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Late-life vascular risk score in association with postmortem cerebrovascular disease brain pathologies

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Abstract

Background and Purpose: The general cardiovascular Framingham risk score (FRS) identifies adults at increased risk for stroke. We tested the hypothesis that baseline FRS is associated with the presence of postmortem cerebrovascular disease (CVD) pathologies.

Methods: We studied the brains of 1672 older decedents with baseline FRS and measured CVD pathologies including macroinfarcts, microinfarcts, atherosclerosis, arteriolosclerosis, and cerebral amyloid angiopathy. We employed a series of logistic regressions to examine the association of baseline FRS with each of the five CVD pathologies.

Results: Average age at baseline was 80.5±7.0 years and average age at death was 89.2±6.7 years. A higher baseline FRS was associated with higher odds of macroinfarcts (OR=1.10, 95%CI: 1.07–1.13, p<0.001), microinfarcts (OR=1.04, 95%CI: 1.01–1.07, p=0.009), atherosclerosis (OR=1.07, 95%CI: 1.04–1.11, p<0.001), and arteriolosclerosis (OR=1.04, 95%CI: 1.01–1.07, $p=0.005$). C-statistics for these models ranged from 0.537 to 0.595 indicating low accuracy for predicting CVD pathologies. FRS was not associated with the presence of cerebral amyloid angiopathy.

Corresponding author: Shahram Oveisgharan. 1750 W Harrison, Suite 1000, Chicago, IL, USA. shahram_oveisgharan@rush.edu; Phone:0013125633512. DISCLOSURES **Shahram Oveisgharan:** None. **Lei Yu:** None. **Ana W. Capuano:** None. **Zoe Arvanitakis:** Grants from NIH during the conduct of the study and grants from Amylyx outside the submitted work. **Lisa L. Barnes:** None. **Julie A. Schneider:** Personal fees from Eli Lilly Inc. (AVID radiopharmaceutical) and personal fees from National Hockey League outside the submitted work. **David A. Bennett:** None. **Aron S. Buchman:** None.

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Conclusions: A higher FRS score in older adults is associated with higher odds of some, but not all, CVD pathologies, with low discrimination at the individual level. Further work is needed to develop a more robust risk score to identify adults at risk for accumulating CVD pathologies.

Keywords

Risk factors; Postmortem changes; Autopsy; Cerebral infarction; Atherosclerosis; Arteriolosclerosis; Cerebral amyloid angiopathy

INTRODUCTION

Acute stroke can have devastating clinical consequences and affect about 10% of Americans 60 years or older¹. Public health efforts to reduce stroke have focused on reducing risk factors for cerebrovascular disease (CVD). CVD risk factors cluster and interact multiplicatively to increase the risk of stroke highlighting the need for clinical risk scores for stroke. Risk scores are employed to identify older adults who might benefit from aggressive early treatments of CVD risk factors to prevent the occurrence of strokes.

There is increased recognition that CVD pathologies develop over many years before manifesting clinical symptoms of stroke ². Moreover, brain imaging studies suggest that many older adults show evidence of subclinical CVD pathologies such as infarcts without any prior history of clinical stroke 3 . Additionally, subclinical CVD pathology is not benign and may make important contributions to late-life cognitive 4 and motor decline 5 . Thus, preventing the accumulation of CVD pathologies is crucial to maintain independent living in older adults ⁶.

While conventional brain MRI imaging can identify macroinfarcts, they cannot identify adults with evidence of microvascular pathologies. Microvascular pathologies including microinfarcts, arteriolosclerosis or cerebral amyloid angiopathy can only be identified via postmortem microscopic exam of brain tissues. Thus, there is a particular need for risk scores capable of identifying older adults at risk for microvascular pathologies which commonly accumulate in older brains.

There is a paucity of data about whether currently available risk scores for clinical stroke, like the Framingham risk score, can identify adults at risk for CVD pathologies, especially among the oldest old. To address this knowledge gap, we conducted this study.

METHODS

Participants

The study participants came from one of three ongoing community-based longitudinal studies of aging directed by the Rush Alzheimer's Disease Center⁷. The Religious Orders Study (ROS) began recruiting nuns, priests, and brothers across the United States in 1994. In 1997, the Rush Memory and Aging Project (MAP) began recruiting older adults from retirement centers and subsidized housings across the greater Chicago metropolitan area. Older adults without known dementia who consent to annual testing and autopsy at the time of death are eligible for inclusion in these studies. Since 2004, the Minority Aging

Research Study (MARS) has recruited participants who are exclusively African Americans 8 . MARS participants are recruited from a variety of settings including churches, subsidized housings, and retirement communities. Unlike ROS and MAP, MARS participants were not required to consent for brain donation as a requisite to join the study given their sensitivity to brain donation due, at least in part, to a historical legacy of exploitation and abuse in medical research among African Americans. However, investigators proposed brain donation as an option to the MARS participants after establishing relationships with them based on trust and respect. Yet, all participants across the three studies consent to annual clinical evaluation, and all studies employ common clinical and postmortem data collection methods performed by the same staff, a strategy that facilitates joint analysis 7 . A Rush University Medical Center Institutional Review Board approved each study.

From 1994 through February 2020, 872 ROS participants with complete baseline data had died, 802 had undergone autopsy and 788 had complete autopsy data at the time of these analyses. In MAP, 891 of 1079 decedents had undergone autopsy, and 856 had complete autopsy data. In MARS, 35 of 61 decedents who agreed to autopsy had undergone autopsy, and 33 had complete autopsy data. From 1677 participants with available autopsy, vascular risk factor data was missing in four MAP and one MARS participants. Therefore, 1672 participants comprised the analytic sample. De-identified data, including those used in these analyses, may be requested via submitting an application through the Rush Alzheimer's Disease Center Research Resource Sharing Hub at www.radc.rush.edu.

Assessment of the Framingham risk score

Cardiovascular risk burden was quantified using the general cardiovascular Framingham risk score (FRS) which is calculated separately for women and men and has 5 components: a) age, b) body mass index (BMI), c) systolic blood pressure (SBP), d) smoking, and e) diabetes mellitus⁹. SBP is scored differently for individuals with or without medical treatment for hypertension ⁹.

At baseline assessment, medical conditions and cardiovascular risk factors, including history of smoking and diabetes mellitus were documented. Height and weight were measured for calculating BMI. All medications were inspected and names and dosages were recorded and coded using Medi-Span Drug Data Base System 10. Standing and sitting SBP was measured by trained research assistants using automated sphygmomanometers, and an average SBP was calculated as described previously ¹¹.

Assessment of postmortem cerebrovascular disease pathologies

A structured brain autopsy, as described in prior publications, was performed by staff blinded to clinical data collected prior to death 7.12 . The brain was cut into slabs, and tissue blocks were prepared from the slabs of predefined brain regions for collection of histopathologic indices.

Macroinfarcts: The main objective of the study was to examine the association between baseline FRS and CVD pathologies. Thus, as we have done in prior postmortem studies, we included only chronic infarcts that would be more reflective of the cumulative effects of

the vascular risk burden 12,13. Slabs were inspected with the naked eye for the presence of macroinfarcts, and suspected lesions were confirmed microscopically 14. For these analyses we summarized macroinfarcts as a dichotomous (presence/absence) or a trichotomous $(0, 1, 1)$ 2 or more) ordinal variable. We also categorized macroinfarcts by location into cortical and subcortical ones that were not mutually exclusive, and a participant could have macroinfarcts in both locations.

Microinfarcts: Microinfarcts are not visible to the naked eye and can only be identified under microscopy. Sections were prepared from tissue blocks of 9 brain regions in 1 hemisphere, and were examined for microinfarcts. Six cortical brain regions (frontal, temporal, entorhinal, hippocampal, parietal, and anterior cingulate), two subcortical regions (anterior basal ganglia and thalamus), and the midbrain were examined. As noted above for macroinfarcts, we only examined chronic microinfarcts in these analyses. Microinfarcts were summarized as a dichotomous (presence/absence) or trichotomous (0, 1, 2 or more) ordinal variable. Following macroinfarcts, microinfarcts were also categorized by location into cortical and subcortical ones.

Atherosclerosis: The vessels of the circle of Willis at the base of the brain (vertebral, basilar, posterior, middle, and anterior cerebral arteries) were assessed for atherosclerosis using a semiquantitative scale (none to severe), as described previously 15 . For these analyses, we dichotomized the absence of atherosclerosis (none/mild) or its presence (moderate/severe) as done in prior publications ¹⁶.

Arteriolosclerosis: Arteriolosclerosis was based on the assessment of small arterioles of the anterior basal ganglia. A semi-quantitative scoring scale was used to assess concentric hyaline thickening and narrowing of the arterioles ¹⁵. Like atherosclerosis, we constructed a dichotomous variable for the presence or absence of moderate/severe arteriolosclerosis ¹⁶.

Cerebral Amyloid Angiopathy (CAA): CAA was based on amyloid-β immunostaining of meningeal and parenchymal vessels in sections from four brain regions (frontal, temporal, angular, and calcarine cortices). CAA was scaled semi-quantitatively in each brain region and the average score for the four regions was calculated. From this continuous CAA score, a semi-quantitative CAA scale has also been developed $1⁵$. For these analyses, we constructed a dichotomous variable for the presence or absence of moderate/severe CAA, as done in prior publications ¹⁶.

Assessment of covariates

At the baseline evaluation, sex and years of education were assessed by self-report. History of vascular diseases, including stroke and heart attack, was also documented through selfreport.

Statistical analyses

We used t-tests to examine the bivariate associations of FRS with CVD pathologies, and Spearman correlation coefficient to examine bivariate association of education with FRS. In our primary analyses, we used logistic regression models to examine the association of

the FRS with each of the five CVD pathologies. In secondary analyses, we first examined whether the associations were confounded or modified by the time interval between the FRS assessment and the time of death or the number of years of formal education. Second, we excluded participants with a history of stroke or myocardial infarction to examine whether the association between FRS and the CVD pathologies were driven by these subsets of participants. We used SAS version 9.4 for the analyses, and set 0.05 as the cut-point to reject the null hypotheses.

RESULTS

Characteristics of study participants.

Clinical Characteristics: There were 1672 participants and their characteristics are summarized in Table 1. On average, participants were 80 years old at baseline and were followed for about 9 years [8.6 years (SD=5.3)] before death. Hypertension was the most common risk factor, and affected approximately half of the participants at baseline.

Postmortem Indices: The average postmortem interval was 9.6 (SD=9.0) hours. Figure 1 highlights the heterogeneity of cerebrovascular disease pathologies accumulating in aging brains. The majority of participants had one or more cerebrovascular pathologies (80%) with 30% having one vascular pathology, and about 50% of the participants having more than one vascular pathology (Figure 1).

Baseline Framingham risk score and macroinfarcts

On average, the baseline FRS score was 19.5 (SD=3.5). FRS was higher in participants with macroinfarcts [present (20.2 \pm 3.3) versus absent (19.1 \pm 3.5)] (t₁₆₇₀=6.33, p<0.001). In a logistic regression, a 1-point increase in the baseline FRS was associated with a 10% increase in the odds of macroinfarcts (OR=1.10, 95%CI: 1.07–1.13, $p \le 0.001$) (Figure 2-A). The c-statistics for this model was 0.595, indicating low discrimination in predicting macroinfarcts for an individual. To examine whether a more granular assessment of macroinfarct could change its association with FRS or improve its prediction discrimination, we replaced the dichotomous macroinfarcts measure with a trichotomous measure [no macroinfarct (n=1081), 1 macroinfarct (n=308), and 2 or more macroinfarcts (n=283)]. In an ordinal logistic regression model, a 1-point increase in FRS score was associated with a 9% increase in the odds of more macroinfarcts (OR=1.09, 95%CI: 1.06–1.12, $p \times 0.001$), and the c statistics of the model was 0.580. These findings suggest that a more granular assessment of macroinfarct does not improve its prediction by FRS.

Location of Infarcts: In further analyses, we examined the association of FRS with the location of macroinfarcts (cortical versus subcortical, Table 1). In logistic regressions, a 1-point increase in the baseline FRS was associated with a 10% increase in the odds of subcortical infarcts (OR=1.10, 95%CI: 1.07–1.14, $p<0.001$), but with only 4% increase in the odds of cortical infarcts (OR=1.04, 95%CI: 1.00–1.08, $p=0.044$).

Secondary Analyses—We examined a series of analyses to determine whether the association between FRS and macroinfarcts was attenuated by common clinical covariates or

when subsets of individuals with a history of clinical stroke or myocardial infarctions were excluded.

We examined if the time interval between FRS assessment and death confounded the association of FRS and macroinfarcts. On average, participants' FRS was assessed 8.6 (SD=5.3) years before death. Adding a term for the time interval between the FRS assessment and death did not attenuate the association of FRS with macroinfarcts (Table 2, **Model 1**). Next we added to the previous model an interaction term between the FRS score and the time interval between the time of FRS assessment and death. The association between the FRS score and the presence of macroinfarcts did not vary with the time interval between FRS assessment and the time of death (Table 2, **Model 2**).

Education is not included in the calculation of the FRS score while a lower level of education is a risk factor for clinical stroke 17 . In our study, education was inversely correlated with the FRS score $(r_s = -0.11, p \times 0.001)$. Therefore, we examined if education attenuated the association between FRS and macroinfarcts. Adjustment for education did not change the association between FRS and macroinfarcts (Table 2, **Model 3**). Finally, we examined whether the association between FRS and macroinfarcts was driven by participants with a history of stroke or myocardial infarction. However, excluding participants with a history of stroke or myocardial infarction did not attenuate the association of FRS and macroinfarcts (Table 2, **Models 4–5**).

Baseline Framingham risk score and microinfarcts

FRS was higher in participants with microinfarcts [present (19.8±3.3) versus absent (19.3 ± 3.6)] (t₁₆₇₀=2.61, $p=0.009$). In a logistic regression, a 1-point increase in the baseline FRS was associated with 4% increase in the odds of microinfarcts (OR=1.04, 95%CI: 1.01–1.07, $p=0.009$) (Figure 2-B). The c-statistics for this model was 0.542, indicating poor discrimination in predicting microinfarcts for an individual. To examine whether a more granular assessment of microinfarct could change its association with FRS or improve its prediction discrimination, we replaced the dichotomous microinfarcts measure with a trichotomous measure [no microinfarct $(n=1168)$, 1 microinfarct $(n=299)$, and 2 or more microinfarcts (n=205)]. In an ordinal logistic regression model, a 1-point increase in FRS score was associated with an 4% increase in the odds of more microinfarcts (OR=1.04, 95%CI: $1.01-1.07$, $p=0.008$), and the c statistics of the model was 0.540. These findings suggest that a more granular assessment of microinfarct does not improve its prediction by FRS.

The same as macroinfarcts, we examined the association of FRS with subcortical vs. cortical microinfarcts. FRS was associated with subcortical microinfarcts (OR=1.06, 95%CI: 1.02– 1.10, $p=0.004$), but not cortical ones (OR=1.01, 95%CI: 0.97–1.04, $p=0.625$).

Secondary Analyses—The association of FRS with microinfarcts neither was changed through adjustment for nor was modified by the time interval between FRS assessment and time of death (Table 2, **Models 1–2**). The association between FRS and microinfarcts did not change after adjustment for education (Table 2, **Model 3**) or after exclusion of participants with a history of stroke or myocardial infarction (Table 2, **Models 4–5**).

Baseline Framingham risk score and the presence of cerebrovascular disease pathologies of large and small cerebral blood vessels

Atherosclerosis: Baseline FRS score was higher in participants with postmortem evidence of atherosclerosis [present (20.1 ± 3.2) versus absent (19.2 ± 3.6)] (t₁₆₆₂=4.77, $p<0.001$). In a logistic regression model, a 1-point increase in baseline FRS score was associated with 7% increase in the odds of atherosclerosis (OR=1.07, 95%CI: 1.04– 1.11, $p<0.001$) (Figure 2-C). The c-statistics for this model was 0.577, indicating low discrimination for predicting atherosclerosis in an individual participant ¹⁸.

Secondary Analyses—The association of FRS with atherosclerosis did not change after adjustment for the time interval between FRS assessment and time of death (Table 2, **Models 1–2**) or education (Table 2, **Model 3**), or after exclusion of participants with a history of stroke or myocardial infarction (Table 2, **Models 4–5**).

Arteriolosclerosis: Baseline FRS score was higher in participants with postmortem evidence of arteriolosclerosis [present (19.8±3.4) versus absent (19.3±3.5)] (t₁₆₅₈=2.80, $p=0.005$). In a logistic regression model, a 1-point higher baseline FRS score was associated with 3% higher odds of arteriolosclerosis (OR=1.04, 95%CI: 1.01–1.07, $p=0.005$) (Figure 2-D). However, the c-statistics of the model was 0.537, indicating poor discrimination for predicting arteriolosclerosis in an individual participant ¹⁸.

Secondary Analyses—The association of FRS with arteriolosclerosis did not change after adjustment for the time interval between FRS assessment and time of death (Table 2, **Models 1–2**) or education (Table 2, **Model 3**), or after exclusion of participants with a history of stroke or myocardial infarction (Table 2, **Models 4–5**).

Cerebral Amyloid Angiopathy: Baseline FRS score was similar in participants with and without the presence of CAA (t_{1630} =1.19, p=0.233). In a logistic regression model, baseline FRS score was not associated with the presence of CAA (OR=0.98, 95%CI: 0.95–1.01, $p=0.232$).

DISCUSSION

The FRS has been used in many clinical studies to identify adults with an increased risk of stroke. Examining data from approximately 1700 older adults, we found that a higher baseline FRS was associated with postmortem evidence of macroinfarcts, microinfarcts, atherosclerosis, and arteriolosclerosis, but not CAA. While these novel findings are encouraging, our analysis suggests that FRS showed low discrimination for predicting the presence of postmortem CVD pathologies in an individual adult. These data suggest that the FRS, in its current form, has limited clinical utility to identify adults at risk for the accumulation of postmortem CVD pathologies. Further studies are needed to develop a more robust risk score for identifying older adults at risk for accumulating CVD pathologies. Moreover, given the varied types of CVD pathologies several risk scores may be needed to facilitate targeted interventions to prevent specific CVD pathologies, e.g. macroinfarcts versus microvascular pathologies.

Current guidelines recommend that physicians use vascular risk scores to gauge an individual's risk for major cardiovascular events 19 . Risk scores such as the FRS were developed to identify risk for the occurrence of major vascular events such as myocardial infarction²⁰. Their utility has been extended for identifying adults at risk for stroke 21 . However, these risk scores were not designed for predicting the presence of postmortem CVD pathologies that are commonly associated with late-life cognitive and motor decline $22-25$. Moreover, they were developed and examined in prediction of vascular events in younger populations. Consequently, it was not known to what extent the FRS was associated with postmortem indices of CVD pathologies in older brains ^{11,26,27}.

The current study provides novel data about the association of FRS and CVD pathologies that accumulate in older adults. The finding that the baseline FRS was associated with macroinfarcts and atherosclerosis validates prior epidemiological studies reporting the association of FRS with clinical stroke 21 and with carotid intima media thickness 28 . These current findings are encouraging and suggest that FRS might identify adults at risk both for clinical stroke and accumulating CVD pathologies. However, further examination of the models in the current study suggests that FRS may be of limited clinical utility as FRS showed limited discriminative ability in predicting older adults at risk of CVD pathologies. The C statistics of the current study models including FRS for predicting CVD pathologies were 0.54 – 0.60, which were less than 0.84 reported by previous studies for models predicting clinical stroke ⁹. These results highlight the need for further work to develop a more robust risk score for CVD pathologies.

The current study identified additional important limitations of the FRS for risk stratification of CVD pathologies. Conventional brain imaging cannot identify various microvascular pathologies that commonly occur i.e. microinfarcts, arteriolosclerosis and CAA. Examining postmortem brain pathologies showed that FRS had lower discriminative ability in predicting indices of microvascular pathologies including microinfarcts and arteriolosclerosis than predicting macroinfarcts, and FRS was not associated with CAA. These findings, which have been suggested by some prior studies $27,29-31$, underscore the need for more robust CVD pathologies risk scores.

To develop a more robust risk score to identify older adults at risk for CVD pathologies, it will likely be necessary to add additional factors to the current FRS. Executive cognitive dysfunction can be one of the variables; previous studies have shown it to be a risk factor for clinical stroke 32 and to be related to the vascular brain pathologies 33 . In prior work, we have shown that parkinsonism, a motor phenotype, is strongly associated with CVD pathologies ²⁵. Psychological variables like purpose in life 34 have also been shown to be associated with vascular brain pathologies. Several polygenic risk scores have been developed for predicting clinical stroke.35,36 In a prior work, we identified several vascular risk factors' susceptibility genes that were also associated with CVD pathological changes.³⁷ Further work adding a polygenic risk score to FRS may increase its accuracy and specificity for postmortem CVD pathologies. The development of risk profiles based on more varied clinical functions and genetic data together with brain imaging and biofluid biomarkers are likely to be needed to obtain more robust risk scores that identify adults at risk for CVD pathologies.

The study has several strengths. It included clinical and postmortem data from approximately 1700 well-characterized older adults. Structured autopsy was performed, and pathologic indices of both large and small cerebral vasculature were studied. However, the study has limitations. A reliable histopathologic measure of white matter disease or brain imaging metrics of white matter hyperintensities known to correlate with vascular risk factors and diseases was not measured. The participants were mainly Caucasians with high levels of education, limiting the generalizability of the results.

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Non-Standard Abbreviations and Acronyms:

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Figure 1. Burden of cerebrovascular disease pathologies in the brain.

The figure highlights the heterogeneity of cerebrovascular disease pathologies accumulating in aging brains. The bar chart in the lower left corner shows the frequency of each of the CVD pathologies and participants without any of the CVD pathologies. Connected black dots on the x-axis indicate the most frequent combinations of CVD pathologies. The histograms in the main panel show the frequencies of the combinations of CVD pathologies in the participants with high (red) vs. low (black) Framingham risk score (FRS) [stratified based on the median FRS score that was 19], ordered by their frequency. The high FRS was defined as scores equal or more than the median compared to low FRS that was defined as scores less than the median. The height of each bar corresponds to the number of participants with each individual or combination of CVD pathologies. Cerebrovascular disease pathologies occurred alone in a minority of participants (30%, 497 of 1672) and combinations of cerebrovascular pathologies occurred in about half of the participants (51%, 854 of 1672 with 2 or more CVD pathologies).

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Baseline Framingham risk score

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Figure 2. Baseline Framingham risk score is associated with cerebrovascular disease pathologies. Probability of one or more macroinfarcts (A), microinfarcts (B), moderate to severe atherosclerosis (C), and moderate to severe arteriolosclerosis (D) as a function of baseline Framingham risk score. The shaded area represents 95% confidence interval of the probabilities.

Table 1:

Participants' characteristics (N=1672).

Table 2.

Association of the Framingham risk score at baseline with postmortem cerebrovascular disease (CVD) pathologies (N=1672).

Each cell shows the odds ratios (95% confidence interval) and p-values for the association of FRS and a different CVD pathology derived from a logistic regression model. For each CVD pathology, five models examined whether the association of the FRS with the CVD pathology in our primary models (Reference Model) was attenuated by additional terms or by excluding subsets of participants with a history of CVD. **Model 1** included terms for FRS and the time (years) from the FRS assessment to the time of death. **Model 2** added an interaction term (FRS × time interval (years) from assessment to death) to the **model 1** terms. **Model 3** included terms for FRS and education (years). **Model 4** included a term for FRS but excluded participants with a history of stroke (n=221). **Model 5** included a term for FRS but excluded participants with a history of myocardial infarction (n=224).