

# Biology of Activating Transcription Factor 4 (ATF4) and Its Role in Skeletal Muscle Atrophy

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## ABSTRACT

Activating transcription factor 4 (ATF4) is a multifunctional transcription regulatory protein in the basic leucine zipper superfamily. ATF4 can be expressed in most if not all mammalian cell types, and it can participate in a variety of cellular responses to specific environmental stresses, intracellular derangements, or growth factors. Because ATF4 is involved in a wide range of biological processes, its roles in human health and disease are not yet fully understood. Much of our current knowledge about ATF4 comes from investigations in cultured cell models, where ATF4 was originally characterized and where further investigations continue to provide new insights. ATF4 is also an increasingly prominent topic of *in vivo* investigations in fully differentiated mammalian cell types, where our current understanding of ATF4 is less complete. Here, we review some important high-level concepts and questions concerning the basic biology of ATF4. We then discuss current knowledge and emerging questions about the *in vivo* role of ATF4 in one fully differentiated cell type, mammalian skeletal muscle fibers. *J Nutr* 2022;152:926–938.

**Keywords:** ATF4, skeletal muscle atrophy, sarcopenia, ursolic acid, tomatidine

## Activating Transcription Factor 4 Is an Essential Subunit of Many Different Heterodimeric Basic Leucine Zipper Transcription Factors

Mammals possess >50 basic leucine zipper (bZIP) proteins, including activating transcription factor 4 (ATF4) (1). bZIP proteins form transcription factors by assembling into either homodimeric complexes (2 identical bZIP proteins) or heterodimeric complexes (2 nonidentical bZIP proteins) (2–5). bZIP homodimers and heterodimers are capable of binding specific gene regulatory elements, gripping them like a clothespin on a clothesline. Dimerization and DNA binding are mediated by bZIP domains, which are found in all bZIP family members, but are slightly different between bZIP family members.

The bZIP domain typically lies near the COOH terminus of a bZIP protein and usually makes up a minor portion of the overall protein, ~18% in ATF4. The remaining portion is highly variable between bZIP family members, tends to be intrinsically disordered, and is critical for the regulation and function of the protein. For example, in ATF4, sequence outside of the bZIP domain makes up ~82% of the protein, contains most

of ATF4's known sites of posttranslational modification, and mediates numerous interactions with non-bZIP proteins that regulate ATF4's stability, localization, and capacity to activate gene transcription (6–17).

A bZIP domain consists of a basic region and a leucine zipper. The basic region mediates DNA binding, and the pair of basic regions in a homo- or heterodimeric bZIP transcription factor dictates which genetic regulatory elements can be targeted by the transcription factor. The leucine zipper is an amphipathic helix that forms a coiled-coil structure with the leucine zipper of an identical and/or nonidentical bZIP protein, thereby mediating homo- and/or heterodimerization. In some bZIP proteins, the leucine zipper is amenable to homodimerization. However, the leucine zipper in ATF4 is structurally unsuited to homodimerization, and thus, ATF4 cannot form stable homodimers (18). On the other hand, the ATF4 leucine zipper is highly amenable to heterodimerization with leucine zippers of other bZIP family members. As a result, ATF4 has a strong propensity to form heterodimeric bZIP transcription factors with many other bZIP family members. This point is well illustrated by *in vitro* binding studies, which have shown that an individual ATF4 bZIP domain has negligible affinity for another ATF4 bZIP domain but high affinity for the bZIP domains of at least 30 other bZIP family members (19, 20). Consistent

with those *in vitro* findings, the *in vivo* effects of ATF4 are largely if not entirely mediated by ATF4 heterodimers (21–29). Moreover, ATF4 can coexist as multiple distinct heterodimers in a single cell type *in vivo* (29). Thus, the ATF4 leucine zipper prevents ATF4 from being functional in the absence of heterodimerization partners, but it also imparts versatility and multifunctionality by allowing ATF4 to heterodimerize with many other bZIP family members. The name given to ATF4 (activating transcription factor 4) can be misleading insofar as it suggests that ATF4 is a standalone transcription factor, capable of activating genes by itself. ATF4 is not a functional transcription factor by itself but one-half of many possible heterodimeric transcription factors.

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Abbreviations used: Aars, alanyl-tRNA synthetase 1; ACP1, acid phosphatase 1; Aldh18a1, aldehyde dehydrogenase 18 family member A1; Aldh1l2, aldehyde dehydrogenase 1 family member L2; A-Raf, A-Raf proto-oncogene, serine/threonine kinase; Arhgef2, Rho/Rac guanine nucleotide exchange factor 2; Asns, asparagine synthetase; ATF4, activating transcription factor 4, also known as CREB-2, TAXCREB67, C/ATF, and mTR67; Atf5, activating transcription factor 5; Cars, cysteinyl-tRNA synthetase 1; C/EBP $\alpha$ , CCAAT enhancer binding protein  $\alpha$ ; C/EBP $\beta$ , CCAAT enhancer binding protein  $\beta$ ; C/EBP $\delta$ , CCAAT enhancer binding protein  $\delta$ ; C/EBP $\gamma$ , CCAAT enhancer binding protein  $\gamma$ ; c-MAF, MAF BZIP transcription factor; eIF2 $\alpha$ , eukaryotic translation initiation factor 2 subunit  $\alpha$ ; eIF2, eukaryotic translation initiation factor 2B; Eif2s2, eukaryotic translation initiation factor 2 subunit  $\beta$ ; Eif3c, eukaryotic translation initiation factor 3 subunit C; Eif4ebp1, eukaryotic translation initiation factor 4E binding protein 1; FGF21, fibroblast growth factor 21; FoxO, forkhead box O; Gadd45a, growth arrest and DNA damage inducible  $\alpha$ ; Gars, glycyl-tRNA synthetase 1; GCN2, general control nonderepressible 2, also known as eukaryotic translation initiation factor 2  $\alpha$  kinase 4 (EIF2AK4); Got1, glutamic-oxaloacetic transaminase 1; Gpt2, glutamic pyruvate transaminase 2; Gpx1, glutathione peroxidase 1; Grb10, growth factor receptor bound protein 10; GSK-3, glycogen synthase kinase 3; Herpud1, homocysteine inducible ER protein with ubiquitin-like domain 1; HRI, heme-regulated inhibitor kinase, also known as eukaryotic translation initiation factor 2  $\alpha$  kinase 1 (EIF2AK1); Iars, isoleucyl-tRNA synthetase 1; IGF-1, insulin-like growth factor 1; Igfbp7, insulin-like growth factor binding protein 7; ILK, integrin linked kinase; JAK1, Janus kinase 1; LAP, liver activator protein; Lars, leucyl-tRNA synthetase 1; LIP, liver-enriched inhibitory protein; MAP, mitogen-activated protein; Mars, methionyl-tRNA synthetase 1; MEKK4, mitogen-activated protein kinase kinase kinase 4; Mgst1, microsomal glutathione S-transferase 1; MKK3, mitogen-activated protein kinase kinase 3; MKK4, mitogen-activated protein kinase kinase 4; MKK6, mitogen-activated protein kinase kinase 6; MKK7, mitogen-activated protein kinase kinase 7; MSK1, ribosomal protein S6 kinase A5; MSK2, ribosomal protein S6 kinase A4; Mthfd2, methylenetetrahydrofolate dehydrogenase (NADP + dependent) 2, methylenetetrahydrofolate cyclohydrolase; mTORC1, mechanistic target of rapamycin complex 1; Nars, asparaginyl-tRNA synthetase 1; Nupr1, nuclear protein 1; p21, cyclin-dependent kinase inhibitor 1A (Cdkn1a), also known as WAF1 and CIP1; PERK, protein kinase RNA-like endoplasmic reticulum kinase, also known as eukaryotic translation initiation factor 2  $\alpha$  kinase 3 (EIF2AK3) and pancreatic eIF2 kinase (PEK); PGC-1 $\alpha$ , PPAR $\gamma$  coactivator 1  $\alpha$ ; PKR, protein kinase RNA activated, also known as eukaryotic translation initiation factor 2  $\alpha$  kinase 2 (EIF2AK2); PTP-1B, protein-tyrosine phosphatase 1B; Raf-1, Raf-1 proto-oncogene, serine/threonine kinase; RIOK3, RIO kinase 3; RIPK3, receptor interacting serine/threonine kinase 3; RSK2, ribosomal protein S6 kinase A3; Sars, seryl-tRNA synthetase 1; SHP-1, protein tyrosine phosphatase nonreceptor type 6; Slc7a1, solute carrier family 7 member 1; Slc7a5, solute carrier family 7 member 5; Slc38a2, solute carrier family 38 member 2; Slc6a9, solute carrier family 6 member 9; SPEG, striated muscle enriched protein kinase; Tars, threonyl-tRNA synthetase 1; TGF $\beta$ , transforming growth factor  $\beta$ ; Vegfa, vascular endothelial growth factor A; Yars, tyrosyl-tRNA synthetase 1.

## ATF4 Heterodimers Have Unique and Context-Dependent Biological Effects

Heterodimerization of bZIP proteins is a classic biological example of combinatorial control (2–5). By combining 2 different bZIP proteins, each ATF4 heterodimer can be subject to a unique array of regulatory mechanisms and can generate a unique set of downstream effects. For example, by having different pairs of basic regions, different ATF4 heterodimers can target different genetic regulatory elements and thereby regulate different genes. By having different pairs of NH<sub>2</sub>-terminal regions, different ATF4 heterodimers can have different protein–protein interactions, posttranslational regulation, and capacity for gene activation. Thus, the unique structure of each ATF4 heterodimer imparts unique function and regulation.

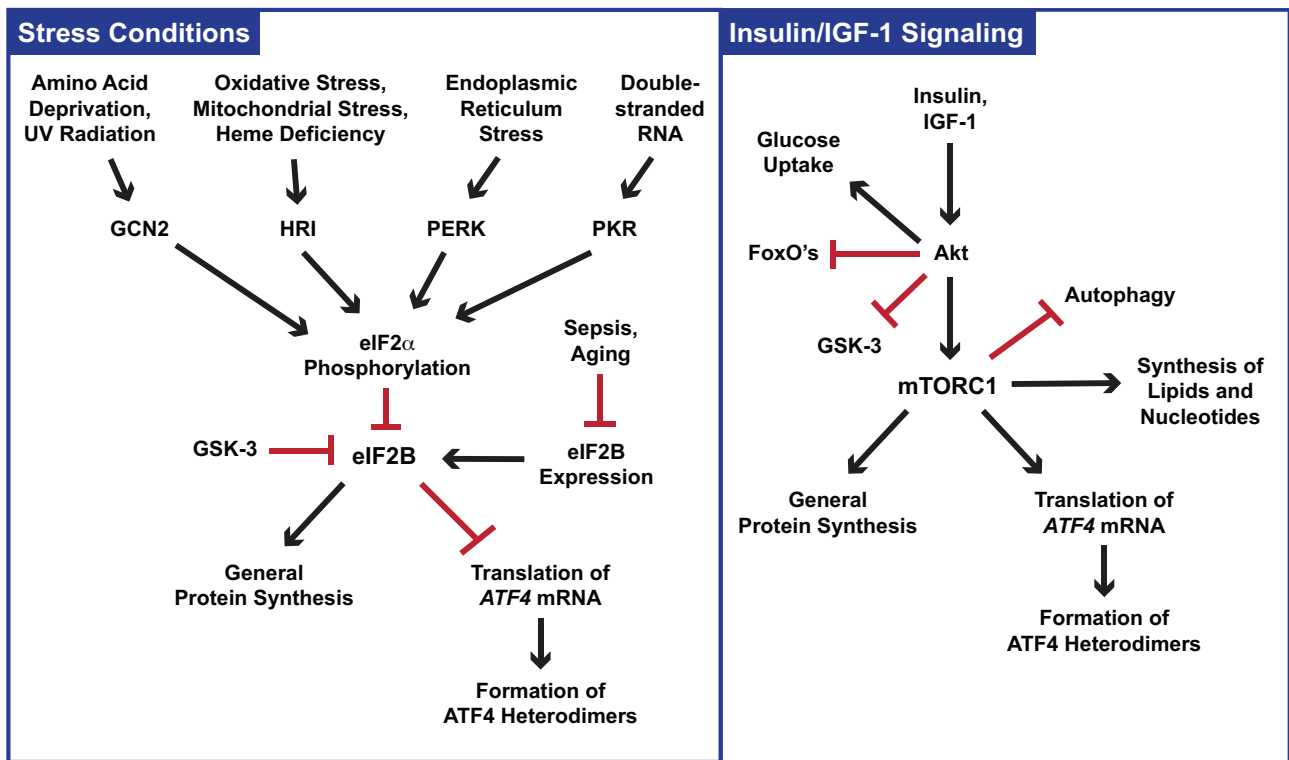
Although each ATF4 heterodimer has the potential to bind and regulate dozens of specific genes, the actual effects of an ATF4 heterodimer are highly context dependent due to many variables that can directly or indirectly affect the capacity of ATF4 heterodimers to access and regulate each of their potential target genes. Some of the context-dependent factors may be static and intrinsic to the cell type, and others may be dynamic in response to changing intracellular or extracellular conditions. As a result, the effects of an ATF4 heterodimer may be different in different cell types and may be different in the same cell type under different conditions.

Different contexts may also generate different availabilities of bZIP family members that can heterodimerize with ATF4. Because ATF4 can simultaneously participate in multiple distinct heterodimers, the overall set of genes that require ATF4 for maximal expression in a specific context (ATF4-dependent genes) can be a mixture of genes that are regulated by different ATF4 heterodimers, with some ATF4-dependent genes activated by one ATF4 heterodimer and other ATF4-dependent genes activated by other ATF4 heterodimers. Variable combinations and concentrations of ATF4 heterodimers impart a higher level of combinatorial control.

## Formation of ATF4 Heterodimers Is Low under Homeostatic Conditions but Can Be Stimulated by Diverse Stress Conditions or Growth Factors

ATF4 heterodimers are formed when ATF4 is expressed in the presence of at least 1 bZIP family member that can heterodimerize with ATF4. The rate-limiting factor in heterodimer formation is typically ATF4 expression, which is always tightly controlled. Although cells can potentially regulate ATF4 expression at several levels (gene transcription, mRNA turnover, mRNA translation, and protein degradation), the regulation of ATF4 mRNA translation is thought to be a major site of regulation under most circumstances (17, 30, 31). Under homeostatic conditions, ATF4 mRNA is poorly translated, even when ATF4 mRNA is highly abundant. However, ATF4 translation increases dramatically in response to certain cellular stress conditions and in response to some anabolic hormones and growth factors, leading to the formation of ATF4 heterodimers (Figure 1).

Cellular stress conditions primarily increase ATF4 translation by activating eukaryotic translation initiation factor 2 subunit  $\alpha$  (eIF2 $\alpha$ ) kinases. Mammals have 4 eIF2 $\alpha$  kinases: general control nonderepressible 2 (GCN2), heme-regulated



**FIGURE 1** Schematic illustrating some conditions and signaling pathways that promote translation of *ATF4* mRNA. Arrows indicate signaling activation and red bars represent inhibition. Please note that these pathways have been characterized in intricate detail, and many known intermediary molecules and steps are not shown here or discussed in the text. Furthermore, crosstalk between the eIF2 $\alpha$  kinase and mTORC1 pathways can occur in some contexts, and ATF4 heterodimers can exert important feedback inhibition on both eIF2 $\alpha$  kinase signaling and mTORC1 signaling. For additional information, the reader is referred to several excellent reviews and original research articles on these topics (e.g., 17, 31, 35, 46, 47, 111–114). *ATF4*, activating transcription factor 4; eIF2 $\alpha$ , eukaryotic translation initiation factor 2 subunit  $\alpha$ ; eIF2, eukaryotic translation initiation factor 2B; FoxO, forkhead box O; GCN2, general control nonderepressible 2; GSK-3, glycogen synthase kinase 3; HRI, heme-regulated inhibitor kinase; IGF-1, insulin-like growth factor 1; mTORC1, mechanistic target of rapamycin complex 1; PERK, protein kinase RNA-like endoplasmic reticulum kinase; PKR, protein kinase RNA activated.

inhibitor kinase (HRI), protein kinase RNA-like endoplasmic reticulum kinase (PERK), and protein kinase RNA activated (PKR). Well-characterized physiologic mechanisms that activate eIF2 $\alpha$  kinases include but are not limited to amino acid deprivation and ultraviolet radiation (which activate GCN2); oxidative stress, mitochondrial stress, and heme deficiency (which activate HRI); endoplasmic reticulum stress (which activates PERK); and the accumulation of double-stranded RNA in certain viral infections (which activates PKR) (17, 30–33). Importantly, eIF2 $\alpha$  kinase-mediated stress responses are cell autonomous, meaning that they allow an individual cell to sense and respond to the provocative stress without assistance from other cells. The overall collection of signaling pathways that are mediated by the 4 mammalian eIF2 $\alpha$  kinases is known as the integrated stress response (34). Activation of any one of the 4 eIF2 $\alpha$  kinases is sufficient to increase *ATF4* mRNA translation.

eIF2 $\alpha$  kinases (GCN2, HRI, PERK, and PKR) increase translation of *ATF4* mRNA by phosphorylating the translation initiation factor eIF2 $\alpha$  on a specific serine residue (serine 51 in humans) (17, 30, 31). When eIF2 $\alpha$  is phosphorylated, it inhibits the activity of eukaryotic translation initiation factor 2B (eIF2B), a guanine nucleotide exchange factor whose activity is required for high levels of general (cap-dependent) protein synthesis (30). eIF2B activity can also be inhibited by

eIF2 $\alpha$ -independent mechanisms that sometimes occur in conditions of cellular stress, such as a reduction of eIF2B expression, or inhibitory phosphorylation of eIF2B by protein kinases such as glycogen synthase kinase 3 (GSK-3) (35).

Inhibition of eIF2B activity (through eIF2 $\alpha$  phosphorylation or other mechanisms) increases the translation of *ATF4* mRNA, but it also simultaneously decreases translation of almost all other cellular mRNAs (17, 30, 31, 35). As a result, inhibition of eIF2B activity increases formation of ATF4 heterodimers but decreases bulk protein synthesis. The resulting reduction in general protein synthesis typically contributes to growth arrest and conserves energy and nutrient resources. In this context, newly generated ATF4 heterodimers activate stress response genes that encode, among other things, amino acid transporters, aminoacyl-tRNA synthetases, enzymes for the synthesis of amino acids and nucleotides, heat shock proteins and other molecular chaperones, enzymes that alleviate oxidative stress, proteins that inhibit cell growth and division, and additional bZIP family members that can heterodimerize with ATF4 and amplify the stress response. By activating these types of genes, ATF4 heterodimers help the cell try to survive and correct the provocative cellular stress.

In mammals, ATF4 translation can also be stimulated by certain anabolic hormones and growth factors, including insulin, insulin-like growth factor 1 (IGF-1) and transforming

growth factor  $\beta$  (TGF $\beta$ ). These hormones and growth factors can act in a non-cell-autonomous manner, and some, such as insulin, are endocrine hormones that simultaneously act upon several cell types and tissues to coordinate whole-body metabolic responses. Importantly, these hormones and growth factors do not inhibit eIF2B but rather increase ATF4 translation by activating the mechanistic target of rapamycin complex 1 (mTORC1) (36–42). Through distinct biochemical mechanisms, mTORC1 activation and eIF2B inhibition allow ribosomes to bypass short upstream open reading frames in the 5' leader of *ATF4* mRNA and translate the downstream open reading frame that encodes ATF4 (38, 39, 43, 44). Alongside their effects on ATF4 translation, mTORC1 activation and eIF2B inhibition also modestly increase the concentration of *ATF4* mRNA (36, 45).

In contrast to eIF2B inhibition (which increases ATF4 expression and decreases general protein synthesis), mTORC1 activity increases both ATF4 and general protein synthesis (36–42, 46). In addition, mTORC1 stimulates other anabolic processes, such as the synthesis of lipids and nucleotides, while inhibiting catabolic processes such as autophagy (46). Furthermore, when mTORC1 is activated by anabolic hormones such as insulin and IGF-1, the effects of mTORC1 are accompanied by hormone-induced effects that complement but do not require mTORC1 activity, including inhibition of specific catabolic signaling molecules such as GSK-3 and forkhead box O (FoxO) transcription factors, inhibition of glycogenolysis and gluconeogenesis, and stimulation of glucose uptake and glycogen synthesis (47). In the context of insulin/IGF-1/mTORC1 signaling, ATF4 heterodimers primarily activate genes that promote amino acid uptake and the synthesis of charged tRNAs, amino acids, nucleotides, and glutathione, thereby facilitating a portion of anabolism within a much broader anabolic and anticatabolic response.

How can ATF4 heterodimers facilitate such diverse cellular adaptations, ranging from growth arrest (in the integrated stress response) to anabolism (in insulin/IGF-1 signaling)? This is an important and unresolved question. One possibility is that different ATF4 heterodimers or different combinations of ATF4 heterodimers mediate the different effects of signaling molecules such as eIF2 $\alpha$  kinases and mTORC1. Another potential explanation, not mutually exclusive with the first, is that ATF4 heterodimers exist and act in very different contexts during the integrated stress response and insulin/IGF-1 signaling. In the integrated stress response, ATF4 heterodimers exist and act under conditions that typically favor catabolism and prohibit anabolism. In contrast, during insulin/IGF-1 signaling, ATF4 heterodimers exist and act in the setting of nutrient abundance and numerous other hormone-mediated events that promote anabolism and inhibit catabolism.

Although ATF4 heterodimers facilitate changes that are appropriate to the existing conditions, they can have deleterious effects if their expression and activity are sustained for too long. For example, if a cellular stress continues unabated, ATF4 heterodimers can promote degenerative conditions such as skeletal muscle atrophy (29, 48–51).

## Diverse Stress Conditions Cause Skeletal Muscle Fibers to Undergo Atrophy

Skeletal muscle atrophy is an acquired loss of muscle mass and strength. It typically affects adult skeletal muscles that were

previously well developed and healthy. It is also known as “muscle wasting” and can be called “sarcopenia” when it occurs as an effect of aging. Muscle atrophy is also a major feature of whole-body wasting syndromes known as “cachexia.” Skeletal muscle atrophy can affect any person and rarely has a heritable component.

Skeletal muscle atrophy can be localized or generalized (52–54). Localized muscle atrophy occurs when a single muscle or group of muscles experiences localized disuse due to conditions such as arthritis, joint injury, joint repair, or local motor neuron trauma or disease. Generalized muscle atrophy has many causes, including whole-body muscle disuse (as in bedrest, generalized neurologic disorders, and spaceflight), nutrient deprivation/malnutrition, advanced age, and almost any severe systemic illness, including critical illness, cancer, diabetes mellitus, heart failure, pulmonary disease, renal failure, cirrhosis, rheumatoid arthritis, male hypogonadism, Cushing syndrome, thyroid disorders, and chronic infections such as tuberculosis and HIV/AIDS. Certain medications also cause generalized muscle atrophy (cancer chemotherapy, high-dose glucocorticoid therapy, antiandrogen prostate cancer therapy, etc.), as do many rare genetic disorders that primarily or secondarily affect skeletal muscle. In many patients, the etiology of skeletal muscle atrophy is multifactorial, caused by various combinations of malnutrition, muscle disuse, systemic illness, and aging.

Histologically, muscle atrophy appears as a reduction in the size of skeletal muscle fibers (52–54). Skeletal muscle contains several types of cells, including skeletal muscle fibers, myogenic stem cells (satellite cells), endothelial cells, fibroblasts, adipocytes, and nerve terminals. Skeletal muscle fibers are large, multinucleated, and terminally differentiated cells that comprise most of skeletal muscle mass and are responsible for muscle contraction, force generation, and most of the metabolic functions of skeletal muscle. Because they are postmitotic, skeletal muscle fibers cannot divide or undergo classical senescence. Furthermore, muscle atrophy is not typically associated with the death of skeletal muscle fibers. However, depending on the external conditions, muscle fibers can undergo atrophy (becoming smaller) or hypertrophy (becoming larger). Conditions such as malnutrition, disuse, illness, and aging cause previously well-developed and healthy adult skeletal muscle fibers to undergo atrophy, leading to an anatomic reduction in muscle mass (skeletal muscle atrophy) and a corresponding loss of strength and metabolic capacity.

In humans, muscle fiber atrophy occurs slowly (over years) with aging and rapidly (over days to weeks) with sustained nutrient deficiency, sustained muscle disuse, or severe systemic illness. The precise triggers of muscle fiber atrophy are variable and not always well understood, especially in aging and systemic illness, but can include a lack of sufficient nutrients, a lack of sufficient motor neuron input, ischemia, a relative or absolute deficiency of a hormone with anabolic actions on muscle fibers (e.g., insulin, IGF-1, and testosterone), a relative or absolute excess of a factor with catabolic or antianabolic actions on muscle fibers (e.g., glucocorticoid, myostatin, activin, and certain factors secreted from inflammatory cells, tumor cells, and senescent cells), or any combination thereof (52). These extrinsic triggers act upon muscle fibers to generate numerous cellular changes that promote muscle fiber atrophy, including disruptions in the normal structure and function of mitochondria, myonuclei, and neuromuscular junctions, as well as variable changes in

general protein synthesis and/or protein degradation pathways that reduce the quantity and quality of sarcomeric proteins, the major constituents of muscle fibers. These basic characteristics of muscle atrophy are conserved across mammalian species.

## Molecular Mechanisms of Skeletal Muscle Fiber Atrophy

The molecular mechanisms of muscle fiber atrophy are numerous, complex, incompletely understood, and still being discovered at a rapid pace (52–54). A few general points can be made.

First, these mechanisms involve genes, RNAs, proteins, and lipids that act within muscle fibers and play a causal role in muscle fiber atrophy in at least one context. Some of these mechanisms promote muscle atrophy by stimulating degradative cellular processes within muscle fibers, whereas other mechanisms promote muscle atrophy by inhibiting molecular and cellular processes that are critical for the normal structure and function of muscle fibers.

Second, these mechanisms tend to be relatively dormant in nonstressed adult skeletal muscle fibers, but they become significantly more active at some point during at least one condition that causes muscle atrophy. Many of these mechanisms may also be transiently activated by stress conditions that are too transient to cause muscle atrophy, such as short-term fasting or exercise. However, by exerting a stronger or more sustained stress on muscle fibers, conditions that cause muscle atrophy seem to activate these mechanisms in a stronger or more sustained way, which enables them to contribute to the process of muscle fiber atrophy.

Third, the molecular mechanisms of muscle fiber atrophy are context dependent and can vary based on the nature of stress (i.e., the underlying cause(s) of muscle atrophy) and on the severity and duration of the stress. Although dozens of molecular mechanisms of muscle atrophy have been identified and characterized over the past 2 decades, there are no examples thus far of a molecular mechanism that is universally involved in muscle fiber atrophy under all conditions. In other words, every known molecular mechanism of muscle atrophy has been dissociated from the pathogenesis of muscle atrophy caused by at least 1 atrophy-inducing condition, be it starvation, a type of illness, a type of muscle disuse, or aging. In some cases, mechanisms are dissociated because they do not occur during a particular atrophy-inducing condition. In other cases, mechanisms do occur, but they are nonessential for muscle fiber atrophy in that specific context due to redundancy or due to the acquisition of new roles or functions in that specific context; the best-characterized example in this regard is mTORC1 activity in muscle fibers, which promotes muscle fiber atrophy in some contexts and protects against muscle fiber atrophy in other contexts (e.g., 55–70).

Fourth, there are no examples of a single molecular mechanism that is fully responsible for the entire process of muscle fiber atrophy in any context. Rather, every known molecular mechanism of muscle atrophy mediates a portion of muscle atrophy under certain conditions. Thus, in every context, muscle fiber atrophy appears to require multiple molecular mechanisms, some of which operate in an independent and additive manner. This is an important consideration for therapeutic strategies.

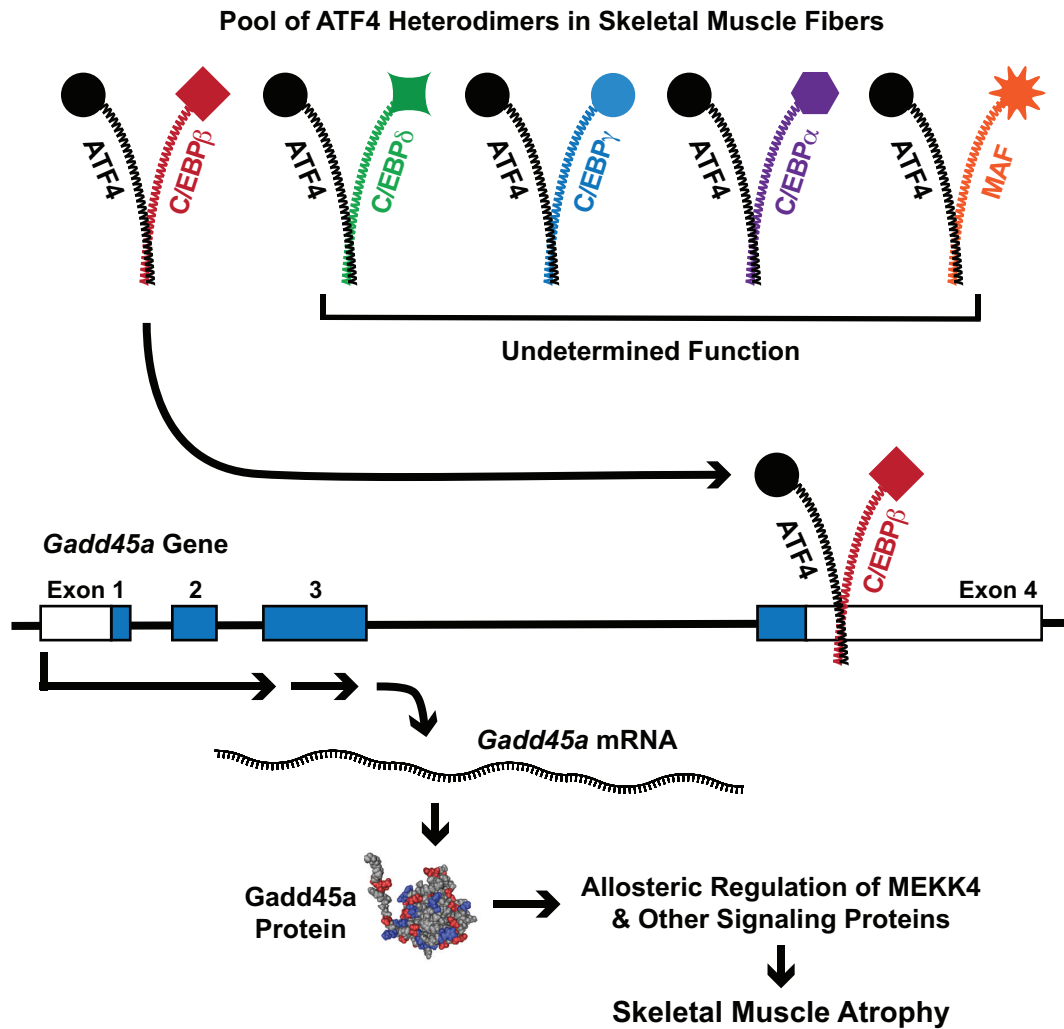
## A Heterodimer Composed of ATF4 and CCAAT Enhancer Binding Protein $\beta$ Promotes Skeletal Muscle Fiber Atrophy during Starvation, Immobilization, and Aging

Like other cell types, skeletal muscle fibers do not significantly express ATF4 in the absence of cellular stress. Moreover, ATF4 expression in skeletal muscle fibers is nonessential for the normal development or maintenance of skeletal muscle mass and skeletal muscle function (49–51). However, ATF4 expression in skeletal muscle fibers rises in response to many conditions that cause muscle atrophy (48, 49, 71). When ATF4 is expressed in skeletal muscle fibers, it interacts with several different bZIP family members, including CCAAT enhancer binding protein  $\gamma$  (C/EBP $\gamma$ ), CCAAT enhancer binding protein  $\delta$  (C/EBP $\delta$ ), MAF bZIP transcription factor (c-MAF), the p30 and p42 isoforms of CCAAT enhancer binding protein  $\alpha$  (C/EBP $\alpha$ ), and the liver-enriched inhibitory protein (LIP) and liver activator protein (LAP) isoforms of CCAAT enhancer binding protein  $\beta$  (C/EBP $\beta$ ) (29). As a result of these interactions, ATF4 forms multiple heterodimers that simultaneously coexist within skeletal muscle fibers. The rate-limiting step in formation of these heterodimers is ATF4 expression (Figure 2).

One ATF4 heterodimer, composed of ATF4 and the LAP isoform of C/EBP $\beta$ , promotes skeletal muscle atrophy. This conclusion is based on several lines of evidence from in vivo mouse models. First, a targeted reduction of ATF4 in skeletal muscle fibers reduces muscle atrophy during starvation, immobilization, and aging (48, 49, 51). Second, forced expression of ATF4 in skeletal muscle fibers induces muscle atrophy in healthy young adult animals (48). Third, a targeted reduction of C/EBP $\beta$  in skeletal muscle fibers inhibits ATF4-mediated muscle atrophy (29). In contrast to C/EBP $\beta$ , other ATF4 heterodimerization partners are not required for ATF4-mediated muscle atrophy (29). Based on these experimental findings, the ATF4-C/EBP $\beta$  heterodimer appears to account for the atrophic effects of ATF4 in skeletal muscle fibers, and expression of the ATF4-C/EBP $\beta$  heterodimer in skeletal muscle fibers appears to be sufficient to induce muscle atrophy and partially required for some forms of generalized muscle atrophy (starvation and aging) and localized muscle atrophy (immobilization). The functions of the other ATF4 heterodimers in skeletal muscle fibers are not yet known.

## The ATF4-C/EBP $\beta$ Heterodimer Activates Genes That Encode Mediators of Muscle Fiber Atrophy

In skeletal muscle fibers, the ATF4-C/EBP $\beta$  heterodimer activates at least 2 genes that encode mediators of muscle atrophy, growth arrest and DNA damage inducible  $\alpha$  (*Gadd45a*) and *p21*. The *Gadd45a* gene is weakly expressed in nonstressed skeletal muscle fibers, but it is strongly induced during muscle atrophy in humans, mice, and other mammalian species (48–50, 72–80). For example, in muscle biopsy specimens from critically ill patients with severe generalized skeletal muscle atrophy, the concentration of *GADD45A* mRNA is increased 22-fold relative to muscle biopsy specimens from healthy control human subjects (80) (Figure 3). The ATF4-C/EBP $\beta$  heterodimer



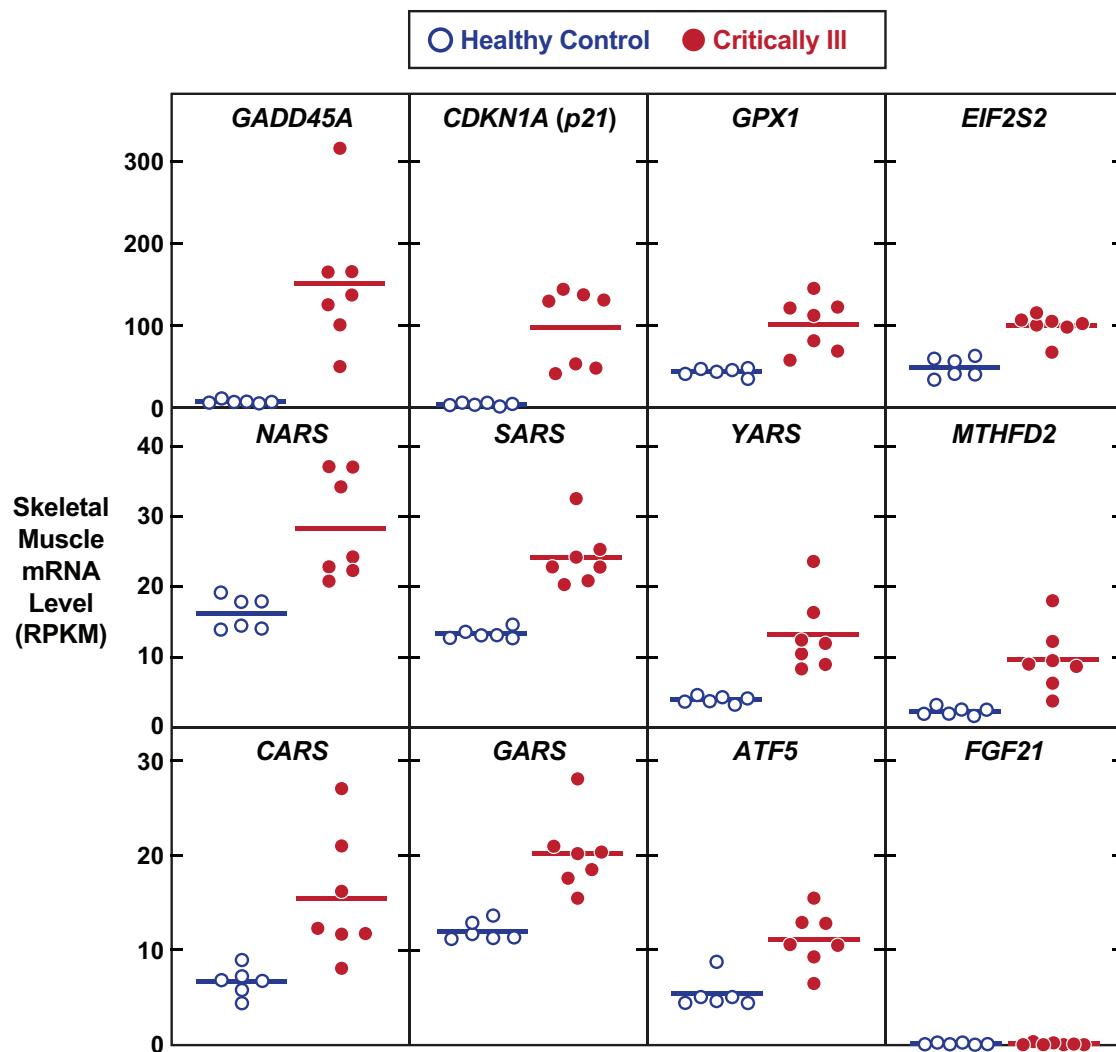
**FIGURE 2** Schematic illustrating ATF4 heterodimers in skeletal muscle fibers and how the ATF4-C/EBP $\beta$  heterodimer activates the *Gadd45a* gene in skeletal muscle fibers. Reproduced with permission from Ebert et al. (29). ATF4, activating transcription factor 4; C/EBP $\alpha$ , CCAAT enhancer binding protein  $\alpha$ ; C/EBP $\beta$ , CCAAT enhancer binding protein  $\beta$ ; C/EBP $\delta$ , CCAAT enhancer binding protein  $\delta$ ; C/EBP $\gamma$ , CCAAT enhancer binding protein  $\gamma$ ; Gadd45a, growth arrest and DNA damage inducible  $\alpha$ ; MEKK4, mitogen-activated protein kinase kinase kinase 4.

activates the *Gadd45a* gene in skeletal muscle fibers by binding an ATF4-C/EBP $\beta$  response element in the 3' untranslated region of *Gadd45a* exon 4 (29) (Figure 2). This ATF4-C/EBP $\beta$  response element in the *Gadd45a* gene is 100% conserved across all available mammalian genomes, including humans (29).

By inducing the *Gadd45a* gene, the ATF4-C/EBP $\beta$  heterodimer increases expression of Gadd45a, an 18-kDa globular protein (29). Gadd45a mediates stress responses by allosterically regulating other signaling molecules in a context-dependent manner (81). In skeletal muscle fibers, Gadd45a directly interacts with a specific group of signaling molecules that includes 11 protein kinases [mitogen-activated protein kinase kinase kinase 4 (MEKK4); Raf-1 proto-oncogene, serine/threonine kinase (Raf-1); A-Raf proto-oncogene, serine/threonine kinase (A-Raf); Janus kinase 1 (JAK1); integrin linked kinase (ILK); ribosomal protein S6 kinase A3 (RSK2); striated muscle-enriched protein kinase (SPEG); ribosomal protein S6 kinase A5 (MSK1); ribosomal protein S6 kinase A4 (MSK2); RIO kinase 3 (RIOK3); and receptor interacting serine/threonine kinase 3 (RIPK3)] and 3 protein tyrosine phosphatases [acid phosphatase 1 (ACP1), protein-tyrosine

phosphatase 1B (PTP-1B), and protein tyrosine phosphatase nonreceptor type 6 (SHP-1)] (82).

The interaction between Gadd45a and MEKK4 has been studied in detail (82–85). MEKK4 is a member of the mitogen-activated protein (MAP) kinase kinase kinase family, but it lacks protein kinase activity in the absence of Gadd45a. When MEKK4 binds Gadd45a, MEKK4 undergoes a conformational change that activates its kinase domain. Thus, the active MAP kinase kinase kinase is not MEKK4 alone but rather a complex of MEKK4 and Gadd45a. In muscle fibers, the Gadd45a/MEKK4 complex activates 4 downstream MAP kinase kinases, MKK3, MKK4, MKK6, and MKK7 (mitogen-activated protein kinase kinases 3, 4, 6, and 7), which in turn activate p38 MAP kinase. This signal transduction cascade is partially required for Gadd45a-mediated muscle fiber atrophy (82). Through its direct biochemical interactions with signaling molecules such as MEKK4, Gadd45a generates several cellular changes in skeletal muscle fibers that collectively promote muscle atrophy, including a disruption of insulin/IGF-1 signaling, a reduction in general protein synthesis, increased degradation of sarcomeric protein, a loss of mitochondria,



**FIGURE 3** Induction of activating transcription factor 4–dependent gene expression in skeletal muscle of human patients with skeletal muscle atrophy due to critical illness. These data were mined from publicly available RNA sequencing data, which compared skeletal muscle biopsy specimens of 7 patients with critical illness myopathy and 6 matched human control subjects (80). Each data point represents 1 subject, and horizontal bars denote the means. *P* values are <0.01 for all transcripts except *Fgf21*, where *P* = 0.77. *ATF5*, activating transcription factor 5; *CARS*, cysteinyl-tRNA synthetase 1; *CDKN1A*, cyclin dependent kinase inhibitor 1A; *EIF2S2*, eukaryotic translation initiation factor 2 subunit  $\beta$ ; *FGF21*, fibroblast growth factor 21; *GADD45A*, growth arrest and DNA damage inducible  $\alpha$ ; *GARS*, glycyl-tRNA synthetase 1; *GPX1*, glutathione peroxidase 1; *MTHFD2*, methylenetetrahydrofolate dehydrogenase (NADP + dependent) 2, methenyltetrahydrofolate cyclohydrolase; *NARS*, asparaginyl-tRNA synthetase 1; *SARS*, seryl-tRNA synthetase 1; *YARS*, tyrosyl-tRNA synthetase 1.

and dramatic alterations in myonuclear morphology and gene expression (49).

Another important ATF4-C/EBP $\beta$  target in skeletal muscle fibers is the *p21* gene, also known as cyclin-dependent kinase inhibitor 1A (*Cdkn1a*). Like *Gadd45a* expression, *p21* expression is strongly associated with muscle atrophy in humans and other mammalian species, as shown in Figure 3 and other studies (48–50, 72–80, 86, 87). In skeletal muscle fibers, the ATF4-C/EBP $\beta$  heterodimer induces *p21* mRNA expression (29, 48, 50), leading to an increase in the concentration of p21 protein. Similar to *Gadd45a* expression, increased p21 expression in skeletal muscle fibers is sufficient to induce muscle fiber atrophy and required for ATF4-mediated muscle fiber atrophy (50). The biochemical and cellular mechanisms by which p21 induces muscle fiber atrophy are not yet defined. Although p21 is a well-known cell cycle inhibitor and mediator of replicative senescence (88, 89), its mechanistic role in muscle

fibers seems likely to be different, because muscle fibers have exited the cell cycle.

### Relation of the ATF4-C/EBP $\beta$ Pathway to Other Molecular Mechanisms of Muscle Fiber Atrophy

In skeletal muscle fibers, the ATF4-C/EBP $\beta$  heterodimer often operates in parallel to other molecular mechanisms that can promote muscle fiber atrophy, *Gadd45a* expression, and *p21* expression in an ATF4-independent manner (50, 90, 91). As a result of this redundancy, the ATF4-C/EBP $\beta$  heterodimer can be partially or wholly dispensable for muscle fiber atrophy, *Gadd45a* expression, and *p21* expression in some conditions of skeletal muscle fiber stress. The existing data indicate that

the ATF4-C/EBP $\beta$  heterodimer is partially required for muscle fiber atrophy during starvation, immobilization, and aging and not required for muscle atrophy during muscle denervation. It is not yet known whether the ATF4-C/EBP $\beta$  heterodimer plays a causal role in muscle fiber atrophy secondary to other conditions, such as the various systemic illnesses that cause generalized skeletal muscle atrophy.

## Other Roles of ATF4 in Skeletal Muscle Fibers

ATF4 heterodimers also activate many other genes in skeletal muscle fibers, including genes for amino acid transporters (*Slc7a1*, solute carrier family 7 member 1; *Slc7a5*, solute carrier family 7 member 5; *Slc38a2*, solute carrier family 38 member 2; *Slc6a9*, solute carrier family 6 member 9); aminoacyl-tRNA synthetases (*Aars*, alanyl-tRNA synthetase 1; *Iars*, isoleucyl-tRNA synthetase 1; *Gars*, glycyl-tRNA synthetase 1; *Nars*, asparaginyl-tRNA synthetase 1; *Sars*, seryl-tRNA synthetase 1; *Lars*, leucyl-tRNA synthetase 1; *Mars*, methionyl-tRNA synthetase 1; *Cars*, cysteinyl-tRNA synthetase 1; *Yars*, tyrosyl-tRNA synthetase 1; *Tars*, thronyl-tRNA synthetase 1); enzymes involved in amino acid, nucleotide, and glutathione metabolism [*Asns*, asparagine synthetase; *Got1*, glutamic-oxaloacetic transaminase 1; *Gpt2*, glutamic pyruvate transaminase 2; *Mthfd2*, methylenetetrahydrofolate dehydrogenase (NADP + dependent) 2, methenyltetrahydrofolate cyclohydrolase; *Aldb18a1*, aldehyde dehydrogenase 18 family member A1; *Aldb112*, aldehyde dehydrogenase 1 family member L2; *Gpx1*, glutathione peroxidase 1; *Mgst1*, microsomal glutathione S-transferase 1]; regulators of translation and cell signaling (*Eif4ebp1*, eukaryotic translation initiation factor 4E binding protein 1; *Eif2s2*, eukaryotic translation initiation factor 2 subunit  $\beta$ ; *Eif3c*, eukaryotic translation initiation factor 3 subunit C; *Grb10*, growth factor receptor bound protein 10; *Nupr1*, nuclear protein 1; *Herpud1*, homocysteine inducible ER protein with ubiquitin-like domain 1; *Igfbp7*, insulin-like growth factor binding protein 7; *Arhgef2*, Rho/Rac guanine nucleotide exchange factor 2; *Vegfa*, vascular endothelial growth factor A); and other bZIP proteins (*Cebpg* and *Atf5*, activating transcription factor 5) (49, 51). Accordingly, these mRNAs are often induced alongside *Gadd45a* mRNA and *p21* mRNA during skeletal muscle atrophy (Figure 3). It is not yet known which ATF4 heterodimers regulate these genes in skeletal muscle fibers or whether any of these genes substantially contribute to muscle atrophy.

As discussed above, ATF4 heterodimers can be formed in response to insulin/IGF-1/mTORC1 signaling and can contribute to insulin/IGF-1/mTORC1-mediated anabolism by activating genes that promote amino acid uptake and the synthesis of amino acids and charged tRNAs; this has been observed in proliferating cultured cells and in hepatocytes in vivo (36–41). In skeletal muscle fibers, mTORC1 signaling is critical for skeletal muscle development and for the maintenance of muscle mass and function into at least middle-aged adulthood (58, 59), and physiologic mTORC1 signaling increases expression of ATF4 and target genes such as *SLC7A1*, *SLC7A5*, and *SLC38A2* in skeletal muscle of young adult humans (92). However, mice with a targeted, lifelong absence of ATF4 expression in skeletal muscle fibers undergo normal skeletal muscle development and exhibit normal muscle mass and function until they are old, at which point they begin to exhibit

protection from age-related muscle atrophy and weakness (49, 51). Thus, the available evidence indicates that ATF4 heterodimers mediate some effects of insulin/IGF-1/mTORC1 signaling in skeletal muscle fibers, but those effects do not seem to play a meaningful role in skeletal muscle anabolism or other cellular processes that are required for skeletal muscle health.

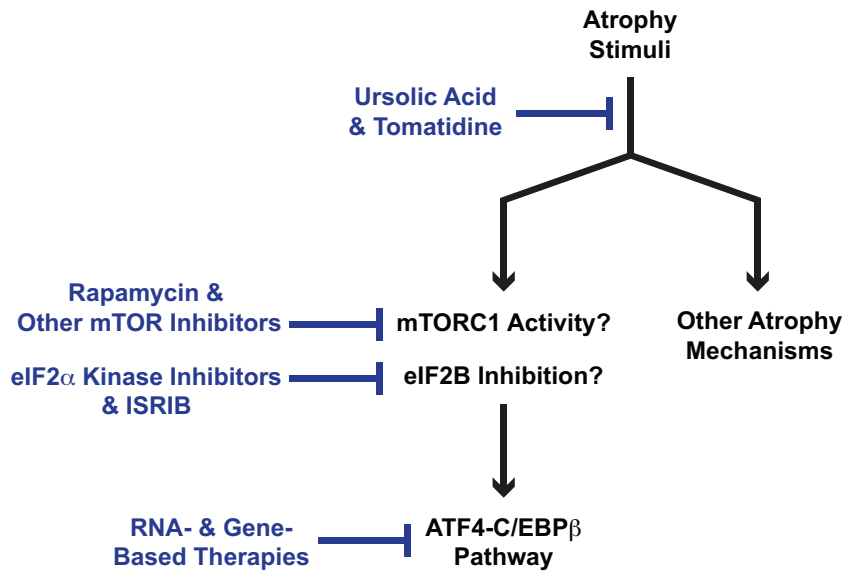
Several genetic myopathies also increase skeletal muscle ATF4 expression (61, 64, 93–98). Some of these myopathies are caused by constitutive disruption of either mitochondrial function or autophagy in skeletal muscle fibers, and others are caused by constitutive activation of either mTORC1 or the eIF2 $\alpha$  kinase PERK in skeletal muscle fibers. These genetic lesions are toxic to muscle fibers and induce a loss of muscle mass that is associated with increased ATF4 expression. It is not yet known whether these conditions involve the ATF4-C/EBP $\beta$  heterodimer or any of the other ATF4 heterodimers that have been identified in nonmyopathic muscle fibers. The relation of these single-gene disorders to skeletal muscle atrophy is also not clear. Although they share some gross features with skeletal muscle atrophy, there are also important differences, and in these disorders, ATF4 activates some genes whose expression is not generally associated with natural causes of skeletal muscle atrophy, such as *FGF21* (fibroblast growth factor 21) (Figure 3).

## Potential Mechanisms That Activate the ATF4-C/EBP $\beta$ Pathway in Skeletal Muscle Fibers

Another important and unresolved question is, what upstream mechanisms activate the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers during conditions such as starvation, immobilization, and aging? As discussed above, ATF4 expression is the rate-limiting step in formation of the ATF4-C/EBP $\beta$  heterodimer, and ATF4 expression can be increased by several different upstream mechanisms, including mTORC1 activation, eIF2 $\alpha$  kinase signaling, reduced eIF2B expression, and inhibitory phosphorylation of eIF2B. Moreover, studies discussed above have established that both mTORC1 activation and eIF2 $\alpha$  kinase activation can increase ATF4 expression in skeletal muscle fibers, as in other cell types. Furthermore, all of the mechanisms that are known to increase ATF4 expression in other cell types (mTORC1 activation, eIF2 $\alpha$  kinase signaling, reduced eIF2B expression, and inhibitory phosphorylation of eIF2B) have been observed in skeletal muscle fibers during at least one natural condition that causes skeletal muscle atrophy (e.g., 35, 63, 65). Thus, there are several viable candidate upstream regulators of the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers.

One speculation is that different mechanisms or combinations of mechanisms might be responsible for increasing ATF4 expression in skeletal muscle fibers under different conditions. For example, in advanced aging, mTORC1 activity is increased in skeletal muscle fibers and is thought to contribute to the pathogenesis of age-related skeletal muscle atrophy (e.g., 64, 65, 67, 68, 70). Thus, in the context of advanced aging, mTORC1 may be an important driver of the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers. In contrast, many acute stress conditions repress mTORC1 activity in muscle fibers while inducing a simultaneous reduction in eIF2B activity in muscle fibers via eIF2 $\alpha$  kinase signaling, decreased eIF2B expression, and/or inhibitory phosphorylation of eIF2B (35). Thus, in some contexts, such as starvation, eIF2B inhibition





**FIGURE 4** Schematic illustrating some pharmacologic and nutritional agents that repress or could potentially repress the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers. ATF4, activating transcription factor 4; C/EBP $\beta$ , CCAAT enhancer binding protein  $\beta$ ; eIF2 $\alpha$ , eukaryotic translation initiation factor 2 subunit  $\alpha$ ; eIF2, eukaryotic translation initiation factor 2B; ISRIB, integrated stress response inhibitor; mTOR, mechanistic target of rapamycin; mTORC1, mechanistic target of rapamycin complex 1.

may be a major driver of the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers. Consistent with this hypothesis, a general inhibitor of eIF2 $\alpha$  kinase signaling (eIF2 $\alpha$ -S51A) reduces ATF4 expression and muscle fiber atrophy during starvation (48). Understanding the mechanisms that increase the concentration of ATF4 in skeletal muscle fibers during conditions such as starvation, immobilization, and aging should be a soluble topic for investigation and could provide important new insights into the pathogenesis of skeletal muscle atrophy.

### Potential Approaches to Repress the ATF4-C/EBP $\beta$ Pathway in Skeletal Muscle Fibers

Skeletal muscle atrophy is a highly prevalent condition that can create serious problems for patients, including weakness, fatigue, impairments in activity and mobility, falls, loss of independent living, impairments in whole-body metabolism, delayed recovery from acute illness and injury, prolonged hospital stays, and increased mortality. In some younger patients, skeletal muscle atrophy can be reversed by correction of its underlying cause. However, in many other patients, the underlying cause cannot be fully corrected, and in older patients, correction of the underlying cause is often not sufficient to restore skeletal muscle mass and function to its baseline level, even with subsequent physical therapy. Thus, there is an important need for pharmacologic and nutritional agents that act directly on skeletal muscle fibers in a way that substantially helps to maintain and/or restore the normal structure and function of skeletal muscle fibers. At present, no such agents are fully developed and approved for human use. However, many agents are under investigation, including some that repress or could potentially repress the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers.

The candidate agents with actual or potential efficacy toward the ATF4-C/EBP $\beta$  pathway can be roughly divided into 3 classes

based on their sites of action (Figure 4). The first class of agents specifically acts upon the ATF4-C/EBP $\beta$  heterodimer or its downstream mediators within skeletal muscle fibers. Proof of concept for this approach comes from studies in mouse models, where targeted inhibition of ATF4, C/EBP $\beta$ , Gadd45a, MEKK4, or p21 via muscle fiber-specific gene excision or RNA interference reduces muscle fiber atrophy during conditions where the ATF4-C/EBP $\beta$  pathway is active (48–51, 82, 90, 99). Clinical application of this strategy would require, among other things, development of new methods that permit the targeting of specific genes or mRNA transcripts specifically in skeletal muscle fibers of humans. Such methods are under investigation but not yet available.

The second class of agents could potentially repress the ATF4-C/EBP $\beta$  pathway by interfering with upstream mechanisms that promote ATF4 expression. Examples of agents that inhibit ATF4 expression in specific contexts include small-molecule inhibitors of specific eIF2 $\alpha$  kinases (e.g., 100–103), small molecules that directly promote eIF2B activity such as integrated stress response inhibitor (ISRIB) and its derivatives (e.g., 104, 105), and small molecules that inhibit mTORC1 activity such as rapamycin and other mTOR inhibitors (e.g., 67, 68, 70). Although it remains to be determined if these molecules repress the ATF4-C/EBP $\beta$  pathway in skeletal muscle fibers, several agents in this class are available or close to being available for human use, and they may prove to be effective in the treatment of muscle atrophy, perhaps in part by repressing the ATF4-C/EBP $\beta$  pathway in muscle fibers. If different upstream mechanisms control ATF4 expression under different conditions, then different agents in this class may be indicated under different conditions.

The third class of agents represses the ATF4-C/EBP $\beta$  pathway as part of a broader effect on molecular mechanisms of muscle fiber atrophy. Examples of agents in this class include the natural compounds ursolic acid and tomatidine. As discussed above, muscle fiber atrophy is mediated by a complex network of signaling pathways, including the ATF4-C/EBP $\beta$  pathway and others. Collectively, these signaling pathways induce many

genes and repress many other genes within muscle fibers, leading to corresponding positive and negative changes in muscle fiber mRNA concentrations. Many of the mRNAs that increase during muscle atrophy promote muscle fiber atrophy, and many of the mRNAs that decrease during muscle atrophy help to protect against muscle fiber atrophy. The entire collection of mRNAs that increase or decrease in muscle fibers as they undergo atrophy is known as an mRNA expression signature of skeletal muscle atrophy. An mRNA expression signature of skeletal muscle atrophy is a molecular signature of mechanistic importance, because it captures many of the molecular changes that are causally related to the pathogenesis of skeletal muscle atrophy (106). Within an mRNA expression signature of muscle atrophy, the ATF4-C/EBP $\beta$  heterodimer is responsible for a portion of the positive changes in mRNA concentrations. The remainder of positive changes and all of the negative changes in mRNA concentrations are mediated by other atrophy mechanisms, such as activation of FoxO transcription factors and impairments in insulin/IGF-1 signaling.

Ursolic acid and tomatidine were identified through a systems-based strategy that searched for small molecules whose mRNA expression signatures negatively correlate to the mRNA expression signatures of 2 disparate causes of muscle atrophy (prolonged fasting and spinal cord injury) in 2 species of skeletal muscle (humans and mice) (107, 108). As predicted by the way they were discovered, ursolic acid and tomatidine have very similar effects on gene expression in skeletal muscle fibers, which are opposite to the gene expression changes that occur during muscle fiber atrophy (51, 107, 108). The effects on muscle fiber gene expression are partly mediated through repression of the ATF4-C/EBP $\beta$  pathway but also involve inhibition of FoxO-mediated gene expression, increased sensitivity to insulin and IGF-1, increased expression of PPARC coactivator 1 $\alpha$  (PGC-1 $\alpha$ ), and increased mTORC1 signaling in young but not old muscle fibers (51, 107, 108). In preclinical models, these agents reduce muscle fiber atrophy during starvation, muscle disuse, advanced age, spinal cord injury, and renal failure, and they promote muscle fiber hypertrophy, leading to increases in muscle mass, strength, muscle quality, and endurance exercise capacity (51, 107–110). Ursolic acid and tomatidine are naturally occurring in foods such as apples and tomatoes, respectively, so they have potential nutritional and pharmacologic uses.

Although pharmacologic and nutritional agents that repress the ATF4-C/EBP $\beta$  pathway could be beneficial for many patients with skeletal muscle atrophy, additional approaches will almost certainly be needed. As an analogy, consider patients with osteoporosis, hypertension, type 2 diabetes, or hyperlipidemia. Similar to skeletal muscle atrophy, those other disorders are highly prevalent, especially with advancing age, and their pathophysiology is exceedingly complex and still not fully understood, particularly at the molecular level. Nonetheless, we now have a large and growing repertoire of highly useful therapeutic approaches for patients with osteoporosis, hypertension, type 2 diabetes, and hyperlipidemia, and in each case, we have multiple therapeutic approaches that can be used alone or in combination, depending on the specific circumstances of each patient. Although therapies for muscle atrophy have lagged far behind, important progress is being made, and based on our current knowledge, it already seems clear that a similar multifaceted therapeutic approach will be needed. For patients with muscle atrophy or at high risk for muscle atrophy, the ideal repertoire of therapeutic approaches will likely include multiple classes of

pharmaceuticals that can be tailored to the specific molecular pathways responsible for muscle fiber atrophy in a given patient, as well as new types of muscle-focused nutritional agents, personalized physical therapy regimens, and, when possible, specific therapies that target the underlying cause(s) of muscle atrophy. Within that ideal repertoire, methods that repress the ATF4-C/EBP $\beta$  pathway within skeletal muscle fibers could play an important role.

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