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Parental Limited English Proficiency in Pediatric Stem Cell Transplantation: Clinical Impact and Health Care Utilization

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Abstract

Background: Limited English proficiency (LEP) is associated with adverse clinical outcomes. The clinical impact of LEP in hematopoietic stem cell transplant (HSCT) has not been studied. The objectives of this study were to compare HSCT outcomes and health care utilization of Hispanic pediatric patients with and without parental LEP.

Methods: We conducted a retrospective review of Hispanic/Latino pediatric patients receiving HSCT at a single institution. Families were identified as LEP or English proficient (EP) based on clinicians' notes, social work documentation or the signature of a Spanish interpreter on treatment consents.

Results: A total of 83 Hispanic/Latino patients were identified with 53 (65.1%) having parental LEP. More patients in the LEP group had a documented financial burden at pre-transplant psychosocial evaluation (72.2% vs 41.4%, $p=0.009$). LEP patients were more likely to have health insurance coverage through government-sponsored Medicaid (76.9% vs 27.6%, $p<0.001$). LEP patients were hospitalized on average 13 days longer than EP patients, and LEP patients were more likely to have pre-transplant CMV reactivity (67.3%) than EP patients ($p=0.001$). Overall survival was lower in LEP than EP but was not statistically significant ($p=0.193$). Multivariable

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Cox modeling suggested a potentially higher risk of death in LEP vs EP (hazard ratio=1.56, 95% CI: 0.38, 6.23).

Conclusions: Parental LEP in HSCT is associated with prolonged hospitalization and pre-transplant CMV reactivity. These factors are associated with post-transplant complications and death. Our results suggest parental LEP is a risk factor for poor HSCT outcomes. Further study is warranted in a larger cohort.

Keywords

Limited English Proficiency; Pediatric Hematopoietic Stem Cell Transplant; Health Disparities

Introduction

The number of children in immigrant families represents a growing population with 25% of children in the United States living in a household with at least one immigrant parent.^{1,2} As this population has grown so has the number of pediatric medical encounters complicated by language barriers. According to the 2015 United States Census Bureau, more than 25 million Americans (9% of the population) speak English “less than very well” with most of these individuals having a preferred language of Spanish.³ Patients with limited English proficiency (LEP) are more likely than English-proficient (EP) patients to have poor health literacy, not understand their diagnoses, and experience increased rates of preventable morbidity and mortality.⁴⁻⁶ In pediatrics, parental LEP is associated with decreased satisfaction in care^{7,8}, lack of insurance coverage⁹⁻¹¹, decreased medication adherence¹²⁻¹⁵ and an increased risk for serious medical events during hospitalization.¹⁶ Although disparities are well documented in patients with LEP, the literature is limited regarding the effect of language barriers on objective pediatric clinical outcomes.¹⁷

Hematopoietic stem cell transplantation (HSCT) is a potentially curative procedure for a variety of pediatric malignant and non-malignant diseases. However, HSCT poses a significant set of challenges for families. This process involves a prolonged hospitalization, requires a good understanding of the diagnosis, and demands excellent medication adherence to achieve good outcomes. Language discordance between clinicians and LEP families of pediatric patients undergoing HSCT may further complicate this process and potentially affect clinical outcomes. While racial and ethnic differences in adult HSCT clinical outcomes have been studied with mixed results,¹⁸⁻²⁵ the relationship between language barriers and HSCT clinical outcomes has not been reported.

Our objectives in this study were to compare clinical outcomes and healthcare utilization among Spanish-speaking with LEP versus EP Hispanic patients and families that have received HSCT. We hypothesized that LEP would be associated with inferior clinical outcomes and increased healthcare resource utilization.

Methods

Study design

We conducted a retrospective cohort study of pediatric patients of Hispanic/Latino descent receiving HSCT at Duke University. Study approval was obtained from the Duke institutional review board with a waiver of informed consent. The existing Duke Pediatric Transplant and Cellular Therapy (PTCT) database was used to identify patients of Hispanic and/or Latino descent ages 0 to 21 years who had received HSCT by Duke PTCT department with date of transplant from January 1, 2000 through March 15, 2019. Patients were eligible for inclusion if they received hematopoietic transplantation of any type with a donor stem cell source of any type for any primary diagnosis. The study only included patients of Hispanic/Latino descent, to decrease the effect of ethnicity as a contributing factor for any detected differences in clinical outcomes. Patients with race, ethnicity or preferred language not documented and patients under pediatric bone marrow transplant care for therapies other than stem cell transplantation (e.g., CAR-T cell infusion, stem cell infusion for purposes other than HSCT, etc.) were excluded from this analysis.

Data were extracted from the Duke PTCT database and the electronic medical record, and entered into electronic case report forms. We collected data on baseline demographics and clinical information regarding the primary diagnosis for which HSCT was completed, transplant type and donor stem cell source, transplant co-morbidity index, and cytomegalovirus (CMV) seroreactivity status at the time of pre-transplant evaluation. Baseline demographics included sex, race, parental level of education (if available), insurance status, and note of family financial strain in the social work intake documentation. The presence of financial burden was defined as families self-identifying as having financial strain at the time of pre-transplant evaluation requiring assistance for housing, utilities, and/or food. Co-morbidity index (HCT-CI) was calculated for the patients based on their pre-transplant evaluation.²⁶⁻²⁸

Definitions

Parental LEP—The parents/guardians of patients undergoing HSCT were indicated to have LEP with Spanish language preference based on the presence of at least two of the following: (1) language barrier or use of interpreter noted in documentation of clinicians and ancillary staff, (2) Spanish as preferred language in electronic medical record, (3) social work intake documentation noting a preferred language of Spanish, and/or (4) the signature of a medical Spanish interpreter on the transplant treatment consents. The parents/guardians that did not meet these criteria were defined as EP.

CMV seroreactivity and reactivation—CMV positive status was defined as donor, recipient or both being CMV IgG positive at time of pre-transplant evaluation. Post-transplant CMV reactivation was defined as more than 600 CMV copies/milliliter on two consecutive polymerase chain reaction (PCR) analyses in serum within a 1-week interval.^{29, 30} Per institutional practice, each patient had weekly post-transplant surveillance with CMV PCR until at least day 100 after HSCT.

Statistical analysis

We evaluated the following clinical outcome measures: overall survival, rates of relapse of primary disease for those receiving transplant for malignant conditions, lengths of hospitalizations, time from engraftment to discharge, rates of re-hospitalizations, rates of complications including infections and graft versus host disease (GVHD) grades II-IV.

All statistical analyses were conducted in SAS 9.4 (SAS Institute, Cary, NC). Patients were analyzed in two categories: those that were Spanish speaking with LEP and those that were EP. Continuous variables were summarized with mean, standard deviation, median and range and compared between groups using the Wilcoxon rank sum test. Fisher's exact test was used to compare categorical variables between groups. Overall survival was estimated using the Kaplan-Meier method. Results for GVHD were reported using the cumulative incidence method described by Kalbfleisch and Prentice with death as a competing risk.

Results

Out of 1318 patients treated during the inclusion timeframe, three did not have race or ethnicity documented and 88 were identified as having an ethnicity of Hispanic and/or Latino. Five of these patients were excluded because three received treatment with CAR-T cells and two received stem cells for other experimental treatments than HSCT. A total of 83 patients met the inclusion criteria with 53 (65.1%) of these families identified as having LEP.

Baseline demographics are reported in Table 1. There were no significant differences in age at transplant, sex, and race between these groups. The parental educational levels were absent in the large majority of the patients so this information was not reported. More patients in the LEP group were self-identified as having financial strain at the time of pre-transplant psychosocial evaluation (72.2% vs 41.4%, $p = 0.009$). LEP patients were more likely to have health insurance coverage through government-sponsored Medicaid (76.9% vs 27.6%, $p < 0.001$).

The diagnosis and transplant characteristics are listed in Table 2. The primary diagnosis for which HSCT was performed was similar between the two groups with the exception of more metabolic disorders in the EP group (34.5%) than LEP (14.8%). Type of transplant, donor, and stem cell product was similar in these groups. Patients with parental LEP were significantly more likely to have pre-transplant CMV positive reactivity status (73.6%) than EP patients (33.3%, $p = 0.001$). In addition, patients in each group had similar calculated HCT-CI scores.

Clinical outcomes (Table 3) revealed that the cumulative incidence of relapse, neutrophil engraftment, acute and chronic GVHD were similar in both groups, as were the frequency of post-transplant infections. Overall survival was lower in LEP than EP but this difference was not statistically significant (Fig. 1, $p = 0.193$). Multivariable Cox modeling suggested a potentially higher risk of death in LEP vs EP (hazard ratio=1.56, 95% CI: 0.38, 6.23). Multivariate cox regression did not reveal a relationship between overall survival and other key covariates (year of transplant or prevalence of co-morbidities). Our cohort did not reveal

a decreased overall survival associated with CMV seropositivity (hazard ratio= 0.48, 95% CI: 0.25, 0.92).

Patients with parental LEP were hospitalized on average 13 days longer than patients with EP (Table 3, $p=0.037$) and had a significantly longer time from engraftment to hospital discharge (Fig. 2, $p=0.005$). The rates of hospital readmissions were similar in both groups.

Discussion

The results of this exploratory retrospective analysis reveal that parental LEP is associated with a higher risk of pre-transplant CMV seropositivity and prolonged hospitalizations for children receiving HSCT at our institution. Although there is evidence of LEP being a risk factor for serious adverse medical events,^{4,16} longer emergency department stays and lengths of hospitalizations,³¹⁻³³ and higher rates of hospital readmissions³⁴, there is little known about the effects of parental LEP on pediatric HSCT clinical outcomes. There is also no documented association of CMV seropositivity with language proficiency, thus we have reported two novel and important findings here.

CMV infection in the post-transplant period has been associated with an increased risk of overall mortality and prolonged length of hospitalizations.^{30,35} In a large European bone marrow transplant database analysis, CMV seropositive patients were found to have a lower overall survival, lower leukemia free survival and higher non-relapse mortality when compared to CMV seronegative patients receiving transplants from CMV seronegative donors.³⁶ In our cohort, the trend of overall survival suggested a potentially higher risk of death in the LEP group. Multivariable analysis was completed to determine if this trend was in part secondary to the difference in CMV seropositivity but our analysis did not find a decreased overall survival to be associated with CMV seropositivity. While post-HSCT CMV infection is often viewed as risk factor for increased morbidity and mortality, there are reports of a potentially protective anti-leukemic effect which may explain why a negative relationship between overall survival and CMV seropositivity was not observed in our cohort.^{37,38} A larger study that is powered to these endpoints may be necessary to more definitively examine this relationship.

We suspected the difference in pre-transplant CMV seropositivity between the LEP and EP groups might be attributed to lower socioeconomic status, as the patients with parental LEP were more likely to have insurance through government-sponsored Medicaid and have financial burden documented on social work intake. An analysis of the National Health and Nutrition Examination Survey revealed CMV seropositivity in the United States was independently associated with foreign birthplace, low household income, high household crowding and low household education.³⁹ Other groups have also observed an association with lower socioeconomic status and CMV seropositivity.^{40,41} Our results now show a similar association in the pediatric HSCT setting.

Parental LEP has been associated with increased health care resource utilization. In a retrospective cohort study of children admitted for infection requiring parenteral antibiotic therapy, parental LEP was associated with a 60% longer median hospital length of stay

than pediatric patients with EP parents and a decreased number of home health referrals.³² Pediatric patients presenting to the emergency department with parental LEP are more likely to have diagnostic studies ordered and longer visit times than families with EP for similar presenting symptoms.^{42,43} In our cohort of Hispanic/Latino patients receiving HSCT, time from neutrophil engraftment to hospital discharge was significantly longer in patients with parental LEP, without apparent explanation by any measured clinical factors. An average extended hospitalization length of stay 13 days longer in LEP versus EP patients has considerable financial implications in a procedure that is already one of the most expensive medical procedures in the United States.⁴⁴ It is not clear whether the increased length of stay is secondary to miscommunication due to LEP or whether this is secondary to other complications such as CMV reactivation. Further study is needed to better understand this marked difference.

The potential adverse effects of language barriers on clinical outcomes and health care resource utilization raises the question as to what interventions and resources can be allocated to prevent these differences in care. Medical interpreters have been an invaluable resource when caring for pediatric patients with parental LEP. A survey study of pediatricians across the United States revealed that most pediatricians report using family members to communicate with LEP patients and families but increased use of formal interpreters by pediatricians was observed in states that provided reimbursement for interpreter services.⁴⁵ The underutilization of formal medical interpreters contributes to the health care disparities seen when language barriers are present and increased access and reimbursement to interpreter services may improve the care for these patients.⁴⁶ However, formal medical interpreting services do not mitigate the challenges of communication across a language barrier as these encounters are also complicated by cultural differences.^{5,47,48} Improving the care for patients and families with LEP will require a multifaceted approach beyond increasing the access to formal medical interpreting services, and more dedicated research is needed to examine how to most effectively engage and communicate with LEP patients and families.

Our study has several notable limitations. It was a single-center retrospective study with a limited sample size of patients with parental LEP; findings may differ in other centers with a different demographic distribution of patients and clinicians and different interpreting services. Our institution's PTCT department is composed of primarily English-speaking transplant physicians, nurse practitioners, and nursing staff. Therefore, most interactions with parents/guardians that are Spanish-speaking with LEP are complicated by language discordance between clinicians and families. Our institution offers the service of in-person Spanish interpreters that are available for both inpatient and outpatient encounters. If an in-person interpreter is not available, there is also the option of utilizing interpreters via telephone or via electronic tablet that features both audio and video interaction. While multiple options are available for interpreting services, we were not able to accurately document via our retrospective review whether these services were consistently utilized in every patient encounter with physicians and nurses. Accordingly, further studies on the relationship between frequency of interpreter service use, type of interpreter service used, and LEP patient and family experiences/outcomes are needed.

Another limitation was that we limited our cohort to Hispanic/Latino patients to decrease the effect of ethnicity as a contributing factor to differences between the LEP and EP groups. Consequently, the findings in this cohort may not be applicable to patients of other racial or ethnic backgrounds. Of note, we did not compare outcomes of our Hispanic/Latino cohort to the Non-Hispanic/Latino White or Black patients, as the focus of our study was to determine if there were differences on the basis of LEP, within the Hispanic/Latino cohort.

There were also multiple confounding variables including co-morbidities, CMV seroreactivity status, and socioeconomic status that may have contributed to the differences observed in our cohort. We did not have information regarding the family's income or the parental educational level but it is possible that the differences observed in our cohort can be attributed to lower socioeconomic status, insurance status and decreased health literacy in the group of children with parental LEP. The parental LEP group were more likely to self-identify as having financial strain at the time of pre-transplant evaluation and these children were more likely to have government sponsored Medicaid, both of which suggest likely differences in socioeconomic status. A large pediatric transplant database analysis recently demonstrated inferior overall survival in children with Medicaid as compared to children with private insurance among pediatric patients receiving allogeneic transplant for malignant diseases.⁴⁹

We also acknowledge that there are varying degrees of LEP. While we attempted to define criteria that would accurately identify parents/guardians as having LEP by using multiple factors, there is significant complexity to language preferences and proficiency making this variable difficult to fully and accurately define. It is possible that patients may have also met criteria as having parental LEP even if another parent or guardian had EP.

Despite these limitations, we believe that our study is an important addition to the literature as it highlights the unique challenges that children in immigrant families may face when they need a complex procedure such as HSCT, calling for an urgent need to investigate these issues further. In addition, language barriers have not been explored in HSCT and continued investigation of this topic may reveal whether differences in HSCT outcomes can truly be attributable to language preference. Regardless, ongoing investigation to describe the barriers for this patient population is needed, and will reveal opportunities for improvement and intervention to optimize clinical outcomes for this vulnerable pediatric population.

In our institution, this study has prompted us to perform an in-depth investigation into the underlying causes of the differences seen in this cohort to identify areas of targeted interventions in order to improve outcomes for this vulnerable population. As we expand this study, we will be collaborating with our International Patient Services Department and Office of Health Equity and Disparities to seek their expertise and guidance. Over the past years, our International Patient Services Department has made efforts to ensure access to medical interpreters that are available in person via telephone or via electronic tablets, which feature both audio and video interaction. While these efforts have improved ease of access to medical interpreting services, there is currently not an ideal process in place to ensure that clinicians, nurses, and ancillary staff are utilizing these services appropriately with each medical encounter. A provision of care and failure to use an interpreter category is now

available in our safety reporting system but we suspect that this feature is underutilized in one-on-one encounters with parents with LEP without an interpreter. Examples of potential interventions that we hope to explore include, but are not limited to clinician education on appropriate use of medical interpreters and systematic processes to ensure the use of medical interpreters with all patients/families with LEP.

Conclusion

We found that parental LEP in pediatric patients undergoing HSCT is a risk factor for prolonged hospitalizations and pre-transplant CMV reactivity. These factors are known to be associated with post-transplant complications and death. Our results suggest LEP families are at higher risk for poor HSCT outcomes. Further study is warranted in a larger cohort to distinguish whether the differences seen in our cohort are attributable to language barriers or a reflection of differences in socioeconomic status among LEP and EP groups, and to inform interventions to close the gap in outcomes.

Data Availability Statement:

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

Abbreviations:

CAR	Chimeric antigen receptor
CMV	Cytomegalovirus
EP	English proficient
GVHD	Graft versus host disease
HCT-CI	Hematopoietic cell transplantation comorbidity index
HSCT	Hematopoietic stem cell transplantation
Ig	Immunoglobulin
LEP	Limited English proficiency
PCR	Polymerase Chain Reaction
PTCT	Pediatric Transplant and Cellular Therapy

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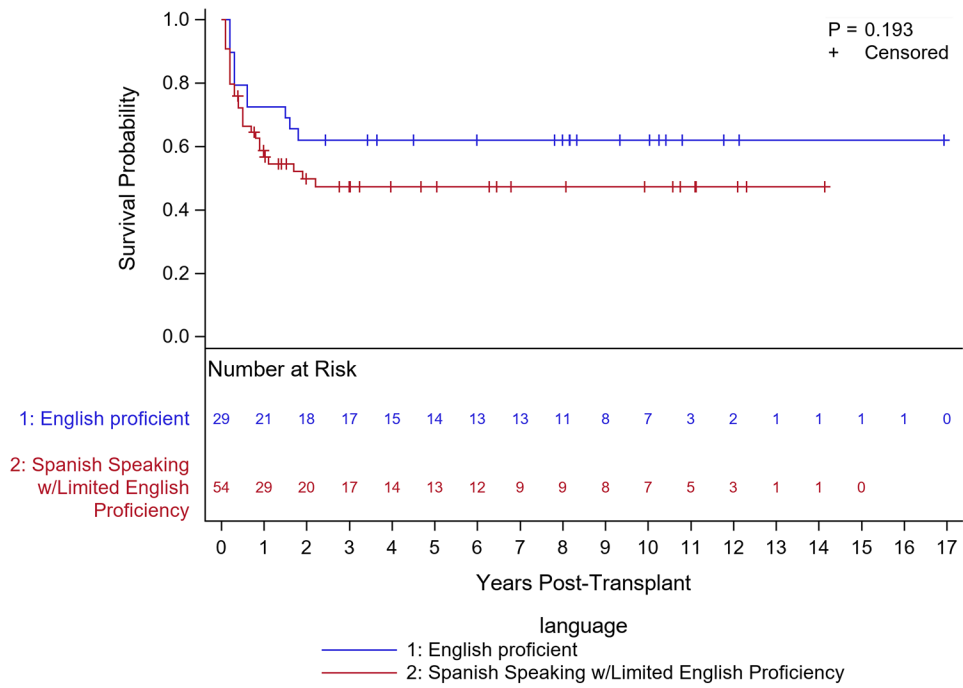


Figure 1.
Overall Survival by Language Proficiency

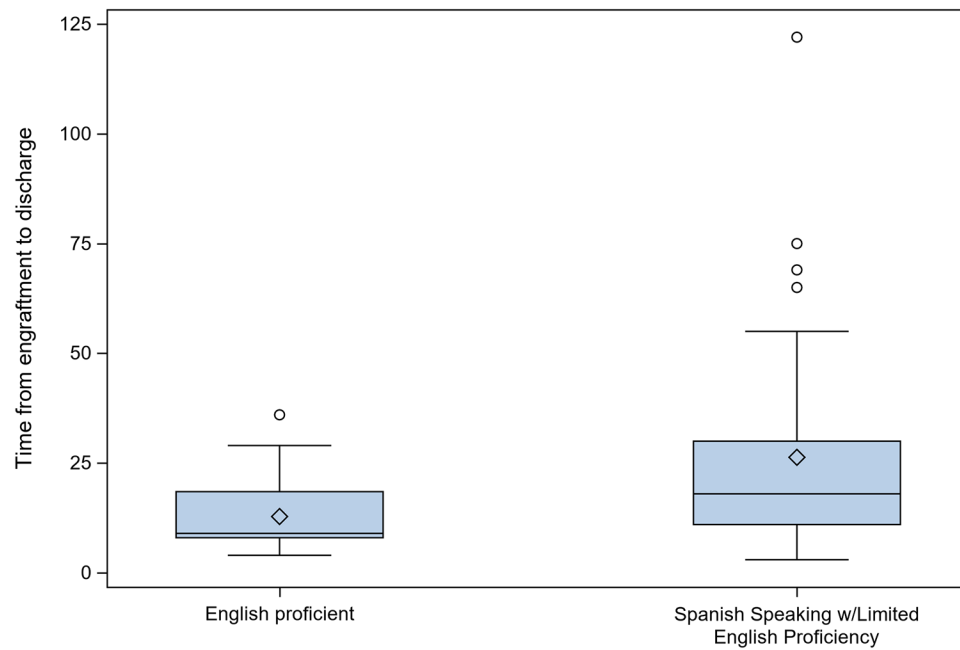


Figure 2.

Time from Neutrophil Engraftment to Discharge by Language Proficiency

Median (min, max; interquartile range) time from neutrophil engraftment to hospital discharge was 9 days (4, 36; 8, 18.5) for English Proficient Patients (N=24) and 18 days (3, 122; 11, 30) for Spanish Speaking w/Limited English Proficiency (N=41) (P=0.005, Wilcoxon Rank Sum Test). A total of 78/83 patients had neutrophil engraftment (28 English Proficient and 50 Spanish Speaking w/Limited English Proficiency). Time to discharge after neutrophil engraftment is not defined for 5 English Speaking patients and 9 Spanish Speaking w/Limited English Proficiency who died in the hospital after neutrophil engraftment.

TABLE 1

Demographics

	Spanish Speaking w/Limited English Proficiency (N=54)	English proficient (N=29)	Total (N=83)	P-value
Age at transplant				0.647 ¹
Mean (SD)	7.71 (6.07)	7.15 (5.86)	7.51 (5.97)	
Median	6.50	6.58	6.58	
Range	(0.09-20.67)	(0.09-19.45)	(0.09-20.67)	
Sex				0.818 ²
Male	27 (50.0%)	16 (55.2%)	43 (51.8%)	
Female	27 (50.0%)	13 (44.8%)	40 (48.2%)	
Race ³				0.498 ²
White	18 (34.0%)	10 (34.5%)	28 (34.1%)	
Black	0 (0.0%)	1 (3.4%)	1 (1.2%)	
Other	35 (66.0%)	18 (62.1%)	53 (64.6%)	
Health Insurance ⁴				<0.001 ²
Government- sponsored Medicaid	40 (76.9%)	8 (27.6%)	48 (59.3%)	
Private health insurance	12 (23.1%)	21 (72.4%)	33 (40.7%)	
Financial strain at pre-transplant evaluation				0.009 ²
No	15 (27.8%)	17 (58.6%)	32 (38.6%)	
Yes	39 (72.2%)	12 (41.4%)	51 (61.4%)	

¹Wilcoxon rank sum test

²Fisher's exact test

³Race is not known for 1 patient who was Spanish Speaking w/Limited English Proficiency

⁴One patient who was Spanish Speaking w/Limited English Proficiency was not covered by insurance, and insurance status could not be determined for another patient in this group.

TABLE 2

Diagnosis and Transplant Characteristics

	Spanish Speaking w/Limited English Proficiency (N=54)	English proficient (N=29)	Total (N=83)	P-Value
Year of Transplant				0.038 ¹
Median	2011	2008	2010	
Range	(2001-2019)	(2000-2017)	(2000-2019)	
Diagnosis				0.220 ²
Leukemia	28 (51.9%)	13 (44.8%)	41 (49.4%)	
Lymphoma	0 (0.0%)	1 (3.4%)	1 (1.2%)	
Bone Marrow Failure/Myelodysplastic syndrome	5 (9.3%)	1 (3.4%)	6 (7.2%)	
Immune Deficiency	5 (9.3%)	3 (10.3%)	8 (9.6%)	
Metabolic Disorder	8 (14.8%)	10 (34.5%)	18 (21.7%)	
Solid tumor	6 (11.1%)	1 (3.4%)	7 (8.4%)	
Hemoglobinopathy	2 (3.7%)	0 (0.0%)	2 (2.4%)	
Type of transplant				0.820 ²
Autologous	7 (13.0%)	2 (6.9%)	9 (10.8%)	
Allogeneic, unrelated	37 (68.5%)	21 (72.4%)	58 (69.9%)	
Allogeneic related	10 (18.5%)	6 (20.7%)	16 (19.3%)	
Donor type ³				0.856 ²
Missing	7 (.%)	2 (.%)	9	
HLA-identical sibling	9 (19.1%)	6 (22.2%)	15 (20.3%)	
HLA-mismatched relative	1 (2.1%)	0 (0.0%)	1 (1.4%)	
Unrelated donor	37 (78.7%)	21 (77.8%)	58 (78.4%)	
Product type				0.215 ²
Multiple UCB	9 (16.7%)	1 (3.4%)	10 (12.0%)	
Single UCB	25 (46.3%)	20 (69.0%)	45 (54.2%)	
PBSC	7 (13.0%)	2 (6.9%)	9 (10.8%)	
PBSC + UCB	1 (1.9%)	0 (0.0%)	1 (1.2%)	
BM	12 (22.2%)	6 (20.7%)	18 (21.7%)	
CMV Seroreactivity Status ⁴				0.001 ²
Positive	39 (73.6%)	9 (33.3%)	48 (60.0%)	
Negative	14 (26.4%)	18 (66.7%)	32 (40.0%)	
HCT-CI ⁵				0.535 ¹
1-2	27 (79.4%)	8 (88.9%)	35 (81.4%)	
3+	7 (20.6%)	1 (11.1%)	8 (18.6%)	

UCB = umbilical cord blood. PBSC = peripheral blood stem cells. BM = bone marrow. CMV = cytomegalovirus. HCT-CI = hematopoietic cell transplant comorbidity index.

¹Wilcoxon rank sum test

²Fisher's exact test

³Donor type is not specified for autologous transplants

⁴CMV status is missing for 1 patient who is Spanish Speaking w/Limited English Proficiency and 2 patients who are English Proficient

⁵For patients with comorbidities only. A total of 20 patients in each group did not have any comorbidities at transplant.

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TABLE 3

Transplant Outcomes

	Spanish Speaking w/Limited English Proficiency (N=54)	English proficient (N=29)	All (N=83)	P-Value
Time from transplant to discharge ¹				0.037 ⁴
Mean (SD)	46.66 (26.58)	33.42 (20.67)	41.77 (25.23)	
Median	36.00	31.00	34.00	
Range	(16.00-138.00)	(1.00-116.00)	(1.00-138.00)	
Post-transplantation CMV reactivation ²				0.068 ⁵
Yes	23 (59.0%)	2 (22.2%)	25 (52.1%)	
No	16 (41.0%)	7 (77.8%)	23 (47.9%)	
Neutrophil Engraftment @ Day 42 ³	91 (78, 96)	93 (71, 99)	92 (83, 96)	0.808 ⁶
Relapse @ 1 Year ³	29 (16, 45)	19 (4, 41)	26 (15, 39)	0.184 ⁶
Acute GVHD @ 100 Days ³	44 (29, 57)	50 (29, 68)	46 (34, 57)	0.335 ⁶
Chronic GVHD @ 1 Year ³	20 (11, 32)	31 (15, 48)	24 (16, 34)	0.178 ⁶

¹ Among patients discharged alive. A total of 5 English Proficient patients and 13 Spanish Speaking w/Limited English Proficiency died in the hospital.

² Among patients who were seropositive prior to transplant

³ Cumulative incidence (with death as a competing risk) and 95% confidence intervals

⁴ Wilcoxon rank sum test

⁵ Fisher's exact test

⁶ Gray's test