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Author manuscript *Sleep Med.* Author manuscript; available in PMC 2023 May 01.

Published in final edited form as:

Sleep Med. 2022 May ; 93: 90–99. doi:10.1016/j.sleep.2021.11.004.

# Linear and Nonlinear Associations between the Sleep Environment, Presleep Conditions, and Sleep in Adolescence: Moderation by Race and Socioeconomic Status

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# Abstract

**Objective/Background:** The role of the sleep environment and presleep conditions that may influence adolescents' sleep are understudied. The aims of the current study were to examine linear and nonlinear associations between the sleep environment and presleep conditions and adolescents' daytime sleepiness and sleep/wake problems.

**Method:** Participants included 313 adolescents ( $M_{age} = 17.39$  years, SD = 10.38 months; 51.4% girls, 48.6% boys; 59.1% White/European American, 40.3% Black/African American) from a wide range of socioeconomic backgrounds living in the southeastern United States. Adolescents completed surveys assessing the sleep environment (e.g., light, bedding), four presleep conditions (i.e., general worries, family concerns, arousal, somatic complaints), and sleep (daytime sleepiness, sleep/wake problems).

**Results:** Sleep environment disruptions and worse presleep conditions were positively associated with sleepiness and sleep/wake problems in a linear fashion. Nonlinear associations emerged such

Conflicts of Interest

Ethical Approval

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The authors have no relevant financial or non-financial interests to disclose. All authors certify that they have no affiliations with or involvement in any organization or entity with any financial interest or non-financial interest in the subject matter or material discussed in this manuscript.

The study was conducted in accordance with ethical standards. All study procedures received IRB approval from Auburn University prior to data were collection.

Informed Consent

Parents provided informed consent for adolescents to participate and adolescents provided assent to participate.

Credit Author Statement

LM participated in the statistical analysis, interpretation of the data, and drafted the manuscript; MZ participated in the writing and revising of the manuscript; OM participated in the writing and revising of the manuscript; JB participated in the conceptualization of the study and writing of the manuscript; ME participated in the design and coordination of the study, and the writing and revision of the manuscript.

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that levels of sleepiness increased rapidly between low and average levels of the sleep environment and two presleep conditions (worries, arousal); the slope leveled off between average and high levels. Moreover, linear effects of environmental disruptions, family concerns, somatic complaints, and presleep arousal on sleep/wake problems were moderated by race and/or SES, indicating that positive associations between some presleep conditions and sleep/wake problems were more pronounced for Black and lower SES youth.

**Conclusions:** Results support the importance of the sleep environment and multiple presleep conditions and assessments of both linear and nonlinear effects for a better understanding of factors that may contribute to sleep. Additionally, results indicate the sleep environment and some presleep conditions may be more consequential for disadvantaged youth.

#### Keywords

presleep conditions; presleep arousal; sleep environment; sleep; sleepiness

Short and poor-quality sleep is common in adolescence (Gradisar et al., 2011) and has implications for numerous aspects of development, including physical and mental health, risky behaviors, and academic achievement (Shochat et al., 2014). For example, short sleep duration, poor-quality sleep, and subjective sleep problems are associated with poor school performance (Dewald et al., 2010) as well as internalizing symptoms and externalizing behaviors (Gregory & Sadeh, 2012; Shimizu et al., 2021). Daytime sleepiness also has a negative relation with adolescents' mental health (O'Callaghan et al., 2021; Shimizu et al., 2020) and academic performance (Philbrook et al., 2018). Several biological, psychological, and societal factors contribute to sleepiness and sleep/wake problems in this developmental period (Carskadon, 2011). For instance, developmental changes in the circadian phase (Crowley et al., 2007), increased screen time and technology use (Hale & Guan, 2015), and increased academic demands (Fuligni & Hardway, 2006) contribute to later bedtimes and difficulty falling asleep, whereas school start times necessitate waking early in the morning (Carskadon, 2011). The convergence of these influences results in insufficient sleep and daytime sleepiness (Owens, 2014). However, less is known about how the sleep environment and presleep general worries, family concerns, arousal, and somatic complaints (collectively referred to as the sleep environment and presleep conditions) may contribute to sleep problems in adolescence, where the associations may be more consequential given developmental changes in sleep.

The current study examines associations between the sleep environment and presleep conditions and sleep in a community sample of adolescents. Two sleep parameters are examined including sleepiness (i.e., difficulty staying awake or falling asleep during daytime activities) and sleep/wake problems (i.e., problems initiating or maintaining sleep at night or waking in the morning). A secondary aim was the assessment of race (Black/ African American, White/European American) and family socioeconomic status (SES) as moderators of these relations.

A growing body of research has found that the sleep environment is associated with sleep among children, with room temperature (i.e., too hot or cold) being the most commonly reported sleep hindrance across multiple studies (e.g., Bagley et al., 2015; Rubens et al.,

2019). Noise within the home (e.g., family members talking, snoring) and outside the home (e.g., road traffic, alarms) are also common disruptions to sleep (Mayne et al., 2021; Spilsbury et al., 2016). Too much light (Ikeda et al., 2014) and the presence of screens (e.g., television, smartphones) in the bedroom are linked to greater sleep disturbances among youth (Hale & Guan, 2015). Uncomfortable bedding (Bagley et al., 2015; Ikeda et al., 2014) and sharing a bed with others may additionally disrupt youths' sleep (Ikeda et al., 2014; Li et al., 2008). Fewer studies have considered the sleep environment more holistically (Rubens et al., 2020; Peltz et al., 2021). For example, Bagley and colleagues (2015) demonstrated that a poor sleep environment (e.g., noise, uncomfortable bed, temperature, light) was associated with sleep/wake problems and daytime sleepiness among children using a subset of the sample on which this study is built (age 10-12). In the present study, we build on the literature by examining relations between several potential sleep environment disruptions (e.g., light, noise, bedding) and sleep parameters in late adolescence.

In addition to the physical sleep environment, presleep conditions may contribute to problems sleeping. In the present investigation, four distinct conditions were considered: general worries, family concerns, arousal, and somatic complaints. Although these constructs may be associated, they are distinct, and assessment of each of them individually is likely to provide key knowledge about their relations with sleep. Prior research has shown that worry (i.e., presleep worry or general trait worry) was related to shorter sleep duration, longer sleep onset latency, and poorer sleep quality in a recent meta-analysis, although most of the examined studies utilized adult samples (Clancy et al., 2020). In addition, general presleep worries were associated with greater sleep/wake problems and daytime sleepiness among children (Bagley et al., 2015). Yet only a few studies have examined presleep worries in adolescence, a unique developmental period characterized by poor sleep and increased stress (Owens, 2014), and results from these studies were inconclusive (Bartel et al., 2015). Contributing to this literature, we examined relations between general *presleep worries* (about school, friends, family, kids, or other worries) and sleep in adolescence.

Although most existing research has not examined the content of presleep worries, family stressors may lead youth to worry, disrupting the sense of security necessary to facilitate sleep (Dahl, 1996). For instance, parental problem drinking is associated with children's emotional insecurity (Keller et al., 2011), as well as with shorter and poorer quality sleep among children (Kelly & El-Sheikh, 2019). Furthermore, parents' marital conflict has been linked with short sleep duration, poor sleep quality, and greater daytime sleepiness (Kelly & El-Sheikh, 2011). Characteristics of the parent-child relationship, including parent-child conflict (Kelly et al., 2014), closeness (Bell & Belsky, 2008), and parental acceptance (Zeringue et al., 2021), have also been found to relate to adolescents' sleep. Thus, *presleep family concerns* (i.e., worries about the parent-child relationship, parents' marital relationship, and parents' drinking) may be particularly detrimental to youths' sleep, and was examined as an individual construct.

Presleep arousal (Yeh et al., 2015), a related yet distinct construct from worries, encompasses cognitive (e.g., being unable to stop thinking) and physiological processes (e.g., rapidly beating heart) experienced while attempting to fall asleep (Gregory et al., 2008). Among adults, presleep arousal is robustly associated with greater sleep/wake

problems (e.g., Schneider et al., 2019). The smaller number of investigations with youth indicate that such arousal is associated with sleep disturbances in community samples of children (Gregory et al., 2008; Heath et al., 2018), as well as youth with anxiety disorders (Alfano et al., 2010). However, studies examining associations with daytime sleepiness are lacking. Building on this small literature, we assessed the associations between *presleep arousal*, indicated by cognitive and physiological arousal, and sleep.

Moreover, not much is known about relations between somatic complaints before or during sleep and sleep in adolescence. Somatic symptoms throughout the day and chronic pain are associated with sleep difficulties in youth (Norell-Clarke & Hagquist, 2018; Palermo et al., 2007), yet a smaller body of research has addressed nighttime somatic complaints that may keep youth awake. We extend existing evidence by testing relations between *presleep somatic complaints* (e.g., pain, stomachache, headache) and sleep.

The vast majority of the literature between sleep and other domains of functioning has examined linear relations. Assessment of linear relations assumes that rate of change in the outcomes is the same across all levels of the predictors (Cohen et al., 2003). However, the rate of change in sleep may not be the same across all levels of sleep environment disruptions or presleep conditions. A few studies have examined nonlinear associations between sleep parameters and adolescent adjustment. For example, among younger children and adolescents, both shorter and longer sleep duration were related to greater internalizing and externalizing problems, a U-shaped relationship (Fuligni et al., 2018; James & Hale, 2017; Mears et al., 2020). In one study, nonlinear associations between sleepiness and internalizing problems were found, although the findings varied by gender (Shimizu et al., 2020).

Scant attention has been paid to potential nonlinear associations between the sleep environment or presleep conditions and sleep. However, such associations are plausible. For example, the negative effects of such conditions may appear at lower levels of sleep disturbances and then plateau. Alternatively, relations may be most evident at higher levels of sleep disruptions. In one empirical example with adolescents, nonlinear associations demonstrated sharp increases in both sleep latency and midsleep awakenings at more than two hours of screen media use before bed (Hisler et al., 2020). Towards a more thorough examination of research questions, we assessed both linear and nonlinear associations among the sleep environment, presleep conditions, and sleep.

Racial and socioeconomic disparities in sleep are well established. Short sleep duration and poor sleep quality are found among Black youth (Guglielmo et al., 2018) and those from lower-SES families (El-Sheikh et al., 2013; Marco et al., 2012), as compared to their White and higher SES counterparts, respectively. Similarly, Black and lower-SES youth also report greater daytime sleepiness (Philbrook et al., 2018). Racial and socioeconomic disparities in the sleep environment are also prevalent. Multiple environmental disruptions to sleep were commonly reported among a sample of low-income Black adolescents (Rubens et al., 2019), and racial differences in the quality of housing contributed to sleep disparities between White and Black adults (Johnson et al., 2018). Further, the quality of the physical home environment (e.g., overall noise levels; Doane et al., 2019) as well as the conditions of the

sleep environment (e.g., noise while trying to sleep; Bagley et al., 2015) each mediated relations between SES and children's sleep. Higher SES allows families to invest in a physical environment that supports healthy sleep, for example by providing comfortable bedding and temperature control (El-Sheikh et al., 2013). Higher SES is additionally associated with fewer presleep worries among children (Bagley et al., 2015).

Consistent with dual-risk (Sameroff, 1983) and health disparities (Buckhalt, 2011) perspectives, exposure to disruptions in the sleep environment and worse presleep conditions may be particularly disadvantageous for the sleep of lower-SES or Black individuals who are already disadvantaged by racial/ethnic or socioeconomic barriers (e.g., being historically minoritized, experiencing discrimination; El-Sheikh, Kelly et al., 2017; El-Sheikh, Zeringue et al., 2021). Additionally, the allostatic load model (Goldstein & McEwen, 2002) suggests that environmental stressors (e.g., living in poverty, experiencing discrimination) influence how the body responds to stress over time. Indeed, Black and lower SES adolescents exhibit higher allostatic loads (Rainisch & Upchurch, 2013), which may alter physiological responses to stress (El-Sheikh, Hinnant et al., 2017; El-Sheikh et al., 2020) and lead to an increased sensitivity to concurrent stressors associated with the sleep environment.

In sum, race and SES are associated with the sleep environment and presleep conditions, as well as greater sleep problems, although much less is known about whether race or SES moderate examined relations. In one of the few studies to examine interaction effects, Spilsbury and colleagues (2005) conducted observational assessments of the homes of urban children. They found that race moderated the association between the overall home environment and sleep duration, such that a higher quality home environment related to better sleep among Black but not White children. We are not aware of studies that have examined race or SES as moderators of relations between the sleep environment or presleep conditions and sleep in youth. However, race and SES moderated the relations between family and community stressors (e.g., parent relationship dissolution, community violence; Philbrook et al., 2020; Rudd et al., 2021) and sleep problems in youth. The overall pattern of effects demonstrated that risk variables were especially associated with sleep problems among Black or lower SES youth. Additionally, some positive factors (e.g., physical activity) have been found to interact with race or SES to predict sleep (Gillis et al., 2021), suggesting that a better sleep environment and less adverse presleep conditions may reduce sleep problems among Black or lower SES youth.

# The Present Study

The first study aim was to examine linear and nonlinear associations between the sleep environment and presleep conditions (general worries, family concerns, arousal, and somatic complaints) and two sleep parameters (i.e., daytime sleepiness and sleep/wake problems) in a community sample of adolescents. We expected that a more disruptive sleep environment and worse presleep conditions would demonstrate positive linear associations with sleepiness and sleep/wake problems. Assessment of nonlinear associations was exploratory.

The second aim was to examine whether race (Black, White) and family SES moderated the aforementioned associations. We expected that the examined relations would be particularly evident for Black and lower SES youth. That is, a poorer sleep environment and worse presleep conditions may be particularly detrimental for Black or lower SES youth. At the same time, given evidence showing protective effects in the context of positive environmental factors for Black and lower SES youth (e.g., Gillis et al., 2021), it is also plausible that a less disruptive environment and better presleep conditions may be particularly beneficial for Black or lower SES youth, attenuating racial and socioeconomic disparities in sleep. Of note is that these two plausabilities are not mutually exclusive.

# Method

#### Participants

The present study included participants from the fourth wave of a larger longitudinal study examining sleep and health disparities in children (Auburn University Sleep Study), which was approved by the university's institutional review board. All adolescents who participated in previous waves were invited to participate in this study wave. At the initial wave (2009-2010 school year), 282 participants were recruited through letters distributed at local public schools in the southeastern United States (for more detail see Shimizu et al., 2020). At the current wave (2017–2018 school year), 126 additional families were recruited from the same school districts using the same recruitment methods. Youth did not have a diagnosed sleep disorder or learning disability based on mothers' reports at recruitment. A total of 323 adolescents participated at the current wave, however, ten participants were excluded from our analyses because they were missing data on all five predictors and both outcomes. Adolescents who participated in previous waves (1–3) made up the majority of the sample (62.5%).

The analytic sample included 313 adolescents ( $M_{age} = 17.39$  years, SD = 10.38 months) and was comprised of 51.4% girls, 48.6% boys (59.1% White/European American, 40.3% Black/African American). The majority of youth were attending high school physically (84.0%), 4.8% were attending high school online or were homeschooled, 1.3% were attending physical junior high school, 4.5% were attending college, 3.2% were working and not in school, and 2.2% were unemployed. According to the federal poverty guidelines at the time of data collection (U.S. Department of Commerce; www.commerce.gov), participants included 18.9% living in poverty, 19.9% low income, 39.4% middle class, and 21.8% upper middle class.

# Procedure

Data were collected during the 2017–2018 school year, excluding holidays. Adolescent assent/consent and parental consent was obtained, and families received monetary compensation for participation. For brevity, only pertinent procedures are described. Mothers reported on family size and annual family income during a brief telephone questionnaire. Adolescents completed online surveys to assess the main study variables.

#### Measures

**The Sleep Environment and Presleep Conditions**—A modified version of The Sleep Environment Inventory (SEI; Mezick et al., 2008) was used to assess three presleep conditions: sleep physical *environmental factors, presleep somatic complaints*, and *presleep worries*. The 20-item measure assesses factors commonly reported as reasons for disrupted sleep. Adolescents rated each item that "keeps them from sleeping well" during the last week. The response choices included 0 (no/not applicable) and 1 (yes). The *environmental factors* subscale includes ten of the SEI items (Table 1; e.g., "Noise outside"), which were summed to create a total score ( $\alpha = 0.82$ ). The *presleep worries* subscale consists of five of the items (Table 1; e.g., "About schoolwork"), which were summed to create a total score ( $\alpha = 0.82$ ). Finally, five of the items were summed to create the *presleep somatic complaints* subscale (Table 1;  $\alpha = 0.70$ ; e.g., "Stomachache").

Adolescents also completed the Presleep Arousal Scale, which consists of 18 items assessing cognitive and physiological presleep arousal (PSA; Nicassio et al., 1985). Adolescents were instructed to describe how intensely they experience each item as they tried to fall asleep in their own bedroom on a scale of 1 (not at all) to 5 (extremely). Example items assessing physiological arousal include "A jittery, nervous feeling in your body," "Perspiration in palms of your hands or other parts of your body," and "A tight, tense feeling in your muscles." Example cognitive arousal items include "Can't shut off your thoughts," "Worry about problems other than sleep," and "Review or ponder events of the day." The response choices were summed to create a total *presleep arousal* score ( $\alpha = 0.91$ ; consistent with Schneider et al., 2019).

In addition, and modeling after the format of the Presleep Arousal Scale (Nicassio et al., 1985), we created an additional five-item scale that assessed *presleep family concerns*. Adolescents described how intensely they experience each item as they tried to fall asleep in their own bedroom on a scale of 1 (not at all) to 5 (extremely). The five items were: "Worry about adults in the home arguing," "Worry about your relationship with your mother," "Worry about your relationship with your father," "Worry about your mother drinking alcohol," "Worry about your father drinking alcohol." The response choices were summed to create a total *presleep family concerns* score ( $\alpha = 0.77$ ).

**Sleep/wake parameters**—Daytime *sleepiness* and *sleep/wake problems* were measured via the School Sleep Habits Survey (Wolfson & Carskadon, 1998). *Sleepiness* is a nine-item subscale asking adolescents if, in the last two weeks, they have struggled to stay awake in various situations such as "Traveling in a bus, plane, train, or car," "During a test," and "While working on a computer." One item regarding driving a car was removed. Responses were on a scale from 1 to 4 (1 = no, 2 = struggled to stay awake, 3 = fallen asleep 4 = both struggled to stay awake and fallen asleep;  $\alpha = .84$ ).

*Sleep/wake problems* is a ten-item subscale asking youth how often they have experienced problems falling or staying asleep or waking in the morning in the past two weeks ( $\alpha = .83$ ). Items include "Stayed up until at least 3 am," "Needed more than one reminder to get up in the morning," and "Had nightmares or bad dreams during the night." Responses were on a

scale from 1 to 5. Items were coded so that 1 = never, 2 = once, 3 = twice, 4 = several times, and 5 = every day/night.

**Covariates**—Several covariates were included in the models: age, race, socioeconomic status (SES), and sex. Race was dichotomized such that 0 = White and 1 = Black. SES was defined by income-to-needs ratio based on mother-reported family income and family size.

# Results

## Plan of Analysis

Descriptive statistics and bivariate correlations were examined, and *t*-tests were conducted to examine sex and race differences for all study variables. All variables were checked for skewness, and values greater than three standard deviations from the mean were winsorized to the value equal to three standard deviations above or below the mean (Cousineau & Chartier, 2010). One value was winsorized for age, two for SES, four for presleep arousal, seven for presleep family concerns, four for sleepiness, and three for sleep/wake problems. Additionally, two participants identified their ethnicity as Latinx/Hispanic. For the purposes of race moderation, these two participants' race data was considered missing. All predictor variables were mean-centered to reduce collinearity and aid in the interpretability of coefficients (Dalal & Zickar, 2012).

Analyses were conducted in MPlus version 8.4 (Muthén & Muthén, 1998–2017) using full information maximum likelihood estimation to handle missing data (Enders & Bandalos, 2001). Variables were entered in a series of models, rather than simultaneously, to facilitate interpretation of the main effects of the predictors. For a given sleep outcome (sleepiness and sleep/wake problems), control variables were entered first, followed by environmental disruptions or one of the four presleep condition predictors. Next, nonlinear (i.e., quadratic) relationships between the presleep condition predictor and a sleep outcome were tested; non-significant quadratic effects were removed for parsimony prior to testing moderation (Kline, 2010). Finally, interactions between race or SES and the linear effects of environmental disruptions or presleep conditions on sleep were examined. Interactions between race/SES and each significant nonlinear effect were also examined, but none were significant, and thus these results are not presented. Significant interactions were plotted at +/- 1 *SD* from the mean or at 0 for White participants and 1 for Black participants (Preacher et al., 2006).

#### Preliminary Analyses

Bivariate correlations between individual items from the Sleep Environment Inventory (used to yield environmental factors, presleep worries, and presleep somatic complaints scales) and race, SES, sleepiness, and sleep/wake problems are presented in Table 1. Sixteen of the 20 items representing the presleep constructs were correlated with sleepiness, and all 20 items were correlated with sleep/wake problems.

Descriptive statistics and bivariate correlations between covariates, the sleep environment and four presleep conditions scales, and sleep/wake parameters are presented in Table 2. The sleep environment and the four presleep conditions were modestly but consistently associated with both sleepiness and sleep/wake problems in expected directions.

Independent samples *t*-tests showed no sex differences on any study variable. White participants had higher SES (t(300) = 8.21,  $M(SD)_{White} = 3.32(1.77)$ ,  $M(SD)_{Black} = 1.86(1.33)$ , d = 0.91), higher levels of presleep arousal (t(262) = 2.56,  $M(SD)_{White} = 28.28(10.56)$ ,  $M(SD)_{Black} = 25.05(9.31)$ , d = 0.32), and fewer sleep/wake problems (t(228) = -2.47,  $M(SD)_{White} = 20.59(6.89)$ ,  $M(SD)_{Black} = 23.11(8.56)$ , d = 0.32) compared to Black adolescents. There were no other differences by race on any study variable. Participants who were missing data on the SEI or PSA scales (17.5% missing at least one scale) did not differ from participants without missing data on sex, race, SES, age, sleepiness, or sleep/wake problems.

#### Sleepiness

The first set of regression models tested linear and nonlinear relations between sleep environment disruptions and presleep conditions with sleepiness, as well as the moderating role of race and SES in these associations (Table 3). The covariates sex, age, race, and SES were not associated with sleepiness (not presented in the tables for parsimony). After accounting for the covariates, the sleep environment and each of the four presleep conditions showed positive linear associations with sleepiness. Moreover, three of the linear main effects were modified by a nonlinear main effect predicting sleepiness. Specifically, there was a rapid acceleration in sleepiness between low to average levels of environmental factors (Figure 1a), presleep worries (Figure 1b), and presleep arousal (Figure 1c). In all models, the negative nonlinear term modified the positive linear term such that sleepiness initially rapidly increased but then plateaued at average levels of the sleep environment, presleep general worries, and arousal. Thus, the increase in sleepiness was less steep between average and high levels of the sleep environment, presleep general worries, and arousal.

Specifically, in comparison to low levels of environmental disruptions, youth reported more sleepiness at average levels of such disruptions, while between average and high levels of disruptions youth reported a less steep increase in sleepiness (Figure 1a). Comparison of predicted means in sleepiness at low (M= 12.67) and average (M= 14.70) levels of environmental disruptions indicated a 0.47 *SD* difference in sleepiness. In addition, compared to average levels of environmental disruptions, sleepiness was slightly higher when disruptions were increased (M= 15.64), a difference of 0.21 *SD*. Sleepiness was higher between low (M= 12.09) and average (M= 15.12) worries, a 0.70 *SD* difference. Furthermore, sleepiness was slightly higher between average and high (M= 16.20) levels of presleep worries, a 0.25 *SD* difference in sleepiness. In addition, sleepiness was higher between low (M= 12.28) and average (M= 14.68) levels of arousal, a 0.55 *SD* difference, whereas the difference in sleepiness was somewhat less pronounced between average and high (M= 16.03) levels of arousal, a 0.31 *SD* difference. None of the linear or nonlinear associations were moderated by race or SES.

#### Sleep/Wake Problems

The second set of regression models tested linear and nonlinear relations between sleep environment disruptions and presleep conditions and sleep/wake problems and the moderating role of race and SES (Table 4). For a summary of results, see Table 5. None of the linear effects were modified by quadratic effects, and thus the nonlinear terms were not

retained in models. The covariates sex, age, and race did not relate to sleep/wake problems. SES showed a negative association with sleep/wake problems (B(SE) = -0.99(0.27),  $\beta = -0.23$ , p < .001). Environmental disruptions and the four presleep conditions were positively and linearly associated with sleep/wake problems, and SES and race moderated some of the examined relations.

As shown in Figure 2, the pattern of interaction effects illustrated that environmental disruptions were associated with higher levels of sleep/wake problems for both White and Black adolescents, although the slope was steeper for Black adolescents. At lower levels of environmental disruptions, the predicted means of sleep/wake problems were similarly low for White (M= 18.85) and Black (M= 18.94) adolescents. However, at higher levels of environmental disruptions, Black youth (M = 26.67) had more sleep problems than their White counterparts (M = 22.88), a 0.49 *SD* difference. Among Black adolescents, sleep/ wake problems were higher at more, versus fewer, environmental disruptions, equivalent to a difference of one full *SD* in sleep/wake problems.

As shown in Figure 3a, higher levels of family concerns were associated with higher levels of sleep/wake problems for both White and Black adolescents, although the slope was steeper for Black adolescents. For both White (M= 19.92) and Black (M= 18.42) adolescents, sleep/wake problems were similarly low for those with less family concerns. However, at higher levels of such concerns, Black youth (M = 25.80) had more sleep/wake problems than White adolescents (M = 22.39), a difference of 0.44 *SD*. Among Black adolescents, those with higher levels of family concerns reported more sleep/wake problems than those with lower levels of such concerns, a 0.96 *SD* difference.

As shown in Figure 3b, more presleep family concerns were associated with higher levels of sleep/wake problems only for lower SES youth. Higher SES youth had relatively low sleep/wake problems regardless of family concerns. At lower levels of these concerns, lower (M = 19.78) and higher (M = 18.80) SES youth had similarly low sleep/wake problems. At greater levels of concerns, lower SES youth (M = 26.84) had more sleep/wake problems compared to their higher SES counterparts (M = 20.52). For lower SES adolescents, sleep/wake problems were higher at more, versus less, family concerns, a 0.92 *SD* difference.

As shown in Figure 4, there was a positive association between presleep arousal and sleep/ wake problems for both White and Black adolescents, although the relation was more pronounced for the latter. At lower levels of arousal, the predicted means of sleep/wake problems were similarly low for White (M= 18.96) and Black (M= 19.06) adolescents. However, at higher levels of such arousal, predicted means of sleep/wake problems were higher for Black (M= 27.38) compared to White (M= 22.64) adolescents. Further, among Black adolescents, those with higher levels of presleep arousal reported more sleep/wake problems than those with lower levels, equivalent to a 1.08 *SD* difference.

As shown in Figure 5, there was a significant positive association between presleep somatic complaints and sleep/wake problems for lower SES adolescents, and a marginal positive association for higher SES adolescents. Adolescents with low levels of somatic complaints reported relatively low levels of sleep/wake problems, regardless of family SES. However,

at increased levels of such complaints, predicted means of sleep/wake problems were higher for less affluent (M = 26.12) compared to more affluent (M = 21.13) adolescents. For lower SES adolescents, sleep/wake problems were higher at more compared to less somatic complaints, a 0.82 *SD* difference.

# Discussion

We examined linear and nonlinear associations between the sleep environment, multiple presleep conditions, and sleep in a socioeconomically diverse sample composed of 60% White and 40% Black youth. Contributing to a relatively small body of literature with adolescents, and consistent with expectations, findings provide evidence of positive linear relations between the sleep environment and all presleep conditions examined (general worries, family concerns, arousal, and somatic complaints) and both daytime sleepiness and sleep/wake problems. Moreover, the sleep environment and both presleep worries and arousal showed nonlinear associations with daytime sleepiness. Consistent with hypotheses, some moderation effects were found and revealed that, in the context of more disruptions in the sleep environment and worse presleep conditions (excluding presleep worries), elevated risk of sleep/wake problems was particularly evident among Black and/or lower-SES adolescents. In the context of better presleep conditions and fewer environmental disruptions, racial and socioeconomic disparities in sleep/wake problems were reduced or eliminated.

Previous studies have reported associations between the sleep environment (e.g., Bagley et al., 2015; Peltz et al., 2021) and presleep conditions (e.g., Bartel et al., 2015; Heath et al., 2018) and various sleep/wake parameters. Much of the research on the sleep environment has focused on one or a few indicators, such as screens in the bedroom (Hale & Guan, 2015), neighborhood noise (Mayne et al., 2021), light exposure (Ikeda et al., 2014), or bed sharing (Li et al., 2008), rather than assessing a more inclusive measure of environmental disruptions (Bagley et al., 2015; Rubens et al., 2019). Using a more comprehensive measure of the sleep environment (10 items, e.g., light, noise, bedding), we found evidence consistent with hypotheses that adolescents' daytime sleepiness and sleep/wake problems linearly increased with environmental disruptions, consistent with studies of children (Bagley et al., 2015; Doane et al., 2019) and adults (Johnson et al., 2021). Furthermore, similar to literature supportive of nonlinear relations between adolescent sleep and adjustment (e.g., Shimizu et al., 2020; Fuligni et al., 2018), as well as media use before going to bed and sleep (Hisler et al., 2020), results demonstrated nonlinear associations between the sleep environment and sleepiness in youth. From low to average levels of environmental disruptions, there was a sharper increase in sleepiness than between average to high levels. These results suggest that even a few environmental disruptions increase the risk of daytime sleepiness. These findings are the first of their kind and demonstrate the importance of assessments of nonlinear relations.

General worries (Clancy et al., 2020) and presleep arousal (Schneider et al., 2019) are well established correlates and predictors of sleep, however, few studies have examined multiple and more nuanced indicators of presleep conditions with youth. Consistent with expectations and contributing to existing evidence, all presleep conditions examined (i.e.,

presleep worries, family concerns, arousal, and somatic complaints) were linearly associated with daytime sleepiness and sleep/wake problems. To our knowledge, all studies examining relations between presleep conditions in youth and sleep have assessed linear associations. In addition to linear relations, general worries and presleep arousal showed nonlinear relations with daytime sleepiness. Specifically, there were initially sharp increases in sleepiness from low to average levels of these presleep conditions and less steep increases between average and high levels, consistent with findings for sleep environment disruptions. It should be noted that the existence of some nonlinear effects does not diminish the importance of the consistent linear effects. It is not clear why nonlinear effects emerged for some but not all examined relations, and these results should be considered preliminary pending findings from other studies.

There is a substantial literature documenting health disparities in sleep for youth who are historically minoritized or exposed to socioeconomic disadvantage (El-Sheikh et al., 2013; Guglielmo et al., 2018). Race and SES have also been found to moderate relations between several risk factors (e.g., community violence concerns, parental relationship dissolution) and short and poor-quality sleep (Rudd et al., 2021; Philbrook et al., 2020). Our findings are novel in demonstrating that some associations between both the sleep environment and presleep conditions and sleep were moderated by race or SES (analyses of moderation by race controlled for SES and vice versa). More sleep environment disruptions and worse presleep arousal and family concerns were linearly associated with sleep/wake problems for both Black and White adolescents, yet the relation was more pronounced for the former. Supportive of dual-risk and health disparities perspectives (Buckhalt, 2011; El-Sheikh, Kelly et al., 2017), we found increased vulnerability to sleep problems for historically minoritized youth. Black youth may experience more stressors on average (e.g., racial discrimination; Yip, 2018) which result in disruptions in physiological functioning that may directly impact sleep (El-Sheikh, Hinnant et al., 2017) and exacerbate the effects of other stressors on sleep (Philbrook et al., 2020). Thus, stress may explain why disruptions in the sleep environment and worse presleep conditions have stronger impacts on sleep/wake problems among Black youth. Importantly, our findings also demonstrate protection against sleep problems in the context of fewer environmental disruptions and better presleep conditions such that Black adolescents have similar sleep to White adolescents, reducing this health disparity.

We also found that SES moderated associations between some presleep conditions and adolescents' sleep/wake problems. Previous research has supported the notion that the sleep environment and family risk factors are possible explanatory mechanisms linking socioeconomic disparities and sleep (e.g., Doane et al., 2019; Guglielmo et al., 2018). We found that higher levels of somatic complaints and family concerns were related to higher levels of sleep/wake problems only for lower-SES adolescents. Higher-SES youth reported fewer sleep/wake problems regardless of whether presleep conditions were better or worse. Somatic complaints are more common among lower income youth (Reynolds et al., 2001), and our findings contribute evidence that nighttime somatic complaints exacerbate the influence of lower family SES on sleep. Our study also showed that presleep family concerns are particularly detrimental for lower SES adolescents, consistent with evidence that different family stressors are more strongly related to sleep for such youth (Kelly & El-Sheikh, 2011; Rudd et al., 2021). Demonstrative of protection, lower-SES youth had similar

sleep to their higher-SES counterparts when they had fewer presleep somatic complaints or family concerns, reducing socioeconomic disparities in sleep.

The study has several strengths and weaknesses. We utilized a relatively large sample that included a high representation of adolescents exposed to socioeconomic adversity as well as a relatively high proportion of Black participants. However, all participants lived in small towns and rural communities in the southeastern United States. In addition, only Black and White youth participated, further limiting the generalizability of the findings. A strength of the study is the inclusion of adolescents' perspectives on the sleep environment and several presleep conditions. Although a well-established questionnaire was used to derive the two sleep parameters, findings are based exclusively on self-reports. Previous research has shown that White adults overestimate their sleep duration more often than Black adults through comparison of objectively and subjectively measured sleep (for a review see Johnson et al., 2019). It is unclear to what extent racial differences in sleep/wake problems may reflect differences in reporting, rather than the experience of sleep/wake problems. Another potentially important avenue for research is the assessment of daily associations between the sleep environment or presleep conditions and sleep that night (e.g., Tavernier et al., 2017).

Overall, findings contribute to an emerging body of literature showing that the sleep environment and multiple presleep conditions are related linearly and nonlinearly to sleep, highlighting the importance of assessments of the latter, which is underrepresented in the sleep literature at large. It appears that reducing even moderate levels of environmental disruptions, presleep general worries, or arousal could protect against or lessen daytime sleepiness among adolescents. Findings from moderation analyses indicate the importance of assessment of individual differences including SES and race. The sleep environment and presleep conditions were particularly important for lower-SES and Black adolescents as fewer sleep environment disruptions and better presleep conditions reduced or eliminated the disparity in sleep/wake problems, and the converse was true with more disruptions and worse presleep conditions. Intervention research has shown that changing conditions of the sleep environment such as providing a better bed (Mindell et al., 2016) or educating families about better sleep hygiene (Sonney et al., 2020; Wolfson et al., 2015) contributes to decreases in sleep problems. Therapeutic interventions targeting anxiety specifically (Blake, Snoep et al., 2017) and interventions including cognitive behavioral therapy aimed at reducing conditions such as presleep arousal (Blake, Schwartz et al., 2017) have also been shown to improve sleep. Therefore, these conditions may be useful targets for intervention programs particularly in communities that are historically minoritized or socioeconomically disadvantaged. Furthermore, sleep disparities are often structurally rooted, reflecting racial and economic disparities in housing conditions and exposure to stressors (Johnson et al., 2018). Thus, in addition to individual intervention efforts, addressing structural factors at a societal level, for example, by providing income and housing assistance and reducing structural racism, would also provide needed resources to improve the sleep environment, thereby reducing sleep disparities.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

# Funding

This research was supported by grants from the National Heart, Lung, and Blood Institute at the National Institutes of Health (NIH R01-HL093246, R01-HL136752) awarded to Dr. Mona El-Sheikh.

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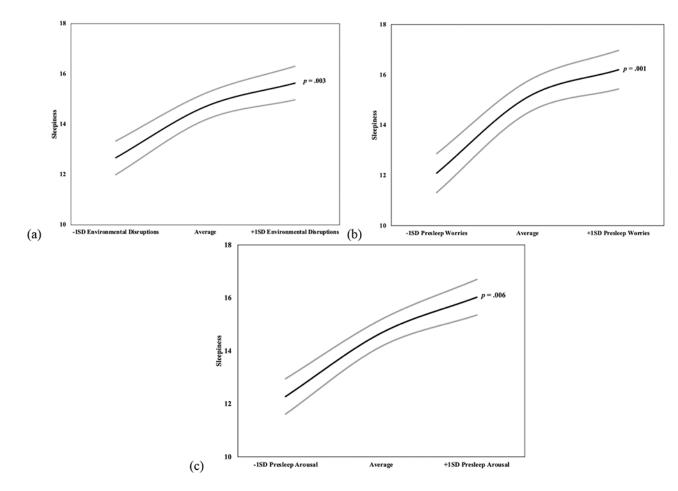
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# Highlights

- The sleep environment and presleep conditions relate to adolescent sleep problems.
- Presleep environment, worries, and arousal show nonlinear relations with sleepiness.
- Some relations with sleep/wake problems were stronger among Black adolescents.
- Family and somatic concerns relate to sleep/wake problems only for lower SES youth.

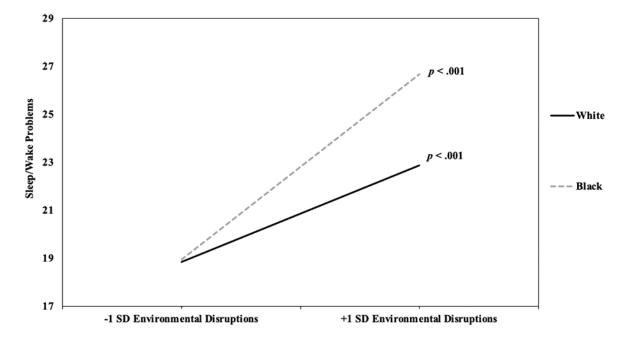


#### Figure 1.

Nonlinear effect for standardized (a) sleep environmental disruptions<sup>2</sup> (b) presleep worries<sup>2</sup> and (c) presleep arousal<sup>2</sup> predicting sleepiness. Points were plotted at +/-1 *SD*s for predictors. 95% confidence intervals are presented in gray.

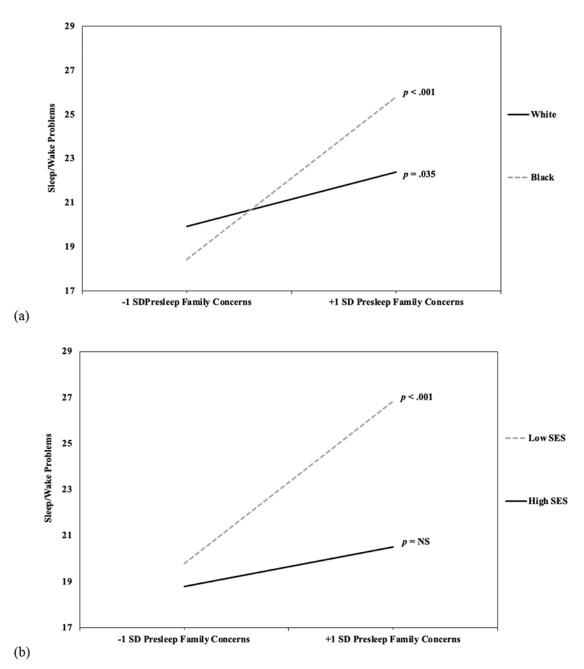
*Note:* -1-*SD* environmental disruptions and presleep worries is approximately 0 and +1-*SD* is approximately 5 and 3, respectively.

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#### Figure 2.

Race as a moderator of the relation between sleep environmental disruptions and sleep/wake problems.



# Figure 3.

(a) Race and (b) SES as a moderator of the relation between presleep family concerns and sleep/wake problems.

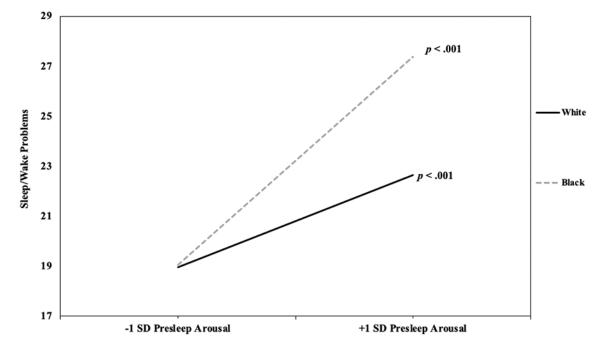
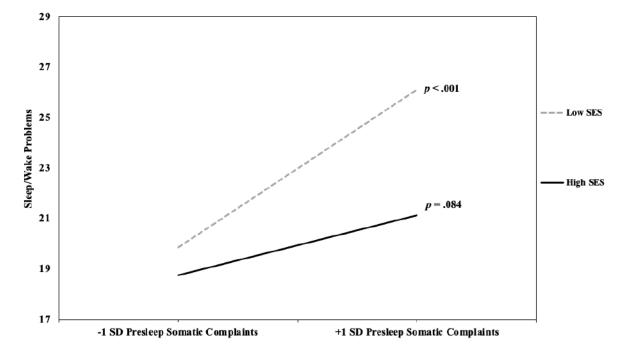


Figure 4.

Race as a moderator of the relation between presleep arousal and sleep/wake problems.

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# Figure 5.

SES as a moderator of the relation between presleep somatic complaints and sleep/wake problems.

# Table 1

Correlations between the individual items of the various sleep environment and presleep conditions measures with SES, race, and sleep.

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	% endorsement	SES	Race	Sleepiness	Sleep/Wake Problems
Sleep Environment					
Noise outside	24.4%	12	.03	.11	.30 **
Noise inside	34.0%	09	03	.23 **	.26**
Someone snoring	20.9%	13	.03	.11	.23 **
Uncomfortable bed	26.0%	14 *	03	.15*	.26**
Temperature of room	55.6%	07	09	.14 *	.21 **
TV, radio, or computer	24.6%	08	00.	11.	.24 **
Too much light	22.2%	01	06	.12*	.20**
Received calls or texts	48.2%	07	.07	.17**	.27 **
Bed crowding	10.2%	10	14	.07	.19*
Pet in room	27.3%	07	14 *	.20**	.23 **
Presleep Worries					
About schoolwork	34.5%	07	06	.22	.26**
About family	23.9%	15*	.08	.17**	.31 **
About friends	25.7%	03	18 **	.17**	.22
About other kids	13.8%	07	04	.12*	.21 **
About other things	35.4%	01	14 *	.19**	.28**
<b>Presleep Somatic Complaints</b>					
Bathroom	47.8%	09	08	.19**	.27 **
Pain	33.3%	$16^{*}$	05	.18**	.22
Trouble breathing	11.2%	05	05	.13*	.21 **
Stomachache	27.3%	15*	03	.15*	.26**
Sick	26.0%	06	05	.17 **	$.19^{**}$



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Table 2

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Descriptive statistics and correlations among study variables.

	1	7	3	4	5	9	7	8	6	10	11
1 Sex											
2 Age	.03	,									
3 Race	01	11*	·								
4 SES	.07	.01	41 **	·							
5 ED	05	01	06	13*							
6 PSW	05	.02	-00	09	.67						
7 PSFC	02	00.	.04	07	.39**	.47 **	,				
8 PSA	01	03	16*	.01	.47 **	.56**	.50**	·			
9 PSSC	11	.01	08	15*	.66 <sup>**</sup>	.54 **	.33 **	.41	ï		
10 Sleepy	.03	04	80.	06	.22	.24 **	.21 <sup>**</sup>	.28**	.23 **	ī	
11 SWP	07	.04	.16**	26**	.37**	.34 **	.34 **	.31 **	.31**	.47 **	ï
N	313	313	311	307	261	258	265	266	260	311	310
Min		180.03	ı	0	0	0	1	13	0	6	6
Max	·	239.96	ı	8.61	10	5	14.63	58.15	5	28.12	45.36
Mean	51.4%	208.68	40.6%	2.74	2.69	1.31	6.26	27.06	1.42	14.16	21.59
(SD)	Female	(10.38)	Black	(1.76)	(2.42)	(1.63)	(2.32)	(10.20)	(1.47)	(4.37)	(7.68)

Sleep Med. Author manuscript; available in PMC 2023 May 01.

ncerns, PSA = Presleep Arousal, PSSC = Presleep Somatic Complaints, Sleepy white,  $I = B \operatorname{lack}$ . = Sleepiness, and SWP = Sleep/Wake

p < .05p < .01.

Table 3

Regressions between presleep conditions and sleepiness.

	Environmental Disruptions	mental tions	<b>Presleep Worries</b>	Worries	Presleep Fam Concerns	Family erns	Presleep /	Arousal	Presleep Family Presleep Arousal Presleep Somatic Concerns Complaints	omatic aints
	B(SE)	β	B(SE)	β	$B(SE) \beta$	β	B(SE)	β	B(SE)	β
Linear Presleep Conditions	nditions									
Presleep Condition .37(.11) .21 <sup>***</sup> .61(.16) .23 <sup>***</sup>	.37(.11)	.21 ***	.61(.16)	.23 ***	.39(.11)	.21 ***	.12(.03)	.29 ***	.39(.11) $.21^{***}$ .12(.03) $.29^{***}$ .65(.18) $.22^{***}$	.22
$R^2$	5.0%		6.1%		5.2%		9.0%		5.5%	
Nonlinear Presleep Conditions	Conditions									
Presleep condition <sup>2</sup> $10(.04)$ $22^{**}$ $39(.11)$ $32^{***}$ $.02(.04)$ $.07$	10(.04)	22	39(.11)	32 ***	.02(.04)	.07	01(.00)	21	01(.00)21 **16(.13)10	10
$R^2$	3.1%		4.1%		0.1%		2.5%		0.6%	
Note: All regressions control for race, sex, SES, and age which together account for 0.9% of the variance in sleepiness.	control for rac	ce, sex, SE	S, and age w	hich togethe	er account f	or 0.9% o	f the variance	e in sleepin	less.	

p < .01p < .01p < .001.

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problems.	
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in presleep conditions and sleep/v	
between	
Regressions between	

-	Environmental Disruptions	ions	Presleep Worries	Vorries	Presleep Family Concerns	Family rns	Presleep Arousal	Arousal	Presleep Somatic Complaints	Somatic aints
P	B(SE)	β	B(SE)	β	B(SE)	β	B(SE)	β	B(SE)	β
Linear Presleep Conditions										
Presleep Condition 1.2	1.21(.18)	.38***	1.63(.27)	.34***	1.07(.18)	.32***	.27(.04)	.35 *** 1	1.63(.30)	.31 ***
R <sup>2</sup> 21	21.4%		18.7%		17.6%		19.5%		16.8%	
2-way Interactions with Race and SES	and SES									
Presleep Condition x Race .73	.73(.38)	.11*	.62(.55)	.06	1.06(.37)	.16 <sup>**</sup>	.20(.09)	.13*	.48(.63)	.04
R <sup>2</sup> 1.0	1.0%		0.3%		2.5%		1.7%		0.1%	
Presleep Condition x SES]	11(.10)	06	.03(.17)	.01	34(.15)	14 *	01(.02)	03	39(.19)	12*
R <sup>2</sup> 0.5	0.5%		0.0%		1.8%		0.0%		1.6%	

## Table 5.

Summary of significant linear and nonlinear effects.

	Sleep/Wake Problems	Sleepiness
Environmental Disruptions	Linear x Race	Nonlinear
Presleep Worries	Linear	Nonlinear
Presleep Family Concerns	Linear x Race Linear x SES	Linear
Presleep Arousal	Linear x Race	Nonlinear
Presleep Family Concerns	Linear x SES	Linear