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Ketone body and FGF21 coordinately regulate fasting-induced oxidative stress response in the heart

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Ketone body β -hydroxybutyrate (β OHB) and fibroblast growth factor-21 (FGF21) have been proposed to mediate systemic metabolic response to fasting. However, it remains elusive about the signaling elicited by ketone and FGF21 in the heart. Stimulation of neonatal rat cardiomyocytes with β OHB and FGF21 induced peroxisome proliferator-activated receptor α (PPAR α) and PGC1 α expression along with the phosphorylation of LKB1 and AMPK. β OHB and FGF21 induced transcription of peroxisome proliferator-activated receptor response element (PPRE)-containing genes through an activation of PPAR α . Additionally, β OHB and FGF21 induced the expression of Nrf2, a master regulator for oxidative stress response, and catalase and Ucp2 genes. We evaluated the oxidative stress response gene expression after 24 h fast in global Fgf21-null (Fgf21^{-/-}) mice, cardiomyocyte-specific FGF21-null (cmFgf21^{-/-}) mice, wild-type (WT), and Fgf21^{fl/fl} littermates. Fgf21^{-/-} mice but not cmFgf21^{-/-} mice had unexpectedly higher serum β OHB levels, and higher expression levels of PPAR α and oxidative stress response genes than WT mice or Fgf21^{fl/fl} littermates. Notably, expression levels of oxidative stress response genes were significantly correlated with serum β OHB and PGC1 α levels in both WT and Fgf21^{-/-} mice. These findings suggest that fasting-induced β OHB and circulating FGF21 coordinately regulate oxidative stress response gene expression in the heart.

The heart is the most energy-demanding and metabolically omnivorous organ which uses ketone bodies as well as fatty acids and glucose as fuel source¹. It is a growing appreciation that circulating ketone body, β -hydroxybutyrate (β OHB), is not just used during fasting and exercise, but also has important cellular signaling roles to regulate gene expression^{2,3}. Histone acetylation is important for the global transcription and specific changes in gene expression⁴. Because β OHB is catabolized to acetyl-CoA in target tissues, metabolism of β OHB into acetyl-CoA should raise intracellular acetyl-CoA levels, and increase the acetylation of histones and non-histone proteins which are involved in several cellular processes controlling anabolic and catabolic reactions during fasting response⁵. Indeed, it was discovered that β OHB represses oxidative stress in mouse kidney by inhibiting endogenous class I histone deacetylase (HDACs) activity⁶. In addition, lysine β -hydroxybutyrylation was found to be distinct β OHB-derived histone modification in cultured cells and in the liver from mice subjected to prolonged fasting⁷. In line with this notion, β OHB may have far-reaching effect on overall metabolic health, given that nutrient sensitive pathways activated in calorie restriction, intermittent fasting and ketogenic diets have important roles in metabolic homeostasis and longevity³. However, the precise signaling pathways by which β OHB regulates the cardiac gene expression remain largely unknown.

Fibroblast growth factor 21 (FGF21) is a metabolic hormone that is induced during fasting and exercise in mice and humans⁸. While the main site of production and release into the circulation is the liver⁹, FGF21 is also

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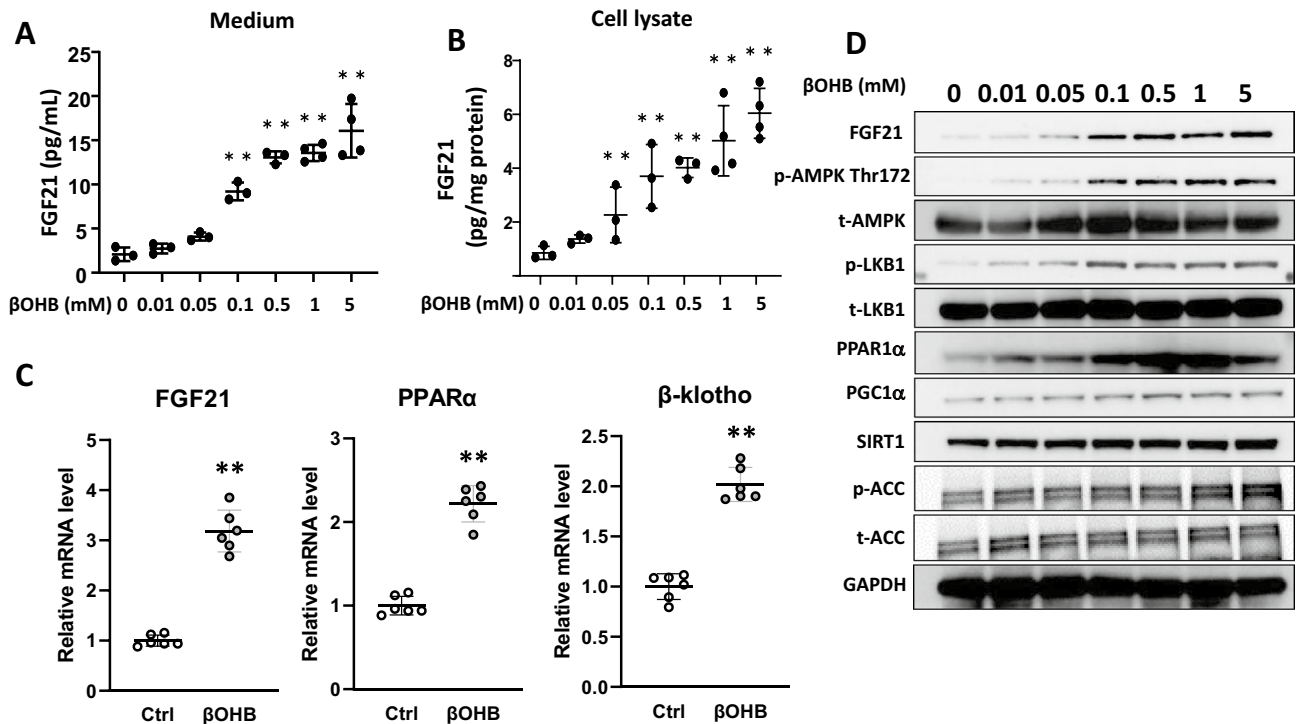


Figure 1. Effects of β OHB on FGF21 expression and signaling in cultured neonatal rat cardiac myocytes. FGF21 concentrations in cultured medium (A) and in cell lysates (B) were measured by ELISA after stimulation of cultured neonatal rat cardiac myocytes with β OHB (0–5 mM) for 24 h. Data are mean \pm SD (n = 3–4). **p < 0.01 vs control (0 mM) analyzed by one-way ANOVA followed by Dunnett correction for multiple comparisons. (C) mRNA levels for FGF21, PPAR α and β -klotho were compared between the vehicle (ctrl) and β OHB (0.5 mM)-treated cardiac myocytes (n = 6). Data are mean \pm SD (n = 6). **p < 0.01 vs control analyzed by unpaired Student's t-test. (D) Representative western blots to assess the relative changes of FGF21 and indicated signaling molecules in response to β OHB at indicated concentrations for 24 h. Full-length blots are presented in Supplementary Fig. S1.

produced and released from skeletal muscle and cardiac muscle^{10–12}. Besides an induction of glucose uptake in adipocytes¹³ and thermogenic activation¹⁴, FGF21 increases lipolysis in white adipose tissue^{15,16} and ketogenesis on ketogenic diet¹⁷. An induction of FGF21 expression after fasting or by consumption of ketogenic diet is in large part under the control of peroxisome proliferator-activated receptor α (PPAR α)^{15,17}.

PPAR α , a member of a family of related nuclear receptors including PPAR δ (also known as PPAR β) and PPAR γ , is a key regulator of energy metabolism in the liver and in the heart¹⁸; the PPAR α -null mice have lower mitochondrial fatty acid oxidation (FAO) rate in the heart and have impaired adaptive response to fasting or ingestion of a ketogenic diet in the liver¹⁹. Taken together, the results of previous studies suggest that PPAR α plays a major role in the production of β OHB and FGF21 in the liver as an adaptive response to fasting. However, it remains largely unknown about the signaling pathways that might mediate the effects of β OHB and FGF21 on the cardiac metabolism during fasting.

In this study, we demonstrate that β OHB and FGF21 induce PPAR α expression and its trans-activating function in cultured cardiac myocytes. Global *Fgf21*-null (*Fgf21*^{-/-}) mice but not cardiac myocyte-specific *Fgf21* knockout (*cmFgf21*^{-/-}) mice unexpectedly exhibited higher serum β OHB levels and higher expression levels of PPAR α , and oxidative stress response gene expression after 24 h fasting than control mice. Our findings suggest that circulating β OHB and FGF21 coordinately regulate fasting-induced oxidative stress response in the heart.

Results

Stimulation of metabolic gene expression by β OHB and FGF21 in cardiac myocytes. To determine whether β OHB regulates the signaling pathways relevant to the cardiac metabolism, we examined mRNA and protein levels of FGF21 and other molecules which are known to play a role in energy metabolism and hypertrophic response using the cultured neonatal rat cardiac myocytes. The results of ELISA showed that β OHB increased the FGF21 concentrations in the culture medium as well as in the cell lysates in a dose-dependent manner (Fig. 1A,B). Quantitative real-time PCR (qPCR) showed that β OHB (0.5 mM) induced FGF21 and PPAR α by 3.2-fold (p < 0.01) and 2.2-fold (p < 0.01), respectively. Interestingly, β OHB induced the expression of the β -klotho gene, a co-receptor of FGF21, by 2.0-fold (p < 0.01) (Fig. 1C). Expression levels of the genes coding for the enzymes involved in the oxidation of ketone body, 3-hydroxybutyrate dehydrogenase (*Bdh1*) and succinyl-CoA:3-ketoacid coenzyme A transferase (*Oxct1*, also known as *SCOT*), were not altered by β OHB.

Western blot analysis revealed that β OHB induced the FGF21 and PPAR α protein levels in a dose-dependent manner (Fig. 1D). β OHB increased the phosphorylation of Thr¹⁷² of AMP-activated protein kinase (AMPK),

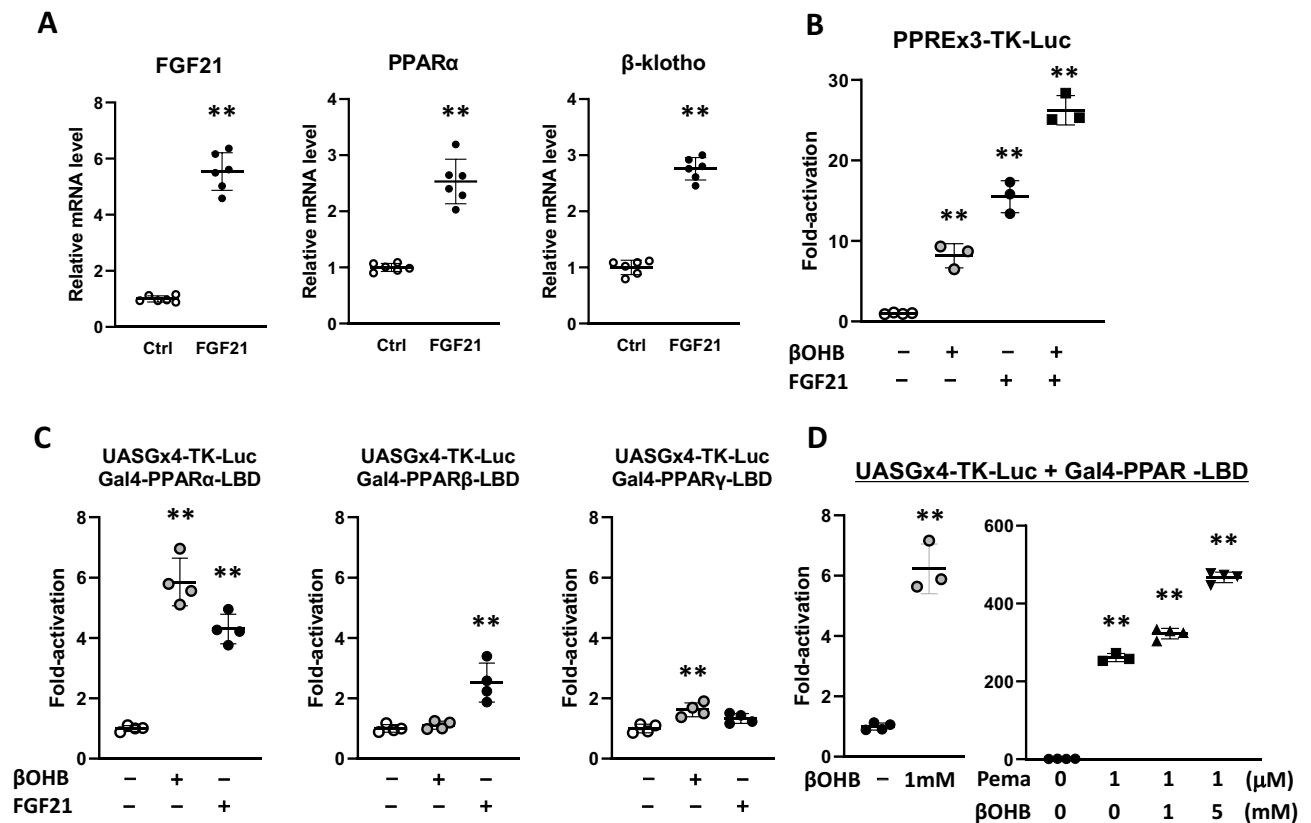


Figure 2. Effects of FGF21 on signaling molecules and transactivating function of PPAR α . **(A)** mRNA levels for FGF21, PPAR α and β -klotho were compared between the vehicle (ctrl) and FGF21 (10 ng/mL)-treated cardiac myocytes. Data are mean \pm SD (n=6). **p < 0.01 vs control analyzed by unpaired Student's t-test. **(B)** Cultured neonatal rat ventricular cardiac myocytes were transfected with the reporter constructs contained three copies of PPRE in front of TK promoter. Following transfection, cells were treated with vehicle, 0.5 mM of β OHB, or 10 ng/mL of FGF21 for 24 h before harvest for luciferase activity assay. Luciferase activity was plotted as fold-activation relative to untreated control cells. Data are mean \pm SD (n=3–4). **p < 0.01 vs control analyzed by one-way ANOVA followed by Dunnett correction for multiple comparisons. **(C)** Cultured neonatal rat ventricular cardiac myocytes were transfected with the reporter constructs containing four copies of the UAS_G cloned upstream of the TK-Luc reporter (UAS_Gx4-TK-Luc) together with CMX-Gal-PPAR α , CMX-Gal-PPAR δ -, or CMX-Gal-PPAR γ -LBD. Following transfection, cells were treated with vehicle, 0.5 mM of β OHB, or 10 ng/mL of FGF21 for 24 h before harvest for luciferase activity assay. Luciferase activity was plotted as fold-activation relative to untreated control cells. Data are mean \pm SD (n=4). **p < 0.01 vs control analyzed by one-way ANOVA followed by Dunnett correction for multiple comparisons. **(D)** Neonatal rat ventricular cardiac myocytes transfected with UAS_Gx4-TK-Luc and CMX-Gal-PPAR α were stimulated by β OHB and/or Pema. Data are mean \pm SD (n=3–4). **p < 0.01 vs control analyzed by unpaired Student's t-test (left panel) and one-way ANOVA followed by Dunnett correction for multiple comparisons (right panel).

phosphorylation of Ser⁴²⁸ of LKB1, a tumor suppressor and a key regulator of AMPK activation^{20,21}, peroxisome proliferator-activated receptor-coactivator 1 α (PGC1 α), and Sirt1. In addition, β OHB increased the phosphorylation of acetyl-CoA carboxylase (ACC) at Ser⁷⁹, an authentic phosphorylation site by AMPK²². Full-length representative western blots are shown in Supplementary Fig. S1. These results suggest that β OHB activates signaling pathway which is known to be activated when the cells were exposed to nutrient stress with the ensuing induction of the FGF21 gene in cardiac myocytes.

Stimulation of FGF21, PPAR α and β -klotho gene expression by FGF21. The finding that FGF21 is released from cardiac myocytes prompted us to test whether FGF21 elicits the signaling in cardiac myocytes. qPCR showed that FGF21 (10 ng/ml) induced FGF21, PPAR α , and β -klotho expression by 5.9-fold (p < 0.01), 2.6-fold (p < 0.01), and 2.9-fold (p < 0.01) respectively (Fig. 2A). These results indicate that FGF21 evokes a signal to induce its own expression as well as PPAR α and β -klotho gene expression in the cardiac myocytes.

Stimulation of PPRE-driven transcription by β OHB and FGF21. Previous studies showed that FGF21 expression is regulated by PPAR-responsive element (PPRE) in the liver¹⁵. To test whether this is also true for cardiac myocytes, we performed transient transfection assays of the reporter plasmid PPRE3-TK-Luc, which contains three copies of authentic PPRE in front of the TK gene²³. Cardiac myocytes transfected with this

plasmid were stimulated with either β OHB, FGF21 or both for 24 h. Result showed that β OHB, FGF21 or both significantly induced PPRE3-TK luciferase activity by 9.0-fold, 15.1-fold, and 26.5-fold, respectively (Fig. 2B). These results suggest that each of β OHB and FGF21 stimulates PPRE-driven transcription, and additive induction of reporter genes by simultaneous stimulation suggests that β OHB and FGF21 induce PPRE via a common or overlapping signaling pathway in the cardiac myocytes.

Stimulation of PPAR α activity by β OHB and FGF21. Next, we asked whether β OHB and FGF21 increase trans-activating function of PPAR α . We transfected cardiac myocytes with a Gal4 reporter construct, UAS_Cx4-TK-Luc that contains four copies of Gal4 upstream activating sequence (UAS_C) in front of thymidine kinase (TK) gene promoter, and a vector expressing Gal4-mPPAR α -LBD fusion protein in which the DNA binding domain (DBD) of Gal4 is linked to the ligand binding domain (LBD) of the mouse PPAR α ²³. These chimeric receptors allow us to assay the transactivating function of PPAR α independently of the endogenous receptors. The transfected cells were stimulated with β OHB (Fig. 2C). Results showed that β OHB increased the luciferase activity driven by Gal4-PPAR α -LBD by 5.8-fold. In contrast, the luciferase activities driven by Gal4-PPAR δ -LBD and Gal4-PPAR γ -LBD, in which Gal-DBD is linked to PPAR δ -LBD and PPAR γ -LBD, respectively, were activated to a much lesser extent (Fig. 2C). These results suggest that β OHB preferentially activates PPAR α through an LBD-dependent mechanism. Similarly, FGF21 significantly increased PPAR α -LBD-driven luciferase activity. FGF21 seemed to weakly increase PPAR δ -LBD-driven activity. These results suggest that β OHB and FGF21 are preferential activators of the α isoform of PPAR.

We next examined the possible interaction between β OHB and authentic PPAR α ligand to activate PPAR α -dependent transcription. We used pemafibrate as a bona fide PPAR α ligand. Pemafibrate is known as a selective PPAR α modulator (SPPARM α), and has widely been used for the patients with hypertriglyceridemia²⁴. We found that 1 mM β OHB and 1 μ M of pemafibrate increased the luciferase activity driven by Gal4-PPAR α -LBD by 6.2-fold and 260-fold, respectively. Interestingly, stimulation of cardiac myocytes with both β OHB and pemafibrate resulted in robust increase in luciferase activity. Combination of 1 μ M of pemafibrate and 1 mM of β OHB induced 300-fold activation, and combination of 1 μ M of pemafibrate and 5 mM of β OHB induced 420-fold activation. Activation of luciferase activity to a greater extent than mere sum of the individual activation by β OHB and pemafibrate suggests that β OHB induces activation of PPAR α function through the distinct mechanisms by which pemafibrate activates PPAR α function (Fig. 2D).

Upregulation of the oxidative stress response genes and downregulation of hypertrophic marker genes by β OHB and FGF21. Antioxidant defense mechanisms are regulated by a complex network of antioxidant genes²⁵. We next explored the involvement of β OHB and FGF21 in the regulation of the gene expression relevant to oxidative stress response in cardiac myocytes by qPCR (Fig. 3A). β OHB significantly induced the nuclear factor erythroid-derived 2-like 2 (Nrf2), which activates transcription of a variety of cytoprotective genes by binding to a well-defined antioxidant response element (ARE) sequence^{26,27}. β OHB also induced mitochondrial ROS-generating enzyme, nicotinamide adenine dinucleotide phosphate (NADPH) oxidases 4 (Nox4), catalase, and mitochondrial antioxidative genes superoxide dismutase 2 (Sod2)²⁵. Similarly, FGF21 induced these genes, again suggesting the shared regulatory mechanisms between β OHB and FGF21.

We next examined the effects of β OHB and FGF21 on the molecular signatures of cardiac hypertrophy (Fig. 3B). β OHB stimulation reduced the expression of atrial natriuretic peptide (ANP), B-type natriuretic peptide (BNP), transforming grow factor- β (TGF- β) and connective tissue growth factor (CTGF), suggesting the protective role of β OHB and FGF21 against cardiac hypertrophy.

AMPK α 1 mediates β OHB- and FGF21-induced signaling pathways. To determine the upstream mechanisms by which β OHB and FGF21 induce FGF21 and PPAR α mRNA expression, we performed a series of western blots. We found that not only β OHB and FGF21 but also AICAR (AMPK activator), norepinephrine (NE), and pemafibrate (selective PPAR α modulator) increased FGF21 and PPAR α protein levels. All of the compounds tested also increased the phosphorylation of LKB1 (an upstream kinase for AMPK α at Thr¹⁷²)²¹, PGC1 α and Sirt1 protein levels.

Then, the role of AMPK α was tested using siRNA-mediated AMPK α 1 knockdown. AMPK α 1-knockdown blunted an induction of FGF21, p-LKB1, PPAR α , PGC1 α and Sirt1 by each compound, while p-mTOR protein levels were increased (Fig. 3C). These results suggest that AMPK α 1 plays a critical role in the signaling pathways evoked by β OHB and FGF21. Full-length representative western blots are shown in Supplementary Fig. S2.

β -klotho mediates FGF21-induced signaling pathway. To ascertain the role of β -klotho, we tested the effects of β -klotho knockdown on FGF21-induced gene expression in isolated cardiac myocytes. qPCR showed that transduction of cardiac myocytes with siRNA for β -klotho resulted in a three-fold reduction of β -klotho mRNA levels and more importantly, it completely abolished the FGF21 induction of PPAR α , PGC1 α , catalase, and Sod2 expression (Fig. 3D). Although β -klotho protein expression was not detected by Western blots in either cultured neonatal rat cardiac myocytes or mice heart tissues, these qPCR results suggest that FGF21 evokes intracellular signaling through β -klotho in cardiac myocytes.

Enhanced elevation of serum β OHB and PPAR α expression in Fgf21^{-/-} mice hearts during fasting. To determine the in vivo relevance of the role of FGF21 in the regulation of metabolic gene expression in the heart, we generated global FGF21 knockout mice (Fgf21^{-/-}) by using a clustered interspaced short palindromic repeats (CRISPR)/Cas genome-editing technology²⁸. Two single guide RNAs (sgRNAs) targeting upstream of exon 1 and downstream of exon 3 (Fgf21 exonic region) were designed and injected alongside Cas9 protein

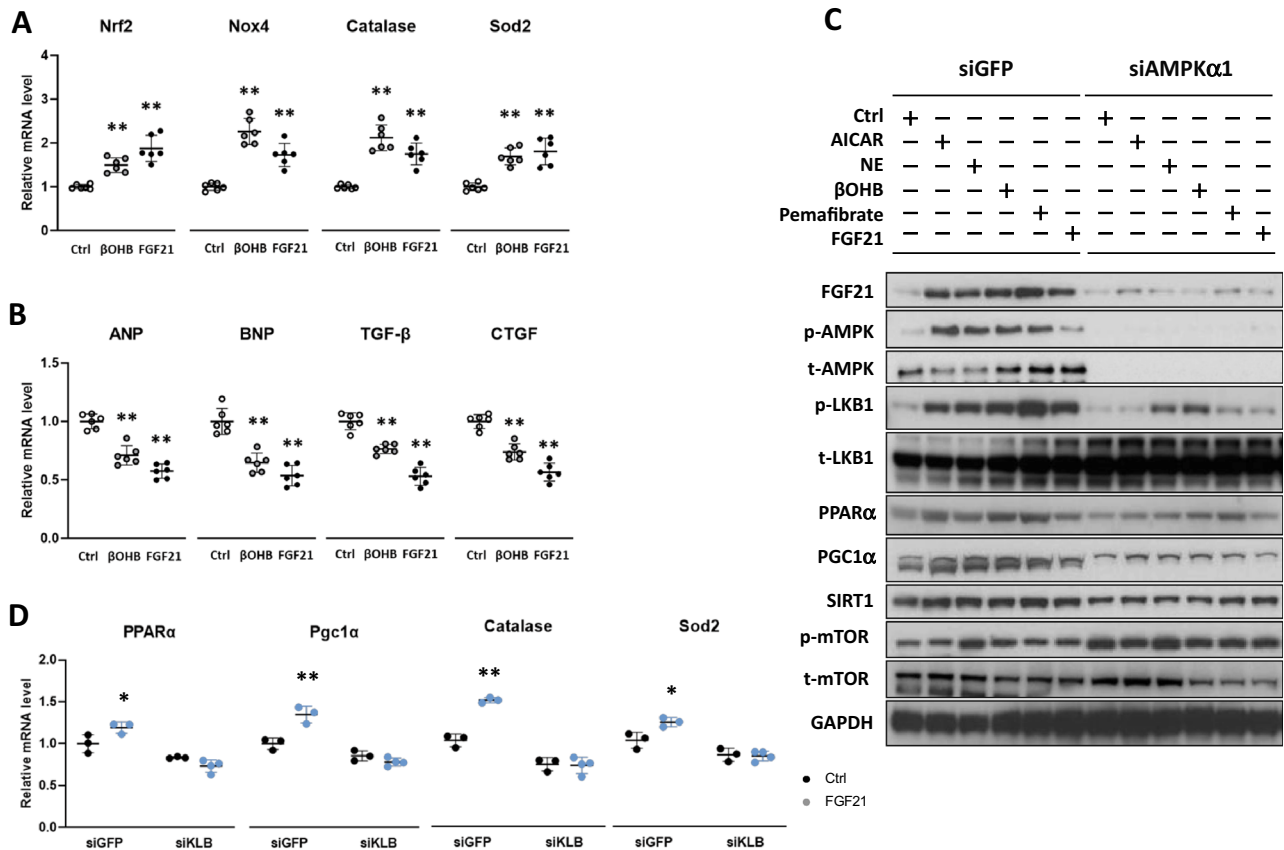


Figure 3. Effects of β OHB and FGF21 on the metabolism-related genes and proteins in the cultured cardiac myocytes. qPCR was performed to measure the expression levels for the oxidative response genes (A) or hypertrophic marker genes (B) after stimulation of the cells with β OHB (0.5 mM) and FGF21 (10 ng/mL). mRNA levels in stimulated cells were plotted relative to untreated control cells. Data are mean \pm SD (n = 6). **p < 0.01 vs control analyzed by one-way ANOVA followed by Dunnett correction for multiple comparisons. (C) Representative western blots of indicated proteins. Neonatal rat ventricular cardiomyocytes were transduced with either siRNA for GFP or AMPK α 1 for 24 h, and then cells were treated with either 1 mM of 5-aminoimidazole-4-carboxamide ribonucleotide (AICAR), a cell-permeable adenosine analogue, AMPK activator, norepinephrine (NE 10 μ M), β OHB (0.5 mM), pemafibrate (10 μ M), or FGF21 (10 ng/mL) for 24 h before harvest for western blot analysis. Full-length blots are presented in Supplementary Fig. S2. (D) Neonatal rat ventricular cardiomyocytes were transduced with either siRNAs for GFP or β -klotho (KLB) for 24 h, and then treated with 10 ng/mL of FGF21 for 24 h before harvest for qPCR analysis. Data are mean \pm SD (n = 3–4). *p < 0.05 and **p < 0.01 vs corresponding control analyzed by one-way ANOVA followed by Tukey correction for multiple comparisons.

into pronuclear stage embryos zygotes. Mice heterozygous for the *Fgf21*^{+/-} allele were then intercrossed to generate *Fgf21*^{-/-} mice. *Fgf21*^{-/-} mice were born at the expected Mendelian ratio and were viable. Under basal conditions, *Fgf21*^{-/-} mice did not show any significant change in body weight, heart weight/body weight ratio (HW/BW) (Supplementary Table 2), although previous study showed an increase in heart weight/body weight ratio (HW/BW) in *Fgf21*^{-/-} mice¹¹.

Then, we subjected these mice to a 24 h-fasting challenge and explored changes in the expression of various genes in the heart. As expected, serum β OHB and FGF21 concentrations were robustly elevated in response to fasting in wild-type (WT) mice (Supplementary Table 2, Fig. 4A). Notably, serum β OHB levels were significantly higher in *Fgf21*^{-/-} mice than WT mice (Fig. 4A). Interestingly, while hepatic FGF21 mRNA levels were significantly increased after fasting as shown in previous studies^{17,29}, cardiac FGF21 mRNA levels were not changed or rather decreased (Fig. 4B). This finding was in sharp contrast to the initial view that FGF21 is a ketogenic factor in response to fasting^{15,17,29}. Hotta et al., on the other hand, have reported an increase in serum β OHB in *Fgf21*^{-/-} mice after fasting³⁰.

PPAR α expression was significantly higher in *Fgf21*^{-/-} mice than WT mice after fasting (Fig. 4C). mRNA levels for PGC1 α , powerful regulators of mitochondrial function and biogenesis³¹, were similarly induced by fasting in *Fgf21*^{-/-} and WT mice. mTOR expression was significantly increased in *Fgf21*^{-/-} mice. mRNA levels of β -klotho, a co-receptor required for FGF21-mediated tissue-response³², were increased by fasting. This finding conforms to the previous studies demonstrating that the heart is a target of systemic FGF21. We need to await further work to ensure that β -klotho plays a crucial role in FGF21 signaling under the fasting condition.

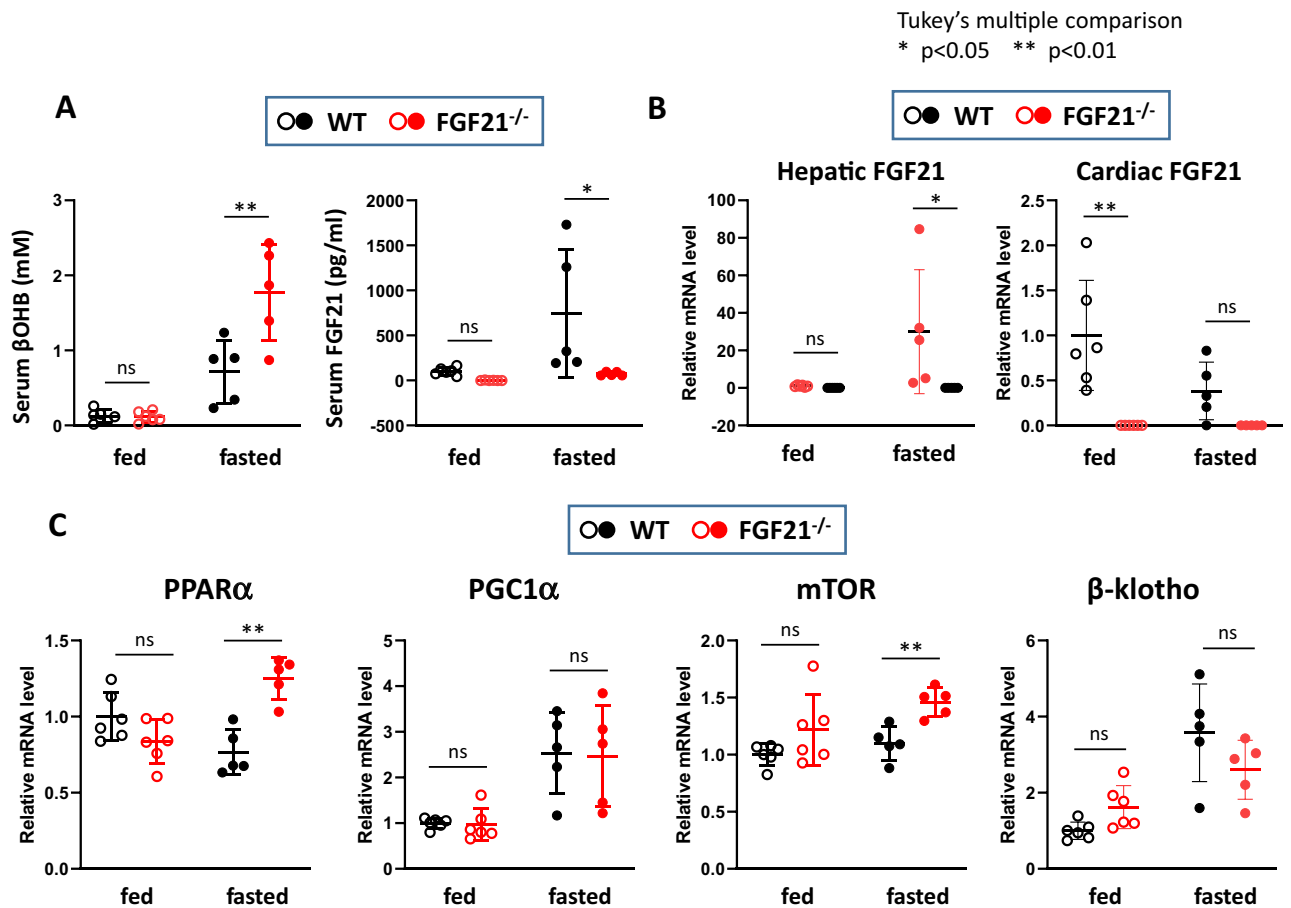


Figure 4. Effects of fasting on serum β OHB and nutrient signal-related gene expression in WT and *Fgf21*^{-/-} mice hearts. (A) ELISA was performed to measure the serum levels of β OHB and FGF21 in WT and *Fgf21*^{-/-} mice under either fed or 24 h-fasted conditions. (B) qPCR was performed to measure hepatic and cardiac FGF21 mRNAs in WT and *Fgf21*^{-/-} mice under either fed or 24 h-fasted conditions. (C) qPCR was performed to measure PPAR α , PGC1 α , mTOR, and β -klotho mRNAs in WT and *Fgf21*^{-/-} mice under either fed or 24 h-fasted conditions. Data are mean \pm SD ($n = 5-6$). * $p < 0.05$ and ** $p < 0.01$ vs corresponding control (WT) analyzed by one-way ANOVA followed by Tukey correction for multiple comparisons.

Enhanced expression of the oxidative response genes in *Fgf21*^{-/-} mice heart. In both WT and *Fgf21*^{-/-} mice, the expression of the multiple genes involved in antioxidant system was significantly induced by fasting in the heart (Fig. 5A). They include Nox4, a major isoform of the NADPH oxidase family that is localized in mitochondria³³, catalase, and uncoupling protein-2 (Ucp2), which attenuates mitochondrial reactive oxygen species (ROS) production by modulating proton leakage³⁴. In contrast, expression of mitochondrial manganese-containing superoxide dismutase-2 (Sod2, or Mn-SOD) was clearly reduced after a 24-h fast. Interestingly, expression of catalase and Nrf2, a master regulator for antioxidant genes³⁵, was significantly higher in *Fgf21*^{-/-} mice than WT mice ($p < 0.01$). In addition, Nox4, Ucp2 and Ucp3 tended to be more induced after fasting in *Fgf21*^{-/-} mice than WT mice although a difference did not reach statistical significance. These results suggest that oxidative stress response occurs in the heart after a 24-h fast, and this response is more prominent in *Fgf21*^{-/-} mice than in WT mice.

Contrary to our expectations, expression of the *Bdh1* and the *Oxct1* genes was downregulated by fasting in both WT and *Fgf21*^{-/-} hearts (Fig. 5B) despite an increase of serum β OHB levels (Fig. 4A), suggesting that the increased serum β OHB by itself does not induce ketone oxidation in the heart. qPCR analysis also revealed that an induction of the expression of hypertrophic marker genes³⁶ such as ANP and BNP by fasting was similar between the two genotypes (Fig. 5C). The expression levels of other hypertrophic markers such as CTGF and angiotensin converting enzyme (ACE) were also similar in both mice (data not shown). These findings suggest that hypertrophic response is not affected by FGF21 signaling in the nutrient-stressed heart.

Quantitative relationships between β OHB and oxidative stress response gene expression. To assess the role of β OHB as a signal to induce fasting response in the heart, we examined the quantitative relationships between serum β OHB concentrations and oxidative stress response gene expression. Nox4 and antioxidant genes such as catalase and Ucp2 showed a highly significant correlation with β OHB concentrations in both WT and *Fgf21*^{-/-} mice hearts (Fig. 6). Similarly, the expression of these genes was significantly correlated with

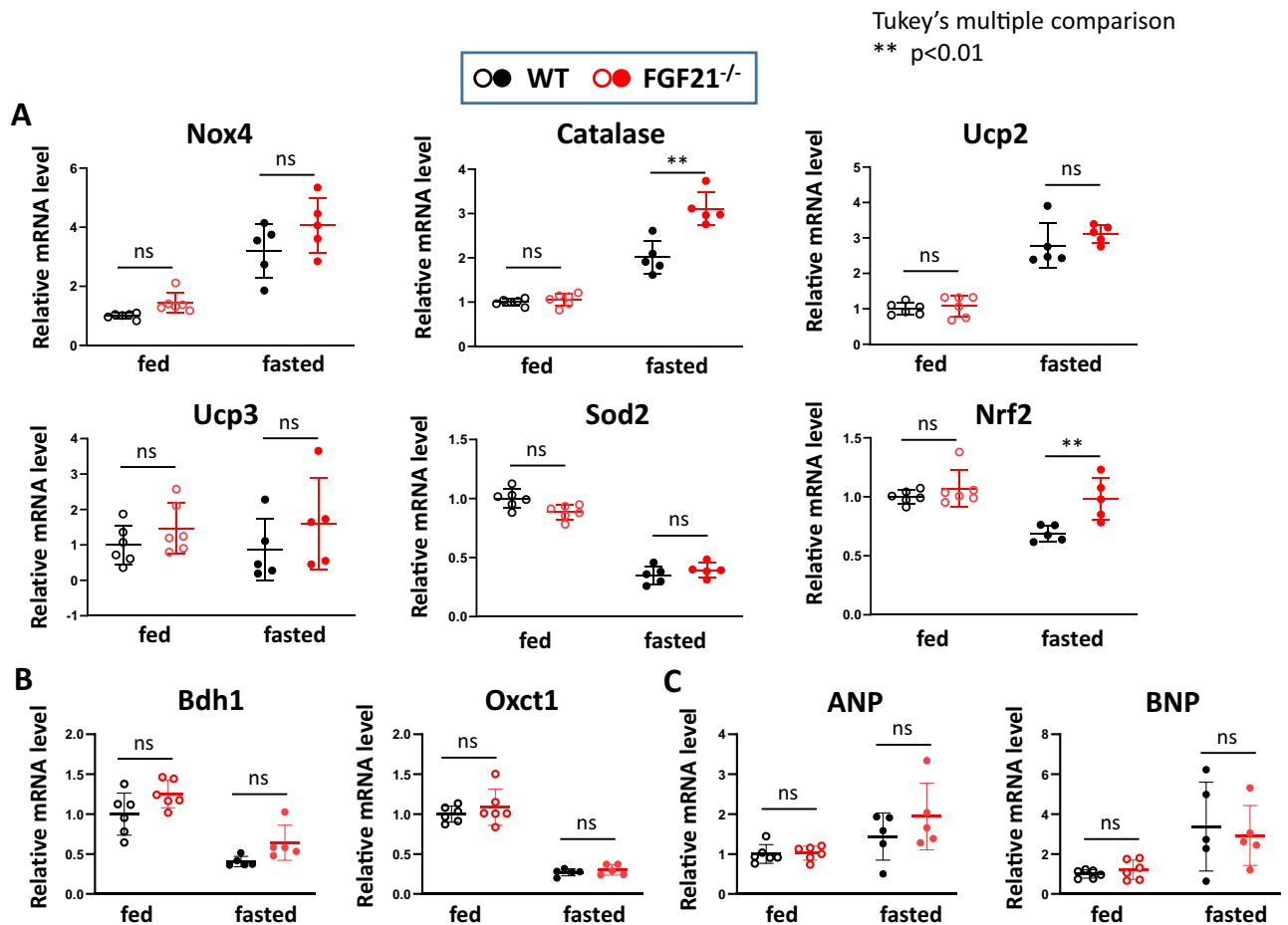


Figure 5. Effects of fasting on the oxidative stress response gene expression in *Fgf21*^{-/-} mice hearts. qPCR was performed to measure the mRNAs levels for oxidative stress response genes (A) and ketolytic enzymes and hypertrophic marker genes (B) in WT and *Fgf21*^{-/-} mice hearts under either fed or 24 h-fasted conditions. Data are mean \pm SD (n = 5–6). **p < 0.01 vs corresponding control (WT) analyzed by one-way ANOVA followed by Tukey correction for multiple comparisons.

PGC1 α expression levels in the hearts in both genotypes (Fig. 7). These results suggest that enhanced mRNA levels for oxidative response genes in *Fgf21*^{-/-} mice after a 24-h fast were at least partly attributed to increased β OHB levels and PGC1 α levels. Interestingly, the *Sod2* gene expression was robustly repressed by fasting, and its mRNA levels were inversely correlated with either serum β OHB or PGC1 α expression levels (Figs. 6, 7).

Expression of oxidative stress response genes in cardiac myocyte-specific knockout of *Fgf21*. Although mainly being a hepatic hormone, FGF21 is also produced in the heart^{11,37}. It has been proposed to exert its effects on the heart in a paracrine/autocrine fashion under pathophysiological conditions³⁷. However, direct evidence to support this hypothesis has yet to be reported. To determine the impact of a loss of heart-derived FGF21 on the alteration of gene expression in the heart, we generated cardiomyocyte-specific *Fgf21* knockout (*cmFgf21*^{-/-}) mice by crossing mice homozygous for the *Fgf21*-loxP-targeted allele (*Fgf21*^{fl/fl}) with transgenic mice expressing Cre from the α -MHC promoter³⁸. As expected, *cmFgf21*^{-/-} mice heart showed a significant suppression of *Fgf21* compared with WT (*Fgf21*^{fl/fl}) (Fig. 8A). Serum levels of β OHB, triglycerides, NEFAs as well as FGF21 were comparable between *cmFgf21* and *Fgf21*^{fl/fl} in both fed and fasted states (Supplementary Table 2). Additionally, expression of the cardiac genes involved in fasting response and energy metabolism including PPAR α , mTOR, Nrf2, and catalase was comparable between the two genotypes (Fig. 8A). These data suggest that autocrine/paracrine function of heart-derived FGF21 is dispensable for fasting-induced oxidative stress response in the heart.

Discussion

In this work, we demonstrate four important points. First, both β OHB and FGF21 activate LKB1/AMPK/PGC1 α /PPAR α /Sirt1 signaling as well as PPAR α activity, and upregulate the expression of the genes for oxidative stress response genes and downregulate the genes for hypertrophy markers in cultured cardiac myocytes. Second, unexpectedly, *Fgf21*^{-/-} mice have significantly higher serum β OHB concentrations than WT mice. Third, *Fgf21*^{-/-} mice hearts displayed higher expression of PPAR α , Nrf2 and catalase genes after fasting than WT mice. Lastly, serum β OHB as well as PGC1 α expression levels are positively correlated with the expression of oxidative stress response

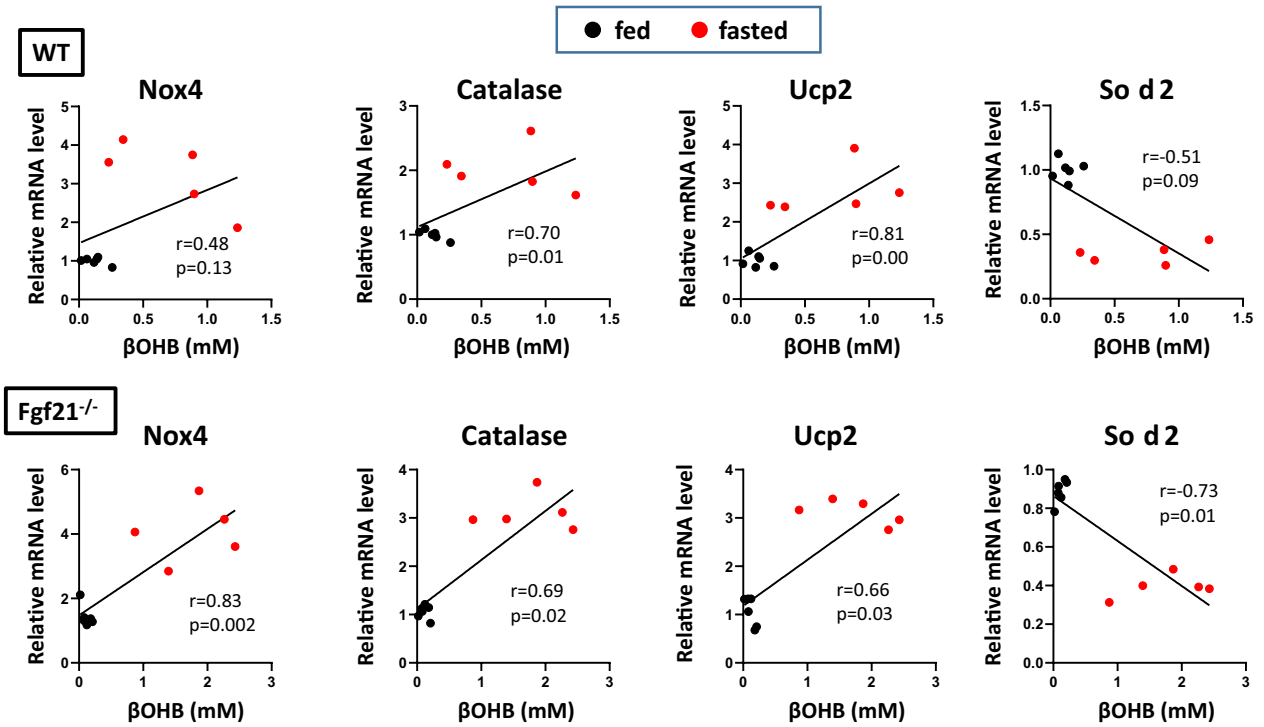


Figure 6. Correlations of the oxidative stress response gene expression with β OHB in $Fgf21^{-/-}$ mice hearts. Linear gene expression values for Nox4, catalase, Ucp2 and Sod2 are shown in the y-axis in relative fluorescence units, whereas β OHB concentrations are shown in the x-axis. Pearson's correlation coefficient and p-value are shown.

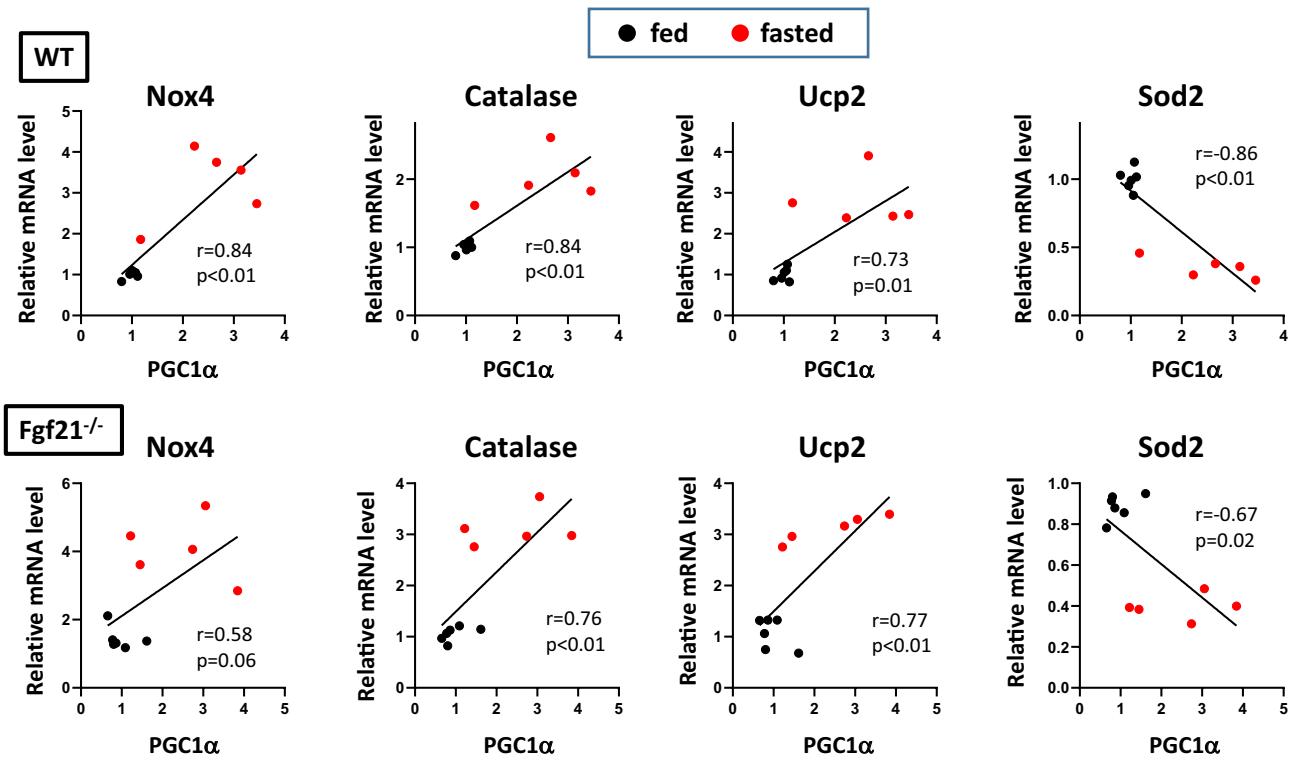


Figure 7. Correlations of the oxidative stress response gene expression with PGC1 α in $Fgf21^{-/-}$ mice hearts. Linear gene expression values for Nox4, catalase, Ucp2 and Sod2 are shown in the y-axis in relative fluorescence units, whereas PGC1 α expression levels are shown in the x-axis. Pearson's correlation coefficient and p-value are shown.

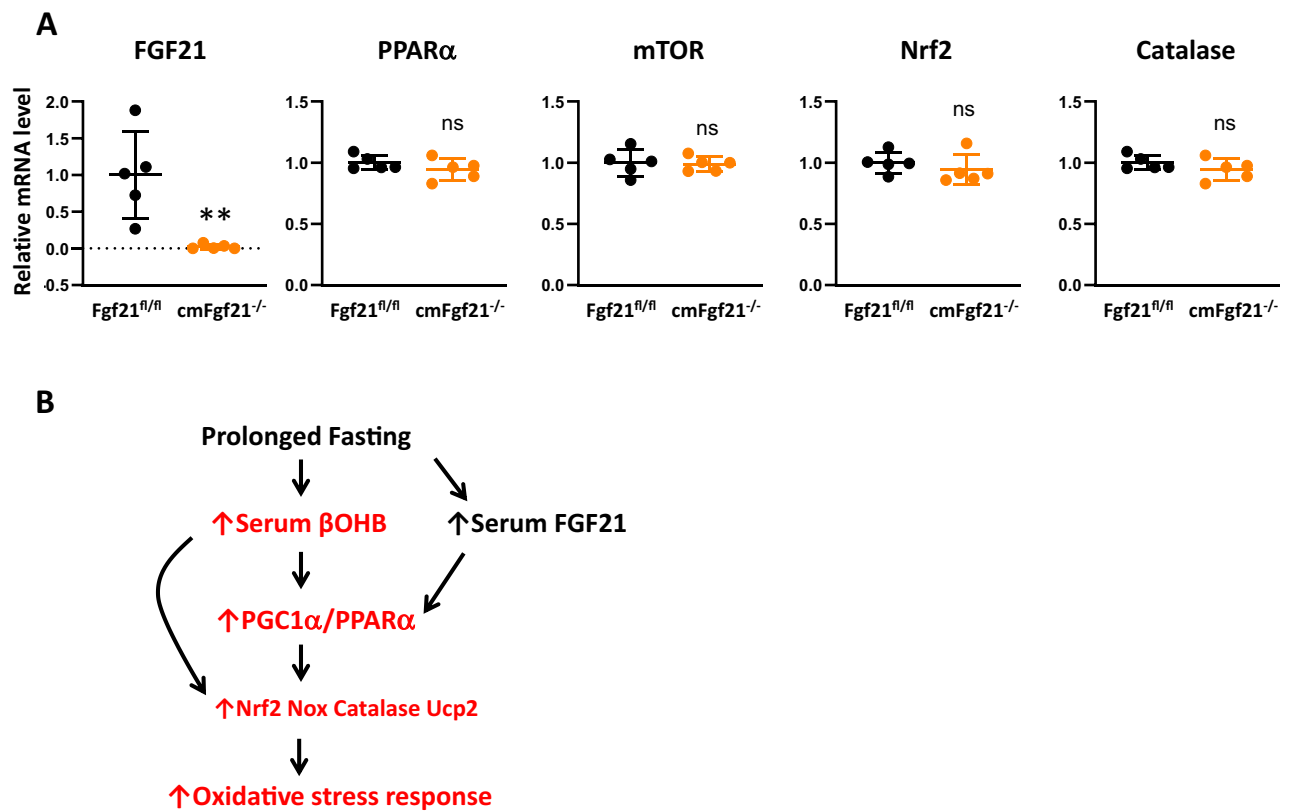


Figure 8. Cardiac gene expression in response to fasting in *cmFgf21^{-/-}* mice. **(A)** qPCR analysis of FGF21, PPARα, mTOR, Nrf2, and catalase mRNA levels in the heart in *cmFgf21^{-/-}* and FGF21^{fl/fl} mice subjected to a 24-h fast. Data are mean ± SD (n = 5). **p < 0.01 vs control analyzed by unpaired Student's t-test. **(B)** Proposed scheme for the role of βOHB and FGF21 in the regulation of oxidative stress gene expression during fasting in the heart. Prolonged fasting increases circulating levels of βOHB and FGF21, which promote Nrf2 and its downstream oxidative response genes (Nox4, catalase, Ucp2), partly through the PGC1α/PPARα signaling pathway. In *Fgf21^{-/-}* mice, such a response is induced to a greater extent by more increased levels of serum βOHB. Pathway promoted in *Fgf21^{-/-}* mice is indicated by red.

genes in the heart of both genotypes. From these data, we postulate that βOHB induces the oxidative stress response through the activation of PGC1α/PPARα signaling pathway, and circulating FGF21 plays a role as a regulator of such a fasting response by preventing excessive ketosis (Fig. 8B).

In the mouse model, expression of Bdh1 and Oxct1, the key enzymes for ketone oxidation in the heart, was significantly downregulated in the heart under fasting conditions where serum βOHB levels were increased (Fig. 5B). Thus, it is unlikely that βOHB alters the cardiac gene expression through the mechanism dependent upon the ketone oxidation and downstream metabolites including acetyl-CoA, succinyl-CoA, and NAD⁺ (nicotinamide adenine dinucleotide). Instead, it is conceivable that βOHB alters the myocardial gene expression through the epigenetic or posttranslational modification of the transcription factors, because βOHB acts as an endogenous inhibitor of class I HDACs as reported by Shimazu et al.⁶. They also reported that βOHB induced the expression of the oxidative stress resistance genes in HEK293 cells and mice kidney tissue. Consistently, we found that βOHB induced a subset of genes relevant to oxidative stress in the cardiac myocytes. Further, similar to βOHB in the present study, a previous study showed that HDAC inhibitors exert their anti-hypertrophic effects in cultured cardiac myocytes³⁹. These results conform to the notion that βOHB alters gene expression through HDAC inhibition. To date, however, little is known how βOHB and HDAC inhibitors selectively alter only a subset of genes. Future studies investigating the role of βOHB as an HDAC inhibitor in the activation of LKB1-AMPK-PGC1α-PPARα pathway should provide a novel insight into the mechanisms that link βOHB to control of gene expression.

We demonstrate that βOHB phosphorylates LKB1 and AMPKα at Thr¹⁷². AMPK plays a key role as a cellular energy sensor, and LKB1 directly phosphorylates AMPKα at Thr¹⁷², and activates AMPK activity²¹. The classical view is that AMPK complexes are primarily activated when the availability of ATP is reduced⁴⁰. However, it seems unlikely that βOHB depletes cellular ATP in cardiac myocytes because βOHB is used as an oxidative substrate to support ATP production⁴¹. Indeed, a recent study using perfused hearts showed that cardiac ketone oxidation rates are enhanced by increasing levels of βOHB in perfusate without declining either glucose or fatty acid oxidation rates⁴². We assume that βOHB promotes non-canonical AMPK activation. Previous seminal study demonstrated that mitochondrial ROS produced as the byproducts of aerobic metabolism acts as a physiological

trigger for AMPK activation⁴³. In line with this notion, mitochondrial ROS generated during respiration are likely to trigger AMPK activation in cardiac myocytes.

Previous studies showed that the heart synthesizes and releases FGF21, and FGF21 knockout mice exhibit increased cardiac hypertrophy and proinflammatory cytokine production in response to isoproterenol infusion, suggesting the cardioprotective role of FGF21^{11,37}. However, the precise signaling pathways responsible for potential cardioprotective function of FGF21 remain unclear. We herein show that FGF21 activates AMPK-PPAR α signaling but not just acts downstream of PPAR α in cardiac myocytes.

Perhaps the most surprising finding is that PPAR α expression is paradoxically increased in Fgf21^{-/-} mice despite the fact that FGF21 significantly activates PPAR α expression and activity in vitro. How do we reconcile these findings? Fasting-induced β OHB levels in Fgf21^{-/-} mice were significantly higher than those in WT mice (Supplementary Table 2). In addition, Pearson's correlation analysis showed that PPAR α expression levels were significantly correlated with serum β OHB in Fgf21^{-/-} mice ($r = 0.74$, $p = 0.01$). These data suggest that an increase in PPAR α expression in FGF21^{-/-} mice hearts is ascribed at least partly to an increased β OHB. However, taking the fact that serum β OHB levels were highly variable among fasted mice, further studies are required to test this hypothesis.

Prolonged fasting is a bioenergetic challenge for the organ systems including the heart which undergoes the activation of signaling pathways that support mitochondrial biogenesis to restore the nutrient balance⁴⁴. While most of ROS in the cardiac myocytes is produced nonenzymatically by leakage of electron from mitochondrial electron transport chain during oxidative phosphorylation⁴⁵, ROS is actively produced through ROS-generating systems under fasting²⁵. Because mitochondrial Nox4 has been reported to represent a major source of oxidative stress under such a condition³³, it is reasonable to speculate that upregulation of Nox4 expression in Fgf21^{-/-} mice hearts may represent the increase in mitochondrial oxidative stress in these mice, and thus upregulation of catalase and Ucp2 expression is likely to be caused by ROS generated by Nox4. Interestingly, Sod2 gene expression was significantly suppressed by fasting in our study. Nox4 generates characteristically hydrogen peroxide as a major product while other Nox enzymes primarily generate superoxide (O_2^-)⁴⁶. It is intriguing to speculate that hydrogen peroxide generated by Nox4 represses Sod2 gene expression by feedback mechanism. Further study is needed to test this assumption.

We showed that myocardial expression of Nox4, catalase, and Ucp2 was significantly correlated with β OHB and PGC1 α . In addition, AICAR (AMPK activator) (Supplementary Fig. S3) or permafibrate (selective PPAR α modulator) (Supplementary Fig. S4) significantly increased the expression of these genes in cardiac myocytes. These results suggest that Nox4, catalase and Ucp2 genes are direct or indirect targets of AMPK/PGC1 α /PPAR α signaling and an increased β OHB drives the higher expression of these oxidative stress response genes in Fgf21^{-/-} mice. Indeed, previous study showed that catalase gene promoter contains functional PPRE⁴⁷. The catalase gene expression may represent a compensatory mechanism against the overproduction of H_2O_2 in Fgf21^{-/-} mice hearts. It should be mentioned that mTOR expression was significantly higher in Fgf21^{-/-} mice, and was significantly correlated with oxidative stress response gene expression (Supplementary Fig. S4). Given that Nox4 induces mTOR signaling leading to cardiac hypertrophy and fibrosis⁴⁸, an observed increase in mTOR mRNA levels in Fgf21^{-/-} mice is likely to be the consequence of Nox4 activation.

Our impetus for this study is based on the hypothesis that β OHB and FGF21 act as signaling molecules regulating the cardiac metabolism under nutrient stress conditions including heart failure. Given that PPAR α plays such a central role in energy metabolism of the heart¹⁸, we ask if β OHB- and FGF21-evoked signals regulate PPAR α activity in the heart. Our findings that β OHB and FGF21 activate AMPK/PGC1 α /PPAR α signaling, and loss of FGF21 exaggerates serum β OHB elevation and promotes oxidative stress response in the heart contribute to the understanding of pathophysiological aspects of elevated serum β OHB and FGF21 in heart failure. Our findings that β OHB and circulating FGF21 control oxidative stress response induced by nutrient stress open new possibilities of β OHB and FGF21 for potential pharmacological intervention for heart failure in which elevated ROS production is believed to play a key role.

Conclusions

This study demonstrates that β OHB and FGF21 activate AMPK/PGC1 α /PPAR α signaling pathways in cardiomyocytes. Global but not cardiomyocyte-specific knockout of FGF21 enhances the expression of antioxidant genes after a prolonged fast in mice. Our data underscore the importance of β OHB and circulating FGF21 as regulators of oxidative stress response in the heart by controlling PGC1 α /PPAR α signaling pathway. Given that oxidative stress is a key driver of heart failure and clear relevance to human pathologies⁴⁹, our findings suggest that increasing delivery of β OHB and FGF21 to the heart seems to be a promising therapeutic approach to heart failure.

Methods

An expanded methods section can be found in Supplementary Information.

Animal care. The Institutional Animal Care and Use Committee of Gunma University Graduate School of Medicine approved all animal experiments (Approval number 17-039 for male mice and 19-047 for female mice). Animal experiments were performed to conform to the NIH guidelines describing Guide for the Care and Use of Laboratory Animals. All of the authors complied with the ARRIVE guidelines. Female mice of 3–4 weeks old were subjected to oocytes isolation for in vitro fertilization with spermatozoa from C57BL/6J male mice, and were euthanized via cervical dislocation. All other experiments were performed using male mice aged 7–12 weeks to avoid any confounding effects related to the estrogen cycle. All male mice strains used in this study were backcrossed to C57BL/6J for at least three generations. The mice were housed in a temperature-con-

trolled room (20–26 °C) with a 12-h light/12-h dark cycle and given unrestricted access to water and standard chow (CE-2, Clea Japan, Inc.). Euthanization of male mice was performed under 2% isoflurane anesthesia by intracardiac injection of 200 mL 5% potassium chloride to induce cardiac arrest.

Preparation of neonatal rat cardiac myocytes. Cardiac myocytes were isolated from the hearts of 1- to 3-day-old neonatal rat pups and plated as previously described⁵⁰. Using this method, we routinely obtained cardiac myocyte-rich cultures with >95% of the cells being cardiac myocytes, as assessed by immunocytochemical staining with a monoclonal antibody against sarcomeric α -actinin (Sigma-Aldrich). Serum-starved cardiac myocytes with 0.1% BSA were incubated for 24 h in the indicated concentrations of β OHB (0–5 mM) and recombinant FGF21 (10 ng/mL, 100 ng/mL).

Plasmids, transient transfection and luciferase assay. PPAR-responsive element (PPRE) \times 3-TK-Luc, pCMX-Gal4-PPAR α -LBD, pCMX-Gal4-PPAR δ -LBD, pCMX-Gal4-PPAR γ -LBD, and (UASG) \times 4-TK-Luc reporter plasmid have been described²³. Transient transfection of these plasmids were performed as described⁵¹. After 24 h, cells were stimulated with β OHB, recombinant FGF21, or pemafibrate for 24 h and harvested with Passive Lysis buffer (Promega). Luciferase activity was measured with luciferase assay substrate (Promega) using luciferase reporter assay system (Promega) as described previously⁵¹.

Quantitative RT-PCR (qPCR). Total RNA was isolated from left ventricles or cultured cardiac myocytes and cDNA was synthesized using Oligo(dTs) and M-MLV reverse transcriptase (Promega, UK). Real time (RT)-PCR was performed with the StepOnePlus™ System (Applied Biosystems, UK) using SYBR Green. Delta delta Ct values were calculated using Nuclear single-copy housekeeping gene 36B4 as a reference gene. Primer sequences are shown in Supplementary Table 1.

Western blot analysis. Western blot analysis was performed according to standard procedures using the following primary antibodies: rabbit monoclonal FGF21 (Abcam, ab171941, 1:250), phospho-AMPK α (Thr¹⁷²; Cell Signaling Technology, #2535, 1:250), AMPK (Cell Signaling Technology, #2603, 1:500), phospho-AMPK α / α 2 (Ser⁴⁸⁵/Ser⁴⁹¹; Cell Signaling Technology, #4185, 1:250), phospho-mTOR (Ser²⁴⁴⁸; Cell Signaling Technology, #5536, 1:250), mTOR (Cell Signaling Technology, #2983, 1:500), phospho-LKB1 (Ser⁴²⁸; Cell Signaling Technology, #3482, 1:250), LKB1 (Cell Signaling Technology, #3047, 1:500), PPAR α (Abcam, ab24509, 1:250), PGC1 α (Cell Signaling Technology, #2178, 1:250), ACC (Cell Signaling Technology, #3676, 1:500), rabbit polyclonal phospho-ACC (Ser⁷⁹; Cell Signaling Technology, #3661, 1:250), SIRT1 (Cell Signaling Technology, #9475, 1:250), β -actin (Cell Signaling Technology, #4970, 1:500), and GAPDH (Cell Signaling Technology, #2118, 1:500). Antigens were revealed by Immobilon Western HRP Substrate (Millipore) after an incubation with horseradish peroxidase-conjugated anti-rabbit or mouse IgG. The blots were exposed on the autoradiography film then developed with Fuji Medical Film Processor FPM100, changed to the appropriate grey background using Microsoft PowerPoint. The density of a band was quantified using ImageJ software.

siRNA-mediated interference. Cardiomyocytes were transfected with siRNA designed to silence GFP (siGFP) (Santa Cruz Biotechnology, sc-45924, 50 nM) or siAMPK α 1 (sc-270142, 50 nM) and siGFP (Stealth RNAi Negative Control Duplexes, Medium GC Duplex, 20 nM) or siKLB (Silencer Select Pre-designed siRNA: s144500 and s144502, 5 nM each) with Lipofectamine RNAiMAX Reagent (Invitrogen) according to the manufacturer's protocol. After a 24 h transfection, the cells were exposed to various treatments and then collected for analysis of luciferase assays and extracted RNA.

CRISPR-Cas9-mediated deletion of the Fgf21 gene in vivo. The global Fgf21^{-/-} mice were generated by using a CRISPR/Cas9 genome-editing technology onto pronuclear stage embryos²⁸. In brief, female C57BL/6J mice (Japan SLC Inc., Tokyo, Japan) were super-ovulated by intraperitoneal injection of 7.5 units of pregnant mare's serum gonadotropin (PMSG; ASKA Pharmaceutical Co., Ltd., Tokyo, Japan), followed by 7.5 units of human chorionic gonadotropin (hCG; ASKA Pharmaceutical) 48 h later, as previously described⁵². Additional details are described in Supplementary material.

Cardiac myocyte-specific deletion of Fgf21 (cmFgf21^{-/-}). To generate mice with a cardiomyocyte-specific deletion of Fgf21 (cmFgf21^{-/-}), we crossed mice carrying Fgf21-flox alleles with transgenic mice harboring Cre recombinase driven by a α -myosin heavy chain (α -MHC) promoter described previously³⁸. All mice were fed normal chow diets and housed under standard light–dark cycled conditions.

Statistical analysis. All continuous variables are presented as the mean \pm standard deviation (SD), unless otherwise specified. A two-group comparison was performed using the unpaired Student's t-test, while a multiple-group comparison was performed by one-way ANOVA with Dunnett or Tukey correction. Two-sided p-value < 0.05 was considered statistically significant. *p < 0.05, **p < 0.01.

Data availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

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Author contributions

The experiments were conceived and designed by M.K. and H.S.; cell culture and animal experiments were performed by H.S., N.K., T.I., M.H., M.O., and R.K.; clinical research was carried out by M.O., T.H., R.K., and M.K.; data were analyzed by R.K., H.S., H.M., T.Y., and M.K. The paper was written by R.K., H.S., T.I., and M.K.

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Competing interests

The authors declare no competing interests.

Additional information

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