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Review article

## mRNA vaccines for COVID-19 and diverse diseases

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## ABSTRACT

Since its outbreak in late 2019, the novel coronavirus disease 2019 (COVID-19) has spread to every continent on the planet. The global pandemic has affected human health and socioeconomic status around the world. At first, the global response to the pandemic was to isolate afflicted individuals to prevent the virus from spreading, while vaccine development was ongoing. The genome sequence was first presented in early January 2020, and the phase I clinical trial of the vaccine started in March 2020 in the United States using novel lipid-based nanoparticle (LNP), encapsulated with mRNA termed as mRNA-1273. Till now, various mRNA-based vaccines are in development, while one mRNA-based vaccine got market approval from US-FDA for the prevention of COVID-19. Previously, mRNA-based vaccines were thought to be difficult to develop, but the current development is a significant accomplishment. However, widespread production and global availability of mRNA-based vaccinations to combat the COVID-19 pandemic remains a major challenge, especially when the mutations continually occur on the virus (e.g., the recent outbreaks of Omicron variant). This review elaborately discusses the COVID-19 pandemic, the biology of SARS-CoV-2 and the progress of mRNA-based vaccines. Moreover, the review also highlighted a detailed description of mRNA delivery technologies and the application potential in controlling other life-threatening diseases. Therefore, it provides a comprehensive view and multidisciplinary insights into mRNA therapy for broader audiences.

## 1. Introduction

The continuous spread of Novel Coronavirus Disease 2019 (COVID-19) poses a serious threat to human health and the global economy. The strategy of isolating infected individuals and tracing the close contacts-quarantining seems to be working in some parts of the world. However, the strategy seems difficult to implement in various countries [1–3]. The COVID-19 pandemic affected the economic condition of the people, and the individuals are in a state of the puzzle [4–7]. The difficulty in containing the virus and the lack of appropriate therapy and vaccines have

led to this situation. At first, the COVID-19 pandemic caused serious concerns and confusion about the future, and people thought it would end the same way as the Severe Acute Respiratory Syndrome (SARS) caused by Severe Acute Respiratory Syndrome Coronavirus (SARS-CoV) in 2003 [8–12]. The virus has spread to all countries and continents around the globe having 453 million confirmed cases and 6.03 million deaths and still counting [13]. Initially, social distancing, wearing masks and isolation/quarantine strategies were employed to curb the spread [14–16]. These actions limited the spread of the disease but did not provide immunity against Severe Acute Respiratory Syndrome

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Coronavirus 2 (SARS-CoV-2) in the general population [17,18]. However, scientists suggested that the pandemic might persist, and vaccines are urgently needed if no proper treatment is available [19–22].

Soon after the initial outbreak, the coronavirus Ribonucleic acid (RNA) sequence was reported by Chinese scientists in early January 2020. The scientists studying genetic-based vaccines turned their efforts to the novel and extremely contagious pathogen causing COVID-19 [23–27]. Generally, the mRNA is used by the living cells to make proteins from the gene sequence inside Deoxyribonucleic acid (DNA) [28–30]. These proteins then serve as a building block of all the essential structures. Generally, the DNA segments are transcribed into small mRNA, which is then read by the cell tools and hence proteins are synthesized [31]. In the case of mRNA vaccines for COVID-19, the message is copied from the coronavirus itself and used to produce protein such as spike protein (S protein). The coronavirus uses the S protein to enter the human cell and causes COVID-19 [32–36]. In general, the protein alone does not cause the disease. Instead, it stimulates an immune response for future recognition of the virus [37–39].

Right after 66 days of the outbreak, the first clinical trial for mRNA vaccine (mRNA-1273) began in the United States (US) against SARS-CoV-2 [40]. The mRNA-1273 was co-developed by Moderna, Inc., and the National Institute of Allergy and Infectious Diseases (NIAID). Another mRNA-based vaccine developed by BioNTech and Pfizer also got impressive results in phase I and II clinical trials in the United States. After encouraging results in phase I and II clinical trials, both the mRNA-1273 and BNT162b2 started phase III trials in late July 2020. Around 60,000 volunteers were enrolled for phase III clinical trials. This was the first time in history that a vaccine was developed in such a short period of time. According to the Director of National Institutes of Health (NIH) of the United States, “The milestone came at a remarkably rapid pace compared to the usual pace for vaccine preparation” [41]. At the end of the year 2020, both the mRNA-based vaccines developed by Pfizer and Moderna respectively got Emergency Use Authorization (EUA) in the United States (Fig. 6.). After the success in clinical trials and effectiveness of approximately ~95%, both vaccines were inoculated in the general population. Various other vaccine platforms and strategies were also encouraged for the development of the COVID-19 vaccine including protein subunit, virus-vectored, live attenuated virus and inactivated virus.

According to clinical trials and subsequent data, the two most widely used mRNA vaccines have been found to be safe and highly effective against SARS-CoV-2 and its various mutations. The mRNA vaccines retain distinctive advantages such as; flexibility, efficient delivery, use of the protein translational machinery of the host, and short developmental time. Therefore, in this review, we discussed the biology of SARS-CoV-2 and its mutations and the progress of mRNA-based vaccines. Moreover, a detailed description of the potential of mRNA vaccines for other life-threatening diseases is also provided. Various potential delivery technologies for mRNA are also described in detail. In addition, we also summarized updated clinical trials data on mRNA vaccines for cancer and other life-threatening diseases. Therefore, this review provides a broader view and multidisciplinary insights of mRNA vaccines for immunization against COVID-19 and its variant of concern and other infectious diseases in the future.

## 2. Biochemical and molecular roadmap of SARS-CoV-2

The international committee on Taxonomy of virus established the terminology RNA virus as SARS-CoV-2 due to its general homology to SARS coronavirus [42–45]. The SARS-CoV-2 coronavirus belongs to the sub-family of Coronavirinae, having the lengthy genome among all the RNA viruses and comprised of six Open Reading Frames (ORFs) [46]. The SARS-CoV-2 shows the genomic structure (+)ss-RNA of 30 kb in length comprising a 5'-cap structure and 3'poly-A tail. Polyprotein 1a.1ab (pp1a/pp1ab) is synthesized from the viral RNA in the host, and 16 non-structural proteins are formed, which arranges the Replication

Transcription Complex (RTC) in double-membrane vesicles. Finally, minus-stranded sub-genomic RNAs (sgRNA) are synthesized discontinuously by resultant n-RTC. During the ORFs, the transcription dismisses, and ultimately the attainment of a lead of RNA occurs [47,48]. In the above process, the sgRNAs are needed by the sub-genomic mRNAs as the pattern and/or templates. Approximately six ORFs exist for a typical coronavirus, including SARS-CoV-2 [49–52].

There are at least 16 non-structural proteins (nsps) encoded in the first ORFs, which is over 65% of the whole genome length. The main structural protein, including S protein, membrane protein, envelope protein and nucleoside protein are encoded in the ORF (35% of the genome length). All the above structural as well as non-structural proteins are translated from sub-genomic RNA [52,53]. Till the end of January 2022, there are 7,711,789 genomic sequences of SARS-CoV-2, including complete and partial, have been decoded and deposited in the Global Initiative on Sharing All Influenza Data (GISAID) database [54].

According to the phylogenetic studies, the SARS-CoV-2 closely resembles bat-SL-CoVZC45 and bat-SL-CoVZXC21 (two SARS-like viruses in bats). Moreover, the SARS-CoV-2 is 88% identical and 79% homologous to Severe Acute Respiratory Syndrome Coronavirus (SARS-CoV) and 50% with Middle East Respiratory Syndrome (MERS-CoV) [55]. Interestingly, the virus also has a high genome sequence identity with pangolin coronavirus, especially in the receptor-binding domain (RBD), which shows some differences to Bat coronavirus (RaTG13). The homology analysis also revealed that the SARS-CoV and SARS-CoV-2 have a similar RBD structure [56]. This sequence identity at the RBD is a key factor for some groups postulating a recombination event that might occurred in pangolins, or other animal species as an intermediate, before infecting humans. However, the biochemical and molecular roadmap of the SARS-CoV-2 suggested that the virus causing COVID-19 emerged from a single animal source.

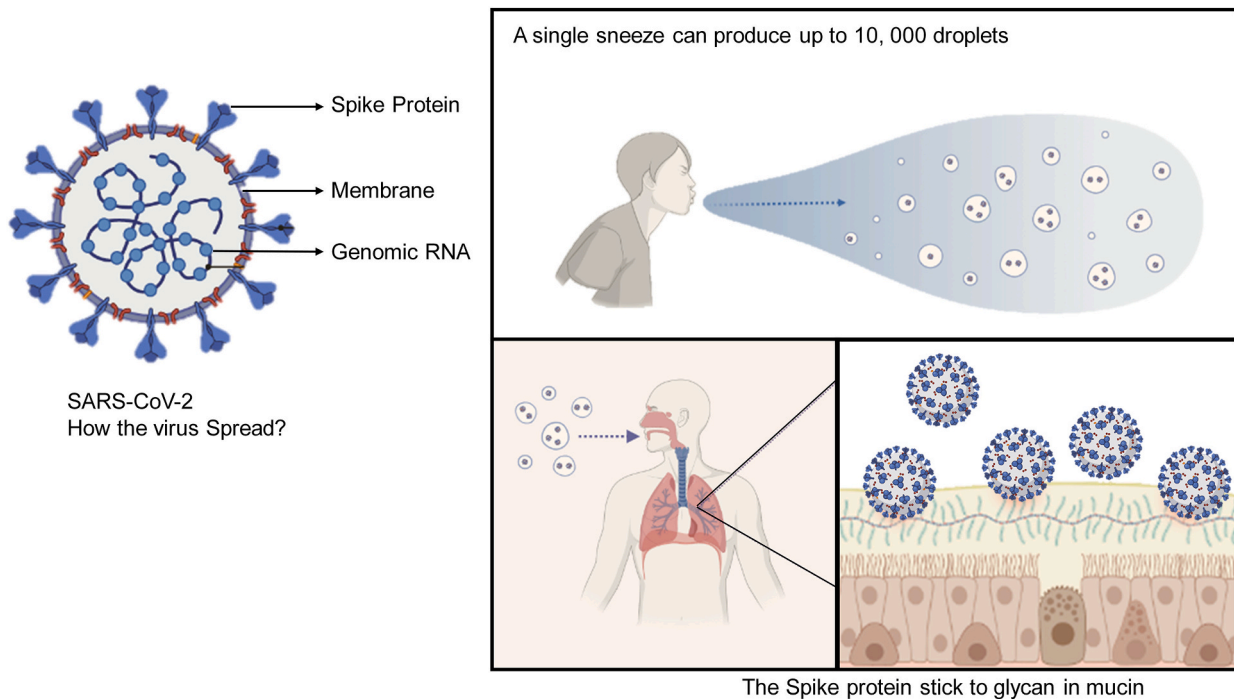
## 3. SARS-CoV-2 structure

The SARS-CoV-2 is spherical in shape, positive sense and enclosed single-stranded RNA virus. There are mainly four structural proteins such as spike (S), envelope (E), membrane (M), and nucleocapsid (N) [57], as shown in Fig. 2. The role of the E protein is not clear, and it is expressed during replication [46].

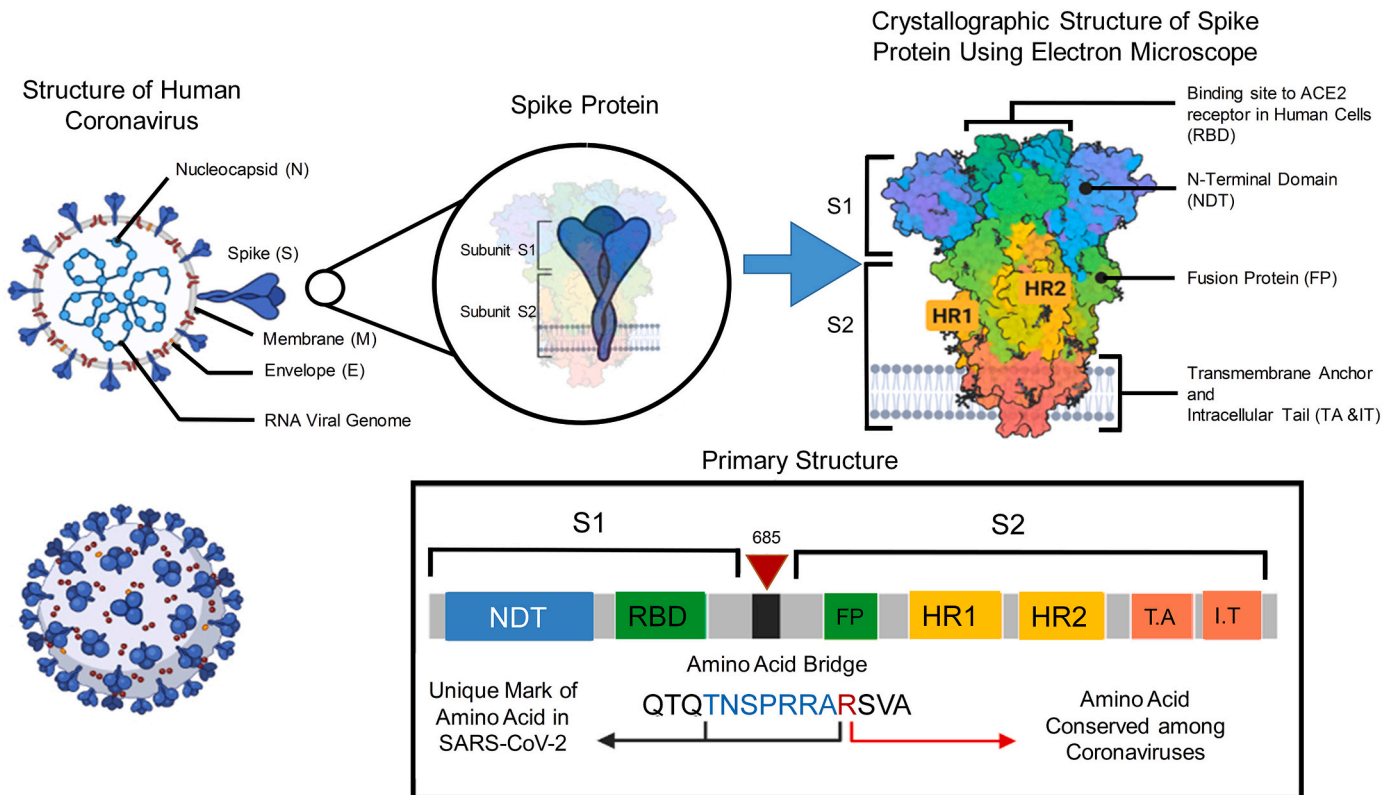
As E protein is not present in recombinant viruses, it exhibits condensed viral titers and retracted viral maturation. This suggests that the E protein is essential for viral replication as well as maturation [49]. Similarly, the M protein is the amplest structural protein, covering the membrane bilayer three times. The M protein presents both the NH<sub>2</sub>-terminal domain and COOH-terminus from outside and inside the virus. The N protein is responsible for RNA packaging as well as viral release following infection [58]. The S protein exhibits immense importance as it facilitates the entry of virus to the host cell followed by pathogenesis. The S1 domain of the S protein containing the RBD is mostly needed for receptor binding, whereas cell membrane fusion is the responsibility of the S2 domain [59]. Moreover, the host uses furin and transmembrane protease serine 2 (TMPRSS2) to further cleave the S protein. The S protein and the RBD of the SARS-CoV-2 and SARS-CoV are extremely similar, revealing that the cell entry mechanism of both shall be the same.

### 3.1. SARS-CoV-2 spike protein, a target for mRNA-based vaccine development

As discussed above, the SARS-CoV-2 makes its entry into the cell using spike protein, leading to cell membrane fusion and finally releasing RNA inside the cytoplasm (Fig. 1). The development of mRNA-based vaccines needs a suitable target, and therefore the S protein is considered the best target. Briefly, the S1 subunit separates from S2 due to trimeric instability when the S1 binds to the host cell receptor. The



**Fig. 1.** Spreading of COVID-19 in general population. Generation of thousands of small droplets containing SARS-CoV-2 after an infected person sneezes or coughs. The spike protein of SARS-CoV-2 play an important role in binding with high affinity to the mucins of the mucus that line the airways. “Created with [BioRender.com](#)”.



**Fig. 2.** The S glycoprotein of the virus causing COVID-19 is comprised of S1 and S2 (Subunits). These subunits are generally characterized as a sword-like spike. Using crystallography, the exact structure of this protein can be observed. The Protein Data Bank (PDB) model suggested that, the subunits of this glycoprotein are composed of various regions that are essential to the infection process. The essential bridge, which linked S1 and S2 together is termed as poly-basic amino acid bridge. This bridge is very important to understand viral targeting. “Created with [BioRender.com](#)”.

separation of S1 from S2 subunit makes a highly stable structure and is perfect for cell membrane fusion. The high homology of RBD of SARS-CoV-2 and SARS-CoV suggested that the virus uses angiotensin-converting enzyme (ACE2) as a binding site. Thus the S protein helps the virus to target the ACE2 and then enters the cell. Therefore, the vaccine development involved targeting the S protein leading to the inhibition of membrane fusion [58–60]. Accordingly, the vaccine targets the S protein and thereby prevents S-protein activation, resulting in the blockade of its binding with ACE2. Moreover, the SARS-CoV-2 cell entry is also blocked by TMPRSS2 inhibitors in cell lines, which are expressed with TMPRSS2.

### 3.2. Viral Mutation and effect of mRNA vaccines on the variants of concern

Since the mutation is a natural phenomenon associated with viruses and sometimes, the mutation makes the virus weaker and less infective. However, the mutation also benefits the virus and makes it more infectious and highly transmissible. Mutation occurs in the genetic materials (RNA or DNA) of the viruses at a different rate, depending on the virus type. The mutation rate of an RNA virus is higher than DNA virus. For example, the Human immunodeficiency virus (HIV) and influenza virus (flu) are the two RNA viruses with high mutation rates [61,62]. Generally, once the virus enters the host cell, it uses polymerase to copy the genetic material, and these copies are used to infect new cells. Since, without perfection, the polymerase mistakes the copying process and result in mutation. Mostly, a mutation either harms the virus or does nothing. However, sometimes a mutation gives a newly produced virus an advantage. It provides the virus to evade the immune system or bind tightly to a host cell, which makes them highly transmissible. Since the COVID-19 pandemic has progressed for a long time, many mutations have occurred in SARS-CoV-2, and now different variants are infecting people in various parts of the world. However, some variants are considered “Variants of Concern” because they are believed to be highly transmissible and can evade the immune response of vaccinated individuals [63].

The variants of concern are discussed below:

#### 3.2.1. Alpha (lineage B.1.1.7)

The B.1.1.7 variant, first detected in the United Kingdom (UK), was highly transmissible compared to the original variant first detected in Wuhan, China. According to a study conducted in the UK, a high viral load has been linked with B.1.1.7 variant; therefore, a high risk of death is associated with this variant. The World Health Organization (WHO) preliminary studies revealed that the mRNA vaccine candidate maintained efficacy against the B.1.1.7 variant. The mRNA vaccines have retained antibody neutralization against B.1.1.7 variant [64]. Early reports also suggested that the BNT162b2 & mRNA-1273 vaccines protect against this variant [65,66].

#### 3.2.2. Beta (lineage B.1.351)

In October 2020 in South Africa, the B.1.351, known as Nextstrain clade 20H, was first detected and believed to be 50% more transmissible than the original variant. Early studies revealed that due to the presence of E484K mutation in the spike protein, the antibodies produced from vaccination or past infection might be less effective against this variant. One of the main concerns about this variant is the ability of immune evasion. Preliminary studies reviewed by the WHO indicated that the Pfizer–BioNTech vaccine demonstrates reduced efficacy/effectiveness against the infection; yet, there is no data available for other vaccines [67]. Moreover, early data from Pfizer revealed that the neutralizing activity for this version had been lowered by two-thirds [68]. Several studies eventually verified that sera from patients inoculated with the Moderna and Pfizer-BioNTech vaccines against B.1.351 variant had lower neutralizing activity [69]. A recent trial of the Pfizer/BioNTech vaccine against the B.1.351 variant revealing that the vaccine had been 100% successful thus far. (i.e., there was no infection in vaccinated participants), Beta variants were found in six of nine infections in the placebo control group [70]. Recently, data from Qatar and Israel authorities revealed that the two mRNA vaccines prevent severe cases from COVID-19, including severe pneumonia leading to death caused by B.1.351 [71].

#### 3.2.3. Gamma (lineage P.1)

This variant was first identified in Brazil and Japan. Like Beta variants, it appeared to be able to evade the immune system at first. The P.1

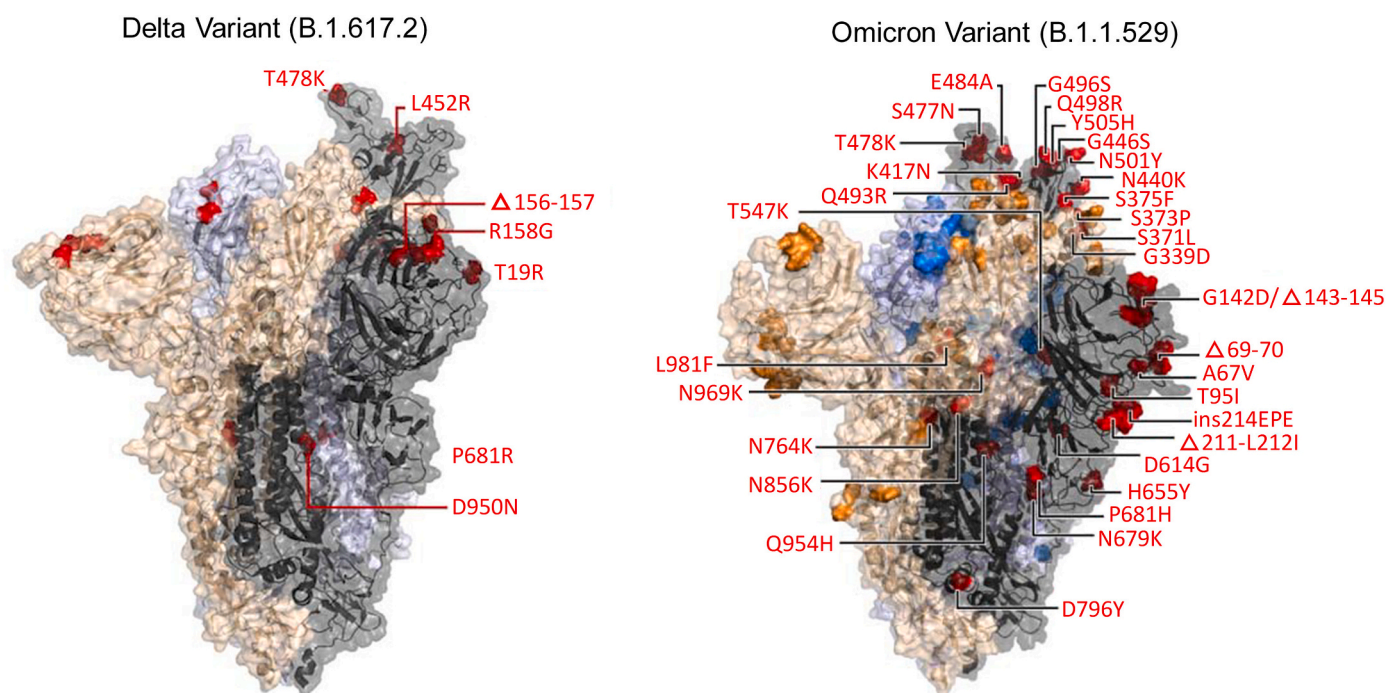
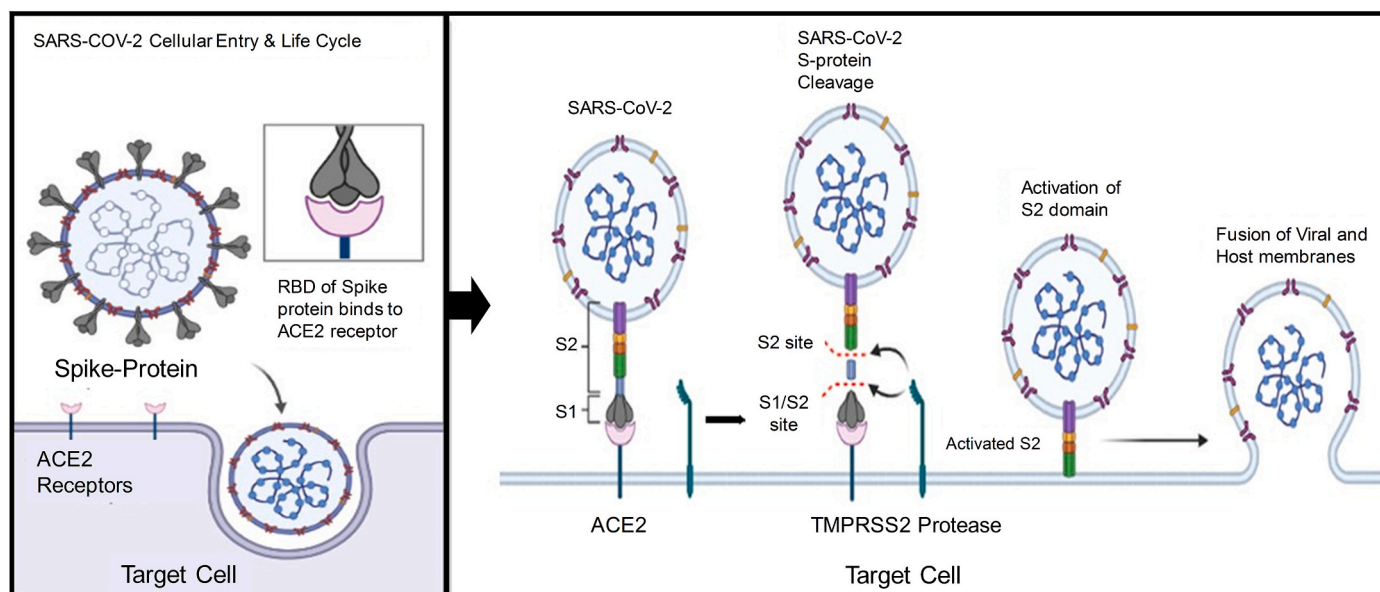
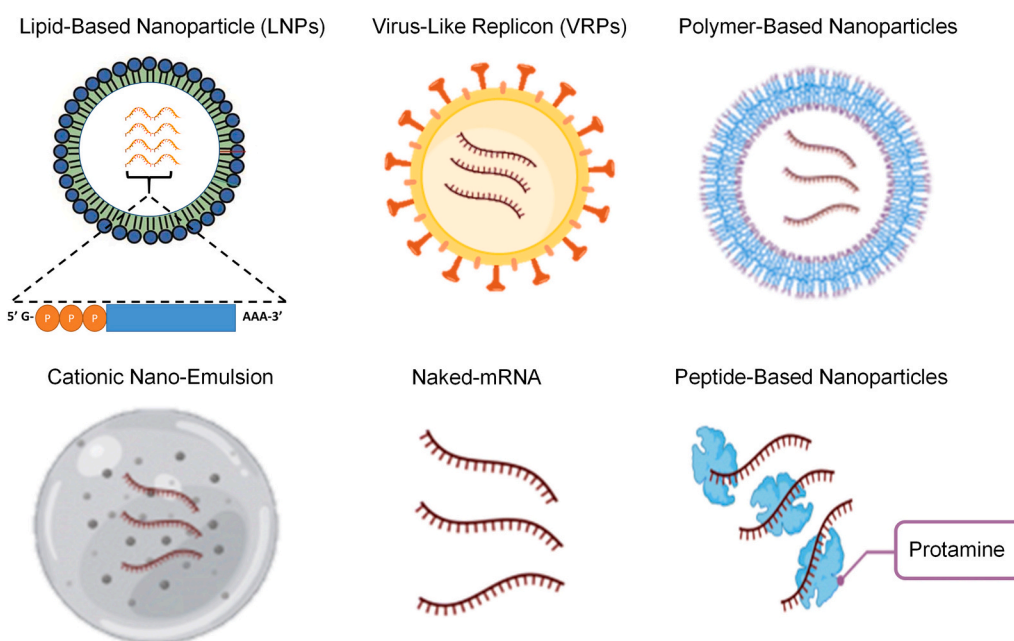


Fig. 3. Comparative analysis of highly mutated Omicron with Delta variant. There are 32 mutations in the spike protein. Copyrights bioRxiv preprint [190].



**Fig. 4.** The cell entry mechanism of SARS-CoV-2. Using the spike protein, the virus gets its entry to the human cell by attachment to the Angiotensin-converting enzyme (ACE) 2 receptors. After development of protein by the host cell, the antibodies are produced against ACE2, which helps the body’s immune system to recognize real infection. “Created with [BioRender.com](https://www.biorender.com/)”.



**Fig. 5.** Various delivery technologies for mRNA vaccines are shown: lipid-based particles, virus-like replicon particle, polymer-based delivery, cationic nano-emulsion, naked mRNAs, and peptide-based delivery.

variant contains 17 unique mutations, including some of the key S protein mutations as B.1.1.7, B.1.351 variants, and some other key mutations. As with the other two variants, P.1 showed low transmissibility. Multiple exploratory trials assessed by the WHO have shown slightly reduced antibody neutralization with Pfizer–BioNTech and Moderna (minimal to moderate reduction) [72].

### 3.2.4. Delta (lineage B.1.617)

This variant emerged during the surge of COVID-19 cases in India and has since spread globally. It is nearly twice as infectious as previous variants. Several studies examined by the WHO indicated that the Pfizer–BioNTech and Moderna vaccines have remained effective against

the Delta variant. They have also shown reduced antibody neutralization against Delta with Pfizer–BioNTech. Spike protein mutations D111D (synonymous), G142D, P681R, E484Q, and L452R, are among the 15 characteristic mutations (Fig. 3), whereas the later two mutations are responsible for evading antibodies up to some extent [73,74].

### 3.2.5. Lambda (C.37 lineage)

A study has been conducted in the United States revealed that the vaccines used for COVID-19 are effective against the C.37 lineage of SARS-CoV-2. The lambda variant was first found in Peru in August 2020 and is currently prevalent in the South American region and various other countries.

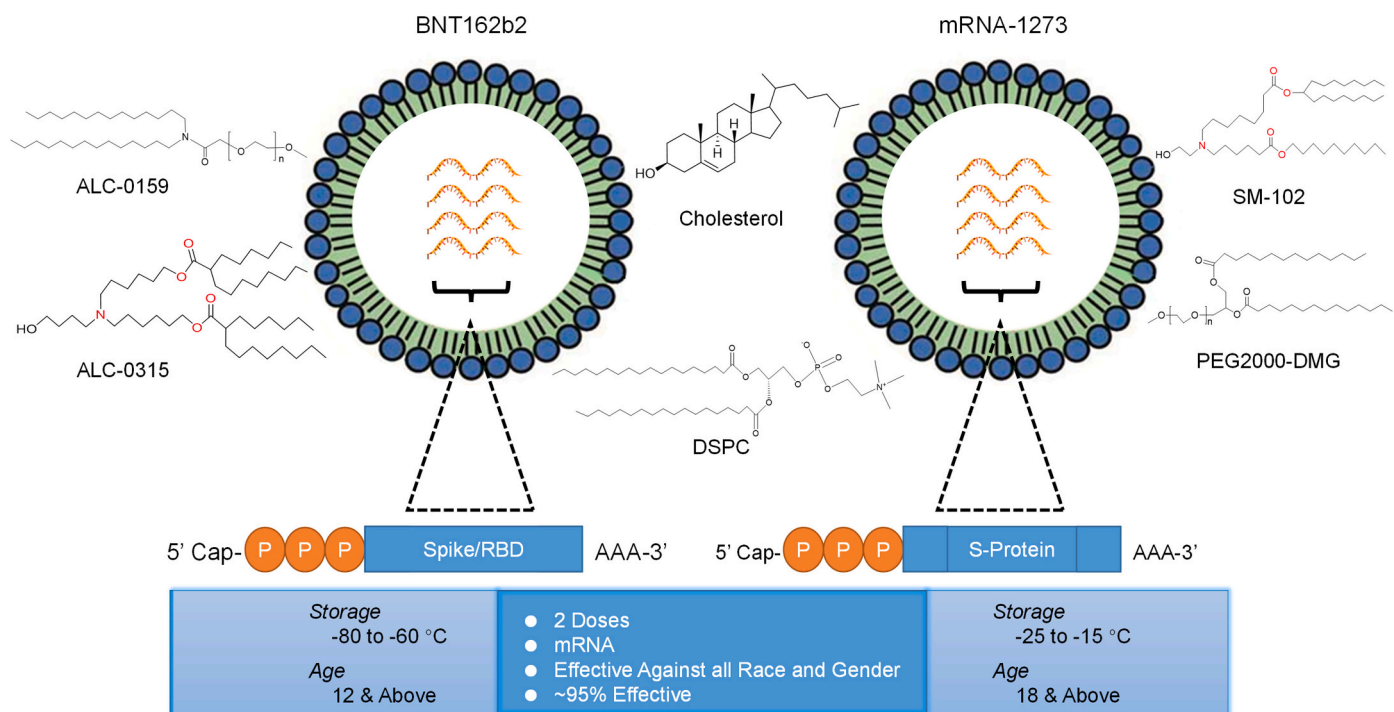


Fig. 6. Schematic representation of two mRNA vaccines for human. The composition of BNT162b2 and mRNA-1273. Both containing mRNA encoding spike protein surrounded by lipid materials.

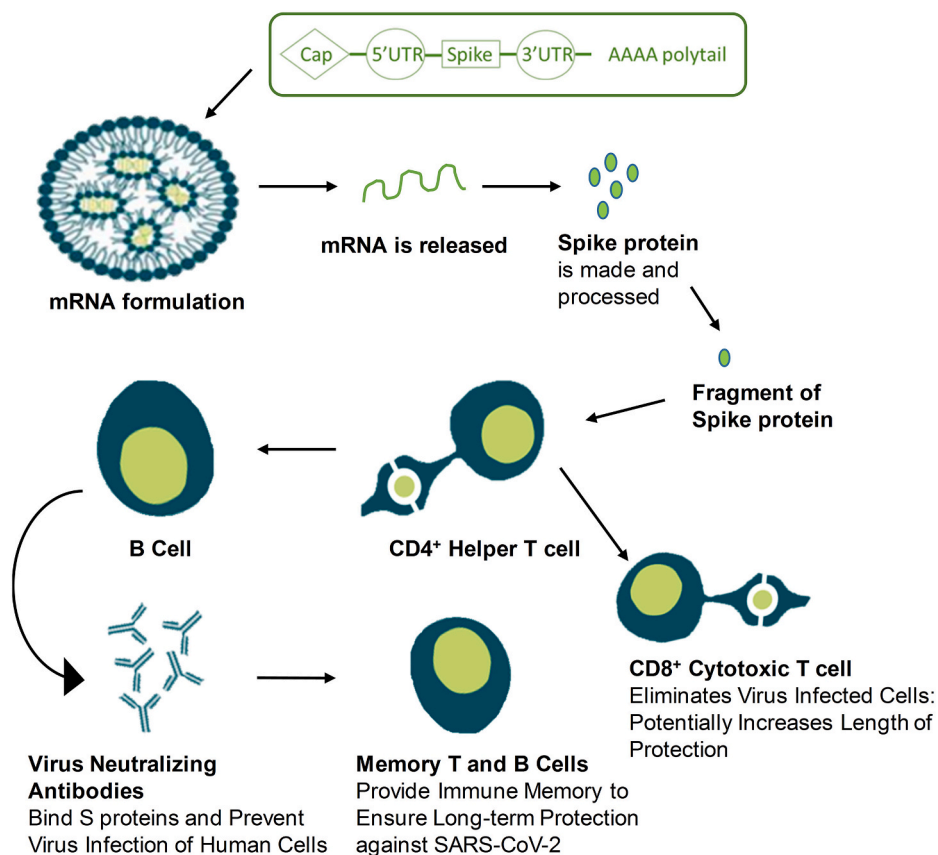
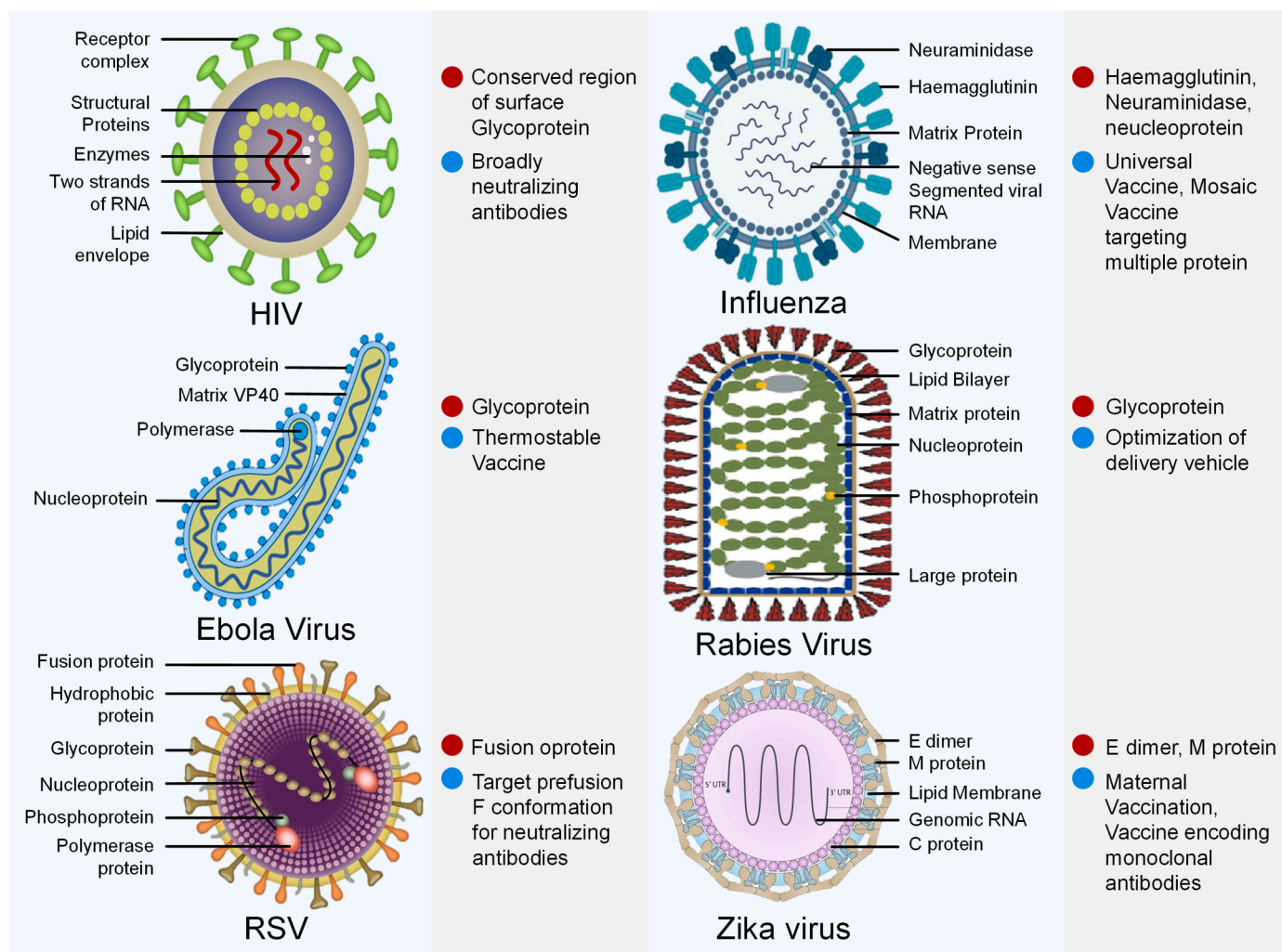


Fig. 7. How mRNA vaccines work- Training the immune system for a real infection.



**Fig. 8.** Viruses causing diverse diseases. Possible targets (Red dot) and strategies (Sky blue dot) for mRNA vaccines. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

The study found that the pseudotyped virus expressing the C.37 S protein was less susceptible to vaccine-provoked neutralizing antibodies. The study also revealed that the neutralizing antibodies reduction is very less. The results further suggested that the vaccines in current use against lambda variant remain protective [71].

### 3.2.6. Omicron (B.1.1.529)

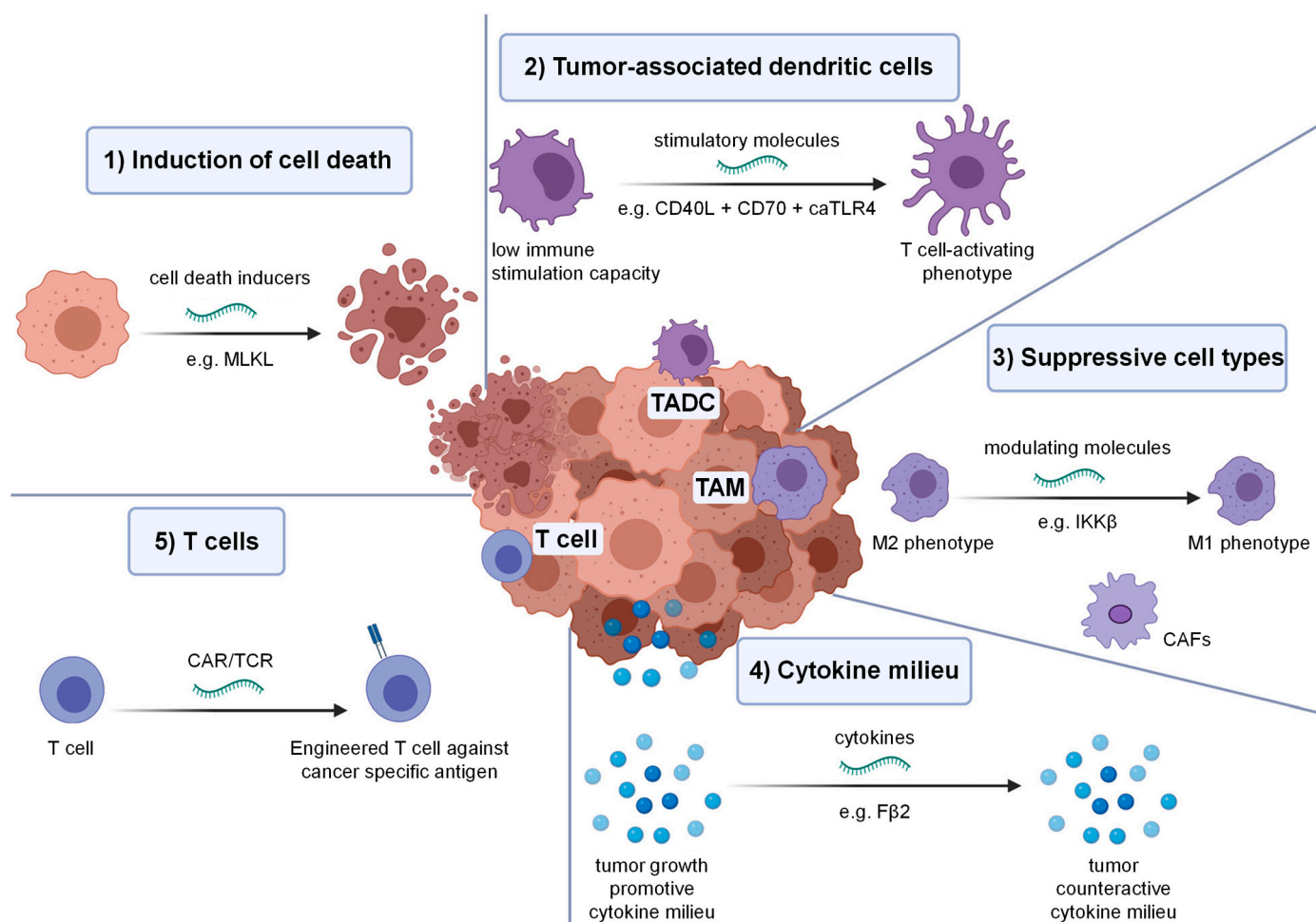
Recently, a new variant of SARS-CoV-2 named Omicron (B.1.1.529) was identified in Africa. The Omicron variant contains at least 32 mutations in the S protein alone compared to 16 mutations in the already highly infectious delta variant (Fig. 3) and other proteins such as NSP12 and NSP14 that are crucial for viral replication [75]. The Omicron variant is thought to be at least three times more infectious than the original SARS-COV-2 and possibly more contagious than the Delta variant. More importantly, it may be partly resistant to existing vaccines [76]. Patients infected with Omicron was continually reported all over the world. The global prevalence of the Omicron variant remains to be closely monitored and controlled. Several research institutes and/or companies have announced that they have started developing new vaccines against the Omicron variant. The most recent study revealed that a booster dose of BNT162b2 & mRNA-1273 vaccines are effective against the variant. This study at Ragon Institute of MGH, MIT and Harvard involved the construction of a harmless version of Omicron called pseudovirus [77]. They evaluate the effectiveness of mRNA COVID-19 vaccines using this harmless version of the Omicron variant.

The study also suggested that the fast and rapid spread of the Omicron variant is partial because of these mutations. During the study, blood samples were collected from 239 people vaccinated from either BNT162b2 or mRNA-1273. Among these 239 participants, there were 70 individuals got booster doses of mRNA vaccines. They found that individuals vaccinated with mRNA vaccines have low neutralization of the pseudovirus. However, significant neutralization was found against the Omicron variant in individuals who received a booster dose of the mRNA vaccine. The findings suggested that a possibility exists that the booster might induce antibodies to bind firmly to the S protein. Moreover, this additional dose of either of the mRNA vaccine produce antibodies targeting common regions in the S protein of all the variants of SARS-CoV-2 [77].

### 4. SARS-CoV-2 cellular entry and life cycle

Recent reports revealed that the S protein facilitates SARS-CoV-2 to recognize the ACE2 receptor that enables the virus to enter the cells. The reports also suggested that once the virus engages with the host cell membrane, the complex ACE2/SARS-CoV-2 or the viral RNA alone enters the cytosol (Fig. 4) [78,79]. In the case of ACE2/SARS-CoV-2, the entire virus is endocytosed, and the virus’s membrane is fused with the luminal side of the endosome. This allows the viral RNA to enter the host cells’ cytosol. Some reports suggested that the SARS-CoV-2 infects cells with ACE2 expression more effectively than those deficient in ACE2





**Fig. 9.** In vivo tumor modulation by mRNA: various strategies include, (1) Induction of cell death e.g. mRNA encoding mixed lineage kinase domain like pseudokinase induce cells death in an immunogenic way. (2) Tumor-associated dendritic cells (TADC) modulation e.g. mRNA encoding for cluster of differentiation 40 ligand, cluster of differentiation 70 ligand & constitutive active toll like receptor 4 (TriMix) can be combined to stimulate immature TADC to mature TADC. (3) Suppressive cell types e.g. the tumor-associated macrophages can be genetically reprogrammed by mRNA encoding inhibitor of nuclear factor kappa- $\beta$  kinase. (4) Cytokine milieu e.g. mRNA encoding IFN- $\beta$  fused to the ectodomain of the TGF- $\beta$  receptor II (F $\beta$ 2). (5) Induction of cancer-specific T cells e.g. mRNA can be used to genetic engineer T cells to express cancer-specific T cell receptors. Reprinted with permission from, Copyright Springer Nature [191].

expression. Besides ACE2, the TMPRSS2 also act as an important protein [80,81].

Similarly, other reports suggested that the TMPRSS2 protein also has a key role in the viral entry into the host cell. The S protein is primed by the TMPRSS2 (serine protease) crucial to the pathogenesis of the virus (Fig. 4) [82]. The ACE2 is located mostly in the tissues of major organs, including the kidney, heart, lung, heart, testes, and brain. That is the reason that the infection of SARS-CoV-2 created problems in these major organs of the human body [83].

A comparative study was conducted to analyze the presence of ACE2 expression in a mouse model, non-human and human. The study results revealed that both the TMPRSS2 and ACE2 are highly expressed in nasal cellular goblets, gut enterocytes and specifically in the lung type II pneumocytes [84]. This suggested that as the S protein binds with the ACE2, it facilitates the conformational alteration, leading to the stimulation of viral envelope fusion with the host cell membrane. Once inside, the virus releases the RNA into the cell, and replication occurs.

Inside the cell, the RNA genome is translated into important viral replica polyproteins called pp1a and pp1b, which are then cleaved by viral proteinases into tiny products. As a result, a series of sub-genomic mRNAs are produced through discontinuous transcription via polymerase activity and finally translated into key viral proteins. Consequently, the viral protein and genomic RNA get assembled into virions in

the endoplasmic reticulum. The resultant virions are then transported in the form of vesicles and released into the cytoplasm [25].

## 5. mRNA-based vaccines

The mRNA does not intermingle with the host genome, as it is a safe, nominal and transient information carrier. Interestingly, the mRNA can be designed and manufactured rapidly as compared to other conventional vaccines. This rapid preparation potential of mRNA offers broad flexibility to develop a broad range of vaccines for other major infectious diseases. In simple words, the immunogens of interest are translated from input vaccine transcript by a conventional mRNA vaccine [85]. The mRNA shall be encapsulated in a specific liposome as well as a complexing agent. The suitable liposome and complexing agent in turn enhance the cellular uptake, and the higher cellular internalization brings about higher therapeutic delivery into the cytoplasm translation machinery. The liposomes also help to minimize the degradation of mRNA before it reaches the cytoplasm of the cell [85].

The clinical translation of mRNA-based vaccines is taking momentum as it is more advantageous in terms of efficacy, safety, economic production, and great perspectives in large-scale production. These advantages made mRNA-based vaccines a promising alternative to conventional vaccines. Moreover, the chimeric mRNAs that contain ORF

viral sequences can be expressed in the cytoplasm and hence possess great potential in blocking chromosomal integration. Briefly, the immune cells process the injected mRNA to produce targeted protein via direct translation and further activate the immune system to detect and recognize viral protein to produce antibodies [86]. The first and important step of reaching the cytoplasm is to cross or penetrate the lipid membrane (Fig. 7) [87–90]. However, the lipid nanoparticle encapsulation made the mRNA-based vaccines as a promising alternative to traditional-based vaccines due to better penetration and internalization. As reported, the SARS-CoV-2 is a (+)ss-RNA virus that has high replication inside cytosol. Furthermore, the virus's transmissibility is extremely high, which needs prompt prevention. Therefore, the development of mRNA-based vaccines played a vital role in protection against COVID-19. However, mRNA-based vaccines have to prove safety and efficacy in human beings at large since the early results of two mRNA-based vaccines developed for COVID-19 showed promising results in terms of safety and efficacy [91,92].

### 5.1. Advantages of mRNA-based Vaccines

Various prophylactic and therapeutic fields have been bridged by mRNA due to its versatility from the first-ever breakthrough in 1990 when the exogenous proteins were expressed in mice using in vitro transcribed (IVT) mRNA [93–96]. To date, different strategies were employed and developed to deliver mRNA to treat infectious diseases and cancer [97].

Some of the advantages of in-vitro transcribed mRNA are given below;

- During the recent pandemic of COVID-19, the first-ever mRNA vaccine was inoculated in humans after the presentation of the viral genome before ten weeks. This shows that the time required to develop mRNA vaccines is very short [98].
- Most importantly, the IVT reaction is easy and quick, and a high yield can be obtained for scale-up manufacturing [97]. Having an advanced manufacturing setup, the manufacturing can be as large as kilograms [99].
- Due to the in-situ synthesis of antigen protein's stability, the mRNA vaccine excludes the need for protein purification. Moreover, it

excludes the need for long-term stabilization, which is challenging for some antigens.

- If the protection of mRNA against RNases is ensured, then the transportation and storage of mRNA might become easier than protein-based vaccines because of low degradation chances compared to protein [100,101].

The above advantages certainly help to manufacture, store and transport mRNA vaccines in response to outbreaks and infectious disease outbreaks.

### 5.2. Disadvantages of mRNA-based Vaccines

Despite various advantages, the field of mRNA delivery faces some challenges such as:

- There is still concern regarding the instability of mRNA due to the enzymatic degradation by the natural RNases in the body [27].
- There are hundreds to thousands of nucleotides in mRNA, and these nucleotides must reach in full length to the cytosol for active translation. Therefore, due to degradation by RNases, the in vivo delivery of mRNA is a major concern.

## 6. mRNA Delivery technologies

Due to the instability of mRNA, the introduction of mRNA vaccines at large further needs some delivery strategies such as carrier molecules. Therefore, scientists have made efforts to develop various kinds of carrier molecules to prevent the degradation of mRNA from RNases as well for better transportation of mRNA to the target site in the body. Examples of such carrier molecules are; Lipid-based delivery, polymer-based delivery, peptide-based delivery, virus-like replicon particle delivery, cationic nano-emulsion delivery and inoculation of naked mRNA [102]. This review section highlighted different delivery technologies associated with mRNA (Fig. 5 and Table 1).

### 6.1. Lipid-based delivery

Lipid nanoparticles (LNP) are negatively charged mRNA delivery

**Table 1**  
Various delivery technologies for mRNA delivery.

System	RNA	Disease/Condition	Composition	Ref
Lipids	HxB-2 HIV-1 Gag antigen mRNA	HIV	DOTAP/DOPE	[192]
	eGFP mRNA	N/A	DOPE/DC-Cholesterol [2:1]	[193]
	HSV I Thymidine kinase mRNA	Cancer	DOTAP/Cholesterol [1:1] liposome with DSPE-PEG and DSPE-PEG-AA	[194]
	EPO mRNA	N/A	C12-200:Cholesterol: DOPE:C14-PEG2000	[111]
	Ovalbumin mRNA	Malenoma	A18	[195]
	HER2 antibody mRNA	Cancer	cKK-E12	[196]
	Human erythropoietin	N/A	MC3, DSPC, cholesterol, and PEG2000-DMG	[197]
	HIV-1 antigen Gag mRNA	HIV	DOTAP/DOPE [1:1]	[192]
	eGFP mRNA	N/A	DC-Cholesterol)/DOPE (1:2)	[193]
	Erythropoietin (EPO) mRNA	Anemia and myelodysplasia	Poly(glycoamidoamine)	[122]
Polymers	HIV-1 gag mRNA	HIV	Polyethyleneimine	[198]
	eGFP mRNA	N/A	Poly( $\beta$ -amino ester) (PBAE)	[199]
	eGFP and ovalbumin	N/A	Triblock copolymer	[200]
	Luciferase-encoding mRNA	N/A	DEAE-Dextran	[201]
	eGFP mRNA	Ovarian Cancer	PepFect14	[202]
Peptides and peptide-polymer hybrids	eGFP mRNA & OVA mRNA	N/A	RALA	[132]
	eGFPmRNA & FLuc mRNA	N/A	RALA-PLA	[203]
	Firefly luciferase (FLuc) mRNA and eGFP mRNA	N/A	TT3:DOPE:Cholesterol:DMG-PEG2000 with PLGA core	[204]
Lipid polymer hybrid NPs	FLuc mRNA	N/A	PBAE:C14-PEG2000	[205]
	Ovalbumin mRNA	N/A	PBAE:EDOPC/DOPE/DSPE-PEG	[206]
	eGFP mRNA	N/A	PBAE: DOPC, DOTAP, and DSPE-PEG	[207]

vehicles, categorized as ionizable amino lipids, phospholipids, polyethylene glycol (PEG) and cholesterol (chol). The LNPs have been extensively studied as delivery vehicles for mRNA and emerged as the first-ever clinically tested platform to date [103–106]. The PEG facilitates prolong circulation during systemic circulation and hinders the binding of plasma proteins to mRNA. While the essential ionizable lipids facilitate the endosomal escape of mRNA, the phospholipids and cholesterol bring stability to the LNP structure [107,108]. Moreover, as an mRNA vaccine vector, the LNP has two advantages: protecting the mRNA from degradation by endosomal enzymes and better biocompatibility, which helps efficient delivery of mRNAs for expression of proteins [109].

Multiple lipid components and modifications in lipids decides the efficient delivery of LNPs [110]. Cationic or ionizable lipids are the primary substance to deliver RNA such as (i) 1,2-dioleoyl-sn-glycerol-3-phosphoethanolamine (DOPE) [111], (ii) N-[1-(2,3-dioleoyloxy) propyl]-N,N,N-trimethylammonium chloride (DOTMA) [112], (iii) N1,N3,N5-tris(3-(didodecylamino)-propyl)benzene-1,3,5-tricarboxamide (TT3) [113], (iv) dilinoleylmethyl-4-dimethylaminobutyrate (Dlin-MC3-DMA), (v) N,N-Dimethyl-2,3-bis[(9Z,12Z)-octadeca-9,12-dienyloxy]propan-1-amine (DlinDMA) [114] and (vi) 1,2-dioleoyloxy-3-trimethylammonium propane chloride (DOTAP) [115]. At certain pH, these lipids are positively charged and thus feasible for the mRNA to interact electrostatically, and easily fused with cellular membrane [116]. After endocytosis, the ionizable cationic lipid becomes more positively charged due to proton-pump mediated pH reduction [117]. Once inside, the cationic lipids are removed by the endogenous anionic lipids and resulting in the release of mRNA in to the cytoplasm [118]. Currently, the LNP is a prospective mRNA delivering vector due to better biocompatibility and high delivery efficiency [119,120].

### 6.2. Polymer-based delivery

Clinically, the polymeric-based materials are less explored as compared to ionizable LNPs. However, the mRNA has been successfully coated by polymeric-based materials to prevent its degradation leading to the expression of proteins of interest. In order to address the disadvantages associated with the polymeric materials, various researchers added lipid chains, enlarged branch structures, and built biodegradation enhancing domains to improve polydispersity and enhance clearance of large molecules [121–123].

Various cationic polymers such as polyamidoamine (PAMAM) dendrimer, polyethylenimine (PEI) and polymerand polysaccharide are used [124–127]. For example, PEI/polyplex was used to coat mRNA encoding hemagglutinin of influenza virus and nucleocapsid. The cytosolic composite enables mRNA translation and encourages both humoral and cellular responses [128]. Moreover, the dendrimer is considered a possible delivery vehicle due to the presence of multiple functional groups with high tolerability [129]. For example, the PAMAM dendritic polymers containing NH<sub>2</sub> and OH functionalities enter A549 human lung epithelial carcinoma cells faster than the hyperbranched polymers [130]. The polymer-based delivery material is a hopeful platform for the delivery of mRNA. However, it is in the early pre-clinical stage of investigation and needs further research.

### 6.3. Peptide-based delivery

Due to the electrostatic interaction, the negatively charged mRNA can be carried via cationic peptide. The presence of amino groups, such as arginine and lysine, makes the peptide positively charged. This positive charge enables the negatively charged mRNA to adsorb onto the cationic peptide [131]. However, the negative and positive ratios determine the loaded mRNA amount [132]. Two aspects make protamines one of the prospective cationic peptides to deliver mRNA, such as it protects mRNA from the degradation of RNase in the serum [132]. On the other hand, the protamine acts as an adjuvant. The latter one is

evident from a study, which suggested that a formulation containing protamine delivering mRNA activated both dendritic cells (DCs) and monocytes, resulting in TNF- $\alpha$  and IFN- $\alpha$  secretion. Moreover, the formulation also activated immune cells by recognizing protamine and mRNA [133]. Another study was conducted in the glioma animal model, which revealed that the protamine and mRNA formulation had a higher antitumor effect than naked nucleic acid adjuvants [134]. As a result, only the protamine is undergoing clinical trials among all the peptide-based delivery carriers [135,136].

Similarly, cationic cell-penetrating peptides (CPPs) are another kind of small peptide, comprised of approximately 8 to 30 amino acids, and are considered to be an excellent carrier. These CPPs are furnished with low charged densities as well as capable of disrupting membrane during the endosomal escape. The ability to disrupt membranes is valuable for protein synthesis [137]. Additionally, the anionic peptides became efficient once conjugated with a positively charged substance [138].

### 6.4. Virus-like replicon particles (VRPs)

The VRPs are like a virus-infecting method where the antigen-encoding saRNA is encapsulated for efficient delivery into the cytosol. Generally, the in-vitro synthesis of viral structural protein is performed first, and then antigen-encoding saRNA is encapsulated [139]. The lipid inorganic nanoparticles (LIONS) are recently prepared to encapsulate the alphavirus-derived replicon RNA encoding the SARS-CoV-2 S protein. The resultant LIONS were administered to primates and mice. As a result, a high amount of anti-SARS-CoV-2 S protein IgG antibody was produced [140]. Similarly, in another study, the VRPs were loaded with mRNA derived from HIV encoding clade C envelope glycoprotein. As a result, the formulation triggered a complex immune response in the rhesus [141]. A concise summary is presented by Lundstrom comprising VRPs-saRNA against viral diseases, bacterial diseases, and cancer. Although the VRPs demonstrated excellent therapeutic effect against most viral diseases, bacterial diseases, and cancer, there are still few limitations which hinders its clinical translation. Moreover, the combination involving VRPs are believed to endorse anti-vector antibodies, which hamper the ongoing clinical trials [142].

### 6.5. Cationic Nano-Emulsion (CNE)

CNE is a non-viral delivery platform, which enhances the efficiency of mRNA vaccines by binding to saRNAs. The cationic lipid 1,2-dioleoyl-sn-glycerol-3-phosphocholine (DOTAP) is an essential component of CNE. Comparatively, the cellular-immune response induced by CNE derived from saRNA is believed to be robust compared to saRNA-derived VRPs. Similarly, studies also demonstrated robust protective immunogenicity against the Zika virus and Venezuelan equine encephalitis virus, followed by the saRNA vaccine delivered by CNE [143]. CNE showed great potential to be evaluated clinically based on the above preclinical studies.

### 6.6. Naked mRNA vaccines

The naked-mRNAs are delivered directly by injecting mRNA using Ringer's and lactated Ringer's solutions. Since the naked-mRNA is unable to cross the membrane, scientists hypothesized the cell uptake mechanism, such as the involvement of DC-mediated macro-pinocytosis. They further suggested that it diminishes the mRNA as the DCs mature [144]. Some researchers suggested that the uptake of mRNA can be brought by the disruption of the membrane, such as the direct penetration and permeability, including microinjection and mechanical membrane disruption or electroporation, respectively [145,146]. However, the degradation of mRNA in the serum by RNase hinders its further clinical progress and needs extensive evaluation.

## 7. mRNA vaccines for COVID-19

mRNA therapeutics is currently an emerging technology for the prevention of infectious diseases. In the mid of 2019, fifteen mRNA-based vaccines were developed against infectious diseases, but unfortunately, none entered phase III trials. Therefore, it was believed that the mRNA-based technology might take another 5 to 6 years to reach the market. Fortunately, the COVID-19 paved the way for mRNA-based vaccines to be the ultimate test for the first time in humans at large. Till the end of 2021, there were around 200 vaccine candidates in pre-clinical trials. In addition, more than 100 vaccine candidates are already in the clinical trials, of which around 20 candidates are mRNA-based vaccines. Only two of them (Fig. 6) got emergency approval from the United States Food & Drug Administration (US FDA). The two mRNA-based vaccines, BNT162b2 & mRNA-1273, are approved for large inoculation in humans worldwide.

The BNT162b2 mRNA-based COVID-19 vaccine is co-developed by Pfizer and BioNTech [147]. They started to develop five candidates encoding the S protein of SARS-CoV-2. Two of their lead candidates named BNT162b1 and BNT162b2 were encapsulated in LNPs. The ionizable lipid, ALC-0315, and a nucleoside-modified mRNA in which N1-methylpseudouridine was used as a replacement of uridines for enhanced mRNA translation [148]. A secreted type of the S protein's RBD, trimerized, was encoded in the BNT162b1, whereas a full-length of SARS-CoV-2 S protein along with proline replacements in the S2 subunit was encoded in the BNT162b2 type. During pre-clinical studies, two doses of BNT162b2 (100 µg each) were given to rhesus macaques 21 days apart. The results were impressive as the two doses triggered neutralizing antibodies 10.2 to 18.0 times more compared to a convalescent patient serum. Furthermore, the doses also elicited CD4+ and CD8+ T cell responses [149].

Moreover, during the phase I trials, both neutralizing antibodies and robust CD4+ and CD8+ were recorded with two doses of 30 µg at 21 days apart with mild to moderate adverse effects [150–153]. As both the

lead candidates showed promising outcomes during phase I trials, the BNT162b2 was further tested for phase II/III trials because of the mild adverse effects both locally and systemically compared to BNT162b1. Overall, 95% efficacy (Table 3) was shown by BNT162b2 in preventing COVID-19, while 90–100% was recorded across subgroups defined by ethnicity, BMI, age, sex as well as co-existing conditions [154]. Furthermore, in a trial conducted in Israel, recruiting 3,159,136 individuals was inoculated with BNT162b2 with 94% efficacy in preventing COVID-19 and preventing hospitalization at a rate of 87% as 92% effective to prevent severe symptoms of COVID-19 [155].

Similarly, another mRNA-based COVID-19 vaccine, known as mRNA-1273, was developed by Moderna in collaboration with the National Institute for Allergy and Infectious Diseases at the National Institutes of Health and the Biomedical Advanced Research and Development Authority. The mRNA-1273 also uses LNPs comprising an ionizable lipid, SM-102, in which N1-methylpseudouridine-modified mRNA was encapsulated [156].

During the pre-clinical trial, mice were injected with two doses (1 µg, primer dose and booster dose), resulting in both neutralizing the virus and a potent response of CD4+ and CD8+ T cell [156]. Moreover, it was also noted that the mRNA-1273 prevents airway infections for up to 3 months. A potent humoral and cellular immune response was also noted after two doses (100 µg) in rhesus macaques [157]. In a comparative study, the serum from vaccinated macaque demonstrated 15-folds more neutralizing antibodies, potent spike-ACE2 inhibition activity of 348-folds and virus-neutralizing activity of 12-folds as compared to the serum from COVID-19 recovered patients [157]. These promising results paved the way for mRNA-1273 in clinical trials where it showed acceptable tolerability and exceptional efficacy. In phase III trials, the mRNA-1273 showed 94.1% efficacy (Table 3) against COVID-19 infection after two doses (100 µg). There were no obvious side effects recorded except the local pain at the injection site. However, fatigue, muscle pain and joint pain were noticed in volunteers after the second dose of mRNA-1273, but these side effects were subsided after 48 h

**Table 2**  
In-progress clinical trials of mRNA vaccines for COVID-19.

Type of vaccine	Developers	Route of administration	Schedule	Ref
<b>Phase IV</b>				
mRNA-1273	Moderna + National Institute of Allergy and Infectious Diseases (NIAID)	IM	Day 0 + 28	[208]
BNT162b2 (LNP-mRNAs)	Pfizer/BioNTech + Fosun Pharma	IM	Day 0 + 21	[209]
<b>Phase III</b>				
SARS-CoV-2 (ARCoV)	Academy of Military Science (AMS), Walvax Biotechnology and Suzhou Abogen Biosciences	IM	Day 0 + 14 or Day 0 + 28	[210]
CvCoV Vaccine	CureVac AG	IM	Day 0 + 28	[211]
<b>Phase II/III</b>				
ARCT-021	Arcturus Therapeutics	IM	ND	[212]
MRT5500	Sanofi Pasteur and Translate Bio	IM	Day 0 + 21	[213]
mRNA-1273.211	ModernaTX, Inc.	IM	Day 0	[214]
<b>Phase I/II</b>				
DS-5670a	Daiichi Sankyo Co., Ltd.	IM	ND	[215]
EXG-5003	Elixirgen Therapeutics, Inc	ID	Day 0	[216]
mRNA-1283	ModernaTX, Inc.	IM	Day 0 + 28	[217]
ChulaCov19	Chulalongkorn University	IM	Day 0 + 21	[218]
PTX-COVID19-B	Providence Therapeutics	IM	Day 0 + 28	[219]
<b>Phase I</b>				
HDT-301	SENAI CIMATEC	IM	Day 0 + 28	[220]
mRNA-1273.351	Moderna + National Institute of Allergy and Infectious Diseases (NIAID)	IM	Day 0 or Day 0 + 28 or Day 56	[221]
LNP-nCoV saRNA-02 vaccine	MRC/UVRI and LSHTM Uganda Research Unit	IM	Day 0 + 28	[222]
CoV2 SAM (LNP)	GlaxoSmithKline	IM	Day 0 + 30	[223]
LNP-nCoVsaRNA	Imperial College London	IM	ND	[222]

**Table 3**  
General characteristics of mRNA-based vaccines for COVID-19.

	BNT162b2	mRNA-1273
Efficacy	95%	94.1%
Technology	mRNA	mRNA
Required doses	2	2
Days between doses	21	1 month
Recommended age group as per US FDA	12 years of age and older (purple cap, gray cap), 5–11 years of age (orange cap)	18 years and older
Common adverse reactions	acute anaphylactic reaction, myocarditis and pericarditis, fainting, fatigue, headache, muscle pain, chills, joint pain, swelling at the injection site, fever, redness at the injection site	acute allergic reactions, myocarditis and pericarditis, syncope, pain at the injection site, fatigue, headache, myalgia, arthralgia, chills, nausea/vomiting, axillary swelling/tenderness, fever, erythema at the injection site, and rash
Storage temperature	−80 to −60 °C	−25 °C to −15 °C
Duration of stability at fridge temperature	5 days	30 days

[158]. Some severe adverse reaction following the two mRNA vaccines are discussed in the next section. Other mRNA based COVID-19 vaccines candidates are in different stages of development, summarized in Table 2.

**8. COVID-19 mRNA vaccines and adverse effects**

Millions of mRNA COVID-19 vaccines have been inoculated globally, and plenty of safety evaluations have been conducted. The data showed that the vaccines are safe and effective against COVID-19. However, rare adverse reactions, such as myocarditis and pericarditis, are commonly reported in males under the age of 30 within one week of their second vaccination dose [159]. These cases have been observed especially in Ontario, Canada and the United States after the administration of mRNA vaccines manufactured by Moderna Spikevax®, while less pragmatically after administering vaccines manufactured by PfizerBioNTech Comirnaty®. Taking precautions, the National Advisory Committee on Immunization (NACI) recommended halting the second dose if an adverse effect was experienced to the first dose of vaccine until more information is available. Individuals who suffer myocarditis and pericarditis after administering the vaccine experienced common symptoms including; shortness of breath, pain in the chest, palpitations [160]. Furthermore, a recently published report in American Heart Association revealed that the adverse reaction of myocarditis in young people under the age of 21 is mild and improved quickly [161]. Cases of suspected vaccine-associated myocarditis were categorized as “probable” or “confirmed” using Center for Disease Control and Prevention (CDC) definitions.

Similarly, analysis of observational data of adverse events following mRNA vaccination from the largest health care organization in Israel was compared with unvaccinated individuals. The results suggested that vaccination is most strongly associated with a high risk of myocarditis (risk ratio, 3.24; 95% CI, 1.55 to 12.44; risk difference, 2.7 events per 100,000 persons; 95% CI, 1.0 to 4.6) [162].

Myocarditis and pericarditis are more typically reported in children who develop multisystem inflammatory syndrome (MIS-C) following COVID-19 infection. MIS-C is a condition that causes different parts of the body to become inflamed, including the heart, lungs, kidneys, brain, and gastrointestinal organs [163]. Children who develop MIS-C are much more likely to be admitted to the intensive care unit (ICU) and need medication. The CDC reports at least 5900 cases of MIS-C causing 52 deaths among children in the US. Similarly, a study in the US and UK that included adults found that myocarditis is more likely common after

**Table 4**  
In-Progress Clinical trials using mRNA vaccines for the treatment of various cancer types.

Target disease	Status	Phase	NCT number
Non-small cell lung cancer (NSCLC)	Completed	I/II	NCT03164772
	Not yet recruiting	–	NCT03908671
	Unknown	I/II	NCT02688686
	Recruiting	I	NCT04163094
	Unknown	I	NCT01456065
	Completed	I/II	NCT00204607
	Completed	I	NCT00978913
	Completed	I/II	NCT00940004
	Completed	I	NCT01066390
	Completed	II	NCT02285413
Ovarian cancer	Completed	I/II	NCT00204516
	Completed	I/II	NCT01278940
	Completed	I/II	NCT01530698
	Completed	I/II	NCT00243529
	Active, not recruiting	II	NCT03897881
	Active, not recruiting	I	NCT01456104
	Active, not recruiting	I	NCT02410733
	Terminated	I/II	NCT00961844
	Terminated	I	NCT03480152
	Terminated	I/II	NCT00929019
Brain cancer	Completed	I/II	NCT00846456
	Completed	I	NCT00626483
	Completed	II/III	NCT03548571
	Suspended	II	NCT03927222
	Recruiting	I/II	NCT02649582
	Recruiting	II	NCT03688178
	Recruiting	II	NCT02465268
	Unknown	I	NCT02808416
	Unknown	I	NCT02709616
	Active, not recruiting	I	NCT00639639
Prostate cancer	Unknown	II	NCT02366728
	Unknown	I/II	NCT01291420
	Completed	I	NCT00890032
	Completed	I/II	NCT01278914
	Completed	II	NCT01446731
	Completed	II	NCT02692976
	Active, not recruiting	I/II	NCT01197625
	Withdrawn	I/II	NCT01153113
	Terminated	II	NCT02140138
	Unknown	I/II	NCT02452307
Blood cancer (leukemia)	Completed	I	NCT00834002
	Completed	I/II	NCT01734304
	Completed	II	NCT00510133
	Completed	I/II	NCT02528682
	Recruiting	II	NCT01686334
	Active, not recruiting	I	NCT01995708
	Active, not recruiting	I/II	NCT03083054
	Terminated	I	NCT00514189
	Unknown	–	NCT00965224
	Unknown	II	NCT00228189
Cancer related to digestive tract	Completed	II	NCT03468244
	Unknown	–	NCT03468244
	Unknown	I/II	NCT02693236

COVID-19 infection instead after vaccination [164,165].

Myocarditis and pericarditis are conditions that have occurred following COVID-19 vaccination in a very small number of people. Most cases are mild, and individuals often recover on their own or with minimal treatment. The risks to the heart from COVID-19 infection can be more severe. Conclusively, the NACI reported that the benefits of COVID-19 mRNA vaccines continue to outweigh the risks of COVID-19 illness in the authorized population as mRNA vaccines are effective in reducing COVID-19 infections, hospitalizations, and deaths.

**Table 5**  
Clinical trials of mRNA vaccines against infectious diseases except COVID-19.

Funding source	Name	Target Infection	Type	Phase	
Moderna	mRNA-1647	CMV	Nucleoside-modified mRNA–LNP	Phase II (NCT04232280) Phase III (NCT05085366)	[224,225]
Moderna	mRNA-1443	CMV	Nucleoside-modified mRNA–LNP	Phase I (NCT03382405), Phase II (NCT04917861)	[226,227]
Moderna	mRNA-1893	Zika	Nucleoside-modified mRNA–LNP	Phase I (NCT04064905) Phase II (NCT04917861)	[228,229] [227]
Moderna	mRNA-1325	Zika	Nucleoside-modified mRNA–LNP	Phase I (NCT03014089)	[230]
Moderna	mRNA-1653	hMPV/PIV3	Nucleoside-modified mRNA–LNP	Phase I (NCT04144348, NCT03392389)	[231]
Moderna	mRNA-1345	RSV	Nucleoside-modified mRNA–LNP	Phase I (NCT04528719), Phase II & III (NCT05127434)	[232,233]
Moderna	mRNA-1851 (VAL-339851)	Influenza A (H7N9)	Nucleoside-modified mRNA–LNP	Phase I (NCT03345043)	[170]
Moderna	mRNA-1440 (VAL-506440)	Influenza A (H10N8)	Nucleoside-modified mRNA–LNP	Phase I (NCT03076385)	[234]
Moderna	mRNA-1944	Chikungunya	Nucleoside-modified mRNA–LNP	Phase I (NCT03829384)	[235]
Moderna	mRNA-1388 (VAL-181388)	Chikungunya	Nucleoside-modified mRNA–LNP	Phase I (NCT03325075)	[234]
CureVac	CV7201	Rabies	Unmodified mRNA complexed in RNAActive	Phase I (NCT02241135)	[236]
CureVac	CV7202	Rabies	Unmodified mRNA–LNP	Phase I (NCT03713086)	[237]
GSK	GSK3903133A	Rabies	Self-amplifying mRNA in cationic nanoemulsion	Phase I (NCT04062669)	[238]

## 9. Future of mRNA-based therapeutics to treat other major disease

Since the mRNA-based vaccines have proven effective against COVID-19 and its emerging variants, the implications and role of mRNA are now expanding far beyond COVID-19. This ultimate success will pave the way for extensive use in emerging and recognized pathogens. In making mRNA-based vaccines, these great strides have changed the future approach for vaccine development. This attracted various researchers to the field of mRNA vaccines against cancer and other infectious diseases, which are in the early stages of clinical trials. Crucial advantages such as quick designing, rapid generation, and ease in manufacturing made the mRNA vaccines the best choice against various life-threatening diseases in the near future [166]. Some of the examples of mRNA vaccines in the developmental stages against various infectious diseases (Fig. 8) and cancer (Fig. 9) are highlighted below:

Comparable to SARS-CoV-2, the influenza pandemic in 1918 caused 40 M deaths around the globe. Several vaccines, including live attenuated, inactivated, and recombinant haemagglutinin (HA) have been developed, targeting the haemagglutinin (HA) protein responsible for viral entry into the host. However, due to the rapid and constant mutations, the virus is still causing severe respiratory illness, especially in winters [167,168]. Various mRNA vaccines are undergoing clinical trials, such as modified mRNA VAL-506440 encoding full-length, a membrane-bound form of the HA glycoprotein isolated from either of the two prominent strains, H10N8 influenza strain and H7N9 influenza strain (Table 5) [169] [170]. For the H10N8 study, a total of 201 participants were recruited and given 100 µg intramuscularly. The administered dose induced humoral immunization inhibition and microneutralization titers of 1:40 in 100% & 1:20 in 87.0% of the participants. Compared to 34.5% of the participants receiving the intramuscular dose, the 25 µg intradermal dose generated humoral immunization inhibition titers of more than 1:40 in 64.7% of the participants [170]. Similarly, 10, 25 and 50 µg doses were administered to 156 participants intramuscularly for the H7N9 study. These administered doses generated humoral immunization inhibition titers of 1:40 in 36.0%, 96.3%, and 89.7% of the participants, respectively. For 10 and 25 µg administered groups, the microneutralization titers around were 1:20 by 100% participants, while 96.6% in the 50 µg group [170]. The above clinical data revealed high neutralization antibody titers, suggesting that the mRNA vaccine candidates possess great promise in protecting against influenza infection.

The Rabies virus is transmitted from an infected dog or cat and

causes Rabies infection in the central nervous system. During the infection, flu-like symptoms develop at first, and due to progressive encephalomyelitis, the patient finally develops severe neurotropic symptoms [171]. Over the years, different conventional vaccines have been approved to treat rabies, but the mortality is still very high [172]. To address this, two mRNA-based vaccines, namely CV7201 and CV7202, have been developed and tested against rabies in clinical trials (Table 5). The lyophilized CV7201 and CV7202 are temperature-stable vaccines comprising mRNA encoding free form glycoprotein (RABV-G) from rabies virus itself, and cationic protein protamine is used for complexation [173]. During the phase I clinical trials in Germany, a total of 306 doses of CV7201 were administered to the participants. The vaccine administered intradermally prompted neutralizing antibody titers of 0.5 IU/mL against the virus with 32 (71%) of 45 participants, while 6 (46%) of 13 participants were administered intramuscularly. Moreover, 8 (57%) out of 14 participants were given a booster dose of CV7201 intradermally, which induces neutralizing antibody titers of more than 0.5 IU/mL. Similarly, CV7202 is also under phase I clinical trials with a total of 53 participants. The phase I clinical trials are expected to complete by next year [173–175].

Similarly, endemic to central Africa in 2014, the Ebola virus affects the central nervous and gastrointestinal systems at first, leading to multi-organ failure, coma, and death in severe cases [176,177]. In 2019, the US FDA approved a recombinant vesicular stomatitis virus (VSV) Ebola vaccine. However, certain safety concerns were also noticed at higher doses, such as skin rashes and acute arthritis [178]. Later on, two mRNA vaccines were developed that encodes the Ebola virus envelope glycoprotein (EBOV GP). Lipid nanoparticles are used to encapsulate mRNA to facilitate efficient delivery. The vaccine was tested on two groups of guinea pigs (vaccine A, B) to test the neutralizing antibodies. The results revealed that increased EBOV GP titers were induced after 21 and 42 days post-treatment [179].

The transmission of Epstein–Barr Virus (EBV) from saliva, blood or other body fluid causes fever, sore throat, fatigue, lack of appetite, rash, swollen glands in the neck and sometimes Guillain-Barre syndrome and cancer of the nose and throat as well. The mRNA-1189 candidate developed against EBV targeting the glycoprotein 350 (gp350) showed promising results in preclinical studies [180]. The mRNA-1189 induced antibody titers in Balb/c mice after two doses against viral protein responsible for epithelial cell entry. These promising results bring hope for viable immunity and defense against EBV-related infections and complications.

Similarly, the Respiratory Syncytial Virus (RSV) causes infection in

children, leading to acute bronchiolitis with a high morbidity and mortality rate. Previously, certain RSV vaccine candidates were developed, specifically targeting the conserved F protein. But during the clinical trials, most of the vaccines were failed due to low neutralizing antibody titers against the virus. In recent times, an mRNA-based vaccine candidate (mRNA-1345) has been developed by optimizing the codon of the previous mRNA-1777 candidate to enhance translation and immunogenicity (Table 5) [181]. The ongoing phase I clinical trials for mRNA-1345 recruited 160 participants, where several doses will be given to evaluate the tolerability and reactogenicity in adults and children. The study is expected to be completed in 2023, and then further evaluation will be conducted [182].

Zika Virus (ZIKV) causes influenza-like illness in milder cases, while the severe cases include multi-organ failure, meningitis, and encephalitis [183]. In order to prevent viral fusion, the membrane and envelope protein is excellent targets for mRNA vaccines against ZIKV. A study showed that the mRNA vaccine generated neutralizing antibody titers against ZIKV were 50–100 times higher than other vaccine candidates [184,185]. Another mRNA-based vaccine (mRNA-1893) is undergoing phase I clinical trials to evaluate safety, tolerability, and immunogenicity. The study is expected to be completed at the end of 2021. The results will be available soon (NCT04917861).

Likewise, the pandemic of Human Immunodeficiency Virus (HIV) has infected around 17.5 M people worldwide and is caused by the direct transmission of humans to humans [186]. Due to the antigenic multiplicity of the protein envelope and the compact glycan shield, still, there are no effective vaccines against HIV. Recently, a Self-amplifying mRNA vaccine was developed, which encodes the clade C envelope glycoprotein and viral replicon particle. The vaccine was tested in rhesus macaques, and the results showed a higher generation of antibody titers against the virus's envelope protein [187]. Some other mRNA-based vaccines are also in the developmental stages against various infections, including Human Metapneumovirus (HMPV) and Parainfluenza Virus Type 3 (PIV3), Human Cytomegalovirus (HCMV). Moreover, Moderna is set to have various therapeutic targets in its sights, such as heart failure, more effective influenza shots and mosquito-borne viral disease chikungunya. Similarly, the world would probably see the first malaria vaccine developed by BioNTech at the end of 2022.

In late 2021, the first dose of BNT111, an mRNA-based therapy developed by BioNTech, was administered to a patient in a phase II cancer vaccine trial. The BNT111 was given in combination with Libtayo, co-developed by Sanofi and Regeneron. The patient was suffering anti-PD1-refractory/relapsed un-resectable Stage III or IV melanoma. A total of 120 patients will be recruited to evaluate the safety, efficacy and tolerability of the combination of BNT111 and Libtayo. The development of BNT111 is the leading candidate of BioNTech, comprising a fixed combination of tumor-associated antigens with mRNA encode. The aim of the combination is to activate a specific and strong response to the tumor. The phase II clinical trials have been approved in the US, UK, Australia and some other European countries, including Spain, Germany, Italy and others. The Phase II trials were launched after the success in preliminary results in early clinical evaluation and Phase I clinical trial. The phase I clinical trials results revealed promising safety profile in 89 patients with advanced melanoma. The efficacy data also showed that the BNT111 is highly effective in 42 patients both as a single agent and in combination with anti-PD-1 antibodies [188].

Similarly, mRNA-4157 developed by ModernaTX, Inc., is a personalized vaccine encoding multiple neoantigens and encapsulated in lipid. The selection of multiple neoantigens is based on a proprietary algorithm, designed to prompt neoantigen specific T-cells and accompanying anti-tumor responses [189]. In Phase I clinical trials the safety profile of mRNA-4157 was evaluated using adjuvant monotherapy in patients with resected solid tumors. On other hand, the mRNA-4157-pembrolizumab combination was also used in patients with advanced or metastatic cancer. The results of 13 patients received mRNA-4157 as

monotherapy, and 20 patients received mRNA-4157-pembrolizumab combination showed no clinically significant adverse events, however reversible and low-grade adverse events were noticed. Moreover, 12 patients among the total 13 on adjuvant monotherapy were noticed disease free till 8 months during the study. Whereas the combination of mRNA-4157-pembrolizumab results revealed that 12 patients progressed on prior checkpoint inhibitor, 1 complete response and 16 have been restaged. However, one patient is observed to be non-evaluable for response but remains on study. The study further revealed that neoantigen specific T cell responses were also noticed via IFN- $\gamma$  ELISpot from cryopreserved peripheral blood mononuclear cells. The phase I clinical trials results showed that all the doses of mRNA-4157 are safe and mRNA-4157-pembrolizumab showed desired clinical responses inducing neoantigen specific T cells. These promising results made the mRNA-4157 to progress to phase II clinical trials. Similarly, BioNTech also developed BNT113 and BNT122 for human papillomavirus 16, head, neckcancer and adjuvant colorectal cancer respectively. The phase II clinical trials for BNT113 & BNT122 are undergoing after promising results in Phase I clinical trials.

## 10. Conclusion and prospectives

The mRNA-based technology has been explored over the past three decades. Efforts are made, and billions of dollars are already spent to make them functional for human use. The mRNA-based treatment and vaccines with the potential of quick production are essential in coping with viral diseases and infections. As the viruses are highly mutating pathogens, the mRNA-based technology can be easily modified and manufactured in large quantities quickly. Furthermore, the technology transfer is extremely easy compared to conventional vaccines technology because the shipping and transport might take weeks and months. But in the case of mRNA, the genetic sequence can be sent via a computer. The COVID-19 opened the door for mRNA-based vaccines and therapies for other major diseases. The impact and implication of mRNA-based technology could be far beyond COVID-19.

Moreover, mRNA gained much attention in the field of biopharmaceuticals. The reason for interest in this new kind of vaccine arises from their safety, precision and flexibility compared to conventional approaches. The increasing number of clinical trials in cancer therapies (Table 4) and infectious diseases (Table 5) other than COVID-19 have recently revealed significant interest from pharmaceutical industries. The mRNA vaccines can be manufactured on a large scale for clinical-grade applications, which brings a quick platform to address sudden outbreaks when a quick response is needed.

However, to further establish itself more, the technology must address sustainability and costly manufacturing processes in large scale. Compared with conventional established vaccines, the IVT reaction of mRNA is much safer and fast, but the use of expensive and limited materials made the scientists to research the manufacturing process. Furthermore, the downstream processing of the vaccine needs improvement to make it easy for scalability. In order to maintain integrity and develop deep roots, the technology needs to address some key issues such as the design of mRNA sequence in the first place. The IVT reaction is acceptable for small scale production however, major efforts are required to enhance the production in larger scale especially when the demand is of universal need. Such implementation of advanced research technologies are required to maintain less amount mRNA needed for anticipated therapeutic effect while maintaining safety profile. Furthermore, next-generation delivery technology are required to ensure safety as well as increase long-term stability of the final product within a more reasonable and feasible temperature range. Finally, proper regulatory guidelines shall be implemented for studying the safety and efficacy of novel carriers of mRNA vaccines.

## Authorship contribution statement

**Abid Hussain:** Conceptualization, Data curation, Investigation, Software, Methodology, Formal analysis, Writing - original draft, Writing-revise...editing. **Haiyin Yang:** Formal analysis, Investigation. **Mengjie Zhang:** Formal analysis, Investigation. **Qing Liu:** Formal analysis, Investigation, Conceptualization, Methodology. **Ghallab Alo-taibi:** Formal analysis, Investigation. **Muhammad Irfan:** Formal analysis, Investigation. **Huining He:** Formal analysis, Investigation. **Jin Chang:** Formal Analysis, Investigation. **Xing-Jie Liang:** Formal Analysis, Supervision, Investigation. **Yuhua Weng:** Formal analysis, Investigation, Conceptualization, Methodology. **Yuanyu Huang:** Conceptualization, Methodology, Formal analysis, Data curation, Writing - review ... editing, Visualization, Supervision, Funding acquisition.

## Declaration of Competing Interest

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of this article.

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