

# Limbic-Predominant Age-Related TDP-43 Encephalopathy

## Medical and Pathologic Factors Associated With Comorbid Hippocampal Sclerosis

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## Abstract

### Background and Objectives

Limbic-predominant age-related Tar DNA binding protein 43 (TDP-43) encephalopathy neuropathologic change (LATE-NC) is present in ≈25% of older persons' brains and is strongly associated with cognitive impairment. Hippocampal sclerosis (HS) pathology is often comorbid with LATE-NC, but the clinical and pathologic correlates of HS in LATE-NC are not well understood.

### Methods

This retrospective autopsy cohort study used data derived from the National Alzheimer's Coordinating Center Neuropathology Data Set, which included neurologic status, medical histories, and neuropathologic results. All autopsies were performed in 2014 or later. Among participants with LATE-NC, those who also had HS pathology were compared with those without HS with regard to candidate risk factors or common underlying diseases. Statistical significance was set at nominal  $p < 0.05$  in this exploratory study.

### Results

A total of 408 participants were included ( $n = 221$  were LATE-NC+/HS−,  $n = 145$  were LATE-NC+/HS+, and  $n = 42$  were LATE-NC−/HS+). Most of the included LATE-NC+ participants were severely impaired cognitively (83.3% with dementia). Compared to HS− participants, LATE-NC+ participants with HS trended toward having worse cognitive status and scored lower on the Personal Care and Orientation domains (both  $p = 0.03$ ). Among LATE-NC+ participants with Braak neurofibrillary tangle (NFT) stages 0 to IV ( $n = 88$ ), HS+ participants were more impaired in the Memory and Orientation domains (both  $p = 0.02$ ). There were no differences (HS+ compared with HS−) in the proportion with clinical histories of seizures, stroke, cardiac bypass procedures, diabetes, or hypertension. The HS+ group lacking TDP-43 proteinopathy ( $n = 42$ ) was relatively likely to have had strokes ( $p = 0.03$ ). When LATE-NC+ participants with or without HS were compared, there were no differences in Alzheimer disease neuropathologies (Thal  $\beta$ -amyloid phases or Braak NFT stages) or Lewy body pathologies. However, the HS+ group was less likely to have amygdala-restricted TDP-43 proteinopathy (LATE-NC stage 1) and more likely to have neocortical TDP-43 proteinopathy (LATE-NC stage 3) ( $p < 0.001$ ). LATE-NC+ brains with HS also tended to have more severe circle of Willis atherosclerosis and arteriolosclerosis pathologies.

### Discussion

In this cohort skewed toward participants with severe dementia, LATE-NC+ HS pathology was not associated with seizures or with Alzheimer-type pathologies. Rather, the presence of comorbid HS pathology was associated with more widespread TDP-43 proteinopathy and with more severe non- $\beta$ -amyloid vessel wall pathologies.

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## Glossary

**A $\beta$**  =  $\beta$ -amyloid; **ADNC** = Alzheimer disease neuropathologic changes; **ADRC** = Alzheimer's Disease Research Center; **BMI** = body mass index; **CAA** = cerebral amyloid angiopathy; **CDR** = Clinical Dementia Rating; **FTLD** = frontotemporal lobar degeneration; **HS** = hippocampal sclerosis; **LATE** = limbic-predominant age-related TDP-43 encephalopathy; **NACC** = National Alzheimer's Coordinating Center; **NFT** = neurofibrillary tangle; **OR** = odds ratio; **TDP-43** = TAR DNA binding protein 43; **UDS** = Uniform Data Set.

Tar DNA binding protein 43 (TDP-43) proteinopathy has been detected in autopsy studies of >15 different neurologic diseases.<sup>1</sup> TDP-43 is a nucleic acid-binding protein that is predominantly nonphosphorylated in healthy cells where it is located mostly in cell nuclei. In TDP-43 proteinopathy, the protein becomes phosphorylated and mislocalized to cytoplasm and neurites, as recognized by immunohistochemistry.<sup>2</sup>

Recommendations were published recently for terminology and classification referent to the most common known subtype of TDP-43 proteinopathy: limbic-predominant age-related TDP-43 encephalopathy (LATE) and its underlying neuropathologic changes (LATE-NC).<sup>3</sup> The brains of approximately one-half of persons with a clinical diagnosis of dementia harbor LATE-NC, alone or in combination with the hallmark lesions of Alzheimer disease neuropathologic changes (ADNC).<sup>3,4</sup> In LATE-NC, TDP-43 proteinopathy preferentially targets medial temporal lobe structures, including the hippocampus.<sup>3</sup> Many (but not all) brains with LATE-NC also are diagnosed with hippocampal sclerosis (HS)<sup>5</sup> pathology.

The study of LATE-NC and HS is a fast-moving field that has generated some controversy,<sup>6,7</sup> partly due to diagnostic ambiguities. HS pathology is diagnosed routinely with hematoxylin & eosin stains at autopsy, and HS has been defined as "severe pyramidal cell loss and gliosis in CA1 and subiculum of the hippocampal formation that is out of proportion to ADNC in the same structures".<sup>8</sup> This definition lacks rigorous practical criteria or sampling specifications, leaving room for substantial differences in diagnostic approaches among individual neuropathologists, and there is a spectrum of relevant histopathologic alterations. In hippocampi affected by severe HS, the normal neuronal components are largely replaced by reactive astrocytes, the neuropil becomes rarefied, and hippocampal atrophy can be extreme<sup>9</sup>; however, in some individuals with LATE-NC, the neuronal cell dropout is patchier (seen in some portions of the hippocampus but not others).<sup>10</sup> Furthermore, the HS pathology was shown to be unilateral in  $\approx$ 40% to 50% of individuals.<sup>10-12</sup>

The term HS also has divergent connotations in the clinical literature, having originated more than a century ago to describe brain changes associated with epilepsy.<sup>13</sup> This terminology is still used in the seizure disorder clinical practice and scientific literature. HS pathology also is associated with other disease processes, including hypoxia, hypoglycemia, particular infections that affect the hippocampus, and various neurodegenerative

conditions.<sup>3</sup> To be clear, brains with HS but lacking TDP-43 pathology do not represent LATE-NC. For example, HS associated with hypoxia or epilepsy is usually negative for TDP-43 proteinopathy<sup>12,14,15</sup> and does not fulfill criteria for LATE-NC.<sup>3</sup>

Although other underlying diseases may induce neuropathologic features meriting the diagnostic label of HS, HS pathology in a cognitively impaired elderly individual is a strong indication that LATE-NC is probably also present.<sup>15</sup> HS was historically the first pathologic feature that distinguished LATE-NC from other dementia-inducing conditions such as Alzheimer disease<sup>5</sup> and has also been associated with cognitive impairment in older individuals independently of other brain pathologies.<sup>4,16-19</sup> In the clinical setting, the presence of MRI features linked to HS (more severe hippocampal atrophy than pure ADNC) has also been associated with cognitive impairment.<sup>11,20-22</sup>

It is not understood why some persons with LATE-NC develop HS whereas others with LATE-NC do not develop HS. There are also unanswered questions about medical and pathologic comorbid conditions that are associated with HS in the context of LATE-NC. The present exploratory study was designed with the goal of elucidating the factors that are (or are not) associated with the pathologic diagnosis of HS among persons with autopsy-proven LATE-NC.

## Methods

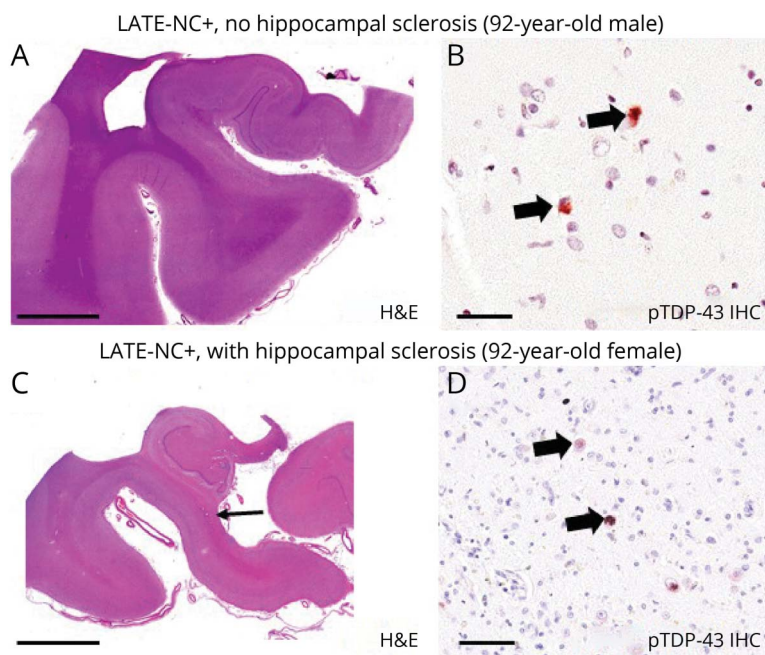
### Standard Protocol Approvals, Registrations, and Patient Consents

Clinical and pathologic data were obtained from the National Alzheimer's Coordinating Center (NACC), which is the data repository for past and present Alzheimer's Disease Research Centers (ADRCs) funded by the National Institute on Aging.<sup>23,24</sup> ADRCs obtained written informed consent from each included participant (or guardians of participants) in the study, and each institution maintained its own separate Institutional Review Board review process. However, all the analyses for the present study were performed on anonymized/deidentified participants' data, such that these analyses do not technically represent Human Participants Research according to the NIH (exemption 4<sup>25</sup>).

### Representative Photomicrographs

Representative photomicrographs of autopsied participants' brains were taken to convey the histopathologic features of interest. These were from research volunteers in the

**Figure 1** Representative Photomicrographs of Human Hippocampi Depicting the Main Neuropathologic Features Analyzed in the Current Study: LATE-NC + Pathology Without (A and B) or With (C and D) Comorbid HS Pathology



Each photomicrograph depicts anterior hippocampi dissected in the coronal plane. (A and B) Hematoxylin & eosin (H&E) and phospho (p)-TAR DNA binding protein 43 (TDP-43) immunohistochemistry (IHC), respectively, from a man who died at 92 years of age. Autopsy revealed limbic-predominant age-related TDP-43 encephalopathy neuropathologic changes (LATE-NC) stage 2 but no hippocampal sclerosis (HS) pathology. (C and D) Results from a woman who also died at 92 years of age. In her case, the autopsy revealed comorbid LATE-NC stage 2 and HS pathology. Note the relatively fulsome hippocampal profile in panel A compared to panel C (same scale bar); the HS+ profile in panel C shows thinning in CA1 and subiculum (arrow). Higher-magnification assessment confirmed that there was substantial neuronal cell dropout and robust astrocytosis (not shown). pTDP-43-positive intraneuronal inclusions are highlighted with arrows in panels B and D. These representative photomicrographs were from research participants of the University of Kentucky Alzheimer's Disease Research Center. Scale bar = 2 mm in panels A and C, 70  $\mu$ m in panel B, and 100  $\mu$ m in panel D.

University of Kentucky ADRC autopsy cohort using methods previously described.<sup>26</sup>

### NACC Data: Inclusion and Exclusion

Participants were assessed with the standardized Uniform Data Set (UDS) approximately annually at their local ADRC. The UDS collects a robust set of data, including participant demographics, health history, physical and neurologic examinations, Alzheimer disease and related dementias symptomatology, the Clinical Dementia Rating (CDR) scale Dementia Staging Instrument plus NACC frontotemporal lobar degeneration (FTLD) Behavior and Language Domains, and a neuropsychological test battery. Participants who met the eligibility criteria of the study were selected from the March 2021 data freeze, and we included cross-sectional data from the participant's most recent UDS visit before death.

Standardized data collection on neuropathologic features present at the time of death was available for participants who consented to autopsy. The goals of the current study—to compare between HS and non-HS subgroups among persons with autopsy-proven LATE-NC—guided the inclusion and exclusion criteria. The NACC Neuropathology form is used by the ADRCs and provides guidance that is based on established criteria for evaluation of presence of  $\beta$ -amyloid ( $A\beta$ ), tau, TDP-43,  $\alpha$ -synuclein, and cerebrovascular pathologies, as well as unusual conditions such as Huntington disease. Included participants who had Neuropathology data were  $\geq 75$  years of age at death. Participants with rare pathologies were excluded (such as Down syndrome, multiple

system atrophy, amyotrophic lateral sclerosis, and trinucleotide repeat disease), as were individuals with malformation of cortical development, metabolic/storage disorder of any type, unusual white matter disease (e.g., leukodystrophy, multiple sclerosis), traumatic brain injury (acute or chronic), brain neoplasm (primary or metastatic), brain infection (encephalitis, abscess, etc), prion disease, or motoneuron disease. Also excluded were participants with missing data on HS, the presence/absence of TDP-43 inclusions in the hippocampus, or the presence/absence of FTLD-TDP.

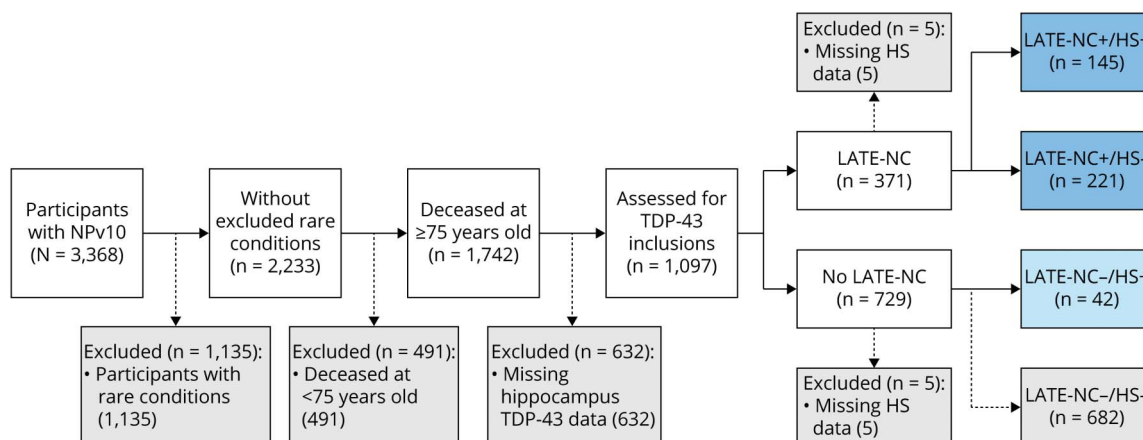
Version 10 of the NACC Neuropathology form,<sup>23</sup> implemented in January 2014, introduced the routine assessment of TDP-43 immunoreactive inclusions in the spinal cord, amygdala, hippocampus, entorhinal/inferior temporal cortex, and neocortex (the last generally referring to middle frontal gyrus). All included participants had Neuropathology Version 10 data available. The evaluation of TDP-43 proteinopathy follows center-specific protocols at ADRCs as described previously.<sup>27</sup> In the current study, LATE-NC was defined as the presence of TDP-43 inclusions in the amygdala, hippocampus, or neocortex and the absence of an overall clinical-pathological diagnosis of FTLD-TDP. LATE-NC negativity was defined as having an absence of TDP-43 inclusions in the amygdala, hippocampus, and neocortex.

### Statistical Analyses

To compare demographic characteristics, clinical measures and symptoms, and neuropathologic features between participants with LATE-NC and comorbid HS (LATE-NC+/  
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**Figure 2** Included and Excluded Research Participants in the NACC NPv10 Dataset, Along With Criteria and Missingness for the Current Study



HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TDP-43 encephalopathy neuropathologic changes; NACC = National Alzheimer's Coordinating Center; NPv10 = Neuropathology version 10; TDP-43 = TAR DNA binding protein 43.

HS+), participants with LATE-NC and no HS (LATE-NC+/HS-), and participants who did not have LATE-NC and had comorbid HS (LATE-NC-/HS+), we used the Pearson  $\chi^2$  or Fisher exact tests for the categorical variables and 2-sample *t* tests for the continuous variables. The Cochran-Armitage trend test was used to examine the significance of severity trends in LATE-NC stage, Thal A $\beta$  phase (categorized as A0 = 0, A1 = 1/2, A2 = 3, and A3 = 4/5) and Braak neurofibrillary tangle (NFT) stage (categorized as B0 = 0, B1 = I/II, B2 = III/IV, and B3 = V/VI), neuritic amyloid plaque density, cerebral amyloid angiopathy (CAA), and atherosclerosis of the circle of Willis. In this exploratory study, statistical significance was set at nominal *p* < 0.05, and no corrections were made for multiple comparisons.

Demographic characteristics were compared among the LATE-NC+/HS+, LATE-NC+/HS-, and LATE-NC-/HS+ groups and included age at death, presence of the *APOE*  $\epsilon$ 4 allele, years of education, time between the last UDS visit and death, and sex. Among these 3 groups, the clinical measures explored were medical comorbid conditions commonly associated with HS, including body mass index (BMI) at most recent UDS visit, smoking status, and history of cardiac arrest, atrial fibrillation, cardiac bypass procedure, pacemaker or defibrillator, congestive heart failure, stroke, diabetes, hypertension, hypercholesterolemia, thyroid disease, seizures, and depression, including Geriatric Depression Scale score at the most recent UDS visit. The group with LATE-NC-/HS+ pathology was included to test whether the HS+ phenotype has differing implications without comorbid LATE-NC. Cognitive symptoms were compared among LATE-NC+/HS+ and LATE-NC+/HS- participants with a CDR global score of 0 or 0.5 at their most recent UDS visit before death and included impaired memory, executive function, language, visuospatial function, and attention, as well as the duration of these cognitive symptoms. Additional comparisons between

these 2 groups were explored with cognitive status at the most recent UDS visit before death, including CDR domain scores.

Neuropathologic features investigated include hippocampal atrophy (noted grossly), LATE-NC stage,<sup>3</sup> ADNC score,<sup>8</sup> Thal A $\beta$  phase,<sup>28</sup> Braak NFT stage,<sup>29</sup> Consortium to Establish a Registry for Alzheimer's Disease neuritic plaque density,<sup>30</sup> Lewy bodies,<sup>31</sup> infarcts or lacunes, microinfarcts, CAA, and atherosclerosis of the circle of Willis.<sup>23</sup> These features were explored among the LATE-NC+/HS+ and LATE-NC+/HS- groups. We additionally explored the association between brain arteriolosclerosis and HS with multivariable logistic regressions that were adjusted for age at death, sex, years of education, hypertension, diabetes, and *APOE*  $\epsilon$ 4 carrier status and accounted for center clustering.

## Data Availability

Anonymized data not published within this article will be made available by request from any qualified investigator.

## Results

The focus of the current study was autopsy-confirmed LATE-NC with and without HS pathology. Specific examples of the pathologic hallmarks of those conditions are depicted in Figure 1. Some brains with LATE-NC lack comorbid HS (Figure 1, A and B). However, among individuals with LATE-NC, comorbid HS pathology is seen far more often than in non-LATE-NC brains<sup>3,15</sup> (Figure 1, C and D). LATE-NC stage >0 (i.e., in the amygdala, hippocampus, and/or neocortex) was the criterion used for the designation of LATE-NC+ in the current study.

The present study involved analyses of participants in the NACC Neuropathology data set with version 10 data<sup>23</sup>

**Table 1** Select Characteristics Among Included Autopsied LATE-NC Participants With or Without HS

Characteristics	LATE-NC+/HS- (n = 221)	LATE-NC+/HS+ (n = 145)	LATE-NC-/HS+ (n = 42)
Age at death, mean (SD), y	86.3 (6.7)	87.4 (6.7)	87.1 (7.3)
Education, mean (SD), y	15.4 (3.3)	15.9 (3.0)	14.7 (3.2)
Time between last visit and death, mean (SD), mo	27.7 (30.2)	27.5 (25.3)	30.7 (34.2)
Female, n (%)	114 (51.6)	89 (61.4)	26 (61.9)
APOE ε4 carrier, n (%)	114 (51.6)	75 (51.7)	17 (46.0)

Abbreviations: HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TAR DNA binding protein 43 encephalopathy neuropathologic changes.

Missing data: LATE-NC+/HS-: education (n = 4), APOE ε4 (n = 17); LATE-NC+/HS+: education (n = 1), APOE ε4 (n = 16); LATE-NC-/HS+: education (n = 1), APOE ε4 (n = 5).

available; a total of 3,368 research volunteers are in that dataset. The participants included and excluded and the reasons for exclusion are shown in Figure 2, resulting in the following numbers of included participants: 221 with LATE-NC+/HS-, 145 with LATE-NC+/HS+, and 42 with LATE-NC-/HS+. The groups had similar mean years of education and time between the last UDS visit and death and had similar proportions of women and APOE ε4 carriers (Table 1).

The number of participants included in the current study, stratified by individual ADRCs where they were autopsied, is shown in eTable 1, [links.lww.com/WNL/B799](https://www.lww.com/WNL/B799). Each ADRC was given an anonymous parameter name for this data table. These data include readouts of LATE-NC and HS status, including whether the HS pathology was reported to be unilateral or bilateral or if only 1 side (left or right hemisphere) of the hippocampi was assessed. The LATE-NC+/HS- and LATE-NC+/HS+ participants (n = 366) were derived from 26 different ADRCs, with a median of 10 participants contributed per ADRC (range 1–64 participants per ADRC).

The cognitive symptoms of LATE-NC+/HS- and LATE-NC+/HS+ participants were compared and showed a consistent trend toward LATE-NC+/HS+ participants being relatively impaired (Table 2). This difference could be discerned despite a skew in this cohort toward a high degree of impairment (83.3% of LATE-NC+ cases had dementia). HS+ participants were more likely to score worse on the Orientation and Personal Care domains of the CDR (both  $p = 0.03$ ). Among LATE-NC+ participants without severe ADNC (Braak NFT stages 0–IV, n = 88), there were 31 with HS and 57 without HS at autopsy. In this subset of participants, the HS+ group scored lower in the Memory and Orientation cognitive domains (both  $p = 0.02$ ). Although the trend for the HS+ participants to have more cognitive impairment was consistent and encompassed multiple cognitive domains, the nominal statistical significance at 0.05 for any given test would not have survived a correction for multiple comparisons.

When comparing clinical and medical comorbidity measures among the LATE-NC+/HS- and LATE-NC+/HS+ groups,

we found that LATE-NC+ participants with comorbid HS were less likely than LATE-NC+ participants lacking comorbid HS to have a history of a cardiac bypass procedure (2.8% vs 6.8%,  $p = 0.09$ ) and hypercholesterolemia (57.9% vs 67.9%,  $p = 0.04$ ) (Table 3). No differences were observed between these 2 groups in stroke or seizures, smoking status, BMI, thyroid disease, or depression. Participants without LATE-NC but with autopsy-confirmed HS were more likely to have a history of stroke (19.1% vs 9.1%,  $p = 0.03$ ), and there was a trend for the LATE-NC-HS+ cases to have had cardiac bypass procedure (9.5% vs 2.8%,  $p = 0.08$ ). Again, no group-level differences were observed between HS+ and HS- participants in measures indicating metabolic syndrome (diabetes, hypertension, or hypercholesterolemia), smoking status, BMI, thyroid disease, or depression.

In a comparison of LATE-NC participants with and those without HS in terms of neuropathologic features (Table 4), there were no differences in ADNC (Aβ plaque distribution operationalized by Thal Aβ phases, neuritic amyloid plaque severity according to Consortium to Establish a Registry for Alzheimer's Disease, or Braak NFT stages) or CAA. Because the Fisher exact test returned values of  $p < 0.2$  for Thal Aβ phases and Braak NFT stages, we followed up with trend tests (for which we binned ADNC categories), which returned  $p = 0.64$  for Thal Aβ phases and  $p = 0.81$  for Braak NFT stages, again indicating no correlation between HS pathology and AD-type amyloid plaques or NFTs in persons with comorbid LATE-NC.

In contrast to the lack of group-level differences in ADNC severity, LATE-NC+ participants with HS were more likely to have a higher LATE-NC stage (less likely to have amygdala-only TDP-43 proteinopathy and more likely to have TDP-43 inclusions in the neocortex,  $p < 0.001$ ).

In a sensitivity analysis in which LATE-NC stage 1 participants were excluded (n = 307 participants with hippocampal TDP-43 inclusions, i.e., LATE-NC stage >1, were included), most of the associations that included LATE-NC stage 1 cases held (eTable 2, [links.lww.com/WNL/B799](https://www.lww.com/WNL/B799)). However, in

**Table 2** Cognitive Symptoms as Operationalized With CDR Score Results: Among All LATE-NC+ Participants (n = 366) and LATE-NC+ Participants With Braak NFT Stages 0 to IV (n = 88)

CDR Memory domain	All in study with LATE-NC		p Values	All participants with LATE-NC and Braak NFT stages 0-IV		p Values
	LATE-NC+/HS- (n = 221)	LATE-NC+/HS+ (n = 145)		LATE-NC+/HS (n = 57)	LATE-NC+/HS+ (n = 31)	
<b>None</b>	15 (6.8)	2 (1.4)	0.08	13 (22.8)	2 (6.5)	0.02
<b>Questionable</b>	21 (9.5)	8 (5.5)		12 (21.1)	1 (3.2)	
<b>Mild</b>	38 (17.2)	26 (17.9)		12 (21.1)	10 (32.3)	
<b>Moderate</b>	82 (37.1)	62 (42.8)		13 (22.8)	14 (45.2)	
<b>Severe</b>	65 (29.4)	47 (32.4)		7 (12.3)	4 (12.9)	
<b>Orientation domain</b>						
<b>None</b>	34 (15.4)	7 (4.8)	0.03	24 (42.1)	3 (9.7)	0.02
<b>Questionable</b>	10 (4.5)	10 (6.9)		5 (8.8)	5 (16.1)	
<b>Mild</b>	32 (14.5)	22 (15.2)		7 (12.3)	7 (22.6)	
<b>Moderate</b>	78 (35.3)	53 (36.6)		15 (26.3)	10 (32.3)	
<b>Severe</b>	67 (30.3)	53 (36.6)		6 (10.5)	6 (19.4)	
<b>Personal care domain</b>						
<b>None</b>	62 (28.1)	26 (17.9)	0.03	31 (54.4)	9 (29.0)	0.09
<b>Questionable</b>	0 (0.0)	0 (0.0)		0 (0.0)	0 (0.0)	
<b>Mild</b>	27 (12.2)	29 (20.0)		7 (12.3)	9 (29.0)	
<b>Moderate</b>	67 (30.3)	37 (25.5)		10 (17.5)	6 (19.4)	
<b>Severe</b>	65 (29.4)	53 (36.6)		9 (15.8)	7 (22.6)	
<b>Global CDR Score</b>						
<b>None</b>	14 (6.3)	2 (1.4)	0.14	12 (21.1)	2 (6.5)	0.07
<b>Questionable</b>	30 (13.6)	15 (10.3)		17 (29.8)	5 (16.1)	
<b>Mild</b>	34 (15.4)	26 (17.9)		7 (12.3)	10 (32.3)	
<b>Moderate</b>	74 (33.5)	48 (33.1)		10 (17.5)	7 (22.6)	
<b>Severe</b>	69 (31.2)	54 (37.2)		11 (19.3)	7 (22.6)	

Abbreviations: CDR = Clinical Dementia Rating; HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TAR DNA binding protein 43 encephalopathy neuropathologic changes; NFT = neurofibrillary tangle.

this smaller sample, those with HS were also more likely than those without HS to have limbic or amygdala predominant Lewy bodies (35.3% vs 22.8%,  $p = 0.02$ ). We underscore that, among all LATE-NC+ participants (including those with LATE-NC stage 1), no difference was detected in Lewy body pathologies in the HS+ and HS- groups (Table 4).

In terms of cerebrovascular pathologies other than CAA (see above), there was a robust tendency for LATE-NC+ participants with HS (compared to HS- participants) to have moderate to severe atherosclerosis of the circle of Willis (67.6% vs 41.6%,  $p < 0.001$ ). Testing the associations between LATE-NC+HS and atherosclerosis with regression models that factored in demographic and copathology parameters did not

affect the outcomes (eTable 3, [links.lww.com/WNL/B799](https://www.lww.com/WNL/B799)). No differences between HS+ and HS- LATE-NC+ groups were observed in lacunar/gross infarcts or microinfarcts.

Last, we examined the association between brain arteriosclerosis pathology and HS in LATE-NC+ patients (Table 5). Participants with moderate to severe arteriosclerosis more often had HS than participants with no or low arteriosclerosis. After adjustment for age at death, sex, years of education, hypertension, diabetes, and *APOEε4* carrier status, this association became nonsignificant (odds ratio [OR] 1.70, 95% CI 0.96–3.01). After application of this model to compare the odds of low, moderate, or severe arteriosclerosis (reference: no arteriosclerosis), participants with

**Table 3** Health History<sup>a</sup> Among Participants With and Without HS

Known comorbidity, exposure, procedure, or assessment result according to medical history	LATE-NC+/HS- (n = 221)	LATE-NC+/HS+ (n = 145)	LATE-NC-/HS+ (n = 42)	p Value, LATE-NC+/HS- vs LATE-NC+/HS+	p Value, LATE-NC+/HS+ vs LATE-NC-/HS+
Cardiac arrest, n (%)	19 (8.6)	11 (7.6)	1 (2.4)	0.71	0.30
Atrial fibrillation, n (%)	26 (11.8)	20 (13.8)	9 (21.4)	0.60	0.23
Cardiac bypass procedure, n (%)	15 (6.8)	4 (2.8)	4 (9.5)	0.09	0.08
Pacemaker and/or defibrillator, n (%)	14 (6.3)	9 (6.2)	2 (4.8)	0.94	1.00
Congestive heart failure, n (%)	11 (5.0)	8 (5.5)	1 (2.4)	0.84	0.69
Cardiac disease (any of above), n (%)	64 (29.0)	39 (26.9)	13 (31.0)	0.67	0.85
Diabetes, n (%)	28 (12.7)	20 (13.8)	6 (14.3)	0.77	0.94
Hypertension, n (%)	143 (64.7)	94 (64.8)	27 (64.3)	0.97	0.95
Hypercholesterolemia, n (%)	150 (67.9)	84 (57.9)	29 (69.1)	<b>0.04</b>	0.19
Metabolic syndrome (all 3 of above), n (%)	21 (9.5)	12 (8.3)	5 (11.9)	0.69	0.54
Stroke, n (%)	20 (9.1)	9 (6.2)	8 (19.1)	0.32	<b>0.03</b>
Seizures, n (%)	5 (2.3)	8 (5.5)	6 (14.3)	0.10	0.09
Stroke or Seizures, n (%)	22 (10.0)	17 (11.7)	10 (23.8)	0.59	<b>0.05</b>
Ever smoker, n (%)	106 (48.0)	64 (44.1)	19 (45.2)	0.40	0.90
Body mass index at last visit, mean (SD), kg/m <sup>2</sup>	26.1 (4.9)	25.3 (4.0)	27.4 (5.3)	0.28	0.14
Thyroid disease, n (%)	56 (25.3)	35 (24.1)	11 (26.2)	0.79	0.69
Depression, n (%)	123 (55.7)	81 (55.9)	25 (59.5)	0.99	0.67
GDS score at last visit, mean (SD)	2.7 (2.5)	2.1 (2.4)	3.0 (2.5)	0.09	0.15

Abbreviations: GDS = Geriatric Depression Scale; HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TAR DNA binding protein 43 encephalopathy neuropathologic changes.

Missing data: LATE-NC+/HS-: body mass index (n = 94), smoker (n = 3), cardiac arrest (n = 2), atrial fibrillation (n = 3), cardiac bypass (n = 2), pacemaker and/or defibrillator (n = 2), congestive heart failure (n = 2), stroke (n = 1), diabetes (n = 1), hypertension (n = 1), hypercholesterolemia (n = 2), thyroid disease (n = 2), seizures (n = 1), depression (n = 1), GDS score (n = 103); LATE-NC+/HS+: body mass index (n = 82), cardiac bypass (n = 1), thyroid disease (n = 1), GDS score (n = 75); LATE-NC-/HS+: body mass index (n = 29), GDS score (n = 24).

<sup>a</sup> Presence of comorbid conditions was assessed over all available visit data unless otherwise specified.

HS pathology were more likely to have moderately severe arteriosclerosis (compared to those with no arteriosclerosis; OR 3.32, 95% CI 1.11–9.96). Participants with severe arteriosclerosis (again compared to those with no arteriosclerosis) trended toward higher likelihood of having HS, but the CI was wider (OR 3.09, 95% CI 0.87–10.95) in this smaller group, and the test result was not statistically significant.

## Discussion

We examined how individuals with autopsy-proven LATE-NC and HS differed in potential risk factors and clinical and pathologic correlates compared to those with LATE-NC lacking HS. A substantial minority of LATE-NC+ participants (145 of 366, 39.6%) were reported to have comorbid HS pathology. LATE-NC participants with comorbid HS pathology tended to be more cognitively impaired than those lacking HS pathology. HS affects >10% of individuals >80

years of age.<sup>32</sup> Thus, LATE-NC with HS has an extremely large impact on public health. We sought clues as to why some but not other LATE-NC+ individuals develop comorbid HS pathology.

Data were analyzed from the NACC Neuropathology dataset, curated data on autopsied research volunteers sourced from multiple research centers. This dataset is not population representative, being largely clinic based and enriched for highly-educated APOE ε4+ White individuals with dementia.<sup>23,24</sup> The relative lack of diverse and underserved populations is regrettable, and we hope it will be addressed better in the future. A challenge for studying HS, particularly in the context of a multicenter study, is that individual neuropathologists apply different criteria to generate a diagnosis at autopsy. Prior detailed studies of HS in aging have been performed, and guidelines for evaluating HS pathologically were suggested,<sup>33,34</sup> but none of the proposed diagnostic methodologies have achieved universal acceptance. This practical fact, along with other differences in ADRC workflow

**Table 4** Neuropathologic Features at Autopsy Among LATE-NC+ Participants With and Without HS

Features	LATE-NC+/HS- (n = 221)	LATE-NC+/HS+ (n = 145)	p Values, association	p Values, trending <sup>a</sup>
<b>Hippocampal atrophy (gross inspection)</b>				
None/mild	103 (46.6)	30 (20.7)	<0.001	<0.001
Moderate/severe	116 (52.5)	113 (77.9)		
<b>LATE-NC stage, n (%)</b>				
Amygdala	54 (24.4)	5 (3.5)	<0.001	NA
Hippocampus	147 (66.5)	109 (75.2)		
Neocortex	20 (9.1)	31 (21.4)		
<b>Thal phase, n (%)</b>				
0	8 (3.6)	0 (0.0)	0.08	0.64
1-2	11 (5.0)	12 (8.2)		
3	18 (8.1)	12 (8.3)		
4-5	183 (82.8)	121 (83.5)		
<b>Braak stage, n (%)</b>				
0	0 (0.0)	1 (0.7)	0.12	0.81
I-II	15 (6.8)	15 (10.3)		
III-IV	42 (19.0)	15 (10.3)		
V	56 (25.3)	39 (26.9)		
VI	106 (48.0)	74 (51.0)		
<b>Neuritic plaque density, n (%)</b>				
None	17 (7.7)	11 (7.6)	0.79	0.69
Sparse	15 (6.8)	14 (9.7)		
Moderate	46 (20.8)	28 (19.3)		
Frequent	143 (64.7)	92 (63.5)		
<b>Lewy bodies, n (%)</b>				
No Lewy body pathology	114 (51.6)	67 (46.2)	0.34	NA
Brainstem predominant	4 (1.8)	7 (4.8)	0.12	
Limbic (transitional)	25 (11.3)	24 (16.6)	0.15	
Amygdala predominant	33 (14.9)	26 (17.9)	0.45	
Neocortical/diffuse	38 (17.2)	15 (10.3)	0.07	
Present, region unspecified	7 (3.2)	5 (3.5)	1.00	

**Table 4** Neuropathologic Features at Autopsy Among LATE-NC+ Participants With and Without HS*(continued)*

Features	LATE-NC+/HS- (n = 221)	LATE-NC+/HS+ (n = 145)	p Values, association	p Values, trending <sup>a</sup>
Lacunar/gross infarct(s), n (%)	36 (16.3)	22 (15.2)	0.82	
Microinfarct(s), n (%)	60 (27.2)	47 (32.4)	0.27	
<b>CAA, n (%)</b>				
None/low	129 (58.4)	84 (57.9)	0.85	0.85
Moderate/severe	90 (40.7)	61 (42.1)		
<b>Atherosclerosis of the circle of Willis, n (%)</b>				
None/low	128 (57.9)	46 (31.7)	<0.001	<0.001
Moderate/severe	92 (41.6)	98 (67.6)		

Abbreviations: CAA = cerebral amyloid angiopathy; HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TAR DNA binding protein 43 encephalopathy neuropathologic changes; NA = not applicable. <sup>a</sup> Cochran-Armitage trend test results.

(e.g., each has a different model for research volunteer recruitment), increased variance in the data and helps to explain the ADRC-to-ADRC differences in the prevalence of HS pathology (eTable 1, [links.lww.com/WNL/B799](https://links.lww.com/WNL/B799)). In this exploratory study, we applied the threshold of nominal significance at  $p < 0.05$  and did not correct statistically for multiple comparisons. The findings will thus need to be corroborated in other autopsy samples using more focused hypothesis-testing methods or larger and more representative populations.

For all the limitations, the multicenter nature of the NACC database confers complementary benefits. The findings incorporate dozens of different experts' methodologies rather than being dependent on the practices of a single research group. The database also provides an unusually large set of autopsy-confirmed research participants without FTLD with TDP-43 proteinopathy, all worked up diagnostically by experts in the field after January 2014, with granular clinical and pathologic data.

Prior work has established that HS pathology is associated with cognitive impairment, factoring in other copathologies.<sup>16-18</sup> The current study was not designed for optimal clinical-pathological correlation to evaluate the cognitive impact of HS because of the skew toward participants (almost 85%) with dementia before death. (Community-based cohorts do not see this preponderance of clinical impairment.<sup>16,17</sup>) Hence, rather



**Table 5** Association Between Brain Arteriolosclerosis and HS Among LATE-NC Participants

	Unadjusted			Adjusted <sup>a</sup>		
	OR	95% CI	p Values	OR	95% CI	p Values
<b>Arteriolosclerosis (moderate/severe vs none/low)</b>	1.70	1.10–2.63	0.02	1.70	0.96–3.01	0.07
<b>Arteriolosclerosis</b>						
<b>None (referent)</b>						
<b>Low</b>						
	1.84	0.98–3.47	0.06	2.33	0.99–5.45	0.05
<b>Moderate</b>	2.85	1.32–6.17	0.01	3.32	1.11–9.96	0.03
<b>Severe</b>	2.29	0.95–5.51	0.07	3.09	0.87–10.95	0.08

Abbreviations: HS = hippocampal sclerosis; LATE-NC = limbic-predominant age-related TAR DNA binding protein 43 encephalopathy neuropathologic changes; OR = odds ratio.

<sup>a</sup> Adjusted for age at death, sex, years of education, hypertension, diabetes, and *APOE ε4* carrier status. Both unadjusted and adjusted models accounted for center clustering.

than only comparing cognitive profiles among all the included participants, this study was also oriented toward highlighting medical and pathologic correlates that may indicate the biological factors underlying or occurring in parallel with HS.

Previous studies have also reported links between severe LATE-NC and HS and between cerebral vascular pathologies and HS. In both the Mayo Clinic and Rush University Medical Center, autopsy cohorts that developed TDP-43 staging systems based on the anatomical distribution of TDP-43 proteinopathy,<sup>3,35</sup> the participants with more widespread TDP-43 proteinopathy were the ones with the highest prevalence of comorbid HS. The finding of increased arteriolosclerosis has also been associated with comorbid LATE-NC and HS.<sup>27,36–38</sup> These positive results increase confidence about using this dataset for the evaluation of other clinical and pathologic correlates of HS in the context of LATE-NC.

The NACC dataset enabled analyses related to multiple subtypes of comorbid conditions. Our findings supported the null hypothesis with respect to several hypotheses about the development of HS pathology in LATE-NC: persons with LATE-NC and HS did not have more severe ADNC, were not more likely to have a history of clinically overt seizures, and did not more often have histories of cardiac or systemic vascular risk factors.

Unlike the tendency for more widespread distribution of TDP-43 proteinopathy to be associated with increased risk for HS pathology, ADNC pathologic hallmarks were not more frequent or widespread in HS+ participants: neither A $\beta$  plaque distribution (Thal A $\beta$  phases<sup>28</sup>) nor tau tangle distribution (Braak NFT stages<sup>29</sup>) was more severe in LATE-NC+

brains with comorbid HS pathology. There are indications from multiple different autopsy cohorts that there are pathogenetic synergies between ADNC and LATE-NC<sup>16,39,40</sup>; i.e., individuals with abundant A $\beta$  plaques and NFTs are relatively likely to also have TDP-43 proteinopathy. In contrast, among LATE-NC+ brains, the presence or severity of ADNC did not seem to promote the hippocampal formation neuronal cell dropout seen in HS. In other words, ADNC does seem to promote TDP-43 proteinopathy; however, once that TDP-43 proteinopathy is present, the evolution of HS pathology appears to be largely determined by other factors.

HS pathology was not associated with increased likelihood of a history of seizures in LATE-NC participants. Among included participants, 4.7% (19 of 408) overall had a clinical seizure history—a result consistent with expectations among the elderly.<sup>41</sup> The current study did not include highly sensitive workups for subclinical seizure activity in each research volunteer; however, the majority of these research volunteers had been evaluated (often over a number of years) by trained behavioral neurologists. Thus, it seems unlikely that overt seizures are what drive HS (or vice versa) in LATE-NC. This result can be compared with the positive findings among persons with HS but lacking LATE-NC: even in this small sample, the HS+ persons without TDP-43 proteinopathy were as a group relatively likely to have either stroke (particularly) or seizure clinical history. These findings are overall compatible with prior studies finding that acute hypoxia or seizure disorders can produce HS that lacks TDP-43 proteinopathy.<sup>12,14,15</sup>

In this study, there was an association between HS pathology and increased cerebral blood vessel pathologies, but there were no detected associations between HS and conventional cardiovascular risk factors. The vascular pathologies associated with autopsy-confirmed HS were mostly referent to blood vessel walls (arteriosclerosis and atherosclerosis) rather than parenchymal pathologies (microinfarcts, lacunar, or large infarcts). These findings are consistent with prior studies. We previously reported increased arteriolosclerosis in LATE-NC/HS brains,<sup>35,42,43</sup> whereas other researchers described associations between HS or LATE-NC with circle of Willis atherosclerosis pathology.<sup>16,44</sup> Prior studies also implicated vascular diseases in HS dementia, now considered a subtype of LATE-NC.<sup>5,45</sup> Notably, none of the upstream vascular risk factors (diabetes, hypertension, hypercholesterolemia, etc) or cardiac disease readouts in the NACC dataset showed positive association with HS in LATE-NC+ participants.<sup>36</sup> If known systemic or cardiac diseases are not contributing to HS pathology, then other factors related to the vasculature, in combination with TDP-43 proteinopathy, may instead promote HS.

Genetics research may help explain the phenomena described above. In a recent study of autopsy cohort data combined with genetic information, associations were confirmed between HS risk and *TMEM106B*, *ABCC9*, *GRN*, and *APOE* gene

variants.<sup>46</sup> Unlike the other HS risk genes, *ABCC9* genetic variation was not associated with LATE-NC but was associated only with the risk for HS pathology among individuals with LATE-NC.<sup>46</sup> The *ABCC9* genetic variation may contribute pathogenetically by causing differential vulnerability for vascular pathologies that are upstream of HS in a mechanistic sense. Indeed, multiple lines of evidence implicate *ABCC9* in blood vessel functions in healthy states and in cerebrovascular disease. First, *ABCC9* plays normal physiologic roles in modulating cerebral blood flow and in ischemic preconditioning.<sup>42,47</sup> Second, the HS risk-related allele was associated with lower expression of *ABCC9* in blood vessels.<sup>46</sup> Third, *ABCC9* variations have already been linked to cerebrovascular pathologies: *ABCC9* gain-of-function variations cause Cantu syndrome, a multifaceted condition often accompanied by tortuous cerebral blood vessels,<sup>48</sup> whereas separate *ABCC9* loss-of-function variations lead to *ABCC9*-related intellectual disability myopathy syndrome, another complex phenotype that includes white matter hyperintensities detected on MRI even in adolescents.<sup>49</sup> Thus, the HS/*ABCC9* link may be a clue to help explain why vascular pathologies are more severe in brains with HS pathology than in non-HS brains,<sup>27,36–38,50</sup> despite the lack of associations between HS pathology and traditional cardiovascular risk factors. It remains an unanswered question whether *ABCC9* genotypes also help to explain differential vulnerability to HS pathology among persons without LATE-NC such as in patients with FTLT-TDP.<sup>19</sup>

The present study argues for a re-examination of some commonly held hypotheses related to dementia and hippocampal pathologies. Hippocampal atrophy seen on MRI is generally presumed to indicate the presence of underlying Alzheimer-type pathology, and many clinicians associate HS with a history of seizures or acute hypoxia. However, among persons with LATE-NC in this study (the usual context in which HS pathology is seen at autopsy in older people), the presence of HS pathology was not correlated with ADNC presence or severity or with a history of seizures, cardiac disease, traditional cardiovascular risk factors, or stroke. In terms of positive findings among participants with LATE-NC, the presence of comorbid HS was associated with more widespread TDP-43 pathology and with relatively severe atherosclerosis and arteriolosclerosis pathologies.

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<b>Yuriko Katsumata, PhD</b>	Sanders-Brown Center on Aging; Department of Biostatistics, University of Kentucky, Lexington, KY	Drafting/revision of the manuscript for content, including medical writing for content; analysis or interpretation
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Continued

## Appendix (continued)

Name	Location	Contribution
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