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# **Perspectives on formaldehyde dysregulation: Mitochondrial DNA damage and repair in mammalian cells**

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# **Abstract**

Maintaining genome stability involves coordination between different subcellular compartments providing cells with DNA repair systems that safeguard against environmental and endogenous stresses. Organisms produce the chemically reactive molecule formaldehyde as a component of one-carbon metabolism, and cells maintain systems to regulate endogenous levels of formaldehyde under physiological conditions, preventing genotoxicity, among other adverse effects. Dysregulation of formaldehyde is associated with several diseases, including cancer and neurodegenerative disorders. In the present review, we discuss the complex topic of endogenous formaldehyde metabolism and summarize advances in research on formaldehyde dysregulation, along with future research perspectives.

#### **Keywords**

Formaldehyde; One Carbon Metabolism; Mitochondrial DNA; DNA Damage

# **1. Introduction**

Eukaryotic cells divide and proliferate through a series of highly regulated biochemical processes that promote growth and preserve the redox state of the cells, while maintaining genomic stability. These activities rely in part on one-carbon metabolism, a series of enzymatic reactions providing cells with building blocks that sustain protein, lipid and nucleic acid biosynthesis. One-carbon metabolism is an important source of endogenous formaldehyde; other physiological processes are known to contribute to formaldehyde levels, as well. This article provides a summary of background information on formaldehyde metabolism and then outlines progress in understanding the roles of formaldehyde in the

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Author contributions

**CAN and SHW** contributed to conceptualization, investigation, writing and editing the original draft. **RP** contributed to review and editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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context of mammalian cells and mitochondrial metabolism. This review also makes use of results obtained through work with the yeast Schizosaccharomyces pombe system.

## **2. Sources of Endogenous Formaldehyde**

Formaldehyde is produced from a range of sources and metabolic processes (Fig. 1). Demethylations of DNA, RNA and histones release formaldehyde that in turn regulates the activity of DNA methyltransferases (DNMTs). DNMTs are vital enzymes, and their deletion is linked to lethal phenotypes in early embryogenesis and postnatal development [1, 2]. Histone demethylation occurs also through the action of the superfamily of Jumonji C (JmjC)-containing oxygenases and lysine-specific demethylase 1 (LSD1) (Fig. 2). These enzymes demethylate histone lysine residues, the latter through an oxidative mechanism that requires the cofactors flavin adenine nucleotide, α-ketoglutarate and Fe (II).

Methanol is also a source of formaldehyde [3, 4]. Endogenous methanol derives from different physiological processes, such as activity of intestinal microbiota and the methylation reaction between S-adenosylmethionine (SAM) and carboxylate groups of amino acids in proteins [5]. Methanol is oxidized to formaldehyde and further degraded by multiple families of enzymes that include catalase, cytoplasmic alcohol dehydrogenase 5 (ADH5), and the mitochondrial aldehyde dehydrogenase 2 (ALDH2) [3, 6], and requires flavin adenine dinucleotide [7]. Hydrogen peroxide, for example, is naturally produced by a nicotinamide adenine dinucleotide phosphate (NADPH)-electron transfer mechanism, and accounts for the direct oxidation of methanol by catalase. Additionally, hydroxyl-radicals generated from the Fenton reaction of hydrogen peroxide support oxidation of methanol, generating formaldehyde and formate [8-11]. Cytochrome P450 monooxygenases also participate in production of formaldehyde [12-14]. These enzymes are responsible for oxidation of glycerol to formaldehyde in a NADPH-dependent process.

Lipid oxidation contributes to formaldehyde production, as a result of malondialdehyde (MDA) metabolism [15, 16]. In addition, semicarbazide-sensitive amine oxidase (SSAO) catalyzes deamination of endogenous methylamine resulting in release of formaldehyde [17-21]. This reaction, coordinated by SSAO, produces the corresponding aldehyde, hydrogen peroxide and ammonia [21-25]. Methylamine also derives from deamidation of adrenaline, as mediated by monoamine oxidase-A (MAO-A), and can be further metabolized to formaldehyde by SSAO. The general mechanism through which formaldehyde is generated by oxidative deamination of methylamine is:

 $CH<sub>3</sub>NH<sub>2</sub> + O<sub>2</sub> + H<sub>2</sub>O \rightarrow HCHO + H<sub>2</sub>O<sub>2</sub> + NH<sub>3</sub>$ 

where hydrogen peroxide and ammonia are released [22, 26]. Finally, formaldehyde is generated by the innate immune system where activation of neutrophils and monocytes engage the myeloperoxidase system, producing reactive oxygen species [16, 27].

An important function of formaldehyde is in support of one-carbon metabolism, a series of reactions in the cytoplasm as well as in mitochondria (Fig. 3) [28]. One-carbon metabolism supports proliferation and genome stability through biosynthesis of essential

cellular components, such as purines and pyrimidines, and provides antioxidant defenses. During this process, serine is cleaved to glycine and formaldehyde by serine hydroxymethyl transferase (SHMT). There are two isoforms of SHMT, SHMT1 and SHMT2, that localize, respectively, to the cytoplasm and mitochondria in mammalian cells. The result of SHMT activity is formation of N5, N10-methylene tetrahydrofolate (CH2-THF); this metabolite is produced as an essential substrate for other enzymes, such as thymidylate synthase, N5, N10-methylene tetrahydrofolate reductase and N5, N10-methylene tetrahydrofolate dehydrogenase. These enzymes carryout methylation reactions. Serine catabolism that forms formaldehyde (Fig. 3) also occurs through the action of methylene-THF dehydrogenase 1 (MTHFD 1), and in mitochondria due to methylene-THF 2 (MTHFD 2), or 2 like (MTHFD 2L), and methylene-THF dehydrogenase 1 like (MTHFD1L). Another route of formaldehyde formation involves the reaction of formaldehyde with cysteine and histidine [29]. The reactions result in formation of timonacic and spinacine, respectively. Timonacic is considered a reservoir of formaldehyde in the human body; decomposition of timonacic by ADH5 into formate and formaldehyde fuels one-carbon metabolism.

# **3. Regulation of Formaldehyde**

Despite of the range of processes through which formaldehyde is generated, physiological levels are maintained by multiple metabolic systems (Fig. 4). A product of these systems is formate (i.e., formic acid) that is either excreted in the urine or further oxidized to carbon dioxide and water. Formaldehyde clearance involves several different pathways, including the cytochrome P450 monooxygenases (CYPs). CYPs represent a superfamily of enzymes acting on exogenous and endogenous molecules, such as methanol and formaldehyde. These enzymes are widely expressed and localized to the endoplasmic reticulum and mitochondria. CYPs can activate molecular oxygen and stimulate the addition of a single oxygen atom in organic reactions [30-32]. The heme iron of CYPs binds oxygen, and iron linked to the thiolate sulfur group of cysteine, and stimulates splitting of molecular oxygen. The basic reaction catalyzed by CYPs is:

 $SH+O<sub>2</sub> + NADPH \rightarrow SOH + H<sub>2</sub>O + NADP$ 

where one oxygen atom is reduced to water and the other oxygen atom is activated and transferred to a substrate molecule, yielding the hydroxylated product, SOH [4, 33-35]. Microsomal enzymes, such as NADPH-cytochrome P450 oxidoreductase and cytochrome b5 are essential to coordinate CYPs functions [36].

One-carbon metabolism is engaged to safeguard physiological concentrations of formaldehyde [37, 38] through a mechanism that links the glutathione-antioxidant system and the ALDH system. Initially, glutathione reacts with formaldehyde producing Shydroxymethylglutathione that is further oxidized to S-formylglutathione in a NADP+ dependent manner by ADH5. Subsequently, S-formylglutathione enters the one-carbon metabolism cycle as formate, due to the activity of another enzyme, S-formylglutathione hydrolase (Fig. 4). ADH5 is capable of promoting oxidation of formaldehyde to formate in cells with defective mitochondrial folate metabolism, allowing formaldehyde to be used in

the one-carbon metabolism cycle under these circumstance. Finally, the cytoplasm contains multiple ALDH isoforms that oxidize formaldehyde to formate.

## **4. Reactions of Formaldehyde with DNA and DNA Repair Mechanisms**

Formaldehyde has an electrophilic carbon that reacts with electron-rich amino- and thiolgroups forming covalent adducts through nucleophilic substitutions. Thus, formaldehyde can form the Schiff base intermediate in a pathway leading to covalent bond formation with a protein or nucleobase in DNA. As a consequence, formaldehyde can influence DNA, RNA and proteins by forming mono-adducts [39], nucleic acid-protein crosslinks and protein-protein crosslinks [40]. In an example of these reactions, the exocyclic amine N6 of adenine acts as a nucleophile attacking formaldehyde; this in turn promotes alkylation of adenine in DNA or RNA [41]. The resulting intermediates can further react with other amine groups and generate nucleic acid adducts. DNA fragmentations, including single-strand and double-strand DNA breaks (DSBs), are additional lesions reported after cellular exposure to formaldehyde [42-45]. DNA lesions may be produced in response to formaldehyde-induced oxidative stress that results in oxidative-induced damage to DNA bases. Alkylated bases can be removed by base excision repair (BER) enzymes or can be subject to spontaneous base loss leaving abasic sites. Strand break intermediates produced after base removal or during the BER of oxidized bases could account for mitochondrial strand breaks.

Mitochondria do not possess the full set of DNA repair pathways available in the nucleus, but mitophagy as well as the BER pathway appear to be central mechanisms to address a variety of mitochondrial DNA small lesions. These small lesions may cause mitochondrial stress and trigger the activity of damage-associated molecular patterns (DAMPs) that activate innate immunity and mitophagy. In this context, the E3 ubiquitin ligase (parkin) and the ubiquitin kinase (PINK1) are crucial and function by removing damaged mitochondria. Recently, Youle and coworkers reported a strong inflammatory phenotype in both parkin−/− and Pink1−/− mice following exhaustive exercise and in Parkin−/− mutator mice [46]. These mice with deficient mitophagy develop severe inflammation due to accumulation of mutations in mitochondrial DNA (mtDNA). Interestingly, the inflammatory process caused by exhaustive exercise or mtDNA mutation was completely rescued by loss of STING, a key regulator of the type I interferon response to cytosolic DNA. These results support a role for PINK1- and parkin-mediated mitophagy in containing innate immunity [46]. Yet, it remains to be established whether mitochondria have a dedicated repair pathway for DNA-DSBs. It is known, however, that linear mtDNA, produced from DSBs, are digested by mitochondrial genome maintenance exonuclease I (MGME1) and the exonuclease activity of DNA polymerase  $γ$  (POLG).

To date, mtDNA replication stalling emerges as the primary cause of DSBs in mitochondria, and this is associated with the generation of mtDNA deletions [47, 48]. Several mutations in the mitochondrial helicase Twinkle and the replicative POLG have been also associated with promutagenesis features. Recently, it was reported that Twinkle upregulation promotes mtDNA replication stalling, accumulation of replicative intermediates, and mitochondrial genome destabilization linked to large-scale mtDNA deletions [49]. There are also reports that arrested mtDNA repair can result in formation of DNA protein crosslinks (DPCs), i.e.,

covalent trapping of a repair enzyme on mtDNA, essentially leading to more severe DNA damage than the original lesion [50, 51]. The mechanism by which formaldehyde induces mtDNA-DSBs is unknown.

In other important DNA alteration systems, formaldehyde is released in close proximity to DNA. An example of this is the release of formaldehyde from histones and methylated nucleobases following methyl group removal by the AlkB monooxygenase enzyme system. These enzymes attack the methyl group and other alkylation adducts in DNA through an AlkB mediated oxidative process . The overall result of the reaction is removal of methyl adducts from DNA and release of formaldehyde in proximity to DNA where reactions with nucleobases or abasic sites can occur.

As already noted, DNA is vulnerable to formaldehyde linked formation of structural and chemical alterations such as alkylated nucleobases, abasic sites, and adducts with chemicals, including formaldehyde. In addition, inter-strand crosslinks, strand breaks and DPCs are lesions found in DNA that appear to be promoted by formaldehyde. DPCs are bulky structures that likely affect genomic stability by stalling replication fork progression and other DNA transactions. The abundance of DPCs formation appears to be high in cells. DPC lesions smaller than approximately 8 to 11 kDa (proteolytic products), can be resolved by repair pathways, whereas other pathways are activated when DPCs have larger dimensions [52-54]. Stingele and coworkers described a DPC repair pathway that removes DPCs either during S-phase of the cell cycle by a DNA-dependent protease system, called Spartan (SPRTN/DVC1) [55-58], or through a replication-coupled proteolysis process that degrades DPCs into smaller peptides. DPCs also can be bypassed by translesion DNA polymerases (TLS) (Fig. 5). These mechanisms circumvent replisome disassembly. In this theme, the MCM2-7 helicase together with CDC45 and the GINS complex, form a DNA replicative helicase (CMG) that can bypass a leading strand DPC when DPC proteolysis is blocked. This process is facilitated by another helicase, RTEL1, that unwinds DNA, generating a single strand DNA region beyond the DPC; this allows CMG and DNA replication to bypass the DPC [54].

Formaldehyde-induced DPCs activate the Fanconi anemia protein complex facilitating repair of the DNA lesion [59, 60]. Cells deficient in the Fanconi anemia pathway are hypersensitive to formaldehyde treatment. Overall, multiple DPC DNA repair pathways, reflecting the range of lesions induced by formaldehyde, are involved in repairing formaldehyde-induced lesions. Based on studies in mammalian systems and in yeast [55, 56, 61] these are BER, NER, HR, TLS, Fanconi anemia repair and DPC repair (Fig. 5).

# **5. Formaldehyde Dysregulation and Mitochondrial DNA Damage**

A few reports have linked formaldehyde to the mitochondrial compartment [62, 63]. Evidence from microarray analysis indicates that deregulation of intracellular formaldehyde alters expression of many nuclear genes, including those linked to formaldehyde metabolism [45]. Increased intracellular formaldehyde has the potential to induce DPCs, making nucleic acids and proteins more vulnerable to degradation and in some cases inducing DPC signalling. These lesions in nuclear DNA are repaired by systems related to BER and by

other repair pathways (Fig. 5) [64-67]. Mitochondria have such proteasomal-based systems [61, 65]. These are coupled to both BER and NER. Consequently, mtDNA transactions may be vulnerable to formaldehyde-induced DPCs [50, 68-70]. Consistent with this notion, we have identified in both published and preliminary experiments, accumulation of nuclear strand break markers γH2AX and p53BP1 in mtDNA after formaldehyde treatment [45]. Given the well-known crosslinking effects of formaldehyde and the production of mtDNAprotein crosslinks, this might readily explain formaldehyde-induced strand break formation. A surprising observation, however, is that DSB repair is not well established within mitochondria [69, 70], and we did not expect to find strong accumulation of DSB proteins in the mtDNA. The results suggested that signalling of mitochondrial strands breaks to the nucleus must be robust. One explanation is that mitochondrial strand break signalling could be mediated by the innate immune system. The pattern-recognition receptors of the innate immune system are activated by nucleic acids, triggering multiple cellular signalling systems that are components of the cytosolic innate immune response.

DNA strand breaks in the cytoplasm are detected by the DNA sensing system that involves cyclic GMP-AMP synthase (cGAS). cGAS functions upstream of stimulator of interferon genes, STING, and naturally is inactive in cells. After binding to DNA, cGAS becomes activated, and the second messenger cyclic GMP-AMP (cGAMP) is produced. cGAMP activates STING, and stimulates TANK-binding kinase (TBK1). This cascade of events is followed by a series of phosphorylation reactions that trigger the expression of interferonstimulated genes that coordinate the well-recognized anti-viral innate immune response (Fig. 6) [71-76]. Interestingly, strand breaks induced by formaldehyde within mitochondrial DNA may cause mitochondrial DNA to leak into the cytoplasm, serving as a trigger for the innate immune response, and may be eventually destroyed by the 3' repair exonuclease 1 (TREX1). TREX1 plays a central role as a cytosolic 3' exonuclease that degrades cytosolic DNA to prevent activation of intracellular DNA sensors, inflammation, and genome instability. However, TREX1 does not directly degrade damaged 3' termini containing 3' obstructive groups [77], such as a DPC.

There are a number of Mendelian inborn metabolism error syndromes related to uncontrolled immune responses, known as type I interferonopathies. These conditions are associated with mutations in enzymes directly or indirectly involved in clearance of cytosolic DNA and DNA-RNA hybrids [78]. Recently, Yang and coworkers reported that knock-down of N-glycanase 1 (Ngly1), a deglycosylation enzyme that plays a role in immune homeostasis, activates DNA- and RNA-sensing pathways. They observed leakage of both mtDNA and RNA into the cytoplasm of Ngly1−/− cells, and this was associated with impaired mitophagy. To date, a broad range of autoimmune and inflammatory diseases, including Aicardi-Goutières syndrome and systemic lupus erythematosus, have been associated to DNA damage and deficiency in cytosolic nucleic acid metabolizing enzymes, such as TREX1 [79]. In addition, Wu and coworkers showed that in Tfam<sup>+/−</sup> cells, doxorubicin and PARP-9 knockdown caused mtDNA leakage into the cytoplasm. This release was a consequence of mtDNA damage and involved IFN–JAK–STAT signalling and interferon type I induction. In this system, mtDNA stress was shown to enhance nuclear DNA repair capacity, with PARP-9 playing a role in this type of DNA damage response [80]. Importantly, depletion of the mtDNA quality control enzyme, endonuclease

G-like 1 (EXOG), exacerbated interferon type I induction in Tfam+/− cells, suggesting involvement of EXOG in innate immune signalling [81]. It is noteworthy that newly synthesized mtDNA is particularly vulnerable to oxidation and nuclease activity due to its close proximity to the respiratory chain complex before packaging into nucleoids. This can generate oxidatively-induced mtDNA damage, promoting DNA leakage into the cytosol and activating the NLRP3 inflammasome (Fig. 6) [82]. An interface between formaldehyde and the innate immune system and mitochondria has been appreciated [81, 83, 84]. Mitochondria participate in innate immunity at several levels, such that this innate immune system can regulate energy requirement responses within the mitochondria [85, 86].

# **6. Survey of Formaldehyde in Disease**

Formaldehyde is classified as a carcinogen and is associated with many human illnesses, including neurodegenerative disorders and age-related diseases. In the last decade, in vitro and in vivo studies, indicated that formaldehyde excess reduces global DNA methylation by interfering with DNMT functions, and this has been associated with memory loss and age-related damage to neurons [87]. Formaldehyde-induced norepinephrine deficiency also has been reported to be related with cognitive decline syndromes [88].

A hallmark of the rare pediatric neurodegenerative genetic disease, known as Sarcosinemia, is the presence of high levels of sarcosine in the blood and urine. Sarcosinemia is associated with severe mental retardation. It is a recessive disorder with loss-of-function mutations in the sarcosine dehydrogenase gene (SARDH) that result in a reduction of the enzymatic activity of SARDH in mitochondria. Under this condition, sarcosine is not converted to formaldehyde and glycine, leading to sarcosine accumulation. Increased formaldehyde has been linked to Ruijs-Aalfs syndrome, a genetic condition characterized by genomic instability and progeria-like-features. Maternal and fetal aldehyde catabolism cooperates with the Fanconi anemia DNA repair pathway to preserve development [89], and mice deficient in this repair system spontaneously develop bone marrow toxicity and leukemia. Short life span and multisystem disorders are also associated with deficiency of formaldehyde clearance in mice and humans [90-92]. Prostate and bladder cancer patients have higher levels of urinary formaldehyde compared to healthy subjects and elevated concentrations of formaldehyde have been measured in different tissues from patients with breast or lung tumors.

#### **7. Perspectives**

Metabolic homeostasis of formaldehyde is preserved by mechanisms that prevent formaldehyde dysregulation through controlled formaldehyde generation and degradation processes. Formaldehyde excess has detrimental effects on genome stability, posing a threat to normal cellular functions. DNA repair mechanisms that become activated when formaldehyde metabolism is altered are the subject of ongoing investigation. The response to the apparent formaldehyde-induced mitochondrial strand breaks may involve mitotophagy and cytoplasmic DNA signalling pathways functioning in part in the innate immune system; these possibilities are under investigation, as are potential roles of cytoplasmic DNA degradation enzymes, such as TREX1.

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# **Abbreviations:**





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Endogenous sources of formaldehyde. Scheme showing key metabolic processes that generate formaldehyde in mammalian cells.



## **Fig. 2.**

Demethylation of histones produces formaldehyde. A) Lysine specific demethylase (LSD1) and B) Jumonji C (JmjC) domain removal of methyl groups from lysine (Lys) residues in histones. Reactions in both A and B release formaldehyde.



#### **Fig. 3.**

Simplified scheme illustrating formaldehyde reactions involved in canonical one-carbon metabolism. Distinct cytoplasmic and mitochondrial one-carbon metabolism reactions enable eukaryotic cells to support biochemical processes, such as nucleotide, amino acid and methyl group biosynthesis, essential for survival and DNA metabolism. The figure was adapted from reference 28.





Catabolism of formaldehyde. Scheme showing key clearance systems to prevent accumulation of formaldehyde in mammalian cells.

Formaldehyde-induced DNA damage



#### **Fig. 5.**

Summary of formaldehyde-induced DNA damage and repair. Endogenous formaldehyde can produce different lesions, such as single-strand (SSB) and double-strand DNA breaks (DSB), DNA-protein crosslinks (DPCs), base lesions, and DNA inter- and intra-strand crosslinks. Specialized DNA repair pathways are activated following formaldehyde-induced DNA damage to safeguard genome stability. Formaldehyde-DNA-induced lesions are repaired by DNA damage response repair pathway (DDR), homologous recombination (HR), nucleotide excision repair (NER), base excision repair (BER) and translesion synthesis (TLS). DPCs are predominantly resolved by DPCs-proteolysis repair systems that involve SPRTN and Wss1/2, the Fanconi complex and the proteasome system.



#### **Fig. 6.**

Inflammatory signalling pathways activated by mtDNA. In mammalian cells, the release of mtDNA into the cytosol induces different inflammatory signalling pathways, including endosomal localized TLR9, cGAS-STING or the cytosolic inflammasome (AIM2 or NLRP3).