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Review Article

# A scoping review on the challenges, improvement programs, and relevant output metrics for neurotrauma services in major trauma centers

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#### **ABSTRACT**

Background: For a neurotrauma unit to be defined as a structured neurotrauma service (NS) the following criteria must be satisfied: A dedicated neurointensive care unit, endovascular neuroradiology, in-hospital neurorehabilitation unit and helicopter platform within the context of a Level I trauma center. Designing an effective NS can be challenging, particularly when considering the different priorities and resources of countries across the globe. In addition the impact on clinical outcomes is not clearly established.

Methods: A scoping review of the literature spanning from 2000 to 2020 meant to identify protocols, guidelines, and best practices for the management of traumatic brain injury (TBI) in NS was conducted on the US National Library of Medicine and National Institute of Health databases.

Results: Limited evidence is available regarding quantitative and qualitative metrics to assess the impact of NSs and specialist follow-up clinics on patients' outcome. Of note, the available literature used to lack detailed reports for: (a) Geographical clusters, such as low-to-middle income countries (LMIC); (b) clinical subgroups, such as mild TBI; and (c) long-term management, such as rehabilitation services. Only in the last few years more attention has been paid to those research topics.

Conclusion: NSs can positively impact the management of the broad spectrum of TBI in different clinical settings; however more research on patients' outcomes and quality of life metrics is needed to establish their efficacy. The collaboration of global clinicians and the development of international guidelines applicable also to LMIC are warranted.

Keywords: Clinical outcome measures, Global neurosurgery, Guidelines, Head injury, Major trauma center, Neurotrauma

## INTRODUCTION

Being the most common cause of death and disability in the young population (under 40 years old), traumatic brain injury (TBI) is a global health problem with widespread economic implications. [31,55]

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Across all ages, TBI represents 30-40% of injury-related deaths, and neurological injury is projected to remain the most important cause of disability from neurological disease until 2030. [10,31,53]

The clinical and financial implications of TBI management call for the identification of best practices and the standardization of neurotrauma services (NSs).[34] A recent study conducted under the umbrella of the CENTER-TBI initiative suggested that for a Neurotrauma Unit to be defined as a structured NS the availability of a number of facilities and specialists is required, including: a dedicated neurointensive care unit, endovascular neuroradiology, inhospital neurorehabilitation unit and helicopter platform within the context of a Level I trauma center.[12]

It is well known that modern advances in the clinical management of trauma patients are mostly based on guidelines that minimize the time interval between injury and primary care, improve hospital management, and overall help reducing the fatalities and sequelae of TBI worldwide. [45] It has been suggested that the implementation of TBI-specific guidelines can improve neurosurgical treatment and intensive care management with an impact of patients' outcomes. [30,48] However, how those protocols and guidelines are reflected in the activities of NSs all over the world has not been fully elucidated. Furthermore, the fragmented information available to date did not allow establishing how much structured NSs can effectively impact resource allocations at hospital and regional levels, nor to quantify how better they can improve patients' outcomes.

#### MATERIALS AND METHODS

Given this background, we defined the following research question: "is there any evidence for establishing the impact of structured NS on hospitals' resource allocation and patients' outcomes?," and established the following two objectives: (a) To assess how current guidelines and protocols are designed and updated; and (b) to compare the relationship between adherence to guidelines and health economic performance in high-income countries (HIC) versus low- and middle-income countries (LMIC) around the world.

To achieve those objectives and answer our research question, a scoping review of the literature meant to identify protocols, guidelines, and best practices for the management of TBI in NS has been performed. The search was conducted on the US National Library of Medicine and National Institute of Health databases using the following MeSH terms: "traumatic brain injury," "neurotrauma," "health economics," "regionalized trauma system." We included only English-written articles relevant to the topic published between 2000 and 2020. Following an abstractbased screening of all articles, a second triaging led to the identification of those appropriate for inclusion and

discussion in the present study. A consensus sought among authors through a Delphi approach solved any disagreement regarding articles' suitability for inclusion.

Finally, to ensure quality and internal consistency, the authors relied on the checklist for reporting scoping review for the preparation of this manuscript. [43]

#### **RESULTS**

The review of the literature led to the selection of 58 articles. An analysis of their publication date indicated a 6-fold increase in the number of studies published over the last decade.

The first objective of this scoping review was achieved by selecting position statements, guidelines and protocols issued by national and international societies. Their recommendations are not always based on evidence but instead on consensus (suggesting a clash between evidence based medicine and eminence based medicine). Regarding the second objective, limited evidence is available regarding quantitative and qualitative metrics to assess the impact of NSs and specialist follow-up clinics on patients' outcome. The available literature between 2000 and 2010 (8 articles) used to lack detailed reports for: (a) geographical clusters, such as LMIC; (b) clinical subgroups, such as mild TBI (mTBI); and (c) long-term management, such as rehabilitation services. Only in the last decade more attention has been paid to those research topics. Based on the data collected, the authors have proposed a series of recommendations for LMIC and a matrix for future research pertaining to NS.

#### The role of NSs

The literature provides evidence that established network protocols for Major Trauma Centers (MTCs) exist in most HIC, and include access to neurosurgical consultant/ attending/faculty-led care 24 h a day. An organized trauma system has been found to improve mortality in head-injured patients; for instance, Moran et al. demonstrated that the formal creation of a NS has led to significant improvement in overall mortality.<sup>[46]</sup> The creation of dedicated pathways has enabled a centralized referral and acceptance system for moderate to severe head injuries, including acute subdural and extradural hematomas, parenchymal contusions, and post-traumatic subarachnoid hemorrhage that are automatically accepted, lessening the referral times and favoring access to specialist input and/or neurosurgical theatres whenever needed.

To further optimize this admission pathway some pilot studies have been conducted. A recent innovative clusterrandomized trial was conducted by two UK-based ambulance services to examine the feasibility and measurable effects of directing patients with suspected TBI directly to specialist

neurosurgical centers.[41] The study found an over-triage ratio of 13:1 for neurosurgical intervention and 4:1 for TBI: This finding suggests that a measurable effect will be difficult to obtain, but also highlights the potential implications for pertinent resource management and cost-effective care.[41]

#### NSs in the context of international guidelines

Many international scientific societies support the view that patients with severe head injuries irrespective of their need for surgery should be managed within a NS, and all those patients with evolving neurosurgical conditions should be promptly referred to it for ongoing specialist care. Equally, it should be noted that even less severe head injuries might require management within MTCs if they have other injuries warranting admission and a higher level of specialist care. Accordingly, while the most important parameters to assess the level of consciousness remain the Glasgow Coma Scale and the pupillary reactivity,[5] the Injury Severity Score (ISS) based on the sum of Abbreviated Injury Scale (AIS) for each body compartment represent the standard of care for initial patients' assessment in most MTC around the world.<sup>[2,11]</sup> Patients who have an ISS >15 are defined as having suffered from a major polytrauma, but the coding of patients enables timely identification of treatable conditions and appropriate transfer to a NS. AIS > 3 for the initial assessment of the head compartment identifies the presence of a TBI [Table 1]. Noteworthy the maximum AIS score of 6 for this compartment represents traumatic conditions that are to be considered not survivable, hence indicating the futility of prolonged active treatment.

Compliance with protocols issued by international societies is high in Western Europe, the Americas, and several Asian countries such as India, China, Japan, South Korea, and Singapore. Exemplificative cases indicate that the implementation of guidelines issued by the Brain Trauma Foundation led to a significant decrease in hospital days,

**Table 1:** Abbreviated injury scale for traumatic brain injuries.

Injury description	AIS points
Subarachnoid hemorrhage	3
Subpial hemorrhage	3
Subdural hemorrhage	
Small/tiny	4
Bilateral/large	5
Intracerebellar hemorrhage	
Small/tiny	4
Bilateral/large	5
Epidural/extradural hemorrhage	
Small/tiny	4
Bilateral/large	5
Intraventricular hemorrhage	4
Hematoma Not Further Specified	4

improvement in clinical outcomes and optimization of financial return on investments made for this patients group. [19,54,58] Similarly, the implementation of National Institute for Clinical Excellence Head Injury guidelines in the UK has been associated with reduced in-hospital TBI mortality in patients aged 16-64 years. [42] Although those examples seem to suggest several positive externalities related to the implementation of guidelines and best practices, by digging more into the data available in the literature it appears that not all patients are eventually treated where they should. [18,26,31,34,45,48,53] For instance, a recent review of TBI admission data in North America demonstrated that whilst individuals with severe TBI are expected to be treated in Level 1 Trauma hospitals or MTC equivalent, an estimated 19.5% of these patients are admitted to lower-level trauma hospitals. [18]

#### Referral to major trauma centers for acute care

In the context of the demands already faced by Emergency Departments (A&E), the sheer number of TBI patients that attend tertiary hospitals can place significant pressure on already stretched services. Up to 1.4 million patients attend the A&E in England and Wales each year with head injuries.[13] A survey of 65 NSs across Europe and China found that 49% of them experienced overcrowding as a significant problem, [61] similar findings have also been published by researchers in the United States and Canada. [4,14] Good quality data for the 1.3 billion people living in the African continent are missing. This is concerning, as it has been suggested that overcrowding can influence the quality of care delivered and is associated with worse patient outcomes. [52] With such a varied and potentially complex patients' group, there is a risk that individuals with less severe TBI do not receive the support or ongoing referrals required. In this context, NSs not only help streamlining referrals, admissions, and primary treatment, but also coordination among multiple specialists, discharge planning, identification of appropriate rehabilitation programs and long term follow up.

Timing of admission is also very important and deserves attention by all stakeholders involved in the management of TBI patients. Comparative European data, possibly reflecting the higher population density in the continent, demonstrate that 80% of patients with severe TBI are admitted to a neurosurgical center within 12 h of injury and 68% within 4 h.[40] These findings emphasize two aspects: one is the need for better evaluation of patients' needs, and the other is the need to share best practices even across less homogeneous healthcare systems.

Usually, the predominant number of TBI patients requiring initial neurosurgical telemedicine referral will remain in their referring hospitals since intensive multimodality monitoring or surgical treatment will not be deemed necessary.[10,30] Without this preliminary step, the caseload for admission to tertiary NSs would make their long-term costs unsustainable; on the other hand, this patient selection justifies why data

captured from MTC databases are skewed to the moderatesevere category of head injury.

#### mTBI

In a recent global neurotrauma report Dewan et al. proposed a predictive model estimating that 64-74 million cases of TBI will occur worldwide annually. The majority of these (up to 81%) are predicted to be mTBI; [16] however, when allocating resources, there is a risk of mTBI being dismissed as of nominal consequence. This is to say that their clinical relevance is still largely misunderstood: in fact, it is imperative to realize that the disabling effects of mTBI can still impact a person's quality of life, and have a burdensome socio-economic impact on the overall society, with up to a quarter of these patients experiencing disabling symptoms several months post injury. [63]

Since over-triage of mTBI can consume existing resources available at the specialized care hospitals (subsequently limiting the adequate management of the moderate to severe TBI cases), the role for improved patient categorization cannot be stressed enough.[15] Most TBI patients in the mild to the moderate category may not need operative treatment and could potentially be managed in a non-specialized hospital, especially in these resource-limited areas where transfer of patients occurs with the enormous financial cost to the family and healthcare system.<sup>[24]</sup> A novel approach to telemedicine has allowed MTC to manage patients from a distance and has helped clinicians in the overall management of TBI not only from assessment to consenting process, but also from early to late follow up. Furthermore, telephone consultations may also have value in areas where access to Neurosurgical teams is difficult. Latifi et al. study examined the use of a robust tele-neurotrauma network in Albania and found it to be an extremely useful resource for the whole country and stopped unnecessary transfers to the sole Neurosurgical unit reducing significant costs.[39] This is of particular significance for those patients with minor head injuries who have the potential to overload limited systems and can be managed adequately well even with just telephone advices.[44]

Evidence regarding global neurotrauma provision has been reviewed for this article however a more robust analysis when large series will be available would be beneficial. It is clear that the challenges of TBI in different countries and continents are markedly different and strategies and improvement programs to ameliorate overall patient outcome is imperative.

### Rehabilitation pathways

MTC patients are particularly complex and require specialized inpatients and outpatients' pathways including appropriate rehabilitation programs. To facilitate the flow of patients from higher to lower levels of care an early and appropriate assessment is of fundamental importance, especially given the evidence that earlier access to multidisciplinary rehabilitation improves outcome. [59]

The rehabilitation requirements and resources available to this patient population vary from country to country, and even in the same nation, due to a markedly heterogeneous population, as well as significant differences in specialist rehabilitation provision by area. It is estimated that whilst 80% of the world's population live in LMIC, only 2% have access to rehabilitation services; [36] however, with regard to rehabilitation for TBI patients, HIC are not necessarily much better off. As a reference to this statement, political decisions need to be taken at higher levels, for instance a UK parliamentary study group produced the "acquired brain injury report" which recommended that the population requires a significant increase in neurorehabilitation beds and professionals, which are currently not homogeneously distributed across the country. The report estimated a shortfall of 10,000 inpatient beds in the current system. High rates of disability in patients discharged from NSs may reflect suboptimal rehabilitation and further work is required to assess whether patient's rehabilitation needs are either not being identified or whether the availability and quality of rehabilitation are substandard.[1]

#### High - versus LMIC

As highlighted above the global incidence of TBI is rising and its burden is already apparent in any country across the globe; however, this is particularly prominent in the African continent and several Asian countries. Universal care access is lacking and the availability of tertiary neurosurgical units and rehabilitation is extremely limited in particular regions of the world.[36,37] Mechanisms of injury, referral pathways, access to tertiary centers are vastly different among continents, and the challenges faced for TBI management in LMIC are quite different from those of HIC. However, the precise number of TBI morbidity and mortality cases is difficult to measure, partly due to underdeveloped data collection and a lack of comprehensive studies in particular populations.<sup>[37]</sup> Therefore there is reportedly a large gap in available data on the incidence, costs, and social impact of TBI in these areas and urgent planning of prevention program and greater resources are required to improve outcomes.<sup>[16]</sup>

LMIC have a high proportion of risk factors for TBI, whilst simultaneously having the fewest available resources to effectively manage this complex population.<sup>[3]</sup> In fact, the increasing incidence of TBI globally reflects an increase in road traffic accidents and trauma-related violence in LMIC where 90% of TBI deaths occur.[7] Pre-hospital emergency care, specialized inpatient care, and post-acute care (including management of long-term sequelae seizures, posttraumatic hydrocephalus, calvarial defects, post-traumatic

stress disorder, etc.) are all essentials for TBI outcome but are rarely available in low resource settings. [27,38,50,51] Many patients may require emergency neurosurgical intervention, some of which can be not available in all regions, reflecting an additional challenge for the professionals managing these patients in areas where traveling between hospitals can be lengthy and hazardous.[37] The implementation of a European or North American style trauma network is likely unfeasible within these countries, potentially highlighting an increased requirement for training and development within regional district hospitals.<sup>[6]</sup> In addition, many specialist centers, including those fulfilling requirements for NSs, find it difficult to conform to international guidelines due to the lack of 24/7 available manpower and necessary equipment, hence highlighting a lack of applicability of published evidence-based recommendations.<sup>[56]</sup>

#### Recommendations for NSs in LMIC

Due to the types of articles reviewed by this scoping review it would be difficult to apply the GRADE Working Group criteria (https://www.gradeworkinggroup.org/) for quality of evidence and strength of recommendations; nonetheless, it is possible to draw some conclusions and practical suggestions for the optimization of NSs worldwide, particularly in LMIC. Unsurprisingly, the organization of a NS in those countries requires a significant level of innovation and lateral thinking; this is what we could propose:

- 1. Although our scoping review demonstrated that international guidelines improve TBI outcomes, it also showed that such guidelines have all been designed in HIC (mostly for HIC). It follows that an automatic translation to other healthcare systems is not appropriate due to the mismatch between the necessary pre-requisites for the application of those guidelines and the resources available in the specific local context of LMIC. In fact, our results indicate those latter countries have wider heterogeneity of pre-hospital emergency care and regional trauma systems, hospital infrastructures, and human resources
  - One recommendation that can be formulated is therefore that regional standardized trauma care protocols should be context-specific, in other words they should be reversed engineered around the geographical characteristics, populations' needs and resource limitations of each national healthcare system in LMIC. In doing so, healthcare managers and politicians should make use of the AGREE (Appraisal of Guidelines for Research & Evaluation) tools (https://www.agreetrust.org/agree-ii/) ensure guidelines quality by addressing variability and methodological rigor during their development
- 2. Emergency care is essential for universal, quality, and non-discriminatory healthcare delivery; as such

continuously extended and upgraded training of all medical staff represents a priority. This is particularly important in remote areas, where general surgeons, orthopedic surgeons, and trauma surgeons should be nudged to expand their curriculum to match the needs of NS. In African countries where this was attempted (see Prospero Database: CRD42021258097) the minimal complication rate recorded did not indicate issues in terms of quality of care

- Hence, another suggestions for NSs in LMIC is that they should rely on a broader group of surgeons, adequately trained by local neurosurgeons, to perform lifesaving procedures, such as emergency burr holes and decompressive craniectomy
- 3. Due to the differences in the natural history of mTBI and the demands for decentralized rehabilitation pathways, NSs in LMIC should leverage on telemedicine consultation to optimize patients' care. Access to fast internet connections (either broadband or through communication satellites) represents a priority for LMIC because the availability of real time, widespread digital medicine would allow those countries to catch up with the standard of care provided in HIC
  - It is recommended that LMIC consider telemedicine as the most readily available option to reduce the burden of non-acute management of TBI and long term follow-up on its NS
- The growing attention to global neurosurgery matters has also led to the recognition that not every aspect of neurosurgical practice has the same weight, challenges and constraints in different countries. Education and development of neurosurgery trainees anywhere in the world remains essential and has always been one the first and foremost mandate of scientific societies. including the World Federation of Neurosurgical Societies and European Association of Neurosurgical Societies. Noteworthy, the way neurosurgical education is delivered was reshaped over the last 2 years as a result of COVID19, and this should be kept in mind for future planning
  - A lecture-panel-discussion model where experts trained in HIC and currently working in LMIC teachs other practitioners facing similar experiences could be recommended as a pivotal aspect of developing surgical skills and trauma competences in any LMIC.

### Research in NSs

From the analysis of the literature and the secondary data sources identified by this scoping review, it is clear that further primary research is required to define the impact of NSs on TBI outcomes. Given the broad spectrum of neurotrauma mechanisms and required interventions, as well as regional differences in patient needs, markedly different priorities in the management of TBI exist within countries and between countries. The majority of TBI research is also conducted in HIC hence the current lack of evidence available to tackle the challenges of TBI management in reduced resource areas. In recent years this indicated an urgent need for international collaborative studies for future research and service development. Accordingly, the newly established National Institute for Health Research (NIHR) Global Health Research Group on Neurotrauma (whose activities are strongly supported by the Neurotrauma Committee of the World Federation of Neurosurgical Societies) aims to address the existing gap by producing evidence that should directly benefit patients anywhere in the world. The group aims to gain an in depth understanding of the global needs of TBI patients by creating a centralized TBI registry including details of emergency surgery, evaluating interventions used in LMIC and mapping future research in LMIC demonstrating significant steps toward improving outcomes for these patients. Specifically, follow-up and rehabilitation of TBI patients will require significantly more input from local governments as the current investment in this aspect of patient care is still reported to be fairly minimal.[35] As an example, whilst some internationally recognized trials have been conducted in LMIC looking at the use of specific interventions, such as the use of intracranial pressure monitoring in the BEST TRIP trial, [8] there has been little information obtained in whether patients received any rehabilitation post hospital discharge and the impact this has on outcome.

Based on this scoping review, beside the aspects discussed above, we have identified other examples of unmet needs in neurotrauma; those have been incorporated into a matrix showing the future areas for potential research in this field [Table 2]. [9,17,20,21,22,23,25,28,29,32,33,39,44,47,49,52,57,60,62] Accordingly, most of the improvements in the area of neurotrauma will come from the application of new technologies (telecommunication & IT services, nanotechnology, biomedical engineering, etc.) particularly to the areas of prognostication and follow-up of TBI patients.

#### **Study limitations**

Despite the authors' best efforts, this study presents several limitations, mostly related to the nature of the research question, which was deemed more suitable for a scoping review than a systematic one. In fact, some quantifiable aspects of neurotrauma management including granular information regarding type and timing required for prehospital rescue and transfer, type of imaging modalities required on admission and during in-hospital stay, type of surgical and medical treatment administered, and availability of multidisciplinary discussion teams were not available for

Table 2: Matrix for unmet research needs in neurotrauma. Areas for clinical improvement (references) Prognostication Early predictive factors on mortality in TBI Effectiveness of point of care collection and analysis of prognostic biomarkers for TBI<sup>[17]</sup> Correlation between mechanism of injury and long-term outcome Service provision Systematic review for global neurotrauma Clinical/Surgical Further research into the follow up of TBI best practices patients, timings/material of cranioplasty and service provision Medical complications in TBI including protocols for prophylaxis of thromboembolic Increased longitudinal QOL data post-TBI<sup>[21]</sup> Follow up Researching further modalities of TBI follow up including telemedicine clinics Role of telemedicine in limited resource Patients' Patient involvement for evaluation current engagement neurotrauma services

further analysis. For this reason, our research question was not prone to a formal meta-analysis.

Another limitation regard the timeframe considered for our study. Whereas clinicians and public health institutions have recently started to pay more attention to the global burden of TBI, the literature up to 2020 might have not yet reflected how the initiatives spurred by such widespread interest are bringing effective changes in our clinical practice.

### **CONCLUSION**

Due to the heterogeneous data and low evidence identified by this scoping review, more research is needed to assess the efficacy and usefulness of structured NSs in terms of patient outcome and quality of life metrics. International collaboration between relevant clinicians is required to produce best practice guidelines and research applicable also to those centers located in LMIC. Various aspects outlined in this study will likely be an integral part of these research progresses over the coming years. Our results further highlight that future studies set in LMIC should ideally investigate the impact of lack of rehabilitation and follow-up services for post-TBI patients in these settings. This should include patients with mTBI and the potential role they play on limited resource systems.

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# Declaration of patient consent

Patient's consent not required as there are no patients in this study.

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#### **Conflicts of interest**

There are no conflicts of interest.

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