The Clinical Pharmacogenetics Implementation Consortium (CPIC) guideline for *SLCO1B1, ABCG2*, and *CYP2C9* and statin-associated musculoskeletal symptoms

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Abstract

Statins reduce cholesterol, prevent cardiovascular disease, and are among the most commonly prescribed medications in the world. Statin-associated musculoskeletal symptoms (SAMS) impact statin adherence and ultimately can impede the long-term effectiveness of statin therapy. There are several identified pharmacogenetic variants that impact statin disposition and adverse events during statin therapy. *SLCO1B1* encodes a transporter (SLCO1B1; alternative names include OATP1B1 or OATP-C) that facilitates the hepatic uptake of all statins. *ABCG2* encodes an efflux transporter (BCRP) that modulates the absorption and disposition of rosuvastatin. *CYP2C9* encodes a Phase-I drug metabolizing enzyme responsible for the oxidation of some statins. Genetic variation in each of these genes alters systemic exposure to statins (*i.e.*, simvastatin, rosuvastatin, pravastatin, pitavastatin, atorvastatin, fluvastatin, lovastatin), which can increase the risk for SAMS. We summarize the literature supporting these associations and provide therapeutic recommendations for statins based on *SLCO1B1*, *ABCG2*, and *CYP2C9* genotype with the goal of improving the overall safety, adherence and effectiveness of statin therapy. This

document replaces the 2012 and 2014 Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines for *SLCO1B1* and simvastatin-induced myopathy.

Keywords

pharmacogenetics; pharmacogenomics; myalgias; rhabdomyolysis; CPIC; SLCO1B1; ABCG2; CYP2C9; HMGCR; CYP3A; statins; SAMS; simvastatin; rosuvastatin; fluvastatin; rosuvastatin; atorvastatin; lovastatin; pitavastatin; pravastatin

INTRODUCTION

In 2012, the Clinical Pharmacogenetics Implementation Consortium (CPIC) published a gene-based prescribing guideline for simvastatin based on *SLCO1B1* genotype (1), and this guideline was updated in 2014 (2). The current document replaces the original 2012 guideline and the 2014 update. New to this guideline are the addition of recommendations for *CYP2C9* and *ABCG2* and addition of recommendations for all statins.. We summarize literature supporting how *SLCO1B1*, *ABCG2*, and *CYP2C9* genotype test results should be applied to optimize new or existing statin therapy to reduce the risk of statin-associated musculoskeletal symptoms (SAMS). This CPIC document serves as a guide for selecting the most appropriate statin and the optimal dose **if** pharmacogenetic test results are available (not whether to perform pharmacogenetic testing). Decisions concerning when, in whom and at what intensity statin therapy should be initiated are beyond the scope of this manuscript and are extensively reviewed elsewhere (3). Given the balance of SAMS risk versus known cardiovascular disease benefit, for patients who are candidates for new statin therapy, pharmacogenetic test results may provide additional useful information. For patients currently prescribed statin therapy, depending on how long the patient has been tolerating the statin, pharmacogenetic test results may be used as the basis for changing to another statin type or dose. Statin therapy should neither be discontinued nor avoided based on *SLCO1B1*, *ABCG2*, or *CYP2C9* genotype results for patients with an indication for statin therapy, especially if the statin therapy is based on the shared decision making between patient and provider. Although evidence review included other outcomes such as the impact of genetic variation on lipid-lowering, the recommendations provided in this guideline are based on the effect of genetic variations on the risk of SAMS.

FOCUSED LITERATURE REVIEW AND UPDATE

A systematic literature review was conducted, focusing on associations of statin-related clinical endpoints (efficacy and toxicity) with gene variants of *SLCO1B1*, *ABCG2*, *CYP2C9*, *CYP3A4*, *CYP3A5*, and *HMGCR* (details in Tables S1-S5 and Supplement). Based on the evidence review and insufficient evidence to support clinical implementation, no recommendations are provided for *HMGCR*, *CYP3A4* or *CYP3A5* (see Tables S4 and S5 and the supplement text for details). Hence, this guideline will focus only on *SLCO1B1*, *ABCG2*, and *CYP2C9* genetic variation as these have been shown to impact statin exposure and risk of SAMS. As the previous CPIC guideline focused only on *SLCO1B1* and simvastatin, the *SLCO1B1* recommendation provided in this guideline should be considered a replacement of the previous *SLCO1B1* and simvastatin recommendations (2).

GENES: *SLCO1B1*, *ABCG2*, AND *CYP2C9*

Background

SLCO1B1.—SLCO1B1 (alternative protein names include OATP1B1, OATP-C) is used in this guideline to designate the protein product of the *SLCO1B1* gene. SLCO1B1 facilitates the hepatic uptake of statins, as well as other exogenous and endogenous compounds (*e.g.*, bilirubin and 17-beta-glucuronosyl estradiol) (4). Decreased function of this transporter (inherited through genetic variability or acquired through drug-mediated inhibition) can markedly increase the systemic exposure to statins, the putative causal factor underlying the link to SAMS (5). The *SLCO1B1* gene locus occupies 109 kb on chromosome 12 (Chr 12p12.2) and, although many single nucleotide variants (SNVs) have been identified in this gene, only a few are known to have a clinically relevant functional impact (***SLCO1B1 Allele Definition and Functionality Tables*** (6, 7)). The common c.521T>C variant, rs4149056, produces a p.V174A substitution and is contained within *SLCO1B1*5* and *15* haplotypes. The *SLCO1B1*17* haplotype also contains the c.521T>C variant; however, this allele designation no longer exists (the Pharmacogene Variation Consortium (PharmVar, (8)) recently merged this allele with *SLCO1B1*15*. The minor C allele at c.521T>C has been associated with decreased transport function *in vitro* and increased systemic exposure to several drugs *in vivo* (See Table S1). Differences in allele frequencies have been observed across multiple ancestries and geographically diverse groups (***SLCO1B1* Allele Frequency Table** (6, 7)).

ABCG2.—*ABCG2*, which encodes the transporter ATP-Binding Cassette G2 (also known as Breast Cancer Resistance Protein, BCRP) is expressed in many different tissues including liver, blood-brain barrier and intestine. ABCG2 facilitates the export of compounds into the extracellular space. The *ABCG2* gene locus spans over 66 kb on chromosome 4 (Chr 4q22.1). The common variant p.Q141K (c.421C>A, rs2231142) has been studied extensively; the minor A allele is associated with 30 to 40% reduced protein expression compared with the reference allele and with increased plasma levels of rosuvastatin (Table S2) (***ABCG2* Allele Definition and Functionality tables** (6, 7)). Differences in allele frequencies have been observed across multiple geographically, racially and ethnically diverse groups (***ABCG2* Allele Frequency Table** (6, 7)).

CYP2C9.—The CYP2C9 enzyme contributes to the Phase-I metabolism of many drugs. CYP2C9 is one of the CYP2C genes clustered in a 500-kb region on 10q24 (Chr 10q23.33) The *CYP2C9* gene is highly polymorphic, with at least 71 variant alleles (***CYP2C9* Allele Definition Table** (6, 7, 9)). Differences in allele frequencies have been observed across multiple geographically, racially- and ethnically-diverse groups (***CYP2C9* Allele Frequency Table** (6, 7)). The two most extensively studied variants are *CYP2C9*2* (p.R144C; rs1799853) and *CYP2C9*3* (p.I359L; rs1057910) (10), which reduce CYP2C9 function by approximately 30–40% and 80%, respectively, and lead to increased systemic exposure to fluvastatin (***CYP2C9* Allele Functionality table** (6, 7).

Genetic Test Interpretation

SLCO1B1.—The assignment of the predicted SLCO1B1 phenotype, based on star (*) allele diplotypes, has been summarized in Table 1. *SLCO1B1* haplotypes are often named using star allele nomenclature, representing various SNVs alone or in combination (PharmVar (8) and *SLCO1B1* **Allele Definition Table** (6, 7, 11)) that are associated with altered SLCO1B1 protein expression or function (**Allele Functionality Table** (6, 7)). The combination of alleles is used to determine a patient's diplotype (often also referred to as genotype), which can then be used to infer an individual's predicted phenotype (Table 1; *SLCO1B1* **Diplotype to Phenotype table** (6, 7)). Individuals with two increased function alleles (*SLCO1B1*14/*14*) have a SLCO1B1 increased function phenotype. Individuals with only normal function alleles (*SLCO1B1*1/*1*) or a normal function allele plus an increased function allele (*SLCO1B1*1/*14*) have a SLCO1B1 normal function phenotype, while individuals with one no function allele (*e.g., SLCO1B1*5*) and one normal or increased function allele have a SLCO1B1 decreased function phenotype and individuals with two no function alleles *(e.g., SLCO1B1*5/*5*)* have an SLCO1B1 poor function phenotype.

The most common and well-studied variant in *SLCO1B1* is c.521T>C (rs4149056), and can be genotyped alone (e.g., PCR-based single SNV assay) or multiplexed on a variety of array-based platforms. All *SLCO1B1* genetic tests should interrogate c.521T>C; however, while other less common variants in this gene may have limited evidence to guide action, they may also be important (*SLCO1B1* **Allele Definition and Functionality Tables** (6, 7)).

ABCG2.—Unlike *SLCO1B1* and *CYP2C9*, there is no star allele nomenclature to represent *ABCG2* variants at this time. Assignment of the predicted ABCG2 phenotype is summarized in Table 1. An individual carrying one normal function allele plus one decreased function allele (rs2231142; c.421C>A) has ABCG2 decreased function and an individual with two decreased function alleles has ABCG2 poor function. rs2231142 can be genotyped alone (e.g., PCR-based single SNP assay) or multiplexed on a variety of array-based platforms. Various commercial genotyping platforms include rs2231142 in panels of pharmacogenetic variants (12).

CYP2C9.—Most clinical laboratories reporting *CYP2C9* genotype use the star (*) allele nomenclature which can be found at PharmVar (8)and in the *CYP2C9* **Allele Definition Table** (6, 7). The combination of alleles is used to determine a patient's diplotype, which can then be used to infer an individual's predicted metabolizer phenotype (Table 1; *CYP2C9* **Diplotype to Phenotype Table** (6, 7)). Each allele's functional status is assigned an activity value ranging from 0 to 1 (e.g., 0 for no function, 0.5 for decreased function, and 1.0 for normal function), which are summed to calculate the activity score (AS) for each diplotype (*CYP2C9* **Allele Functionality Table** (6, 7)). The CYP2C9 AS is then translated into phenotype: individuals with an AS of 0 or 0.5 are poor metabolizers (PMs), those with an AS of 1 or 1.5 are intermediate metabolizers (IMs), and those with an AS of 2 are normal metabolizers (NMs) (Table 1; *CYP2C9* **Diplotype to Phenotype Table** (6, 7)). Because reference laboratories providing clinical *CYP2C9* genotyping may use varying methods to assign phenotypes, it is advisable to note a patient's *CYP2C9* diplotype and to refer to the

CYP2C9 **Diplotype to Phenotype Table** online for a complete list of possible diplotypes and phenotype assignments before making therapeutic decisions.

Available Genetic Test Options

See the Genetic Testing Registry (www.ncbi.nlm.nih.gov/gtr/) for more information on commercially available clinical testing options.

Incidental findings

Genetic variability in *SLCO1B1* influences the hepatic uptake of other drugs (e.g., methotrexate) (13, 14) as well as important endogenous compounds (e.g., bilirubin) (15). Complete SLCO1B1 and SLCO1B3 deficiency is associated with Rotor Syndrome (15). Genetic polymorphisms in *ABCG2* influence absorption and disposition of many drugs including anti-cancer drugs and anti-viral drugs (16). In addition, genomewide association studies reveal that *ABCG2* variants influence serum uric acid levels, risk for gout and response to the anti-gout medication, allopurinol (17, 18). In addition, null *ABCG2* expression is associated with the Junior blood group, which determines presence of the Jr(a) antigen (19). No diseases or conditions have been consistently or strongly linked to variation in *CYP2C9* independent of drug metabolism and response. CYP2C9 IMs and PMs may be predisposed to serious bleeding during warfarin therapy and increased risk of phenytoin- and non-steroidal anti-inflammatory drug (NSAID)-related toxicities (20–23).

Other considerations

All studies in this literature review investigated each gene individually for SAMS. As high throughput genotyping and more sequence-based analyses become more widely available, it is important to consider higher order interactions of these (and other) genes, in addition to epigenetic, drug-drug-gene and gene-environment interactions in statin therapies.

DRUGS: STATINS (HMG-CoA Reductase Inhibitors)

Background

One in four Americans aged 40 and older use a statin (24). In 2018, atorvastatin and simvastatin were the #1 and #10 most commonly prescribed drugs in the US, respectively. Statins have a wide therapeutic index. The most common statin-related adverse drug reaction (ADR) is skeletal muscle toxicity which manifest as SAMS (25). SAMS include a range of clinical entities from the most common (about 1 in 10), myalgia (pain without evidence of muscle degradation., i.e. creatine kinase levels < 3x normal); less common (about 1 in 2000), myopathy (evidence of muscle degradation with or without myalgia, i.e. creatine kinase levels 3x normal); and rare (less than 1 in 10,000), rhabdomyolysis (severe muscle damage with risk for acute kidney injury)(26). Based on extrapolation from dose-response and drug-drug interaction data, most SAMS cases are likely statin concentration-dependent (27) due to direct statin myotoxicity. An alternative form of SAMS stems from an autoimmune-mediated necrotizing myopathy characterized by autoantibodies against HMGCR and is not considered further in this guideline's reference to SAMS.

The frequency of SAMS in clinical practice is higher than observed in blinded, placebo-controlled trials for reasons that can be attributed to differences in the types of patients enrolled in clinical trials versus practice, the use of "run-in" periods in clinical trials, as well as a potential "nocebo" effect of statins. Nevertheless, patients and providers frequently report SAMS in clinical practice and data from the National Health and Nutrition Examination Survey (NHANES) suggesting that the 'number needed to harm' maybe as high as 17 (28). Although described as "mild", SAMS frequently leads to statin discontinuation, thus leading to higher cholesterol levels and a higher risk for cardiovascular disease if statins are not re-initiated (29, 30).

Linking genetic variability to variability in drug-related phenotypes

We applied a systematic approach to reviewing the evidence underlying the clinical validity of genetic associations with statin-related phenotypes including statin pharmacokinetics (*in vivo* and *in vitro*), SAMS, hepatotoxicity, lab-based efficacy (cholesterol lowering) and clinical efficacy (vascular event reduction). Statins evaluated included simvastatin, rosuvastatin, pravastatin, pitavastatin, atorvastatin, fluvastatin, and lovastatin. We reviewed the evidence for *SLCO1B1*, *ABCG2*, *CYP2C9*, *CYP3A4/5*, and *HMGCR*, and applied a grading system for each piece of evidence that evaluated an association between genotype and phenotype (Tables S1-S5). We found the highest levels of evidence for *SLCO1B1* (all statins), *ABCG2* (rosuvastatin), and *CYP2C9* (fluvastatin), and this evidence forms the basis for therapeutic recommendations in the current guideline. Evidence tables for *CYP3A4/5* and *HMGCR* are provided in the supplement (Tables S4 and S5). Based on weak evidence and the lack of conclusive clinical action based on genotype, no recommendations are provided for statins and *CYP3A4/5* and *HMGCR*. See section "Linking genetic variability to variability in drug-related phenotypes" in the supplement for discussion of evidence.

Therapeutic Recommendations

SLCO1B1.—The American College of Cardiology and the American Heart Association issued an updated clinical practice guideline for the management of blood cholesterol in 2018. In those guidelines, statins at various daily doses are classified as high-, medium- or low-intensity statins based on expected ranges of LDL-cholesterol lowering. For example, they recommend initiation of high-intensity statins in patients with evidence of clinical atherosclerotic cardiovascular disease (ASCVD) which may include atorvastatin at 40 or 80 mg once daily or rosuvastatin at 20 or 40 mg once daily (3). Figure 1 is designed to be used in conjunction with the aforementioned guideline, as it provides statin recommendations, including preferred statin intensity and statin dose, stratified by SLCO1B1 phenotype (i.e., decreased or poor function). Statin and statin doses indicated in the light grey boxes can be prescribed with the lowest risk for SAMS. Statin and statin doses indicated in dark grey boxes should be used with caution (possible increased risk for SAMS) and statin and statin doses indicated in black boxes should be avoided as the available evidence suggests that they are associated with increased risk of harm. The recommendations are based on the combination of available pharmacokinetic and SAMS-risk data, in most cases, and are informed by the number of available statin options within each intensity. Some statins and doses in Figure 1 were derived based on pharmacokinetic data only (see Figure 1 legend). Full recommendations can be found in Tables 2.

ABCG2.—Recommendations for *ABCG2* are specific to rosuvastatin (Table 3). For individuals who have *ABCG2* poor function, a rosuvastatin starting dose of 20mg is recommended; however, if a dose greater than 20mg is needed for desired efficacy, an alternative statin or combination therapy (e.g., statin + ezetimibe) is recommended. Although the risk of myopathy is unknown, rosuvastatin exposure (AUC) was 144% greater in those with the c.421AA genotype than the c.421CC genotype (wild-type) (31); thus, the recommendation is based primarily on pharmacokinetic data. Likely because of the higher hepatic exposure, the ABCG2 c.421A variant has also been associated with improved cholesterol lowering response to rosuvastatin in large genomewide association studies (32). Selection and dosing of rosuvastatin should also consider Asian ancestry (Table 3, see the Supplemental Material for more discussion). Atorvastatin pharmacokinetics are also affected by *ABCG2* genetic variation; however, at this time, there is insufficient evidence to provide a recommendation (no recommendation, CPIC level C). As noted previously, there is also limited evidence for providing recommendations for other statins based on genetic variation in *ABCG2*.

CYP2C9.—Recommendations for fluvastatin based on CYP2C9 phenotype are available in Table 4. Genetic variations in *CYP2C9* are associated with increased exposure to fluvastatin (Table S3), but the pharmacokinetics or pharmacodynamics of other statins are not affected.

CYP2C9 IMs should avoid fluvastatin doses greater than 40mg while CYP2C9 PMs should avoid doses greater than 20mg. If higher doses are required for desired efficacy, an alternative statin should be considered. If fluvastatin therapy is warranted, consider combination therapy of fluvastatin (40mg in IMs and 20mg in PMs) plus a non-statin lipid-lowering agent.

Combinatorial gene-based recommendations.—Although specific combinations of *SLCO1B1* with *ABCG2* or *CYP2C9* genotypes are likely to result in additive effects on the pharmacokinetic properties of rosuvastatin or fluvastatin, respectively, little information is available on how to adjust initial doses based on combined genotype information (33). Combinatorial gene-based recommendations generated by extrapolating evidence supporting the single gene associations and assuming that they are additive, are provided for rosuvastatin in Table 5 and fluvastatin in Table 6. Because there are limited clinical or pharmacokinetic data regarding these combinatorial phenotypes, pharmacotherapy recommendations are classified as optional for the high-risk phenotype groups (e.g., SLCO1B1 no function plus ABCG2 no function). In the case of fluvastatin recommendations for CYP2C9 poor metabolizers who also have SLCO1B1 decreased or poor function, we recommend prescribing an alternative agent rather than prescribing a lower dose based on the available dosage forms (no dosage form less than 20 mg is available for fluvastatin).

General guidance for patients already receiving statin therapy.—The therapeutic recommendations described herein predominately apply to a new or a revision (dose or type) to statin prescription. However, given the increasing shift towards panel-based testing for multiple pharmacogenes, and the vast number of individuals already receiving statin therapy, an important issue to consider is how to manage statin therapy for patients that

may already be receiving statin therapy, and then receive a genotype result, particularly for those whose genotype indicates that they are in a higher risk category based on the currently prescribed statin (i.e., moderate or high SAMS risk in Figure 1). For patients with SLCO1B1 genotype-statin dose combinations that fall within the moderate SAMS risk categories in Figure 1 who have already been on a stable statin and dose for at least 4 weeks without any symptoms suggestive of SAMS, then it is reasonable to continue that statin and dose long term (34). If those patients have been receiving that statin therapy for less than 4 weeks, then clinicians may consider changing to a lower SAMS risk statin/dose in order to prevent the development of SAMS. For patients that fall into the high SAMS risk categories, and they have been taking that statin therapy for at least 1 year without any negative effects, then it is deemed safe to continue that statin therapy long term. If those patients have been taking statin therapy for less than 1 year, then clinicians may consider changing to a lower SAMS risk statin/dose in order to reduce the risk for development of SAMS. These recommendations for the minimum duration of statin therapy for continued safe use long term are primarily based on expert opinion and the onset of SAMS observed for simvastatin in different *SLCO1B1* genotypes in a single prospective clinical trial(34).

Pediatrics.—At the time of this writing, there are no data available regarding *SLCO1B1* genotype effects on statin response or myopathy in pediatric patients. However, pharmacokinetic data show that the rs4149056 SNV in *SLCO1B1* may affect the disposition of simvastatin more in children compared to adults, and the variant has equivalent impact on pravastatin and rosuvastatin pharmacokinetics between children and adults (35–37).

Recommendations for Incidental Findings

CPIC has published guidelines for utilizing *CYP2C9* genotype for prescribing phenytoin, NSAIDs and warfarin (20–23).

Other Considerations

Other factors influencing SAMS.—Other factors known to influence a patient's risk for developing SAMS include increased statin dose, drug interactions, advanced age, small body mass index, female gender, metabolic co-morbidities (e.g., hypothyroidism), intense physical exercise, and Asian or African ancestry (25, 38–41) (see Supplement). Because polypharmacy is common in the elderly, the association with age is often partly attributed to drug-drug interactions (see below) as well as increases in the frequency of chronic renal or hepatic disease (42).

Statin dose is the strongest independent predictor of myopathy risk. The risk of SAMS is approximately 6-fold higher in patients on high-dose than lower-dose statin therapy (43). Among all statins, a growing body of evidence suggests that the influence of dose may be greatest for simvastatin (44). The exact molecular mechanism of SAMS is unclear, and evidence supports both direct and indirect myotoxic effects of statins on skeletal muscle, possibly mediated through changes in the balance of isoprenoids accompanying the inhibition of skeletal muscle HMG CoA reductase (45–47).

Drug-Drug Interactions.—In the context of statin monotherapy, myopathy rates are low (48). The frequency of this ADR increases with co-administration of medications altering the pharmacokinetics of statins (e.g., co-administration with cyclosporine [SLCO1B1 and ABCG2 interaction], gemfibrozil [SLCO1B1 and CYP2C8 (fluvastatin only) interaction] or calcium channel blockers [CYP3A4/5 interaction]). See the Supplemental Material for more information. A list of inhibitors for CYP3A, CYP2C9, SLCO1B1,ABCG2, CYP3A4 and CYP2C8 is available on the US FDA site (49).

POTENTIAL BENEFITS AND RISKS FOR THE PATIENT

Based on the highly prevalent use of statins, one potential benefit of preemptive *SLCO1B1, ABCG2*, and *CYP2C9* testing may be a reduction in the incidence of SAMS, by identifying those at significant risk and recommending a lower statin dose or an alternative statin with lower SAMS risk. While prospective data showing that prescribing based on genetic testing results alter SAMS incidence are lacking, there are emerging data demonstrating an improvement in patient's perceptions of statins, appropriate statin prescribing, neutral data on patient-reported adherence, and mixed data on reducing LDL-cholesterol levels (50, 51) as other potential benefits of applying *SLCO1B1* testing to clinical practice.

A possible risk could be an error in genotyping. Because genotypes are lifelong test results, any such error could stay in the medical record for the life of the patient. An error in genotyping could result in a decrease in statin dose that was not otherwise necessary and could result in inadequate lipid lowering therapy. However, this risk can be minimized by 1) monitoring to ensure that the appropriate LDL-cholesterol reduction is achieved for the intended statin intensity and 2) using an alternative statin with a similar statin intensity based on the recommendation in Figure 1. Another potential risk is that a patient or provider may inappropriately stop or avoid statin therapy, and this could cause higher LDL-cholesterol and increased cardiovascular risk.

CAVEATS: APPROPRIATE USE AND/OR POTENTIAL MISUSE OF GENETIC TESTS

As with any diagnostic test, genetic variation is just one factor that clinicians should consider when prescribing statins. Furthermore, rare variants may not be included in the genotype test used, and patients with rare variants that reduce SLCO1B1 function may be incorrectly assigned a normal phenotype based on a default to wild-type (*1) test result.

In summary, statins are a powerful class of medications for lowering LDL cholesterol and cardiovascular risk with an established track record of safety and efficacy. However, statin-related musculoskeletal symptoms are the most frequently cited reason for discontinuing statin therapy. Although clinicians are well-tuned to trial stopping and later reinitiating statin therapy in those who develop SAMS, in many patients statin therapy is never restarted. As a result, LDL cholesterol values are higher as is their risk for cardiovascular disease. We applied a rigorous approach evaluating the collective evidence around *SLCO1B1, ABCG2*, and *CYP2C9* on systemic drug exposure and risk of SAMS. Our evidenced-based recommendations for genotype-guided statin therapy are focused on reducing the risk

of SAMS. Based on this foundation, future research can evaluate the extent to which implementation of these guidelines impacts prescribing, SAMS risk, statin adherence, LDL cholesterol levels, and risk for cardiovascular events in patients prescribed statin therapy.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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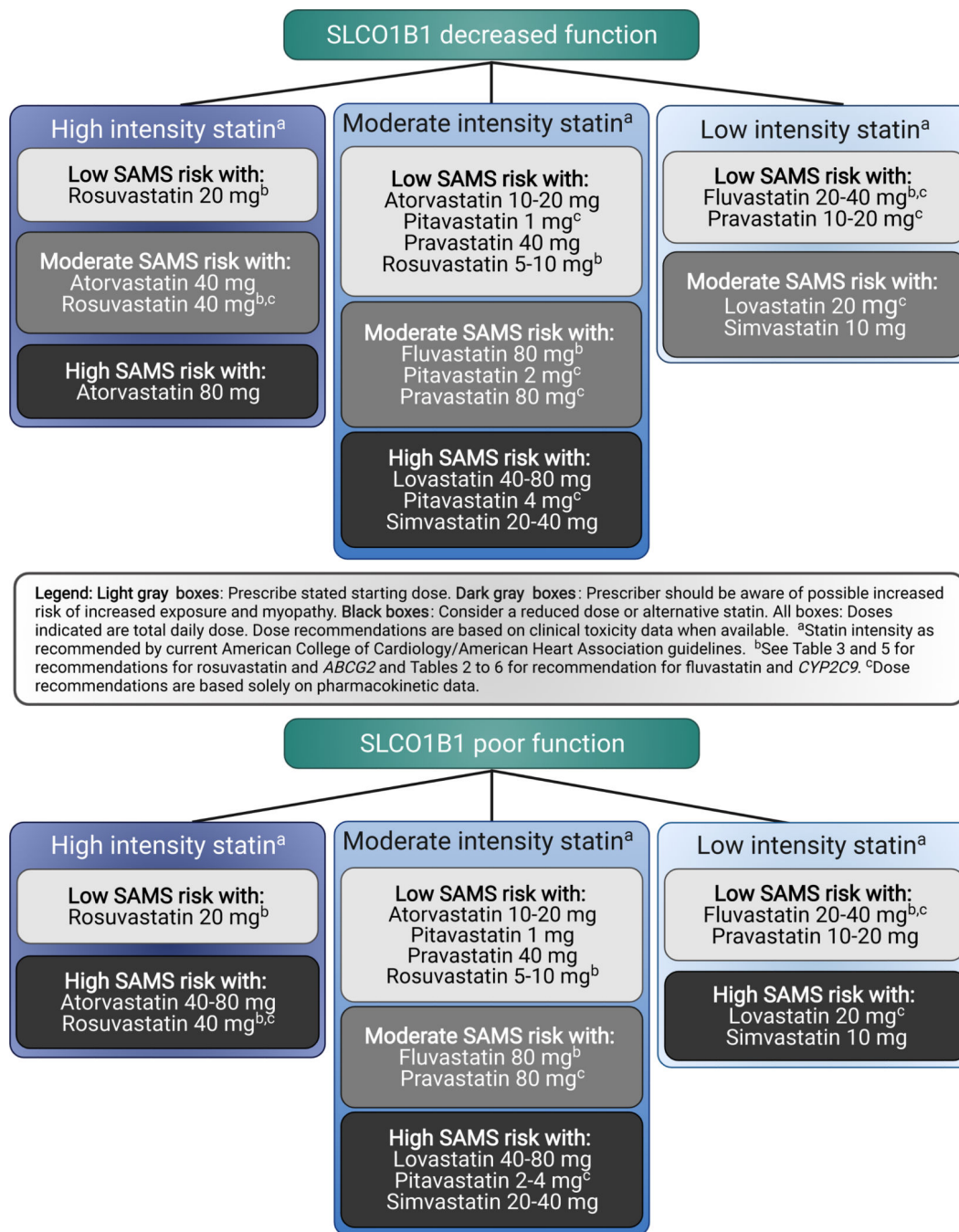
FIGURE 1.
SLCO1B1 recommendations with intensity and statin dose stratified by SLCO1B1
phenotype; all doses assume adult dosing.

TABLE 1.

ASSIGNMENT OF PREDICTED SLCO1B1, ABCG2, AND CYP2C9 LIKELY PHENOTYPE BASED ON GENOTYPE

Gene	Phenotype [a,b]	Activity score (if applicable)	Genotype	Examples of diplotypes
	Increased function	n/a	An individual carrying two increased function alleles	*14/*14
	Normal function	n/a	An individual carrying two normal function alleles or one normal plus one increased function allele	*1/*1, *1/*14
	Decreased function	n/a	An individual carrying one normal or increased function allele plus one no function allele	*1/*5, *1/*15,
SLCO1B1	Possible Decreased Function		An individual carrying one no function allele plus one uncertain/unknown function allele	*5/*6, *15/*10, *5/*43
	Poor function	n/a	An individual carrying two no function alleles	*5/*5, *5/*15, *15/*15
	Indeterminate	n/a	An individual carrying one normal function allele plus one uncertain or unknown function allele OR allele combinations with uncertain and/or unknown function alleles	*1/*7, *1/*10, *7/*10
	Normal function	n/a	An individual carrying two normal function alleles	c.421 C/C (rs2231142)
ABCG2	Decreased function	n/a	An individual carrying one normal function allele plus one decreased function allele	c.421 C/A (rs2231142)
	Poor function	n/a	An individual carrying two decreased function alleles	c.421 A/A (rs2231142)
	Normal Metabolizer	2	An individual carrying two normal function alleles	*1/*1
CYP2C9	Intermediate Metabolizer	1.5 1	An individual carrying one normal function allele plus one decreased function allele OR one normal function allele plus one no function allele OR two decreased function alleles	*1/*2 *1/*3, *2/*2
	Poor Metabolizer	0.5 0	An individual carrying one no function allele plus one decreased function allele OR two no function alleles	*2/*3 *3/*3
	Indeterminate	n/a	An individual carrying allele combinations with uncertain and/or unknown function alleles	*1/*7, *1/*10, *7/*10

[a] Allele and phenotype frequencies vary by ancestral group (see **Frequency Table** (6, 7)).

[b] Assignment of allele function and associated citations can be found in the **Allele Functionality Tables** (6, 7). For a complete list of diplotypes and resulting phenotypes, see the **Diplotype to Phenotype Table** (6, 7).

TABLE 2.

DOSING RECOMMENDATIONS FOR STATINS BASED ON SLCO1B1 PHENOTYPE IN ADULTS

Phenotype	Implications	Dosing Recommendations	Classification of Recommendations[a]	Considerations
All Statins				
SLCO1B1 Increased Function	Typical myopathy risk and statin exposure	Prescribe desired starting dose and adjust doses based on disease-specific guidelines.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function and ancestry should be evaluated prior to initiating a statin.
SLCO1B1 Normal Function	Typical myopathy risk and statin exposure	Prescribe desired starting dose and adjust doses based on disease-specific guidelines.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function and ancestry should be evaluated prior to initiating a statin.
Atorvastatin				
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased atorvastatin exposure as compared to normal function which may translate to increased myopathy risk	Prescribe 40mg as a starting dose and adjust doses of atorvastatin based on disease-specific guidelines. Prescriber should be aware of possible increased risk for myopathy especially for 40mg dose. If dose >40mg needed for desired efficacy, consider combination therapy (i.e., atorvastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased atorvastatin exposure as compared to normal and decreased function which may translate to increased myopathy risk.	Prescribe 20mg as a starting dose and adjust doses of atorvastatin based on disease-specific guidelines. If dose >20mg is needed for desired efficacy, consider rosuvastatin or combination therapy (i.e., atorvastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Fluvastatin				
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased fluvastatin exposure as compared to normal function; Typical myopathy risk with 40 mg.	Prescribe desired starting dose and adjust doses of fluvastatin based on disease-specific guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >40mg per day.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased fluvastatin exposure as compared to normal and decreased function; Typical myopathy risk with doses less 40 mg.	Prescribe 40mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If patient is tolerating 40mg per day but higher potency is needed, a higher dose (>40mg) or an alternative statin (see Figure 1 for recommendations for alternative statins) or combination therapy (i.e. fluvastatin plus non-statin guideline directed medical therapy)(3) could be considered. Prescriber should be aware of possible increased risk for myopathy with fluvastatin especially with doses >40mg per day.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Lovastatin				

Phenotype	Implications	Dosing Recommendations	Classification of Recommendations[a]	Considerations
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased lovastatin acid exposure as compared to normal function which may translate to increased myopathy risk	Prescribe an alternative statin depending on the desired potency (see Figure 1 for recommendations for alternative statins). If lovastatin therapy is warranted, limit dose to 20mg/day.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased lovastatin acid exposure as compared to normal and decreased function which may translate to increased myopathy risk	Prescribe an alternative statin depending on the desired potency (see Figure 1 for recommendations for alternative statins).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Pitavastatin				
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased pitavastatin exposure as compared to normal function which may translate to increased myopathy risk	Prescribe 2mg as a starting dose and adjust doses of pitavastatin based on disease-specific guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >1mg. If dose >2mg needed for desired efficacy, consider an alternative statin (see Figure 1 for recommendations for alternative statins) or combination therapy (i.e. pitavastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased pitavastatin exposure as compared to normal and decreased function which may translate to increased myopathy risk.	Prescribe 1mg as a starting dose and adjust doses of pitavastatin based on disease-specific guidelines. If dose >1mg needed for desired efficacy, consider an alternative statin (see Figure 1 for recommendations for alternative statins) or combination therapy (i.e. pitavastatin plus non-statin guideline directed medical therapy)(3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Pravastatin				
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased pravastatin exposure as compared to normal function; Typical myopathy risk with doses 40 mg.	Prescribe desired starting dose and adjust doses of pravastatin based on disease-specific guidelines. Prescriber should be aware of possible increased risk for myopathy with pravastatin especially with doses >40mg per day.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased pravastatin statin exposure as compared to normal and decreased function; Typical myopathy risk with doses 40 mg.	Prescribe 40mg as a starting dose and adjust doses of pravastatin based on disease-specific guidelines. If patient is tolerating 40mg dose but higher potency is needed, a higher dose (>40mg) or an alternative statin (see Figure 1 for recommendations for alternative statins) or combination therapy (i.e. pravastatin plus non-statin guideline directed medical therapy)(3) could be considered. Prescriber should be aware of possible increased risk for myopathy especially with pravastatin doses >40mg.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Rosuvastatin				

Phenotype	Implications	Dosing Recommendations	Classification of Recommendations[a]	Considerations
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased rosuvastatin exposure as compared to normal function; Typical myopathy risk with doses 20 mg.	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >20mg.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function and Asian ancestry should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased rosuvastatin exposure as compared to normal function and decreased function; Typical myopathy risk with doses 20 mg.	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines If dose >20mg needed for desired efficacy, consider combination therapy (i.e. rosuvastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function and Asian ancestry should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Simvastatin				
SLCO1B1 Decreased Function Or SLCO1B1 Possible Decreased Function	Increased simvastatin acid exposure as compared to normal function; increased risk of myopathy	Prescribe an alternative statin depending on the desired potency (see Figure 1 for recommendations for alternative statins). If simvastatin therapy is warranted, limit dose to <20mg/day.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
SLCO1B1 Poor Function	Increased simvastatin acid exposure compared to normal and decreased function; highly increased myopathy risk	Prescribe an alternative statin depending on the desired potency (see Figure 1 for recommendations for alternative statins).	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.

[a]Rating scheme described in the Supplemental Material.

TABLE 3.

DOSING RECOMMENDATIONS FOR ROSUVASTATIN BASED ON *ABCG2* PHENOTYPE IN ADULTS

Phenotype	Implications	Dosing Recommendations	Classification of Recommendations[a]	Considerations
Normal Function	Typical myopathy risk and rosuvastatin exposure	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function and Asian ancestry should be evaluated prior to initiating rosuvastatin.
Decreased Function	Increased rosuvastatin exposure as compared to normal function; unknown risk for myopathy; increased lipid lowering effects	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific guidelines and specific population guidelines.	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function and Asian ancestry should be evaluated prior to initiating rosuvastatin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
Poor function	Increased rosuvastatin exposure compared to normal and decreased function; unknown myopathy risk; increased lipid-lowering effects	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy)(3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function and Asian ancestry should be evaluated prior to initiating rosuvastatin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.

[a] Rating scheme described in the Supplemental Material.

TABLE 4.

DOSING RECOMMENDATIONS FOR FLUVASTATIN BASED ON CYP2C9 PHENOTYPE IN ADULTS

Phenotype	Implication	Dosing recommendations	Classification of Recommendations[a]	Considerations
CYP2C9 Normal Metabolizer	Normal exposure	Prescribe desired starting dose and adjust doses of fluvastatin based on disease-specific guidelines.	Strong	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin.
CYP2C9 Intermediate Metabolizer AS of 1 and 1.5	Increased fluvastatin exposure as compared to normal metabolizer which may translate to increased myopathy risk	Prescribe ≤40mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >40mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.
CYP2C9 Poor Metabolizer AS 0.5 and 0	Increased fluvastatin exposure as compared to normal and intermediate metabolizer which may translate to increased myopathy risk.	Prescribe ≤20mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3).	Moderate	The potential for drug-drug interactions and dose limits based on renal and hepatic function should be evaluated prior to initiating a statin. The effects of drug-drug interactions may be more pronounced resulting in a higher risk of myopathy.

[a]Rating scheme described in the Supplemental Material.

TABLE 5.

COMBINED RECOMMENDATION FOR ROSUVASTATIN BASED ON SLCO1B1 AND ABCG2 PHENOTYPE IN ADULTS

	ABCG2 Normal Function	ABCG2 Decreased Function	ABCG2 Poor Function
SLCO1B1 Increased Function	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. STRONG	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. MODERATE	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy)(3). OPTIONAL
SLCO1B1 Normal Function	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. STRONG	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. MODERATE	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy)(3). OPTIONAL
SLCO1B1 Decreased Function OR Possible SLCO1B1 Decreased Function	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >20mg. STRONG	Prescribe desired starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >20mg. MODERATE	Prescribe 10mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >10mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy)(3).OPTIONAL
SLCO1B1 Poor Function	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose > 20mg needed for desired efficacy, consider combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy) (3). MODERATE	Prescribe 20mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >20mg needed for desired efficacy, consider combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy) (3). MODERATE	Prescribe 10mg as a starting dose and adjust doses of rosuvastatin based on disease-specific and specific population guidelines. If dose >10mg needed for desired efficacy, consider combination therapy (i.e., rosuvastatin plus non-statin guideline directed medical therapy)(3). OPTIONAL

Rating scheme described in the Supplemental Material

TABLE 6.

COMBINED RECOMMENDATION FOR FLUVASTATIN BASED ON SLCO1B1 AND CYP2C9 PHENOTYPE IN ADULTS

	CYP2C9 Normal Metabolizer	CYP2C9 Intermediate Metabolizer	CYP2C9 Poor Metabolizer
SLCO1B1 Increased Function	Prescribe desired starting dose and adjust doses of fluvastatin based on disease-specific guidelines. STRONG	Prescribe 40mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >40mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3). MODERATE	Prescribe 20mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3). MODERATE
SLCO1B1 Normal Function	Prescribe desired starting dose and adjust doses of fluvastatin based on disease-specific guidelines. STRONG	Prescribe 40mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >40mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3). MODERATE	Prescribe 20mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3). MODERATE
SLCO1B1 Decreased Function OR Possible Decreased Function	Prescribe desired starting dose and adjust doses of fluvastatin based on disease-specific guidelines. Prescriber should be aware of possible increased risk for myopathy especially for doses >40mg per day. MODERATE	Prescribe 20mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If dose >20mg needed for desired efficacy, consider an alternative statin or combination therapy (i.e., fluvastatin plus non-statin guideline directed medical therapy) (3). OPTIONAL	Prescribe an alternative statin depending on the desired potency (see Figure 1 for recommendations for alternative statins). OPTIONAL
SLCO1B1 Poor Function	Prescribe 40mg per day as a starting dose and adjust doses of fluvastatin based on disease-specific guidelines. If patient is tolerating 40mg per day but higher potency is needed, a higher dose (>40mg) or an alternative	Prescribe an alternative statin depending on the desired potency (see Table 2 and Figure 1 for recommendations for alternative statins). OPTIONAL	Prescribe an alternative statin depending on the desired potency (see Table 2 and Figure 1 for recommendations for alternative statins). OPTIONAL

Rating scheme described in the Supplemental Material