

SCIENTIFIC INVESTIGATIONS

Dose-response relationship between weight loss and improvements in obstructive sleep apnea severity after a diet/lifestyle interventions: secondary analyses of the “MIMOSA” randomized clinical trial

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Study Objectives: Lifestyle-induced weight loss is a complementary therapeutic approach for obstructive sleep apnea (OSA). We aimed at identifying the dose-response relationship between weight loss and OSA severity improvement.

Methods: This is a secondary analysis of a 6-month clinical trial in 180 adult, overweight/obese moderate-to-severe OSA patients. Participants were randomized to a standard care, a Mediterranean diet, or a Mediterranean lifestyle arm. All patients were prescribed with continuous positive airway pressure (CPAP), while intervention arms additionally participated in a weight-loss dietary/lifestyle intervention. Based on percent change in weight at 6 months, participants were categorized into a weight-stable/gain (WS/GG) group or 3 weight-loss groups (WLG): < 5%WLG, 5%–10%WLG, and ≥ 10%WLG. Polysomnographic data and OSA symptoms were evaluated preintervention and postintervention.

Results: Respiratory events and oximetry indices improved only in patients who lost weight and improvements were proportional to the degree of weight loss. Median percent change in apnea-hypopnea index (AHI) was –11.7%, –37.9%, and –49.3% in the < 5%WLG, 5%–10%WLG, and ≥ 10%WLG, respectively ($P < .001$). Compared to the WS/GG, the age-, sex-, baseline-, and CPAP use-adjusted relative risk (95% confidence interval) of severe OSA (AHI ≥ 30 events/h) was 0.45 (0.23–0.87) in the 5%–10%WLG and 0.32 (0.17–0.64) in the ≥ 10%WLG; the risk was also lower in the ≥ 10%WLG vs the < 5%WLG (0.42 [0.22–0.82]). Insomnia and daytime sleepiness also improved more in participants exhibiting ≥ 5% weight loss.

Conclusions: Even a < 5% weight loss can reduce respiratory events, but a ≥ 5% and ideally ≥ 10% weight loss is necessary for reducing the prevalence of severe OSA.

Clinical Trial Registration: Registry: ClinicalTrials.gov; Name: Mediterranean Diet/Lifestyle Intervention in Obstructive Sleep Apnea; URL: <https://clinicaltrials.gov/ct2/show/NCT02515357>; Identifier: NCT02515357.

Keywords: sleep apnea, apnea-hypopnea index, oximetry, weight loss, dose-response analysis

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BRIEF SUMMARY

Current Knowledge/Study Rationale: Weight loss is essential for obstructive sleep apnea (OSA) management. Although a positive association between the amount of weight loss and improvements in OSA severity has been reported, this dose-response relationship is not supported by all available data and optimal weight loss goals for OSA require further research.

Study Impact: Our results confirm a dose-response relationship between the degree of weight loss achieved through a dietary/lifestyle intervention and improvements in OSA severity. Even a < 5% weight loss was sufficient for improvements in respiratory events and oximetry indices, but the prevalence of severe OSA reduced only after a ≥ 5% weight loss, and patients achieving a ≥ 10% weight loss exhibited the greatest benefits compared to weight-stable/gain patients.

INTRODUCTION

Obstructive sleep apnea (OSA) represents one of the most common and serious sleep-related breathing disorders, with a high worldwide prevalence of almost 1 billion people¹ and well-established cardio-metabolic consequences.² Excess body weight has emerged as the strongest modifiable predictor of the onset and severity of OSA.³ The prevalence of OSA in adults with obesity is almost twice compared to adults of normal weight, and approximately 40% of adult OSA cases are attributable to obesity.⁴ The involvement of obesity in the pathophysiology of OSA can be explained through several

mechanisms, including (1) central fat accumulation, mainly in abdominal viscera and around the neck, leading to increased mechanical load on upper airways; (2) increased leptin resistance, which can impair central respiratory control and lead to abnormal hypercapnic ventilatory response; (3) expression of proinflammatory cytokines, which negatively impact upper airway neuromuscular control; and (4) a state of increased oxidative stress, which reduces the force-generating capacity of upper airway muscles.⁵

On the basis of the well-established causal association between obesity and OSA, lifestyle-induced weight loss is currently advocated as an ancillary treatment for the disease. Available clinical

trials exploring the effects of weight loss through lifestyle modifications (usually involving very-low-calorie or low-calorie diets, prescribed either solely or in combination with counseling for increasing physical activity and behavioral change toward reduced caloric intake and healthier dietary habits) on overweight/obese patients with OSA have consistently exhibited significant improvements in weight status, respiratory events, and OSA symptoms (eg, daytime sleepiness).^{6–10} In light of this evidence, guidelines for OSA management from various health organizations recommend weight loss through lifestyle modification for all overweight/obese patients.^{11–14} However, contrariwise to other chronic diseases of cardiometabolic nature, the optimal degree of weight loss for improving OSA severity or eliminating sleep-disordered breathing remains less studied. Previous longitudinal epidemiological studies^{15,16} and a few randomized clinical trials^{17–19} reported a positive association between the magnitude of weight loss and improvements in OSA severity; however, this dose-response relationship is not supported by all available data.^{7,20}

Current guidelines for obesity management suggest a 5%–10% weight loss over 6 months as a realistic goal sufficient to produce health benefits in most overweight/obese individuals; an even greater degree of weight loss of $\geq 10\%$ may be suitable for patients with higher degrees of obesity; however, even a mild weight loss of 3%–5% is considered beneficial for health, especially for obese patients at high risk of or with established obesity-related chronic diseases.^{21–23} Whether these weight loss goals are suitable for the management of sleep-disordered breathing requires further investigation. The aim of the present study was to explore the dose-response relationship between the degree of weight loss and improvements in OSA severity.

METHODS

This is a secondary analysis of the Mediterranean diet/lifestyle Intervention for the Management of Obstructive Sleep Apnea (MIMOSA) study, which was designed as a single-center, single-blind, parallel, randomized, controlled clinical trial to evaluate the effectiveness of a weight-loss Mediterranean dietary/lifestyle intervention on managing OSA. The study protocol has been presented in detail in previous reports^{24–26} and was approved by the Scientific Board of Evangelismos Hospital, Athens, Greece and the Bioethics Committee of Harokopio University, Athens, Greece. The MIMOSA study is registered in the National Institutes of Health database (ClinicalTrials.gov identifier: NCT02515357) and was conducted in agreement with the ethical principles of the Declaration of Helsinki.²⁷

Study sample and interventions

Eligible candidates were adult (18–65 years old) individuals with a body mass index (BMI) of $\geq 25 \text{ kg/m}^2$ and a diagnosis of moderate-to-severe OSA (apnea-hypopnea index [AHI] ≥ 15 events/h) based on an attended overnight in-hospital polysomnography (PSG). Participants were free of major comorbidities, such as diabetes mellitus, cancer, or cardiovascular disease, and had stable lifestyle habits, ie, were not on a weight-loss diet and did not report any

recent (within 6 months prior to enrollment) significant change in habitual dietary intake or physical activity level. After providing written consent, participants were randomly allocated to a standard care, a Mediterranean diet, or a Mediterranean lifestyle group. Randomization was restricted²⁸ based on age, sex, BMI, and AHI levels.

All 3 study groups were prescribed with continuous positive airway pressure (CPAP) therapy as the standard care for OSA management. After the initial PSG for OSA diagnosis, participants underwent an overnight in-laboratory CPAP titration sleep study and were accordingly prescribed with the same auto-CPAP device on the basis of current recommendations for OSA management.^{11,12} Patients were asked to obtain the prescribed CPAP device; were given detailed instructions on CPAP technical standards, cleaning, and maintenance; and were instructed to use it during night sleep on a daily basis throughout the 6-month study period. Patients were also requested to self-monitor and record their daily CPAP use in print forms during the study to evaluate adherence with CPAP therapy. In addition to CPAP prescription, patients of the standard care group were only provided with written general healthy lifestyle advice, while those in the Mediterranean diet/lifestyle groups participated in a dietitian-led behavioral intervention (in groups of 3–5 patients), aiming at a healthier body weight and improving dietary/lifestyle habits according to the Mediterranean pattern.²⁹ The intervention was structured in 7 1-hour counseling sessions performed over 6 months, each covering specific topics and goals of behavioral change toward weight loss and healthy dietary/lifestyle modification.^{24–26} A detailed description of the dietary/lifestyle intervention is presented in the supplemental material.

Assessments

Body weight was measured preintervention and postintervention to the nearest 100 g using a digital scale (Tanita HD-351, Tokyo, Japan) placed on a hard, flat surface, with participants wearing light clothing and going barefoot. BMI was calculated as (weight [kg] \div height² [m]), and patients were categorized as overweight or obese according to the established BMI cutoff values for Caucasian adults.³⁰ Percent weight change at the 6-month re-evaluation was calculated as $(\{\text{follow-up weight} - \text{baseline weight}\} \div \text{baseline weight}) \times 100$, based on which participants were categorized into 4 groups: weight-stable/gain (WS/GG); $< 5\%$ weight loss ($< 5\%$ WLG); ≥ 5 to $< 10\%$ weight loss (5%–10%WLG), and $\geq 10\%$ weight loss ($\geq 10\%$ WLG). Waist circumference (WC) was measured to the nearest 0.1 cm between the lowest rib and the superior border of the iliac crest at the end of normal expiration, using a nonelastic measuring tape positioned parallel to the floor and with the participant standing; WC change at the 6-month reevaluation was calculated as (follow-up WC – baseline WC). Participants' lifestyle habits were also evaluated preintervention and postintervention. Habitual food group consumption was estimated through a validated semiquantitative food frequency questionnaire,³¹ and adherence to the Mediterranean diet was assessed through the Mediterranean Diet Score (MedDietScore).³² The International Physical Activity Questionnaire short form³³ was used to

evaluate participants' physical activity habits, and total daily time (min/day) of physical activity was calculated for each patient. Daily duration of night sleep (hours/day) was self-reported.

OSA diagnosis and severity assessment were based on an attended overnight PSG, performed both at baseline and at the 6-month follow-up at the Center of Sleep Disorders of Evangelismos Hospital following standard procedures.³⁴ For the follow-up PSG, participants were asked to refrain from CPAP use for 2 nights before testing to partly eliminate the CPAP washout effect that could lead to an underestimation of OSA severity^{35,36} and allow for valid between-group comparisons in OSA severity at the end of the study. In the context of the PSG, brain, eye, and muscle activity; heart rate; respiratory airflow; respiratory effort; and blood oxygen levels were assessed, allowing for a detailed evaluation of sleep architecture and sleep-disordered breathing. All data were simultaneously recorded on a multi-channel digital system, and a sole credentialed sleep technologist monitored the recordings and blindly scored all PSG data. Respiratory events were classified as apneas and hypopneas according to the guidelines of the American Academy of Sleep Medicine; apneas were defined as a drop in airflow by $\geq 90\%$ of baseline for ≥ 10 seconds, while hypopneas were defined as a drop in airflow by $\geq 30\%$ of baseline for ≥ 10 seconds in association with a $\geq 3\%$ arterial oxygen desaturation or an arousal.³⁴ The AHI was calculated as (apneas and hypopneas [events] \div total sleep time [hours]). OSA severity was classified as mild, moderate, or severe if the AHI received values of 5–14, 15–29, or ≥ 30 events/h of sleep, respectively.³⁷ Other respiratory indices, ie, the oxygen desaturation index (ODI), the apnea index (AI), the hypopnea index (HI), and the AHI during nonrapid eye movement sleep (NREM-AHI) and rapid eye movement sleep (REM-AHI) were also recorded and measurements of the minimum oxygen saturation (SaO₂) and time with SaO₂ < 90% (% of total sleep duration) were obtained through pulse oximetry. For all PSG variables, percent change at the 6-month follow-up was estimated as ($\{ \text{follow-up value} - \text{baseline value} \} \div \text{baseline value} \times 100$).

Symptoms typically associated with OSA were also evaluated at baseline and the 6-month follow-up. The intensity of insomnia symptoms was assessed through the Athens Insomnia Scale (AIS); the scale ranges from 0 to 24, with higher values indicating greater difficulty in sleep induction and maintenance, and values > 6 are diagnostic of insomnia.³⁸ Participants' daytime sleepiness was also assessed through the Epworth Sleepiness Scale (ESS); the scale ranges from 0 to 24, with higher values indicating a greater chance of dozing off or involuntarily falling asleep during various routine daytime activities/situations, and values > 10 are diagnostic of excessive daytime sleepiness.³⁹ For both scales, percent change at the 6-month follow-up was estimated as ($\{ \text{follow-up value} - \text{baseline value} \} \div \text{baseline value} \times 100$).

Statistical analysis

The present work is a secondary analysis of the MIMOSA study, which was designed to explore postintervention differences in the AHI between original study groups. A priori power analysis revealed

that a sample of 180 patients (45 patients per study group plus a 30% expected dropout rate) would be sufficient to detect a significant difference in postintervention values of the AHI between intervention arms (Mediterranean diet/lifestyle groups) and the standard care group, with at least 80% statistical power and a 5% significance level of 2-sided hypotheses. All analyses were performed in SPSS version 23.0 (IBM Corp. 2015, Armonk, NY) according to the intention-to-treat principle. Missing values were predicted using estimating-equation methods, which involved fitting a statistical model to the observed data with the use of the missing-at-random method.⁴⁰ In specific, for every outcome, a statistical equation linking baseline and postintervention data was created for each of the original study groups (standard care, Mediterranean diet, and Mediterranean lifestyle group) based on the data of study completers. The equations were subsequently used to predict missing data for dropouts at the 6-month follow-up.

The Shapiro-Wilk test was applied to evaluate the normality of numerical variables, based on which normally distributed variables are presented as mean \pm standard deviation and skewed variables as median (1st, 3rd quartile). Categorical variables are presented as absolute number (relative frequency). Correlations between skewed numerical variables were tested through the Spearman's correlation coefficient (ρ), and a scatterplot was created to illustrate the relationship between percent body weight and percent AHI change. Changes within weight change groups were tested through the paired-samples *t*-test for normally distributed numerical variables, the Wilcoxon signed-rank test for skewed numerical variables, and the McNemar test for categorical variables. The analysis of variance, the Kruskal-Wallis test, and the chi-square test were used to test differences between groups in normally distributed numerical variables, skewed numerical variables, and categorical variables, respectively, both preintervention and postintervention. Pairwise differences between weight change groups in percent AHI, AIS, and ESS change were tested through the Kruskal-Wallis 1-way analysis of variance. Differences between groups in the follow-up levels of the AHI and the risk of severe OSA were also tested in multivariable models adjusted for participants' age, sex, baseline levels of the dependent variables, and CPAP use (hours/day) through the analysis of covariance and generalized linear models; results are presented as adjusted mean difference and relative risk with their 95% confidence intervals, respectively. For all pairwise comparisons, the Bonferroni correction was used to adjust for multiplicity. The statistical significance level was set at .05.

RESULTS

From September 2015 to January 2019, 260 patients with OSA were screened for eligibility, of whom 12 declined participation and 61 were noneligible. After enrollment, 7 participants were additionally excluded from analyses on the basis of chronic disease diagnosis (diabetes mellitus [$n=2$] and malignancy [$n=1$]) or prohibited concomitant care (OSA surgery [$n=2$], bariatric surgery [$n=1$], and supervised weight loss [$n=1$]), leaving a final sample of 180 middle-aged (mean age: 49 ± 10 years), predominantly male

(75%), overweight/obese (mean BMI: 35.4 ± 5.9 kg/m²) patients with moderate-to-severe OSA (median AHI: 58 [30, 82] events/h) (Table 1). Of those 180 patients, 53 (29%) were lost to follow-up and their 6-month data were predicted using estimating-equation methods and included in intention-to-treat analysis.

In the total analyzed study sample (n = 180), percent change in body weight and percent change in AHI showed a strong positive correlation (rho: 0.723, $P < .001$). This was evident both in men (rho: 0.712) and women (rho: 0.751), in patients who were overweight (rho: 0.706) and obese (rho: 0.721), as well as in patients with moderate (rho: 0.699) and severe OSA (rho: 0.742) (all $P < .001$). A scatterplot graphical illustration (Loess curve) of the association between percent change in body weight and percent change in AHI is presented in Figure 1. Percent change in body weight also correlated positively with percent change in NREM-AHI (rho: 0.649, $P < .001$), REM-AHI (rho: 0.253, $P = .012$), AI (rho: 0.519, $P < .001$), ODI (rho: 0.592, $P < .001$), % time with SaO₂ < 90% (rho = 0.344, $P < .001$), AIS (rho = 0.474, $P < .001$), and ESS (rho = 0.510, $P < .001$) and negatively with percent change in lowest SaO₂

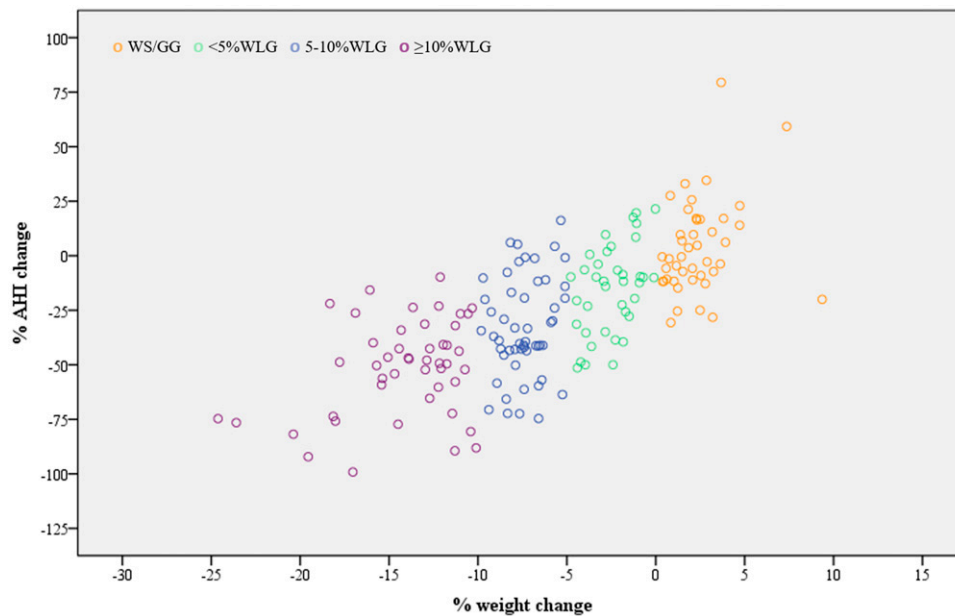
(rho: -0.194 , $P = .010$). A significant correlation was also observed between WC change and percent change in AHI (rho = 0.578, $P < .001$), NREM-AHI (rho = 0.470, $P < .001$), REM-AHI (rho = 0.239, $P = .018$), AI (rho = 0.373, $P < .001$), ODI (rho = 0.385, $P < .001$), AIS (rho = 0.322, $P < .001$), ESS (rho = 0.338, $P < .001$), lowest SaO₂ (rho: -0.175 , $P = .020$), and % time with SaO₂ < 90% (rho = 0.355, $P < .001$).

During the 6-month study period, 43 patients (24%) were either weight stable or gained weight, while 38 (21%), 52 (29%), and 47 (26%) exhibited < 5%, 5%–10%, and ≥ 10% weight loss, respectively (Table 1). The majority of patients in the WS/GG were originally assigned to the standard care group (88%), whereas most patients who exhibited a ≥ 5% weight loss were originally allocated to intervention arms (5%–10%WLG: 94%, ≥ 10%WLG: 98%). A significant difference between weight change groups was observed in age, BMI, WC, and obesity presence at baseline (all $P < .050$). Posthoc comparisons revealed that patients in the 5%–10%WLG were older compared to those in the < 5%WLG ($P = .029$) and the ≥ 10%WLG ($P = .017$), while patients in the ≥ 10%WLG had

Table 1—Baseline characteristics of the total study sample and weight-change groups.

	Total (n = 180)	WS/GG (n = 43)	< 5%WLG (n = 38)	5%–10%WLG (n = 52)	≥ 10%WLG (n = 47)	P ^a
Study group, n (%)						
Standard care	62 (34)	38 (88)	20 (52)	3 (6)	1 (2)	<.001
Mediterranean diet	59 (33)	3 (7)	9 (24)	35 (67)	12 (26)	
Mediterranean lifestyle	59 (33)	2 (5)	9 (24)	14 (27)	34 (72)	
Age, y	49 ± 10	48 ± 11	47 ± 10#	53 ± 9*	47 ± 9#	.008
Male sex, n (%)	135 (75)	31 (72)	30 (79)	39 (75)	35 (75)	.915
Education, y	14 ± 3	13 ± 4	15 ± 3	14 ± 3	15 ± 3	.107
Annual income, n (%) ^b						
Low	68 (38)	16 (37)	13 (34)	20 (39)	19 (40)	.572
Medium	82 (46)	21 (49)	17 (45)	23 (44)	21 (45)	
High	30 (16)	6 (14)	8 (21)	9 (17)	7 (15)	
Current smokers, n (%)	58 (32)	11 (26)	10 (26)	23 (44)	14 (30)	.169
BMI, kg/m ²	35.4 ± 5.9	33.9 ± 5.6#	36.1 ± 6.2	34.1 ± 5.8#	37.6 ± 5.6*	.006
Obesity, n (%) ^c	143 (79)	30 (70)	33 (87)	36 (70)	44 (94)	.005
WC, cm	118 ± 15	114 ± 14#	118 ± 13	115 ± 14#	124 ± 16*	.010
Severe OSA, n (%) ^d	136 (76)	31 (72)	30 (79)	39 (75)	36 (77)	.907
AIS (0–24)	8.9 ± 4.9	8.7 ± 4.7	9.6 ± 5.5	9.7 ± 4.8	7.7 ± 4.6	.177
Insomnia, n (%) ^e	135 (75)	34 (79)	28 (74)	41 (79)	32 (68)	.567
ESS (0–24)	11.1 ± 5.3	10.3 ± 4.9	11.3 ± 5.7	11.2 ± 5.2	11.4 ± 5.3	.793
Sleepiness, n (%) ^f	93 (52)	23 (53)	19 (50)	27 (53)	24 (53)	.989
CPAP therapy, n (%)	135 (75)	34 (79)	32 (84)	36 (69)	33 (70)	.248

Normally distributed and skewed numerical variables are presented as mean ± standard deviation and median (1st, 3rd quartile), respectively, and categorical variables as absolute and relative frequency. Analyses were performed in the intention-to-treat population (n = 180). ^aP value for differences between weight change groups, as derived from the analysis of variance or the Kruskal-Wallis signed-rank test for normally distributed and skewed numerical variables, respectively, or the chi-square test for categorical variables. P values < .050 indicate statistically significant results. ^bLow: ≤ 10,000 euros/year; medium: 10,000–20,000 euros/year; high: > 20,000 euros/year. ^cBMI ≥ 30 kg/m². ^dApnea-hypopnea index ≥ 30 events/h of sleep. ^eAIS > 6. ^fESS > 10. *#Different symbols indicate statistically significant differences between groups ($P < .050$) according to posthoc pairwise comparisons. AIS = Athens Insomnia Scale, BMI = body mass index, CPAP = continuous positive airway pressure, ESS = Epworth Sleepiness Scale, OSA = obstructive sleep apnea, WC = waist circumference, WLG = weight-loss group, WS/GG = weight-stable/gain group.

Figure 1—Scatterplot for the association between percent change in body weight and percent change in AHI.

Analyses were performed in the intention-to-treat population ($n = 180$). AHI = apnea-hypopnea index, WLG = weight-loss group, WS/GG = weight-stable/gain group.

higher baseline BMI and WC values compared to those in the WS/GG ($P = .016$ and $P = .023$) and the 5%–10%WLG (both $P = .020$). On the contrary, there were no significant between-group differences in baseline AHI ($P = .587$) and the percentage of patients with severe OSA ($P = .907$). Although all patients were prescribed with CPAP, only 135 started the treatment at baseline; however, the percentage of users was not statistically different among weight change groups ($P = .248$). During the 6-month study period, mean CPAP use was 3.7 ± 2.3 hours/day in the WS/GG, 4.6 ± 2.5 hours/day in the <5%WLG, 3.2 ± 2.2 hours/day in the 5%–10%WLG, and 3.7 ± 2.5 hours/day in the $\geq 10\%$ WLG ($P = .068$).

Median (1st, 3rd quartile) body weight change was 1.08% (0.22, 2.17) in the WS/GG, -2.50% (-3.74 , -1.25) in the <5%WLG, -7.41% (-8.35 , -6.53) in the 5%–10%WLG, and -13.0% (-5.9 , -11.7) in the $\geq 10\%$ WLG. Obesity prevalence ($\text{BMI} \geq 30 \text{ kg/m}^2$) was not altered in the WS/GG (from 70% to 72%, $P > .999$) and in the <5%WLG (from 87% to 79%, $P = .250$) but decreased significantly in the 5%–10%WLG (from 69% to 52%, $P = .003$) and in the $\geq 10\%$ WLG (from 94% to 64%, $P < .001$). WC values remained unchanged in the WS/GG (from 114 ± 14 cm to 115 ± 16 cm, $P = .058$) and in the <5%WLG (from 118 ± 13 cm to 117 ± 14 cm, $P = .439$) but decreased significantly in the 5%–10%WLG (from 115 ± 14 cm to 111 ± 13 cm, $P < .001$) and in the $\geq 10\%$ WLG (from 124 ± 16 cm to 113 ± 12 cm, $P < .001$). With regard to lifestyle habits, the WS/GG did not present any significant changes throughout the study (data not shown). On the contrary, all 3 weight loss groups exhibited a significant increase in mean MedDietScore values (<5%WLG: from 32.8 ± 4.8 to 35.5 ± 6.4 , $P = .010$; 5%–10%WLG: from 32.5 ± 4.4 to 40.0 ± 4.3 , $P < .001$; $\geq 10\%$ WLG: from 31.3 ± 4.7 to 41.6 ± 3.8 ,

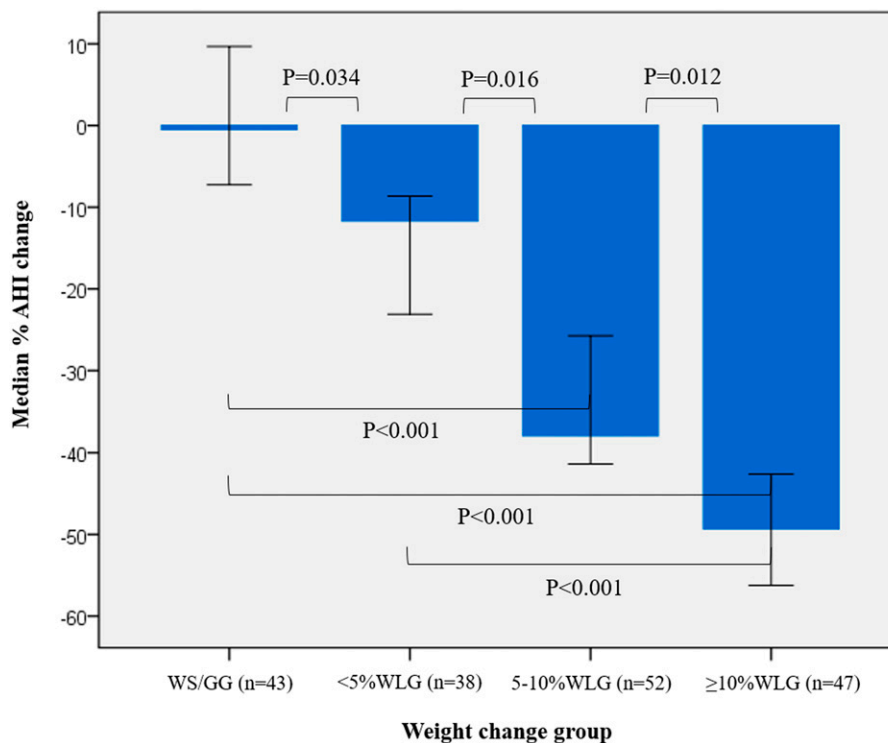
$P < .001$). The 5%–10%WLG and the $\geq 10\%$ WLG additionally showed a significant increase in median (1st, 3rd quartile) daily time spent in physical activity (from 9.64 [0.00, 32.1] minutes/day to 16.8 [7.50, 43.9] minutes/day, $P = .014$ and from 15.0 [8.57, 38.6] minutes/day to 49.8 [30.0, 60.0] minutes/day, $P = .006$, respectively), and mean nighttime sleep duration (from 6.1 ± 1.2 hours/day to 6.6 ± 0.9 hours/day, $P = .001$ and from 6.2 ± 1.6 hours/day to 7.0 ± 0.8 hours/day, $P < .001$, respectively).

Changes for each weight change group and differences between groups in respiratory and oximetry parameters are presented in **Table 2**. Median AHI remained unchanged in the WS/GG ($P = .488$) but decreased significantly in all 3 weight loss groups (all $P < .001$). Median percent AHI change ranged from -0.50% in the WS/GG to -49.3% in the $\geq 10\%$ WLG, with a significant between-group difference ($P < .001$). According to pairwise comparisons (**Figure 2**), a significant dose-response relationship between the degree of weight loss and percent AHI change was evident across all groups, with patients in the $\geq 10\%$ WLG exhibiting the greatest improvement (all P for pairwise comparisons $< .050$). Besides the AHI, other PSG indices, namely NREM-AHI, REM-AHI, AI, ODI, as well as lowest SaO_2 and % time with $\text{SaO}_2 < 90\%$, also improved only in patients who lost weight, and improvements were proportional to the degree of weight loss achieved (all P for between-group differences $< .050$) (**Table 2**). With regard to OSA symptoms, median percent change in AIS was -23.6% (-43.3 , 15.0), -35.4% (-65.2 , 0.00), -61.3% (-77.7 , -37.5), and -64.6% (-80.5 , -56.9), while median percent change in ESS was -19.1% (-37.8 , 5.54), -32.9% (-52.6 , -4.69), -46.9% (-69.7 , -31.0), and -70.7% (-81.0 , -44.0) in the WS/GG, <5%WLG, 5%–10%WLG, and $\geq 10\%$ WLG, respectively

Table 2—Within-group changes and between-group differences in polysomnographic data.

	WS/GG (n = 43)	P ^a	< 5%WLG (n = 38)	P ^a	5%–10% WLG (n = 52)	P ^a	≥ 10%WLG (n = 47)	P ^a	P ^b
AHI, events/h									
Baseline	56 (25, 80)	.488	57 (31, 80)	< .001	61 (29, 82)	< .001	59 (28, 84)	< .001	.587
6 months	54 (29, 75)		45 (27, 62)		33 (20, 49)		23 (15, 40)		< .001
%Δ	-0.50 (-11.1, 16.7)		-11.7 (-32.3, -2.78)		-37.9 (-43.5, -14.7)		-49.3 (-72.3, -34.1)		< .001
NREM-AHI, events/h									
Baseline	55 (31, 81)	.402	54 (31, 74)	.023	56 (31, 84)	< .001	58 (33, 82)	< .001	.494
6 months	56 (37, 74)		40 (26, 63)		27 (19, 43)		20 (12, 46)		.004
%Δ	1.40 (-10.5, 17.3)		-8.11 (-34.1, 16.6)		-34.7 (-58.4, -16.81)		-48.0 (-75.5, -29.1)		< .001
REM-AHI, events/h									
Baseline	63 (31, 94)	.253	62 (33, 90)	.004	65 (25, 78)	.002	64 (30, 95)	< .001	.905
6 months	53 (32, 60)		45 (17, 64)		36 (23, 53)		27 (8, 43)		.045
%Δ	-8.11 (-36.0, 31.7)		-25.4 (-52.7, 26.8)		-38.3 (-74.6, 1.84)		-52.5 (-88.8, -23.63)		.007
AI, events/h									
Baseline	38 (11, 62)	.481	39 (14, 65)	.026	44 (15, 70)	< .001	42 (14, 65)	< .001	.209
6 months	35 (13, 58)		28 (14, 37)		20 (10, 35)		13 (5, 30)		.122
%Δ	-10.8 (-31.1, 86.6)		-18.5 (-48.7, 32.0)		-42.1 (-66.1, -13.2)		-51.6 (-80.3, -26.1)		< .001
HI, events/h									
Baseline	16 (8, 25)	.469	18 (9, 27)	.898	13 (5, 22)	.672	14 (8, 22)	.087	.206
6 months	20 (13, 23)		19 (12, 26)		12 (10, 20)		8 (4, 11)		< .001
%Δ	15.9 (-48.3, 82.5)		17.1 (-47.3, 98.4)		-3.02 (-44.5, 27.3)		-23.9 (-65.1, 37.8)		.570
ODI, events/h									
Baseline	49 (27, 64)	.450	48 (17, 74)	.029	53 (23, 72)	< .001	54 (20, 73)	< .001	.671
6 months	47 (24, 51)		35 (21, 49)		26 (14, 41)		16 (7, 40)		.002
%Δ	-6.28 (-24.9, 21.4)		-21.0 (-44.4, 43.6)		-42.9 (-60.3, -22.0)		-63.6 (-75.1, -39.7)		< .001
Minimum SaO₂, %									
Baseline	81 (76, 84)	.155	82 (76, 86)	.005	81 (72, 86)	< .001	78 (71, 85)	< .001	.414
6 months	83 (78, 84)		84 (79, 86)		84 (80, 87)		83 (79, 88)		.403
%Δ	1.19 (-2.41, 6.04)		2.47 (-0.24, 7.32)		4.53 (0.22, 11.4)		6.02 (1.16, 12.7)		.031
SaO₂ < 90%, % TSP									
Baseline	3.66 (1.11, 10.0)	.372	4.00 (0.77, 7.32)	.042	3.98 (0.81, 10.8)	.001	4.29 (0.80, 8.99)	< .001	.942
6 months	3.40 (0.74, 11.5)		3.18 (0.73, 6.53)		2.60 (0.31, 4.59)		1.85 (0.03, 3.00)		.007
%Δ	3.63 (-27.3, 30.1)		-11.1 (-26.6, 17.6)		-45.6 (-75.0, 6.99)		-69.7 (-97.5, -20.1)		< .001

Variables are presented as median (1st, 3rd quartile). Analyses were performed in the intention-to-treat population (n = 180). ^aP value for changes within groups, as derived from the Wilcoxon signed-rank test. ^bP value for differences between weight-change groups, as derived from the Kruskal-Wallis signed-rank-test. ^{a,b}P values < .050 indicate statistically significant results. %Δ = percent change, AHI = apnea-hypopnea index, AI = apnea index, HI = hypopnea index, NREM = nonrapid eye movement, ODI = oxygen desaturation index, REM = rapid eye movement, SaO₂ = oxygen saturation, TSP = total sleep period, WLG = weight-loss group, WS/GG = weight-stable/gain group.

Figure 2—Median percent change in AHI for different categories of weight change.

I-bars represent 95% confidence intervals for medians. Analyses were performed in the intention-to-treat population ($n = 180$). Between-group differences were tested through the Kruskal-Wallis 1-way analysis of variance. The Bonferroni correction was applied to adjust for multiple pairwise comparisons. P values $< .050$ indicate statistically significant results. AHI = apnea-hypopnea index, WLG = weight-loss group, WS/GG = weight-stable/gain group.

(both P between groups $< .001$). Posthoc comparisons revealed that median percent decline in AIS was greater in the 5%–10%WLG and the $\geq 10\%$ WLG compared to both the WS/GG ($P = .001$ and $P = .015$, respectively) and the $< 5\%$ WLG ($P = .024$ and $P = .002$, respectively), while median percent decline in ESS was greater in the 5%–10%WLG compared to the WS/GG ($P < .001$) and in the $\geq 10\%$ WLG compared to both the WS/GG ($P < .001$) and the $< 5\%$ WLG ($P < .001$). At the 6-month follow-up, the prevalence of insomnia (AIS > 6) was 56%, 47%, 14%, and 6%, while the prevalence of excessive daytime sleepiness (ESS > 10) was 28%, 24%, 4%, and 2% in the WS/GG, $< 5\%$ WLG, 5%–10%WLG, and $\geq 10\%$ WLG, respectively (both P between groups $\leq .001$).

With regard to OSA severity, the prevalence of severe disease (AHI ≥ 30 events/h) remained unchanged in the WS/GG (from 72% to 74%, $P = .980$) and the $< 5\%$ WLG (from 79% to 71%, $P = .250$), but decreased significantly in the 5%–10%WLG (from 75% to 54%, $P = .001$) and the $\geq 10\%$ WLG (from 77% to 40%, $P < .001$). At the end of the 6-month intervention, a total of 20 patients moved from moderate/severe (AHI ≥ 15 events/h) to mild OSA (AHI: 5–15 events/h), ie, 1 in the WS/GG (2%), 3 in the $< 5\%$ WLG (8%), 5 in the 5%–10%WLG (10%), and 11 in the $\geq 10\%$ WLG (23%), with a significant between-group difference ($P = .006$). Among those 20 patients, only 3 had insomnia (AIS > 6) or excessive daytime sleepiness (ESS > 10) at the 6-month follow-up, suggesting that the remaining 17 no longer

met the criteria for OSA and/or need of relevant treatment. Moreover, 3 patients, all in the $\geq 10\%$ WLG (6%), achieved a full remission of OSA (AHI < 5 events/h).

At the 6-month follow-up, significant differences between weight change groups were observed in the AHI and the risk of severe OSA in multivariable models adjusted for participants' age, sex, CPAP use, and baseline status (Table 3). Compared to the WS/GG, patients in the 5%–10%WLG and the $\geq 10\%$ WLG exhibited lower AHI ($P = .006$ and $P < .001$, respectively) and a lower relative risk for severe OSA ($P = .018$ and $P = .001$, respectively). The 5%–10%WLG also exhibited lower AHI compared to the $< 5\%$ WLG ($P = .023$), while the $\geq 10\%$ WLG additionally exhibited lower AHI compared to both the $< 5\%$ WLG ($P < .001$) and the 5%–10%WLG ($P = .010$), and a lower risk for severe OSA compared to the $< 5\%$ WLG ($P = .012$).

DISCUSSION

The present study aimed at exploring the relationship between the magnitude of weight loss and improvements in OSA severity after a dietary/lifestyle intervention in adult, overweight patients with moderate-to-severe disease. Respiratory events and oximetry indices improved only in patients who lost weight and improvements were proportional to the degree of weight

Table 3—Adjusted pairwise differences in the AHI and the risk of severe OSA between weight-change groups at the end of the study.

Reference Group	Weight Change Group	AHI (events/h)			Severe OSA		
		MD ^a	95% CI ^a	P ^a	RR ^a	95% CI ^a	P ^a
WS/GG	< 5%WLG	−5.83	−14.8 to 3.12	.504	0.77	0.37 to 1.59	.478
	5%–10%WLG	−15.6	−23.9 to −7.38	.006	0.45	0.23 to 0.87	.018
	≥ 10%WLG	−29.8	−38.1 to −21.4	< .001	0.32	0.17 to 0.64	.001
< 5%WLG	5%–10%WLG	−9.79	−18.7 to −0.86	.023	0.59	0.30 to 1.14	.115
	≥ 10%WLG	−23.9	−32.9 to −15.0	< .001	0.42	0.22 to 0.82	.012
5%–10%WLG	≥ 10%WLG	−14.1	−22.3 to −5.96	.010	0.72	0.40 to 1.29	.268

Results are presented as adjusted mean differences for the AHI and adjusted relative risk for severe OSA at the 6-month follow-up, with their corresponding 95% confidence intervals, as derived from the analysis of covariance and generalized linear models, respectively. Analyses were performed in the intention-to-treat population (n = 180). ^aAdjusted for age, sex, baseline levels of the dependent variable, and continuous positive airway pressure use (hours/day). The Bonferroni correction was applied to adjust for multiple pairwise comparisons. P values < .050 indicate statistically significant results. AHI = apnea-hypopnea index, CI = confidence interval, MD = mean difference, OSA = obstructive sleep apnea, RR = relative risk, WLG = weight-loss group, WS/GG = weight-stable/gain group.

loss. When percent change in weight was categorized according to commonly used weight loss targets for obesity management, even a < 5% weight loss was sufficient for small but statistically significant improvements in respiratory events and oximetry indices. However, the prevalence of severe OSA reduced only after a ≥ 5% weight loss and patients achieving a ≥ 10% weight loss exhibited the greatest benefits, ie, a ~50% decline in median AHI, a > 60% decline in OSA-related symptoms (AIS and ESS), and a ~70% lower risk of severe OSA compared to weight-stable/gain patients. Improvements after weight loss were significant even though a healthy body weight was not achieved, and the dose-response relationship between weight loss and improvement in OSA severity was evident regardless of self-reported CPAP use.

Weight gain and obesity are well-established risk factors for OSA. The Wisconsin Sleep Cohort study was the first large-scale prospective study to test longitudinal associations between weight change and OSA indices.¹⁵ In a sample of 690 participants from Wisconsin who were followed for 4 years, compared to weight-stable conditions, a 5%, 10%, and 20% weight gain predicted a 15%, 32%, and 70% increase in the AHI, respectively, while weight loss was associated with analogous decreases in the AHI.¹⁵ Similar observations were subsequently reported by the Sleep Heart Health Study among 2,968 middle-aged men and women from several U.S. communities; during a 5-year follow-up period, compared to weight-stable individuals, those who gained weight experienced increases in the respiratory disturbance index in a dose-response manner and vice versa.¹⁶ Several case-control studies have also revealed a significant positive association between the presence of overweight/obesity and odds of OSA in both children and adults.⁴¹ Given the aforementioned data, weight loss is considered an integral part of OSA management, along with CPAP. CPAP was introduced as a therapeutic approach for OSA in 1981⁴² and has ever since been recommended as the first-line treatment for the disease.^{11,12} Although CPAP is efficient in minimizing respiratory events and improving OSA symptoms

and quality of life, available clinical trials have revealed that, compared with control therapy, CPAP promotes a modest but significant increase in body weight.^{43,44} In our study, patients exhibiting a < 5% weight loss had the highest self-reported CPAP use compared to patients who lost more weight (≥ 5%). Although our study was not designed to examine the effect of CPAP on weight status, this observation might be indirect evidence of the positive association of CPAP with body weight or its potential weight-loss hindering effect, highlighting the need for efficient weight loss interventions in overweight OSA patients initiated on CPAP therapy.

In the context of lifestyle intervention, a mean weight loss of 10%–15% has been shown to reduce the AHI by 20%–50%, but available studies are characterized by a high degree of heterogeneity with regard to the design and nature of interventions applied.^{18,45–48} A few meta-analyses of clinical trials have also suggested a positive correlation between the degree of weight loss and AHI decline, although this was weak/moderate due to the small number and high heterogeneity of the studies included.^{7,10,49} Among the aforementioned interventional studies, a few have revealed a dose-response relationship between weight loss and improvements in OSA severity. Papandreou et al¹⁷ randomized 40 obese, moderate-to-severe patients with OSA to a weight-loss Mediterranean diet or a weight-loss prudent diet for a 6-month period. In a secondary analysis of this trial, patients who achieved a ≥ 10% weight loss exhibited a greater decline in the AHI during REM sleep (but not in total AHI) and a greater increase in the lowest SaO₂ compared with patients who achieved a < 5% weight loss; however, comparisons between the 5%–10% and < 5% weight loss groups did not reveal significant differences in OSA severity indices. In another controlled clinical trial,¹⁸ 81 patients with mild OSA were randomized to either a very-low-calorie diet with supervised lifestyle modification or a control group receiving routine lifestyle counseling for 1 year; at the 12-month follow-up, mean reduction in the AHI and percentage of OSA resolution increased as weight loss increased from 0–5 kg to 5–15 kg

and >15 kg, but statistical significance for within-group changes and between-group differences was not reported. In a subsequent 5-year follow-up analysis of the same patient dataset,¹⁹ only patients who achieved a >10% weight loss, but not those with <5% and 5%–10% weight loss, experienced a statistically significant decline in the AHI, ODI, and obstruction and desaturation duration and severity, while OSA severity indices increased in patients who gained weight.

Our results are in line with the available literature showing that weight loss is accompanied by a significant reduction in respiratory events compared to weight stability or weight gain and that the greater the degree of weight loss the greater the improvements in OSA severity. A similar dose-response pattern has been reported for weight loss and improvements in cardiometabolic disorders, with which OSA frequently co-occurs or shares common pathogenetic mechanisms, such as type 2 diabetes mellitus, dyslipidemia, hypertension, and nonalcoholic fatty liver disease.⁵⁰ In our sample, improvements in the AHI were mostly attributed to a significant reduction of apneas rather than hypopneas, a fact that can partly be explained by the higher baseline AI values of our study sample compared to HI values or to the regression of some apneas to hypopneas (eg, decline in the percentage of airflow reduction) in the follow-up PSG after weight loss. Similar to previous interventional studies, we observed that a $\geq 10\%$ weight loss is optimal for OSA management, leading to a $\sim 50\%$ decline in median AHI and even to a complete OSA resolution (AHI <5 events/h) or no further need of treatment (AHI <15 events/h combined with resolution of OSA-related symptoms and the absence of comorbidities) in some patients. However, our analyses also revealed that even a lower degree of weight loss, ie, 5%–10% or even <5%, can lead to significant improvements in respiratory and oximetry indices. This can be attributed to our study sample, mainly consisting of patients with severe OSA (median AHI: 58 events/h) allowing for improvements even with mild weight loss and to the beneficial effects of a healthy Mediterranean-style dietary/lifestyle intervention on OSA severity, which may be evident regardless of improvements in body weight status.^{5,25} As expected, participants who lost more weight had the greatest adherence with intervention goals, ie, exhibited the greatest improvements in lifestyle habits (significant increase in the level of adherence to the Mediterranean diet, physical activity level, and sleep duration), highlighting the need for efficient interventions to achieve long-term behavior change and lifestyle modification with concomitant health benefits in patients with OSA.

In contrast to the aforementioned studies and our results, Dixon et al²⁰ reported that laparoscopic adjustable gastric banding did not result in a statistically greater reduction in 2-year AHI levels compared to conventional weight loss therapy through dietary modification, despite an almost 6-fold greater weight loss, among 60 participants with obesity and a baseline AHI of ≥ 20 events/h. Moreover, they observed a strong positive correlation between weight loss and AHI decline in the conventional weight loss group, similar to that observed in our study, but not in the bariatric surgery group. The authors concluded that there was a nonlinear link between weight loss and OSA severity with great individual effect variability, as well as a complex rather than a pure mechanical-load pathogenesis of

OSA in individuals with obesity. It must be noted that in the study of Dixon et al²⁰ mean (95% confidence interval) percent weight loss was 20.6% (15.4, 25.7) in the bariatric surgery group, whereas in the present study median (1st, 3rd quartile) percent weight loss was 13.0% (11.7, 15.9) in the $\geq 10\%$ WLG. It is therefore possible that although mild to moderate weight loss is associated with proportional improvements in the AHI, as also supported by our results, the dose-response relationship eventually reaches a plateau with limited additional benefit in OSA severity from further weight reduction.

This is a secondary analysis of a randomized controlled clinical trial with a primary aim to explore the superiority of the combination of a weight-loss dietary/lifestyle intervention with CPAP prescription for OSA management compared to CPAP alone.^{24–26} Our findings confirm previous evidence of a dose-response relationship between the degree of weight loss and improvement in OSA severity assessed through an attended overnight PSG and indicate optimal weight loss goals for overweight/obese patients with OSA. The comparison of weight loss groups with a group of patients who either remained weight-stable or gained weight serving as control is also a strong point of the present work. However, the study sample consisted of predominantly male, overweight, otherwise healthy patients with moderate-to-severe OSA and the present findings cannot be generalized to the whole OSA population. Moreover, 76% of study participants had severe OSA, and although weight loss significantly improved OSA severity, a complete OSA resolution (AHI <5 events/h) with no need for treatment was only achieved by a few patients; elimination of respiratory events might be more feasible in patients with mild OSA (AHI <15 events/h) who were not included in the MIMOSA trial. The relatively short intervention period (6 months) and the self-reported CPAP use by study participants represent additional limitations of the present work. Last but not least, although all participants were strictly advised to abstain from CPAP therapy for 2 days prior to the follow-up PSG partly to eliminate the CPAP washout effect on respiratory events, this was not objectively evaluated or confirmed (eg, from CPAP memory data).

In conclusion, a dose-response relationship between the degree of weight loss and improvement in OSA severity and symptoms seems to exist. Although guidelines on OSA management recommend that weight loss should be encouraged in patients who are overweight, more data on the optimal degree of weight loss and appropriate weight-loss interventions for managing the wide spectrum of OSA severity are needed to guide clinical practice. The present analysis supports that even a small degree of weight loss can have a beneficial effect on respiratory events and oxygen desaturation in moderate-to-severe OSA, but clinicians should preferably aim at a $\geq 5\%$ weight loss, and ideally a $\geq 10\%$ weight loss, to achieve clinically meaningful reductions in OSA severity.

ABBREVIATIONS

AIS, Athens Insomnia Scale
AHI, apnea-hypopnea index
AI, apnea index
BMI, body mass index

CI, confidence interval
 CPAP, continuous positive airway pressure
 ESS, Epworth sleepiness scale
 HI, hypopnea index
 MD, mean difference
 MIMOSA, Mediterranean diet/lifestyle Intervention for the Management of Obstructive Sleep Apnea
 NREM, nonrapid eye movement
 ODI, oxygen desaturation index
 OSA, obstructive sleep apnea
 PSG, polysomnography
 REM, rapid eye movement
 RR, relative risk
 SaO₂, oxygen saturation
 TSP, total sleep period
 WC, waist circumference
 WLG, weight loss group
 WS/GG, weight-stable/gain group

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