# Benchmarking the In Vitro Activities of Moxifloxacin and Comparator Agents against Recent Respiratory Isolates from 377 Medical Centers throughout the United States

MARK E. JONES,<sup>1</sup>\* ANGELA M. STAPLES,<sup>2</sup> IAN CRITCHLEY,<sup>2</sup> CLYDE THORNSBERRY,<sup>2</sup> PAUL HEINZE,<sup>2</sup> HOWARD D. ENGLER,<sup>2</sup> and DANIEL F. SAHM<sup>2</sup>

MRL, 3554XD Utrecht, The Netherlands,<sup>1</sup> and Herndon, Virginia 20171<sup>2</sup>

Received 8 March 2000/Returned for modification 9 May 2000/Accepted 28 June 2000

To benchmark the activity of moxifloxacin (a newer fluoroquinolone), a U.S. study comprising 16,141 contemporary isolates of *Streptococcus pneumoniae* (5,640), *Haemophilus influenzae* (6,583), and *Moraxella catarrhalis* (3,648) referred from 377 institutions during 1998 is described. For *S. pneumoniae* the modal MIC and MIC at which 90% of the isolates were inhibited (MIC<sub>90</sub>) for moxifloxacin were 0.12 and 0.25 µg/ml, respectively, independent of susceptibility to other drug classes, geography, or site of infection. Eleven isolates were intermediate or resistant to levofloxacin and grepafloxacin; of these isolates, 1 remained susceptible to sparfloxacin, 2 remained susceptible to moxifloxacin, and 4 remained susceptible to trovafloxacin. All 11 isolates possessed classic mutations in *gyrA* and/or *parC* known to confer reduced susceptibility to fluoro-quinolone-resistant clone were identified by pulsed-field gel electrophoresis. For moxifloxacin and trovafloxacin, at least 87% of isolates demonstrated MICs ≥3 twofold concentrations below the susceptibility breakpoints, in contrast to no more than 15% for levofloxacin, grepafloxacin. The modal MIC and MIC<sub>90</sub> for *M. catarrhalis* (both 0.06 µg/ml) and for *H. influenzae* (both 0.03 µg/ml) were independent of β-lactamase production. These data demonstrate the in vitro activity of moxifloxacin and establish a baseline for future studies.

In recent years, increasing antibiotic resistance among bacteria causing infections within both the hospital and community environments has severely compromised our ability to successfully treat patients empirically. Perhaps nowhere is this more apparent than with patients presenting with communityacquired respiratory tract infections (CA-RTI), in which Streptococcus pneumoniae, Moraxella catarrhalis, and Haemophilus influenzae are common pathogens (6). The emergence and dissemination of penicillin-resistant pneumococci are now global phenomena (1, 4, 14, 22, 36) and continue to increase. Compounding the problem is the tendency for organisms to also be refractory to some cephalosporins and macrolides (11, 42). Similarly, resistance caused by the widespread acquisition of plasmid-encoded BRO1 and/or BRO2 β-lactamase in M. catarrhalis and of TEM-1 enzyme in H. influenzae (13, 35) has effectively removed ampicillin and amoxicillin used alone as therapeutic choices for B-lactamase-producing isolates, neither being stable in the presence of those enzymes. Together, these factors have created a need for alternative oral candidate drugs for use as empiric therapies for patients with CA-RTI.

Recently, the further evolution of the fluoroquinolone class of drugs has resulted in a number of new compounds with an expanded spectrum of activity compared with earlier compounds such as ciprofloxacin and ofloxacin, most significantly against *S. pneumoniae* and other gram-positive pathogens. Several previous studies have demonstrated that some new fluoroquinolone compounds, such as sparfloxacin and levofloxacin, have better in vitro activity against *S. pneumoniae*, *M. catarrhalis*, and *H. influenzae* than previous fluoroquinolones (3, 34, 35, 44) with a very low prevalence of resistance. In addition, previous reports have suggested that the newer compounds are mostly unaffected by decreased susceptibility to  $\beta$ -lactam compounds, even those with elevated penicillin MICs of  $\geq 1 \mu g/ml$  (35, 42, 44).

Moxifloxacin is a new 8-methoxyquinolone shown to be active against a considerable spectrum of pathogens (23, 39, 46). Although a previous U.S. study has demonstrated the activity of moxifloxacin against pathogens associated with CA-RTI (7), the surveillance study described here is the first extensive U.S. multisite study designed to benchmark the activity of moxifloxacin against clinical isolates of *S. pneumoniae*, *H. influenzae*, and *M. catarrhalis* prior to or concomitant with the release of the drug into clinical use. Since the medical community has great concern about antimicrobial resistance, the comprehensive data set derived through this study will permit concise tracking of any future changes in susceptibility to moxifloxacin.

#### MATERIALS AND METHODS

**Organism collection.** During the winter period 1997 to 1998, isolates of *S. pneumoniae*, *H. influenzae*, and *M. catarrhalis* were collected from 377 participant hospital laboratories distributed throughout the nine Centers for Disease Control and Prevention-designated regions of the United States. From each laboratory, one isolate per patient, accompanied by strain-specific patient data, was referred for study. Isolates were collected from clinical samples derived from various upper and lower respiratory tract sites, blood, ears, and eyes. Following shipment to the MRL central testing laboratory, each isolate was subcultured onto blood agar (or chocolate agar for *H. influenzae*) and reidentified using standard methods (5). Only pure culture isolates were included in the final study and subcultured for immediate susceptibility testing prior to banking at  $-70^{\circ}$ C.

Antibiotic susceptibility testing. All isolates were tested for susceptibility to amoxicillin-clavulanate, ceftriaxone, cefuroxime, clarithromycin, azithromycin, erythromycin, trimethoprim-sulfamethoxazole (SXT), trovafloxacin, grepafloxacin, levofloxacin, sparfloxacin, and moxifloxacin using drug concentrations extending at least 1 twofold concentration above and below the breakpoints used in this study. In addition, all *S. pneumoniae* isolates were tested for susceptibility to penicillin and all *H. influenzae* and *M. catarrhalis* isolates were tested for susceptibility to ampicillin. For *S. pneumoniae* and *H. influenzae*, antibiotic susceptibility testing using broth microdilution and breakpoint interpretation was

<sup>\*</sup> Corresponding author. Mailing address: MRL, Den Brielstraat 11, 3554XD Utrecht, The Netherlands. Phone: 31 30 265 1794. Fax: 31 30 265 1784. E-mail: mjones@thetsn.com.

conducted according to the recommendations of the National Committee for Clinical Laboratory Standards (NCCLS) (26), with the exception of moxifloxacin, for which no NCCLS breakpoints exist. For moxifloxacin, U.S. Food and Drug Administration (FDA) breakpoints were used to interpret data for *S. pneumoniae* (susceptible,  $\leq 1 \mu g/m$ ]; intermediate,  $2 \mu g/m$ ]; resistant,  $\geq 4 \mu g/m$ ]. For *M. catarrhalis* NCCLS standards for broth microdilution susceptibility testing are not defined by the NCCLS. *S. pneumoniae* ATCC 49619 and *H. influenzae* ATCC 49247 were used as controls throughout. Tests for β-lactamase production in *H. influenzae* and *M. catarrhalis* were performed with DrySlide nitrocefin (Difco Laboratories, Detroit, Mich.).

Nucleotide sequence determination of grA and parC and molecular typing using PFGE. High-quality chromosomal DNA was extracted directly from single bacterial colonies using a standard procedure (2). Prepared chromosomal DNA was used as templates for PCR amplification of target quinolone resistancedetermining regions (QRDRs) within grA and parC using previously defined primers (17, 27) and methodologies (37). Sequenced products were resolved and automatically analyzed using an ABI PRISM 377 DNA sequencer. Amplified fragments were sequenced in both directions to control for accuracy of sequence data obtained. Wild-type sequences with no mutations were identified on the basis of being identical to the published sequences of the grA and parC genes (17, 27). Mutations within these genes were identified by comparison. Strains of *S. pneunoniae* were distinguished using pulsed-field gel electrophoresis (PFGE) according to established methodologies (24), and molecular types were assigned according to the criteria established by Tenover et al. (41).

## RESULTS

Organism referral. A total of 5,640 S. pneumoniae, 6,583 H. influenzae, and 3,648 M. catarrhalis isolates were included in the final study analysis. Isolates were distributed roughly equally among the participating hospital laboratories, with an average frequency of 15 S. pneumoniae isolates, 18 H. influenzae isolates, and 9 M. catarrhalis isolates per laboratory. All regions yielded a representative sampling of organisms, with the lowest number of organisms derived from New England (278 S. pneumoniae isolates, 314 H. influenzae isolates, and 278 M. catarrhalis isolates) and the highest number of organisms derived from the east north central region (1,249 S. pneumoniae isolates, 1,490 H. influenzae isolates, and 718 M. catarrhalis isolates). For S. pneumoniae, H. influenzae, and M. catarrhalis, respectively, 1,641, 148, and 36 isolates were derived from blood, 3,482, 5,630, and 2,983 isolates were derived from respiratory sites, and 517, 810, and 211 isolates were derived from other sources.

S. pneumoniae susceptibility. For S. pneumoniae, the susceptibility profiles of isolates for all drugs tested, categorized according to penicillin susceptibility, are shown in Table 1. For pooled data, overall nonsusceptibility (intermediate and resistant categories combined) was recorded as 36.2% for penicillin, 18.5% for amoxicillin-clavulanate, 28.2% for cefuroxime, 15.3% for ceftriaxone, 24.1% for erythromycin, 24.0% for both azithromycin and clarithromycin, and 32.9% for SXT. MIC distributions for each of the fluoroquinolones tested are shown in Fig. 1. For pooled data derived from each study region, the MIC at which 90% of the isolates were inhibited (MIC<sub>90</sub>) and modal MIC, respectively, for each fluoroquinolone studied were as follows: moxifloxacin, 0.25 and 0.12 µg/ml; trovafloxacin, 0.12 and 0.12 µg/ml; grepafloxacin, 0.25 and 0.12 µg/ml; sparfloxacin, 0.50 and 0.25 µg/ml; levofloxacin, 1.0 and 0.5  $\mu$ g/ml. The MIC<sub>90</sub> and modal MIC of moxifloxacin remained the same (0.25 and 0.12 µg/ml, respectively) regardless of penicillin or macrolide susceptibility, geographic region, specimen source, or patient age.

For moxifloxacin and trovafloxacin, 87 and 94% of isolates, respectively, had MICs that were  $\geq 3$  times lower than the susceptibility breakpoint used in this study. This is in contrast to grepafloxacin, levofloxacin, and sparfloxacin, whose MICs for 14, 10, and 5% of isolates, respectively, were  $\geq 3$  twofold concentrations below their respective susceptibility breakpoints. Taking into consideration the number of organisms with MICs at least 2 twofold concentrations below the susceptibility breakpoint.

tibility breakpoints used, these values increased to >99% for trovafloxacin and moxifloxacin, compared with 71, 75, and 29% for grepafloxacin, levofloxacin, and sparfloxacin, respectively.

Of the 5,640 *S. pneumoniae* isolates referred, only 11 (0.20%) were not susceptible to any one of the fluoroquinolones tested (Table 2). Four of these isolates remained susceptible to trovafloxacin, two remained susceptible to moxifloxacin, and one remained susceptible to sparfloxacin. All 11 isolates were intermediate or resistant to grepafloxacin and levofloxacin.

Molecular characterization of fluoroquinolone resistance and clonality studies. Alterations in GyrA previously shown to be associated with reduced susceptibility to fluoroquinolone compounds were identified in 10 of the 11 fluoroquinoloneresistant strains at position Ser-81, altered in each case to either a Phe or Tyr residue. No GyrA alterations were detected in strain 2, although this strain was idiosyncratic in possessing multiple mutations in *parC* conferring alterations Ser-16 $\rightarrow$ Gly, Ser-79→Phe, Asn-91→Asp, and Glu-125→Asp. Of the 11 fluoroquinolone-resistant isolates, 7 possessed alteration Ser-79→ Phe in ParC. Alteration Lys-137→Asn in ParC was identified in five isolates, each in combination with GyrA Ser-81->Phe and (except for isolate 1) with ParC Ser-79 $\rightarrow$ Phe (Table 2). We did not look for mutations in *parE* or *gyrB*, since these are considered not to play a significant role in conferring reduced susceptibility to the fluoroquinolones studied (18).

The 11 strains demonstrating reduced susceptibility to fluoroquinolones were typed using PFGE to investigate clonality (Table 2). Eight distinct PFGE molecular types were identified on the basis of being different by three or more bands (41). The four PFGE type A isolates detected were derived from geographically diverse states (Virginia, Hawaii, Minnesota, and California), and, except for no detected ParC Ser79 $\rightarrow$ Phe alteration in isolate 1, each carried identical mutant gyrA and parC gene loci and a multidrug-resistant (MDR) phenotype characterized by nonsusceptibility to all drugs tested while remaining intermediate or susceptible to ceftriaxone, designated MDR types J, H, and L (see next section).

Multidrug resistance among isolates of S. pneumoniae. For the purposes of this study, an MDR phenotype was defined as resistance to three or more of the following drugs: penicillin, ceftriaxone, erythromycin, SXT, and any fluoroquinolone (Table 3). Of all MDR isolates, 98.3% remained susceptible to fluoroquinolones, with moxifloxacin MICs ranging from 0.01 to  $0.5 \ \mu$ g/ml. Of these, the most common MDR phenotype (type A: penicillin resistant, ceftriaxone intermediate, erythromycin resistant, SXT resistant, and fluoroquinolone susceptible) comprised nearly 50% of all MDR isolates. No isolate was resistant to all compounds tested, although four isolates (types H and K) with all susceptibilities in either intermediate or resistant categories were recovered. Seven MDR isolates (types H through L) demonstrated resistance to at least one fluoroquinolone, although only one of these (from type H) was resistant to moxifloxacin (MIC, 4 µg/ml), the others being intermediate (types H, J, K, and L) or susceptible (type I) to moxifloxacin. In contrast, all seven fluoroquinolone-resistant MDR type H, I, J, K, and L strains were resistant to levofloxacin (MIC,  $\geq 4 \mu g/ml$ ).

*H. influenzae* and *M. catarrhalis* susceptibilities. For moxifloxacin and all other fluoroquinolone compounds tested, all isolates of *H. influenzae* remained susceptible and no isolate of *M. catarrhalis* required an MIC of >0.5 µg/ml (modal MIC and MIC<sub>90</sub> were both 0.06 µg/ml), irrespective of geographic region or specimen source (data not shown). A total of 33.3% (2,195 of 6,588) of the *H. influenzae* isolates and 92.4% (2,983 of 3,230) of the *M. catarrhalis* isolates produced β-lactamase;

Antimicrobial agent and phenotype <sup>a</sup>		MIC (µg/ml)	% of isolates that were:			
(no. of isolates)	Range	Mode	90%	S	Ι	R
Penicillin All Pen S Pen I Pen R	$\leq 0.03 -> 8$ $\leq 0.03 - 0.06$ 0.12 - 1 2 - > 8	$\leq 0.03 \leq 0.03$ $\leq 0.03$ $\frac{1}{2}$	$\begin{array}{c}2\\0.06\\1\\4\end{array}$	63.9 100.0 0.0 0.0	22.5 0.0 100.0 0.0	13.7 0.0 0.0 100.0
Amoxicillin-clavulanate All Pen S Pen I Pen R	$\leq 0.01 -> 16$ $\leq 0.01 - 0.5$ $\leq 0.01 - 4$ 0.25 -> 16	$\leq 0.01 \\ \leq 0.01 \\ 0.5 \\ 2$	$\begin{array}{c}1\\0.03\\1\\4\end{array}$	81.5 100.0 76.1 4.2	9.3 0.0 19.7 35.6	9.2 0.0 4.2 60.3
Cefuroxime All Pen S Pen I Pen R	$\leq 0.12-64$ $\leq 0.12-1$ $\leq 0.12-16$ $\leq 0.12-64$	$\leq 0.12 \leq 0.12$ $\leq 0.12$ $\frac{2}{4}$		71.9 100.0 35.5 0.3	$3.4 \\ 0.0 \\ 14.8 \\ 0.1$	24.8 0.0 49.7 99.6
Ceftriaxone All Pen S Pen I Pen R	$\leq 0.01-8$ $\leq 0.01-0.5$ $\leq 0.01-8$ $\leq 0.01-8$	$\leq 0.01 \\ \leq 0.01 \\ 0.5 \\ 1$	$\stackrel{1}{\leq 0.06}$	84.6 100.0 35.5 13.9	$11.2 \\ 0.0 \\ 14.8 \\ 60.3$	4.1 0.0 49.7 25.8
Erythromycin All Pen S Pen I Pen R	$\leq 0.03 ->4$ $\leq 0.03 ->4$ $\leq 0.03 ->4$ $\leq 0.03 ->4$	$\leq 0.03 \leq 0.03 \leq 0.03 \leq 0.03 4$	4 0.03 >4 >4	75.9 93.9 54.1 27.5	$\begin{array}{c} 0.1 \\ 0.0 \\ 0.5 \\ 0.1 \end{array}$	24.0 6.1 45.5 72.3
Azithromycin All Pen S Pen I Pen R	$\leq 0.03 ->4$ $\leq 0.03 ->4$ $\leq 0.03 ->4$ $\leq 0.03 ->4$	$\leq 0.03 \leq 0.03 \leq 0.03 \leq 0.03 > 4$	4 0.06 >4 >4	75.9 93.9 54.3 27.5	3.4 0.8 7.8 8.4	20.6 5.3 37.9 64.0
Clarithromycin All Pen S Pen I Pen R	$\leq 0.01 -> 32$ $\leq 0.01 -> 32$ $\leq 0.01 -> 32$ $\leq 0.01 -> 32$	0.03 0.03 0.03 2	$4 \\ 0.03 \\ 32 \\ >32 \\ >32$	75.9 93.9 54.2 27.5	3.4 0.4 2.1 1.4	20.6 5.7 43.7 71.0
Levofloxacin All Pen S Pen I Pen R	$\leq 0.004 > 8$ $\leq 0.004 > 8$ $\leq 0.004 > 8$ $\leq 0.004 - 8$ 0.12 - 8	0.5 0.5 0.5 0.5	1 1 1 1	99.8 93.9 99.8 99.4	$\begin{array}{c} 0.0 \\ 0.0 \\ 0.0 \\ 0.0 \end{array}$	0.2 0.0 0.2 0.6
Grepafloxacin All Pen S Pen I Pen R	$\leq 0.002 ->4$ $\leq 0.002 - 1$ $\leq 0.004 ->4$ $\leq 0.01 ->4$	0.12 0.12 0.12 0.12	0.25 0.25 0.25 0.25	99.8 99.9 99.8 99.2	$\begin{array}{c} 0.1 \\ 0.1 \\ 0.0 \\ 0.1 \end{array}$	$0.1 \\ 0.0 \\ 0.2 \\ 0.6$
Sparfloxacin All Pen S Pen I Pen R	$\leq 0.002 ->4$ $\leq 0.002 - 2$ 0.004 ->4 0.03 ->4	0.25 0.25 0.25 0.25	0.5 0.5 0.5 0.5	99.8 99.9 99.8 99.2	$\begin{array}{c} 0.0 \\ 0.0 \\ 0.0 \\ 0.1 \end{array}$	$0.2 \\ 0.1 \\ 0.2 \\ 0.6$
Moxifloxacin <sup>b</sup> All Pen S Pen I Pen R	$\leq 0.002-4$ $\leq 0.002-2$ $\leq 0.002-4$ 0.01-4	0.12 0.12 0.12 0.12	0.25 0.25 0.25 0.25	99.8 100.0 99.8 99.4	$0.1 \\ 0.0 \\ 0.1 \\ 0.5$	$0.1 \\ 0.0 \\ 0.2 \\ 0.1$
Trovafloxacin All Pen S Pen I Pen R	$\leq 0.002-4$ $\leq 0.002-1$ 0.004-4 0.01-4	0.12 0.12 0.12 0.12	$\begin{array}{c} 0.12 \\ 0.12 \\ 0.12 \\ 0.12 \\ 0.12 \end{array}$	99.9 100.0 99.8 99.5	$0.1 \\ 0.0 \\ 0.1 \\ 0.4$	$0.1 \\ 0.0 \\ 0.2 \\ 0.1$
SXT All Pen S Pen I Pen R	$\leq 0.01 ->4$ $\leq 0.01 ->4$ $\leq 0.01 ->4$ 0.06 ->4	0.12 0.12 2 4	4 1 4 >4	67.1 89.5 40.2 6.6	16.5 4.9 35.3 39.9	16.4 5.6 24.5 53.5

TABLE 1. Susceptibility of S. pneumoniae to all antimicrobials tested

<sup>*a*</sup> Pen, penicillin; S, susceptible; I, intermediate; R, resistant. Of the 5,640 *S. pneumoniae* isolates, 3,603 were Pen S, 1,267 were Pen I, and 770 were Pen R. <sup>*b*</sup> Moxifloxacin interpretive breakpoints based on U.S. FDA breakpoints.

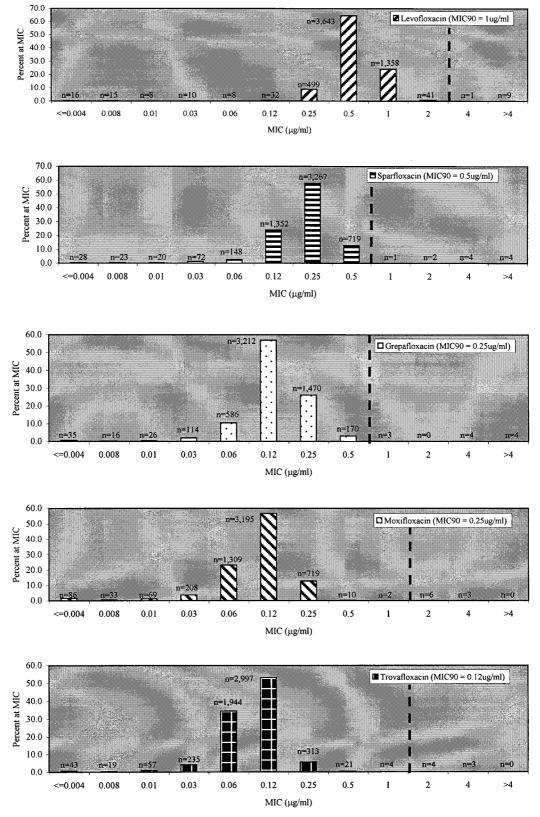


FIG. 1. MIC distributions for study fluoroquinolones among S. pneumoniae isolates. Dashed lines, susceptibility breakpoints.

Isolate State <sup>a</sup>	MIC ( $\mu g/ml$ ) (interpretive category <sup>b</sup> ) of:				MDR	Molecular	Amino acid alterations in:			
	State <sup>a</sup>	Spar- floxacin	Levo- floxacin	Grepa- floxacin	Trova- floxacin	Moxi- floxacin	phenotype <sup>c</sup>	PFGE type	GyrA	ParC
1	CA	4 (R)	8 (R)	4 (R)	1 (S)	2 (I)	Yes	А	Ser-81-Phe	Lys-137-Asn
2	HI	>4 (R)	>8 (R)	>4 (R)	4 (R)	4 (R)	No	D	$\mathrm{WT}^d$	Ser-16-Gly; Ser-79-Phe; Asn- 91-Asp; Glu-125-Asp
3	HI	>4(R)	> 8 (R)	>4(R)	4 (R)	2 (I)	Yes	А	Ser-81-Phe	Ser-79-Phe; Lys-137-Asn
4	IL	2(R)	>8(R)	1(I)	1(S)	2 (I)	No	Е	Ser-81-Tyr	WT
5	MA	4 (R)	8 (R)	4 (Ŕ)	4 (R)	4 (R)	No	В	Ser-81-Phe	Ser-79-Phe
6	MN	>4(R)	>8(R)	>4(R)	2 (I)	2 (I)	Yes	G	Ser-81-Phe	Ser-79-Phe
7	MN	4 (R)	>8(R)	4 (R)	2 (I)	2 (I)	Yes	А	Ser-81-Phe	Ser-79-Phe; Lys-137-Asn
8	NJ	2(R)	4 (I)	1 (I)	0.25 (S)	1(S)	No	F	Ser-81-Phe	WT
9	PA	4 (R)	$> 8 (\dot{R})$	4 (Ŕ)	2 (I)	2 (I)	Yes	А	Ser-81-Phe	Ser-79-Phe; Lys-137-Asn
10	VA	>4 (R)	8 (R)	>4(R)	2 (I)	4 (Ř)	Yes	Н	Ser-81-Tyr	Ser-79-Tyr
11	VA	1 (S)	8 (R)	1 (I)	0.25 (S)	1(S)	No	С	Ser-81-Phe	Ser-79-Phe; Lys-137-Asn

TABLE 2. Origin and phenotypic and genotypic characteristics of 11 *S. pneumoniae* isolates requiring MICs of  $\ge 4 \mu g/ml$  for any fluoroquinolone tested

<sup>a</sup> CA, California; HI, Hawaii; IL, Illinois; MA, Massachusetts; MN, Minnesota; NJ, New Jersey; PA, Pennsylvania; VA, Virginia.

<sup>b</sup> R, resistant; I, intermediate; S, susceptible.

<sup>c</sup> Defined as resistance to three or more drug classes.

<sup>d</sup> WT, wild-type amino acid sequences reported previously (17, 27).

the moxifloxacin MIC distribution and modal MIC for both organisms remained independent of β-lactamase production (Fig. 2). For *H. influenzae*, no isolates that were  $\beta$ -lactamase negative and ampicillin resistant were detected; eight isolates (0.1%) were resistant to amoxicillin-clavulanate, and only one isolate was resistant to cefuroxime. Azithromycin and clarithromycin were active against 99.9 and 92.7%, respectively, of all isolates, in contrast with SXT, to which 20.9% of isolates (1,377) were not susceptible. Apart from resistance to ampicillin, 100% of M. catarrhalis isolates demonstrated low MICs to all drugs tested. With the exception of 4 isolates (0.1%; all $\beta$ -lactamase positive) requiring MICs of cefuroxime of >4  $\mu$ g/ml and 16 isolates (0.5%; 13  $\beta$ -lactamase positive and 3  $\beta$ -lactamase negative) requiring MICs of SXT of 8 to 16  $\mu$ g/ml, all M. catarrhalis isolates demonstrated MICs below the susceptibility breakpoint defined by NCCLS for Staphylococcus aureus for all drugs tested (data not shown).

#### DISCUSSION

This study was undertaken in order to benchmark the in vitro activity of moxifloxacin against clinically significant patho-

TABLE 3. Frequency of MDR<sup>a</sup> S. pneumoniae phenotypes

MDR phenotype			Moxifloxacin				
(no. of isolates)	%	Peni- cillin	Ceftri- axone	Erythro- mycin	SXT	Fluoro- quinolones	MIC range (µg/ml)
A (205)	48.3	R	Ι	R	R	S	0.03-0.5
B (65)	15.3	R	R	R	R	S	0.03-0.25
C (60)	14.2	R	R	R	Ι	S	0.06-0.25
D (46)	10.8	R	S	R	R	S	0.01 - 0.05
E (31)	7.3	R	R	S	R	S	0.06 - 0.25
F (6)	1.4	R	R	R	S	S	0.06 - 0.25
G (4)	0.9	Ι	R	R	R	S	0.06 - 0.25
H (3)	0.6	R	Ι	R	R	R	2-4
I (1)	0.2	R	Ι	S	R	R	1
J (1)	0.2	Ι	S	R	R	R	2
K (1)	0.2	R	Ι	R	Ι	R	2
L (1)	0.2	R	S	R	R	R	2

 $^{a}$  Defined as resistance to three or more drug classes. Total number of isolates, 424.

gens associated with respiratory tract infections derived from a large number of hospital laboratories across the United States, including the characterization of all resistant isolates and MDR organisms, enabling future comparative studies and trend analyses. In contrast with other drug classes tested, moxifloxacin and the other fluoroquinolones demonstrated overall high activity against the pathogens studied. Moxifloxacin inhibited the growth of 99.8% of S. pneumoniae isolates and 100% of H. influenzae isolates at concentrations well below the susceptibility breakpoint criteria. For M. catarrhalis no isolate required an MIC of moxifloxacin of >0.5 µg/ml. For moxifloxacin, as is evident from Table 1, in S. pneumoniae there is no significant relationship between the degree of resistance to penicillin and the modal MIC MIC<sub>90</sub>, both remaining at 0.12 and 0.25 µg/ml, respectively, for each penicillin susceptibility category. This is consistent with other reports from the United States and Europe demonstrating no relationship between penicillin susceptibility and the activity of moxifloxacin or other newer fluoroquinolones (8, 11, 32, 33, 35, 42–44). These observations are in contrast to recent reports from Canada and Ireland, which observed a relationship between penicillin nonsusceptibility and reduced susceptibility to ciprofloxacin (9, 16), perhaps explained by the lower activity of ciprofloxacin against S. pneumoniae. Additionally, the modal moxifloxacin MIC and MIC<sub>90</sub> are unchanged when considered in relation to the susceptibility categories of the other drugs studied (such as erythromycin), geographic region, and site of infection, parameters not previously explored. In contrast with results from other reports concerning children (11, 43), we detected no relationship between moxifloxacin susceptibility and age. The clear-cut association between penicillin resistance and resistance to other classes of antibiotics, in particular other  $\beta$ -lactams, the macrolides, and SXT (Table 1), confirms the findings of several previous studies (11, 32, 35, 42-44). In addition, the prevalence of penicillin resistance (12.7% resistant and 22.5% intermediate) remains similar to that in data derived from surveillance studies reported from the 1996 to 1997 respiratory winter season (12, 42, 43) but is clearly increased from the 9.5% resistant and 14% intermediate reported from the 1994 to 1995 winter season (11).

The higher activities of moxifloxacin and trovafloxacin compared with those of other test fluoroquinolones, coupled with

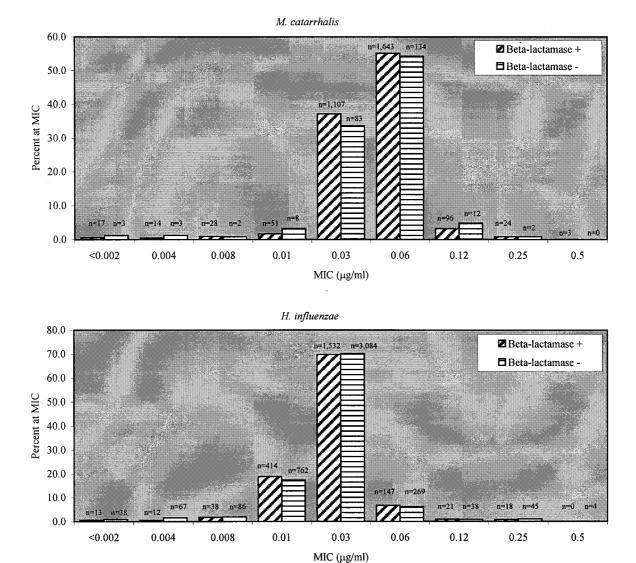


FIG. 2. MIC distributions for moxifloxacin among *M. catarrhalis* and *H. influenzae* isolates.

the fact that these hydrophobic compounds are resilient to efflux (15, 38), mean that in isolates with decreased susceptibilities, perhaps through the acquisition of single point mutations in *gyrA* or *parC*, MICs can still remain below the susceptible breakpoint. However, the widespread use of newer fluoroquinolones may be accompanied by an accumulation of additional mutations in QRDRs, resulting in upward "MIC creep." Should this happen, the extent to which the drugs' modal MICs are below the currently used breakpoints becomes relevant. At least for trovafloxacin and moxifloxacin, the majority of strains (approximately 90%) remained at least 3 two-fold concentrations lower than the FDA breakpoint and almost 100% were 2 twofold concentrations lower.

In S. pneumoniae (18), as in S. aureus (37, 38), mutations in the DNA gyrase subunit A (gyrA) and topoisomerase IV (parC or grlA in S. aureus) are mostly responsible for conferring reduced susceptibility to fluoroquinolone compounds. Most previous reports suggest that mutations in gyrA (Ser-81 $\rightarrow$ Phe or Tyr) and parC (Ser-79 $\rightarrow$ Tyr) are the most significant (17, 18, 25, 27–29, 31, 40, 45). In concordance with this, the 11 fluoroquinolone-resistant isolates detected in this study possessed classic single or combination mutations in gyrA and parC responsible for conferring fluoroquinolone-resistant phenotypes. It is worth noting, however, that the effects of mutations in QRDRs are not always clear-cut and that considerable biovariation clearly occurs in clinical isolates of S. pneumoniae (18). This is apparent from strain 11, which, despite possessing ParC alterations Lys-137→Asn and Ser-79→Phe and GyrA alteration Ser-81-Phe, maintained a moxifloxacin-susceptible phenotype, although the MIC was close to the breakpoint. MICs for other isolates with similar gyrA and parC mutational combinations of moxifloxacin were 4 µg/ml (resistant). In addition, strain 4 carries only the GyrA Ser-81→Tyr alteration, remaining wild type at ParC, but nevertheless requires raised MICs of the fluoroquinolones tested, including moxifloxacin. Since moxifloxacin is a hydrophobic fluoroquinolone and not readily removed by efflux from the cell, there are probably other physiological factors affecting fluoroquinolone susceptibility such as changes in outer membrane proteins. Of note is isolate 2, which, despite being wild type at gyrA, was highly resistant to all the fluoroquinolone compounds tested, including trovafloxacin. In addition to the classic Ser-79-Phe alteration, this isolate possessed a number of ParC mutations to our knowledge not previously reported (Ser-16→Gly, Asn-91→Asp, and Glu-125→Asp) whose impact on fluoroquinolone susceptibility is unknown. These may warrant further study. This isolate may have possessed mutations in gyrB and parE which have been implicated previously (21, 25) as playing a role in fluoroquinolone resistance, although their role is still debatable (18, 27, 30). Previous studies have shown moxifloxacin to manifest a low mutation rate of  $<1.4 \times 10^{-9}$  at 4 times the MIC, compared with  $2.2 \times 10^{-7}$  for ciprofloxacin (10), providing at least circumstantial evidence that the emergence of fluoroquinolone resistance during appropriate moxifloxacin therapy is unlikely. Of course such benefits are negated should the widespread use of less-active compounds with a greater tendency to select for mutations occur, especially since we know that key mutations will have at least some effect on the activity of all quinolones (18) and appear to be stable over time (19).

The emergence of MDR (resistance to any three drug classes tested) in S. pneumoniae is of major concern since it severely limits treatment options. During the 1997 to 1998 season, 424 (7.5%) isolates studied demonstrated an MDR phenotype; of these, almost 80% were resistant or intermediate to all drug classes tested (Table 3) except for moxifloxacin and/or other fluoroquinolones, which all had MICs in the susceptible category. Overall, 98.2% of all MDR strains remained susceptible to at least one of the new fluoroquinolones tested in this study. This clearly positions the new fluoroquinolones as viable therapeutic options for treating infections with MDR pneumococci, particularly since in the vast majority of cases, the use of fluoroquinolones, unlike the use of other drug classes, is currently unlikely to select for the emergence or clonal expansion of organisms with MDR phenotypes, since the vast majority of MDR types remain fluoroquinolone susceptible. Nonetheless, it is important to note that 6 of the 11 fluoroquinolone-resistant isolates detected demonstrated MDR phenotypes, which confirms the need for careful monitoring of MDR phenotypes, including the complete molecular characterization of all fluoroquinolone-resistant organisms. Three of these MDR phenotypes (types J, H, and L) comprised PFGE clonal type A. Additionally, each PFGE type A strain, except for one with Ser-79→Phe alteration at ParC, possessed identical mutant gyrA and parC gene loci. It appears that this study provides the first evidence for the emergence of an MDR clone of S. pneumoniae that includes resistance to the fluoroquinolones, although such MDR resistance is very rare. A longitudinal comparative determination of MDR status using similar representations of drug classes, combined with characterization of clonality and QRDRs, will play a crucial role in tracking the further evolution of MDR and fluoroquinolone resistance.

For clinical isolates of *H. influenzae* and *M. catarrhalis*, the incidence of  $\beta$ -lactamase production (33.3 and 92.4%, respectively) remains almost identical to that found in 1996 to 1997 data and previously reported by our group (33.4 and 92.7%, respectively) (42). The incidence of  $\beta$ -lactamase production in both species remained mostly constant, irrespective of geographical location within the United States (MRL, unpublished data). This is in contrast to the situation in Europe and Asia, in which far greater regional differences have been reported (13, 20, 35). This is especially true for *H. influenzae*, which in some regional populations produces  $\beta$ -lactamase at an incidence of less than 5% (13, 35). Apart from a 20.9% incidence of nonsusceptibility to SXT among *H. influenzae* isolates,  $\beta$ -lactamase production remains the only significant cause of resistance in isolates for both this species and *M*.

*catarrhalis*. A recent U.S. study reported "fluoroquinoloneresistant" isolates of both *H. influenzae* and *M. catarrhalis* (D. J. Biedenbach, R. N. Jones, J. Dipersio, K. C. Kugler, and W. W. Wilke, Abstr. 39th Intersci. Conf. Antimicrob. Agents Chemother., abstr. 54, p. 141, 1999). However, in this U.S.-wide study, similar to a recent European study (20), no isolates of either species required MICs of any of the fluoroquinolones tested of >1.0 µg/ml, MIC<sub>90</sub>s for moxifloxacin were 0.03 µg/ml for *H. influenzae* and 0.06 µg/ml for *M. catarrhalis*, and there was no association with β-lactamase status for either species.

Resistance to antibacterial agents commonly prescribed as empiric therapies for the treatment of CA-RTI is a major health care concern. For *H. influenzae* and *M. catarrhalis*, the likely production of  $\beta$ -lactamase must be considered when choosing a therapy; for *S. pneumoniae*, the frequent occurrence of penicillin resistance and associated MDR is of even greater concern. In this respect, the positive features of the fluoroquinolones include their relatively high activity, their lack of association with the common MDR phenotypes, and the fact that emergence of resistance during therapy with proper dosing seems unlikely. It is important to note however that the apparent benefits of the newer quinolone antibiotics cannot be extended to the pediatric patient population in which these drugs are not currently an option.

In future years, geographically widespread surveillance studies incorporating a broad selection of antimicrobial agents and queriable parameters, including quantitative MIC data for extended-range antibiotic concentrations, in addition to the molecular characterization of resistant phenotypes, will enable the detection and monitoring of any changes in moxifloxacin susceptibilities among RTI pathogens, possibly enabling the timely implementation of preventive measures.

### ACKNOWLEDGMENTS

We express our appreciation to the many microbiologists and other laboratory personnel in the participating institutions whose cooperation made this study possible. We are also grateful to the personnel at MRL for their work and their support of the study.

We thank Bayer Pharmaceutical, Inc., which provided financial support for this work.

#### REFERENCES

- Appelbaum, P. C. 1987. World-wide development of antibiotic resistance in pneumococci. Eur. J. Clin. Microbiol. 6:367–377.
- Ausubel, F., R. Brent, R. Kingston, D. Moore, J. Seidman, J. Smith, and K. Struhl (ed.). 1989. Current protocols in molecular biology. John Wiley & Sons, Inc., New York, N.Y.
- Ballow, C. H., R. N. Jones, D. M. Johnson, J. A. Deinhart, J. J. Schentag, and the SPAR Study Group. 1997. Comparative in vitro assessment of the activity and spectrum using results from over 14,000 pathogens isolated from 190 centers in the U.S. Diagn. Microbiol. Infect. Dis. 29:173–186.
- Baquero, F. 1996. Trends in antibiotic resistance of respiratory pathogens: an analysis and commentary on a collaborative surveillance study. J. Antimicrob. Chemother. 38(Suppl. A):117–132.
- Baron, E. J., and P. R. Murray. 1995. Bacteriology, p. 246–662. *In P. R. Murray*, E. J. Baron, M. A. Pfaller, F. C. Tenover, and R. H. Yolken (ed.), Manual of clinical microbiology, 6th ed. ASM Press, Washington, D.C.
- Bartlett, J. G., and L. M. Mundy. 1995. Community-acquired pneumonia. N. Engl. J. Med. 333:1619–1624.
- Brueggemann, A. B., K. C. Kugler, and G. V. Doern. 1997. In vitro activity of BAY 12-8039, a novel 8-methoxyquinolone, compared to activities of six fluoroquinolones against Streptococcus pneumoniae, Haemophilus influenzae, and Moraxella catarrhalis. Antimicrob. Agents Chemother. 41:1594–1597.
- Buxbaum, A., U. Straschil, C. Moser, W. Graninger, and A. Georgopoulos. 1999. Comparative susceptibility to penicillin and quinolones of 1385 *Streptococcus pneumoniae* isolates. Austrian bacterial surveillance network. J. Antimicrob. Chemother. 43(Suppl. B):13–18.
- Chen, D. K., A. McGeer, J. C. de Azavedo, and D. E. Low. 1999. Decreased susceptibility of *Streptococcus pneumoniae* to fluoroquinolones in Canada. Canadian bacterial surveillance network. N. Engl. J. Med. 341:233–239.
- Dalhoff, A., U. Petersen, and E. Endermann. 1996. In vitro activity of BAY 12-8039, a new 8-methoxyquinolone. Chemotherapy 42:410–425.
- 11. Doern, G. V., A. Brueggeman, H. P. Holley, and A. M. Rauch. 1996. Anti-

microbial resistance of *Streptococcus pneumoniae* recovered from outpatients in the United States during the winter months of 1994–1995: results of a 30-center national surveillance study. Antimicrob. Agents Chemother. **40**: 1208–1213.

- Doern, G. V., M. A. Pfaller, K. Kugler, J. Freeman, and R. N. Jones. 1998. Prevalence of antimicrobial resistance among respiratory tract isolates of *Streptococcus pneumoniae* in North America: 1997 results from the SENTRY antimicrobial surveillance program. Clin. Infect. Dis. 27:764–770.
- Felmingham, D., and J. Washington. 1999. Trends in the antimicrobial susceptibility of bacterial respiratory tract pathogens: findings of the Alexander project 1992–1996. J. Chemother. 11(Suppl. 1):5–21.
- Fluit, A. C., F. J. Schmitz, M. E. Jones, J. Acar, R. Gupta, J. Verhoef, and the SENTRY Participants Group. 1999. Antimicrobial resistance among community-acquired pneumonia isolates in Europe: first results from the SENTRY Antimicrobial Surveillance Program 1997. Int. J. Infect. Dis. 3:153– 156.
- Gill, M. J., N. P. Brenwald, and R. Wise. 1999. Identification of an efflux pump gene, *pmrA*, associated with fluoroquinolone resistance in *Streptococcus pneumoniae*. Antimicrob. Agents Chemother. 43:187–189.
- Goldsmith, C. E., J. E. Moore, P. G. Murphy, and J. E. Ambler. 1998. Increased incidence of ciprofloxacin resistance in penicillin-resistant pneumococci in Northern Ireland. J. Antimicrob. Chemother. 41:420–421.
- Janoir, C., V. Zeller, M. D. Kitzis, N. J. Moreau, and L. Gutmann. 1996. High-level fluoroquinolone resistance in *Streptococcus pneumoniae* requires mutations in *parC* and *gyrA*. Antimicrob. Agents Chemother. 40:2760–2764.
- 18. Jones, M. E., D. F. Sahm, N. Martin, S. Scheuring, P. Heisig, C. Thornsberry, K. Kohrer, and F. J. Schmitz. 2000. Prevalence of gyrA, gyrB, parC, and parE mutations in clinical isolates of Streptococcus pneumoniae with decreased susceptibilities to different fluoroquinolones and originating from Worldwide Surveillance Studies during the 1997–1998 respiratory season. Antimicrob. Agents Chemother. 44:462–466.
- Jones, M. E., N. M. Boenink, J. Verhoef, K. Köhrer, and F.-J. Schmitz. 2000. Multiple mutations conferring ciprofloxacin resistance in *Staphylococcus aureus* demonstrate long-term stability in an antibiotic-free environment. J. Antimicrob. Chemother. 45:353–356.
- Jones, M. E., A. M. Staples, I. Critchley, C. Thornsberry, P. Heinze, H. D. Engler, and D. F. Sahm. 2000. Benchmarking the activity of moxifloxacin against recent clinical isolates of *Streptococcus pneumoniae*, *Moraxella catarrhalis* and *Haemophilus influenzae*. A European multi-center study. Diagn. Microbiol. Infect. Dis. 37:203–211.
- Jorgensen, J. H., L. M. Weigel, M. J. Ferraro, J. M. Swenson, and F. C. Tenover. 1999. Activities of newer fluoroquinolones against *Streptococcus pneumoniae* clinical isolates including those with mutations in the gyrA, parC, and parE loci. Antimicrob. Agents Chemother. 43:329–334.
- Klugman, K. 1990. Pneumococcal resistance to antibiotics. Clin. Microbiol. Rev. 3:171–196.
- MacGowan, A. P., K. E. Bowker, H. A. Holt, M. Wootton, and D. S. Reeves. 1997. BAY12-8039, a new 8-methoxy-quinolone: comparative in vitro activity with nine other antimicrobials against anaerobic bacteria. J. Antimicrob. Chemother. 40:503–509.
- McEllistrem, M. C., J. E. Stout, and L. H. Harrison. 2000. Simplified protocol for pulsed-field gel electrophoresis analysis of *Streptococcus pneumoniae*. J. Clin. Microbiol. 38:351–353.
- Munoz, R., and A. G. De La Campa. 1996. ParC subunit of DNA topoisomerase IV of *Streptococcus pneumoniae* is a primary target of fluoroquinolones and cooperates with DNA gyrase A subunit in forming resistance phenotype. Antimicrob. Agents Chemother. 40:2252–2257.
- National Committee for Clinical Laboratory Standards. 1998. Performance standards for antimicrobial susceptibility testing, 8th informational supplement. Approved standard M100-S8. National Committee for Clinical Laboratory Standards, Wayne, Pa.
- Pan, X.-S., and L. M. Fisher. 1996. Cloning and characterization of the parC and parE genes of Streptococcus pneumoniae encoding DNA topoisomerase IV: role in fluoroquinolone resistance. J. Bacteriol. 178:4060–4069.
- Pan, X.-S., J. Ambler, S. Mehtar, and L. M. Fisher. 1996. Involvement of topoisomerase IV and DNA gyrase as ciprofloxacin targets in *Streptococcus pneumoniae*. Antimicrob. Agents Chemother. 40:2321–2326.
- 29. Pan, X.-S., and L. M. Fisher. 1998. DNA gyrase and topoisomerase IV are

dual targets of clinafloxacin action in *Streptococcus pneumoniae*. Antimicrob. Agents Chemother. **42**:2810–2816.

- Perichon, B., J. Tankovic, and P. Courvalin. 1997. Characterization of a mutation in the *parE* gene that confers fluoroquinolone resistance in *Streptococcus pneumoniae*. Antimicrob. Agents Chemother. 41:1166–1167.
- Pestova, E., R. Beyer, N. P. Cianciotto, G. A. Noskin, and L. R. Peterson. 1999. Contribution of topoisomerase IV and DNA gyrase mutations in *Streptococcus pneumoniae* to resistance to novel fluoroquinolones. Antimicrob. Agents Chemother. 43:2000–2004.
- 32. Pfaller, M. A., R. N. Jones, G. Doern, and K. Kugler. 1998. Bacterial pathogens isolated from patients with bloodstream infection: frequencies of occurrence and antimicrobial susceptibility patterns from the SENTRY antimicrobial surveillance program. Antimicrob. Agents Chemother. 42:1763– 1770.
- Reinert, R. R., J. J. Schlaeger, and R. Lütticken. 1998. Moxifloxacin: a comparison with other antimicrobial agents of in vitro activity against *Streptococcus pneumoniae*. J. Antimicrob. Chemother. 42:803–806.
- 34. Richard, M. P., A. Aguado, R. Mattina, R. Marre, and the SPAR Study Group. 1998. Sensitivity to sparfloxacin and other antibiotics of *Streptococcus* pneumoniae, Haemophilus influenzae and Moraxella catarrhalis strains isolated from adults with community acquired lower respiratory tract infections: a European multi centre study. J. Antimicrob. Chemother. 41:207–214.
- Sahm, D. F., M. E. Jones, M. L. Hickey, D. R. Diakun, S. Mani, and C. Thornsberry. 2000. Resistance surveillance of *Streptococcus pneumoniae*, *Haemophilus influenzae* and *Moraxella catarrhalis* isolated in Asia and Europe, 1997–1998. J. Antimicrob. Chemother. 45:457–466.
- Schito, G. C., S. Manelli, A. Pesce, and the Alexander Project. 1997. Trends in the activity of macrolide and β-lactam antibiotics and resistance development. J. Chemother. 9(Suppl. 3):18–28.
- 37. Schmitz, F. J., M. E. Jones, B. Hofmann, B. Hansen, S. Scheuring, M. Luckefahr, A. Fluit, J. Verhoef, U. Hadding, H.-P. Heinz, and K. Köhrer. 1998. Characterization of grlA, grlB, gyrA, and gyrB in 116 unrelated isolates of *Staphylococcus aureus* and effects of mutations on ciprofloxacin MIC. Antimicrob. Agents Chemother. 42:1249–1252.
- 38. Schmitz, F. J., M. Lückefahr, B. Engler B. Hoffman, B. Hansen, et al. 1998. The effect of reserpine, an inhibitor of multidrug efflux pumps, on the in-vitro activity of ciprofloxacin, sparfloxacin and moxifloxacin against clinical isolates of *Staphylococcus aureus*. J. Antimicrob. Chemother. 42:807– 810.
- Souli, M., C. B. Wennersten, and G. M. Eliopoulos. 1998. In vitro activity of BAY 12-8039, a new fluoroquinolone, against species representative of respiratory tract pathogens. Int. J. Antimicrob. Agents 10:23–30.
- Tankovic, J., B. Perichon, J. Duval, and P. Courvalin. 1996. Contribution of mutations in gyrA and parC genes to fluoroquinolone resistance of mutants of *Streptococcus pneumoniae* obtained in vivo and in vitro. Antimicrob. Agents Chemother. 40:2505–2510.
- Tenover, F. C., R. D. Arbeit, R. V. Goering, P. A. Mickelsen, B. E. Murray, D. H. Persing, and B. Swaminathan. 1995. Interpreting chromosomal DNA restriction patterns produced by pulsed-field gel electrophoresis: criteria for bacterial strain typing. J. Clin. Microbiol. 33:2233–2239.
- Thornsberry, C., P. Ogilvie, J. Kahn, and Y. Mauriz. 1997. Surveillance of antimicrobial resistance in *Streptococcus pneumoniae, Haemophilus influenzae*, and *Moraxella catarrhalis* in the United States in 1996–1997 respiratory season. The Laboratory Investigator Group. Diagn. Microbiol. Infect. Dis. 29:249–257.
- 43. Thornsberry, C., P. T. Ogilvie, H. P. Holley, and D. F. Sahm. 1998. In vitro activity of grepafloxacin and 25 other antimicrobials against *Streptococcus pneumoniae*: correlation with penicillin resistance. Clin. Ther. 20:1179–1190.
- 44. Thornsberry, C., M. E. Jones, M. Hickey, Y. Mauriz, J. Kahn, and D. F. Sahm. 1999. Resistance surveillance of *Streptococcus pneumoniae*, *Haemophilus influenzae* and *Moraxella catarrhalis* in the United States, 1997–1998. J. Antimicrob. Chemother. 44:749–759.
- Varon, E., C. Janoir, M.-D. Kitzis, and L. Gutmann. 1999. ParC and GyrA may be interchangeable initial targets of some fluoroquinolones in *Streptococcus pneumoniae*. Antimicrob. Agents Chemother. 43:302–306.
- Woodcock, J. M., J. M. Andrews, F. J. Boswell, N. P. Brenwald, and R. Wise. 1997. In vitro activity of BAY 12-8039, a new fluoroquinolone. Antimicrob. Agents Chemother. 41:101–106.