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### **Occurrence of Ethyl Carbamate in Foods and Beverages: Review of the Formation Mechanisms, Advances in Analytical Methods, and Mitigation Strategies**

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#### **Abstract**

Ethyl carbamate (EC) is a process contaminant that can be formed as a byproduct during fermentation and processing of foods and beverages. Elevated EC levels are primarily associated with distilled spirits, but this compound has also been found at lower levels in foods and beverages, including breads, soy sauce, and wine. Evidence from animal studies suggests that EC is a probable human carcinogen. Consequently, several governmental institutions have established allowable limits for EC in the food supply. This review will discuss EC formation mechanisms, occurrence of EC in the food supply, and EC dietary exposure assessments. Analytical methods currently used to detect EC, and advances in experimental technologies, such as nanosensors and surface-enhanced Raman spectroscopy (SERS) will also be discussed. Finally, application of mitigation methods to maintain levels of EC under allowable limits will be covered, including distillation practices, enzymatic treatments, and genetic engineering of yeast. Ongoing research in this field is needed to refine mitigation strategies and develop methods to rapidly detect EC in the food supply.

#### **Keywords**

Process contaminant; distilled spirits; GC-MS; thermal processing; urethane; exposure assessment

#### **INTRODUCTION**

Ethyl carbamate (also referred to as urethane; molecular weight, 89.09 g/mol; boiling point, 183 °C) occurrence in the food supply has been the subject of considerable research since this compound was classified as a probable human carcinogen in 1974 (90, 148). Because ethyl carbamate (EC) can form in foods and beverages during reactions that occur during the processing or fermentation of foods and beverages, it is considered a process contaminant (73, 237). Although EC formation occurs through several different pathways in food systems, a primary pathway involves select compounds reacting with ethanol (EtOH); therefore, the majority of the research has focused on the occurrence of EC in alcoholic beverages. The carcinogenic potential of EC has spurred efforts to develop methods to detect

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this compound, mitigate its formation during processing, advance remediation capabilities, and to establish regulations and recommendations for allowable limits for EC in foods and beverages (154, 233).

EC was postulated to have value as a chemotherapeutic agent until the 1940s, when research revealed that EC was, in fact, ineffective in treating cancer (65, 103). Subsequently, the United States (US) National Toxicology Program (NTP) designated EC as "reasonably anticipated to be a human carcinogen" based on data from animal models (148). NTP toxicology studies demonstrated that EC induces tumors in rodents at various tumors at various tissue sites, following different routes of exposure. Specifically, the NTP's assessment found that oral exposure to EC in rodents resulted in lymphoma, leukemia, and cancer of multiple organ sites, including the lung, liver, mammary gland, skin, and stomach. Studies on co-exposure with EtOH suggest their interaction is relevant to EC tumorigenesis (13). Similarly, the World Health Organization's International Agency for Research on Cancer (IARC) working group classified EC as a group 2A carcinogen, i.e., probably carcinogenic to humans (90, 159). EC is hypothesized to undergo metabolism in vivo to yield a highly reactive vinyl carbamate epoxide, which binds to nucleic acids and other biomacromolecules (198). DNA adducts formed from the reaction with the epoxide compound can increase the likelihood of mutations leading to carcinogenesis.

The Joint Food and Agriculture Organization (FAO)/World Health Organization (WHO) Expert Committee on Food Additives (JECFA) estimated exposure to EC from foods and alcoholic beverages and determined that the risk posed by intake of EC from foods excluding alcoholic beverages would be of low concern. However, EC exposure from intake of food and alcoholic beverages combined warranted further attention, leading FAO/WHO to suggest that mitigation measures to reduce EC in some alcoholic beverages are needed (198). Other risk assessments, including by the European Food Safety Authority (EFSA), Environment and Climate Change Canada/Health Canada, and Schlatter et al. have drawn similar conclusions (53, 57, 176).

These risk assessments have encouraged the establishment of allowable limits for EC in beverages by various governmental agencies, summarized in Table 1. The highest EC allowable limits are for fruit brandy, which range from 400 µg/L for Canada and the Czech Republic to 1,000 µg/L for the European Union (56, 57, 85). Allowable limits for distilled spirits (e.g., tequila, whiskey, and vodka) range from 125  $\mu$ g/L for the US to 210  $\mu$ g/L for Brazil (17, 63). Canada specifically limits EC levels in sake (a type of rice wine) at 200  $\mu g/L$ (85, 105). EC limits for table wine and fortified wines (wines with added distilled spirits, such as sherry and madeira wine) range from  $15-30 \mu g/L$  for table wines to  $60-100 \mu g/L$  for fortified wines.

Additional governmental agencies and industry groups have established allowable limits or are involved in monitoring levels of EC. Australia and New Zealand do not have established allowable limits for EC based on a risk assessment, which showed levels in the food supply not to be of concern (67). The intergovernmental International Organization of Vine and Wine (OIV) also does not have allowable limits for EC in wine, but the OIV has adopted analytical methods for EC detection to encourage continued monitoring of this compound

(91). In the US, EC allowable limits in alcoholic beverages are the result of a voluntary agreement with leading industry trade groups and the US Food and Drug Administration (FDA) (63).

#### **OVERVIEW OF THE MECHANISMS AND KEY SUBSTRATES OF EC FORMATION IN FOODS AND BEVERAGES**

The major contributors to EC formation in foods and beverages have been known for decades. Because EC formation mechanisms have been previously reviewed in detail by other authors, e.g., Jiao et al. (97), only key aspects will be described here. Broadly speaking, EC formation occurs when EtOH (typically produced by alcoholic fermentation) reacts with cyanate or compounds with a carbamoyl functional group, such as urea and citrulline (Figure 1) (152). The reaction between urea and EtOH is overall the most common pathway for EC formation in fermented foods and beverages, although one notable exception is that the high concentrations of EC in stone fruit spirits are driven by cyanate.

EC formation can be accelerated by factors such as heat or thermal processing, transition metals, storage conditions, pH, and ultraviolet (UV) radiation. Several compounds can provide a carbamoyl functional group for EC formation. For example, arginine (an amino acid rich in grapes) can be catabolized by yeast during fermentation to yield urea, whereas cyanogenic glycosides in certain plant sources (e.g., plum and cherry pits) generate cyanate through the action of enzymes present in the plant tissues. To a lesser extent, bacterial metabolism of arginine produces citrulline, which can ultimately react with EtOH to form EC (99, 223). In wine production, arginine is a strong contributor to EC formation because arginine is abundant in grapes and the strains of yeast responsible for wine fermentation can metabolize arginine to either urea or citrulline. Formation of EC via citrulline is a less common synthesis route compared to urea, but still occurs in red and white table wine, yellow rice wine, and fermented soybean products (82, 195, 221, 232).

Distilled spirits containing stone fruits often have high levels of EC because their production provides ideal conditions for generating EC (22). The pits from stone fruits (e.g., apricot, cherry, and plum) are a rich source of cyanogenic glycosides, typically amygdalin. If these compounds are present during fermentation, the cyanate that results from the hydrolysis of cyanogenic glycosides can react with EtOH. Some manufacturers may not remove pits before fermentation due to its impact on the flavor profile of the spirits and because pit removal adds additional processing steps. Although the occurrence of cyanogenic glycosides does not always predict EC concentrations, elevated amounts of cyanogenic glycosides are often found in foods and beverages that have elevated EC levels.

Cyanogenic glycosides are present in other ingredients used to produce alcoholic beverages besides stone fruit. Notable sources of these glycosides are grains, sugar cane, and cassava, which are components of distilled spirits, such as whiskey, cachaça, and tiquira  $(41, 71, 110, 110)$ 139, 150, 162). Besides ingredients, other factors that are associated with EC formation in spirits are higher temperatures and use of a copper vessel to collect condensed spirits post distillation (21, 71, 167, 168).

#### **OCCURRENCE OF EC IN FOODS/BEVERAGES AND EXPOSURE ASSESSMENTS**

Before allowable limits for EC levels in foods and beverages were considered, studies were conducted to identify the baseline level of EC present in these products (49, 153). Foods and condiments targeted for sampling included breads, fermented dairy products, pickled vegetables, soy sauce, and vinegar (Table 2). These foods, produced using fermentation reactions, are known to contain microorganisms that can generate urea through amino acid metabolism (73). The highest level of EC detected in the FDA's initial survey of foods and condiments was 84 µg/kg (49). In comparison, alcoholic beverages such as beer, wine, and especially distilled spirits can contain substantially higher levels of EC (see Table 3) due to the high amounts of substrate available for EC formation—generally, EtOH, urea, and cyanate.

**Breads.—**Fermentation that occurs during production of yeast breads can generate EC via EtOH reacting with cyanate derived from grains or with urea formed during amino acid metabolism by yeast. Dough conditioners—added to improve the texture and color of commercial bread—have also been associated with EC in breads (48). Specifically, researchers have linked use of azodicarbonamide (ADA) as a dough conditioner to increased levels of EC in the finished bread (24). ADA is a food additive approved for use as a dough conditioner by the FDA, but is not approved as a food additive in the EU (55, 186). Experiments were not able to directly ascertain if EC occurrence in bread made with ADA was the direct result of ADA breakdown products or whether ADA created chemical conditions that favored EC generation from other compounds. Although breads generally do not contain high levels of EC in comparison with other foods and beverages, they are of interest as a potential source of exposure due to high bread consumption levels.

**Soy Sauce and other Fermented Soybean Products.—**Collectively, studies on soy sauce indicate that traditionally fermented varieties generally contain higher EC levels compared to non-fermented soy sauce (34, 62). For example, several authors found that traditionally fermented soy sauce contained EC concentrations up to  $130 \mu g/L$ , while nonfermented soy sauce contained low or non-detectable levels of EC (60, 101, 170). Other types of fermented soybean products such as miso, tempeh, and natto contained EC at levels ranging from non-detectable up to 5  $\mu$ g/kg (79, 151). The differences in EC levels between liquid and solid fermented soybean products may be due to the higher concentrations of EtOH normally found in liquid products, but further work needs to be done to confirm this hypothesis (79, 151).

**Alcoholic Beverages.—**One of the earliest surveys of EC occurrence in alcoholic beverages was conducted by the FDA. Of the 89 wines and distilled spirits analyzed, a majority of samples ( $n=77$ ) contained levels below 100  $\mu g/L$  EC, although the fortified wines (i.e., sherry and port) contained levels greater than 500 µg/L EC (23, 183). Other studies found that Scotch and malt whisky had EC concentrations of 15–100 µg/L and fermented agave spirits (e.g., tequila) had a mean EC level of 50 µg/L, with no EC detected in gin and vodka (8, 109).

In the distilled spirits category, liquor made with stone fruits has the highest levels of EC. In a large survey (n=631) of stone fruit distilled spirits collected in Germany over 18 years (1986–2004), the authors found that EC levels reached a high of 18,000  $\mu g/L$ , with a mean of 1,400  $\mu$ g/L (113). Over time, however, these authors observed a reduction in the number of samples exceeding Germany's 800 µg/L EC limit, with large-scale distilleries generally more successful at reducing EC levels compared with smaller distilleries (113). In the US, an analysis of fruit brandy (n=89) that took place during the same timeframe found EC levels similar to those in the study done in Germany (mean  $= 1.197 \mu g/L$ ) (49). More recent survey data still show relatively elevated levels of EC in stone fruit spirits in comparison to wine and beer, although levels tend to be lower than in the aforementioned studies. In samples from Korea, for example, the majority of fruit brandy and plum wine sample contained EC concentrations less than 350 µg/L (76, 121, 170).

Other types of distilled spirits besides those made with stone fruits contain elevated concentrations of EC. For example, the Brazilian distilled spirits cachaça and tiquira have attracted attention because data show EC concentrations can reach  $1,000 \mu g/L$  and higher for cachaça and up to 3,500 µg/L for tiquira, exceeding the Brazilian EC allowable limit of  $210 \mu g/L$  by  $\sim$  5- to 17-fold (16, 110, 141, 165). Elevated EC levels found in these beverages have been related to cyanate precursors present in sugar cane and cassava, which are used in production of cachaça and tiquira, respectively. Processing steps taken at individual distilleries may have a large effect on EC values in these beverages because one analysis found that EC in sugar cane spirits varied widely between distilleries, ranging from below analytical detection up to 1,600 µg/L (20, 43, 173).

EC levels in distilled spirits produced in-home may be of concern due to the limited production controls that exist. Studies performed in Europe, including Hungary, Lithuania, Poland, Russia, and Ukraine, where there is a tradition of preparing in-home distilled spirits, indicate that in-home produced stone fruit spirits can contain EC levels in excess of 1,000  $\mu$ g/L (108, 112, 114). This problem may be limited to stone fruit spirits, as many different types of in-home produced distilled spirits from Russia and Ukraine made without stone fruit do not have high levels of EC (111, 180).

**EC Exposure Assessments.—**EC dietary exposure and risk assessments have been conducted in various regions, including Asia, Australia, Brazil, Europe, and North America (32, 36, 57, 97, 110, 181, 190, 198). Dietary intake estimates varied between studies, with differences in a population's consumption of alcoholic beverages being a major determinant of the variance in EC exposure. Intake estimates from JECFA found that mean EC exposures from food alone were about 15 ng/kg body weight (bw) per day (198). For the six countries

with sufficient data to estimate exposure from the total diet (i.e., including alcoholic beverages), the national estimates for mean intake for EC from food and alcoholic beverages ranged from 15 to 65 ng/kg bw per day. JECFA attributed the wide variability in EC levels among countries to the fact that mitigation measures have been effective in reducing EC concentrations in alcoholic beverages, and some of the data submitted for this analysis were relatively older, not reflecting more recent mitigation efforts (198).

Similarly, EFSA conducted an exposure assessment for EC from food and alcoholic beverages in 2007, relying on JECFA's intake estimate from food of 15 ng/kg bw per day (57). Dietary exposure to EC from consumption of food and alcoholic beverages combined was estimated to be 65 ng/kg bw per day. The highest estimated EC exposure (558 ng/kg bw per day) was for a person consuming fruit brandy at the 95<sup>th</sup> percentile consumption level.

More recently in 2016, Environment and Climate Change Canada and Health Canada examined EC exposure using Monte Carlo simulation. At the 90<sup>th</sup> percentile for males and females aged 19 years and older, EC intake from food alone was estimated to be 20.3 and 20.0 ng/kg bw per day, respectively. EC intake from alcoholic beverages for male and females aged 19 years and older was estimated to be 106.0 and 59.0 ng/kg bw per day, respectively (53).

#### **ANALYTICAL METHODS FOR DETERMINATION OF EC IN FOODS AND BEVERAGES**

Analysis of food and beverages for EC can be accomplished via several different methods, with each having particular advantages and limitations. The major methods for EC quantitation use either gas chromatography (GC) or liquid chromatography (LC). The official AOAC method for alcoholic beverages and soy sauce uses gas chromatography-mass spectrometry (GC-MS) (27). Further details on the methods summarized in Table 4 will be discussed below. Alternative methods such as flow-injection mass spectrometry (FI-MS), enzyme-linked immunosorbent assay (ELISA), infrared (IR) spectroscopy, surface-enhanced Raman spectroscopy (SERS), and nanosensors will also be discussed. Interestingly, although there have been some efforts to produce a certified reference material for EC analysis, no such material appears to be available (192).

**Gas Chromatography-Mass Spectrometry (GC-MS).—**GC-MS methods were among the first used for detection of EC in foods and are still commonly used by analytical chemists for analysis of this compound (11, 29, 194, 212). The advantage of GC-MS is that is has the capability to quantify EC from a variety of foods and beverages, including wine, distilled spirits, breads, and pickled vegetables (18, 23, 25, 72, 93, 188, 206).

Governmental agencies and private industry primarily use GC-MS for EC analysis. Governmental agencies that have published literature using GC-MS for EC analysis include: Ontario Ministry of Agriculture and Food (Canada), National Research Centre for Certified Reference Materials (China), Chemical and Veterinary Investigation Laboratory (CVUA) of Karlsruhe (Germany), the FDA (US), National Institute of Hygienic Sciences (Japan), and the Norwich Food Science Laboratory (UK) (38, 47, 49, 79, 107, 116, 137). The robustness of GC-MS has allowed for successful multi-site validation, leading AOAC International to adopt an official GC-MS method for detection of EC in alcoholic beverages and soy sauce

(27). The limits of quantitation (LOQ) for this method ranges from 10  $\mu$ g/L for table wine up to 50 µg/L for distilled spirits.

Since then, there have been efforts to extend the AOAC official method to additional matrices, as the presence of simple sugars, lipids, and proteins in a food or beverage matrix may adversely affect EC quantitation by GC-MS (171). In the AOAC method, a solid phase extraction (SPE) procedure is employed to extract EC from liquid samples. SPE has also been used for extraction of EC from solid foods, including pickled vegetables, fish, bread, and cheese (122, 128). SPE can significantly reduce matrix interferences, with some authors reporting the ability to detect EC at the ng/L (~parts per trillion) level when samples are analyzed using GC-MS (37). However, the lengthy extraction procedure for EC analysis by GC-MS has encouraged researchers to develop methods that reduce sample preparation times (145, 149, 207).

Sample preparation can be virtually eliminated by using headspace solid phase microextraction (SPME), and SPME has been used to analyze both alcoholic beverages and solid foods (89, 138, 158, 218). Additional approaches with potential to increase sample throughput include microextraction by packed sorbent (MEPS), ultrasound-assisted microextraction, pressurized liquid extraction, and aqueous two-phase extraction systems (118, 126, 127, 136).

Over the past several years, there have been continued efforts to improve the sensitivity and specificity of the GC-MS method. Several analytical laboratories have moved from using single quadrupole GC-MS instrumentation to triple quadrupole tandem mass spectrometry (GC-MS/MS) systems. Tandem mass spectrometers have increased analyte sensitivity and provide higher confidence in analyte identification through compound fragmentation (213). One application of GC-MS/MS for analysis of EC in breads has been reported by Hamlet & Jayaratne (78), where the use of legacy methods could have resulted in inaccurate data due to analytical interferences. GC-MS/MS methods can also collect data on a large number of analytes in a single analysis, including multiple chemical contaminants and flavor compounds present in trace amounts (64, 66). GC-MS/MS also allows for potentially simplified sample preparation and reduced analytical separation times without decreased confidence in compound identification (226).

#### **Liquid chromatography-fluorescence detection (LC-FLD) and liquid chromatography-mass spectrometry (LC-MS).**

The use of LC methods to assess EC in foods and beverages is a recent development compared to analysis by GC-MS. LC methods generally require less sample preparation time than GC-MS methods and can simultaneously collect data on non-volatile compounds. Detection in LC is typically accomplished using FLD or MS. FLD does not provide as high a degree of specificity as MS in detecting EC, resulting in potential interferences from pigmented compounds and other components of a food matrix. However, the low cost of FLD allows it to be available in most laboratories. Consequently, LC-FLD has been used to detect EC in a variety of foods and beverages, particularly distilled spirits and wine (69, 87, 191, 196, 230). Methods using LC-FLD for EC analysis typically incorporate chemical derivatization to increase analyte sensitivity and reduce matrix effects. Several authors have

LC-MS methods for EC quantitation have been developed that achieve a high degree of analyte sensitivity and specificity (155). An electrospray ionization (ESI) probe is commonly used in LC-MS analysis, but use of ESI for EC analysis can result in a low signal because EC is difficult to ionize. Instead of ESI, atmospheric pressure chemical ionization (APCI) has been used to produce methods with greater sensitivity (120). For example, Alberts et al. used APCI to develop a LC-MS/MS method with detection limits comparable to GC-MS ( $\sim 0.6 \mu g/L$  EC) (2). Other researchers have derivatized EC before analysis using ESI to increase EC ionization efficiency (46). Some researchers have been able to avoid EC derivatization by using state-of-the-art ESI probes to produce methods with detection limits only moderately higher ( $\sim$ 2 µg/L EC) than APCI ( $\sim$ 0.6 µg/L EC) (119, 227). The tradeoff of using an ESI probe with lower sensitivity versus an APCI probe may be worthwhile because ESI probes are already commonly used for LC-MS methods.

**Flow-Injection Mass Spectrometry (FI-MS).—**The increased mass resolution of recent MS technology has led to the use of FI-MS, which does not use front-end chromatography before detection. Omitting chromatographic separations precludes obtaining compound retention time characteristics and typically results in decreased sensitivity, but these downsides are often outweighed by the advantages. Although preliminary, research conducted using FI-MS for EC analysis reported detection of EC levels in samples as low as 7.5 µg/L with an analysis time of only two minutes (166). A rapid FI-MS method could have potential as a high-throughput screening method for food and beverages, replacing GC-MS methods that can have analysis times of 24 minutes or longer. However, a rapid FI-MS method would require extensive validation, as FI-MS methods are susceptible to matrix effects, and detection of compounds with identical nominal mass (i.e., isobaric compounds) could artificially inflate EC concentrations.

**Enzyme-linked immunosorbent assay (ELISA).—**ELISA is most commonly used to detect and quantify proteins, but it can also be used to detect small molecules. Use of ELISA for detection of EC would allow for quantification without the need for expensive analytical equipment (133, 203). Luo et al. generated a prototype ELISA to quantify EC standards with a detection limit of 16 µg/L (134). However, this assay was not able to detect EC in wine samples at  $\sim$ 40 µg/L because of a necessary 10-fold dilution step during sample preparation. Thus, further research is needed to refine this assay to detect low levels in food or beverage matrices. Researchers have taken different approaches to increase ELISA sensitivity, including ratiometric fluorescence ELISA (RF-ELISA) or derivatization of EC with xanthydrol (68, 135). If these assays are shown to be effective across a range of EC concentrations in various food matrices—and if they become more economical—they show potential for use in routine screening of samples without the need for complex analytical instrumentation.

**Infrared (IR) Spectroscopy.—IR** spectroscopy was originally explored as a method to quantitate EC in foods and beverages, although sensitivity limitations prevented its widespread use (147). However, increases in IR instrument sensitivity over time have led to

some exploratory work regarding its use in EC detection. One study used Fourier-transform IR (FT-IR) to screen stone-fruit distilled spirits for elevated EC, and results showed that FT-IR correctly classified 85% of the test beverages when an 800 µg/L threshold was applied (106). Near-infrared spectroscopy (NIR) can quantify pure EC standards as low as 100 µg/L, but work is needed to validate this assay in a food matrix (197). FT-IR and NIR show promise as relatively inexpensive, rapid, and non-destructive techniques; however, these preliminary studies suggest that the current technology may only be useful for screening food or beverage samples with relatively high EC levels.

**Surface-enhanced Raman Spectroscopy (SERS).—**The emerging spectroscopy technology SERS has been utilized in research to produce assays for EC detection. A gold nanoparticle substrate has been used by several authors as a SERS amplifier to detect EC levels as low as 0.1 µg/L in alcoholic beverages (124, 214). Silver nanoparticles also have the capability to serve as SERS amplifiers, and have been used in assays to probe alcoholic beverages with a large range of EC concentrations (161). Although SERS shows promise as a rapid method of EC detection, continued work is needed to optimize the SERS amplifier to ensure that analytical results are reproducible.

**Active Packaging and Nanosensor Systems.—**EC sensor systems are in development that can be incorporated into polymers, potentially leading to creation of an easy-to-use test material that produces a visible color change upon contact with a target compound (216, 217). One potential application for this sensor system is in portable test kits for food inspectors where EC can be detected by mixing sample (e.g., distilled spirits or wine) with sensor-enabled test material for rapid analysis. Another possible application is "active packaging" where a section of a bottle of distilled spirits could be embedded with nanosensors to produce a visible color change if elevated amounts of EC are present. Several authors have fabricated prototype molecularly imprinted polymers that can detect EC in rice wine and brandy, and data produced from this nanosensor were in agreement with results from GC-MS analysis (74, 211).

Electrochemical impedance sensor systems are under development as a rapid and portable means for EC analysis in foods and beverages. Currently, these systems have been able to detect pure EC standards at ng/L concentrations (96, 229). However, because the sensitivity of these sensor systems is much lower in an alcoholic beverage versus a pure standard, further research will need to be done to produce a sensor that is more robust in a beverage matrix.

#### **EFFECT OF PROCESSING ON EC CONCENTRATIONS IN FOODS AND BEVERAGES**

As mentioned previously, EC formation is dependent on multiple chemical reactions occurring in the food or beverage matrix during processing (Figure 1). Broadly speaking, EC formation routes incorporate both the metabolic activity of microorganisms that occurs during fermentation (e.g., arginine metabolism to urea) and the chemical reaction of substrates (e.g., EtOH reacting with cyanate). Both formation mechanisms need to be considered when forming an approach to limit EC levels in the food supply, especially because certain products, such as stone fruit sprits, can contain high levels of EC due to

contributions from both routes. Research on the effects of processing on EC formation has largely focused on the fermentation microorganisms that form EC precursors, the impact of distillation process, and the product storage conditions that promote or inhibit EC formation.

**Fermentation.—**Changes in chemical composition that occur to a food or beverage during fermentation can influence formation of EC (5, 35, 169). Certain strains of yeast and bacteria used for fermentation can alter the levels of EC precursor compounds (209). Several authors reported that EC levels in cachaça are ~20–50% lower if a starter culture is used instead of allowing native microbiota to induce fermentation (143). The presence of the yeast strain Wickerhamomyces anomalus has been associated with elevated levels of urea in foods, in contrast to Schizosaccharomyces pombe, which is able to reduce EC precursors via metabolism (14, 15, 208). The impact of certain yeast strains on EC levels during fermentation can be significant, as one author found that EC levels in yellow rice wine were 90% lower using *Saccharomyces cerevisiae* ZJU instead of a traditional fermentation starter (61). It is important to note that the focus of these studies was on levels of EC, and the influence of fermentation organisms on flavor profiles or other critical aspects of the products were not considered.

The ability of bacteria to influence EC levels in fermented food and beverages is mixed, but generally data show that certain bacterial strains are capable of modifying EC formation. Bacterial fermentation is especially relevant to red wine production, which typically undergoes malolactic fermentation by lactic acid bacteria. Although laboratoryscale experiments in model systems show that lactic acid bacteria have the capability to metabolize EC precursors (such as arginine), some studies have indicated that malolactic fermentation did not significantly affect EC levels in red wine (28, 144, 157). Use of Lactobacillus brevis and other lactic acid bacteria in a fermentation starter culture for production of distilled spirits led to EC levels that were at least 40% lower compared to a control fermented without the starter culture (51, 219, 231). These reductions were postulated to be from uptake of EC precursors by the bacteria. An additional aspect of fermentation to consider is salinity because it may affect how certain bacteria culture strains accumulate EC precursors (221). The diversity of microbiota in fermented foods and beverages presents a challenge when attempting to isolate the major microorganisms that may affect EC formation. Yet, characterizing such microorganisms is important because they can potentially have a large role in modifying levels of EC precursors.

**Distillation.—**Distilled spirits often have higher EC concentrations compared to other alcoholic beverages, leading researchers to assess whether certain features of the distillation process affect EC formation. The higher percentage of EtOH present in distilled spirits can itself result in elevated EC by serving as a substrate in EC formation. However, certain aspects of distillation—including high temperatures—can accelerate EC formation (10, 142). Studies that compare EC levels in distilled spirits samples from various distilleries have shown that the type of distillation process appears to be a major source of variation in EC concentrations (150, 163).

An underlying factor for the particularly high levels of EC often found in sugar cane spirits is hypothesized to be use of a copper metal distillation apparatus (also called a

still), commonly used for distillation (19, 21, 178). A positive correlation between copper ions  $(Cu^{2+})$  and EC levels in distilled spirits has been found, and mechanistic experiments have elucidated a pathway where EC formation is driven by copper catalyzing both cyanate formation and cyanate reacting with EtOH  $(6)$ . Copper metal  $(Cu^0)$ , like that found in the copper still, can ionize to  $Cu^{2+}$  at high temperatures in an acidic environment (6, 19, 102). In light of this, a still fabricated of stainless steel and noble metals instead of copper has been developed to limit these reactions (150). Some experiments show that use of copper versus stainless steel stills during distillation can have a significant effect on EC levels, but it is difficult to draw a definitive conclusion because differences in the placement of copper metal within the still may affect EC formation (6). Indeed, while use of a copper vessel to collect distillate (the finished distilled spirits) appears to result in elevated EC levels, use of copper column tubing and heating kettles to boil the fermented mash may instead reduce cyanate levels (56, 150).

**Toasting and Thermal Application.—**In-home thermal processing including toasting and other methods of heating breads can increase EC levels by two- to three-fold, with levels reaching up to ~30 µg/kg. Interestingly, toasting and baking can also increase levels of other process-induced contaminants, such as acrylamide and furan (26, 30, 77, 236). Although the exact formation mechanisms for EC in breads are unknown, this effect has been replicated by at least two other authors using various types of bread (78, 177).

**Storage Conditions and Bottle Type.—EC** levels can increase significantly during the storage of finished beverages, especially when temperature is elevated (59, 117). One study found that EC levels in red and white wine increased by as much as 30-fold over 12 months of storage at 43 °C (182). Several authors have demonstrated the effect of storage temperature on EC levels in rice wine (130, 204). For instance, experiments by Wu et al. found that EC concentrations in yellow rice wine increased from  $74 \mu g/L$  to  $509 \mu g/L$  when stored at 37 °C for 400 days, while sample kept at 4 °C had an increase of 10  $\mu$ g/L (204). Even subjecting rice wine to elevated temperatures from hot-filling during bottling can increase EC levels, with levels increasing from  $30 \mu g/L$  (control) to  $180 \mu g/L$  after sample was subjected to treatment at 95 °C (125).

Studies have shown that light/UV exposure during the storage of distilled spirits can affect EC formation. Lachenmeier et al. found that EC levels in a sample of stone fruit spirits had an average increase of 1,300  $\mu g/L$  after 4 h of direct UV exposure (113). A more modest increase in EC levels (~20%) was found in sugar cane spirits exposed to ambient light in glass bottles over six months (220).

Related to storage, the barrel aging process used for distilled spirits can cause increases in EC. The EC levels in grain spirits, plum wine, and cachaça have all been shown to increase by as much as five- to six-fold above their initial levels during barrel aging (7, 81, 172). The increases in EC levels is dependent on the particular beverage; Hashiguchi et al. found that mean EC levels in non-barrel-aged plum wine were 80 µg/L versus 300 µg/L in barrel-aged, whereas Santiago et al. found low EC levels even after cachaça was barrel aged  $\sim 10 \,\mu g/L$ in barrel-aged product) (81, 172). Some have pointed to lignin from the wooden barrel as having a role in EC formation because controlled experiments have found that barrel-aged

distilled spirits contain higher levels of this compound compared to distilled spirits aged in glass (172).

#### **CURRENT PRACTICES FOR MITIGATION OF EC IN FOODS AND BEVERAGES**

There are a number of methods to limit the formation of EC in the food supply, and ongoing research is refining best practices associated with EC mitigation. The established EC mitigation strategies detailed in Table 5 will be discussed in the following sections.

Documents related to EC mitigation in alcoholic beverages, principally in stone fruit spirits, have been published by governmental agencies and academic institutions. Noteworthy publications include a preventative action manual for wine authored by the University of California, Davis, in conjunction with the FDA; a code of practice for stone fruit distillates developed by the Codex Committee on Contaminants in Foods; and a recommendation document related to production of stone fruit spirits and marc spirits published by the European Commission (22, 39, 56).

**Enzymatic Degradation.—**The addition of certain enzymes to foods and beverages to degrade EC and EC precursors mimics specific metabolic processes that occur during fermentation. Urease has been designated by the OIV as one of several processing aids (164) permitted for use in wine production, and has been successfully added to certain wines, reducing urea by greater than 95% (54, 92, 95). Urease is generally recognized as safe (GRAS) in the US to reduce urea in wine (184). Because urease activity is reduced by components commonly found in wine, such as malic acid, fluoride, and phenolic compounds, pilot trials need to be conducted to ensure the enzyme's effectiveness (22, 31).

**Genetically Engineered Yeast.—**Metabolic pathways in yeast have been optimized using both modern genetic engineering and traditional breeding techniques to reduce the formation of EC or its precursors (Figure 2). Many of these experiments have been performed to better understand the biochemical pathways in yeast and their corresponding genetic drivers, but some have been conducted to engineer strains for commercial purposes (94). Use of a commercialized strain of genetically engineered yeast with enhanced capability to degrade urea (ECMo01) was able to reduce EC levels by  $\sim$  50% and  $\sim$ 90% in bread and red wine, respectively (86). Both the FDA and Health Canada did not have any objections to the safety assessment done by the firm that produces this engineered yeast strain (84, 185).

**Distillation.—**The distillation process can be leveraged to reduce EC levels in distilled spirits through fractionation (15). During distillation of an alcoholic beverage, the condensed spirits collected at the beginning (the "heads") and at the end of the process (the "tails") typically contain undesirable or even toxic components, including methanol. The fraction between these two ends (the "hearts") is the most desirable with regards to quality and purity. An analysis of all three major distillation fractions from sugar cane spirits found that the mean EC content was ~60,000  $\mu$ g/L, 50  $\mu$ g/L, and 1,600  $\mu$ g/L, for the heads, hearts, and tails fractions, respectively (9). Similar results were replicated by two other authors, with

the heads and tails fractions containing significantly higher EC concentrations compared to the hearts (12, 50). To increase efficiency of EC removal from distilled spirits by this fractionation process, increases in distillation time have been recommended (45).

Distilling spirits multiple times also has been shown to control EC levels. One study found that single distilled sugar cane spirits containing  $\sim 60 \mu g/L$  EC had levels reduced to nondetectable levels after being distilled an additional two times, due, in part, to increased ability to remove the undesirable heads and tails fractions (179). This reduction has been observed by other authors who found that double distillation removed ~95% of EC from sugar cane spirits  $(3, 4)$ . These results demonstrate that although the distillation conditions can increase levels of EC in spirits, it also has the capability to be used to reduce EC levels via fractionation. However, increasing the number of times a product is distilled has the disadvantage of increasing the time to generate the product and reducing yield.

**Mitigation in Stone Fruit Spirits.—**EC formation in stone fruit spirits appears to be largely driven by the presence of cyanate precursors in the pit of stone fruits, but this relationship is complex and can be influenced by different production processes (40). In a study in Germany, small-scale distilleries generally had higher EC levels compared to largescale distilleries, which may be related to larger-scale operations using good manufacturing practices such as removing the pit from stone fruits (113).

To test the extent to which destoning is effective as a method to prevent EC formation, Schehl et al. produced pilot-scale amounts of cherry and plum spirits with and without the pits included during fermentation (174). Both cherry and plum spirits made with and without pits contained EC levels below quantifiable levels  $\langle \langle 10 \mu g/L \rangle$ , so the results were inconclusive. However, a separate test beverage made with only plum pits had EC levels of 3,100 µg/L and elevated levels of cyanate precursors. These results may indicate that other factors involved in production of distilled spirits, such as distillation practices and fruit quality, in addition to levels of cyanate from stone fruits, influence EC levels.

**Controlled Storage Conditions.—**Because high temperatures and light/UV exposure can accelerate EC formation, keeping finished product under controlled storage conditions can limit EC formation. Stone fruit spirits, in particular, should be protected from direct light exposure and elevated temperatures due to its potential to contain high levels of EC precursors (39). Specific target storage temperatures have not yet been determined, but EC has been found to increase in table wine and rice wine at temperatures ranging from 37–43  $\rm{^{\circ}C}$  (182, 204).

**Input Ingredients.—**Quality control of ingredients has been shown to be important in keeping EC low in beverages. In wine making, use of grapes with high amounts of nitrogen or overly ripe fruit can lead to elevated levels of arginine and, consequently, increased EC concentrations in the finished product (115, 160). High nitrogen levels in grapes are generally due to excessive fertilization of a vineyard. Hence, it is important to measure available nitrogen in the juice before fermentation and potentially alter vineyard fertilization practices, if possible, to control grape nitrogen levels (22).

#### **EXPERIMENTAL METHODS FOR MITIGATION OF EC IN FOODS AND BEVERAGES**

In addition to established EC mitigation strategies, several experimental methods are under development to enhance current capabilities. The CRISPR-Cas9 technology is perhaps the most important due to its ability to modify target genes in yeast or bacteria with precision. Using this methodology, off-target effects that are more probable with legacy genetic modification techniques are largely reduced or avoided. These advantages can allow for relatively shorter times to develop a variety of starter culture strains modified using CRISPR-Cas9. Also showing promise as mitigation methods are enzymatic treatments (urethanase) and filtration using activated carbon. No less interesting is use of naturally occurring phenolic compounds or plant extracts that may prevent formation of EC or its precursors.

**Experimental Genetically Engineered and Traditionally Bred Yeast.—**CRISPR-

Cas9 and other genetic engineering techniques have been used to delete the gene (*CAR1*) that codes for arginase in Saccharomyces cerevisiae to prevent yeast from metabolizing arginine to urea (33, 215). Pilot experiments with the low-arginase strain demonstrate that EC formation in distilled spirits and wine can be reduced up to 70% compared to controls (44, 175, 200). Genetic engineering has also been used to delete urea transporter proteins in *Saccharomyces cerevisiae*, leading urea to accumulate within the cell, where it can subsequently be degraded (193, 199).

Use of genetically engineered yeast to reduce EC levels in foods and beverages has limitations because microorganisms have multiple routes for generating EC precursors due to redundancies in metabolic pathways (224, 228). These redundancies often require suppression of multiple genes to reduce synthesis of target compounds (202). For example, no differences in EC levels were observed in rice wine fermented using genetically engineered yeast with reduced capacity to synthesize citrulline (201). Further, Guo et al. demonstrated that deletion of one copy of the CAR1 gene in Saccharomyces cerevisiae reduced EC formation in rice wine by only  $\sim$  20%, but deletion of two *CAR1* alleles reduced EC formation by 74% (75).

Efforts have also been made to use traditional breeding to select for desirable traits in yeast. Using this approach, yeast have been bred to produce sake and rice wine with reduced levels of EC precursors (100, 104, 225). One benefit of traditional breeding over modern genetic engineering techniques is the avoidance of potential product labeling requirements for genetic engineering, which may contribute to greater acceptance in certain consumer segments.

**EC Degrading Enzymes.—**Use of urethanase to directly degrade EC has been proposed, with ongoing experiments testing how well this treatment can scale up. Several authors have found that urethanase can reduce EC in rice wine and soy sauce by 15–50% (95, 129, 210). An alternate approach to direct addition of a purified enzyme is inoculation of beverages with microorganisms possessing similar degradation activity to the purified enzymes. In one case, Cui et al. used Lysinibacillus sphaericus MT33 to degrade EC in distilled spirits by

30–60% (42). Lactobacillus coryniformis BBE-H3 displays EC hydrolase activity, and has potential as a starter culture for pickled vegetables (58).

**Preservatives.—**Although limited work has been done using the preservative potassium metabisulfite, levels of EC in plum wine were approximately 30% lower compared to controls with the addition of this preservative (80). The mechanism for this effect has not been completely elucidated, but it is possible that this preservative inhibits growth of microorganisms that metabolize arginine into urea. Potassium metabisulfite is a widely used preservative that has undergone several safety assessments and has GRAS status (52, 187).

**Filtration.—**Activated charcoal has been explored as a method to reduce EC in alcoholic beverages and soy sauce. Preliminary research conducted has shown that filtering distilled spirits and soy sauce through activated charcoal reduced EC levels by ~45% (156). A limitation of this process is that the activated charcoal can also remove important flavor compounds, affecting sensory attributes of the treated product.

**Phenolic Compounds and Plant Extracts.—It was previously mentioned that certain** phenolic compounds (e.g., gallic acid) can inhibit the activity of enzyme treatments that reduce urea in wine. However, some research suggests that certain classes of these compounds can prevent EC formation in fermented beverages, possibly due to their ability to inhibit growth of certain strains of microorganisms involved in increased EC formation. Researchers found that addition of 200 mg/L of gallic acid reduced EC formation in yellow rice wine by ~90%, while another reported a ~40% reduction in EC formation in soy sauce with the addition of 10 mg/L quercetin (231, 234). Plant extracts rich in phenolic compounds have also been able to achieve reductions in EC formation (235).

#### **CONCLUSION AND FUTURE DIRECTIONS**

Considerable progress has been made in developing robust analytical methods to better characterize dietary exposure to EC. In addition, mechanistic work on EC formation has enabled innovation in approaches to mitigate EC in the food supply. Survey data have demonstrated overall reductions in levels of EC in certain beverage types (113), but it continues to be important to monitor EC because of the compound's probable carcinogenicity and the elevated levels that have been occasionally identified in certain samples.

On the whole, there are several aspects of this field that would benefit from further development. With no EC standard reference material available, it is difficult to ensure analytical consistency across laboratories. However, there have been recent efforts by certain institutions to produce a reference material (192). Availability of a reference material becomes increasingly important as laboratories shift from using legacy GC-MS to other methodologies, such as LC-MS or FI-MS.

Additional data are needed on niche foods and beverages that increasingly constitute a larger segment of commercially available products. For example, there are limited data on EC concentrations in a number of fermented/cultured products that have become more

widely available in many markets. Because levels of EC in foods and beverages are largely dependent on input ingredients and processing, it is difficult to generalize EC levels found in one type of product to an entire category on the market.

Nanosensors for EC detection show promise for use in the development of easily transported testing kits that could be used in the field for food inspection or quality control purposes by sampling and analyzing food rapidly on site. Nanosensors could also be embedded in food/beverage packaging, which could alert a consumer if a product contains elevated levels of EC. Use of packaging embedded with sensors may be especially relevant to products that have historically contained elevated levels of EC, such as stone fruit spirits.

Finally, robust mitigation methods should continue to be explored and developed. Some enzymatic methods to degrade urea are commercially available, but further refinement of this technology is needed to minimize the influence of constituents commonly found in wine (such as organic acids) and to produce an enzyme that is economical. Ongoing work on testing and mitigation technologies will allow for continued monitoring of EC from dietary exposure and the capability to prevent elevated levels of EC in the food supply.

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#### **Figure 1.**

Simplified schematic of major ethyl carbamate formation mechanisms. (A) Arginine, rich in grapes, can be metabolized to urea (by yeast) and citrulline (by lactic acid bacteria) during fermentation. Urea can then react with ethanol produced during fermentation to yield ethyl carbamate. In addition, citrulline can react with ethanol to yield ethyl carbamate. (B) Cyanogenic glycosides from sources such as fruit stones (e.g., plum and cherry pits), grains, and sugar cane can undergo hydrolysis to yield cyanate. Copper ions  $(Cu^{2+})$  have the capability to enhance cyanate formation. In turn, cyanate can react with ethanol to form ethyl carbamate.

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#### **Figure 2.**

Overview of molecular targets under consideration for manipulation to reduce EC formation in yeast. Deletion of arginase (encoded by CAR1) reduces amounts of the EC precursor urea. Increased expression of DUR3 transporter enhances uptake of urea into the cell, which can subsequently reduce amounts of available urea to form EC.

### **Table 1.**

Summary of allowable limits for ethyl carbamate in beverages. Summary of allowable limits for ethyl carbamate in beverages.



 Bujake (1992); Tredoux and Ferreira (2012); Kwon et al. (2014); National Institutes of Health. "What Is A Standard Drink?" Available at: [https://www.niaaa.nih.gov/alcohols-effects-health/overview](https://www.niaaa.nih.gov/alcohols-effects-health/overview-alcohol-consumption/what-standard-drink)alcohol-consumption/what-standard-drink. Accessed 26 MAR 2021. [alcohol-consumption/what-standard-drink](https://www.niaaa.nih.gov/alcohols-effects-health/overview-alcohol-consumption/what-standard-drink). Accessed 26 MAR 2021.

Referred to in the European Commission document as spirits made with stone fruit or grapes. Referred to in other documents as liqueurs or distillates. Referred to in the European Commission document as spirits made with stone fruit or grapes. Referred to in other documents as liqueurs or distillates.

 $c$  This value has been designated as a target recommendation by the European Commission. This value has been designated as a target recommendation by the European Commission.

 $d_{\rm Only}$  whiskey is referred to in allowable limits for the US. Only whiskey is referred to in allowable limits for the US.

Voluntary limits made in an agreement with the FDA and the Distilled Spirits Council of the United States (DISCUS). Voluntary limits made in an agreement with the FDA and the Distilled Spirits Council of the United States (DISCUS).

 $\ell$ Voluntary limits made in an agreement with the FDA and the Wine Institute (WI) and American Association of Vintners (AAV). Voluntary limits made in an agreement with the FDA and the Wine Institute (WI) and American Association of Vintners (AAV).

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## **Table 2.**

Summary of key data on the occurrence of ethyl carbamate in foods including condiments. a



Abbreviations used: GC-M3, gas chromatography-mass spectrometry; GC/N-TEA, gas chromatography-thermal energy analyzer with nitrogen converter; ND, not detected. Abbreviations used: GC-MS, gas chromatography-mass spectrometry; GC/N-TEA, gas chromatography-thermal energy analyzer with nitrogen converter; ND, not detected.

 $b_{\rm Ethyl}$  carbamate data rounded to two significant figures. Ethyl carbamate data rounded to two significant figures.

Mean of means from reference data shown for display purposes in table. Mean of means from reference data shown for display purposes in table.

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## **Table 3.**





<sup>a</sup>Abbreviations used: FAO, Food and Agriculture Organization; GC-MS, gas chromatography-mass spectrometry; GC/N-TEA, gas chromatography-thermal energy analyzer with nitrogen converter;<br>LC-MS, liquid chromatography-mass sp Abbreviations used: FAO, Food and Agriculture Organization; GC-MS, gas chromatography-mass spectrometry; GC/N-TEA, gas chromatography-thermal energy analyzer with nitrogen converter; LC-MS, liquid chromatography-mass spectrometry; LC-FLD, liquid chromatography-fluorescence detection; ND, not detected.

 ${}^4\mathrm{Eth}$  carba<br>mate data rounded to two significant figures. Ethyl carbamate data rounded to two significant figures.

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\* Mean of means from reference data shown for display purposes in table. Mean of means from reference data shown for display purposes in table.

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# **Table 4.**

Summary of the main analytical methods used to detect ethyl carbamate in food and beverages. a



Abbreviations used: GC-MS, gas chromatography-mass spectrometry; LC-MS, liquid chromatography-mass spectrometry; LC-FLD, liquid chromatography-fluorescence detection. Abbreviations used: GC-MS, gas chromatography-mass spectrometry; LC-MS, liquid chromatography-mass spectrometry; LC-FLD, liquid chromatography-fluorescence detection. Author Manuscript

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## **Table 5.**

Summary of current practices for mitigation of ethyl carbamate in food and beverages. Summary of current practices for mitigation of ethyl carbamate in food and beverages.

