# A Novel CTX-M β-Lactamase (CTX-M-8) in Cefotaxime-Resistant Enterobacteriaceae Isolated in Brazil

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To estimate the diversity of extended-spectrum  $\beta$ -lactamases in Brazil, 18 strains from different species of the family *Enterobacteriaceae* exhibiting a positive double-disk synergy test were collected by a clinical laboratory from several hospitals in Rio de Janeiro, Brazil, in 1996 and 1997. Four strains (*Proteus mirabilis, Enterobacter cloacae, Enterobacter aerogenes*, and *Citrobacter amalonaticus*) hybridized with a 550-bp CTX-M probe. The *P. mirabilis* strain produced a CTX-M-2 enzyme. The *E. cloacae, E. aerogenes*, and *C. amalonaticus* isolates harbored a *bla* gene which was identified by cloning and sequencing as a *bla*<sub>CTX-M</sub> gene. *E. coli* HB101 transconjugants and the *E. coli* DH5 $\alpha$  transformant harboring a recombinant plasmid produced a CTX-M  $\beta$ -lactamase with an isoelectric point of 7.6 conferring a resistance phenotype characterized by a higher level of resistance to cefotaxime than to ceftazidime, as observed with the other CTX-M enzymes. The deduced protein sequence showed a novel Ambler class A CTX-M enzyme, named CTX-M-8, which had 83 to 88% identity with the previously described CTX-M enzymes. The phylogenic study of the CTX-M family including CTX-M-8 revealed four CTX-M types, CTX-M-8 being the first member of a new phylum of CTX-M enzymes. The evolutionary distances between the four types of CTX-M were large, suggesting that the four clusters branched off early from a distant unknown enzyme and that intermediate enzymes probably existed.

Shortly after the introduction of the broad-spectrum cephalosporins such as cefotaxime, aztreonam, and ceftazidime, extended-spectrum  $\beta$ -lactamases (ESBLs) were isolated, first in Europe (24, 40) and then worldwide. According to the structural classification of Ambler et al. (1) and the latest function scheme of Bush et al., these ESBLs are generally class A enzymes of the 2be group, arising subsequently to a few amino acid substitutions, from the common plasmid-mediated TEM and SHV-1  $\beta$ -lactamases (12, 20).

The CTX-M  $\beta$ -lactamases, a new family in class A ESBLs, were characterized at the beginning of the 1990s in the first reports of the MEN-1 (CTX-M-1) enzyme (4, 6). In contrast to TEM and SHV type cefotaxime-hydrolyzing ESBLs, CTX-Ms are much more active against cefotaxime than against ceftazidime. The amino acid residues critical for their extended-spectrum activity are distinct from those of TEM- and SHV-1-derived ESBLs (4, 14–16, 18, 27).

The growing CTX-M family comprises nine members: CTX-M-1 (MEN-1) (4, 6), CTX-M-2 (5), Toho-1 (19), CTX-M-3 (17), CTX-M-4 (16), CTX-M-5 (11), Toho-2 (27), CTX-M-7 (15) (previously designated CTX-M-5), and CTX-M-6 (15). They have high homology with the class A chromosomally encoded  $\beta$ -lactamases of *Proteus vulgaris* (31), *Serratia fonticola* (30), *Citrobacter diversus* (32), and *Klebsiella oxytoca* (2, 33) and plasmid-mediated  $\beta$ -lactamase SFO-1 (28). However, there is no clear direct phylogenic connection between CTX-M enzymes and these  $\beta$ -lactamases (7).

First described in Europe, CTX-M-producing strains have now been reported over a wide geographic area including the Near East (7), the Far East (19, 27, 44), South America (5, 7; M. Galas, F. Pasteran, R. Melano, A. Petroni, G. Lopez, A. Corso, A. Rossi and the WHONET Collaborative Group, Abstr. 38th Intersci. Conf. Antimicrob. Agents Chemother., abstr. E-109, p. 201, 1998), and Europe (4, 6, 11, 15–17). CTX-M enzymes have been observed in *Escherichia coli* (4, 6, 19, 27, 44; Galas et al. 38th ICAAC, abstr. E-109) and *Salmonella enterica* serovar Typhimurium (5, 11, 15, 16) and also less frequently in *Klebsiella pneumoniae* (7), *Proteus mirabilis* (7), *Citrobacter freundii* (7), and *Vibrio cholerae* El Tor (M. Galas, A. Petroni, R. Melano, A. Corso, M. Rodriguez, M. L. Cacace, A. Bru, and A. Rossi, Abstr. 38th Intersci. Conf. Antimicrob. Agents Chemother., abstr. C-124, p. 119, 1998).

To estimate the diversity of ESBLs in Brazil, clinical strains that exhibited ESBL phenotypes in different species were collected in hospitals of Rio de Janeiro in 1996 and 1997. In this report, we describe a novel CTX-M type enzyme, designated CTX-M-8, produced by three different strains of the family *Enterobacteriaceae*.

#### MATERIALS AND METHODS

**Clinical strains.** Table 1 shows the clinical strains and plasmids used in this study. Clinical strains Rio-1, Rio-2, and Rio-3, which produced a novel  $\beta$ -lacta-mase, were isolated from patients hospitalized in intensive care units of three private hospitals of Rio de Janeiro, Brazil. *Enterobacter cloacae* Rio-1 was isolated in November 1996 from blood. *Citrobacter amalonaticus* Rio-2 and *Enterobacter aerogenes* Rio-3 were isolated in March 1997 from a surgical wound and blood, respectively. CTX-M-1-producing *E. coli* MEN (4), CTX-M-2-producing *P. mirabilis* Rio-4, and TEM-1-producing *E. coli* TR4 (38) were used as reference strains.

**Mating-out assays.** Direct transfers of plasmids carrying resistance genes were performed by mating donor strains with in vitro-obtained rifampin- or nalidixic acid-resistant mutants of *E. coli* HB101 (35) as the recipient strain at  $37^{\circ}$ C in solid and liquid Mueller-Hinton medium. Transconjugants were selected on Mueller-Hinton agar containing rifampin (300 µg/ml) or nalidixic acid (150 µg/ml) and cefotaxime (2 µg/ml). The transconjugants *E. coli* TrRio-2 and TrRio-3 were obtained from clinical strains of *C. amalonaticus* Rio-2 and of *E. aerogenes* Rio-3, respectively.

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Strain or plasmid	Strain or plasmid Relevant genotype or phenotype (place and yr of isolation)					
Strains						
E. cloacae Rio-1	Broad-spectrum cephalosporin resistant (Rio de Janeiro, Brazil, 1996)	This study				
C. amalonaticus Rio-2	Broad-spectrum cephalosporin resistant (Rio de Janeiro, Brazil, 1997)	This study				
E. aerogenes Rio-3	Broad-spectrum cephalosporin resistant (Rio de Janeiro, Brazil, 1997)	This study				
P. mirabilis Rio-4	CTX-M-2-producing P. mirabilis (Rio de Janeiro, Brazil, 1997)	This study				
E. coli MEN	CTX-M-1-producing E. coli	4				
E. coli TR4	TEM-1-producing <i>E. coli</i> HB101	38				
<i>E. coli</i> HB101	$supE44$ hsdS20 ( $r_B^- m_B^-$ ) recA13 ara-14 proA2 lacY1 galK2 rpsL20 xyl-5 mtl-1	35				
E. coli DH5α	$supE44 \Delta lacU169 (\Phi 80 lacZ\Delta M15) hsdR17 recA1 endA1 gyrA96 thi-1 relA1$	35				
Plasmids						
pRio-2	Natural plasmid from <i>C. amalonaticus</i> Rio-2 containing <i>bla</i> <sub>CTX-M-8</sub> and <i>bla</i> <sub>TEM-1</sub> ; resistance phenotype: ESBL, amikacin, gentamicin, kanamycin, netilmicin, tobramycin	This study				
pRio-3	Natural plasmid from <i>E. aerogenes</i> Rio-3 containing <i>bla</i> <sub>CTX-M-8</sub> and <i>bla</i> <sub>TEM-1</sub> ; resistance phenotype: ESBL, amikacin, gentamicin, kanamycin, netilmicin, tobramycin	This study				
pC1Rio-2	Recombinant plasmid containing a 10-kb EcoRI fragment with bla <sub>CTX-M-8</sub>	This study				

TABLE 1. Strains and plasmids used in the study

Susceptibility of  $\beta$ -lactams. MICs were determined by a dilution method on Mueller-Hinton agar (Sanofi Diagnostics Pasteur, Marnes la Coquette, France) with an inoculum of 10<sup>4</sup> CFU per spot. Antibiotics were provided as powders by SmithKline Beecham Pharmaceuticals (amoxicillin, ticarcillin, and clavulanate), Lederle Laboratories (piperacillin, tazobactam), Eli Lilly, Paris, France (cephalothin), Roussel-Uclaf (cefotaxime, cefpirome), Glaxo Wellcome Research and Development (ceftazidime), Bristol-Myers Squibb (aztreonam, cefepime), and Merck Sharp & Dohme (imipenem).

Detection of ESBLs was performed with the standard and modified doubledisk synergy tests as described previously (21, 41). Antibiotic discs for agar tests were obtained from Sanofi Diagnostics Pasteur.

**Isoelectric focusing.** Isoelectric focusing was performed with polyacrylamide gels containing ampholines with a pH range of 3.5 to 10 as previously described (37). The following  $\beta$ -lactamases of known pIs were used as standards: TEM-1 (pI 5.4), SHV-1 (pI 7.6), and CTX-M-1 (pI 8.6).

**Determination of β-lactamases kinetic constants.** The  $K_m$  and  $V_{\text{max}}$  constants of the β-lactamases were obtained by a computerized microacidimetric method as previously described (26) with extracts purified as reported previously (10). The relative  $V_{\text{max}}$  rates of hydrolysis were compared with that for benzylpenicillin, which was taken as 100%. The concentrations of the inhibitors (clavulanate and tazobactam) required to inhibit enzyme activity by 50% (IC<sub>50</sub>s) were determined as described previously for penicillin G (39).

**PCR of CTX-M genes.** The detection of genes encoding CTX-M-1 and CTX-M-2 type enzymes (CTX-M-1 and CTX-M-2 type genes) and the synthesis of probe CTX-M were performed with the primers CTX-MA (5'-CGCTTTGCG ATGTGCAG-3') and CTX-MB (5'-ACCGCGATATCGTTGGT-3') (temperature of annealing, 52°C). An internal fragment of 550 bp was amplified from positions 264 to 814 (*bla*<sub>CTX-M-1</sub> numbering), which correspond to conserved regions of CTX-M-1 and CTX-M-2 type genes.

**Hybridization**. Plasmid DNAs were extracted by the method of Birnboim and Doly (9) and the bromide-CsCl linear gradient method (35). DNA probes used for hybridization were PCR products obtained with primers CTX-MA and CTX-MB. Labeling was performed by random priming with the dinitrophenyl (DNP) DNA-labeling kit purchased from Appligene Oncor (Illkirch, France). Hybridization and revelation were performed with the DNP DNA chemiluminescence detection kit (Appligene Oncor) according to the manufacturer's recommendations on DNA extracts denatured, transferred, and immobilized on Nytran filters.

**β-Lactamase gene cloning.** Recombinant DNA manipulation and transformations were performed as described by Sambrook et al. (35). T4 DNA ligase was purchased from Boehringer GmbH, Mannheim, Germany. The CTX-M-encoding gene was cloned as follows: plasmid DNA of strain TrRio-2 was cleaved by EcoRI, and the resultant fragments were ligated in the EcoRI site of pACYC184 (34). *E. coli* DH5α (35) was transformed by electroporation. The transformant C1Rio-2 harboring the CTX-M-8-encoding plasmid pC1Rio-2 was selected on Mueller-Hinton agar supplemented with 2 μg of cefotaxime per ml.

**DNA sequencing.** The sequences were determined by sequencing both strands of recombinant plasmid DNA. The strategies used to establish the nucleotide sequences of the CTX-M type genes are summarized in Fig. 1. The sequence of  $bla_{CTX-M.8}$  was determined from recombinant plasmid pCIRio-2 with a primer localized on plasmid pACYC184, close to the *Eco*RI restriction site. It was performed by the dideoxy chain termination procedure of Sanger et al. (36) on an ABI 1377 automatic sequencer using the ABI PRISM dye terminator cycle sequencing ready reaction kit with AmpliTaq DNA polymerase FS (Perkin-Elmer/Applied Biosystems Division, Foster City, Calif.).

**Computer analysis.** The nucleotide sequence and the deduced protein sequence were analyzed with the software available over the Internet at the National Center for Biotechnology Information. A hydrophobic plot was obtained by the method of Nielsen et al. (29). Multiple sequence alignment and pairwise comparisons of sequences were carried out with the help of the software ClustalW, version 1.74 (43). Nine class A CTX-M enzymes were compared to CTX-M-8: CTX-M-1, CTX-M-2, Toho-1, CTX-M-3, CTX-M-4, CTX-M-5, CTX-M-6, CTX-M-7, and Toho-2. A dendrogram was derived from the protein multiple-sequence alignment by the parsimony method using the phylogenetic package PAUP (phylogenetic analysis using parsimony), version 3.0 (42).

package PAUP (phylogenetic analysis using parsimony), version 3.0 (42). Nucleotide sequence accession number. The  $bla_{CTX-M-8}$  gene nucleotide sequence data appear in the GenBank nucleotide sequence database under accession no. AF189721.

## RESULTS

**Characterization of clinical isolates.** The clinical isolates Rio-1, Rio-2, and Rio-3 (Table 1) exhibited a resistance to broad-spectrum cephalosporins and a positive double-disk synergy test and produced  $\beta$ -lactamases of pI 7.6 and 5.4. In addition, the *Enterobacter* strains Rio-1 and Rio-3 harbored  $\beta$ -lactamases with alkaline pI values consistent with cephalosporinase production.

PCR and DNA sequencing identified the  $\beta$ -lactamase of pI 5.4 as TEM-1 penicillinase. The enzyme of pI 7.6 was not of the SHV type, and no PCR products were obtained with the CTX-M-1 and CTX-M-2 type gene-specific primers CTX-MA and CTX-MB. In contrast, CTX-M type genes were detected in the three strains by hybridization with a CTX-M type gene probe, suggesting the presence of new CTX-M type genes in these strains.



FIG. 1. Map of the *Eco*RI CTX-M-8-encoding insert of pC1Rio-2. Arrows indicate the strategy used to establish the nucleotide sequence.

	MIC for strain:										
β-Lactam(s)	C. amalonaticus Rio-2 (pRio-2) <sup>a</sup>	<i>E. coli</i> HB101 TrRio-2 (pRio-2) <sup>b</sup>	<i>E. coli</i> DH5α C1Rio-2 (pC1Rio-2) <sup>c</sup>	<i>E. coli</i> HB101 TR4 $(pTR4)^d$	<i>E. coli</i> DH5α						
Amoxicillin	>2,048	>2,048	>2,048	>2,048	2						
Amoxicillin $+ ca^{e}$	64	16	32	16	1						
Ticarcillin	>2,048	>2,048	>2,048	>2,048	2						
Ticarcillin + ca	64	16	32	16	2						
Cephalothin	>1,024	1,024	>1,024	256	4						
Cefoxitin	4	2	4	2	2						
Cefotaxime	32	8	16	0.12	0.06						
Cefotaxime + ca	0.25	0.06	0.06	0.06	0.06						
Aztreonam	64	4	8	0.25	0.12						
Aztreonam + ca	0.50	0.12	0.12	0.12	0.12						
Ceftazidime	64	0.50	1	0.5	0.25						
Ceftazidime + ca	1	0.12	0.12	0.5	0.25						
Cefepime	8	2	4	0.12	0.06						
Cefepime + ca	0.06	0.06	0.06	0.06	0.06						
Cefpirome	16	4	8	0.12	0.06						
Cefpirome + ca	0.12	0.06	0.06	0.06	0.06						
Imipenem	0.12	0.25	0.25	0.12	0.06						

TABLE 2. β-Lactam MICs for the CTX-M-8-producing C. amalonaticus and its E. coli derivatives

<sup>a</sup> C. amalonaticus Rio-2 producing β-lactamase CTX-M-8 along with a TEM-1 penicillinase.

<sup>b</sup> E. coli HB101 harboring natural plasmid pRio-2, which encodes β-lactamase CTX-M-8 along with a TEM-1 penicillinase.

<sup>c</sup> E. coli DH5α harboring recombinant plasmid pC1Rio-2, which encodes β-lactamase CTX-M-8.

<sup>d</sup> E. coli HB101 harboring plasmid pTR4, which encodes β-lactamase TEM-1.

<sup>e</sup> ca, clavulanate at fixed concentration of 2 μg/ml.

Transfer of β-lactam resistance. Transconjugants TrRio-2 and TrRio-3 were only obtained from C. amalonaticus Rio-2 and E. aerogenes Rio-3 strains. They produced cefotaximehydrolyzing β-lactamase of pI 7.6, associated with the TEM-1 penicillinase. The CTX-M gene was carried by large plasmids  $(\geq 75 \text{ kb})$ , which also harbored aminoglycoside resistance genes (Table 1).

Cloning of the  $\beta$ -lactamase gene. The genes carried by pRio-2 were cloned in plasmid pACYC184. Transformant C1Rio-2 contained recombinant plasmid pC1Rio-2 of 14 kb, producing only the CTX-M type  $\beta$ -lactamase of pI 7.6. The restriction map of the insert and hybridization with CTX-M type gene probe localized gene bla<sub>CTX-M</sub> close to the cloning site of pACYC184 (Fig. 1).

**β-Lactam susceptibility.** MICs of β-lactams for C. amalonaticus Rio-2, its E. coli HB101 transconjugant TrRio-2 harboring plasmid pRio-2, and E. coli DH5a harboring recombinant plasmid pC1Rio-2 are listed in Table 2. These CTX-M-producing strains exhibited a high level of resistance to amoxicillin (MICs  $> 2,048 \mu g/ml$ ), ticarcillin (MICs > 2,048 $\mu$ g/ml), and cephalothin (MICs  $\geq$  1,024  $\mu$ g/ml) and a low level of resistance to cefotaxime (MICs, 8 to 32 µg/ml). Unlike those for the C. amalonaticus Rio-2 isolate, MICs for E. coli transconjugant TrRio-2 and transformant C1Rio-2 of cefotaxime were 8- to 16-fold higher than those of ceftazidime and 2- to 4-fold higher than those of aztreonam. The same results were observed with strains Rio-1, Rio-3, and TrRio-3 (data not shown). MICs of cefepime and cefpirome, even when they were weak, were appreciably higher than those obtained with TEM-1-producing E. coli (2 to 16 µg/ml versus 0.12 µg/ml). In contrast, the activities of imipenem and cefoxitin were not affected.

Clavulanate restored partially or totally the activities of the β-lactams. All strains were susceptible to associations of clavulanate and broad-spectrum cephalosporins (MICs, 0.06 to  $1 \mu g/ml$ ).

Kinetic parameters. The substrate and inhibition profiles of CTX-M-8 are shown in Table 3. The best affinities were observed with penicillins and cefuroxime ( $K_m$ , 11 to 19  $\mu$ M) and led to good catalytic efficiency. However, the best substrate of CTX-M-8 was cephalothin. The catalytic activity of the enzyme against cephalothin was 10-fold higher than that against penicillins despite the fact that cephalothin had higher  $K_m$  values (K<sub>m</sub>, 87 μM).

Likewise, CTX-M-8 had activity against cefotaxime, cefepime, and cefpirome. The greater catalytic efficiency of CTX-M-8 against cefotaxime than against cefepime, and to lesser extent cefpirome, was due to the higher  $K_m$  values for the last two substrates (cefepime  $K_m$ , 990  $\mu$ M; cefpirome  $K_m$ , 1,200  $\mu$ M; cefotaxime  $K_m$ , 74  $\mu$ M). In contrast, the catalytic activities for ceftazidime (relative  $V_{\text{max}} \le 1\%$ ) and aztreonam (relative  $V_{\text{max}}$ , 9%) were at least 49-fold and 5-fold lower, respectively, than that for cefotaxime (relative  $V_{\text{max}}$ , 49%). Aztreonam and ceftazidime were poor substrates of CTX-M-8. Imipenem and cefoxitin were not affected by CTX-M-8.

TABLE 3. Substrate profile of CTX-M-8 β-lactamase

Substrate	Relative $V_{\text{max}}^{a}$	$K_m (\mu M)$	Relative $V_{\text{max}}/K_m^b$
Benzylpenicillin	100	11	100
Amoxicillin	37	12	34
Ticarcillin	12	14	10
Piperacillin	49	19	29
Cephalothin	1,070	87	137
Cefuroxime	25	12	23
Cefotaxime	49	74	7
Cefpirome	300	1,200	3
Cefepime	96	990	1
Aztreonam	9	800	0.12
Ceftazidime	≤1	$>500^{\circ}$	≤0.02
Cefoxitin	$ND^d$	$5^c$	
Imipenem	ND	1 <sup>c</sup>	
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Values are percentages of the  $V_{\rm max}$  for benzylpenicillin.

<sup>b</sup> Values are percentages of the relative  $V_{max}/K_m$  ratio for benzylpenicillin. <sup>c</sup> Values were determined as  $K_i$  by substrate competition with benzylpenicillin. <sup>d</sup> ND, no catalytic activity detected.

1	gti	aat	tcg	ggg	cag	aat	tgg	taa	aga	gag	tcg	tgt	aaa	ata	tcg	agt	tcg	cac	atc	ttgt	
61	tg	tct	gat	tat	tga	ttt	ttc	gcg	yaaa	cca	ttt	gat	cat	atg	aca	aga	tgt	gta	tcc	acct	
121	tai	act	taa	tga	ttt	tta	cca	aaa	atca	tta	ggg	gat	tca	tca	gtq	ccc	qqc	agt	gqt	tgca	
181	gg	gga	ttg	aga	aaa	caa	geg	cat	ttt	tgt	ttt	tta	ctt	ttt	gtg	ctg	act	qtq	aat	actt	
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	т	s	W	v	v	G	D	K	Т	G	S	G	D	Y	G	т	т	Ν	D	Ι	
1021	gc	cgt	tat	ctg	gcc	gga	agg	gcq	gtgc	gcc	gct	tat	tct	ggt	cac	tta	ctt	cac	cca	igeca	
	А	v	I	W	Р	Е	G	R	А	Ρ	L	Ι	L	v	т	Y	F	т	Q	P	
1081	ga	gca	gaa	ggc	aga	aag	tcç	rtcq	gtga	cgt	act	cgc	gga	tgc	cgc	gaa	aat	cgt	cac	cgac	
	Ε	Q	К	А	Е	S	R	R	D	v	L	A	A	A	A	К	Ι	v	т	D	

1141 ggttattaattotaaaaatgtggagegetetgtegeteeaett G Y \*

FIG. 2. Nucleotide sequence of the 1,184-bp fragment of pC1Rio-2 containing  $bla_{CTX-M-8}$ . The deduced amino acid sequence is designated in single-letter code below the nucleotide sequence. The potential promoter sequences (-10 and -35 regions) and ribosome-binding site (RBS) are shown. Solid arrows, inverted repeat sequences possibly acting as terminators. Conserved residues in serine β-lactamases (SXXK, SDN, E, KTG) are boxed.

CTX-M-8 was susceptible to tazobactam (IC<sub>50</sub>, 0.010  $\mu$ M), clavulanate (IC<sub>50</sub>, 0.036  $\mu$ M), and sulbactam (IC<sub>50</sub>, 4.0  $\mu$ M).

**DNA sequencing.** The nucleotide sequence and the deduced amino acid sequence are given in Fig. 2. There was an open reading frame of 873 nucleotides. This coding region had 73 to 75% identity with the previously described CTX-M-type genes. The initiation codon sequence was preceded by putative -35 (TTGAGA) and -10 (TTTTTT) consensus sequences. A terminator hairpin loop was detected 10 nucleotides from the stop codon (Fig. 2). Sequencing of CTX-M type genes of strains Rio-1 and Rio-3 confirmed that these strains harbored the same  $bla_{CTX-M}$  gene.

The precursor amino acid sequence deduced from the nucleotide sequence consisted of 291 amino acid residues. On the basis of alignments with the CTX-M peptide sequence previously determined by direct amino acid sequencing (4, 27) (Fig. 3) and hydropathy plots, it is likely that the signal peptide comprised 28 amino acids. Thus, the putative mature CTX-M type enzyme consisted of 263 amino acid residues with a calculated isoelectric point of 7.72 and a calculated molecular weight of 28,039. The four structural elements characteristic of class A  $\beta$ -lactamases were found: S-X-X-K at positions 70 to 73, S-D-N at positions 130 to 132, E at position 166, and K-T-G at positions 234 to 236 (Fig. 2).

**Homology with other β-lactamases.** The sequence of the mature form of the CTX-M type enzyme has less than 37% amino acid identity with the sequences of TEM-1 and SHV-1 but 75 to 77% amino acid identity with the sequences of class A β-lactamases of *P. vulgaris* R0104 (31), *S. fonticola* CUV (30), *C. diversus* ULA27 (32), *K. oxytoca* E23004 (2), and *K. oxytoca* D488 (33). The previously described CTX-M β-lactamases have 83 to 88% amino acid identity with this novel enzyme, which was designated CTX-M-8.

The peptide sequence alignment shown in Fig. 3 displays the conserved regions and the amino acid substitutions observed in different CTX-M enzymes. Excluding positions 185 to 219 of Toho-2, whose sequences have been discussed elsewhere (25), seven conserved regions were observed. Still excluding positions 186 to 218 of Toho-2, the alignments of CTX-M enzymes showed 72 polymorphic positions, of which 5 were reported solely in CTX-M-8 (positions 92, 103, 109, 158, and 197). Twelve amino acid residues of CTX-M-8 had not previously been observed in the other CTX-Ms (Fig. 3).

**Phylogenic analysis.** A dendrogram (Fig. 4) was constructed on the basis of the peptide alignment shown in Fig. 3. Bootstrapping gave a high degree of resolution for internal nodes (greater than 50% majority consensus confidence) in all but

		30	40	50
				< I >
TX-M-8	MMRHRVKRMMLMTTACISLLLGSAPLY	AQANDVQQKLA	ALEKSSGGRI	GVALIDTADNAQ
Toho-2	.VTKQSAAAPPT			· · · · · · · · · · · · T .
TX-M-3	.VKKSLRQFTATVTV	TA	ERQ	S.
TX-M-E	.VKKSLRQFTATVTV		ERQ	NS.
Tobo=1	TOSTR S. IVM. ILP FS I.H			S.
TUNU-1	TOSTO S TUM TIDE DE TU	····›		····.N
CTX-M-7	TOSIR S. TVM TLP FS T H	S O F		N
CTX-M-6	TOSIR.STVM.TLPFST.H	SQ.E		N S
CTX-M-4	TQSIR.S TVM. TLPFST.H	SO.E		
	enemiste = het werkensterne internet die verderte der mytersoor ist in het kom interdeter			
	60 70 80	90	100	110
	<ii></ii>		I	II IV
CTX-M-8	TLYRADERFAMCSTSKVMAAAAVLKQS	ETQK <b>KV</b> LSQKV	EIKSSDLINY	NPI <b>T</b> EKHVNGTM
Toho-2	VGP	QL.N.P.	PAV	A
CTX-M-3	IK.	.SEPNL.N.R.	KV	A
TX-M-1	1VK.	.SEPNL.N.R.	KV	
TA-M-5	±	SD.HL.N.R.		
10110-1 TTV-M-2	T	SD.HL.N.R.	· · · K · · · V · ·	A
TTX-M-7	т.	SD WI N P		A
CTX-M-6	TV	SD. HL N R	RA V	Δ
CTX-M-4	IV	SD.HL.N.R.		Δ
	120 130 140	150	160	170
	SDN loop			v
CTX-M-8	TLAELSAAALQYSDNTAMNKLIAHLGG	PDKVTAFARAI	GDNTFRLDRT	EPTLNTAIPGDP
Toho-2	•••••Q•••	.GG	E	
CTX-M-3	svv.	.ASQL	E	
TX-M-I	ss.vs.v	.ASQL	E	
TX-M-5	·····G·····	SL	E	
TOHO-1	G	SL	· · E · · · · · · · ·	• • • • • • • • • • • • • •
TY-M-7		SL	E	••••••
TX-M-6		ST.	F	·····
TX-M-4	G.G		E	ss
	Af least moderate weekstaat		100 <sup>10</sup> 00/012	10000000
	180 190 200	210	220	230
mu N 0	DOWNEDT AND ONL DAL MI COAL CEMOD		CARCTORCE	VII
TA-M-8	RUTTTPLAMAQTERNETESALGETQR	NCDVAOROVDI	C P	PISWVVGDKIG5
TOHO-2	C D K DS	. VGDVAQAQIDI M	MG.R	Δ
TX-M-1		M		.A
TX-M-5	K		R	.KG
Toho-1	K		R	.K
TX-M-2	K		R	.K
TX-M-7	K		R	.KC
TX-M-6	K		sR	.KG
CTX-M-4	KA		sRM	.KG
	240 250 260	070	000	200
	240 250 260	270	200	290
TX-M-8	G-DYGTTNDIAVIWP-EGRAPLILVTY	FTOPEOKAESE	RDVI.AAAAKT	VTDGY
Toho-2	V	O.N		IAE.L
TX-M-3		QP	s	L
TX-M-1		QP	s	N.L
CTX-M-5	ANHV			H.F
Toho-1	NHV	R.		H.F
CTX-M-2	NHV		I	H.F
CTX-M-7	NHV			H.F
CTX-M-6	NHV			H.F
TX-M-4	V		I	H.F

C

FIG. 3. Alignments of the CTX-M-8 amino acid sequence with those of CTX-M-1 (4, 6), CTX-M-2 (5), CTX-M-3 (19), CTX-M-4 (18), CTX-M-5 (11), CTX-M-6 (15), CTX-M-7 (15) (previously designated CTX-M-5), Toho-1 (19), and Toho-2 (27). Dots, amino acids identical to those of CTX-M-8 boldface letters, specific amino acid residues of CTX-M-8 in the CTX-M family; italic letters, peptide signal of CTX-M-8 as determined by a hydopathy plot; boxes, peptide signals determined by amino acids are numbered according to the standard numbering scheme for the class A  $\beta$ -lactamases of Ambler et al. (1).



FIG. 4. Dendrogram of CTX-M family. Branch lengths are to scale and are proportional to the numbers of amino acid changes. The percentages at the branch points refer to the numbers of times particular nodes were found in 100 bootstrap replications (underlined numbers). The distance along the vertical axis has no significance. \*, previously designated CTX-M-5 (15).

one branch (49%). The dendrogram showed four major branches, whose members were closely related, separated by large evolutionary distances. Four types of CTX-M were obtained: the CTX-M-1 type including CTX-M-1 and CTX-M-3; the CTX-M-2 type including CTX-M-2, Toho-1, CTX-M-5, CTX-M-4, CTX-M-6, and CTX-M-7; and Toho-2 and CTX-M-8, both of which had only one member. Doubt over the length and also the position of the Toho-2 branch persists because of disagreements relating to its sequence (25).

### DISCUSSION

The starting point of this work was the observation of three clinical strains that exhibited a positive double-disk synergy test, resistance to broad-spectrum cephalosporins, and a  $\beta$ -lactamase of pI 7.6. A distinctly higher level of resistance to cefotaxime than to ceftazidime was observed with the transconjugants obtained. However, *C. amalonaticus* Rio-2, unlike transconjugant TrRio-2, exhibited an ESBL phenotype of the ceftazidimase type. These differences of behavior between the wild-type strain Rio-2 and its transconjugant suggest an additional resistance mechanism directed mainly against ceftazidime in *C. amalonaticus* Rio-2. A chromosomally mediated cephalosporinase, which has mainly cefotaximase activity, in *C. amalonaticus* has been previously reported (8), but no  $\beta$ -lactamase of corresponding pI (5.5 and 6.05) was detected in our strain. Decreased permeability might also explain the en-

hanced resistance to ceftazidime. However, such a mechanism in this species has not been reported.

In the course of cloning, a novel CTX-M type gene was characterized. The deduced enzyme, designated CTX-M-8, had 80 to 88% identity with previously described CTX-M enzymes. The conserved regions are known to have a critical role in the catalytic activity of active-site serine penicillinrecognizing enzymes (23). In addition, CTX-M-8 harbors amino acid residues Ser-237 and Arg-276, which have been suspected of playing a part in the cefotaxime-hydrolyzing activity of CTX-M enzymes (4, 14-16, 18, 27). The alignments of CTX-M enzymes showed the high level of polymorphism of the CTX-M family compared to those of the TEM and SHV families. The amino acid substitutions are generally conservative or semiconservative (43). Crystallographic data (18, 22) demonstrate that the majority of the substitutions are localized far from the active site, in weakly conserved zones of class A β-lactamases. This explains the close similarities in the catalytic activities of CTX-M enzymes.

The phylogenic study of the CTX-M family showed four major types of CTX-M: the CTX-M-1 type, the CTX-M-2 type, Toho-2, and CTX-M-8. The evolutionary distances between the four types of CTX-M were large, suggesting that the four clusters of CTX-M branched off early from an unknown protein. Thus, these enzymes could be mutant derivatives from a distant common ancestor. Closely related enzymes of the CTX-M-1 type (M-1, M-3) and of the CTX-M-2 type (M-4, M-5, M-6, M-7) have been observed in a concentrated geographic area where they may have occurred as a result of point mutations, which suggests the existence of many unknown intermediate enzymes. In contrast, CTX-M-2 and Toho-1, classified on the same branch of the CTX-M-2 cluster, have been characterized in geographically distant areas (Japan and South America). The expansion of the CTX-M family may therefore be due to the spread and mutations of the CTX-M-encoding genes, but independent genetic events cannot be excluded.

The catalytic properties of CTX-M-8 are close to those previously reported for CTX-M enzymes. Cephalothin is the best substrate. Catalytic efficiency against cefotaxime is better than that against ceftazidime. CTX-M-8 is slightly more susceptible to the inhibitors than TEM penicillinases (10). Tazobactam is the best inhibitor, as previously described (5, 15, 16, 27).

The strains studied in this report were selected from 18 strains of the family *Enterobacteriaceae* chosen to characterize the different ESBLs present in Brazil. The majority of these strains (10 of 18) produced SHV type ESBLs. Two TEM type ESBLs were also identified. Four strains produced CTX-M type enzymes: *E. cloacae* Rio-1, *C. amalonaticus* Rio-2, and *E. aerogenes* Rio-3 (CTX-M-8) and *P. mirabilis* Rio-4 (CTX-M-2). Another CTX-M-like enzyme produced by an *E. coli* strain and a broad-spectrum cephalosporin-hydrolyzing enzyme not related to the CTX-M, SHV, and TEM type enzymes produced by a *Serratia marcescens* strain are being studied. These data show the diversity of the ESBLs in Brazil and the spread of CTX-M enzymes.

Like CTX-M-2, which is observed in a large variety of species such as *E. coli*, *P. mirabilis*, *K. pneumoniae*, and *V. cholerae* (7; Galas et al., 38th ICAAC, abstr. C-174), the  $bla_{CTX-M-8}$  gene was characterized for three different species of the family *Enterobacteriaceae*. The transferable capacity of plasmids could explain the in vivo transfer of the  $bla_{CTX-M-8}$  gene in different strains and the spread of this enzyme.

CTX-M-2 was first characterized in Argentina (5, 7), and Galas et al. (38th ICAAC, abstr. E-109) report that the predominant ESBL types are first CTX-M-2 and then SHV in that country. We report 5 CTX-M-producing strains out of 18 ESBL-producing strains isolated in Brazil. Thus, like eastern Europe (11, 16, 17), South America could be an important source of CTX-M type  $\beta$ -lactamases. The spread of CTX-M enzymes and the paucity of TEM type mutants seem therefore to be a regional phenomenon in South America.

The first CTX-M-producing strains were sporadic isolates. Recently, outbreaks involving *S. enterica* serovar Typhimurium (11) and *V. cholerae* El Tor (Galas et al., 38th ICAAC, abstr. C-174) have been recently described. Nosocomial infections of the urinary tract induced by CTX-M-producing *C. freundii* have also been reported (17). In this study, *E. aerogenes* and *E. cloacae*, two species known to be responsible for nosocomial infections (3, 13) and isolated from patients hospitalized in separate intensive care units of different hospitals, produced CTX-M-8, suggesting that they are involved in nosocomial infections.

Since the first report of the MEN-1 (CTX-M-1) in the 1990s (4, 6), a great variety of CTX-M enzymes have been observed, all of which belong to a new group among the ESBL enzymes. In this study, we report a novel member of the CTX-M family, CTX-M-8, which is not directly related to other CTX-M enzymes. Hence, CTX-M-8 constitutes a novel potential phylum of the CTX-Ms. The intensive use of broad-spectrum cephalosporins such as cefotaxime could account for the emergence and spread of the CTX-M plasmid-mediated enzymes among enteric pathogens. The analysis of novel *bla*<sub>CTX-M</sub> could shed light on the origin and the intermediate enzymes of the plasmid-mediated CTX-M  $\beta$ -lactamases.

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