials. Frailty, a primarily geriatric syndrome that increases in incidence with advancing age, is characterized by multiple systemic conditions including sarcopenia, inflammation, and diminution of physical performance, often culminating in an inability to perform activities of daily living¹⁴⁹. These small, early-stage studies demonstrated that administering allogeneic MSCs obtained from healthy young adults to frail older adults is safe and potentially efficacious. Cell treatment ameliorated signs and symptoms of frailty, producing improvements in physical activity, quality of life, cognitive status, and inflammatory markers¹⁵⁰. Compared to autologous MSCs from these frail individuals, allogeneic cells are thought to be more therapeutic since they are not burdened by patient co-morbidities. Administering MSCs obtained from young, healthy adults to frail patients, improved the functions of multiple organs, including lung, heart, and the immune system^{151–153}.

The recent (ongoing) COVID-19 pandemic has negatively impacted public health on a global scale and is associated with an extremely high mortality rate. There has been an urgent need for an effective therapy to treat COVID-19 patients. A primary cause of death in patients infected with SARS-CoV-2, (the virus that causes COVID-19) is acute respiratory distress syndrome (ARDS), an inflammatory condition caused primarily by an overactive immune response¹⁵⁴. MSCs, with their immune-moderating properties, as well as their regenerative potential and antimicrobial properties, were assessed for their efficacy against the effects of COVID-19155. IV administration of allogeneic human MSCs proved successful in reducing mortality and other effects related to SARS-CoV-2 infections¹⁵⁵. To date, there are ~70 clinical trials registered on clinicaltrials.gov designed to evaluate the use of MSCs in COVID-19 patients. However, most of these trials are either incomplete or the outcomes are not published¹⁵⁶. In one case report, the triple IV infusion of UC-MSCs into a critically ill COVID-19 patient was well tolerated and resulted in reduced serum C-reactive protein (CRP), normalized white blood cell counts, and alleviated the effects of pneumonia¹⁵⁷. In a 51-year-old male patient with multi-organ involvement due to SARS-CoV-2 infection and who experienced cardiac arrest, MSCs were systemically transplanted four times plus once intrathecally. Following the first MSC administration, the values of AST, ALT, LDH, CK, pro-BNP, ferritin, triglyceride, fibrinogen, ammonia, and myoglobin began decreasing. After the second injection, CRP reached normal values. This patient also exhibited very low

ejection fraction (EF; 25%) that responded to the systematic administration of MSCs; with EF increasing to 60%. The authors concluded that the MSCs had a therapeutic effect on the heart. After the fourth MSC dose, the patient's heart functions returned to normal¹⁵⁸.

Leng et al.¹⁵⁹, showed that 7 SARS-CoV-2 positive patients, with COVID-19 pneumonia (study group), exhibited significantly improved pulmonary functional activity after an intravenous administration of clinical-grade MSCs. Compared to the placebo-treated controls, patients in the MSC-treated group experienced normalization of immune cell populations, reduced serum TNF-a, and increased IL-10¹⁵⁹. The results of this work suggest the possibility of using autologous or allogeneic adipose stem cells (ASCs) administered either intravenously or directly through a ventilation mask (aerosol)^{160–162}. Tao et al.¹⁵⁶ reported a single COVID-19 critical patient treated with MSCs and lung transplantation. This patient was admitted with the diagnosis of COVID-19, ARDS, type-2 diabetes, diabetic nephropathy, renal insufficiency, and hypertension. His situation continued to worsen and became life-threatening, even after receiving various traditional treatment options, including antiviral therapy and extracorporeal membrane oxygenation. The patient then received five intravenous infusions of MSCs. Lymphocytes increased and renal function improved, static pulmonary compliance increased significantly, and the PaO₂/FiO₂ ratio stabilized. All these improvements delayed the severe deterioration of the patient's condition, gaining valuable time needed to find a suitable lung donor and receive a lung transplant¹⁵⁶.

In a patient with severe SARS-CoV-2-induced pneumonia, administration of Wharton's Jelly-derived MSCs resulted in resolution of fever and shortness of breath within two days and a significant reduction in ground-glass opacity and pneumonia infiltration after six days. Functional improvement of this patient was associated with an increased number of T cell and a reduction in inflammatory mediators such as CRP, IL-6, and TNF- α^{163} .

Together, the data from these reports suggest that the systemic administration of MSCs to patients with severe manifestations of SARS-CoV-2 infection is beneficial and resolves disease symptoms.

6. Expert Opinion

As the growth of MSC based clinical trials advances, it is vitally important to remember historical safety concerns, recognize modern clinical risks, and use methodology and delivery consistent with the intended mechanism of action to produce the most effective, safe, economically viable and ethical therapeutic approaches. Knowledge gaps remain in the understanding of mechanism(s) underlying efficacy of MSCs, which could be unique in different tissues. We must determine if MSCs from different tissues are more therapeutic for distinct diseases or if allogeneic MSCs are more therapeutic than autologous MSCs in all or only specific diseases. Given the plasticity and the paracrine-mediated immunomodulatory activity of MSCs, they are increasingly being studied for their effectiveness in a variety of clinical settings, presenting promising outcomes.

We discussed critical aspects of the effective and safe delivery of MSCs in the context of preclinical and clinical studies by focusing on the mechanism of action when these cells are

administered via local injection. This route of administration appears to be more efficacious than delivering cells through the circulation for most diseases. However, bioengineered patches containing MSCs are also useful, albeit requiring more invasive surgical procedures, and systemic delivery may be optimal for certain diseases, such as frailty and COVID-19. Therefore, determining the best route(s) of MSC administration is an ongoing, disease-specific process.

One of the biggest challenges facing MSC-based therapy is the optimization of cell expansion to avoid development of aneuploidy *in vitro*, which has potential to promote cancer development or progression *in vivo*. For the future, it is imperative to understand how MSCs communicate with tumor cells and within the tumor stroma. Interestingly, an exciting new area of investigations is focused upon the cell secretome rather than the cells themselves. As discussed above, recent studies suggest that a large proportion of the damage repair can be attributed to a paracrine mechanism including EVs and exosomes, membrane-bound vesicles that are released by the cells. MSC-derived EVs and exosomes have gained significant interest in regenerative medicine due to their ability to promote tissue homeostasis and angiogenesis and inhibit inflammation, thereby stimulating recovery in a variety of diseases. Additionally, unlike cell therapy, EVs and exosomes eliminate the risk of aneuploidy and reduce immune rejection following allogeneic administration. Therefore, EV therapy is being considered as an alternative to MSC therapy and may prove to be the next generation cellular therapeutic for many diseases.

Despite promising pre-clinical data with EVs and exosomes, several challenges impede the translation of this therapy into clinics, including improved characterization methods for documented reproducibility, large-scale production, isolation and processing of clinicalgrade, FDA compliant exosome products. Traditional EV production techniques are often limited in their clinical translation due to the need for repeated and lengthy manufacturing protocols and time-consuming characterization of each product lot. Furthermore, the need for repetitive lot productions of cell products often leads to greater batch-to-batch variability and the need for even more replicates and testing time.

Future studies must focus on the role and mechanism(s) of the MSC secretome. Are these effects mediated exclusively through paracrine signaling, or must some of these materials be transferred by direct cell-cell contact? We must elucidate the molecular mechanisms that function in specific organs/tissues/diseases to guide the choice of the delivery strategy in order to optimize treatment of each disease.

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Declaration of Interests

Dr. Joshua Hare previously owned equity in Biscayne Pharmaceuticals, licensee of intellectual property used in this study. Biscayne Pharmaceuticals did not provide funding for this study. Dr. Joshua Hare is the Chief Scientific Officer, a compensated consultant and advisory board member for Longeveron and holds equity in Longeveron. Dr. Hare is also the co-inventor of intellectual property licensed to Longeveron. Longeveron did not play a role in the

design, conduct, or funding of the study. Dr. Hare's relationships are reported to the University of Miami, and an appropriate management plan is in place. The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from those disclosed.

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Article Highlights:

- It is essential to determine the delivery route and dosing of cell therapy for optimal clinical translation, given the important influences on the distribution, retention, and survival of the administered cells.
- Local administration of mesenchymal stromal cells (MSCs) into target tissues has important advantages, including rapid and localized reaction. Cells can be administered into a precise, targeted location, increasing the chance of engraftment and/or local paracrine activity, which has the potential to prolong and/or enhance therapeutic potential.
- The mechanism of action of MSCs can be attributed to secretion of paracrine factors, including extracellular vesicles and cytokines, transfer of mitochondria to nearby cells via hetero-cellular coupling, and modification of immune responses.
- Local, rather than systemic, transplantation of MSCs influences the paracrine potency in the production of trophic factors. Certain paracrine signals are transmitted over short distances, thereby producing local effects, and the crosstalk between the local microenvironment of injured host tissues and MSCs activates MSC production of cytoprotective paracrine factors.
- Biomaterials, cell pre-conditioning, priming and genetic modifications represent promising approaches for improving local administration of MSCs in the treatment of a wide variety of diseases.
- Local injections of MSCs have been tested for specific diseases including those affecting the cardiovascular, neurologic, orthopedic, dermatologic and gastroenterologic systems.
- Systemic MSC infusions have been tested in numerous settings including but not limited to aging frailty, Alzheimer's disease, COVID-19, idiopathic pulmonary fibrosis, and congestive heart failure.



Figure 1. Systemic (Sys) and local (Loc) routes of MSC administration into the heart. (A) intravenous (IV) infusion of mesenchymal stem cells (MSCs; peripheral IV not shown) (Sys). (B) administration of MSCs through transendocardial injection (TESI) (Loc). (C) direct epicardial injection of MSCs (Loc). (D) delivery of MSCs via intracoronary infusion (Sys). Reproduced with permission from Golpanian et al⁷⁴.



Figure 2. MSCs' mechanism of action.

(A) Intracellular localization of multivesicular elements and their fusion with the plasma membrane in a sheep reticulocyte. 1. & 2. Inside these MVEs, which have a diameter of 200–400 nm, are small round bodies with an average diameter of 30–50 nm. 3. Fusion of multivesicular elements with the plasma membrane and release of round bodies. The figure shows exocytosis into the medium of small dense bodies (exosomes)¹⁶⁴. (B) Electron microscopy of intercellular tunneling nanotubes (shown by black arrowheads) and mitochondria transfer from MSC to cardiomyocytes analyzed in fluorescence microscopy. 1. & 2. Two communicating cells, mesenchymal stem cell (MSC) and cardiomyocyte (CM); big arrow points to muscle fiber bundles; small arrow points to a funnel-shaped initiation/ termination of the nanotube. 3. Small diameter branching nanotubes (arrowhead). 4. One nanotube with variable diameter and another with granular content are shown by big and small arrowheads correspondingly. Bar, 1 µm for A; 0.5 µm. 5. In majority of cells, monocolored fluorescing mitochondria are dominating. 6. In some cardiomyocytes (arrowheads), green-fluorescent mitochondria derived from MSCs are present. MSCs are stained with Mitotracker Green FM (green fluorescence), while cardiomyocytes with Mitotracker Red (red fluorescence)¹⁶⁵. (C) The release of vesicles of different sizes is demonstrated. In 7. a larger vesicle is budding from the cell membrane, while in 8. a pool of smaller vesicles is released. 9. MSC-EVs are visualized by transmission electron microscopy, showing the characteristic double membrane structure. Arrows indicate larger vesicles, compatible with microvesicles, whereas arrow heads indicate smaller vesicles, compatible with exosomes¹⁶⁶.



Figure 3. Mechanisms by which Mesenchymal Stromal Cell (MSC) attenuate inflammation and injury.

<u>Microvesicles (MVs)</u>, membrane-bound vesicles that are released by many types of cell, including MSCs, are considered important mediators of cell-to-cell communication. MVs serve as vehicles for transferring proteins, peptides, messenger RNA and microRNA (miRNA) to alter gene expression, proliferation and differentiation of the recipient cells. <u>Tunneling nanotubes (TNTs)</u> are long, ultrathin structures with diameters ranging from 50 to 200 nm and a length that allows organelle transfer between two spatially separated cells. TNTs contain cytoskeletal elements such as actin and microtubules, depending on the cell type. Myosin is a fundamental protein required for organelle transfer, a process requiring high rates of ATP consumption. <u>MSC-derived exosomes</u> play crucial roles in intercellular communications and contain cytokines and growth factors, signaling lipids, mRNAs and regulatory miRNAs that are released into target cells by receptors, endocytosis, and fusion with plasma membrane. Figure created using BioRender.com