



# Towards personalized and value-based spine care: objective patient monitoring with smartphone activity data

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Quantification of patient outcomes has been a cornerstone of evidence-based medicine since its inception. This concept of evaluating the efficacy of a medical intervention by assessing patient health and clinical outcomes continually drives physicians to develop measures that accurately portray a patient's true physiologic state. However, clinical outcome measures in spine surgery are often sparse and subjective. We suggest that mobility data obtained from patients' smartphones is a useful metric for assessing patients' clinical improvement and can be used as an objective outcome measure in spine surgery. Objective patient monitoring also paves the way for value-based spine care, whereby surgery efficacy is determined by the degree of improvement in the patient's health and quality of life.

## Current outcome measures in spine surgery

The most commonly employed type of clinical outcome metric in spine surgery is patient reported outcome measures (PROMs). The inception of PROMs in spine surgery dates back to 1978, when Lee *et al.* commented "A standard evaluation system for functional disabilities in patients with chronic low-back pain is necessary for determination of the level of incapacitation (disability) and for comparison of treatment results" (1). Because objective assessment of patient mobility was not possible at the time, the authors created a subjective, patient-reported evaluation system that assessed patient mobility by asking the patient to respond to questions regarding ease of ambulation,

maximal walking distance, and estimated sitting time. The authors' questionnaire effectively stratified satisfactory and unsatisfactory surgical results in patients who underwent decompression for spinal stenosis, showcasing the first correlation of mobility measures with surgical outcome.

This initial study catalyzed a gradual shift in spine surgery outcomes measurement, away from solely objective physical factors such as motor exam findings and sensory changes, and instead emphasizing patients' perspective of their health and functioning (2). The development of numerous PROMs confirms this trend (3). Instruments such as the Oswestry disability index (ODI) (4), visual analog scale (VAS) (5), EuroQOL-5D (EQ-5D) (6), and 36-item short form health survey (SF-36) (7), all rely on self-reported static questionnaires, a methodology that has largely remained unchanged since its inception over 40 years ago. These and other PROMs are widely used in the literature to assess patient disability, pain, and/or quality of life after surgical intervention, and generally satisfy the three major evaluation criteria for outcome assessment: validity (i.e., how accurately an instrument measures what it intends to measure), reliability (i.e., reproducibility), and responsiveness (i.e., ability to detect change) (8).

## Drawbacks of PROMs

Despite the usefulness of PROMs with regards to outcomes measurement, they remain inadequate due to the following limitations.

The greatest drawback of PROMs in their current form is their inherently subjective and discrete nature. Questionnaires relying on patients' recollection of their general state of health can be weakened by recall bias. While no studies have specifically examined this effect in the context of spine surgery, several investigations have found that recall bias from similar PROMs can significantly impact clinical decision making, as variability between repeated survey administrations approaches the established minimum clinically important difference (9-11). Additionally, PROMs currently require the patient to be in-office when filling out the survey and thus only provide data at discrete time points. This further amplifies the potential inaccuracy from self-reporting for chronic conditions such as pain, as patient responses can be skewed based on mood or symptom severity on the day of their clinic visit (12,13). In fact, some PROMs, such as the EQ-5D, specifically patients to answer questions based on their health on the day of the survey, which precludes a robust characterization of patient outcomes across time. Even when PROM surveys are designed to estimate longitudinal quality of life, oftentimes—except in the context of clinical trials—surveys may only be conducted once before and once after intervention, or only once in the post-operative setting (without ascertaining patients' baseline for comparison). Again, this hinders surgeons from obtaining a true understanding of patients' functional health and wellbeing.

Furthermore, the comparability and reliability of PROMs is suboptimal. Reliability of surveys correlates with survey length, with longer surveys having lower completion rates and thus lower quality data (14,15). This results in the derivation of vastly different utility values for the same condition depending on the employed instrument, limiting comparability (16). Studies also indicate that response fatigue can arise during the course of a single survey, with less detail and attention given to questions answered at the end of a survey compared to the beginning (17).

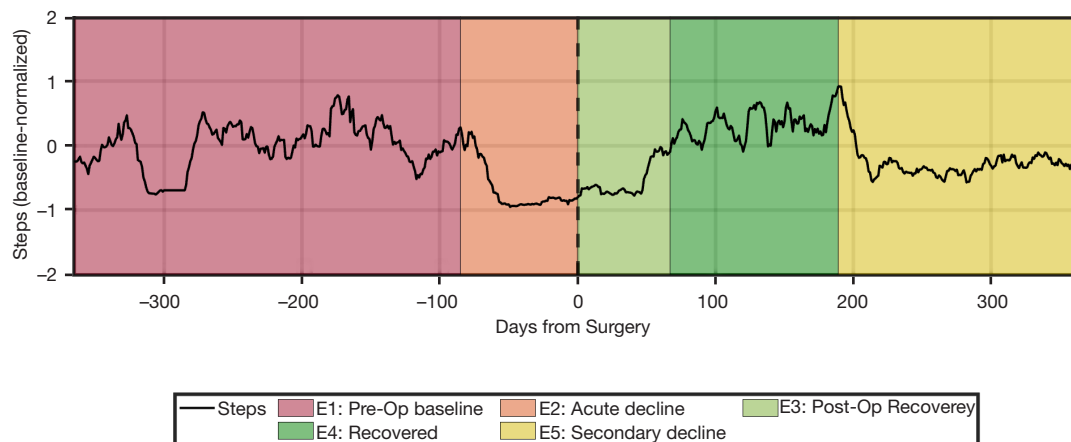
Lastly, and perhaps most significantly, is the high financial burden of incorporating PROMs into a clinical practice. Licensing and data entry can cost upwards of \$150,000 per year. PROM implementation is also time-consuming for patients and office staff, and was cited as a major reason for why an estimated 32% of spine surgeons in all practice settings (academic, public, private) across North America, Latin America, Europe, Asia, and the Middle East elect not to use PROM questionnaires routinely (18).

### **Objective activity tracking as a clinical outcome measure**

While current PROMs are useful in assessing outcome after spine surgery, their limitations warrant development of improved outcome measures with higher accuracy. There is a growing body of literature dedicated to the development and validation of objective outcome measures based on patient mobility. One of the first studies to do so utilized wearable accelerometers that tracked patients' activity levels, such as measuring steps taken per day (19). This data allows clinicians to assess how active and mobile a patient is, fulfilling the original goal of PROMs—"to obtain standard objective evaluation of functional limitations of patients" (1)—through a more objective and continuous method. Accelerometer-based metrics such as gait velocity and step count are known indicators of well-being in spine patient populations (20,21), and thus this objective activity measurement was an important proof-of-concept that opened the door to mobility tracking as a potential means of assessing post-operative outcome in spine surgery.

The adoption of health-monitoring devices such as smartphones and smartwatches with built-in accelerometer functionality offers an even more complete source of data to gauge patient benefit from surgical interventions. Smartphones have remarkable fidelity in capturing activity data (22), and their retroactive storage can offer a unique window into the course of a patient's pre-operative decline as well as post-operative recovery. Datapoints are collected up to every hour, giving surgeons the ability to assess patient mobility with fine temporal resolution throughout the entire post-operative period. This is especially advantageous compared to PROMs, which often only gather 2–3 datapoints over the course of a patient's surgery and recovery. Additionally, passive data collection via smartphone technology obviates the need for active patient participation, which alleviates compliance-related barriers to data collection as noted in studies relying solely on PROMs or accelerometers.

Early investigations utilizing smartphone data for activity monitoring have shown that patient mobility correlates with the expected peri-operative timeline: a decrease in pre-operative activity secondary to, for example, spine pathology; a decrease in activity during the immediate post-operative period as patients recover from surgery; and a gradual increase in activity when compared to baseline (in the case of a successful surgery) (23). This method of



**Figure 1** Time series of steps/day and peri-operative clinical stages in an example patient. A 66-year-old patient underwent right-sided L4-L5 hemilaminectomy, medial facetectomy, and microdiscectomy. She presented 3 months earlier with new-onset intractable right leg pain rendered her unable to walk prior to surgery. MRI showed disc herniation and compression of right L5 nerve. The onset of her radiculopathy is detected as Epoch 2 (acute decline) and her progressive loss in mobility is reflected in the gradual decrease in normalized activity (average of 64 steps/day). The patient had decreased mobility during Epoch 3 (post-operative recovery) with an average of 78 steps/day. After 67 days, the patient transitioned to Epoch 4 (recovered) and had increased activity (average of 208 steps/day). This correlates with the patient's report of significant improvement in pain symptoms at the 3-month post-operative follow-up visit. The patient presented again 10 months after surgery complaining of similarly disabling right leg pain. A subsequent MRI of her lumbar spine showed re-herniation at L5. This secondary decline correlated well with the algorithm's automatic identification of Epoch 5, which indicated decreased mobility to an average of 120 steps/day starting at post-operative day 189. The patient elected not to proceed with a second surgery at the time due to her social situation at home. MRI, magnetic resonance imaging.

data collection and analysis is relatively new, and hence additional studies are needed to quantify its feasibility and validity. Nonetheless, this initial work shows that smartphone-based activity tracking is a promising addition to current subjective and discrete outcome measures such as PROMs.

Our group has built on these preliminary studies and developed a novel algorithm that uses smartphone-based mobility data to automatically classify patients' pre-operative clinical course as well as post-operative outcome. After conducting a time series analysis on the number of steps-per-day across a 2-year peri-operative window, up to five temporal epochs were identified from each patient's time series in a data-driven manner: (I) pre-operative baseline; (II) acute pre-operative decline, indicating either an acute event or an acute-on-chronic decline; (III) immediate post-operative recovery; (IV) fully-recovered state; (V) secondary decline from a fully-recovered state (*Figure 1*).

Our findings highlight the additional information that objective outcomes can provide, such as patients' baseline mobility, the course of post-operative recovery, and the

extent of functional improvement after surgery. Defining and quantifying functional state in these peri-operative stages has numerous other implications in pre-operative risk stratification and prediction of expected post-operative mobility outcomes. Further development of our analytic methodology will rely on assessing a larger cohort of patients and comparing these objective outcome measures to validated PROMs scores.

### Future directions in objective activity monitoring: personalized and value-based spine care

While still in its nascency, objective activity monitoring can go beyond supplementing PROMs in spine surgery outcome measurement. We posit that smartphone-based activity data has the potential to usher in a new era of personalized, value-based spine care with paradigm shifts in the prognostication and evaluation of spine surgery, as well as in its reimbursement.

A major component of personalized medicine is the

crafting of a tailored treatment strategy for each patient based on their individual characteristics. In surgery, this means the data-driven selection of a specific surgical approach, as well as prediction of outcomes for the purposes of patient counseling, incorporating other predictive factors such as demographic and clinical variables. While demographics-based predictive frameworks only explain 32–59% of the variance in spine surgery outcome, the combination with 3-month post-operative PROMs data improved discriminative power by 10% (24,25). Though the addition of PROMs data is beneficial, we believe that the inclusion of continuous mobility measures will further improve predictive power. Effective prognosis is crucial when constructing a treatment plan, as identifying patients who are likely to not improve after spine surgery will save healthcare resources and mitigate patient dissatisfaction in the event of a suboptimal surgical outcome.

For patients in whom surgery is indicated, real-time streaming of patient mobility data can help surgeons actively follow patient recovery. At our institution, post-operative follow-up appointments are scheduled 4–6 weeks after spine surgery, though there is limited literature to specifically endorse this standard. Additionally, while it is well known that the course of recovery varies greatly with demographic characteristics such as patient age (26), BMI (27), and comorbidities (28), there is no protocol for adjusting the initial follow-up period to account for these baseline differences. This one-size-fits-all approach is inefficient, and thus costly, as patients who are recovering at different speeds are treated homogeneously. Instead, in the future it may be possible for surgeons to remotely monitor smartphone-based activity data and customize each patient's follow-up schedule (e.g., earlier if patient's recovery is below what is expected).

Remote monitoring of patient activity can also enable earlier identification of unexpected decreases in mobility, which may prompt further medical work-up of the underlying cause (e.g., re-herniations after discectomy). When such events occur after the initial post-operative follow-up period, a mechanism to identify such patients opens the possibility of proactively initiating neurosurgical care prior to further progression or degeneration.

Along with personalized pre- and post-operative care delivery, objective assessment of surgical outcomes offers data that can be used by providers to further shape the transformation of spine surgery economics towards a value-based care model. Value-based care is a healthcare delivery model where providers, such as physicians and hospitals,

are paid based on patient outcomes (29). This departs from a fee-for-service or capitated model, where providers are reimbursed based on the quantity of services delivered.

Improvement in a patient's post-operative activity is highly indicative of the value that surgery has imparted on that patient. Reimbursing based on the total value derived by patients, rather than by the extensiveness of surgical intervention, re-aligns the healthcare system's priorities towards providing patients with maximal benefit. Importantly, we would like to emphasize that mobility-based metrics should not be the only factor used to determine reimbursement in this scheme, but would rather be one component of a multi-faceted approach that includes other positive factors that contribute to patient benefit (e.g., post-operative pain reduction, narcotics reduction), as well as negative factors (e.g., complications, re-admission rates).

## Conclusions

Smartphone-based activity monitoring offers an objective and continuous source of data that can be used as a proxy for assessing functional improvement in patients following spine surgery. This form of outcome measurement addresses several shortcomings in PROMs—currently the gold standard—such as potential bias from the subjective assessment of patients, temporally discrete collection of data, logistic/financial constraints on data collection, and lack of validity and comparability. In the future, the adoption of objective outcome measures may allow surgeons and hospital systems to practice personalized and value-based care. The quantifiable nature of smartphone-based activity data can allow for better prognostication and surgical decision making, as well as customized patient follow-up with remote monitoring. The accessibility and ease-of-collection of activity data utilizing smartphones has the potential to speed up the implementation of value-based reimbursement across health systems. Additional studies that assess smartphone-based activity monitoring in spine surgery are needed before any of these future possibilities can become a widespread reality. We propose that future studies should focus on validation of mobility-based outcome measures in large cohorts of patients with respect to widely-accepted outcome measures, such as PROMs.

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