# **REVIEWS**



# COVID-19 and kidney disease: insights from epidemiology to inform clinical practice

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Abstract | Over the course of the COVID-19 pandemic, numerous studies have aimed to address the challenges faced by patients with kidney disease and their caregivers. These studies addressed areas of concern such as the high infection and mortality risk of patients on in-centre haemodialysis and transplant recipients. However, the ability to draw meaningful conclusions from these studies has in some instances been challenging, owing to barriers in aspects of usual care, data limitations and problematic methodological practices. In many settings, access to SARS-CoV-2 testing differed substantially between patient groups, whereas the incidence of SARS-CoV-2 infection varied over time and place because of differences in viral prevalence, targeted public health policies and vaccination rates. The absence of baseline kidney function data posed problems in the classification of chronic kidney disease and acute kidney injury in some studies, potentially compromising the generalizability of findings. Study findings also require attentive appraisal in terms of the effects of confounding, collider bias and chance. As this pandemic continues and in the future, the implementation of sustainable and integrated research infrastructure is needed in settings across the world to minimize infection transmission and both prevent and plan for the short-term and long-term complications of infectious diseases. Registries can support the real-world evaluation of vaccines and therapies in patients with advanced kidney disease while enabling monitoring of rare complications.

The abrupt arrival of the COVID-19 pandemic in early 2020 posed unforeseen challenges for patients with kidney disease and their care providers. Day-to-day priorities shifted towards the rapid reconfiguration of services to protect patients on in-centre haemodialysis who were unable to strictly adhere to social distancing policies due to their need to attend treatment. Concerns also existed that transplantation might place new recipients at a heightened risk of postoperative death. There were instances during the pandemic in which some critical care units became overwhelmed with an unprecedented demand for acute kidney replacement therapy (KRT). Despite the rapid development of vaccines and identification of effective treatments for severe disease, many of these challenges persist with the continuing emergence of novel SARS-CoV-2 variants. Lessons from learned experiences and the published literature must, therefore, be rapidly applied to better cope with ongoing challenges and similar crises that may arise in the future.

To best inform clinical care, epidemiological studies

— ranging from small single-centre case series to large

registry and population-wide cohorts — have been conducted across a range of settings. However, the COVID-19 pandemic has presented unique challenges, and many of these studies have encountered methodological difficulties arising from barriers in aspects of usual care, limitations in data collection and challenges in study design (TABLE 1; Supplementary Table 1). For example, such studies should ideally investigate and account for variations in health-care delivery, temporal trends and geographical factors that arose as a consequence of the pandemic. These methodological challenges have also hindered comparisons between epidemiological studies. Meta-analyses that use aggregated outcomes from studies that have not investigated and/or accounted for these variables may be limited in their conclusions — a fact that has been well-acknowledged1.

Collider bias is an important problem encountered in COVID-19 epidemiology<sup>2</sup> (FIG. 1, TABLE 1; Supplementary Table 1). Collider bias occurs when both the risk factor or exposure of interest (for example, kidney transplantation) and the factors on the pathway to the

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### **Key points**

- Patients who are receiving in-centre dialysis have a higher risk of exposure to SARS-CoV-2 than members of the general population, owing to their limited ability to isolate.
- Studies have found a dose–response of increasing risk of mortality from COVID-19 with decreasing kidney function, with particularly high mortality seen in people with kidney failure and those on kidney replacement therapy.
- Evidence of how infection risk can be mitigated in patients with chronic kidney disease is often of poor quality due to the many challenges of conducting epidemiological research in fragmented health-care settings.
- Observational studies of associations between risk factors, such as chronic kidney disease or transplantation, and outcomes, such as COVID-19-related mortality, can be distorted as a result of collider bias, and thus care must be taken in study design and evaluation
- Recognizing the challenges that affect epidemiological studies in pandemic settings together with information on local health contexts enables rigorous assessment of study quality; adequate reporting of aspects relevant to local care availability enables readers to identify studies that contribute robust findings to the literature.
- To confront the challenges wrought by future pandemics, a sustainable and integrated global infrastructure is needed to identify evidence-based approaches to minimize infection transmission and adverse outcomes.

outcome of interest (for example, disease severity on the pathway to death) influence the mechanisms behind selection into a study sample population. Causal inference based on analyses of such a selected study sample cannot then be generalized to a wider population of interest and, depending on the circumstances, may not be internally valid due to selection bias. Epidemiological studies therefore require well-defined study populations in which outcome events (for example, SARS-CoV-2 infection and its consequences) are determined as accurately as possible for every study participant. Although individuals on long-term KRT are generally included in national registries, a lack of baseline kidney function data makes it difficult to define populations with chronic kidney disease (CKD) and acute kidney injury (AKI) for prospective outcome assessment.

In this Review, we discuss a range of epidemiological challenges posed by the COVID-19 pandemic — a unique situation in which timely, reliable research was needed in the face of an unprecedented public health

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challenge. We discuss some of the major obstacles encountered when conducting epidemiological research in populations with kidney disease in a pandemic setting (TABLE 1; Supplementary Table 1), including challenges in ascertaining COVID-19-related outcomes in the dialysis and transplant populations, confounding factors such as barriers to health care, and the challenges in identifying and defining people with CKD or AKI (the 'denominators'). We focus particularly on studies that have relevance for public health and their ability to inform future research endeavours and study design.

### COVID-19 in patients on dialysis Estimating the incidence of COVID-19 among patients on in-centre haemodialysis

Data on the incidence of COVID-19 among patients receiving in-centre haemodialysis is generally of high quality. The first cases of COVID-19 among patients receiving in-centre haemodialysis were from Wuhan, China3, with additional reports emerging as the pandemic spread to other parts of the world<sup>4-7</sup>. Throughout the pandemic, patients on in-centre haemodialysis were more likely than members of the general population to be exposed to SARS-CoV-2, as a consequence of the requirement to attend dialysis centres three times weekly, even during lockdowns. Often, attendance would involve shared patient transportation to and from home and interactions with other patients and dialysis staff. Despite the implementation of infection control procedures, these interactions increased exposure risk, especially in the early phases of the pandemic when asymptomatic screening was unavailable8 and personal protective equipment was lacking in some settings9. It is therefore unsurprising that the incidence of COVID-19 is higher in patients on in-centre haemodialysis than in the general population (BOX 1). In the Flanders region of Belgium, the age-standardized estimate of the incidence of SARS-CoV-2 infection among patients on maintenance haemodialysis was 2.5% in May 2020 — more than fourfold greater than in the general population<sup>10</sup>. By the end of August 2020 in England, 11.2% of patients on in-centre haemodialysis tested positive for SARS-CoV-2 compared to only 2.9% of patients on home dialysis11. These findings highlight the greater exposure risk for those requiring in-centre treatment.

However, a diagnosis of SARS-CoV-2 infection is dependent on the availability of testing; the greater access to testing for patients on in-centre haemodialysis as part of infection control processes compared with that in the general population or patients on home dialysis may also account for the higher incidence of infection among this patient group.

Estimates of SARS-CoV-2 incidence are also heavily influenced by the sampling frame, both temporally and geographically, owing to differences in population viral prevalence, targeted public health policies, the infectivity of virus variants and vaccination rates. In early 2020, for example, local efforts to detect infection often led to the rapid implementation of local mitigation strategies, resulting in regional differences in viral prevalence. Thus, regional estimates cannot be reliably extrapolated to entire countries, as demonstrated in France where in early May 2020, the nationwide incidence of

Table 1 | Biases that can occur in epidemiological studies of kidney disease populations as a result of barriers to health care Type of bias Barriers to accessing health **Barriers to SARS-CoV-2** Barriers to accessing health care during the COVID-19 pandemic care pre-pandemic testing Information bias (misclassification Misclassification of CKD as Misclassification of infection Misclassification of cause of hospital of the study exposure or outcome) non-CKD (absence of testing or as non-infection admission coding for CKD) Misclassification of subclinical CKD as AKI and vice versa Confounding (when a measured Reasons for getting a particular Reasons for getting a test Reasons for getting a particular type of or unmeasured variable, not on the type of care will confound results will confound results care will confound results causal pathway, influences both Applies to all kidney disease Applies to all kidney disease Applies to all kidney disease populations the study exposure and the study populations populations outcome) Collider bias (when the study Access to SARS-CoV-2 Access to hospital/ICU care depends When a retrospective health sample collected is conditional on record study only includes testing may depend on on COVID-19 severity and underlying chances of survival/comorbidity, a variable on the causal pathway those with baseline and repeat COVID-19 severity and between study exposure and study creatinine test results (in a varies between kidney which varies between kidney disease outcome) conventional cohort study people disease populations: populations; therefore, analyses of with missing test results would be hospitalized/ICU patient populations comparisons of outcomes logged as 'lost to follow-up' and amongst those tested may may not always provide information on appropriately censored/analysed) be biased pathobiology Selection bias (for a cohort study, Not applicable for studies where Especially for transplant, Applies to all kidney disease populations home dialysis, CKD and AKI selection bias is a systematic bias in COVID-19 is an outcome

populations where there was

no systematic screening for

COVID-19

AKI, acute kidney injury; CKD, chronic kidney disease; ICU, intensive care unit.

the ability to capture study outcomes

dependent on the (unobserved)

study outcome; for a case-control

study, selection bias occurs when

cases are drawn from a different

source population than the controls)

SARS-CoV-2 infection in patients on maintenance dialysis was 3.3%, but as high as 10% in some regions<sup>12</sup>.

For studies that consider kidney

barriers to kidney care/dialysis/

transplantation can introduce

outcomes after COVID-19,

selection bias

Seroprevalence studies may improve insight into the past burden of SARS-CoV-2 infection among patients on dialysis. One study in patients on in-centre haemodialysis in London, UK, identified SARS-CoV-2 antibodies in 44 of 235 patients (18.7%) who were asymptomatic, and had therefore not been tested by PCR. Of the 42 symptomatic patients who had been tested but were PCR-negative, eight (19.0%) had SARS-CoV-2 antibodies8. A survey of samples from >28,000 patients on in-centre haemodialysis from across the USA in July 2020 found a seropositivity rate of 9.3% after standardization for age, sex and region, ranging from 0% in seven states to 34% in New York State13. However, these values are likely to be an underestimate of the burden of COVID-19 among the dialysis population, as they do not include patients who died from COVID-19, patients who did not seroconvert, or those whose antibody levels diminished over time. This study found that the likelihood of past infection was higher among individuals of Black, Hispanic or undisclosed ethnicity as recorded on their electronic health record (EHR), as well as those living in majority Black and Hispanic neighbourhoods, and correlated with neighbourhood poverty and population density13, after adjustment for age and sex, consistent with the reported ethnic and socioeconomic disparities in SARS-CoV-2 incidence in England<sup>14</sup>.

### Mortality in patients on maintenance dialysis

Although early reports from Wuhan, China, suggested that the effects of COVID-19 among patients on dialysis may be mild<sup>3</sup>, contradictory case series from the Lombardy region of Italy warned of a high mortality risk in this patient population<sup>4,5</sup>. These and other studies found that approximately 20-25% of patients on dialysis died within 1 month of a COVID-19 diagnosis<sup>1,15,16</sup>. During this early stage of the pandemic, excess mortality (compared with all-cause mortality in the same period in previous years) was 30% higher among patients on dialysis in the USA and the UK than historical trends<sup>14,17</sup>. However, not all of these deaths were formally attributed to COVID-19. As outlined by the WHO, International Classification of Disease (ICD) codes were inconsistently applied in the early stages of the pandemic18; in the USA, for example, COVID-19 received a dedicated ICD code only on 1 April 2020. Furthermore, the understandable focus of most health systems on the provision of acute care during the early phases of the pandemic hinders our ability to retrospectively establish how much of the excess mortality was driven by a lack of access to timely diagnosis and care for chronic, non-COVID-19 conditions.

Some populations may have had fewer

haemodialysis or transplant recipients)

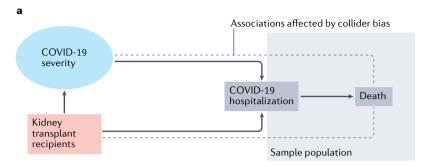
Some populations potentially may have

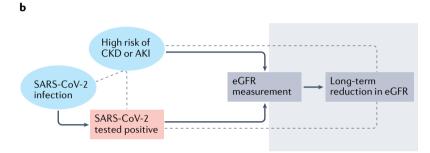
barriers than others (e.g. in-centre

had less access to ICU care

The proportion of patients who died in the weeks and months following SARS-CoV-2 infection is even more striking when considered in the context of other acute illnesses that are common among patients on dialysis. Prior to the emergence of COVID-19, approximately 8.5% of patients on dialysis hospitalized for cardiovascular disease died during hospitalization or within 30 days of discharge<sup>19</sup>, and 13% of patients hospitalized for infection died during the index hospitalization or within 30 days of discharge<sup>20</sup>.

The European Renal Association COVID-19 Database (ERACODA), a prospective voluntary registry of 98 centres, found that age, frailty, vascular cause of kidney disease, obesity and heart failure were associated with higher COVID-19-related mortality in patients on dialysis<sup>21</sup>. Notably, patients on dialysis who





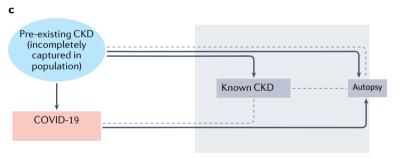


Fig. 1 | The effect of collider bias on COVID-19 epidemiology studies. Collider bias occurs when both the risk factor or exposure of interest and the factors on the pathway to the outcome of interest influence the mechanisms behind selection into a study sample population. This can result in biased associations between the exposure and outcome. a | Collider bias can occur in studies of the association between kidney transplantation (the risk factor, red box) and death (the outcome) in people hospitalized with COVID-19 (the sample population). Hospitalization is related to unmeasured COVID-19 severity (blue circle). By restricting the sample population to those who are hospitalized (grey box), collider bias may alter associations between kidney transplantation and death that cannot be generalized to the wider population (dotted lines), because the indications for hospitalization may differ between transplant recipients and other patient groups. Similar problems arise when investigating associations in populations admitted to intensive care. **b** | Collider bias can also occur when investigations of long-term reductions in estimated glomerular filtration rate (eGFR) following SARS-CoV-2 infection are restricted to those with available eGFR measurements and SARS-CoV-2 test results (grey box). In such instances, infection is only partially observed as a consequence of limited access to testing (in most cases early in the pandemic, based on disease severity). Serum creatinine testing is also more likely in those who are at risk of declining kidney function (for example, people with diabetes or cardiovascular disease, or those on certain drugs), or in those at risk of, or suspected to have acute kidney injury (AKI). Collider bias can induce and/or alter associations between the variables (indicated by dotted lines). c | Autopsy studies in patients who have died with COVID-19 are also at risk of collider bias. As only people who died after developing COVID-19 are selected (grey box), and because pre-existing chronic kidney disease (CKD) is a risk factor for severe COVID-19 and death more generally, collider bias can alter associations between COVID-19 and histological features of CKD at autopsy (dotted lines).

were undergoing assessment or on a waiting list for transplantation had an 81% lower mortality than those not on a waiting list because of the known selection of healthier patients on to kidney transplant waiting lists. Registry data from England and Wales up to June 2020 also show that Asian ethnicity and time on dialysis (>5 years) are associated with COVID-19-related mortality among patients on in-centre haemodialysis <sup>15</sup>.

#### Practice-related and care delivery-related factors

Concerns about the potential increased exposure of patients on dialysis to SARS-CoV-2 and their increased mortality risk prompted dialysis services worldwide to rapidly implement infection control measures. These policies were largely implemented in the absence of established evidence specifically relating to their effects on SARS-CoV-2 transmission, and outside the setting of prospective trials. Thus, while observational analyses of these policies can identify trends and associations, ideally, trials are needed to derive definitive causal conclusions as to which of the implemented processes are most effective in preventing SARS-CoV-2 transmission.

One audit of >5,700 patients undergoing haemodialysis in centres in London, UK, found that the wearing of facemasks by asymptomatic patients was associated with a 36% decreased hazard of hospital admission for COVID-19 (HR 0.64, 95% CI 0.44-0.93)22. Patients admitted to larger centres or centres with fewer side rooms to separate those with suspected or confirmed disease tended to show worse outcomes. By contrast, isolation strategies (which varied by unit) were not associated with admission for COVID-19, although statistical power was likely to have been too low to detect minor effects of these strategies. Some studies also identified high rates of infection among nursing staff, which would probably contribute to transmission to patients and limit the ability of the centres to deliver services safely<sup>6,9</sup>. Before the availability of vaccines, some centres reduced the weekly frequency of in-centre dialysis (for example, from three to two sessions per week) during outbreaks, to limit patients' risk of exposure at treatment centres and during transportation<sup>23</sup>. Whether such measures were beneficial is unknown; missed dialysis sessions were more common in low-income and in lower-income to middle-income countries<sup>24</sup>. The lack of dedicated transport for patients attending in-centre haemodialysis with confirmed or suspected SARS-CoV-2 infection also led to hospitalization to prevent co-transportation with other patients<sup>23</sup>; these occurrences will affect the conclusions of studies that assume hospitalization as a measure of COVID-19 severity.

COVID-19 testing is now more readily available than it was during the early stages of the pandemic. Many dialysis units now use regular asymptomatic surveillance testing to diagnose and isolate infected patients and minimize transmission to other patients. Thus, a greater number of patients identified as infected may be asymptomatic than in the early phases of the pandemic, when for the most-part, only symptomatic patients were tested.

### $\ensuremath{\mathsf{Box}}\,1\,|\,\ensuremath{\mathsf{The}}$ effects of COVID-19 on patients on dialysis and kidney transplant recipients

- A study of primary care electronic health records from England, UK, up to early May 2020, found that patients on dialysis and recipients of a solid organ transplant had a >3.5-fold increased risk of death from COVID-19 than individuals without a transplant or on dialysis<sup>60</sup>.
- Approximately 20% of patients on dialysis died within 1 month of SARS-CoV-2 infection — substantially higher than the risk of death associated with other infections or many cardiovascular events<sup>1,15,16</sup>.
- Excess mortality among patients on maintenance dialysis was nearly 30% higher relative to preceding 5-year trends during the initial height of the pandemic in the USA and the UK<sup>14,17</sup>.
- Meta-analyses have shown that more than one in five kidney transplant recipients die after infection with SARS-CoV-2. The risk of death varied by time since transplantation: nearly one in three patients transplanted in the 15 months before infection died, compared with roughly one in five who received a transplant 16–60 months previously<sup>65,66</sup>.
- Approximately nine in ten patients on maintenance dialysis demonstrated evidence of seroconversion after immunization with the BNT162b2 Pfizer and mRNA-1273 Moderna vaccines, but titres rapidly decreased in the ensuing months, suggesting the need for additional doses<sup>135</sup>.
- Kidney and other transplant recipients have more persistent viral shedding than
  patients who did not receive a transplant, which might result in higher PCR testing
  sensitivity relative to the general population<sup>29–32</sup>.

### **COVID-19** and kidney transplantation Estimating the incidence of COVID-19 in kidney transplant recipients

As for epidemiological studies in any other population, estimates of the incidence of SARS-CoV-2 infection in kidney transplant recipients depend on the availability of SARS-CoV-2 PCR testing. In early stages of the pandemic, such testing was often limited to hospitalized patients<sup>25-27</sup>. Nevertheless, a number of studies found a higher incidence of SARS-CoV-2 infection among kidney transplant recipients than in the general population<sup>10,28</sup>. The reasons for this higher incidence are unclear, but may have been due to high numbers of unavoidable health and social care interactions, susceptibility to infection as a consequence of immunosuppression, or greater access to testing owing to the higher risk of severe disease or as a result of established relationships with health-care providers 10,28. Analyses also suggest that viral shedding may persist for longer in transplant recipients following infection, which might yield higher sensitivity from PCR testing than in the general population<sup>29–32</sup> (BOX 1).

Despite the higher incidence of SARS-CoV-2 infection in transplant recipients than in the general population, regional and national registry studies from regions such as Colombia, England, Flanders, France and Wales have consistently shown that the incidence of SARS-CoV-2 infection among transplant recipients is lower than in patients on dialysis or those on a waiting list for kidney transplantation 10,25,33-35. This finding may potentially reflect the inability of many patients on dialysis to isolate given their need to attend thrice-weekly in-centre appointments and/or the outcomes of rigorous SARS-CoV-2 testing, which was implemented in many dialysis centres for infection control.

As for patients on dialysis, seroprevalence studies performed before the availability of COVID-19 vaccines

provided insights into the prevalence of SARS-CoV-2 infection among kidney transplant recipients. In a study of kidney transplant recipients in London, UK, from July 2020, only 3.9% of recipients tested positive by PCR; however, 10.4% tested positive for SARS-CoV-2 antibodies by serological screening36. Of note, serological surveys may actually underestimate the incidence of infection among transplant recipients since patients on immunosuppression may be less likely to seroconvert or experience more rapid waning of antibodies. A study from New York City, USA, in late July 2020 found that 20.3% of transplant recipients who had tested positive by PCR did not have detectable antibodies a median of 44 days after diagnosis; seropositivity without PCR-positivity was associated with younger age, an absence of diabetes mellitus and better graft function<sup>26</sup>. By combining the results of PCR and serology testing, the researchers found an overall prevalence of SARS-CoV-2 infection among transplant recipients of 23.4% — notably lower than the 33% seroprevalence estimated for the local general population in a governmental survey<sup>26</sup>. As described above, this difference may have been due to lower rates of seroconversion in asymptomatic or mildly symptomatic transplant recipients, more rapid waning of antibodies or a consequence of better adherence to social distancing and/or isolation guidance. It should be noted that serological surveys of transplant recipients may not be representative if some groups of patients were less likely to attend a clinic for testing than others (for example, those more cautious about the risk of transmission). It is also noteworthy that the available seroconversion studies have included few, if any, non-hospitalized kidney transplant recipients<sup>37–42</sup>.

### Mortality in kidney transplant recipients

Studies from early in the pandemic that generally underestimated the incidence of SARS-CoV-2 as a consequence of limited testing in patients with mild or asymptomatic disease may have conversely overestimated the rates of adverse outcomes, such as death. For example, a single-centre study of transplant recipients found that COVID-19-related mortality reduced from 32% to 15% by including cases that were identified through serology testing<sup>36</sup>. However, as seroconversion rates in non-hospitalized recipients are unknown, this rate may still be an overestimate<sup>37–42</sup>.

Barriers in access to testing and care, combined with our incomplete understanding of seroconversion rates, means that analyses of risk factors for death in transplant recipients must be scrutinized in terms of the population(s) represented by datasets (TABLE 1; Supplementary Table 1). The most consistently identified pre-existing risk factors for death in transplant recipients are age, diabetes, cardiovascular disease and receipt of a deceased donor organ<sup>16,26,27,36,43-47</sup>. Ethnic and socioeconomic inequalities in outcomes have also been found in some settings<sup>47</sup>. Some studies have found an association between reduced levels of immunosuppression therapy and mortality, but this association is likely to have been a result of confounding by indication (that is, that patients with more severe illness are likely to have their immunosuppression reduced).

## Viral shedding The release of viral particles from the respiratory tract, which may lead to infection

from the respiratory tract, which may lead to infection transmission and/or viral detection by PCR.

As the pandemic progressed, studies investigated whether mortality among transplant recipients is comparable to that in other populations after accounting for age and comorbidities. However, such studies may be susceptible to collider bias (FIG. 1a). As non-kidney solid organ transplants are relatively rare, some studies have investigated overall mortality outcomes across all solid organ recipients combined. Thus, despite the fact that kidney transplantation is overwhelmingly more common than transplantation of other solid organs, reports of mortality outcomes may be biased by better outcomes in liver recipients (the next most transplanted organ), potentially because liver transplant recipients typically have fewer cardiovascular comorbidities and less immunosuppression than kidney recipients<sup>28,35</sup>.

Outcomes among transplant recipients requiring intensive care are poor. However, a propensity-score matched comparison that matched kidney transplant recipients with non-transplant patients by age, comorbidities and medication profile across 68 intensive care units (ICUs) in the USA found a similar mortality of 40%<sup>48</sup>. This finding is in line with those of several observational studies that compared outcomes of kidney or solid organ transplant recipients with those of matched, non-transplant patients<sup>49-55</sup>. However, these findings should be interpreted with caution given the considerable risks of collider bias and residual confounding associated with restricting the study populations to those who received intensive care (TABLE 1). Some of these studies were also small, which limits the effectiveness of matching on covariables<sup>51,53</sup>. Diagnosis, clinical presentation, hospitalization and treatment thresholds may also be different for transplant recipients compared to the general population. For instance, some studies found that more transplant recipients than non-transplant patients had no oxygen requirements at admission<sup>52,56</sup>. This observation may in part reflect the fact that many transplant recipients were admitted for predominantly gastrointestinal symptoms and/or graft impairment as a result of COVID-19, which were associated with a more favourable prognosis<sup>57,58</sup>. One study found that 21% of patients in the general comparator group of patients with COVID-19 had a 'do not resuscitate' order, compared with 9% of transplant recipients with COVID-19, suggesting fundamental differences in the populations included in the study<sup>56</sup>. The comparable mortality outcomes in transplant recipients and in the general population may also, in part, reflect the beneficial effects of immunomodulatory therapies. In some studies, for example, transplant recipients were more likely than patients in the comparator group to receive tocilizumab52,53,56, which has since demonstrated benefits in reducing mortality in severe COVID-19 (REF.<sup>59</sup>). Similarly, many transplant recipients would have received prednisolone as part of their immunosuppression regimen; corticosteroids are now routinely used in patients hospitalized with COVID-19.

In contrast to the abovementioned studies that sampled hospitalized groups of patients, however, large studies in unselected populations have demonstrated poorer outcomes among transplant recipients than in the general population. A study of primary care EHRs

linked to death registry data from England from the beginning of the pandemic until early May 2020 found that organ recipient status was associated a 3.5-fold higher risk of death from COVID-19 compared with non-transplant status, after accounting for recorded comorbidities<sup>60</sup>. This finding is in line with registry data from Flanders, Italy and Sweden<sup>10,28,61</sup>. Similarly, a study of EHR data from the USA reported that transplant recipients had a nearly twofold higher risk of death than non-transplanted patients, after accounting for comorbidities<sup>62</sup>.

### Using mortality data to guide kidney transplantation during the pandemic

Of particular interest to clinicians and policymakers is whether transplant recipients are at a greater risk of death than those on transplant waiting lists, to inform whether transplantation activity should be resumed or continued, particularly given the higher absolute long-term risks of infection and COVID-19-related mortality among patients on in-centre haemodialysis<sup>11</sup>.

Again, it is essential to consider differential access to testing and care when making comparisons. A study from a hospital network in New York City, USA, found that patients on the transplant waiting list had a 3.6-fold greater odds of death than transplant recipients. Patients the transplant waiting list were also less likely to require oxygen during hospitalization, suggesting less-severe respiratory disease<sup>43</sup>. However, contradictory findings were reported by the ERACODA collaboration, which reported mortality of 5% among patients on the waiting list compared with 21% in transplant recipients and 25% in all patients on dialysis irrespective of waiting list status<sup>21</sup>. Similarly, a cohort study in England found mortality of 26% in transplant recipients compared with 10% in those on the waiting list<sup>35</sup>.

One important consideration when deciding whether to continue transplant programmes is the initial increased infection risk in the acute phase (weeks and months) after surgery. Thus, time since transplantation is an important factor that is often ignored by simple comparisons between patients on the waiting list and transplanted patients. Several studies found mortality of >30% among transplant recipients in the acute phase following transplantation. This high mortality may be a consequence of aggressive immunosuppression and/or more frequent health-care interactions in the early posttransplantation period<sup>21,44,57,63</sup>. In one large centre in London, a mortality of 24% was found among kidney recipients — including seropositive patients — in their first year after transplantation compared with just 7% among those on the waiting list45. By contrast, a study of several transplant centres across India found that only 15% of recipients transplanted during 2020 who developed COVID-19, died, although the mean age of the transplanted population was 39 years and 95% of transplants were from living donors<sup>64</sup>. A study from Italy found a negligible effect of time after transplantation with 24% mortality among solid organ transplant recipients within 4 months of transplantation and 27% among transplant recipients overall<sup>28</sup>. A meta-analysis showed mortality of 30% <15 months after transplantation and 20% for the period 16-60 months after transplantation among kidney transplant recipients during the COVID-19 pandemic<sup>65</sup>. In view of the generally high mortality associated with SARS-CoV-2 infection, local decision making as to whether transplantation programmes can proceed should be guided by high-quality local data on infection risks in the hospital and dialysis units. Transplant programmes may choose to continue, provided, for example, that transplant recipients can shield themselves in the community, that transplant recipients develop and maintain effective antibody responses after vaccination (despite induction immunosuppression). that effective antiviral treatment is available, and that absolute numbers of hospital-acquired infections can be minimized. However, these requirements will become increasingly difficult to ensure as restrictions are lifted, especially if more virulent strains of SARS-CoV-2 emerge.

### Critical illness in transplant recipients

Meta-analyses have shown that 25–29% of kidney transplant recipients with COVID-19 were admitted to an ICU<sup>65,66</sup>. However, access to critical care facilities varies between centres and regions, and some studies have therefore analysed composite outcomes of death or mechanical ventilation. One of these studies found no difference in the composite outcome of death or mechanical ventilation between solid organ transplant recipients and matched non-transplant patients<sup>50</sup>, whereas another reported a trend towards increased rates of the composite outcome in transplant recipients<sup>55</sup>. Studies from both the USA and the UK have found similar rates of mechanical ventilation between critically ill patients on the transplant waiting list and transplant recipients following SARS-CoV-2 infection <sup>43,63</sup>.

### Kidney graft-related outcomes

Kidney transplant recipients have baseline serum creatinine measurements available from routine consultations, and thus AKI can generally be detected from changes in serum creatinine level following admission with COVID-19. Available evidence suggests that AKI is more common in transplant recipients than in other patients hospitalized with COVID-19, with estimates as high as 75–83%<sup>30,67,68</sup>. One study from the USA found that solid organ transplant recipients hospitalized with COVID-19 were 3.5-times more likely to require dialysis than non-transplant patients<sup>55</sup>.

Early multicentre studies found varying rates of graft loss, from 4% in a French registry analysis<sup>46</sup> to 12% in centres in London, UK<sup>63</sup>. Registries that are linked to COVID-19 testing data may be able to provide updated reports in the context of more widespread testing but may not have sufficient detail about disease severity and management to enable investigation of possible pathophysiological processes or determine whether outcomes in transplant recipients are different from those in non-transplanted patients.

Although the mechanisms underlying graft loss following SARS-CoV-2 infection are unclear, potential mechanisms include the exacerbation of COVID-19-related microthrombotic complications by calcineurin

inhibitors. Alternatively, reduction in immunosuppression following infection might lead to allosensitization and acute rejection. A large single-centre study from São Paulo, Brazil, found that 19% of transplant recipients who were alive 28 days after the onset of COVID-19 symptoms had persistent graft impairment; of the 30% who underwent biopsy, acute rejection was seen in 35% and tubular injury was present in all<sup>30</sup>. A single-centre study from New York City of 18 kidney transplant recipients with SARS-CoV-2 infection who underwent graft biopsy before May 2021 found vascular rejection in 33% in the first month after SARS-CoV-2 positivity<sup>69</sup>. Single-centre reports also exist of cytomegalovirus and BK polyomavirus activation after COVID-19 (REFS<sup>70,71</sup>), and of patients developing new donor-specific antibodies<sup>72</sup>. Of note, observational, single-centre studies are susceptible to selection bias from variation in clinical decision making and the availability and timing of investigations such as biopsies and anti-HLA testing, and must therefore be interpreted with caution.

### COVID-19 and chronic kidney disease Estimating the incidence of COVID-19 among patients with CKD

Estimating the incidence of COVID-19 in patients with CKD who are not on KRT is particularly difficult (TABLE 1; Supplementary Table 1). Throughout the pandemic, access to SARS-CoV-2 testing often depended on temporal and geographical factors, disease severity and/or perceived risk. Patients in some settings — for example, those in nursing homes — may have had access to universal screening, whereas testing in others may have been more limited73. In addition, the 'denominator population' — that is, the total number of individuals with CKD within a given population — is typically unknown since CKD is often under-reported as a consequence of incomplete coding and under-diagnosed in groups perceived to be at lower risk (for example, younger individuals and those without diabetes or cardiovascular disease), and albuminuria is infrequently measured in the general practice setting<sup>74</sup>. Patients with diagnosed CKD may have been more likely than those with undiagnosed CKD to be tested for SARS-CoV-2 infection due to their more frequent interactions with health services, resulting in collider bias.

### Estimates of COVID-19-related mortality in patients with CKD

Validation studies from the UK have demonstrated that the prevalence of estimated glomerular filtration rate (eGFR) <60 ml/min/1.73 m² can be reliably estimated from UK primary care EHRs<sup>75</sup>. An analysis of such data from over 17 million adults in England up to early May 2020 found that individuals with eGFR in the range 30–60 ml/min/1.73 m² had a 33% higher risk of COVID-19-related death than those with no documented eGFR <60 ml/min/1.73 m², even after taking into account all recorded comorbidities, whereas the risk of COVID-19-related death in those with eGFR <30 ml/min/1.73 m² was more than double that in individuals with normal kidney function (BOX 2). This higher risk of COVID-19-related death among patients with CKD may in part

### Box 2 | The effects of COVID-19 on CKD and AKI

- In individuals diagnosed with COVID-19, the risk of death among those with CKD stage 4–5 was 2.5-fold greater than that of individuals with normal kidney function or with mild CKD (stage  $1-2)^{60}$ .
- In a large prospective cohort study from the UK, 31.5% of hospitalized patients developed AKI. AKI risk was associated with pre-existing CKD, black ethnicity and tachypnoea at presentation. Mortality correlated with AKI severity and 2.6% of hospitalized patients required KRT<sup>79</sup>.
- The initial weeks of the pandemic in early 2020 were associated with a decrease in the total number of individuals registered with new onset chronic kidney failure requiring KRT. In April 2020 in the USA, there was a 25% decrease in the incidence of kidney failure relative to historical projections; by 1 year after the start of the pandemic, there were about 3.5% fewer KRT patients in the USA than would have been projected Possible explanations include high mortality of patients with pre-dialysis CKD from COVID-19.
- Among military veterans in the USA, 30-day survivors of COVID-19 had a 1.6-fold higher risk of a 50% decline in eGFR and a nearly threefold increased risk of developing kidney failure than individuals who were not infected<sup>101</sup>.

AKI, acute kidney injury; CKD, chronic kidney disease; eGFR, estimated glomerular filtration rate; KRT, kidney replacement therapy.

reflect the unprecedented demand for critical care resources during the early peak of the pandemic in England, which resulted in reduced access for patients who were considered to be at highest risk of poor outcomes<sup>76</sup>.

A separate study of health data from >54 million individuals in the National Health Service Digital Trusted Research Environment database in England — currently published in preprint form — identified over 46,000 excess deaths between March 2020 and March 2021 among the >2.3 million individuals identified with CKD (mostly CKD stages 3–5). Of note, although some of these deaths will have been driven by COVID-19, this high mortality also reflects the multi-morbidity and poor outcomes associated with CKD itself<sup>77</sup>.

### Critical illness in patients with CKD

The Global Burden of Disease collaboration identified CKD as the most prevalent risk factor for severe COVID-19 requiring critical care<sup>78</sup>. The authors calculated that removing CKD would decrease the proportion of the global population at increased risk of severe COVID-19 from 22% to 17%<sup>78</sup>. This calculation assumed that CKD occurs in isolation from other conditions; however, in high-income settings, the presence of CKD is an indicator condition of multi-morbidity<sup>77</sup>. Therefore, this estimate may be an underestimate, since the availability of critical care services for multi-morbid patients varies between clinical settings and over time, particularly when health services are stretched.

### Kidney complications in patients with CKD

AKI is readily detectable in hospitalized patients for whom baseline serum creatinine measurements from before admission are available. A large, prospective cohort study by the International Severe Acute Respiratory and Emerging Infections Consortium (ISARIC) in patients admitted with COVID-19 to >250 hospitals in the UK up to early December 2020 found that patients with underlying CKD were 66% more likely

to develop AKI and had a greater than threefold higher risk of requiring acute KRT<sup>79</sup> (BOX 2). To our knowledge, no studies have evaluated the risk of requiring chronic KRT in patients with CKD after surviving COVID-19.

### Arterial and venous thromboembolic complications in patients with CKD

COVID-19 can induce a prothrombotic state, which can increase the risk of venous and arterial thromboembolic events80. Conflicting findings exist as to whether individuals with CKD are at greater risk of thromboembolic events after SARS-CoV-2 infection than those with normal kidney function. The interpretation of available studies is complicated by the fact that many studies do not adequately define or document CKD at baseline, or lack systematic follow-up. A prospective, multicentre registry of over 4,900 hospitalized patients with COVID-19 from New York City, USA, found that CKD was associated with a greater than twofold higher risk of a composite outcome of venous or arterial thromboembolic events and all-cause mortality within 90 days of hospital discharge81. However, that study did not provide a definition of CKD. Another study that used well-accepted definitions of CKD to identify patients with pre-existing CKD before critical care admission found that the occurrence of thromboembolic events among critically ill patients with COVID-19 was similar regardless of CKD status82.

### COVID-19 and kidney outcomes in the general population

### Acute kidney injury

The development of AKI in patients with COVID-19 is strongly associated with an increased risk of adverse short-term outcomes, such as in-hospital death<sup>79</sup>. Variations in rates of AKI reported may reflect different national and regional policies regarding criteria for hospital admission, which in turn may complicate comparisons between different settings. For example, reports indicate that patients in China were hospitalized with fewer comorbidities and less-severe disease than patients in other regions. This lower threshold for hospital admission may underlie the very low incidence of AKI found in early studies from China83. In contrast, an analysis of >40,000 patients who were hospitalized with COVID-19 in the UK ISARIC study found that 31.5% developed AKI. Development of AKI was associated with pre-existing CKD, black ethnicity and tachypnoea at presentation, and AKI severity was correlated with higher mortality<sup>79</sup>. Whether prevention or treatment of AKI can reduce the risk of adverse outcomes, such as in-hospital mortality or long-term kidney complications among patients with COVID-19, is unknown. Until such data are available, AKI could be considered a 'prognostic factor' that reflects COVID-19 disease severity, underlying frailty, or reduced renal reserve.

Many published studies have not provided clear definitions or staging of AKI, nor provided information on renal recovery or follow-up. The distinction between AKI in patients with normal baseline kidney function and AKI superimposed on pre-existing CKD is also rarely made. Urine output is reported infrequently

#### Sensitivity analyses

Analyses exploring varying statistical assumptions and data definitions (if unsure which is best) to confirm the robustness of study findings. If analysis results change drastically under different analysis assumptions, biases may contribute to study findings as opposed to there being an association in truth.

outside critical care settings, which may contribute to an underestimate of AKI incidence. A paucity of baseline serum creatinine measurements prior to hospital admission also impedes the identification of patients with undiagnosed, pre-existing CKD. This lack of information complicates the reliable diagnosis and staging of AKI. The assignment of a presumed eGFR of 75 ml/min/1.73 m<sup>2</sup> for patients without baseline serum creatinine measurements is problematic, in that it may lead to overestimates of AKI incidence among some individuals (for example, older patients who are likely to have baseline eGFR <75 ml/min/1.73 m<sup>2</sup>)<sup>84</sup>. Similarly, the use of the lowest serum creatinine value during hospitalization as the baseline with which to guide retrospective AKI diagnosis at admission may underestimate AKI incidence. Such inaccuracies will consequently distort our understanding of the association between AKI and adverse outcomes, such as in-hospital mortality and long-term kidney failure. Sensitivity analyses can be used to examine whether different definitions and assumptions change study conclusions.

Impacts of AKI on health-care systems. As levels of COVID-19 surged, health systems in various regions faced an increased demand for KRT as a consequence of the high numbers of patients developing AKI. ISARIC reported that 2.6% of patients hospitalized with COVID-19 in the UK required KRT<sup>79</sup> whereas an analysis of registry data up to August 2020 found that KRT was required in up to 27% of patients admitted to ICUs in the UK85. In some settings, this high incidence of AKI led to unforeseen shortages of dialysis machines and/or consumables86. Supply chains were in some instances compromised as a consequence of lockdowns or workforce challenges, further threatening local shortages87. More recent studies have found a reduced need for acute KRT among hospitalized patients with COVID-19, which may be due to improvements in fluid management or the use of drugs such as dexamethasone85.

In some resource-limited settings, the reported high mortality of ventilated patients with severe AKI<sup>76</sup> led local physicians to consider initiation of KRT almost futile<sup>23,88</sup>. It has been suggested that the use of a wider variety of modalities (for example, continuous venovenous haemofiltration, prolonged intermittent KRT, sustained low-efficiency dialysis and acute peritoneal dialysis) may enable a greater number of patients to receive KRT89. In addition, strategies such as moderating treatment intensity to conserve fluids, lowering blood flow rate to reduce citrate consumption, or using higher clearance rates to treat more patients per machine could form part of a local response<sup>87</sup>. However, in the absence of clinical trials or meticulous data capture in large, multicentre registries, it is difficult to evaluate the outcomes of these strategies.

In some settings, the number of patients in whom chronic dialysis was initiated decreased as infection rates increased. This inverse relationship may reflect mortality as a competing outcome, whereby many patients with CKD who, without COVID-19, would have progressed to chronic dialysis, instead died. There were also reduced rates of transplantation, which contributed to delayed

haemodialysis initiation in some settings due to a lack of capacity 90,91.

### De novo immune-mediated kidney disease

A number of case reports suggest that COVID-19 may induce de novo immune-mediated kidney diseases such as IgA nephropathy92, vasculitis93, membranous nephropathy94, minimal change disease95 and collapsing focal segmental glomerulosclerosis 96,97. Larger studies are needed to quantify the extent of these associations by comparing, for example, the incidence of specific kidney diseases before and after the pandemic within histopathology registries98. However, patients may be less likely to undergo biopsy during the COVID-19 pandemic and therefore data may be unrepresentative. Another approach would be to compare individuals with and without COVID-19 for the development of de novo immune-mediated kidney disease within a comparative cohort study with protocolized follow-up. Routine data will be problematic because patients with COVID-19 may be more likely to receive follow-up investigations (for example, for serum creatinine, urinary abnormalities and blood pressure) and, therefore, more likely to be diagnosed than those without COVID-19, leading to overestimation of the association.

### Long-term kidney outcomes

A growing number of studies are now focusing on post-COVID-19 complications, including kidney diseases<sup>99,100</sup>. The investigation of rare outcomes, such as kidney failure requires very large cohorts; however, analyses of data from well-established health-care systems that fund KRT for all patients who need it can use initiation of KRT as an outcome proxy defining kidney failure. Of note, however, in many patients with CKD stage 5 (eGFR <15 ml/min/1.73 m²), dialysis may not be initiated until kidney function worsens substantially and/or symptoms appear.

One US cohort study of >89,000 military veterans who had survived COVID-19 and >1.6 million non-infected military veteran controls found that individuals who had survived COVID-19 exhibited an increased risk of all studied outcomes (incidence of AKI, eGFR decline, kidney failure and major adverse kidney events (defined as a decline in eGFR of ≥50%, kidney failure or all-cause mortality)), irrespective of whether they had been hospitalized or admitted to the ICU<sup>101</sup>. Overall, the rate of kidney failure was almost threefold higher in survivors than in individuals without known infection. Assessment of eGFR decline can be affected by collider bias (FIG. 1b), since only those with an available eGFR assessment are included in such a study. Serum creatinine testing is most likely to be offered to individuals who are at risk of CKD (for example, those with diabetes or cardiovascular disease), thereby distorting the strength of any association between SARS-CoV-2 infection and kidney outcomes.

In a separate cohort study from Hamburg, Germany, median eGFR was found to be similar among 443 adults who had survived SARS-CoV-2 infection (over 90% of whom had not been hospitalized) and in matched population-based controls recruited before the pandemic

(median eGFR 108.9 versus 109.1 ml/min/1.73 m<sup>2</sup>, respectively)102. However, the findings may also have been affected by selection bias, as some participants were recruited through public announcements. Outcomes such as decline in kidney function (for example, a decrease in eGFR below a valid threshold value such as  $<60 \text{ ml/min}/1.73 \text{ m}^2 \text{ or } <30 \text{ ml/min}/1.73 \text{ m}^2)^{103}$ , time taken to reach percentage decline in eGFR<sup>101,104</sup>, and/or longitudinal eGFR decline using linear mixed models<sup>105</sup> are likely to be more informative than median residual eGFR in evaluating kidney outcomes following infection. Of note, serum creatinine-based GFR estimates may overestimate true GFR in survivors of severe COVID-19, particularly in those with a prolonged, severe or complex course of illness owing to changes in body composition; thus the true long-term impact of COVID-19 on kidney function loss in such individuals may in turn be underestimated.

The pathophysiological processes by which COVID-19 might lead to a decline in kidney function remain unknown. Autopsy studies of patients who have died with COVID-19 suggest that SARS-CoV-2 may directly infect the kidney, causing upregulation of profibrotic cell signalling pathways, although large immunohistochemistry series of kidney biopsies have not found evidence of SARS-CoV-2 expression 98,106. However, these findings may be affected by collider bias, since pre-existing CKD is a risk factor for death from severe COVID-19, which in turn may distort associations between COVID-19 and the histological features of CKD at autopsy. Moreover, it is difficult to establish temporality — that is, whether histological or molecular changes seen on autopsy are present because people with CKD are more likely to die from COVID-19 (that is, that the histological changes preceded COVID-19) or whether the changes were caused by COVID-19 (FIG. 1c).

### COVID-19 pharmacoepidemiology in kidney disease

### Safety of existing drugs in COVID-19

Early in the pandemic, potential safety concerns were raised about the use of angiotensin-converting enzyme (ACE) inhibitors, which are considered a standard of care for many patients with hypertension, CKD, ischaemic heart disease and heart failure. These concerns arose from the finding that SARS-CoV-2 enters cells via the functional receptor, ACE2 (REF. 107), and some suggestions that ACE2 expression might be upregulated by ACE inhibitors<sup>108</sup>. However, observational studies from Lombardy, Italy<sup>109</sup>, New York City, USA<sup>110</sup>, and other regions111-113, have consistently suggested that no association exists between ACE inhibitor use and the incidence and/or progression of COVID-19. This finding has since been confirmed by two randomized controlled trials that demonstrated no difference in COVID-19 outcomes, such as COVID-19 progression and death, among hospitalized patients, regardless of whether they continued or discontinued ACE inhibitor treatment114,115.

Clinical trials are imperfect vehicles for detecting rare outcomes. Trial data can be complemented by analyses of administrative databases, which can provide rapid insights into persistent safety concerns about commonly used drugs, such as ACE inhibitors<sup>109–113</sup> and non-steroidal anti-inflammatory drugs<sup>116,117</sup>, in a real-world setting. However, caution is needed in such analyses to appropriately address confounding by indication. For example, patients with and without an ACE inhibitor prescription are likely to be fundamentally different in terms of the existence of comorbidities, such as hypertension, CKD and heart failure. To reduce the influence of confounding by indication, an active comparator study design (for example, one that compares patients with hypertension on ACE inhibitors with similar patients on other antihypertensives) may be a more rigorous analytical approach.

### Anti-COVID-19 therapies

The Randomized Evaluation of COVID-19 Therapy (RECOVERY) trial demonstrated benefits of dexamethasone, tocilizumab and baricitinib in reducing mortality in hospitalized patients with COVID-19 (REFS<sup>59,118,119</sup>). The study also found no benefit of other therapies that were used widely in patients with kidney disease early in the pandemic<sup>120</sup>, including lopinavir-ritonavir, hydroxychloroquine and azithromycin<sup>121-123</sup>. Dexamethasone reduced the requirement for KRT by 39%118, and tocilizumab by 28%<sup>59</sup>. The RECOVERY trial is ongoing and will continue to investigate the effects of repurposed therapies. To date it has recruited >45,000 participants, but although the trial includes individuals with preexisting kidney diseases, subgroup analyses have not been reported and would probably be underpowered. Of note, participants can be considered unsuitable for randomization to specific therapies, which may affect generalizability. For example, 28% of recruited participants with eGFR <30 ml/min/1.73 m<sup>2</sup> and a third of people with diabetes were considered unsuitable for randomization to dexamethasone<sup>118</sup>. Although the RECOVERY trial can serve as a blueprint for an approach to rapidly determine effective therapies in future pandemics and other clinical settings, real-world analyses of inpatient prescription data are also required to support safety and efficacy in subgroups, such as patients with kidney disease. Such pharmacoepidemiology requires specific methodological considerations to generate valid and reliable evidence, such as active comparator study designs (to minimize confounding by indication) and valid definitions of outcomes 124.

An accumulating body of evidence showing impaired vaccine responses among patients on dialysis and kidney transplant recipients highlights the urgent need for studies to evaluate the ability of novel antibody and antiviral therapies to reduce COVID-19 disease severity in these high-risk groups<sup>125</sup>. The SARS-CoV-2 monoclonal antibody sotrovimab markedly reduced hospitalization and death among high-risk, nonhospitalized patients with COVID-19. Although the trial included CKD in its definition of 'high-risk', patients with eGFR <60 ml/min/1.73 m<sup>2</sup> comprised <1% of participants126. The RECOVERY trial found that combination casirivimab-imdevimab monoclonal antibody therapy reduced mortality in seronegative patients hospitalized with COVID-19; participants included those on dialysis and kidney transplant recipients<sup>127</sup>.

Linear mixed models
Statistical methodology that
takes account within-person
correlations of repeated
continuous measurements
taken in the same patient.

### Box 3 | Possible directions for future research

- Quantifying the long-term impacts of COVID-19 on the development and progression of CKD should be a public health priority.
- Pharmacological and non-pharmacological clinical trials are required in patients
  who developed AKI following SARS-CoV-2 infection (and as a result of other causes)
  to identify approaches to minimize the risk of adverse outcomes, including the
  development of CKD, kidney failure, heart failure and thrombotic events.
- The risk of SARS-CoV-2 reinfection, and in particular the role of vaccination (including additional and booster doses) in reducing the risk of severe illness in patients with kidney disease, requires greater understanding.
- The effects of 'long COVID' in patients on dialysis and kidney transplant recipients should be studied, particularly in terms of whether this phenomenon represents an additional source of morbidity in a population with an already high comorbidity burden.
- The safety and efficacy of emerging drugs for COVID-19 (for example, antibody therapies) should be evaluated specifically in patients with kidney disease. Patients with kidney disease (for example, transplant recipients) may potentially be amongst those to benefit most from early intervention to reduce infection severity, given that vaccine responses may be impaired and mortality high in this population.
- Research is needed to establish whether drugs such as SGLT2 inhibitors, for example, are acutely renoprotective in the setting of COVID-19-related AKI.
- Systematic multicentre registries of patients with intrinsic kidney diseases within
  well-defined catchment populations with capacity for linkage to data on infection
  and vaccination should be established to enable rapid assessment of the ability of
  infections and vaccines to induce de novo or reactivation of kidney disease.

AKI, acute kidney injury; CKD, chronic kidney disease

A living systematic review and network meta-analysis (including 47 randomized controlled trials published up to 21 July 2021) concluded that casirivimab—imdevimab and some other antibody therapies may reduce hospitalization in patients with non-severe COVID-19, whereas convalescent plasma, intravenous immunoglobulin and other antibody and cellular therapies are unlikely to provide meaningful benefit, although most of the studies included in the analysis did not seem to include patients with kidney diseases<sup>128</sup>.

### **COVID-19 vaccines**

A number of studies have examined the efficacy and safety of COVID-19 vaccines in patients with kidney diseases - including those on dialysis and kidney transplant recipients 129,130. The Renal Patients COVID-19 Vaccination Immune Response (RECOVAC IR) study, which includes patients with CKD not on dialysis, is ongoing<sup>131</sup>. The available evidence indicates that kidney transplant recipients and patients on dialysis have an impaired response to vaccines compared with that in the general population 125,132,133. A prospective study found that patients on dialysis exhibited higher antibody development rates than kidney transplant recipients  $(>95\% \text{ versus } 42\%)^{134}$ . In a study of over 9,000 patients on dialysis, 87% and 96% of patients had developed a seroresponse to the BNT162b2 Pfizer and mRNA-1273 Moderna mRNA vaccines, respectively, but only 37% had developed a seroresponse to Ad26.COV2.S Janssen adenoviral vector vaccine 14-74 days after completion of the vaccination schedule<sup>135</sup>. However, the longer-term follow-up demonstrated that antibody responses to the mRNA vaccines declined within 6 months<sup>136</sup>. These findings and others prompted policy changes to increase

the routine vaccination series to include three primary doses in these patients.

A more recent study found that a third dose of BNT162b2 Pfizer vaccine improved humoral immune responses in kidney transplant recipients<sup>137</sup>; however, the effectiveness of this approach on clinical outcomes such as death and hospitalization remains unknown. An analysis of national transplant registry data from England found that while fewer deaths occurred among transplant recipients vaccinated with the ChAdOx1-S Oxford-AstraZeneca vaccine than among unvaccinated transplant recipients following SARS-CoV-2 infection, there was no survival benefit associated with the BNT162b2 Pfizer vaccine<sup>138</sup>. However, this apparent lack of benefit from the BNT162b2 Pfizer vaccine might have been due to the result of residual confounding due to comorbidities or age (which was classified, somewhat crudely, as 16-49 or >50 years) if those considered higher risk were more likely to receive BNT162b2 Pfizer in the earliest stages of the vaccine rollout.

Some case reports suggest that COVID-19 vaccines may also induce de novo or reactivation of intrinsic kidney diseases<sup>139</sup>. Large studies comparing patients with and without vaccination are needed to confirm these signals at the population level. However, in countries with high rates of vaccine uptake — particularly in those in which populations were prioritized for vaccination based on clinical risk — the pool from which to draw from in the comparison group may be very small, and patients with and without vaccination may be systematically different in terms of their health status and behaviours.

### **Conclusions and future directions**

The global science community should be praised for the speed at which epidemiological studies contributed to clinical decision making for the benefit of patients with kidney disease; however, the pandemic also provides an opportunity to further improve how epidemiological research can serve the public health good. We have highlighted how barriers to health care during the pandemic can contribute to biased epidemiological data. These biases can be addressed by adequate study design, but careful planning and thought as to the most important research questions in the context of a fast-moving pandemic are needed in order to have study designs and data collection tools at the ready to meet future challenges.

Despite many advances in our understanding of COVID-19 and its interactions with comorbidities such as kidney disease, a number of questions remain unanswered (BOX 3; TABLE 2). Quantifying the long-term impacts of the effects of COVID-19 on the kidney on the development and progression of CKD is a public health priority, as is the identification of approaches to improve outcomes in patients with kidney disease. EHRs have great potential to identify changes in disease epidemiology as the pandemic progresses, and to enable the rapid evaluation of the safety and efficacy of therapies in real-world settings. However, for EHR systems to be useful, nephrology researchers must understand the extent to which their patients' data are 'captured' in local and national databases. Kidney disease registries

Table 2 | Addressing challenges in conducting epidemiological research in populations with kidney disease

Challenges	Potential solutions
Unrepresentative denominator populations	Representative registries of patients with CKD, AKI and on KRT.
	In settings where legislation prevents analysis of data without consent, ensure prospective consent of patients for inclusion in follow-up studies and trials
Incomplete capture of outcomes	Work with health systems to prospectively capture routine clinical care and outcomes in registry populations
Health policy changes without sufficient evidence	Create trial protocols for protective measures taken and/or treatments that can be implemented at short notice
Small samples sizes	Share protocols for case definitions across international registries to enable adequately powered and more representative studies in rare disease populations
Data not generalizable to low- resource settings with different at-risk population profiles	To aid local policy makers, registries should be built globally and not just in high-income settings

AKI, acute kidney injury; CKD, chronic kidney disease; KRT, kidney replacement therapy.

must be readily integrated with EHR systems and be able to receive real-time data to monitor outbreaks and help plan local infection control processes.

Unfortunately, COVID-19 will not be the last infectious disease pandemic. Nephrology and public health communities need to work together to establish protocols for future pandemics, ideally relying on findings from representative patient populations. The UK ISARIC study is a good example of a secondary care prospective study that was rapidly implemented to accumulate data from hospitals across the UK, having been designed several years earlier in anticipation of a pandemic<sup>79</sup>.

Collider bias — a constant threat to public health reporting efforts — can be avoided only by breaking down barriers to care and the associated documentation of health needs. For example, using defined cohorts of pre-consented and engaged patients, there is no reason why technological advancements cannot be developed

to enable gathering of symptoms data in real time, particularly in patients who are self-isolating.

Protocols should be in place, including randomized components, to evaluate the efficacy of centre-level interventions where clinical equipoise exists. Such randomized trials are the gold standard with which to assess the causality of interventions; for example, whether a temporary reduction to twice-weekly dialysis or cessation of transplantation programmes reduces exposure at infection epicentres and whether these interventions are associated with overall benefit.

In patients with relatively rare conditions who are typically excluded from clinical trials, such as patients on KRT or those with rare immune-mediated kidney diseases, global trial protocols should be in place to allow participating disease registries to rapidly implement adequately powered treatment and vaccination trials when called upon. Registries with systematic, real-time capture of incident and relapsed intrinsic kidney disease, with linkages to other records in defined-catchment populations, are required to keep track of rare complications of infections and treatments.

A stark imbalance is apparent between economically advanced regions and those with fewer resources both in their capacity to conduct studies using comprehensive EHR sources and in their universal provision of care for kidney diseases140. The pandemic has highlighted the importance of economic empowerment and of targeted approaches to deliver equitable and sustainable global solutions, and this principle should extend to the infrastructure needed to conduct large-scale studies at speed. Lack of access to appropriate tools for local data collection, surveillance, planning and development may lead to unexpected and costly consequences when it comes to the provision of care and may lead to premature loss of life. Future pandemics will doubtless occur, and may arise in low-income settings due to factors such as ongoing biodiversity loss and the increasing urbanization that characterizes even the poorest countries. Timely high-quality epidemiological research holds the potential to save countless lives worldwide when future pandemics arise.

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