

REVIEW ARTICLE Advances in NK cell production

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Immunotherapy based on natural killer (NK) cells is a promising approach for treating a variety of cancers. Unlike T cells, NK cells recognize target cells via a major histocompatibility complex (MHC)-independent mechanism and, without being sensitized, kill the cells directly. Several strategies for obtaining large quantities of NK cells with high purity and high cytotoxicity have been developed. These strategies include the use of cytokine−antibody fusions, feeder cells or membrane particles to stimulate the proliferation of NK cells and enhance their cytotoxicity. Various materials, including peripheral blood mononuclear cells (PBMCs), umbilical cord blood (UCB), induced pluripotent stem cells (iPSCs) and NK cell lines, have been used as sources to generate NK cells for immunotherapy. Moreover, genetic modification technologies to improve the proliferation of NK cells have also been developed to enhance the functions of NK cells. Here, we summarize the recent advances in expansion strategies with or without genetic manipulation of NK cells derived from various cellular sources. We also discuss the closed, automated and GMP-controlled largescale expansion systems used for NK cells and possible future NK cell-based immunotherapy products.

Keywords: NK cells; PBMCs; UCB; iPSCs; NK cell lines; Genetic manipulation

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INTRODUCTION

In the last decade, cancer immunotherapy has made great progress and has become a promising approach for treating many cancers. T cell-based immunotherapy has been developed and successfully applied in the clinical treatment of various cancers. However, T cellbased immunotherapy has some limitations, such as the risk of graft-versus-host disease (GVHD), cytokine release syndrome (CRS), and neurotoxicity [[1](#page-16-0), [2\]](#page-16-0). Investigating the uses of antitumor effector cells and immunotherapy strategies other than those involving T cells is urgent.

Natural killer (NK) cells, as innate immune cells with the ability to directly kill malignant cells, are increasingly being considered a promising immunotherapy tool and are currently being tested in clinical trials [[3](#page-16-0)]. Various sources of NK cells, such as peripheral blood (PB) mononuclear cells (PBMCs), umbilical cord blood (UCB) cells, induced pluripotent stem cells (iPSCs), and NK cell lines, have been employed to generate NK cells in vitro [\[4,](#page-16-0) [5](#page-16-0)]. Despite the great progress and developments that have been made to obtain NK cells with high purity and potent cytotoxic capacity by using various strategies, including feeder-free systems, feeder cells, and some NK cell products that have been tested in clinical trials [\[6\]](#page-16-0), NK cell-based immunotherapy is still facing several challenges. The major challenge has been obtaining large quantities of NK cells for clinical use. This issue, however, can be circumvented by the promising ability to genetically modify NK cells to generate forms that display increased cytotoxicity and that can specifically target specified types of cells [[7\]](#page-16-0). Therefore, to enhance the efficacy of clinical therapy using NK cells, gene manipulation of NK cells is being rapidly developed. Due to the relatively high safety and high feasibility of off-the-shelf manufacturing, genetically modified NK cells may be the next great cancer immunotherapy [[2](#page-16-0), [8\]](#page-16-0). In this review, we focus on recent progress made in expansion protocols using various sources, genetic engineering, and good manufacturing practice (GMP) production of NK cells and discuss the prospects of immunotherapy based on NK cells.

TECHNICAL LIMITATIONS FOR NK CELL EXPANSION

Challenges of NK cell immunotherapy compared with T cell The number of NK cells is lower in tumor samples. Studies have reported that the number of NK cells in PB and tumor tissues is lower than that of T cells. NK cells account for only 5–15% of lymphocytes in normal PB, while T cells account for over 50% of PB lymphocytes. However, approximately 90% of NK cells in the circulation are CD56dim cells, which exhibit a stronger cytolytic function without antigen priming [\[9,](#page-16-0) [10\]](#page-16-0). However, the T cells in PB are mainly composed of CD4⁺ T cells (25% to 60% of cells) and naïve CD8⁺ T cells (5% to 30% of cells). Therefore, as NK cells have direct killing function, nearly all of them in the PB will enact killing when they recognize a malignant disease or infected cells. However, only some of the T cells in the PB will act as cytotoxic

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However, the correlation of NK cell number and proportion with disease prognosis varies across tumor types [[11,](#page-16-0) [12](#page-16-0)]. A higher proportion of infiltrating NK cells in tumors such as breast tumors, pulmonary adenocarcinoma, and gastrointestinal stromal tumors is associated with a lower relapse rate $[13, 14]$ $[13, 14]$ $[13, 14]$ $[13, 14]$. The different functions of NK cells in tumors may be due to the level of activation or exhaustion of NK cells, as well as differences in the types and abundances of cytokines present in the tumor. Exploration of the causes and mechanisms governing changes in NK cell type and abundance can help optimize NK cell-based immunotherapy plans.

NK cell surveillance is in only the early stage. NK cells with the capacity of spontaneous cytotoxicity are considered to serve as superior surveillance cells. Early studies have found that NK cells play an important role in inhibiting tumor initiation and tumor metastasis [\[15\]](#page-17-0). Therefore, some researchers believe that NK cells play an immune surveillance role in only the early stage of the disease. When the tumor burden increases, NK cells have limited antitumor effects. Therefore, NK cell therapy may be used in the early stages of tumor initiation or after surgery, radiotherapy, and chemotherapy, which reduce the tumor burden. NK cell therapy should be used as early as possible to make it more effective. However, NK cells can still be useful for the treatment of advanced tumors. In addition to their direct tumor cell killing effect, NK cells also have immunomodulatory effects, such as weakening immune suppressor cells and recruiting immune cells to enhance antitumor functions [\[16](#page-17-0), [17](#page-17-0)]. Therefore, the application of NK cell therapy should consider tumor burden, and the outcome of NK cell therapy may be correlated with tumor burden.

NK cells decrease with age and metabolism. Studies have shown that the proportion of NK cells in the blood, bone marrow, lungs, spleen, and other organs shows a downward trend with age [\[10\]](#page-16-0). Although the ability to proliferate among aged NK cells [\[18](#page-17-0)], the capacity for IFN-γ production in the CD56bright subset and the expression of CD107a, perforin, and granzyme B in the CD56^{dim} subset are comparable to those of young NK cells, the killing ability of individual aged NK cells is decreased [\[19](#page-17-0)–[21\]](#page-17-0). People with infectious diseases, cancer, and chronic inflammatory disease show abnormal metabolism. This metabolic environment may lead to NK cell dysfunction and differentiation into other cell types, such as regulatory NK cells or innate lymphoid cells [\[22\]](#page-17-0). Age- or metabolism-related immune dysfunctions influence lifespan and tumor incidence. Although the frequency of $CD8⁺$ T cells is reduced in the periphery and lymphoid organs in old age, the natural cytotoxic activity of PB lymphocytes is negatively correlated with the probability of tumor occurrence [[20,](#page-17-0) [23](#page-17-0), [24\]](#page-17-0). Moreover, studies in most hematological malignancies and certain solid tumors have shown that the number and activity of peripheral NK cells are positively correlated with progression, relapse and the overall survival rate [[25](#page-17-0)–[30](#page-17-0)].

However, the lifespan of activated NK cells in vivo is approximately 7 days [[31\]](#page-17-0), and transferred in vitro-activated NK cells can persist for 7 to 22 days in vivo [\[32\]](#page-17-0), both of which are still lower than the lifespan of effector $CDB⁺ T$ cells and memory $CDB⁺ T$ cells in vivo (approximately 2–3 weeks to months) $[33, 34]$ $[33, 34]$ $[33, 34]$ $[33, 34]$ $[33, 34]$. Moreover, the doubling time of NK cells in response to stimulation is approximately 1.5 days [\[31](#page-17-0)]; in contrast, naïve T cell can rapidly divide, undergoing 15 divisions within 7 days and having a doubling time of approximately 10 h in response to stimulation [\[35](#page-17-0)]. These characteristics of NK cells highlight that the optimal regimens and indications for NK cell therapy are different from those of T cell therapy.

NK cells kill leukemia but not solid tumor cells. The prominent antitumor effect of and prevention of GVHD by NK cells in allogeneic bone marrow transplantation for the treatment of leukemia suggest that NK cells are an important tool in the treatment of hematological malignancies [\[36](#page-17-0)–[39](#page-17-0)]. However, whether NK cells can be used to treat solid tumors is not clear. Conflicting data published previously about the function of NK cells in solid organ transplantation have resulted in a controversial understanding of the role of NK cells in the treatment of solid tumors [\[36](#page-17-0)]. In the transplantation of different organs, the differentiated cellular functions displayed by NK cells and the different microenvironments result in different functions: transplant rejection or protection.

Due to the effects of the tumor microenvironment and the various immune escape mechanisms employed by tumor cells, current strategies employing not only NK cells but also CTLs or tumor-infiltrating lymphocytes in the treatment of solid tumors have not achieved significant effects [[40\]](#page-17-0).

The sensitivity of NK cells versus $CDB⁺$ T cells to chemotherapeutic drugs or radiotherapy. After the first course of treatment with most chemotherapeutic drugs, such as docetaxel, 5-FU, CPT-11, fludarabine, and cyclophosphamide, NK cells and $CDB⁺$ T cells decrease slightly or significantly, but these two types of cells do not show a difference in sensitivity to chemotherapeutic drugs [\[41](#page-17-0)–[43](#page-17-0)]. However, after the second course of docetaxel administration, a further decrease in the absolute number of $CDB⁺$ T cells but not NK cells is observed [[43\]](#page-17-0). Therefore, the sensitivity of NK cells and T cells to chemotherapy drugs is not significantly different in the early stage of chemotherapy. However, radiotherapy causes a more significant decrease in the number of T cells than in the number of NK cells. T cells and their precursors are relatively radiosensitive. Mature NK cells are relatively radioresistant; however, their precursors are radiosensitive [[44\]](#page-17-0). Therefore, in the combination of radiotherapy and chemotherapy for tumor treatment, NK cell therapy may be a relatively better choice. NK cells have relatively better resistance to radiotherapy and chemotherapy, and multiple infusions of cells can also reduce the side effect of reduced cell numbers caused by radiotherapy and chemotherapy.

Advantages of NK cells over iNKT cells. iNKT cells, γδT cells, and mucosa-associated invariant T (MAIT) cells, due to their expression of NK lineage receptors and semi-invariantly rearranged T cell receptors, are considered to have the functions of both NK cells and T cells. Although it is believed that NK cells can be replaced by iNKT cells to a certain extent, NK cells still have advantages over iNKT cells.

iNKT cells account for only 0.01–0.1% of T cells and can be divided into many different functional subgroups based on differences in cytokine release and function, such as NKT1, NKT2, NKT17, and NKT10, similar to how T cells are categorized. NKT1 cells are the only subgroup expressing cytotoxic molecules [\[45\]](#page-17-0). Moreover, 3–5 days after activation, iNKT cells undergo massive apoptosis, and their lifespan is not long [[46\]](#page-17-0). Although iNKT cells can also kill cells in a ligand-dependent manner by releasing granules and death receptors, CD1d-negative tumor cells show resistance to iNKT cell therapy alone [\[46](#page-17-0), [47\]](#page-17-0). Therefore, the target cell population killed by NK cells is different from that of iNKT cells.

In human PB or lymphoid tissues, γδT cells account for 0.5–16% of CD3⁺ T cells. γδT cells can be classified into different groups based on expression of the γ chain and δ chain. Vγ9Vδ2 T cells are a subgroup that has been found mainly in PB and has a strong antitumor effect. Regulatory or inhibitory γδT cell subgroups can maintain immune tolerance and, in some conditions, promote tumor development. γδT cells mainly recognize small, phosphorylated metabolite antigens. Although γδT cells can directly kill tumor cells via the mechanisms as NK cells, including granule release, death receptor ligand-dependent mechanisms, and

antibody-dependent cellular cytotoxicity (ADCC), the killing ability of γδT cells is weaker than that of NK cells, which may be due to their lower levels of granules and CD16 expression [[47](#page-17-0)–[49](#page-17-0)].

MAIT cells are enriched in mucosal layers but also present in PB and comprise 1–10% of all $CD3⁺$ cells. MAIT cells can recognize vitamin B derivatives of microbial origin presented by MHC-related protein 1. MAIT cells have the following advantages as antitumor therapy cells: 1. They have advantages in location and advantages in the treatment of mucosal-associated tumors. 2. MAIT cells expresses high levels of multidrug resistance protein 1 and are thus more tolerant to chemotherapeutics. 3. Many MAIT cells have memory-like characteristics and can be quickly activated. However, both antitumor and tumor-promoting effects of MAIT cells have been reported, partly because there are different subgroups of MAIT cells, which have different effects in response to different stimuli and different tumor microenvironments. These subgroups have not been studied in depth. Therefore, the development of MAIT cells remains to be assessed [\[47,](#page-17-0) [50\]](#page-17-0).

Advantages and disadvantages: NK cells over T cells

Types and affinity of ligands recognized by NK cells or T cell receptors. T cells specifically recognize antigens in the form of peptides presented on MHCs (pMHCs) on antigen-presenting cells (APCs) [[51](#page-17-0), [52\]](#page-17-0). A single peptide/MHC pair can activate T cells as an early activation signal (calcium signaling), three peptides/MHC pairs can trigger $CDS⁺$ T cell killing effects, and ten peptides/MHC pairs can form a mature synapse $[53-55]$ $[53-55]$ $[53-55]$ $[53-55]$. The affinity of most functional TCRs for pMHC ranges from 1000 to 100,000 nM (K_D values) [\[56](#page-17-0), [57](#page-17-0)]. However, NK cells recognize receptor proteins on cells in a nonspecific manner that is not restricted by MHC molecules [\[58](#page-17-0)]. The activation-initiating signal of NK cells is strictly regulated by the integration of activating and inhibitory receptor signals. NK cells fail to elicit a killing response when a single activating NK cell receptor, such as NKp46, NKG2D, DNAM1, or 2B4, is engaged [\[59\]](#page-17-0). Even if the target cell upregulates multiple activation ligands, inhibitory ligand upregulation may prevent NK cells from being activated [\[53](#page-17-0)]. Therefore, the exact threshold at which NK cells are triggered to kill target cells is not clear. In addition, the affinity of NK cell receptors for ligands ranges from K_D values of 1.4 to 51 nM [\[60](#page-17-0)–[62](#page-17-0)]. Overall, compared with T cells, NK cells recognize a wider range of antigens, but the sensitivity and affinity of NK cell recognition are not as good as those of T cell recognition.

Cytotoxic killing. Resting T cells store low levels of perforin and granzyme B. After T cells are stimulated by antigen, transcription and translation, the levels of perforin and granzyme B are upregulated, and the whole process requires 18–24 h [[63,](#page-17-0) [64](#page-17-0)]. T cells can kill an average of 2.4 tumor cells per hour. Conversely, mature NK cells store high levels of perforin and granzyme B, over 200 lytic granules worth, which help NK cells trigger lytic responses within minutes [\[65](#page-17-0)]. Only approximately 2–4 granules released by NK cells in a single degranulation event are sufficient to kill a target cell [\[66](#page-17-0)]. Generally, one NK cell can kill three to four tumor cells before its cytotoxicity is lost. There are a small number of NK cells that can kill more than 30 tumor cells [[8](#page-16-0)].

In addition, both $CDS⁺ CTLs$ and NK cells have been suggested to depend on cytotoxic molecules (including granzymes and perforin), on Fas—Fas ligand (FasL) interactions, and on soluble factors such as tumor necrosis factor (TNF)-α [\[9,](#page-16-0) [67\]](#page-17-0). NK cells can also kill target cells through natural mechanisms and ADCC, while T cells cannot [\[68](#page-17-0), [69](#page-17-0)]. NK cells, overall, are superior to T cells in terms of killing response time and diversity of killing pathways, but the total killing ability of CTLs is stronger than that of NK cells, partly because CTLs proliferate faster and survive longer in vivo than NK cells.

The priming of NK cells and T cells cannot be compared at present. The number of activating receptors that need to engage NK cells to induce degranulation has not yet been determined.

Cytokine release. T cells are divided into multiple cell subsets, and they secrete multiple types of cytokines to perform different functions. For example, CDB^+ T, CDA^+ Th1 and $CD4^+$ Th17 cells secrete proinflammatory cytokines (tumor necrosis factor (TNF), interferon-γ (IFNγ), IL-4, IL-5, etc.), which play an important antiviral and antitumor role by mediating humoral and cell immunity [[34,](#page-17-0) [70](#page-17-0)]. Conversely, $CD4^+$ Th2 cells and Tregs are reported to secrete IL-10 and TGF-β and suppress the immune response [\[70](#page-17-0)–[72](#page-18-0)]. In contrast to T cell grouping, NK cell grouping is relatively simple (CD56^{dim} and CD56bright). All the cytokines mainly secreted from CD56bright NK cells are proinflammatory cytokines, and no immunosuppressionrelated cytokines have been reported [\[70\]](#page-17-0). The types of cytokines secreted by NK cells are not as abundant as those secreted by T cells, which reduces the risk of developing cytokine release syndrome after NK cell treatment.

Proliferation in vivo. Approximately 5.1% of CD8⁺ cells proliferate per day, resulting in an average doubling time of 14 days in the resting state. After being activated, T cells enter the rapid proliferation phase, and approximately 46.8% of $CD8^+$ T cells proliferate per day, which is equivalent to a doubling time of 1.5 days. The proliferation rate is approximately 10 times higher than that of resting T cells $[73, 74]$ $[73, 74]$ $[73, 74]$ $[73, 74]$ $[73, 74]$. However, the mean percentage of proliferative NK cells in healthy people is $4.3 \pm 2.4\%$ per day (equivalent to a doubling time of 16 days), and proliferation rates were approximately 5.7%/day after infection [[75,](#page-18-0) [76](#page-18-0)]. Studies have also identified numerous NK and T cell receptors and downstream signaling molecules, demonstrating that NK cell expansion is limited to approximately 1000-fold and that T cell expansion is greater than 50,000-fold for certain epitopes [[77\]](#page-18-0). The proliferation efficiency of NK cells is indeed weaker than that of T cells.

Recall response. When exposed to certain antigens, $CD8⁺$ T cells are activated and perform their killing function. After that, approximately 5-10% of $CDB⁺$ T cells acquire immunological memory and show a quantitatively and qualitatively enhanced recall response after exposure to specific antigens again [[63](#page-17-0)]. These memory $CDB⁺$ T cells can be distinguished from naïve $CDB⁺$ T cells by CD3, CD45RA, CCR7, and CD62L marker expression and maintain memory for several years [[78,](#page-18-0) [79\]](#page-18-0). Some studies have indicated that priming with cytokines (IL-12, IL-15, and IL-18) or stimulation by viral infection [\[80\]](#page-18-0) can enhance the responsiveness of a group of NK cells to cytokines and activate receptor stimulation that persists for at least 4 months [[81](#page-18-0)–[83\]](#page-18-0). Although these NK cells are also called memory or memory-like NK cells, the specific markers that define this group of cells have not yet been defined [[80\]](#page-18-0). At present, the mechanism by which NK cells acquire memory still needs to be further studied.

CRS, neurotoxicity, and GVHD. Irrespective of whether the chimeric antigen receptor (CAR)-T cells used for treatment are autologous or allogeneic, serious side effects are commonly observed, such as the release of a wide variety of cytokines including interleukin-6 (IL-6), IFN-γ, TNF, IL-2, IL-8, and IL-10, which may lead to the development of CRS [\[84](#page-18-0), [85\]](#page-18-0); in addition, the massive amounts of cytokines can spread into the central nervous system and lead to the development of immune effector cell-associated neurologic syndrome (ICANS) [[84](#page-18-0)-[87\]](#page-18-0). In addition, GVHD occurs when donor-derived T cells recognize recipient (host) cells as foreign. It has been reported that of patients receiving CAR T cells, 37%–93% develop CRS, 23%-67% develop ICANS [\[86](#page-18-0), [87\]](#page-18-0), 30–50% develop acute GVHD (grade I–IV) and 14% experience severe acute GVHD (grade III-IV) [\[88](#page-18-0)].

In contrast to T cell treatment, NK cell treatment has not been reported to result in CRS or ICANS, regardless of whether autologous or allogeneic NK cells were used. This result may be because the proliferation and cytokine secretion abilities of NK cells in vivo are not as good as those of T cells $[89]$ $[89]$. Approximately

Universal/Allogeneic use. T cell receptor recognition of antigen is MHC-restricted. Donor T cell recognition of a mismatch in polymorphic MHC class I or II molecules, which are expressed on APCs, induces acute GVHD [[88,](#page-18-0) [92](#page-18-0)]. Safety issues severely limit the application of allogeneic T cells [\[93](#page-18-0)]. In contrast, NK cells have no MHC restriction, and binding of allogeneic MHC class I molecules to NK cells does not lead to their excessive activation [\[94\]](#page-18-0). Therefore, instead of causing serious side effects, allogeneic NK cell treatment has a better antitumor effect than autologous NK cell treatment [[95,](#page-18-0) [96\]](#page-18-0). Therefore, for universal use, NK cells show a significantly greater advantage than T cells.

Residual tumors and tumor relapse. When residual tumor is present, tumor cells may exit the primary site and enter the PB as circulating tumor cells (CTCs), causing tumor metastasis and relapse [[97\]](#page-18-0). CTCs express FasL, which binds to its receptor Fas on cytotoxic CD8⁺ T or CD4⁺ T helper cells and induces T cell apoptosis. Conversely, CTCs lose inhibitory ligand expression or acquire expression of NK cell-activating ligands that enable NK cells to eliminate the CTCs. NK cells can kill approximately 80% of metastatic cells via the perforin exocytosis pathway [[98\]](#page-18-0). In addition, cancer stem cells (CSCs) have been proven to cause tumor relapse and metastasis. These cells lose the expression of MHC class I molecules, which helps CSCs escape the killing of T cells [[99,](#page-18-0) [100\]](#page-18-0). However, MHC class I molecules can pair with inhibitory receptors of NK cells to maintain NK cell tolerance. When the expression of MHC class I molecules is lost, CSCs are more easily recognized and killed by NK cells. In addition, CSCs upregulate ligands, such as MICA/B, Fas, and DR5, which makes them more susceptible to NK cell-induced apoptosis [\[101\]](#page-18-0). A large number of studies have confirmed that, compared with non-CSCs CSCs (identified by multiple CSC markers: $CD24⁺/CD44⁺$, $CD133⁺$, and aldehyde dehydrogenase^{bright}) are preferentially killed by NK cells [\[101,](#page-18-0) [102](#page-18-0)]. Compared with T cells, NK cells have great advantages in the treatment of residual tumors and control relapse.

Technical manipulations of NK cell immunotherapy compared with T cell therapy

Expansion. Currently, commercial microbeads (Dynabeads) with activating antibodies against CD3 as a TCR stimulus and CD28 as a costimulatory cue are commonly used to expand T cells ex vivo [\[103](#page-18-0)]. Currently, the manufacturing of CAR-T cell products is relatively mature, and many studies have reported rapid and closed expansion systems for the production of CAR-T cells under GMP conditions. It usually takes approximately 10 days of cell culture to achieve the numbers necessary for a clinical dose [\[104](#page-18-0), [105\]](#page-18-0). Recently, one study established a rapid expansion system to manufacture CD19 CAR-T cell products from PBMCs in only 6 days to achieve large numbers that are sufficient for patient treatment [\[106](#page-18-0)]. However, compared to T cell expansion, NK cell expansion is more time-consuming and difficult. The numbers of infused NK cells range from 5 to 100 \times 10⁶ cells/kg in clinical trials, and current in vitro expansion systems of NK cells usually take 14 to 28 days to achieve the numbers necessary for a clinical dose [[107\]](#page-18-0). Feeder-free and feeder cell systems have been developed for the expansion of NK cells in vitro. NK cells expanded using a feederfree system usually have a limited expansion fold. Although expanded NK cells expanded with feeder cell systems have high purity and expansion fold, they have safety issues when used in the clinic. The expansion systems for NK cells are still being optimized. Although expansion systems for NK cells are not as mature as those of T cells, great progress has recently been made in in vitro NK cell expansion. For example, K562-mbIL21-41BBLderived membrane particles were used to expand PBMC-derived NK cells over 10⁵-fold with high purity over 28 days [\[108\]](#page-18-0). This technology is a cell-free system that has evolved from a previous platform that used genetically modified K562 cells as feeder cells to expand NK cells.

Transfection. Two types of gene modification methods, viral and nonviral methods, have been applied for NK and T cell transfection. The retrovirus or lentivirus transfection efficiency of NK cells is usually only 30-40% [[109](#page-18-0)]. A possible reason for this low efficiency is that NK cells inherently have antiviral properties. Compared with that of NK cells, the transfection efficiency of T cells is higher. The retroviral vector transfection efficiency of anti-CD19 CAR-T cells can reach almost 80% [\[106\]](#page-18-0). The electroporation efficiency of CAR-T cells generated from PBMCs can reach as high as 88% [\[110\]](#page-18-0). Therefore, T cells have attracted much attention for TCR or CAR engineering due to their relatively high transfection efficiency. Although NK cells have low viral transfection efficiency, there are strategies to increase NK cell transfection, such as using nonviral methods. The electroporation transduction efficiency of primary NK cells was over 70% [[111](#page-18-0)]. Moreover, the transfection efficiency levels of NK cell lines and iPSC-NK cells are higher than those of primary NK cells. The efficiency of electroporation-based transfection of the NK-92 cell line has been shown to reach as high as approximately 80% [[112](#page-18-0)]. It is well established that using lentivirus vectors can yield relatively high transduction efficiencies (>70%) in iPSC-NK cells [\[113\]](#page-18-0). Because most genetic modifications of iPSC-NK cells are concentrated in the pluripotent stem cell (PSC) stage, in which the cells have not yet differentiated into NK cells, iPSC-derived NK cells can provide a convenient platform for gene modification.

Cryopreservation and recovery. To realize off-the-shelf use, cryopreservation of expanded NK or T cell products is key. To date, the cryopreservation protocol for NK cells is not well defined, and many studies are still optimizing protocols to retain NK cell viability and function after thawing. The mean NK cell viability ranges from 83% to 93% after cryopreservation [[114](#page-18-0)]. The expression of surface receptors of cryopreserved NK cells, such as NKp46, decreases, and the same dose of frozen NK cells has weaker antitumor activity than that of fresh NK cells [[115\]](#page-18-0). For these reasons, cryopreservation of NK cells is rarely used for clinical trials. Although several clinical trials have used cryopreserved NK cells, there is no mention of freezing medium formulation or freezing protocols. Similar to the case for NK cells, cryopreservation also has negative effects on T cell products. The viability of cryopreserved CAR-T cells ranges from 73.7% to 98.4% [\[116](#page-18-0)]. Although there are some well-studied commercial freezing media for CAR-T cell products, gene expression related to mitochondrial dysfunction, cell cycle damage pathways, and apoptosis signaling was found to be increased in cryopreserved CAR-T cell products compared with fresh CAR-T cells [[117\]](#page-18-0). Based on these studies, cryopreservation does impact NK cells and CAR-T cells, and more in-depth research is needed to optimize the freezing and thawing methods and study the effect of cryopreserved NK or T cell products on clinical outcomes.

Persistence after infusion. Clinical trials have shown that IL-2 or IL-15 injection can induce NK cell expansion in vivo in humans, but allogeneic NK cells usually survive only a few weeks after infusion [\[32](#page-17-0), [118](#page-18-0), [119\]](#page-18-0). The limited proliferation in vivo and short inpatient persistence of adoptively transferred primary NK cells have resulted in poor clinical outcomes in the treatment of solid tumors [\[120\]](#page-18-0). However, unlike NK cells, CAR-T cells can persist in vivo and remain functional for several months or even years [[121](#page-18-0)]. The major reason

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for the persistent difference between NK and T cells is that T cells can form memory T cells in the body and can persist in vivo for a long time to provide continued immune protection [\[122\]](#page-18-0). Due to the short persistence of NK cells in vivo, many studies have focused on strategies to increase their persistence in vivo and antitumor activity. Modification of genes related to NK cell metabolism and replication, such as CISH deletion by CRISPR-CAS9 technology and TERT overexpression by retrovirus infection, can promote NK cell in vivo persistence and enhance antitumor activity [[123,](#page-18-0) [124](#page-18-0)]. Furthermore, another strategy endows NK cells with a memory-like phenotype by preactivating them with a cytokine cocktail (IL-12, IL-15, and IL-18) to improve their persistence [\[125\]](#page-18-0).

Receptor modification. TCR recognizes peptides bound to MHC on the surface of tumor cells to initiate the activation of T cells. In T cell-based immunotherapy, T cells can be genetically engineered to express high-affinity TCRs targeting tumor antigen epitopes. Currently, the major challenge of TCR T cell therapy is to design and engineer high-affinity TCRs that specifically recognize particular tumor neoantigen epitopes rather than shared antigens. CARs are fusion proteins containing an extracellular region derived from an antibody that target proteins or glycans on the cell surface in an MHC-independent manner, and most CARs have been built based on high-affinity therapeutic antibodies [[126,](#page-19-0) [127](#page-19-0)]. Due to the MHC-independent recognition mechanism, CAR-T cells have a fundamental antitumor advantage compared with engineered TCR T cells. Unlike that of T cells, the activation of NK cells is regulated by germline-encoded activating and inhibitory NK cell surface receptors. NK cells perform functions in an antigenindependent and non-MHC-restricted manner [\[128\]](#page-19-0). Therefore, NK cell receptors can be modified through genetic manipulation, which enhances the ability of NK cells to recognize tumors, although it is a panspecific recognition. NKG2D is one of activation receptors of NK cells. The interaction between it and its ligands, which are commonly detected on tumor cells, is stronger than that of most NK cell receptors and ligand and than many TCR interactions with MHC proteins [\[129\]](#page-19-0). Due to the high affinity of NKG2D, an engineered NKG2D CAR has been developed to improve the NK cell tumor response in clinical trials in metastatic colorectal cancer [[128\]](#page-19-0). Therefore, NK cells are a promising alternative to T cells for immunotherapy due to their unique recognition and activation mechanisms, which are activated through an array of endogenous activating receptors. Even if a

tumor antigen that CAR targets is downregulated on tumor cells, CAR NK cells can still function, unlike CAR-T cells [[130\]](#page-19-0).

Synthetic function. Immune cells can be genetically modified to express a receptor (CAR) that recognizes specific proteins on the target cell surface. The manufacturing CARs for T cells is well developed, and three generations of CARs have been developed with different structures [[56\]](#page-17-0). The efficiency of T cell transfection can reach more than 80%, as mentioned above, so T cells have attracted much attention for CAR engineering. Although the transfection efficiency of primary NK cells is low (<70%), iPSC-NK cells and the NK-92 cell line provide relatively good and convenient platforms for CAR manufacturing. Currently, most clinical trials utilizing CARs are designed for T cells and are not optimal for NK cell signaling. Therefore, researchers have focused on designing CARs specifically for NK cell signaling. For instance, a CAR containing the transmembrane domain of NKG2D, the 2B4 costimulatory domain, and the CD3ζ signaling domain, termed NKG2D-2B4ζ, was designed for iPSC-NK cells to activate NK cellspecific signaling. The expression of such an NK-CAR construct strongly improved antigen-specific NK cell signaling and had similar antitumor activity to T-CAR (CD28-41BBζ)-expressing T cells in an ovarian cancer xenograft model [[131\]](#page-19-0). Therefore, NK cellspecific CARs are worth exploring to enhance NK cell signaling and cytotoxic function.

In addition to conventional CARs, novel single-chain variable fragment (scFv) recombinant reagents termed bispecific killer engagers (BiKEs) and trispecific killer engagers (TriKEs) have been developed to enhance NK cell cytotoxic function via ADCC (Fig. 1). BiKEs and TriKEs are usually designed with an anti-CD16 component considering that the ADCC activity of NK cells has significant potency in clinical trials [\[132\]](#page-19-0). These novel reagents are designed to form an antigen-specific immunological synapse between NK cells and tumor cells. Therefore, the selection of a target of tumor cells is key in designing these constructs. The selected targets are usually tumor antigens on the cell surface that are highly and selectively expressed. Currently, CD20, CD19, CD33, CD30, EGF-R, HER2, and MOV19 have been selected as targets in different types of tumors [[132](#page-19-0)]. Since BiKEs do not induce the proliferation of NK cells, TriKEs are more popular because they feature IL-15 added into the BiKE platform to enhance NK cell proliferation, and some studies have shown great potency of TriKEs [\[133](#page-19-0)–[135](#page-19-0)]. In addition to IL-15, other cytokines that

Fig. 1 The sources of NK cells for immunotherapy and strategies of capacity enhancement. Various type of cells, including PBMCs, UCB, iPSCs, and NK cell lines, have been used as the sources to generate NK cells for immunotherapy. Gene modification technologies, differentiation protocols, and novel synthetic reagents have been developed to improve NK cell function

modulate NK cell function can also be included in the platform, which means that BikEs/TriKEs are quite flexible. For T cells, bispecific T cell engagers (BiTEs), which include one binding site targeting tumor cells and another targeting CD3, have been designed [\[134](#page-19-0)]. The most representative BiTE, blinatumomab, which targets CD19, has been proven to be highly efficient in acute lymphoblastic leukemia (ALL) [\[136\]](#page-19-0). The surface targets of tumor cells are also key for designing BiTEs, similar to the case for BiKEs. To date, other targets, such as CD33 or BCMA, have been reported in BiTE constructs to treat acute myeloid leukemia and relapsed/refractory multiple myeloma, and the efficiency of these BITEs has been tested in phase I clinical trials [\[137,](#page-19-0) [138](#page-19-0)].

EXPANSION OF NK CELLS FROM PBMCS

PBMCs constitute one of the main sources of NK cells due to their advantages of being relatively easy to collect and amenable to in vitro expansion and lacking toxic side effects. However, the proportion of NK cells in PBMCs is only 10–15%. Methods for expanding PBMC-derived NK cells, such as the use of a combination of cytokines and feeder cells or membrane particles to stimulate NK cell expansion in vitro, have been developed. These different expansion systems show considerably different NK cell expansion efficiency levels.

Feeder cell-free systems for PBMC-derived NK cell expansion

Cytokines are critical components for maintaining NK cells survival and support proliferation of NK cells in vitro without the use of feeder cells. However, different expansion efficiencies have been found when using different cytokines, combinations of cytokines, and culture conditions. The expansion of NK cells using cytokines such as IL-15 or IL-2 alone is relatively modest (Table [1](#page-6-0)). An approximately 200-fold greater NK cell expansion efficiency in a period of 14 days was achieved when NK cells were cultured in KBM-501 medium supplemented with 0.5% normal human AB serum and 2813 IU/ml IL-2 [[139](#page-19-0)]. Although the resulting number of expanded cells could satisfy clinical needs, a multicytokine combination can induce NK cell proliferation more effectively than single cytokines [[140\]](#page-19-0). Purified NK cells expanded ex vivo with 10 ng/mL IL-15 and 100 IU/ml IL-2 have shown higher-fold expansion than NK cells expanded using IL-2, IL-15, or IL-21 alone. Furthermore, the use of high levels of IL-2 (1000 IU/ml) alone was associated with a decline in the average expansion rates of NK cells over the course of 6 days, but simultaneous cultivation with IL-15 and IL-21 was found to maintain viability and expansion of NK cells over a period of 4–6 weeks [\[140,](#page-19-0) [141\]](#page-19-0). Currently, the optimal combination of cytokines is considered to be IL-2 with IL-18. CD3-depleted PBMCs stimulated with IL-2 and IL-18 for 14 days have shown 496.5-fold \pm 55.0-fold NK cell expansion efficiencies with purities of 99.0% \pm 0.6% [\[142\]](#page-19-0). Although the cytokine combinations used to expand NK cells result in a sufficient number of highly cytotoxic NK cells, the overall expansion fold is still less than ideal. Therefore, methods involving the combination of cytokines with antibodies or OK432 (a penicillin-killed, lyophilized formulation of a low-toxicity strain (Su) of Streptococcus pyogenes) have been applied to enhance ex vivo NK cell expansion. NK cells from nonpurified PBMCs showed an average expansion of 637.5-fold (ranging from 167.1-fold to 1613-fold) after 21 days of cultivation in 700 U/mL IL-2 supplemented with 0.01 KE/mL OK432 and anti-CD16 (Table [1](#page-6-0)); in this case, the purity of the expanded NK cells was $84.3 \pm 14.9\%$ [\[143,](#page-19-0) [144\]](#page-19-0). This expansion efficiency was much better than that resulting from the expansion system involving just cytokine combinations [\[145](#page-19-0)].

Feeder cell systems for PBMC-derived NK cell expansion

In addition to strategies employing cytokines, other options including irradiated tumor cells, allogeneic PBMCs and lymphoblastoid derivatives as feeder cells to enhance ex vivo NK cell expansion have been explored. K562 cells, which lack HLA antigen expression, enhance the expansion of NK cells through activated costimulatory signals. A greater than-300-fold NK cell expansion has been reported when using K562 cells as feeder cells combined with IL-2 and IL-15 [[146](#page-19-0)]. However, an only approximately 100-fold expansion was achieved when using cells from other tumor cell lines to stimulate NK cells [\[147](#page-19-0)–[148](#page-19-0)]. Feeder cells have been genetically modified with expressed specific costimulatory ligands or membrane-bound interleukins to stimulate the expansion of NK cells. This method was found to be more effective at expanding NK cells than the methods using the soluble form of a cytokine or an unmodified tumor cell line. A membrane-bound form of interleukin (IL)-21 (mbIL21) or mbIL15 and CD137 ligand have been artificially expressed in K562 cells, and this strategy has been reported to mediate NK cell proliferation and generate highly cytotoxic NK cells. However, Fernandez and colleagues reported achieving a higher-fold NK cell expansion and purity by using PBMCs exposed to K562-mbIL21-41BBL cells than by using PBMCs exposed to K562-mbIL15-41BBL cells [[150\]](#page-19-0). An approximately 823–2800-fold expansion of NK cells was achieved by K562-mb21- 41BBL cells with 73.6–99% NK cell purity during a 14-day culture, and a corresponding 903-84308-fold expansion with over 90% purity was achieved over the course of 21 days (Table [1](#page-6-0)). Note that the medium applied to K562-feeder cell expansion systems is always supplemented with fetal bovine serum, human AB serum or human plasma, which bring about concerns of infection risk. Moseman and colleagues demonstrated a twofold-greater expansion of NK cells when using AIM-V medium supplemented with TexMACS, OpTmizer, SCGM, ABS-001, and StemXVivo than when using RPMI 1640 medium supplemented with 10% FBS and 1% GlutaMAX [\[151](#page-19-0)]. The expansion system for K562 cell-expanded NK cells still needs to be optimized.

Despite these developments, the available strategies involving the use of tumor cell lines to expand NK cells still present safety hazards in clinical use. Therefore, feeder cell-based systems for expanding NK cells with irradiated cells, such as PBMCs, Retro-Nectin FN-CH296-stimulated T (RN-T) cells, cells of the Epstein-Barr lymphoblastoid cell line (EBV-LCL), and BM-mesenchymal stem cells, have been developed (Table [1](#page-6-0)). Of these cells, autologous PBMCs used as feeder cells have been shown to be most advantageous, yielding on average a 15000-fold expansion of NK cells by Day 14 with $98.2 \pm 0.3\%$ purity [[115\]](#page-18-0).

Membrane particles for PBMC-derived NK cell expansion

In addition, tumor cell membrane particles are also effective alternatives for tumor cell lines for expanding NK cells. Membrane particles harvested as described previously [\[108\]](#page-18-0)—specifically harvested from K562-mbIL15-41BBL or K562-mbIL21-41BBL cells through cell lysis using nitrogen cavitation at 300 psi and purified using sucrose gradient centrifugation—not only maintained the activation efficiency of the membrane receptor that induced the NK cell expansion but also avoided the risk of tumor cells being mixed in with the amplified product. Compared with K562 mbIL15-41BBL-derived membrane particles, K562-mbIL21-41BBLderived membrane particles induced NK cells with twice the fold expansion (424-fold vs. 825-fold) by Day 14 of culture with the same purity of expanded NK cells [\[108\]](#page-18-0). Interestingly, the proliferation and viability of NK cells were decreased at 22 days of expansion with K562-mbIL15-41BBL-derived membrane particles. However, culture with K562-mbIL21-41BBL-derived membrane particles yielded an over 100,000-fold expansion by Day 28. The purity and cytotoxicity of these NK cells were similar on days 28 and 14 [[108\]](#page-18-0).

Gene manipulation of PBMC-derived NK cells

At present, PB-NK cells can be expanded for up to 15 weeks in vitro, and the maximum output of expanded PB-NK cells can reach 1×10^{13} cells [[152,](#page-19-0) [153](#page-19-0)]. However, a better amplification

effect can be achieved through genetic modification of PB-NK cells. With the help of genetic modification, the ex vivo expansion time can be prolonged, a larger amount of cells can be produced, and the dosage of cytokine cocktail can be reduced.

Fujisaki et al. showed that TERT, the gene encoding human telomerase reverse transcriptase, can affect the amplification time of PB-NK cells. TERT was transduced into 7 day-expanded PB-NK cells, which recovered NK cell proliferation. and the NK cells continue to expand for 150 weeks in vitro [[153\]](#page-19-0). In another investigation, the proliferation ability of inducible MyD88/CD40 (iMC)-modified NK cells was stronger. After 8 days of expansion by irradiated K562 cells, the number of iMC-modified NK cells was three times more than that of unmodified NK cells. Moreover, data shows that treatment with rimiducid (Rim), a small molecule reagent that can activate iMC in NK cells, during the expansion process increased the number of iMC-NK cells by 2 times [[154](#page-19-0)]. In addition, it has been reported that the low IL-2 requirement can be achieved by editing PB-NK cells to express cytokines or cytokine receptors. Imamura et al. generated mbIL15-PB-NK cells via retroviral particle infection. In the absence of IL-2, these mbIL15-PB-NK cells were detected up to 75 days in vitro, compared to the mock-PB-NK cells, which persisted only 2 weeks [[155\]](#page-19-0). Overexpression of thrombopoietin (TPO) receptor (c-MPL) in NK cells can cause the cells to expand at a low IL-2 concentration (25 U/ml) in response to TPO (50 ng/ml), and the expansion fold is the same as that achieved with the 500 U/ml IL-2 culture (approximately 34-fold) [[156\]](#page-19-0).

EXPANSION OF NK CELLS FROM CORD BLOOD

Two different methods have been used to obtain large numbers of NK cells from cord blood. One method involves expanding NK cells in UCB, and another is to induce cord blood-derived $CD34⁺$ hematopoietic stem and progenitor cells (HSPCs) to differentiate into NK cells, which are then expanded. Although UCB-NK cells express lower levels of KIR, NKG2C, DNAM1, adhesion molecules, and IL-2Ra and higher levels of CD94/NKG2A and CXCR4 than peripheral blood (PB)-derived NK cells [[157](#page-19-0), [158](#page-19-0)], the frequency of NK cells in UCB is approximately 15–20%, slightly higher than that in PB [[158](#page-19-0)]. Moreover, the cytotoxicity of ex vivo-expanded UCB-NK cells under some conditions has been shown to be similar to that of PB-NK cells [[159](#page-19-0)].

Expansion systems for cord blood CD56⁺ cell- or MNC-derived NK cells

Various methods for expanding UCB-NK cells or UCB-mononuclear cells (MNCs) have been investigated in several studies. Most of the studies employed feeder cells in the expansion systems. However, the expansion folds of the different systems have differed considerably. Coculture of CD3-depleted UCB-MNCs with bone marrow stem cells as feeder cells (at a ratio of 8:1) and in the presence of IL-2, IL-15, IL-3, and FLT-3L expanded NK cells 104 ± 15 -fold over the course of 2 weeks but resulted in an NK cell purity of only $65 \pm 10\%$ [\[160](#page-19-0)], which is relatively low for an expansion system using purified NK cells as the starting material. Another group reported coculturing CD3 depleted UCB-MNCs with cells of the EBV-transformed HLA-I and B lymphoblastoid cell line PLH (at a ratio of 1:4) and in the presence of IL-2 and IL-15 for approximately 3 weeks, at which point the UCB-NK cells showed an average 700-fold expansion with a purity of $94.28 \pm$ 2.08% [\[161](#page-19-0)]. The Katy group reported a large-scale UCB-NK cell expansion system using feeder cells. They cocultured UCB-MNCs with K562 cells expressing mbIL21, 4-1BBL, CD64 and CD86 (at a ratio of 1:2) and in the presence of IL-2. Depletion of $CD3⁺$ cells was performed every 7 days during the cultivation process. This system can expand UCB-NK cells 3000-fold on average in approximately 2 weeks with an NK cell purity above 95% [\[162](#page-19-0)].

Single or simple combinations of cytokines such as IL-2, IL-5, and IL-21 have been used to expand UCB-NK cells. However, due to the limited expansion seen with these conditions, new feederfree expansion systems are being developed. The Wu group reported that UCB-MNCs stimulated with IL-2, group A streptococcus, and zoledronate for 3 weeks can yield NK cells with an average purity of 95% and a 1561-fold expansion [\[163](#page-19-0)]. This feeder-free method reduces the risk of introducing other types of cells into the final product, but the purity of NK cells still needs to be improved.

Differentiation and expansion systems for NK cells derived from cord blood $CD34^+$ cells

Although CD34⁺ HSPCs make up only approximately 1% of nucleated cord blood cells, the convenience and stability of cryopreserved UCB cells have resulted in UCB $CD34^+$ cells becoming a relatively common source of NK cells.

Previously, major studies in this area employed stromal cells such as M2-10B4, AFT024, EL08.1D2, and OP9-DLL4 cells in the differentiation and expansion systems. These stromal cells combined with the cytokines IL-3, IL-7, IL-15, SCF, and FLT-3L can induce the differentiation of $CD34⁺$ cells into NK cells. After approximately 4–5 weeks, up to approximately 4000-fold-expanded cell products can be obtained, with NK cell purity levels greater than 90% [[159](#page-19-0), [164](#page-19-0)–[166](#page-19-0)]. However, these stromal cells are not of human origin, and this issue has created a bottleneck in the clinical application of the resulting products because of the use of heterogeneous materials.

The Dolstra and Spanholtz group in The Netherlands reported the largest cytokine cocktail expansion system to date, using UCB $CD34⁺$ cells as the starting cells. They used GMP-grade serum-free medium, namely, Glycostem basal growth medium, combined with 3 different cytokine combinations (SCF, IL-7, FLT-3L, TPO, G-CSF, GM-CSF, and IL-6 in the first 9 days; SCF, IL-7, FLT-3L, IL-15, G-CSF, GM-CSF and IL-6 during Days 9–14; and SCF, IL-7, IL-15, IL-2, G-CSF, GM-CSF, and IL-6 starting at Day 14) at different stages of expansion and differentiation to induce $CD34⁺$ cells to differentiate into NK cells and expand the NK cells. After approximately 5 weeks, the cells were found to have expanded by more than 15000-fold (ranging from 16,991-fold to 73,666-fold), with a $CDS6⁺$ cell purity of over 95%. Later, the researchers also developed a closed-system culture process. This culture process has made it possible to provide off-the-shelf NK cell products for immunotherapy [\[167](#page-19-0)–[169](#page-19-0)].

Regardless of whether the induction system contains stromal cells or a cytokine cocktail, the UCB CD34 $^+$ cell-derived NK cells expressed high levels of NKG2D, 2B4, CD161, NKp46, NKp44, and NKp30. KIRs, CD16, and NKG2C were expressed at low levels or barely expressed [[159,](#page-19-0) [165](#page-19-0), [166](#page-19-0), [168](#page-19-0), [170\]](#page-19-0). Grzywacz and colleagues showed that most cells acquired receptors, such as NKG2D, NKp30, and NKp46, during the differentiation process; however, the vast majority of iNK cells consistently expressed CD161 and NKp44 [[166\]](#page-19-0).

Genetic manipulation of cord blood-derived NK cells

Genetic modification of CB-NK cells to achieve better persistence in vivo mainly focuses on the TGFβR and IL-15 signaling pathways. Studies have reported that CB-NK cells expressing a dominantnegative TGFβR can continue to exert their antitumor function in the presence of TGF-β [[171](#page-19-0), [172](#page-19-0)]. Droplet digital PCR (ddPCR) detection results show that CB-NK cells overexpressing the truncated TGFβRII domain can persist in vivo for approximately 2 months [\[173](#page-20-0)]. However, more intuitive evidence for prolonged persistence of NK cells can be obtained by flow cytometry results. IL-15-overexpressing CB-NK cells can be detected in peripheral blood and many tissues at high frequencies, more than 80%, at approximately 49 days, and these CAR NK cells still exist in vivo for approximately ten weeks [\[174](#page-20-0)]. CISH gene knockout has been reported to prolong the in vivo persistence duration of CB-NK cells. At approximately 1 month, iC9/CAR19/IL-15 CISH−/[−] CB-NK

Fig. 2 At present, the differentiation stage of PSC-NK can be divided into hematopoietic progenitor cell differentiation stage and NK differentiation stage, and the differentiation system can be divided into two systems according to the existence of feeder cells. For feeder system (up panel), some xenogeneic stromal cell lines, such as OP9, M210-B4, or S17, were used to form hematopoietic progenitor cells (HPCs). However, HPCs can also be obtain through spin embryoid bodies (EBs) with the help of the combination of cytokines (BMP4, SCF, and VEGF) and small molecules (Y-27632). Subsequently, HPCs were co-incubated with MS-5, AFT024 or EL08-1D2, accompanied by the presence of cytokines (IL-7, IL-15, SCF, Flt3L, and IL-3 only first week), finally to generate PSC-NK. For feeder-free system (bottom panel), two differentiation stage did not involve xenogeneic stromal cell lines, and the induction was completed under the combination of cytokines

cells in peripheral blood can be detected by flow cytometry, and the percentage of these modified cells is 40%, which is 2-fold higher than that of iC9/CAR19/IL-15 CB-NK cells [\[175\]](#page-20-0).

EXPANSION OF NK CELLS FROM IPSCS OR ESCS

In addition to obtaining NK cells from PB and UCB as mentioned above or using NK cell lines, NK cells can also be induced from PSCs, allowing for off-the-shelf production (Fig. [1\)](#page-4-0). Studies have shown that PSC-derived NK cells not only have the advantages of primary NK cells and NK cell lines but also lack their problems [\[176](#page-20-0)]. PB-NK and UCB-NK cells are easily accessible, but the number and purity of expanded NK cells are greatly affected by the donor, and the products are usually heterogeneous [\[158](#page-19-0)]. Cells of NK cell lines are homogeneous, and it is often necessary to inhibit the proliferation of such cells in the treatment of patients; however, such inhibition also affects the antitumor activity [[177\]](#page-20-0). Although studies have suggested that NK cells differentiated from PSCs show immature phenotypes, such as higher levels of NKG2A expression, studies have also shown that PSCs can be induced to differentiate into NK cells resembling primary NK cells, such as iPSC-NK cells expressing multiple NK receptors (NKG2D, TRAIL, CD16, and so on) [[178\]](#page-20-0). In brief, iPSC- or embryonic stem cell (ESC) derived NK cells have attracted widespread attention because they can provide homogeneous, highly cytotoxic NK cell products.

Differentiation system for iPSC- or ESC-derived NK cells

The differentiation of PSCs into NK cells is currently thought to proceed in two stages, with PSCs differentiating into hematopoietic progenitor cells (HPCs) in the first stage and HPCs differentiating into NK cells in the second stage (Fig. 2) [[178\]](#page-20-0). The cultivation time for such differentiation experiments is approximately 5–8 weeks, and such cultivation has resulted in a high purity of NK cells (>90%) (Table [2\)](#page-10-0) [[113,](#page-18-0) [123](#page-18-0), [159,](#page-19-0) [179](#page-20-0), [180\]](#page-20-0). The system established by the Kaufman group to induce the differentiation of PSCs into NK cells is relatively mature and includes a feeder system and a feeder-free system [[178,](#page-20-0) [181,](#page-20-0) [182\]](#page-20-0).

Some xenogeneic stromal cell lines, such as S17, OP9, and M210-B4, have been used to form HPCs, and the percentages of $CD34^+$ or $CD34^+CD45^+$ cells in the HPCs were relatively low (mostly 10–20%) (Table [2\)](#page-10-0) [[181,](#page-20-0) [182](#page-20-0)]. In these processes, the $CD34^+$ or $CD34^+CD45^+$ cells were then sorted and subjected to NK cell differentiation for approximately 4–5 weeks. Subsequently, the HPCs were cocultured with MS-5, AFT024, or EL08-1D2 cells; a wide range of purity levels of NK cells, between 10% and 90% (Table [2\)](#page-10-0), resulted from these experiments [[181](#page-20-0), [182](#page-20-0)]. In experiments carried out in 2005, S17 and AFT024 cells were used to generate HPCs and NK cells, respectively, but only 29.0–37.5% of the cells obtained were $CD45^+CD56^+$ cells, and most of the other cells expressed CD33, indicating that the cells were in the early stages of differentiation. The Kaufman group then set out to optimize the system. They sorted CD34⁺CD45⁺ cells by cocultivating H9 human embryonic stem cells (hESCs) with M210-B4 cells (but not with S17 cells) and then culturing the resulting cells with irradiated AFT204 cells for 30–35 days. As a result of this optimization, 97.8% of the cells they obtained were $CD56⁺$ cells [\[181](#page-20-0)]. However, other studies have shown that HPCs can be generated using spin embryoid bodies (EBs) without the need to sort CD34 $^+$ cells for NK cell differentiation, providing a new way to obtain HPCs $[183]$. In that study, CD56⁺ cell purity levels of approximately 40% to 92.9% were obtained.

The use of xenogeneic cells may cause safety concerns, so a feeder-free system was established for the sake of clinical production efforts. This system was designed to be based on spin EBs without stromal cells used during culture, with the spin EBs seeded in 24-well plates only cultured with IL-3 for the first week; subsequently, SCF, IL-7, IL-15, and Flt3L were used in the NK differentiation medium for NK cell formation for the next 4–5 weeks. This process has been found to yield a high purity of CD56⁺ cells (>90%) at the final harvest (Table [2\)](#page-10-0) [\[159,](#page-19-0) [178,](#page-20-0) [184\]](#page-20-0). The Kaufman group provided an improved protocol on the basis of this protocol. PSCs were made to experience feeder-free adaptation for only 1–2 passages. HPCs could be formed by using spin EBs after only approximately 1–2 weeks, which greatly shortened the culture time [\[123,](#page-18-0) [185](#page-20-0)]. However, the cell numbers increased from an initial 3000/well to 8000/well. In addition, several studies have established other differentiation systems. Wang and colleagues differentiated PBC-iPSCs (iPSCs derived from peripheral blood cells) into NK cells in a two-stage culture. OP9 and OP9-DLL1 cells were used in the first and second stages, respectively, and 99% of the cells obtained were NK cells [[179\]](#page-20-0). Kaneko and colleagues reported the differentiation of iPSCs into NK cells in three steps: they made iPSCs differentiate into spin EBs first and then let those spin EBs form lymphocyte progenitor cells (LCPs) (CD7⁺CD45⁺ cells); finally, PHA was used to differentiate the LCPs into NK cells [[113](#page-18-0)]. In this system, the purity levels of $CD34^+CD45^+$ cells and $CD7^+CD45^+$ cells reached as high as 86.8% and 96.9%, respectively [[113\]](#page-18-0). NK cells induced by all of these systems were mature, expressing high levels of CD16 and other NK cell receptors. They exhibited cytotoxic functions against tumor cells in vitro and in vivo [\[113,](#page-18-0) [123](#page-18-0), [159,](#page-19-0) [186](#page-20-0)].

Expansion system for iPSC- or ESC-derived NK cells

Studies have shown only a small amount of amplification, approximately 5–40-fold, for PSC-NK cells in the differentiation stage (Table [2](#page-10-0)) [\[152](#page-19-0), [178](#page-20-0)]. Therefore, it is necessary to take additional steps to expand NK cells to obtain enough NK cells for preclinical or clinical treatments. Currently, feeder systems are

mainly used to expand PSC-NK cells, and feeder cells include genetically modified, irradiated K562 cells and irradiated PBMCs.

K562 cells transduced to express CD64, CD86, CD137L, truncated CD19, and mbIL21 (K562-41BBL-mbIL21) were generated by Lee and are the most common feeder cells used in NK cell expansion, yielding 10^2 - to 10^3 -fold PSC-NK cell expansion [\[159](#page-19-0)]. Kaufman and colleagues used irradiated mbIL21-CD137L-K562 cells for iPSC-NK (iNK) cell expansion, and this process yielded a total expansion of 10⁵-10⁶-fold [\[187\]](#page-20-0). Note that the total expansion "fold" values here each refer to the number of iNK cells resulting after 3 weeks relative to the number of iPSC cells on Day 0. PSC-NK cells can be expanded for more than 60 days, and the cytotoxic activity is not decreased during this expansion time. Other feeder cells are also used to expand PSC-NK cells, such as irradiated PBMCs [\[113\]](#page-18-0). In contrast to the system established by Kaufman, the system used by Kaneko and colleagues allowed HPCs to differentiate into LPCs, as mentioned above, and this differentiation was accompanied by a 164-fold expansion. Subsequently, the number of LPCs stimulated with irradiated PBMCs and PHA increased from 5×10^7 cells to 3.3×10^9 cells during the two-week expansion period (Table [3\)](#page-13-0) [\[113](#page-18-0)]. In brief, NK cells induced by PSCs can be expanded in vitro to obtain a larger number of cells, specifically at least $10⁹$ cells, when starting with 10^6 cells.

Genetic manipulation of iPSC- or ESC-derived NK cells

Studies have reported that genetically modified iPSCs can maintain good pluripotency [\[186,](#page-20-0) [188](#page-20-0), [189](#page-20-0)]. iPSC- or ESC-derived NK cells provide a convenient gene manipulation platform for genetic modifications at the PSC stage.

A series of studies have shown that the modification of IL-15 and CIS can improve the proliferation ability of PSC-NK cells by lowering cytokine requirements. Zhu et al. used the CRISPR system to knock out the CIS protein, which can block the JAK/STAT signaling pathway downstream of IL-15, such that the modified iPSC-NK cells could survive at a low IL-15 concentration (1 ng/ml) [[152\]](#page-19-0). Fate Therapeutics has developed iPSC-NK cell products, such as FT576, in which the IL-15RF (IL-15/IL-15 Rα fusion) protein is knocked in, and they can live for long periods in vitro without additional cytokines [[190](#page-20-0), [191](#page-20-0)]. Bjordahl et al. reported that FT576 could induce approximately 61-fold expansion ex vivo without cytokines in the presence of IL-15RF, while WT iPSC-NK cells only expanded fourfold [\[190\]](#page-20-0).

During the process of NK cell differentiation from PSCs, the production of NK cells can also be increased by gene modifications. Angelos et al. used the CRISPR/Cas9 system to knock out aryl hydrocarbon receptor (AHR) in hESCs (AHR^{-/-} hESCs), and this strategy produced more hematopoietic progenitor cells than a strategy employing WT hESCs during the early stage of differentiation (CD34+CD45+ 35.5% vs. 12.9%; CD34+CD43+ 38.2% vs. 22.3%), and the differentiation efficiency of mature hematopoietic lineages (CD34[−]CD45⁺) was better than that of WT hESCs (87.7% vs. 51.3%) [\[192\]](#page-20-0).

In addition, CIS deficiency can also extend the persistence of iPSC-NK cells in vivo. Two weeks after NK cell reinfusion treatment in a mouse xenograft tumor model, the percentage of $CISH^{-/-}$ iPSC-NK cells in peripheral blood was approximately 13-fold higher than that of WT iPSC-NK cells (3.75% vs. 0.29%), which means that the absence of CISH prolonged the persistence of NK cells in the mice.

EXPANSION OF NK CELLS FROM CELL LINES Human NK cell lines

The very first NK/T cell line suggested to be used for adoptive transfer was TALL-104 in the early 90 s by Cesano A et al. Currently, several NK cell lines, including NK-92, NKG, NKL, KHYG-1, YT, NK-YS, SNK-6, and IMC-1, are available. Although all of these cell lines are

derived from patients with leukemia or lymphoma, it is convenient to acquire, culture, and genetically manipulate these cell lines, and for these reasons, they remain a source for NK cell therapy.

Different cell lines differ with regard to molecular expression and cytotoxicity. NK-92 cells were established by Hans Klingemann at the Terry Fox Laboratory in Vancouver, Canada. The NK-92 cell line was established from a male patient with non-Hodgkin's lymphoma. NK-92 cells are positive for the surface markers CD56 and CD25 and negative for CD3, CD4, CD8, CD16, CD32, CD64, and HLA-DR. Therefore, the growth of NK-92 cells is IL-2-dependent and cannot cause ADCC [\[193\]](#page-20-0). Further research showed that NK-92 cells lack most of the KIRs and only express KIR2DL4, which can bind to HLA-G and mediate inhibitory effects. However, the cells express high levels of activating molecules and cytotoxic effector molecules, including NKG2D, NKp30, NKp46, 2B4, TRAIL, FasL, and TNF alpha. This expression pattern indicates that NK-92 cells display high cytotoxic activity [\[194](#page-20-0)]. The very first clinical application of unmodified NK-92 cells was reported by Tonn, T et al. in J Hematotherapy and Stem Cell Research in 2001.

The NKG cell line was also established from a male patient with non-Hodgkin's lymphoma. NKG cells are positive for the cell surface markers CD56 and CD25 and negative for CD3, CD4, CD8, and CD16. A detailed cell surface molecular analysis showed that NKG cells express high levels of the activating molecules NKp30, NKp44, NKp46, NKG2D, and NKG2C and the cytotoxic effector molecules IFN gamma, TRAIL, FasL, granzyme B, and perforin and low levels of KIR2DL2/L3. This expression pattern indicates that NKG cells also display high cytotoxic activity. However, blocking NKG2D and NKp30 can completely abolish the cytotoxicity of NKG cells toward tumor cells [\[195\]](#page-20-0).

The KHYG-1 cell line was established from a female patient with NK cell leukemia. KHYG-1 cells are positive for the cell surface markers CD8, CD33, CD56, CD158a, CD158b, and HLA-DR but negative for CD3 and CD25 and have a low level of CD16. KHYG-1 cells do show high cytotoxicity against K562 cells and other cell lines in vitro, but their expression of CD8, a T cellassociated marker, and their expression of CD33, a myeloid antigen, have made their characterization as an NK cell line controversial [\[196](#page-20-0)].

The NK-YS and SNK-6 cell lines were both established in Japan. The former was established from a patient with leukemic-state nasal angiocentric NK cell lymphoma. The latter was established from a patient with nasal T/NK cell lymphoma. Both cell lines are EBV-positive cell lines with a CD3CD56⁺CD16CD25⁺HLA-DR⁺ phenotype. Cells of both cell lines have been shown to display IL-2-dependent proliferation. NK-YS cells but not SNK-6 cells display an LGL morphology [\[197,](#page-20-0) [198\]](#page-20-0). The IMC-1 cell line was established from a patient with NK leukemia. IMC-1 cells were shown to be negative for EBV, to have a CD3 CD56+HLA-DR+ phenotype, and to express very low levels of CD16—but also to express the CD34 stem and progenitor cell antigen at a low level [\[199](#page-20-0)].

Although these NK cell lines have been developed, only the NK-92 cell line has been used for NK cell-based immunotherapy clinical research. The other NK cell lines are currently in the preclinical research stage.

Expansion systems for NK cell lines

Cells of all of these NK cell lines can be expanded in medium containing IL-2. Therefore, the major expansion system for NK cell lines is still based on cytokine-dependent culture methods. When NK-92 cells were established, they were cultured in alpha MEM supplemented with bovine serum, horse serum, hydrocortisone, inositol, folic acid and IL-2. The cells doubled in approximately 24 h and could continuously proliferate for more than 18 months ex vivo, with approximately twice-weekly medium changes. However, due to this expansion system containing animalderived ingredients, it is not suitable as a clinical-grade amplification system. The Tonn group reported a clinical-grade system for

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manufacturing NK-92 cells. The cells were cultured in X-Vivo 10 medium containing recombinant transferrin supplemented with 500 U/mL IL-2 and 5% human plasma. The cells were γ-irradiated with 10 Gy before being used [[200\]](#page-20-0).

The use of human plasma increases, to a certain extent, production costs and the risk of use due to endotoxins in the plasma. In contrast, serum-free expansion systems have advantages in terms of production cost and safety. The Alici group reported a serum-free NK-92 cell expansion system. By gradually adapting NK-92 cells to a serum-free culture system, the researchers were able to culture the cells in stem cell growth medium (SCGM) supplemented with 1000 U/mL IL-2. The viability, proliferation, receptor expression, and expression of cytotoxic molecules of these cells were similar to those of NK-92 cells cultured in medium supplemented with 20% FBS. However, the expression of some genes associated with the regulation of the immune response and the protein and cholesterol biosynthesis pathways was changed. Moreover, NK-92 cells cultured in a serum-free expansion system show reduced cytotoxic capacity, although the cytotoxic capacity can be recovered by adding serum [\[201\]](#page-20-0).

Genetic manipulation of NK cell lines

To reduce the cytokine requirement during expansion, IL-15, SCF, EPOR (EPO receptor) and c-MPL (TPO receptor) have been transduced into NK cell lines. Jiang et al. pointed out that the proliferation rate of IL-15-expressing NKL cells cultured with 40 U/ mL IL-2 is similar to that of control NKL cells cultured with 100 U/ mL IL-2 [\[202](#page-20-0)]. In addition, in another study, IL-15-NK92 cells expanded approximately 20-fold after a week in culture with 10 U/ mL IL2 or IL-15, while NK92 cells did not proliferate [[203\]](#page-20-0). Similarly, NK92 cells expressing SCF expanded 100-fold when cultured with 100 U/mL IL-2 for 7 days, which was 1.7-fold higher than the expansion of unmodified NK92 [[204\]](#page-20-0). Chanswangphuwana et al. confirmed that, compared with the expansion fold of 1 U/mL IL-2 for 8 days, the expansion fold of TPO or EPO plus low-dose IL-2 for $EPORT+$ NK92 cells and c-MPL⁺ NK92 cells was increased by 33-60 times [\[156\]](#page-19-0). In addition, Xu et al. showed that the specific costimulatory domain in the CAR structure may also affect the proliferation ability of NK cells. The relative proliferation increase of 2B4.z-NK92 cells can reach approximately ninefold at the third day after selection, while that of mock-NK92 cells was only 4.5-fold [\[205\]](#page-20-0).

GOOD MANUFACTURING PRACTICE (GMP)-COMPLIANT PRODUCTION OF NK CELLS

NK cell lines

NK-92 cells, due to their IL-2-dependent proliferation, can be easily expanded in culture, and GMP-compliant proliferation of NK-92 cells in gas-permeable bags, cell flasks, or bioreactors is straightforward [[206](#page-20-0)]. Researchers have optimized and validated the processes related to the GMP-compliant manufacture of genetically modified CAR-expressing NK-92 cells. GMP-grade X-Vivo 10 media supplemented with human plasma and IL-2 have been shown to enable efficient expansion of NK-92 cells and to maintain specific cytotoxicity and stable CAR expression (>98%) for a long time. However, to our knowledge, no detailed information about the final cell numbers and production devices of these GMP-compliant production systems has been made available. Nevertheless, large numbers of NK-92 cells cultured in VueLife 750-C1 bags prefilled with X-Vivo 10 medium and then transported over long distances were shown to retain high cytotoxicity toward K562 cells (>90%) and high viability (>90%) [[207\]](#page-20-0).

iPSC-NK cells

Although hESCs, UCB $CD34^+$ cell-derived iPSCs, and fibroblastderived iPSCs can be used to generate NK cells, in the early years, these hPSC sources were not widely used in the production and treatment of NK cells because they are difficult to obtain and complex to produce [[179](#page-20-0)]. As reported in recent years, Fate Therapeutics is a company that focuses on applying iPSCs in immune cell therapy. The GMP-compliant manufacturing facility of the company was designed for the manufacture of off-the-shelf cell products using clonal master iPSC lines as the starting source, which are a renewable source for routine cGMP mass production of NK cell products. Several of their iPSC-derived NK cell products have been applied in clinical trials. The FT516 product of Fate Therapeutics was the first genetically engineered iPSC-derived NK cell therapy product to receive FDA approval for clinical trials [[208\]](#page-20-0). Another NK cell product of theirs, namely, FT538, which was approved by the FDA as an investigational new drug (IND) in a multidose phase I clinical trial, was derived from a clonal master iPSC line that was made by reprogramming and engineering donor-derived human fibroblasts. The GMP process was able to produce 4.5 \times 10¹² FT538 NK cells [\[209](#page-20-0)]. To date, apart from the progress made by Fate Therapeutics, GMP production of NK cell products has been very limited because most studies related to iPSCs are still in the scientific research stage, and hence, any preliminary products tend not to meet the requirements of clinical applications.

UCB $CD34^+$ NK cells

The Spanholtz group reported a closed-system culture process to expand NK cells derived from UCB $CD34^+$ cells. The researchers used the CliniMACS system to optimize the enrichment of $CD34^+$ cells from UCB, and then, UCB $CD34^+$ cells were differentiated and amplified into NK cell products in an automated bioreactor. During the course of approximately 6 weeks, the cells expanded more than 2000-fold, with over 90% of the cells produced being $CD56⁺$ cells $[168]$ $[168]$ $[168]$. Furthermore, the Netherlands-based company Glycostem Therapeutics was the first company in the world to set up a completely closed-system, GMP-compliant platform for manufacturing allogeneic NK cells from fresh UCB stem cells [[210](#page-20-0)]. On the basis of the system developed by the Spanholtz group, $CD34⁺$ cells derived from fresh UCB were selected using a fully automated CliniMACS Prodigy apparatus and further differentiated into mature NK cells in Xuri bioreactors. The NK cell product was shown to be scalable, resulting in 2000-3000-fold expansion and production of up to 1.1 \times 10¹⁰ NK cells from a single UCB unit over the course of 40 days. The PVC Sterile Connection Device and Sealer as well as the C-Flex Biowelder and Sealer were used in the GMP clean room, and the system was kept closed [[210](#page-20-0)]. Such a closed system, in which cells are never exposed to the open environment, was designed to avoid risk of contamination.

PB-NK cells

PBMC collection by leukapheresis has been commonly used for GMP-compliant expansion of NK cells [[211\]](#page-20-0). Lim and colleagues established a simplified and efficient method for the large-scale expansion and activation of NK cells from PBMCs under GMP conditions. The researchers used several automated devices to realize GMP-compliant production. In their work, PBMCs from healthy donors were isolated using leukapheresis, and T celldepleted PBMCs were separated by using a VarioMACS apparatus and then cocultured with irradiated autologous PBMCs in the presence of OKT3 and IL-2 for 14 days in A-350N culture bags in a GMP-compliant facility, resulting in a highly (>98%) pure population of CD3−CD16+CD56⁺ NK cells and an expansion fold ranging from 520 to 860 [[212\]](#page-20-0). Furthermore, feeder cell-free scalable production of NK cells using membrane particles that express IL-21 and 41BB ligand has also been used in a GMP-compliant facility $[213]$ $[213]$. In this system, a few different closed devices were used to manufacture NK cells on standard industry platforms. A MACS Prodigy system was used to deplete $CD3⁺$ T cells, and a Prodigy CCU was used to stimulate and initially expand NK cells using membrane particles. Then, NK cells were expanded in a Xuri perfusion bioreactor. Finally, NK cells were harvested by a LOVO apparatus and cryopreserved using a Custom Biogenics System 2101 freezer. The GMPcompliant process was shown to yield a robust 1000-fold expansion of PB-NK cells in less than 2 weeks, and this platform can be scaled to produce 10⁸-fold expansion of NK cells over 40 days while maintaining cytotoxic potency [[213](#page-20-0)]. This cell-free system has evolved from a previous platform that used genetically modified K562 cells as feeder cells to expand NK cells. More recently, to achieve GMP-compliant production of genetically engineered NK cells and facilitate the clinical application of CAR NK cells, an increasing number of studies have used CliniMACS Prodigy devices to integrate multiple steps, including NK cell purification, transduction, and cultivation, in a closed system. Apart from the Miltenyi Biotec CliniMACS Prodigy device, other special culture platforms, such as the Zellwerk ZRP platform and its bioreactor types, are available. Expansion of NK cells based on this Zellwerk platform results in mass production under GMP conditions and allows for cell culture and isolation within a functionally closed environment [[214\]](#page-20-0).

FUTURE PROSPECTS

Automatic and closed systems for NK cell production

Large-scale production of NK cells typically takes 14 to 28 days, and the traditional manual production process requires frequent replenishment of fresh medium, cytokines, and growth factors to ensure that NK cells grow in an optimal environment, which undoubtedly increases the risk of introducing foreign contaminants and requires significant labor and financial resources. Therefore, it is necessary to develop a fully automated and closed NK cell production system that not only makes the entire production process GMP compliant but also provides significant cost savings in the long run. Progress has recently been made in closed culture systems for large-scale expansion of NK cells, such as the permeable cell culture flask G-Rex (Wilson Wolf Manufacturing, New Brighton, MN, USA) and the wave bioreactor (GE Healthcare Life Sciences, Chicago, USA) [\[107,](#page-18-0) [114](#page-18-0)]; these culture systems do not require repeated fluid changes or manipulation of cells, greatly reducing the risk of contamination. Moreover, the closed environment and automation of culture flasks produce more active NK cells in larger quantities than are produced by strategies with frequent manual operations [\[215](#page-20-0)]. Recently, Miltenyi Biotec invented a fully automated cell processing platform, i.e., CliniMACS Prodigy®; this platform has been applied in CAR-T cell product manufacturing and combines cell sorting, cell centrifugation, washing and cell cultivation under GMP conditions. Coupling of this system with the Xuri W25 apparatus (GE Healthcare) for automated closedsystem production of NK cells has been reported to be not only effective but also more GMP compliant [[216](#page-20-0)]. The CliniMACS Prodigy system has also been reportedly used in combination with Xuri bioreactors to differentiate and bulk expand NK cells from UCB CD34⁺ stem cells $[210]$. Most of the NK cells used in clinical practice to date have been produced in limited quantities, which barely meet the needs of patients. With the highly unmet need to treat large cohorts of patients and to do so with multiple doses, fully automated and closed production of NK cells on a large scale with high efficiency is necessary. Moreover, automated closed systems could reduce costs and increase the quality control of NK cell production. However, the development of automated closed production systems is challenging due to the different sources of NK cells and different methods used to expand them.

Off-the-shelf supplies of NK cell products

NK cells do not require strict HLA matching and hence can be used as an allogeneic therapeutic without the risk of causing GVHD. In recent clinical trials, the numbers of infused NK cells ranged from 5 to 100 \times 10⁶ cells/kg [\[107\]](#page-18-0). Traditional NK cellbased therapy involves one donor for one patient, and mass production of expanded allogeneic NK cells usually takes several weeks. Therefore, an off-the-shelf NK cell product, if made available, could simply be given directly to the cancer patient, saving much time and costs. Moreover, the supervision and quality control of off-the-shelf NK cell products could be realized relatively easily. The NK cell line NK-92 has been used in the clinic and is known as an 'off-the-shelf therapeutic' for adoptive NK cell-based immunotherapy [\[217\]](#page-20-0). NK-92 cells can be easily expanded with doubling times between 24 and 36 h without relying on feeder cells and require only minimal gene modification [[206](#page-20-0)]. However, before being infused into patients, NK-92 cells should be irradiated because of their risk of undergoing malignant transformation, which limits the application of NK-92 cells [[218](#page-20-0)]. iPSCs could be another good source of off-the-shelf NK cell products. Recent studies have indicated similar killing capacities displayed by iPSC-NK cells and PB-NK cells against tumor cells such as ovarian cancer tumor cells [[184\]](#page-20-0), suggesting that iPSC-NK cells are a practicable resource for immunotherapy. One study reported a system for manufacturing KIR-negative NK cells from standardizable PBC-iPSCs that could expand cells on a large scale to produce off-the-shelf NK cells that may serve a wide range of patients [\[179\]](#page-20-0). Recent experiments demonstrated that CAR-iPSC-NK cells not only have high homogeneity due to their production from a standardized cell population but also show enhanced NK cell activity compared to iPSC-NK or PB-NK cells [[219\]](#page-21-0). Therefore, CAR-iPSC-NK cells could, as off-the-shelf NK cells, be produced on a large scale and used to treat large numbers of patients with multiple doses. Very large amounts of NK cells expanded from PB or CB cells in one production batch could also be shipped for off-the-shelf use. One study reported that K562 mbIL21-41BBL-derived membrane particles expanded PBMCderived NK cells over 10^5 -fold over the course of 28 days of expansion [[108\]](#page-18-0). The Spanholtz group reported the largest cytokine cocktail expansion system, which could expand NK cells over 1.5 \times 10³-fold using UCB CD34⁺ cells as the starting cells [[167](#page-19-0)]. These production systems make it possible for PB- or CB-derived NK cells to become off-the-shelf products. However, most current expansion systems expand NK cells in limited quantities to make more types of off-the-shelf NK products. Hence, developing new production systems to improve efficiency and reduce expansion costs is crucial.

Artificial intelligence-based cell manufacturing

In the future, the manufacture of NK cells will consist of a process involving cell source selection, production system selection, quality control, product storage and product delivery (Fig. [3](#page-16-0)). The manufacture of NK cells mainly includes several systems: cell storage systems, which involve the storage of expansion-starting cells and the storage of the off-the-shelf products after expansion; intelligent screening systems, which accomplish screening of suitable expansion systems for NK cells from different sources or individuals, maximize the yield and activity of NK cells, and select the most suitable NK cell products for different patient (Fig. [4\)](#page-16-0); production systems, which enable fully enclosed and automated NK cell production; and quality control systems, which involve automatic sampling, test result evaluation and intelligent adjustment of the production process. Artificial intelligence technology, due to its advantages of automatic and intelligent planning, searching, and control, can connect the above systems through intelligent robots and intelligent systems to form an artificial intelligence-based cell

Fig. 3 "Unattended" intelligent NK cell factory with "off-the-shelf/customized/self-supporting" capabilities. It is expected that, the NK cells manufacturing just likes an assemble-line, composed of three steps: (1) Starting cell processing and storage, includes starting cell selection, separation and cryopreservation; (2) Expansion and function improvement, including NK cell culture, gene engineering, quality control system and packaging; (3) Storage, the products are cryopreserved. During the manufacturing process, an quality control system involves automatic sampling, test result evaluation and intelligence adjustment of the production process is interacted with the monitor and control system. All the information of the samples, tests and processes running parameters are monitored and controlled by the Monitor and control system

Fig. 4 Donor and recipient matching system. The NK cell biomarkers from each donor and the characteristic from each recipient were assessed. The matching search engine selects the best matching donor NK cells for an recipient by comparing the NK cell biomarkers from donors and the tumor biomarkers from recipient, and provides an NK cell manufacture process by selecting the available enhancing strategies such as gene modification and arming, to achieve a best predicted efficacy

factory. Such integration will greatly improve the expansion efficiency of NK cells, make full use of the existing developed NK cell expansion systems and cell resources, and promote applications of NK cell therapy.

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AUTHOR CONTRIBUTIONS

FF, SQX, WHX and ZGT conceived and designed the work. FF, SQX, MHC, and YTL wrote the paper. JJY, JM, XS, and YGH reviewed the literature. FF and SQX provided equal contributions to this work.

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

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